1	
2	
3 4	
5	
6	
7	
8	Testing differential use of payoff-biased social learning strategies in children and
9	chimpanzees
10 11	
12	
13	
14	
15	and the angle of the second seco
16 17 18	Gillian L. Vale <sup>a,b,*</sup> , Emma G. Flynn <sup>c</sup> , Jeremy Kendal <sup>d</sup> , Bruce Rawlings <sup>d</sup> , Lydia M. Hopper <sup>e</sup> , Steven J. Schapiro <sup>a</sup> , Susan P. Lambeth <sup>a</sup> & Rachel L. Kendal <sup>d</sup>
19 20	<sup>a</sup> National Center for Chimpanzee Care, Michale E. Keeling Center for Comparative Medicine and Research, The University of Texas MD Anderson Cancer Center, Bastrop, TX, USA
21 22	<sup>b</sup> Department of Psychology and Language Research Center, Georgia State University, Atlanta, GA, USA
23 24	<sup>c</sup> Centre for the Coevolution of Biology and Culture, School of Education, Durham University, Durham, DH1 1TA, UK
25 26	<sup>d</sup> Centre for the Coevolution of Biology & Culture, Department of Anthropology, Durham University.
27 28	<sup>e</sup> Lester E. Fisher Center for the Study and Conservation of Apes, Lincoln Park Zoo, Chicago, IL 60614, USA
29 30 31 32	*Correspondence: Gillian L Vale, National Center for Chimpanzee Care, The University of Texas MD Anderson Cancer Center, Bastrop, TX, USA. Email: glvale@mdanderson.org
<ol> <li>33</li> <li>34</li> <li>35</li> <li>36</li> <li>37</li> <li>38</li> <li>39</li> <li>40</li> <li>41</li> <li>42</li> </ol>	
43	

#### 45 Abstract

#### 46

47 Various non-human animal species have been shown to exhibit behavioural traditions.

48 Importantly, this research has been guided by what we know of human culture, and the question

of whether animal cultures may be homologous or analogous to our own culture. In this paper,

50 we assess whether models of human cultural transmission are relevant to understanding

51 biological fundamentals by investigating whether accounts of human payoff-biased social

learning are relevant to chimpanzees (*Pan troglodytes*). We submitted 4- and 5-year old children (N = 90) and captive chimpanzees (N = 69) to a token-reward exchange task. The results

revealed different forms of payoff-biased learning across species and contexts. Specifically,

following personal and social exposure to different tokens, children's exchange behaviour was

56 consistent with proportional imitation, where choice is affected by both prior personally acquired

and socially demonstrated token-reward information. However, when the socially derived

information regarding token value was novel, children's behaviour was consistent with

59 proportional observation; paying attention to socially derived information and ignoring their

60 prior personal experience. By contrast, chimpanzees' token choice was governed by their own

prior experience only, with no effect of social demonstration on token choice, conforming to

62 proportional reservation. We also find evidence for individual- and group-level differences

63 behaviour in both species. Despite the difference in payoff strategies used, both chimpanzees and

64 children adopted beneficial traits when available. However, the strategies of the children are

expected to be the most beneficial in promoting flexible behaviour by enabling existing behaviours to be updated or replaced with new and often superior ones.

67

68

69

70

71 Keywords: Culture, cultural transmission bias, payoff bias, social learning, social learning

72 strategies.

#### 73 **1. Background**

Animal culture, defined as behaviour that is socially transmitted, has become the focus of a

considerable number of empirical and theoretical studies [1]. Various animals, including

cetaceans, primates, fish and birds, exhibit cultures, many of which result in inter-population

variation in behavioural repertoires [2]. When researchers began to consider the possibility of

culture in non-human animals, the principles of human culture were used as a benchmark. This
 extension of human cultural attributes to the study of other species has proved fruitful in

understanding how organisms negotiate their physical world, revealing important differences in

how humans and animals tend to learn from one another, but also some similarities. The broad

range of species that acquire information or skills by copying others, or learning from the by-

products of others' behaviour, suggests that social learning is a biological fundamental.

84 However, species differ in their propensity to use social information and in the social learning

processes they employ to acquire information from others [3-6]. Humans, in particular, show a

strong reliance on learning from others [6], whereas many animals use social information solely

in situations when collecting personal information is especially costly, obsolete or unreliable [5].

88 Considerable research effort has been devoted to identifying animal cultures, and to investigating

89 whether homologous mechanisms (e.g., imitation by copying actions) underpin human and other

animal cultures. Less well understood are the strategies animals adopt when they use social

91 information [7], this despite their role in influencing when and why traits propagate in

populations. Various strategies, termed 'social learning strategies' or 'cultural transmission

biases' [7, 8] have been proposed that can determine who individuals learn from, and when and

94 what to copy. For example, individuals may 'copy when personal information is outdated', 'copy

95 when uncertain' or 'copy knowledgeable individuals' [7, 9-10]. The importance of such cultural

transmission biases lies in the finding that indiscriminate copying is not always adaptive as it can

promote the uptake of maladaptive, unreliable or outdated information [7]. Thus, cultural

transmission biases improve fitness through introducing selectivity in when and who to copy,

and when to stick to personal information.

100 Selective use of social learning occurs in various animals, although much work is limited to the

101 investigation of model-based biases, particularly in primate species. Model-based biases are a

102 form of *indirect* bias [8], in that individual's decisions to copy are influenced by the

103 characteristics of others, rather than the to-be-copied trait itself. Children, for example,

preferentially attend to prestigious individuals [11], and copy adults [12], competent models

105 ([13], but see [14]), and accurate models [15], over peers, incompetent models and inaccurate

106 models respectively. Our evolutionary relatives, chimpanzees, have been shown to preferentially

attend to older individuals [16], and copy individuals who are dominant, successful, older, and

108 knowledgeable over less dominant, less successful and younger group members [17-18, although 109 see 19]. Chimpanzees also have attendance biases indicative of 'copy when uncertain' and 'copy

110 when of low rank' strategies [18].

111 In this paper, we turn our focus to *direct* biases, examining whether the likelihood of copying is

affected by trait payoffs. Payoff biased strategies may be particularly beneficial because the

113 likelihood of copying is related to a 'direct' assessment of the benefit of the observed trait or

behaviour (trait-payoff), rather than an indirect or model-based bias that can promote

maladaptive trait hitchhiking [8, 20]. The economist Karl Schlag defined three payoff biased

116 copying rules that can enable users to adopt fitness maximizing behaviours over repeated

- 117 learning events (see Table 1), namely: (i) Proportional Imitation (PI), where individuals copy the
- behaviour of another in proportion to how much better the demonstrator's payoff is than the
- 119 payoff for his/her own behaviour; (ii) Proportional Observation (PO), where individuals copy in
- proportion to the value of the demonstrator's payoff using socially acquired information only
- 121 (here the relative values to self and other are ignored, and copying is determined only according
- to the value a demonstrator gains for his/her behaviour); and (iii) Proportional Reservation (PR),
- also termed 'copy if dissatisfied', where individuals copy according to the value of their own
- behavioural payoff only [21, 22]. Note that despite its name, proportional *imitation* as defined by
- Schlag can be underpinned by *any* social learning process and is not restricted to copying of
- 126 motor patterns.
- 127 There is indication that some species copy according to one of these payoff contingencies
- 128 [although see 23]; nine-spined sticklebacks, capuchins, and humans alike have been shown to
- use a PO strategy, with copying dependent upon a demonstrator's payoffs [24-26]. Data for
- 130 chimpanzees are mixed, however. Some studies suggest chimpanzees rely more heavily on social
- information when coupled with higher paying rewards, compared to when payoffs to self and
- 132 other yielded equal rewards [27, see also 28]. However, chimpanzees also show an overarching
- tendency to persevere with known behavior [27] and rely heavily on social information, even
- 134 when sub-optimal [29]. More recently, chimpanzees have been found to copy efficient task
- solutions when prior inefficient solutions became difficult to perform, suggesting a form of
- 136 copying when dissatisfied as payoffs become less frequent [30].
- 137 Given that an extension of human models of cultural transmission has been successful in revealing important insights into the social lives of other species (e.g., identifying animal 138 culture), we tested whether Schlag's model of human cultural transmission may be relevant to 139 describing the behavior of chimpanzees. We compared their behaviour to 4- and 5-year old 140 children, who are adept social learners and copy selectively (using various model biases [6, 11-141 15]), yet are untested regarding payoff-biased copying. Both species were tested in either a 142 143 familiar group setting or individually, employing a variant of the token exchange paradigm [see 31], in which two token types are presented that can be exchanged for rewards. Tokens differed 144 in their outward appearance (contrasting shape and colour) and reward value (high or low value, 145 depending on condition). First we ran a priming experiment (Experiment 1) to establish whether 146 chimpanzees and children can use payoff-biased strategies following exposure to personal and 147 social token-reward information. Groups of chimpanzees and children first had an opportunity to 148 learn for themselves an association between a token, T<sub>personal</sub>, and its reward value (high or low). 149 This was followed by a social prime, exposing them to a trained conspecific demonstrating the 150 exchange of a different token, T<sub>social</sub>, and its reward value (high or low). The effect of these 151 primes was measured during the test (open-diffusion) phase, where both types of token were 152 available for exchange. In a second experiment we investigated payoff-based copying when use 153 of a novel token-type spreads through a population spontaneously, with no demonstrator 154 observation phase. We also ran asocial control conditions, where isolated individuals were not 155
- 156 exposed to a demonstrator.
- We varied  $T_{social}$  and  $T_{personal}$  rewards (high or low) across conditions to discriminate between heleview consistent with each of Schlag's rules (symmetrized in Table 1). As hymer adults
- behaviour consistent with each of Schlag's rules, (summarized in Table 1). As human adults
- 159 have been shown to use a PO strategy, we predicted that this strategy may also be evident in

early childhood. As chimpanzees display conservative tendencies toward known behaviours [6, 27], we predicted they would copy others only when dissatisfied with the payoff to self (PR).

- 162 [Insert Table 1 about here]
- 163

## 164 **2. Method**

#### 165 (a) Participants

Sixty-nine group-living chimpanzees at the NCCC in Texas (USA), participated ( $M_{age}$ =29.96 years; 40 females; group sizes range from 5 to 11): 45 in Experiment 1 (N = 6 groups; seeded with medium-high ranking trained models [29]); 19 in Experiment 2 (N = 3 groups); and 5 asocial controls (Table 2). Ninety children (aged 4- and 5-years; 54 females) participated and were tested in their primary schools (5 UK schools) in mixed-sex groups (N=7-10): 51 in Experiment 1 (N = 6 groups; seeded with female trained models); 30 in Experiment 2 (N=3 groups); and 0 asocial controls

172 groups); and 9 asocial controls.

173

[Insert Table 2 around here]

174

## 175 (b) Materials and Procedure

For chimpanzees, we used two types of polyvinyl chloride pipes as non-edible tokens for

exchange: black elbow pipes (1.9cm diameter, height 7.5cm) and yellow straight pipes (1.9cm

diameter, length 20cm). For children, we used pipe cleaners as tokens: black (full length, 28cm)
and white (folded in half, 14cm). Tokens were placed in two correspondingly-coloured and

spatially-segregated opaque token receptacles attached to the mesh of the enclosure

(chimpanzees) or placed on the floor (children). Which token colour represented the initially-

182 learned token reward and the side (left/right) on which they were presented was counterbalanced

- 183 across groups.
- 184

For any token exchanged, the experimenter delivered to the participant the corresponding reward (Table 2) Percentage contained in two areas contained of

(Table 2). Rewards were contained in two opaque containers and for chimpanzees consisted of

one carrot piece ('low' value) or four apple pieces ('high' value) (rewards approximately 2.5x

2.5x0.5cm). Rewards for children were a single, small coloured, circular sticker ('low') or four
 larger, circular, sparkley smiley face stickers ('high'). Three reward conditions, dictating the

ranger, circular, sparkiev sinitely face suckers (fingh). Three reward conditions, dictating the values of  $T_{personal}$  and  $T_{social}$ , were presented (Table 2):  $T_{personal-high}$  followed by  $T_{social-low}$ ,  $T_{personal-low}$ 

190 values of 1 personal and 1 social, were presented (1 able 2). 1 personal-high followed by 1

191  $I_{low}$  followed by  $T_{social-low}$ , and  $T_{personal-low}$  followed by  $T_{social-high}$ .

192 In both experiment 1 and 2, participants were either tested in groups (social treatment) or

individually (asocial control). Chimpanzees were tested in their large outdoor enclosures for the

194 group testing, while asocial controls were tested indoors. For children, the group testing was in a

- classroom with a teacher present and asocial controls were tested in a separate room or in the
- school corridor in view of a teacher.
- 197 For Experiment 1, testing occurred in three stages: (i) personal-experience phase, wherein
- 198 participants gained personal experience exchanging one token type ( $T_{personal}$  available only) with
- 199 the experimenter for reward; (ii) model observation phase, wherein groups observed a familiar
- 200 female (who participated in the personal experience stage) trained to exchange a novel token

- type  $(T_{social})$  (see also supplementary materials; note that the experimenter only exchanged tokens
- with the model during this phase); and (iii) open diffusion test phase, wherein both token types
- were available to all (30 of each type replenished before depletion). Experiment 2 followed the
- same procedure omitting the model observation phase. Asocial controls allowed assessment of
- whether social information influenced token selection, and were tested away from their group, in
- the key reward condition of  $T_{personal}$ -low followed by  $T_{social}$ -high.
- 207 Chimpanzees were exposed to 3-5 personal experience sessions (lasting 1-hour, Experiment 1
- and 2) and model observation (lasting 30 minutes, Experiment 1 only) sessions, until 60 percent
   of individuals exchanged 20 tokens or observed at least 10 model exchanges (or five sessions
- had occurred). Cutoff points avoided some participants obtaining extensive personal or social
- information, while others did not. Model observation sessions were shorter than the personal
- experience phase to (i) minimize the potential for participants employing a 'copy when personal
- information is outdated' strategy [32], and (ii) lessen the likelihood that individuals would copy
- the model's token preferences irrespective of token payoffs [29] (additional model exposure may
- strengthen biases towards copying dominant individuals). For the open diffusion phase six 1-
- hour sessions occurred (1 per day). Asocial controls received three personal experience sessions
- of 15-minutes and two 20-minute test sessions with both tokens available.
- Pilot tests with a group of nine children indicated the need to reduce test times to maintain
- motivation levels. The personal experience phase was 20 minutes long, followed by 10 minutes
- of model observation (Experiment 1 only), followed by 30 minutes of open diffusion with both
- tokens accessible (minimum two-hours between phases). Asocial controls sessions were 10
- 222 minutes long (five minutes personal experience and five minutes test).
- Groups were randomly assigned to experimental and reward conditions. Asocial controls were individuals that a teacher or care staff member indicated would work individually.

# 225 (c) Data Scoring and Reliability

- Exchanges, token type, exchanger identity, time of exchange and conspecifics attending to it (within 3m proximity and head orientated towards exchanger/experimenter) were recorded. An
- independent coder assessed a subset of the data (20 minutes per reward condition), recording
- token type exchanged per individual, with high agreement (Kappa coefficient: 0.84, p < 0.001).

# 230 (d) Statistical Analysis

- 231 Models were run using McElreath's Bayesian *rethinking* R package [33]. We constructed
- multilevel models and generated posterior estimates using *rstan* package's Hamiltonion Monte
- 233 Carlo. The response variable during the test (open diffusion) phase was either the binomially-
- distributed frequency of each token-type exchanged (T<sub>personal</sub> or T<sub>social</sub>) or the Poisson-distributed
- number of observations of  $T_{social}$  exchanges prior to each individual's first  $T_{social}$  exchange. We
- constructed a 'Schlag-rules' model which included the following predictor variables, each with
- an associated coefficient (slope),  $\beta$ : sex (male coded 1/female coded 0); the T<sub>personal</sub> reward state
- 238 (high coded 1/low coded 0); and the  $T_{social}$  reward state (high coded 1/low coded 0). The Schlag-
- rules model also include separate intercepts (with normally-distributed hyperparameters) for
- individuals (Experiment 1 and 2) and social groups (Experiment 1). Using the Watanabe-Akaike
- information criterion (WAIC) as a measure of out of sample deviance, we compared the Schlag-
- rules model against a null model, which only included the intercepts representing the multi-level

structure. While it is possible to include species as a predictor variable, we considered each 243

species separately to keep the models simple; consequently our comparison of species is based 244

245 on interpretation of within-species results rather than a direct statistical evaluation of species

difference. We quote the posterior mean, standard deviation and the highest posterior density 246

interval (89% HPDI) for relevant predictor variable coefficients,  $\beta$ , in units of log-odds (negative 247

and positive effects of the predictor variable in relation to the response variable lie either side of 248 249 zero).

#### 3. Results 250

253

#### (a) Experiment 1 251

Children 252 (i)

First we considered the frequency of each token-type exchanged (T<sub>personal</sub> or T<sub>social</sub>) in the test phase. The null model and the Schlag-rules model returned similar out-of-sample prediction 254 scores (Schlag-rules model WAIC weighting: 51%). However, the standard error for the 255 difference between the two WAIC scores was greater than their difference (dWAIC=0.1; 256 257 dSE=1.01), and given this uncertainty regarding which model was best, the Schlag-rules model warranted further investigation. There was no clear effect of sex ( $\beta_{sex}$  mean: -0.24; SD: 0.44; 258 HDPI: -0.98 to 0.43), a negative effect of the high over low  $T_{personal}$  condition ( $\beta_{personal}$  mean: -259 1.71; SD=1.20; HDPI: -3.48 to 0.17), and a positive effect of the high over low T<sub>social</sub> condition 260 ( $\beta_{social}$  mean: 2.36; SD=1.29; HDPI: 0.36 to 4.34). This suggests children's use of the PI strategy 261 (but see below) as individuals were most likely to use the demonstrated T<sub>social</sub> token if their 262 T<sub>personal</sub> reward was low and the demonstrated reward (T<sub>social</sub>) high (Fig 1(a)). In addition to the 263 positive and negative clustering of sampled  $\beta_{social}$  and  $\beta_{personal}$  values, respectively, as illustrated 264 in Fig. 1(a) there was a positive relationship between  $\beta_{personal}$  and  $\beta_{social}$  (correlation coefficient, r 265

= 0.46). This indicates that although 'on average' there was evidence consistent with PI, prior 266 exposure to either high reward token (T<sub>personal</sub> or T<sub>social</sub>) encouraged future use of the novel T<sub>social</sub> 267

token during test. 268

Next, we simulated out-of-sample individual behaviour by sampling from the posterior Schlag-269

rules model to illustrate the predicted effect of the posterior distributions in the model on 270

271 behaviour. As illustrated in Fig. 1 (b), there was a trend consistent with PI (also see Table 1)

272 and, as expected, there was no clear sex difference. The larger variance among (simulated)

individuals in Fig. 1 (c) versus (b) illustrates that there was a substantial effect of individual- and 273 group-level differences (independent intercepts) on variation in predicted behaviour. We note 274

that these two levels had a similar magnitude of effect on the simulated variation (e.g. the 275

276 standard deviations of their normal distributions were similar), suggesting a considerable

proportion of variation in token choice behaviour was attributable to individual and group 277

differences rather than the token-reward condition. 278

#### 279 [Insert Figure 1 around here]

As the T<sub>personal</sub> and T<sub>social</sub> rewards during priming were retained during the test phase, we cannot 280 rule out the possibility that our results were caused by asocial token-reward reinforcement during 281 282 the test. For example, an individual may simply have tried out both tokens and, as a result of

(asocial) reinforcement, shown a general preference for the highly rewarded T<sub>social</sub> token. To test 283

for an effect of social learning as opposed to asocial reinforcement, we compared asocial controls, who received a  $T_{personal}$ -low prime but no  $T_{social}$ -high prime in isolation, against

- individuals from the corresponding group condition who received a T<sub>social</sub>-high prime (controlling)
- for test time: social groups were tested for longer periods, thus it was necessary to cap their
- exchange time so they were equivalent to asocial controls test durations). An effect of the social
- priming over and above asocial reinforcement during the test phase would be evident if
   individuals in the social condition exchanged more of the T<sub>social</sub> tokens than asocial control
- individuals. We found that the null model, with only variation in intercepts among individuals,
- and the full model, which also included the two predictor variables, sex and asocial/social
- condition, performed equally well (full model WAIC weighting: 51%), but note the high
- uncertainty (dWAIC=0.1, dSE=0.39). For the full model, there was no clear effect of sex ( $\beta_{sex}$
- mean: -1.35; SD: 2.74; HDPI: -5.46 to 2.83), and weak evidence that individuals in the social
- condition were more likely to exchange  $T_{social}$  during the test phase than those in the asocial
- 297 condition ( $\beta_{asocial/social}$  mean: 1.59; SD: 2.25; HDPI: -1.80 to 5.29). We interpreted this as weak 298 evidence of a social influence over and above a possible effect of asocial reinforcement,
- 299 providing limited support for the original PI result established above.
- 300

## 301 *(ii) Chimpanzees*

Considering the frequency of each token-type exchanged (T<sub>personal</sub> or T<sub>social</sub>) as the response 302 variable, the null model and Schlag-rules model returned similar out-of-sample prediction scores 303 (Schlag-rules WAIC weighting: 40%) and the standard error for the difference between the two 304 WAIC scores was greater than their difference (dWAIC=0.8; dSE=1.89), indicating it would be 305 premature to dismiss the Schlag-rules model which revealed an effect of sex ( $\beta_{sex}$  `mean: -1.48; 306 SD: 0.66; HDPI: -2.51 to -0.48), such that females (coded zero) were more likely to exchange 307 T<sub>social</sub> than males (coded one). There was some evidence for a negative effect of the high over 308 low T<sub>personal</sub> priming condition (β<sub>personal</sub> mean: -1.65; SD=1.50; HDPI: -3.96 to 0.84), but no 309 evidence for an effect of the high over low  $T_{social}$  priming condition ( $\beta_{social}$  mean: 0.13; SD=1.51; 310 HDPI: -2.24 to 2.49; see Fig. 1(d)), consistent with chimpanzees using PR. Next, we sampled 311 from the posterior Schlag-rules model to simulate out-of-sample behaviour. As illustrated in Fig. 312 2(b) there was a trend consistent with PR and, on average, females, were more likely to exchange 313 T<sub>social</sub> than males. A comparison of Figure 1(e) and (f) illustrates that separate intercepts at the 314 individual and group levels had a considerable impact, of similar magnitude, on the variation in 315 the pattern. 316

- As for the children, we compared the social and asocial control conditions on the proportion of
- each token type exchanged during the test phase. The null model (individual intercepts only), and
- the full model (individual intercepts and slopes for sex and social/asocial condition), performed
- equally well (full model WAIC weighting: 53%) but with high uncertainty (dWAIC=0.2,
- dSE=0.65). For the full model, there was no clear effect of sex ( $\beta_{sex}$  mean: -1.18; SD: 2.66;
- HDPI: -4.85 to 3.04), and no clear evidence that those in the social learning condition were more
- 323 likely to exchange the T<sub>social</sub> token than asocial controls, as the standard deviation was high
- 324 (( $\beta_{asocial/social}$  mean: 1.73; SD: 2.08; HDPI: -1.52 to 4.83). This lack of response to the social

information is consistent with PR in which individuals were most likely to use the demonstrated  $T_{social}$  token if their  $T_{personal}$  reward was low in value.

327

### 328 (b) Experiment 2

329

330 By removing the social demonstration phase, this second experiment responded to the concern that in natural diffusions, cues may not be as salient as in experiment 1. Here, individuals only 331 had the opportunity to learn the T<sub>social</sub> reward association once they, or conspecifics, started using 332 it. Thus, if individuals learned a preference for T<sub>social</sub> late in the diffusion process, they would 333 have had less opportunity to preferentially exchange that token compared with those that adopted 334 that preference earlier on. Accordingly, we examined the number of T<sub>social</sub> reward exchanges 335 observed by an individual prior to their first T<sub>social</sub> exchange, which presumably is inversely 336 correlated with the probability of exchanging the T<sub>social</sub> token. Importantly, this variable was a 337 proxy for social influence that cannot be explained by asocial reinforcement learning during test 338 (as it is occured prior to token exchange). 339

- 340
- 341 *(i)* Children

342 The out-of-sample predictive value of the Schlag-rules model (WAIC weighting: 57%) did equally well as the null model, but with considerable uncertainty (dWAIC=0.6, dSE=3.3). When 343 interpreting the Schlag-rules model, we found no clear effect of sex ( $\beta_{sex}$  mean: -0.36; SD: 0.46; 344 HDPI: -1.10 to 0.34) or of the T<sub>personal</sub> prime ( $\beta_{personal}$  mean: -0.15; SD=0.49; HDPI: -0.98 to 345 0.56), and a negative effect of the high over low  $T_{social}$  reward ( $\beta_{social}$  mean: -0.99; SD=0.52; 346 347 HDPI: -1.80 to -0.13). This result indicated that individuals took fewer observations of  $T_{social}$ before exchanging T<sub>social</sub> tokens for themselves when T<sub>social</sub> returned a high reward compared to 348 low reward, consistent with PO. As in Experiment 1, we also observed a weak positive 349 correlation (r=0.36) between  $\beta_{personal}$  and  $\beta_{social}$  (see Fig 2(a)); either personal or social exposure 350 to a high reward encouraged observation of the novel stimulus during the test phase. 351

352

## 353 *(ii) Chimpanzees*

354 The out-of-sample predictive value of the Schlag-rules model (WAIC weighting: 28%) was less than the null model but with considerable uncertainty (dWAIC=1.8; dSE=1.43). Examining the 355 Schlag-rules model coefficients, we found no clear effect of sex ( $\beta_{sex}$  mean: -0.34; SD: 1.14; 356 HDPI: -2.02 to 1.48) or T<sub>personal</sub> priming (β<sub>personal</sub> mean: -0.12; SD=1.17; HDPI: -1.56 to 2.08), 357 and slight evidence for a positive effect of the high over low  $T_{social}$  value ( $\beta_{social}$  mean: 1.20; 358 SD=1.28; HDPI: -0.80 to 3.21). Keeping in mind the considerable uncertainty concerning the 359 latter result, it is possible that chimpanzees observed more T<sub>social</sub> exchanges before exchanging 360 their first T<sub>social</sub> token when T<sub>social</sub> returned a high over low reward. This may represent an 361 attentional bias towards high value food items. We also noted a positive correlation (r=0.52) 362 between  $\beta_{personal}$  and  $\beta_{social}$  (see Fig 2(b). 363

364
365 [Insert Figure 2 about here]
366
367 4. Discussion
368

We examined whether chimpanzees and 4- and 5-year old children strategically copied a novel 369 behaviour (token choice) depending on the difference in payoff between the individual's current 370 and new behaviour. The results provide some evidence that children are capable of PI when first 371 exposed to personal- followed by social-information, prior to test (Experiment 1). But if the 372 socially derived information was novel at the start of the test phase (Experiment 2), children 373 374 appeared to respond only to the reward value of that novel token, and were unaffected by prior personal information. This suggests children's use of a PO strategy, in which the probability of 375 376 copying a novel behaviour depends upon the socially demonstrated reward value only. By contrast, the chimpanzees showed no clear evidence of social learning and behaved according to 377 PR, relying on their prior information to guide token choice during test, although with some 378 evidence of attentional bias towards high rewarding conspecific exchanges (Experiment 2). As 379 380 there is clear evidence that chimpanzees can learn socially [4], our results emphasise that the

degree to which they are actually affected by social stimuli appears to be context dependent.

In experiment 1, we also find a sex difference in chimpanzees for the probability of switching to a new behaviour. Specifically, females, overall, exchanged more of the socially demonstrated token than did male chimpanzees. This may suggest females are less neophobic (or more exploratory) than males, and males may be more conservative than females, in persevering with a familiar learned behaviour.

Chimpanzees have recently been found to persevere with costly and inefficient task solutions 387 despite conspecific demonstrations of quick and easy alternatives [28] and only when inefficient 388 solutions become difficult to perform do chimpanzees generally adopt the socially demonstrated 389 efficient behavior [30]. One interpretation of these findings is that chimpanzees are inclined to 390 copy others when dissatisfied with the payoffs associated with the known behaviour, either as 391 they become less frequent [30], or are of low value (current study). This use of PR indicates that 392 conservative tendencies in chimpanzees [6, 27] may not always reflect difficulty in forgoing a 393 known solution per se, but rather, may reflect a lack of motivation to adopt new behaviours if a 394 known behavior is sufficiently rewarding. 395

Where payoffs to behaviours differ in magnitude or quality, individuals may be more or less 396 397 prone to explore the behaviors available to them. We saw this in both chimpanzees and children, who showed some inclination to exchange the novel token when either their personal or social 398 token yielded a high reward. This may suggest that the mere presence of high rewards affects 399 400 behaviour, leading to an exploration of the task parameter space (i.e., individuals explore the alternative options available to them). Social facilitation, in which the presence of other 401 individuals increases individual activity is well documented in animal species ([34], reviewed in 402 [35]) and has been proposed to lead indirectly to social learning as audience effects increase the 403 likelihood that individuals adopt exploratory behaviour due to reduced neophobia [35]. Capuchin 404 monkeys, for example, have been found to sample more of a novel food when in the presence of 405 other individuals, relative to solo control conditions [36]. Our results add to this by indicating 406 that social facilitation effects may also relate to the reward values involved. In particular, the 407

408 presence of preferred rewards may increase individual's exploratory behaviour, perhaps through

- the effect they have on arousal or motivation levels.
- 410 Our analyses reveal large individual- and group-level variation in both species, as evident from
- the effect of their intercepts in our out-of-sample predictions. Moreover, our results are specific
- to the developmental and cultural context of our participants. This indicates that further work is
- needed to identify what is affecting individual and cultural variation [37-38]. We note that while
- the uncertainty in our results warrants caution, it may be indicative of simultaneous use of
- 415 multiple strategies (e.g. [18, 24]). Indeed, the results of experiment 1 and 2 suggest that children
- use different payoff strategies according to context. Specifically, in a direct test of the Schlag
   rules (Experiment 1) we found evidence that children used PI. In contrast, when focusing on the
- amount of social information collected prior to adopting a behaviour (Experiment 2), the
- children's behaviour was consistent with PO. This indicates that multiple strategies can be used,
- 420 dependent upon the conditions individuals are exposed to.
- 421

## 422 (a) Conclusion: Are humans a good model for other animals?

The aim of our study, in line with the topic of this special issue, was to compare whether humans

- and chimpanzees used the same payoff bias. In the context of our experiment, employing very
   similar tasks across species, we found no indication of similarity in response despite
- 425 similar tasks across species, we found no indication of similarity in response despite
   426 chimpanzees constituting one of our closest living relatives. This may be taken as evidence that
- 426 chimpanzees constituting one of our closest nying relatives. This may be taken as evidence that
   427 human models of cultural transmission have very little use for our understanding of the social
- 428 lives of other species. However, with the goal of comparative and evolutionary psychology in
- 429 mind, it is only by comparing humans and other animals that we may glean important insights
- 430 into both similarities and differences between species. Without such comparisons, deciphering
- the evolutionary trajectory of psychological attributes is extremely difficult. Thus, the
- 432 interchange of information from those who work with animals and humans continues to play a
- 433 vital role in identifying shared traits, as well as specialisms that distinguish species.

## 434 Ethics

- 435 Chimpanzee participants were housed in enriched indoor-outdoor enclosures, with climbing
- 436 facilities, at the National Center for Chimpanzee Care (NCCC) in Texas, USA (AAALAC-I
- 437 accredited). Chimpanzees participated voluntarily, and were never food or water deprived in this
- 438 study approved by the Animal Welfare Ethical Review Board, Durham University and the
- 439 Institutional Animal Care and Use Committee of The University of Texas. Children participated
- voluntarily, informed consent was provided by their parents/guardians and ethical approval
- 441 (conforming to the British Psychological Society guidelines) was given by the Anthropology
- 442 Department's Ethics Committee, Durham University.

## 443 Data Accessibility

- All raw data are available in the electronic supplementary materials.
- 445 Authors' contributions
- 446 G.L.V., R.L.K., J.K, E.G.F. and L.M.H. designed the study; G.L.V, and B.R. carried out the
- experiments and J.K, G.L.V, R.L.K. and B.R. conducted the statistical analyses. All authors
- 448 contributed to writing the paper.

#### 449 **Competing interests**

450 We have no completing interests.

### 451 Funding

- 452 During data collection, G.L.V. was funded by a Durham University health and social science
- 453 interdisciplinary studentship; R.L.K. by a Royal Society Dorothy Hodgkin Fellowship, and
- 454 R.L.K. and E.G.F. by a Durham University Seedcorn Fund; S.J.S. and S.P.L. by an NIH grant
- (RR-15090); and L.M.H. by a NSF CAREER grant (SES 0847351), awarded to Sarah F.
- Brosnan. During writing E.G.F. was supported by ESRC grant (ES/J021385/1); S.J.S. and S.P.L.
- by NIH Cooperative Agreement (U42 OD-011197); B.R. by an ESRC studentship (1449189);
- and L.M.H. by the Leo S. Guthman Fund.

## 459 Acknowledgements

- 460 We are grateful to all the children who participated and the schools and nurseries who allowed us
- to work with them and the staff at the NCCC. Thanks to Josep Call, Alex Mesoudi, Jo Setchell,
- 462 Russell Hill, Primatology Group, and two anonymous reviewers for their insightful comments on
- 463 earlier versions of this manuscript.
- 464

## 465 **References**

- 1. Galef Jr BG., Whiten A. 2017 The comparative psychology of social learning. In APA
- *handbook of comparative psychology*, (ed J Call), pp. 411-440. Washington: American
- 468 Psychological Association
- 469 2. Vale GL, Dean LG, Whiten A. In press Culture in nonhuman animals. In *Wiley international*470 *Encyclopedia of Anthropology* (ed H. Callan). New York: Wiley-Blackwell.
- 3. Galef Jr BG, Laland KN. 2005 Social learning in animals: empirical studies and theoretical
  models. *Bioscience*, 55, 489-499.
- 473 4. Horner, V., & Whiten, A. 2005 Causal knowledge and imitation/emulation switching in
- 474 chimpanzees (*Pan troglodytes*) and children (*Homo sapiens*). *Anim. Cogn.* 8, 164-181. (doi:
  475 10.1007/s10071-004-0239-6)
- 5. Rieucau G, Giraldeau LA. 2011 Exploring the costs and benefits of social information use: an
  appraisal of current experimental evidence. *Phil. Trans. R. Soc. B.* 366, 949-957.
- 478 6. Van Leeuwen EJ, Call J, Haun DB. 2014 Human children rely more on social information
  479 than chimpanzees do. *Biol. Lett.* 10, 20140487.
- 480 7. Laland KN. 2004 Social learning strategies. *Learn. Behav.* **32**, 4-14.
- 8. Boyd R, Richerson PJ. 1985 *Culture and the evolutionary process* Chicago: University of
  Chicago Press.

- 483 9. Rendell L, Fogarty L, Hoppitt WJE, Morgan TJH, Webster MM, Laland KN. 2011 Cognitive
- 484 culture: theoretical and empirical insights into social learning strategies. *Trends Cogn. Sci.* 15,
  485 68-76.
- 10. Kendal RL, Coolen I, van Bergen Y, Laland KN. 2005 Trade-offs in the adaptive use of
- social and asocial learning. In *Advances in the Study of Behavior* (ed PJB Slater), pp. 333-379.
  Academic Press.
- 489 11. Chudek M, Heller S, Birch S, Henrich J. 2012 Prestige-biased cultural learning: bystander's
   490 differential attention to potential models influences children's learning. *Evol. Hum. Behav* 33, 46-
- 491 56.
- 492 12. Wood LA, Kendal RL, Flynn EG. 2012 Context-dependent model-based biases in cultural
  493 transmission: children's imitation is affected by model age over model knowledge state. *Evol.*494 *Hum. Behav.* 33, 387-394.
- 13. Burdett ER, Lucas AJ, Buchsbaum D, McGuigan N, Wood LA, Whiten A. 2016 Do children
- 496 copy an expert or a majority? Examining selective learning in instrumental and normative
- 497 contexts. *PLoS One*, **11**, p.e0164698.
- 14. Turner C, Giraldeau LA, Flynn E. 2017 How does the reliability of a model affect children's
  choice to learn socially or individually? *Evol. Hum. Behav.* 38, 341-349.
- 15. Birch SA, Vauthier SA, Bloom P. 2008. Three- and four-year-olds spontaneously use others'
   past performance to guide their learning. *Cognition* 107, 1018-1034.
- 502 16. Biro D, Inoue-Nakamura N, Tonooka R, Yamakoshi G, Sousa C, Matsuzawa T. 2003
  503 Cultural innovation and transmission of tool use in wild chimpanzees: evidence from field
  504 experiments. *Anim. Cogn.* 6, 213-223.
- 17. Horner V, Proctor D, Bonnie KE, Whiten A, de Waal FBM. 2010 Prestige affects cultural
  learning in chimpanzees. *PLoS ONE*, 5. doi: e1062510.1371/journal.pone.0010625
- 507 18. Kendal RL, Hopper LM., Whiten A, Brosnan SF, Lambeth SP, Schapiro SJ, Hoppitt W.
- 2015. Chimpanzees copy dominant and knowledgeable individuals: implications for cultural
   diversity. *Evol. Hum. Behav.* 36, 65-72.
- 510 19. Watson SK, Reamer LA, Mareno MC, Vale G, Harrison RA, Lambeth SP, Schapiro SJ,
- 511 Whiten A. 2017 Socially transmitted diffusion of a novel behavior from subordinate
- chimpanzees. Am. J. Primatol. 9999, e22642.
- 513 20. Mesoudi A, O'Brien MJ. 2008 The cultural transmission of great basin projectile-point
- technology I: an experimental simulation. *Am. Antiq.* **73**, 3-28.
- 515 21. Schlag KH. 1998. Why imitate, and if so, how? A boundedly rational approach to multi-
- 516 armed bandits. J. Econ. Theory. **78**, 130-156.
- 517 22. Schlag KH. 1999 Which one should I imitate? J. Math. Econ. **31**, 493-522.

- 518 23. Aplin, L.M., Sheldon, B.C. and McElreath, R. 2017. Conformity does not perpetuate
- suboptimal traditions in a wild population of songbirds. *Proc. Natl. Acad. Sci. U.S.A.* 114, 78307837.
- 521 24. Morgan TJH, Rendell LE, Ehn M, Hoppitt W, Laland KN. 2011. The evolutionary basis of
  522 human social learning. *Proc. R. Soc. B.* DOI: 10.1098/rspb.2011.1172
- 523 25. Kendal JR, Rendell L, Pike TW, Laland KN. 2009 Nine-spined sticklebacks deploy a hill-524 climbing social learning strategy. *Behav. Ecol.* **20**, 238-244.
- 26. Barrett BJ, McElreath RL, Perry SE. 2017. Pay-off biased social learning underlies the
  diffusion of novel extractive foraging traditions in a wild primate. *Proc. R. Soc. B.* 284:
  20170358.
- 528 27. Van Leeuwen, E.J. and Call, J., 2016. Conservatism and "copy-if-better" in chimpanzees 529 (Pan troglodytes). *Anim. Cogn.*, **20**, 575-579.
- 28. Van Leeuwen EJ, Cronin KA, Schütte S, Call J, Haun DB. 2013 Chimpanzees (*Pan*
- *troglodytes*) flexibly adjust their behaviour in order to maximize payoffs, not to conform to
   majorities. *PLoS One* 8, p.e80945.
- 29. Hopper LM, Schapiro SJ, Lambeth SP, Brosnan SF. 2011 Chimpanzees' socially maintained
  food preferences indicate both conservatism and conformity. *Anim. Behav.* 81, 1195-1202.
- 30. Davis SJ, Vale GL, Schapiro SJ, Lambeth SP, Whiten A. 2016. Foundations of cumulative
  culture in apes: improved foraging efficiency through relinquishing and combining witnessed
  behaviours in chimpanzees (*Pan troglodytes*). Sci. Rep. 6, 35953.
- 538
- 31. Brosnan SF. 2011 A hypothesis of the co-evolution of cooperation and responses to inequity. *Front. Neurosci.* 5, 43.
- 32. van Bergen Y, Coolen I, Laland KN. 2004 Nine-spined sticklebacks exploit the most reliable
  source when public and private information conflict. *Proc. R. Soc. B.* 271, 957-962.
- 543 33. McElreath SR. 2017 *Statistical Rethinking: A Bayesian Course with Examples in R.* London:
  544 Taylor & Francis Group.
- 545
- 546 34. Zajonc, RB. 1965 Social facilitation. *Science*, **149**, 269-274.
- 547 548
- 549 35. Hoppitt, W. and Laland, KN. 2008 Social processes influencing learning in animals: a
- review of the evidence. *Adv. Study Behav.*, **38**, 105-165.
- 551
- 36. Visalberghi, E., and Adessi, E. 2000 Seeing group members eating a familiar food enhances
  the acceptance of novel foods in capuchin monkeys. *Anim. Behav.* 60, 69–76.

- 555 37. Mesoudi, A., Chang, L., Dall, S.X. and Thornton, A. 2016 The evolution of individual and 556 cultural variation in social learning. *Trends Ecol. Evolut.* **31**, 215-225.
- 557 38. Leavens, DA., Bard, KA. and Hopkins, WD. 2017. The mismeasure of ape social
- 558 cognition. Anim. Cogn., 1-18.

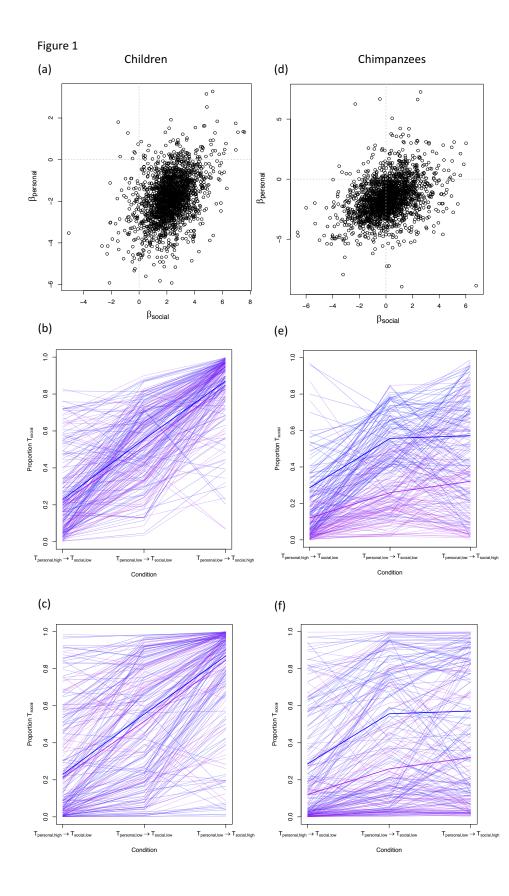
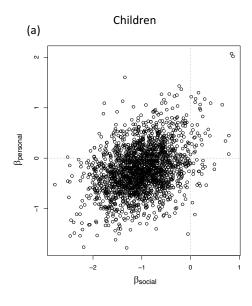
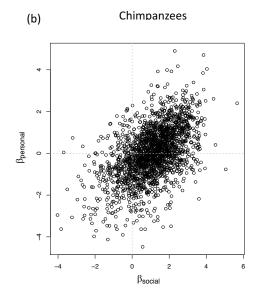


Figure 1: Parts a-c relate to children, while parts d-f relate to chimpanzees. Parts (a) and (d) show samples from the posterior distribution of coefficient values associated with the personal and social predictor variables. The cloud of points is consistent with the  $\beta$  mean, SD and HDPI values reported in the text. The cross-hairs distinguish positive and negative values. Parts b-c and c-d show the predicted proportion of  $T_{\text{social}}$  exchanges during the test phase by simulated individuals whose behaviour is generated by sampling from the posterior distribution of the Schlag-rules model. In parts (b) and (e) each individual simulation samples from the posterior distribution for an average intercept. By contrast, in parts (c) and (f), each individual simulation samples from the posterior distribution for an average intercept and in addition, from the posterior variation in individual- and group-level intercepts. Blue lines represent females and purple lines males. The thick lines show the behaviour of an average simulated individual. For each simulated individual, sampled parameter values are held constant across the three conditions indicated on the horizontal axis. 



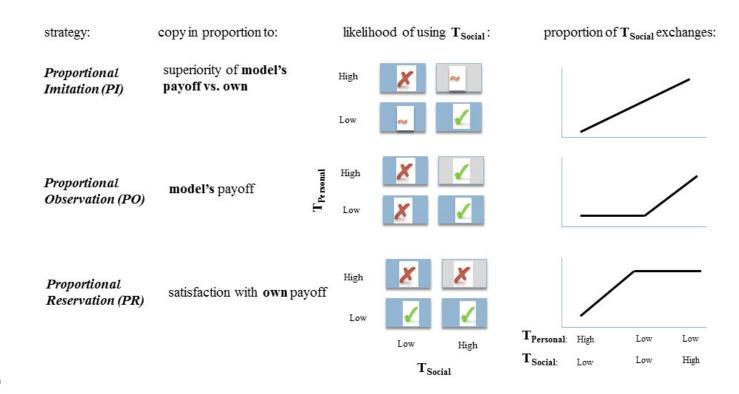




## from the posterior distribution of coefficient values associated with the personal and social predictor

variables in (a) children, and (b) chimpanzees. The cross-hairs distinguish positive and negative values.

- **Table 1**: Predicted likelihood of use of the socially demonstrated token (T<sub>Social</sub>) were individuals
- to behave according to each of Schlag's three payoff-biased rules ( $\sqrt{=}$  Likely, X = Unlikely, ~=
- random). The grey shaded box indicates an omitted condition to minimize required participants.
- Expressed on the far right are predictions according to the proportion of exchanges of  $T_{social}$
- expected in each of the three reward conditions.





- 0.0

 
 Table 2:
 The number of individuals that participated in each reward condition according to the
 value (high/low) of the T<sub>personal</sub> and T<sub>social</sub> token, in Experiment 1 (2 groups) and Experiment 2 (1 group) for children (a) and chimpanzees (b). 

