1	HiQuake: The Human-Induced Earthquake Database
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8	Abstract

9 HiQuake – The Human-Induced Earthquake Database is the most complete database of 10 anthropogenic projects proposed, on scientific grounds, to have induced earthquake sequences. It is 11 freely available to download at www.inducedearthquakes.org. At the time of writing HiQuake contains ~730 anthropogenic projects proposed to have induced earthquakes, as well as associated 12 project-related and seismic data. The most commonly reported anthropogenic activities proposed to 13 have induced earthquakes are mining and water-reservoir impoundment. In recent years the number 14 of earthquake sequences proposed to have been induced by fluid-injection activities has grown. The 15 most commonly reported maximum observed magnitude in an induced earthquake sequence is  $3 \leq 3$ 16  $M_{MAX} < 4$ . The largest earthquake in *HiQuake* proposed to have been induced had a magnitude of 17 M<sub>W</sub> 7.9 and occurred in China. Such large earthquakes release mostly stress of natural tectonic 18 origin, but are conceivably triggered by small anthropogenic stress changes. The data in *HiQuake* 19 are of variable quality because they are drawn from publications that span almost a century. We 20 21 estimate under-reporting to be ~30% for M ~4 events, ~60% for M ~3 events and ~90% for M ~2 events. The degree of certitude that given earthquake sequences were anthropogenically induced is 22 variable. *HiQuake* includes all earthquake sequences proposed on scientific grounds to have been 23 24 human-induced without regard to the strength of the case made. HiQuake is offered freely as a resource to interested parties and judging the reliability of any particular case is the responsibility of 25

the database user. *HiQuake* will be routinely updated to correct errors, update existing entries and
add new entries. It has the potential to help improve our understanding of induced earthquakes and
to manage their impact on society.

#### 29 **1. Introduction**

Induced earthquakes can pose a direct threat to infrastructure and human life, and fear of them 30 can impact project viability. Understanding and managing them is thus of economic and social 31 importance. Of particular interest is estimating the maximum possible magnitude earthquake that a 32 project may induce (e.g. McGarr et al., 2002; McGarr, 2014; van der Elst et al., 2016), since this 33 34 parameter is important for hazard assessment. In the last few years the study of induced earthquakes has intensified, primarily because of the coincident increase in seismic rates and waste-fluid 35 disposal activities in the United States of America, as well as the expanding use of hydraulic 36 fracturing for shale gas and oil recovery (Ellsworth, 2013). Many cases of induced earthquakes have 37 been studied in detail and documented. The first documented case of induced seismicity related to 38 39 underground fluid injection occurred at the Rocky Mountain Arsenal, Denver, in the 1960s (Evans, 1966). These cases provide a large body of data that gives context to modern induced earthquake 40 sequences and can help improve understanding of the phenomenon. 41

In 2016 Nederlandse Aardolie Maatschappij BV (NAM), a Dutch oil and gas exploration and 42 43 production company, funded a team of researchers from Durham and Newcastle Universities, United Kingdom, to conduct a full review of induced earthquakes. This review extended a study by 44 Davies et al. (2013) in which 198 cases of induced earthquake sequences were documented where 45 the largest event had a magnitude of  $M \ge 1.0$ . Until the present project, this was the best-known 46 publically available database of induced earthquakes, although other compilations focussing on 47 specific anthropogenic activities also exist (e.g. Gupta, 2002; Li et al., 2007; Suckale, 2009; Evans 48 et al., 2012). Extending the database by Davies et al. (2013) became a component of a project led by 49 NAM that aimed to estimate the maximum possible magnitude earthquake that might be induced in 50

the Groningen gas field, Netherlands, which NAM operates (NAM, 2016). Induced earthquakes probably began to occur due to gas production in the late 1970s, with the first officially-registered induced earthquake in 1986 (van der Voort and Vanclay, 2015). The Groningen gas field is one of the largest gas fields in the world and is therefore of significant economic importance. Consequently, gas production continues but to assist with mitigation measures for induced earthquakes NAM have made financial commitments to researching induced seismicity.

The resulting database of the full review, the Human-Induced Earthquake Database (*HiOuake*), 57 was formally released January 26<sup>th</sup> 2017 via the website www.inducedearthquakes.org. It has 58 subsequently been maintained and updated and the plan is to continue this work for the foreseeable 59 future. *HiQuake* is currently the largest and most up-to-date freely-available database of projects 60 proposed to have induced earthquake sequences. This article formally documents *HiQuake*, 61 describes how it was developed, including policy decisions that had to be made, provides an 62 overview of its contents, and reports initial observations. A more extensive review of induced 63 earthquakes based on the knowledge gained while developing *HiQuake*, and including specific case 64 studies, is given by Foulger et al. (in press). 65

#### 66 2. Database Contents and Availability

*HiQuake* was compiled from peer-reviewed published literature, industry reports, government 67 68 reports, academic presentations, media articles and personal communications. A thorough search for projects proposed to have induced earthquakes was conducted using a variety of methods including 69 searching on-line databases for keywords, checking all relevant papers in the reference lists of 70 known papers, searching the proceedings of major conferences, searching for reports published on-71 line and gathering personal communications. After approximately six person-months of work we 72 essentially ceased to find any additional historical examples and the database grew primarily by the 73 addition of contemporary cases. 74

As the database grew it became clear that the strength of the scientific case for induction varied 75 from extremely strong to extremely weak, with many projects in between. A decision had to be 76 made regarding how strong the case should be for inclusion in the database. Because of the 77 78 subjectivity of judging the plausibility of individual cases, and the inevitability that opinion among researchers would vary widely, it was decided to include all cases without regard to plausibility. 79 80 The database thus lists all projects proposed on scientific grounds (not religious or moral) to have 81 induced earthquake sequences. Judgement regarding the strength of the case made for any particular 82 entry is the responsibility of the user.

Each entry in *HiQuake* corresponds to a single project or distinct phase of a project. Some 83 projects have been underway for many years and have probably induced tens of thousands of 84 85 earthquakes, for example geothermal operations at The Geysers field, California (Mossop and Segall, 2004; Majer and Peterson, 2007). Other projects were completed in a few hours or days and 86 may have induced only a few earthquakes, for example hydraulic fracturing at Preese Hall, United 87 Kingdom, which was associated with 52 recorded earthquakes (Clarke et al., 2014). Regardless of 88 the total number of earthquakes reported, each project or distinct project phase corresponds to a 89 single entry in *HiOuake*. In some cases the type of anthropogenic activity proposed to have induced 90 earthquakes is uncertain. For example in some hydrocarbon reservoirs fluid extraction and injection 91 have occurred simultaneously for many years. Along with the project name, other data recorded in 92 93 HiQuake include project type, location, maximum observed magnitude earthquake (M<sub>MAX</sub>), and operational parameters. A full list of database columns is given in Table 1. 94

The quality of the data in *HiQuake* varies because the database includes earthquakes that occurred up to ~150 years ago and draws from publications as far back as 1931. The completeness of the record and the accuracy of the data are expected to be poorer for older cases. A variety of magnitude types for  $M_{MAX}$  are given in *HiQuake* because seismological practice has changed greatly with time. Magnitude types within the database include  $M_L$  (local magnitude),  $m_b$  (body-

wave magnitude),  $M_S$  (surface-wave magnitude),  $M_d$  (duration magnitude) and  $M_W$  (moment magnitude). Where a magnitude type is not specified in the data source we use the notation M. If  $M_W$  is provided, we preferentially cite this. Because magnitudes measured using different scales are not necessarily equivalent, this factor should be borne in mind when testing for correlations between earthquake magnitude and other parameters. Rendering all the magnitudes to a common scale is a subject for future work.

106 *HiQuake* is freely available to all stakeholders including industrialists, engineers, scientists, governments and the general public. It provides basic context that may help stakeholders understand 107 the significance of induced earthquakes in context with other industrial costs, hazards and benefits. 108 It can also be used for research, for example to study correlations between seismicity and 109 operational parameters. This may assist in the design of hazard assessment strategies for industrial 110 projects. *HiQuake* is available at www.inducedearthquakes.org in Microsoft Excel spreadsheet 111 format. This format was chosen because of the wide variety of numerical and text data within 112 HiQuake and because it is a format widely accessible to both scientists and non-scientists. Scientific 113 users may need to re-format the database for particular uses. Database updates will be made 114 routinely to add new information and correct errors. To facilitate this the authors would be grateful 115 for any feedback from users, which may be submitted via the web form at 116 www.inducedearthquakes.org/contribute or by contacting the authors directly. 117

### 118 **3. Initial Observations**

At the time of writing *HiQuake* contains ~730 anthropogenic projects or project phases proposed to have induced earthquakes (Table 2). The project types that contribute the most cases to *HiQuake* are mining (37%) and the impoundment of water behind dams (23%). Injection activities such as hydraulic fracturing for shale gas or oil, waste-fluid disposal, geothermal re-injection and secondary recovery for hydrocarbons, account for ~10-15% of cases. Less well-known proposed seismogenic processes include the construction of skyscrapers, quarrying, groundwater extractionand nuclear bomb testing.

In some cases there is ambiguity regarding the causative process because multiple seismogenic 126 activities may be underway simultaneously, for example fluid injection and extraction. In addition, 127 there is clearly under-reporting. This may result from induced earthquakes going unrecognised or 128 from lack of motivation where the induced seismicity is inconsequential to communities or 129 industrial activity. Examination of the fractal distribution of M<sub>MAX</sub> earthquakes via a Gutenberg-130 Richter plot shows linearity at the high-magnitude end only for earthquakes > M 5, which yield a b-131 value of  $\sim 0.65 \pm 0.15$  (95% confidence value) (Fig. 1). Extrapolation of the b-slope suggests that 132 under-reporting is ~30% for M ~4 events, ~60% for M ~3 events and ~90% for M ~2 events. 133 The earliest entry in *HiQuake*, from 1868, is coal mining near Maitland, Australia (Klose, 134 2007a; 2007b). Seismogenic projects are reported from ~70 countries (Fig. 2). The largest 135 contributing countries at the time of writing are the United States of America (182 cases) and China 136 137 (148 cases). This does not necessarily mean these countries host more seismogenic projects, but it could simply be that reporting is more complete. Some anthropogenic activities that are proposed to 138 induce earthquakes, such as water-reservoir impoundment and mining, are more globally diffuse 139 140 than others. The fastest-growing anthropogenic activity proposed to induce earthquakes may be fluid-injection (Fig. 3) as exemplified by the recent remarkable increase in induced seismicity in 141

142 Oklahoma (Keranen et al., 2014).

The most commonly reported  $M_{MAX}$  in an induced earthquake sequence is  $3 \le M_{MAX} < 4$  (Fig. 4). The largest earthquake reported to date to be induced by fluid injection is  $M_W$  5.8 (the 2016 Pawnee, Oklahoma, earthquake; Yeck et al., 2016), by water-reservoir impoundment  $M_W$  7.9 (the 2008 Wenchuan, China, earthquake; Ge et al., 2009), by hydrocarbon extraction M 7.3 (the 1976 Gazli, Uzbekistan earthquake; Mirzoev et al., 2009) and by groundwater extraction  $M_W$  7.8 (the 2015 Gorkha, Nepal, earthquake; Kundu et al., 2015). A large majority of the stress released by

such large earthquakes was without doubt of natural origin and the question of whether or not the event was induced relates to the initial trigger that caused fault slip to start. Large earthquakes commonly comprise a sequence of sub-events, each of which is triggered by the previous sub-event, so large earthquakes may result from the induction of a much smaller initial event. As mentioned above, we did not judge the strength of cases made and include in *HiQuake* all those for which a scientific case has been presented.

#### 155 **4.** Summary

Durham and Newcastle Universities, under contract with Nederlandse Aardolie Maatschappij 156 BV, have constructed the most complete database of human-induced earthquakes (*HiQuake*) 157 currently available. It may be downloaded as a Microsoft Excel spreadsheet from 158 www.inducedearthquakes.org. At the time of writing HiQuake lists ~730 anthropogenic projects or 159 project phases proposed to have induced earthquake sequences, along with a suite of meta-data 160 accompanying each case. The most commonly reported seismogenic project types are mining and 161 162 water-reservoir impoundment. In recent years the number of earthquake sequences proposed to have been induced by fluid-injection activities has grown. Reported maximum observed magnitudes 163 are most commonly  $3 \le M_{MAX} < 4$ , but this range varies depending on project type. The largest 164 165 earthquake proposed to have been induced to date is the M<sub>w</sub> 7.9 Wenchuan earthquake, China. Extremely large earthquakes like this may be initially triggered by a small stress change brought 166 about by anthropogenic activities, but most of the stress released is of natural tectonic origin. 167 *HiQuake* is inhomogeneous because data are drawn from publications spanning almost a century 168 and the observational detail given and seismological practice have varied during this long time 169 span. Under-reporting is a problem and we estimate that it is ~30% for M ~4 events, ~60% for M 170 ~3 events and ~90% for M ~2 events. The degree of certitude that given earthquake sequences were 171 anthropogenically induced is also variable. *HiQuake* includes all earthquake sequences for which a 172 173 scientific case has been made for human induction and judging the reliability of each case is the

responsibility of the database user. The database will be updated routinely to correct errors, revise
existing entries and add new entries. *HiQuake* is freely available to all and may be of interest to
industrialists, engineers, scientists, governments and the general public. It may contribute to
increasing understanding of the spatial and temporal occurrence of induced earthquakes, their
causes and relationships to operational parameters, and thus contribute to increasing industrial
safety.

#### 180 **5. Data and Resources**

The Human-Induced Earthquake Database (*HiQuake*) is an open-access database available at
 www.inducedearthquakes.org. At the time of writing the most recent update to the database
 occurred 25<sup>th</sup> July 2017.

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## **Column contents**

Country
Earthquake cause (main class)
Earthquake cause (subclass)
Project name
Latitude
Longitude
Project start date
Project end date
Seismicity or monitoring start date
Seismicity of monitoring end date
Delay time
Number of recorded earthquakes
Maximum observed magnitude (M <sub>MAX</sub> )
Magnitude type
Depth of $M_{MAX}$ (m)
Date of M <sub>MAX</sub> (yyyy/mm/dd)
Year of M <sub>MAX</sub>
Distance of $M_{MAX}$ to project (m)
Maximum distance of earthquakes to project (m)
Lithology/Resource
Depth of most seismicity (m)
Depth of project (m)
Tectonic setting
Notable previous seismicity
Dam height (m)
Area (km <sup>2</sup> )
Maximum injection/extraction rate
Units of injection/extraction rate
Total volume or mass of material injected/extracted
Units of total volume or mass of material injected/extracted
Maximum injection pressure (MPa)
Change in reservoir pressure (MPa)
Stress change (MPa)
Bottom hole temperature (°C)
Notes
Reference(s)

## **Table 2**

Anthropogenic activity	Number of reported cases	Percentage of database to nearest integer (%)
Carbon Capture and Storage (CCS)	2	0
Construction	2	0
Conventional oil and gas	107	15
Deep Penetrating Bombs	4	1
Hydraulic fracturing for shale gas or oil	29	4
Geothermal	57	8
Groundwater extraction	5	1
Mining	271	37
Nuclear explosions	22	3
Research experiments	14	2
Unspecified oil & gas extraction; waste fluid disposal	12	2
Waste fluid disposal	36	5
Water reservoir impoundment	167	23
Total	728	







$M_{MAX}$				
?	< 2	2-4	> 4	
•	·	•	٠	Carbon Capture & Storage
•	•	•	٠	Construction
•		•	•	Deep Penetrating Bombs
•	•	•	•	Geothermal
•	•	•	٠	Groundwater Extraction
•	•	•	•	Mining
•	•	•	•	Nuclear Explosions
•	•	•	٠	Oil & Gas/Unspecified
•	•	•	٠	Oil & Gas/Wastewater Injection
•	•	•	•	Oil & Gas/Conventional
w	•	۰	•	Oil & Gas/Hydrofracturing
•	•	•	•	Research
$\nabla$	0	۰	0	Waste Fluid Injection
•	•	•	•	Water Reservoir Impoundment

**Figure 3** 



## 310 Figure 4



# **Table captions**

330	Table 1: List of column names within <i>HiQuake</i> .
331	Table 2: The numbers of each type of anthropogenic activity proposed to have induced earthquakes.
332	2 Data from <i>HiQuake</i> .
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### 349 Figure captions

Figure 1: Gutenberg-Richter plot with b-slope of  $M_{MAX}$  earthquakes within *HiQuake*.

- Figure 2: World map showing the location of projects proposed to have induced seismicity. Datafrom *HiQuake*.
- Figure 3: Number of projects with reported  $M_{MAX}$  earthquakes and year of  $M_{MAX}$  since 1950.
- 354 Dashed line is the cumulative number of all anthropogenic projects and the solid line is the annual
- number of injection projects. Data from *HiQuake*.
- Figure 4: Number of cases vs.  $M_{MAX}$  for projects where  $M_{MAX}$  is provided. Data from *HiQuake*.