

1                   **Sex differences in longitudinal personality stability in**  
2   **chimpanzees**

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18 **Abstract**

19 Personality factors analogous to the Big Five observed in humans are present in the great  
20 apes. However, few studies have examined the long-term stability of great ape personality,  
21 particularly using factor-based personality instruments. Here, we assessed overall group, and  
22 individual-level, stability of chimpanzee personality by collecting ratings for chimpanzees ( $N$   
23 = 50) and comparing them to ratings collected approximately 10 years previously, using the  
24 same personality scale. The overall mean scores of three of the six factors differed across the  
25 two time points. Sex differences in personality were also observed, with overall sex  
26 differences found for three traits, and males and females showing different trajectories for  
27 two further traits over the 10-year period. Regardless of sex, rank-order stability analysis  
28 revealed strong stability for dominance; individuals who were dominant at the first time point  
29 were also dominant 10 years later. The other personality factors exhibited poor to moderate  
30 rank-order stability indicating that individuals were variable in their rank-position  
31 consistency over time. As many studies assessing chimpanzee cognition rely on personality  
32 data collected several years prior to testing, these data highlight the importance of collecting  
33 current personality data when correlating them with cognitive performance.

34

35 **Key words:** chimpanzees; personality, longitudinal; sex-differences

## 36 **Introduction**

37 The turn of this century saw an unprecedented interest in nonhuman animal (hereafter  
38 animal) personality. Numerous animal species are now known to display consistent  
39 individual variation in behaviour across time and contexts. This individual variation is known  
40 to have a wide-ranging impact on nonhuman animals, including on measures of fitness and  
41 welfare (Dall, Houston, & McNamara, 2004; Dingemanse & Wolf, 2010; Gosling, 2001;  
42 McCowan, Rollins, & Griffith, 2014)<sup>1</sup>) and cognition (Lermite, Peneaux, & Griffin, 2016).

43

44 Understanding animal personality augments our knowledge of the origins of human  
45 personality, and comparative studies of personality help us understand development in human  
46 personality by providing non-humancentric perspectives (Weiss, Inoue-Murayama, King,  
47 Adams, & Matsuzawa, 2012). Empirical studies examining the comparability of animal and  
48 human personality afford insights about the evolutionary trajectory of specific personality  
49 traits, as cross-species similarities likely indicate evolutionarily preserved dispositions  
50 (Gosling, 2001). Chimpanzees' phylogenetic proximity to humans make them a particularly  
51 valuable study species in this context, and factor-based instruments similar to those applied to  
52 humans have convincingly been applied to chimpanzees. Such studies established that  
53 chimpanzees (and bonobos) display personality differences in traits analogous to the 'Big  
54 Five', which incorporates agreeableness, conscientiousness, extraversion, neuroticism and  
55 openness to experience (Freeman et al., 2013; King & Figueredo, 1997; Weiss et al., 2012,  
56 2015). Moreover, ratings on these factor-based instruments predict individual differences in  
57 great ape cognition (Altschul, Wallace, Sonnweber, Tomonaga, & Weiss, 2017; Hopper et  
58 al., 2014), long-term survival (Altschul et al., 2018) and even brain structure (Latzman,  
59 Hecht, Freeman, Schapiro, & Hopkins, 2015), providing further validation of their use.

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61 Despite the recent interest in animal personality, one topic that remains understudied -  
62 particularly in great apes - is that of personality stability over substantial time periods.  
63 Understanding whether personality remains consistent across the lifespan of great apes allows  
64 researchers to document species-specific personality maturation, and to make comparisons  
65 with the development and stability of human personality. Cross-sectional studies of great  
66 apes reveal that in chimpanzees, bonobos and gorillas, older individuals are rated as less  
67 extraverted than younger individuals (King, Weiss, & Sisco, 2008; Kuhar, Stoinski, Lukas, &  
68 Maple, 2006; Staes, Eens, Weiss, & Stevens, 2016; Weiss & King, 2015) – patterns broadly  
69 comparable with studies of human personality changes over time (Roberts, Walton, &  
70 Viechtbauer, 2006; Srivastava, John, Gosling, & Potter, 2003). Likewise, as with humans,  
71 older chimpanzees and bonobos show increased agreeableness (Dutton, 2008; King et al.,  
72 2008; Staes et al., 2016; Weiss & King, 2015) and conscientiousness, and decreased  
73 neuroticism (King et al., 2008) compared to younger individuals.  
74  
75 Humans and chimpanzees also show some overlap regarding sex differences in age-related  
76 variations in personality factors. For instance, in humans (Srivastava et al., 2003; Weisberg,  
77 Deyoung, & Hirsh, 2011) and chimpanzees (King et al., 2008; Weiss & King, 2015), females  
78 score higher than males on ratings of agreeableness, and show stronger age-related increases  
79 in agreeableness than males. Sex differences in personality are thought to reflect differences  
80 in sexual selection (Schmitt, Realo, Voracek, & Allik, 2008) and social factors or life events,  
81 such as status competition and cooperation (de Waal, 2000; King et al., 2008; Srivastava et  
82 al., 2003), as well as sex differences in human cultural norms and social inequality (Brandt &  
83 Henry, 2012; Wood & Eagly, 2002). Hence, while further research is needed, the above data  
84 suggest some personality factors reflect evolutionary continuity between humans and  
85 chimpanzees (Weiss & King, 2015).

86

87 Few studies have taken a longitudinal approach to measure great ape personality, particularly  
88 those using factor-based instruments analogous to the human Big Five. In a recent study, 24  
89 chimpanzees from Gombe were rated on the Hominoid Personality Questionnaire (HPQ); a  
90 nonhuman primate-adapted version of the Big Five, plus dominance. These ratings were  
91 compared to ratings taken almost 40 years earlier with the same chimpanzees on the  
92 Emotions Profile Index (EPI) (Weiss et al., 2017). Several dimensions were significantly  
93 correlated across the two instruments and time periods. For instance, EPI ratings of trustful,  
94 aggressive and gregarious were significantly positively correlated with HPQ ratings of  
95 agreeableness, neuroticism and extraversion, respectively, while timid and depressed (EPI)  
96 were negatively correlated with openness and agreeableness (HPQ), respectively. These  
97 correlations suggest convergent validity between different measures and may indicate that  
98 some traits, such as aggressiveness and gregariousness, remained stable over time. However,  
99 it is difficult to directly assess the stability of personality traits using instruments based on  
100 different ratings systems, and this may explain why some expected correlations were not  
101 manifest (e.g., a negative correlation between distrustful and agreeableness), and some  
102 unexpected correlations appeared (e.g. between gregariousness and agreeableness).

103

104 Among captive chimpanzees, Dutton (2008) found that correlations were strong for  
105 individual traits over a three-year period for 23 chimpanzees, but for some traits (persistent,  
106 adaptable, avoids aggression, moody, socially withdrawn and fearful) stability was  
107 comparatively weak. Similarly, King et al. (2008) rated 51 chimpanzees over a mean interval  
108 of 6.8 years on an instrument containing the Big Five plus dominance, finding relative  
109 stability over the intervals, with some evidence that conscientiousness and extraversion  
110 decreased over time. As with Dutton (2008), males exhibited a stronger increase in

111 dominance over the study period, though females showed a stronger increase in  
112 agreeableness than males. The mixed findings and methods outlined above from longitudinal  
113 research means drawing firm conclusions, for comparison with cross-sectional data, remains  
114 difficult.

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116 When considering behavioural measures of personality (rather than ratings), chimpanzees  
117 appear to show stability over short, intermediate and longer time points. For instance,  
118 chimpanzees displayed temporal consistencies over two-week (Uher, Asendorpf, & Call,  
119 2008) and three-year (Massen, Antonides, Arnold, Bionda, & Koski, 2013) periods, for  
120 various experimentally induced situations (e.g., approaching novel stimuli or foods, reactions  
121 to humans, problem solving, tool use behaviours). Similarly, over a six- to eight-year period,  
122 individual differences in post-conflict consolation behaviours of captive chimpanzees  
123 remained moderately consistent (Webb, Romero, Franks, & de Waal, 2017). Further work is  
124 required, however, assessing behavioural stability over longer time points to verify these  
125 findings.

126

127 Another important reason for establishing personality consistency in animals is to assess the  
128 reliability of using previously collected personality data when testing for relationships  
129 between personality and other variables. Personality data across a range of animal species has  
130 been applied to study topics including disease immunity (Capitanio, 2011; Koolhaas, 2008;  
131 Wallis, Szabó, Erdélyi-Belle, & Kubinyi, 2018), welfare and conservation (Boissy & Erhard,  
132 2014; Gartner & Weiss, 2018) and sociality (Koski, 2011; Massen & Koski, 2014; Planas-  
133 Sitjà, Nicolis, Sempo, & Deneubourg, 2018; von Merten, Zwolak, & Rychlik, 2017).  
134 Recently, there has been particular focus on examining whether animal personality predicts  
135 cognitive performance (for a review, see Dougherty & Guillette, 2018). Great ape studies,

136 using personality data collected (often several) years prior to measurement of the cognitive  
137 performance variable, have reported a relationship between personality and participation on  
138 cognitive touchscreen tasks (Altschul et al., 2017; Herrelko, Vick, & Buchanan-Smith, 2012),  
139 response to inequity (Brosnan et al., 2015), puzzle-box interaction success (Hopper et al.,  
140 2014) and interaction/success with tools and tool-use tasks (Massen et al., 2013). Although  
141 these studies highlight the importance of considering personality when drawing conclusions  
142 from cognitive experiments in general (Altschul et al., 2017; Morton, Lee, & Buchanan-  
143 Smith, 2013), it is apparent that the original personality data may not be representative of the  
144 individuals at the time of cognitive investigation.

145

146 The present study is a longitudinal assessment of stability of personality in a population of  
147 captive chimpanzees. The personality instrument used in the current study measured six  
148 personality factors based on the Big Five: agreeableness (being considerate, consoling and  
149 protective), dominance (being bold, agonistic and dominant), extraversion (being active,  
150 playful, affiliative and sociable), methodical (being goal-orientated and self-caring), openness  
151 (being curious, inventive, exploratory and intelligent) and reactivity/undependability (being  
152 manipulative, jealous, temperamental and impulsive). These are the same chimpanzees and  
153 the same personality instrument that have been examined in previous studies of the  
154 relationship between personality and cognitive behaviours (Brosnan et al., 2015; Hopper et  
155 al., 2014). Further, the chimpanzees in question are known to exhibit consistent individual  
156 differences in social learning behaviours over an overlapping 12-year period (Watson et al.,  
157 2018).

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159 The four broad aims of this study were to: 1) provide further longitudinal data to increase  
160 knowledge, regarding great ape personality stability over time, particularly assessing factors

161 analogous to the Big Five. 2) Grant insights into how factors change over time among males  
162 and females, and how this compares to humans. 3) Produce richer insights into chimpanzee  
163 personality using a variety of methodical approaches to assess long-term stability. 4) Assess  
164 the suitability of drawing conclusions informed by personality data collected several years  
165 prior to cognitive testing. Based on previous studies of great apes' personality stability, we  
166 considered two main hypotheses. First, we hypothesised that personality traits would show  
167 changes over time, predicting that chimpanzees would be rated as more dominant, and less  
168 extraverted, on the later assessment than on the first (King et al., 2008; Weiss & King, 2015;  
169 Weiss, King, & Murray, 2011). Second, there would be sex differences in overall ratings and  
170 the trajectory of personality traits, predicting that a) males would be rated as more dominant  
171 and more extraverted than females (King et al., 2008; Weiss & King, 2015), and b) females  
172 would be rated as higher in openness and agreeableness than males (Weisberg et al., 2011)  
173 and c) would show an increase in agreeableness over the time period, while males would not  
174 (King et al., 2008; Weiss & King, 2015).

175

## 176 **Methods**

### 177 *Subjects*

178 We studied 50 chimpanzees (25 males) housed in multiple social groups at the National  
179 Center for Chimpanzee Care (NCCC), Bastrop, Texas. Most chimpanzees were captive-born  
180 and mother-reared and housed at the facility for the entire 10-year study period. The  
181 chimpanzees' personality was rated at two separate time points. First (T1), between April  
182 2006-December 2008 (Freeman et al., 2013) when all participants had been housed at the  
183 facility for several years, and second (T2) between September 2015-December 2016. At the  
184 start of T1 (April 2006), chimpanzees ranged from 5.09 to 39.27 years old ( $M = 18.45$  years,  
185  $SD = 7.50$ ), and at the start of T2 (September 2015), the chimpanzees ranged from 14.51 to



186 50.70 years old ( $M = 28.12$  years,  $SD = 8.04$ ). The breakdown of mean age by sexes is as  
187 follows: T1: males  $M = 18.00$  ( $SD = 7.39$ ), females  $M = 18.89$  ( $SD = 7.72$ ), T2: males  $M =$   
188  $27.42$  ( $SD = 7.39$ ), females  $M = 28.82$  ( $SD = 8.73$ ).

189  
190 During the approximately 10-year period between T1 and T2, some subjects traversed age  
191 categories (see Supplementary Information, SI 1.1). Specifically, at T1, four individuals were  
192 classed as juveniles, 20 as adolescents and 26 as adults (in all categories the number of males  
193 and females were exactly evenly split). At T2, all subjects were classified as adults (i.e. 16  
194 years or older). Further, all subjects experienced changes in group dynamics (either new  
195 members added, existing members moved to other groups or deceased, and/or a combination  
196 of these). At T1, the sizes of the study groups ranged from 3 to 14 subjects ( $M = 6.33$ ,  $SD =$   
197  $3.00$ ), while at T2, group sizes ranged from 8 to 10 subjects ( $M = 8.33$ ,  $SD = 0.82$ ). At T2,  
198 subjects were housed with a mean of 4.48 group members that differed from T1 ( $SD = 2.06$ ,  
199 range = 1-8 different members) and with a mean of 4.55 same group members as T1 ( $SD =$   
200  $3.08$ , range = 0-9 same members). At T1, chimpanzees came from nine groups, and made up  
201 an average of 48% of each group (range = 13-90%). AT T2, chimpanzees came from six  
202 groups and all members of all groups are included (i.e., the study sample was all members of  
203 each of the six groups).

204

## 205 *Materials and Procedure*

### 206 *Personality Instrument*

207 Chimpanzees were rated by human carestaff on a 40-item, seven-point Likert scale  
208 questionnaire developed by Freeman et al. (2013). The questionnaire measured six overall  
209 traits; agreeableness, dominance, extraversion, methodical, openness and  
210 reactivity/undependability. The scale was generated from data collected on the NCCC

211 chimpanzees across a two-stage process between April 2006 and December 2008 (T1). First,  
212 a broad corpus of descriptors was produced, based on chimpanzee ethograms, previous  
213 research and expert knowledge. Next, to minimise redundancy, three experts selected 41 of  
214 the items to comprise the final scale (Table 1). The trait ‘predictable’ was initially included in  
215 the instrument but was subsequently removed due to low reliability, leaving 40 items  
216 (Freeman et al., 2013). The six factors obtained through principle component analysis were  
217 then validated (at T1) with independently collected behavioural measurements (Freeman et  
218 al., 2013). For instance, extraversion was positively correlated with contact aggression,  
219 sexual behavior, begging, and play, while dominance was positively correlated with  
220 aggressive and displaying behaviours and negatively correlated with submissive behaviors.  
221 Agreeableness positively correlated with affiliation and negatively correlated with displace  
222 and solicit. Methodical negatively correlated with intervene, reactivity/undependability was  
223 positively associated with aggressive behaviors such as display, intervene, and sexual  
224 behavior, and was negatively associated with post-conflict affiliation. Finally, openness  
225 positively correlated with submissive and playful, and negatively correlated with proximity  
226 and social groom (for full details of the behavioural validation process, see Freeman et al.  
227 2013). AT T2, ratings were collated and compared to the ratings collected on the same 40  
228 item instrument approximately 10 years previously. The six factors based on Table 1 were  
229 obtained by using a process in which only the items that loaded most heavily on a particular  
230 factor were counted towards that factor (Brosnan et al., 2015; Hopper, Cronin, & Ross, 2018;  
231 Hopper et al., 2014; Reamer et al., 2014). For instance, inventive loaded most heavily on to  
232 openness, and active loaded most heavily on to extraversion and so on (for all trait-factor  
233 loadings from T1, see SI 2.2).

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*Insert Table 1 about here*

Agreeableness	Dominance	Extraversion	Methodical	Openness	Reactivity/ Undependability
Considerate	Anxious (-)	Active	Methodical	Affectionate/Friendly	Aggressive
Protective	Bold	Affiliative	Self-Caring	Human Orientated	Autistic
	Cautious (-)	Depressed (-)		Inquisitive/Curious	Bullying
	Dependent (-)	Playful		Intelligent	Calm (-)
	Dominant	Sexual		Inventive	Deceptive
	Fearful (-)	Solitary (-)		Persistent	Defiant
	Relaxed				Eccentric
	Timid (-)				Excitable
					Impulsive
					Irritable
					Jealous
					Manipulative
					Mischievous
					Socially-inept
					Stingy
					Temperamental/Moody

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**Table 1:** The six personality factors with their corresponding traits, based on highest trait loadings from Freeman et al. (2013). (-) denotes negative loadings such that these traits negatively correlated with their factors, e.g., the trait 'anxious' negatively correlated with the factor Dominance. The trait 'predictable' was initially included in the instrument but was subsequently removed from the due to low reliability (Freeman et al., 2013).

These six factors (agreeableness, dominance, extraversion, methodical, openness and reactivity/undependability) are largely comparable to the Human Big Five (agreeableness, conscientiousness, extraversion, openness to experience and neuroticism). In human research, agreeableness captures being kind, considerate and prosocial, extraversion captures being active, social and assertive, openness to experience captures being creative, curious and exploratory while neuroticism captures being emotionally unstable, temperamental and irritable. These human based factors show strong overlap with the factors agreeableness, extraversion, openness and reactivity/undependability used in this study. In human research, conscientiousness denotes being goal-orientated, organized and plan, which shows some overlap with methodical. Dominance is not typically found on measures of human

255 personality, but captures a combination of extraversion (low caution, bold, assertive), and  
256 low neuroticism (low fear and anxiety).

257

### 258 *Personality Ratings*

259 Ratings for T1 and T2 were collected during weekly staff meetings. Raters were either care-  
260 staff or supervisory staff, all of whom had worked daily with the chimpanzees for at least six  
261 months. At T1, the 17 raters had worked with the chimpanzees for 6 months-21 years, and  
262 rated 8 to 10 chimpanzees each week as part of a study investigating personality in a larger  
263 number of the NCCC chimpanzees (Freeman et al., 2013). At T2, the 8 raters had worked  
264 with the chimpanzees for 6 months-19 years and rated 3-5 chimpanzees each week. Four  
265 raters were present at both T1 and T2, providing some consistency in raters across time  
266 points. All raters at T1 and T2 rated all chimpanzees in this study. Raters were instructed to  
267 rate chimpanzees based on their overall experience of a chimpanzees' typical behaviours and  
268 interactions, rather than specific and/or recent experiences, and were explicitly instructed not  
269 to discuss ratings with each other (see SI 1.2 for the questionnaire used).

270

271 There are two main approaches to measure personality consistency over time. Group-level  
272 stability measures the extent to which populations of individuals change over time on  
273 personality dimensions. In contrast, rank-order stability reflects the extent to which groups  
274 (in this case the entire study population) of individuals maintain similar rank ordering (i.e.  
275 ordinal positions) on personality dimensions over time. To assess personality stability at the  
276 global and individual-levels, we examined both the mean and individual-level stability.

277

278 *Statistical analysis*

279 We first report the reliability of ratings for T1 and T2 separately, before reporting the mean  
280 rank, rank-order stability and individual stability data as measures of consistency over time.  
281 For reliability measures, consistent with other studies on nonhuman primate personality  
282 (Freeman et al., 2013), intra-class correlation coefficients (ICCs) are provided to give a  
283 measure of inter-rater reliability between chimpanzee care-staff on all factors, where values  
284 closer to 1 suggest stronger reliability between raters. To allow comparison with the T1 data,  
285 we use two methods, ICC (3,1), which estimates reliability ratings of one individual, and ICC  
286 (3,k), where reliability is calculated using the average of the k raters' ratings (see S2.2 for  
287 information on how ICC (3,1) and (3,k) are each calculated). Following Koo and Li (2016),  
288 we interpret ICCs as follows: less than .05 as poor reliability, 0.5-.75 as moderate, 0.75-0.9 as  
289 good and greater than 0.9 as excellent reliability.

290

291 To compare the stability of the six personality factors across the two time points, overall  
292 mean rater scores for each of the six factors (based on the highest trait loadings) were  
293 calculated for all chimpanzees (Freeman et al., 2013; Lutzman et al., 2015). Specifically, each  
294 chimpanzee was given a mean score (ranging from 1-7) for each of the six factors, which was  
295 the mean score of the respective traits loading on to each of the six factors, as defined by  
296 Freeman et al. (2013). To prevent alpha inflation arising from multiple comparisons, we used  
297 a false discovery rate control (Storey, 2002), set at 10% (as recommended by McDonald,  
298 2009), which calculates the expected proportion of false positives (rejections of the null  
299 hypotheses) from all discoveries. False discovery rate 'families' were selected to match their  
300 lines of analyses, such that overall mean rank stability reflected a family, as did both  
301 assessment of sex differences and rank-order stability analysis.

302

303 Group-level stability was assessed by comparing overall mean scores for each of the six traits  
304 at T1 and T2 such that if a mean rating of a trait changed from (for example) 4.1 to 4.6, this  
305 would represent an increase of 0.5 on the scale. Mixed effects ANOVAs were conducted; the  
306 two time points were the within-subjects independent variable, sex was the between-subjects  
307 independent variable, and personality rating was the dependent variable. We first report the  
308 main effects of whether each of the six personality factors remained stable and then, for each  
309 factor, sex differences are examined by analysing both overall main effects of sex and sex by  
310 time interactions. We finish by reporting stability of personality for males and females  
311 separately.

312

313 To assess rank-order stability, we examined intra-class correlations between individuals  
314 across the two rating periods (Dingemanse & Dochtermann, 2013; Dutton, 2008; King et al.,  
315 2008; Koski, 2011; Uher, 2013). To account for variance in ratings due to different raters  
316 rating subjects at T1 and T2, we calculated ICCs (3,k) for all raters combined ( $N = 50$   
317 chimpanzees), for those chimpanzees who were rated by the same raters at both time points  
318 ( $N = 14$ ), and for chimpanzees ( $N = 36$ ) whose raters differed at T1 and T2 (King et al.,  
319 2008).

320

321 For further analysis of individual-level stability, we also calculated the reliable change index  
322 (RCI) (Jacobson & Truax, 1991). The RCI is used to distinguish individual change that is  
323 statistically significant from change that may have occurred due to measurement error. For  
324 each individual subject, the difference in ratings from T2-T1 were compared to the  
325 distribution of change scores expected solely by measurement error ( $RCI = (T2 \text{ score} - T1$   
326  $\text{score})/\text{standard error of the measurement of the difference}$ ; see SI 2.1 for further information  
327 on the RCI calculation). Using a 95% confidence interval, for each factor individuals were

328 classified as having either ‘increased’, ‘decreased’, or stayed the ‘same’ on each factor  
329 (Pullmann, Raudsepp, & Allik, 2006).

330

## 331 **Results**

### 332 *Reliability of ratings*

333 For T1 (Freeman et al., 2013), the ICC (3,1) and (3,k) were as follows: agreeableness (0.37,  
334 0.51), dominance (0.48, 0.64), extraversion (0.48, 0.65), methodical (0.28, 0.36), Openness  
335 (0.49, 0.63) and reactivity/undependability (0.48, 0.61), For T2, the ICC (3,1) and (3,k) were  
336 as follows: agreeableness (0.57, 0.72), dominance (0.43, 0.84), extraversion (0.24, 0.61),  
337 methodical (0.25, 0.41), openness (0.43, 0.79) and reactivity/undependability (0.37, 0.90).  
338 See SI 2.2 for the intra-class correlation coefficients values (3,1) and (3,k) for all individual  
339 traits at T1 and T2.

340

### 341 *Mean-rating consistency*

### 342 *Main effects over time*

343 Table 2 provides a breakdown of the overall mean scores for the six factors at T1 and T2.  
344 Mean scores of agreeableness ( $F_{1,48} = 6.33$   $p = .015$ ) and reactivity/undependability ( $F_{1,48} =$   
345  $54.08$ ,  $p < .001$ ) decreased significantly overall from T1 to T2. There was also a significant  
346 increase in mean scores of dominance ( $F_{1,48} = 43.83$ ,  $p < .001$ ) from T1 to T2, whereas  
347 extraversion, methodical and openness did not differ between T1 and T2.

348

*Insert Table 2 about here*

Factor	Overall mean scores			Males			Females		
	T1	T2	T1-T2	T1	T2	T1-T2	T1	T2	T1-T2
Agreeableness	4.32 (.46)	4.11 (.62)	-.21*	4.25 (.44)	3.78 (.53)	-.48***	4.39 (4.8)	4.44 (.65)	+.05
Dominance	4.19 (.59)	4.64 (.76)	+.45***	4.46 (.53)	4.99 (.51)	+.53***	3.91 (.53)	4.29 (.80)	+.38**
Extraversion	4.78 (.47)	4.69 (.44)	-.08	4.93 (.42)	4.85 (.42)	-.08	4.61 (.47)	4.53 (.40)	-.08
Methodical	4.65 (.38)	4.51 (.67)	+.03	4.63 (.44)	4.54 (.43)	-.09	4.66 (.33)	4.70 (.42)	+.04
Openness	4.73 (.53)	4.75 (.62)	+.02	4.81 (.52)	4.65 (.65)	-.16	4.64 (.53)	4.84 (.60)	+.20
Reactivity/ Undependability	3.90 (.47)	3.33 (.59)	-.57***	4.01 (.43)	3.48 (.57)	-.53***	3.80 (.51)	3.18 (.60)	-.62***

**Table 2:** Mean scores (SD) of each of the six factors at T1 (April 2006-December 2008) and T2 (September 2015-December 2016), overall and for males and females. Mean-order stability demonstrates the group-level T1 and T2 scores (on a scale of 1-7) and change over the ten-year time point for each factor. Significant differences between T1 and T2 indicated as \*  $p < .05$ , \*\*  $p < .01$  and \*\*\*  $p < .001$ .

### Sex differences

Table 2 provides a breakdown of the overall mean scores for the six factors at T1 and T2 for males and females. To examine sex differences in personality, we looked at main effects of sex, time by sex interactions and where appropriate, within-sex effects for each factor.

**Agreeableness:** Males were rated as significantly less agreeable than females across T1 and T2 combined ( $F_{1, 48} = 10.63, p = .002$ ). There was also a significant interaction between time and sex (Figure 1), such that males exhibited a decrease of 0.48 and females displayed a slight increase of 0.05 ( $F_{1, 48} = 9.77, p = .003$ ). The decrease in male agreeableness from T1 to T2 was significant ( $F_{1, 24} = 20.41, p < .001$ ) but the increase in females was not.

**Dominance:** Males were rated as more dominant than females across T1 and T2 combined ( $F_{1, 48} = 9.74, p < .001$ ). There was no significant interaction between time and sex but both male and female ratings of dominance increased significantly (males:  $F_{1, 24} = 57.23, p < .001$ ;



370 females:  $F_{1, 24} = 10.12, p = .004$ ). *Extraversion*: Males were rated as more extraverted than  
371 females across T1 and T2 combined ( $F_{1, 48} = 9.53, p = .003$ ). There was no sex by time  
372 interaction, nor did male or female ratings differ between T1 and T2. *Openness*: There was  
373 no main effect of sex but there was a sex by time interaction ( $F_{1, 48} = 4.67, p = .036$ ) such that  
374 males exhibited a decrease of 0.16 and females an increase of 0.20 from T1 to T2. The  
375 decrease in male openness only approached significance ( $F_{1, 24} = 4.02, p = .056$ ), while the  
376 increase in females was not significant. *Reactivity/undependability*: There was no main effect  
377 of sex or a sex by time interaction. However, ratings decreased significantly from T1 to T2  
378 for both sexes (males:  $F_{1, 24} = 24.46, p < .001$ ; females:  $F_{1, 24} = 32.14, p < .001$ ). *Methodical*:  
379 There were no significant effects.

380

381 To assess whether individuals changed more within or between age category, we conducted  
382 additional analysis looking at time by age category interactions. Although small sample sizes  
383 preclude making firm conclusions, no time by age category interactions were significant (all  
384  $ps > .05$ ; see SI Table 4 for means for T1 and T2, and T2-T1 by age category for each factor).

385

386

*Insert Table 3 about here*

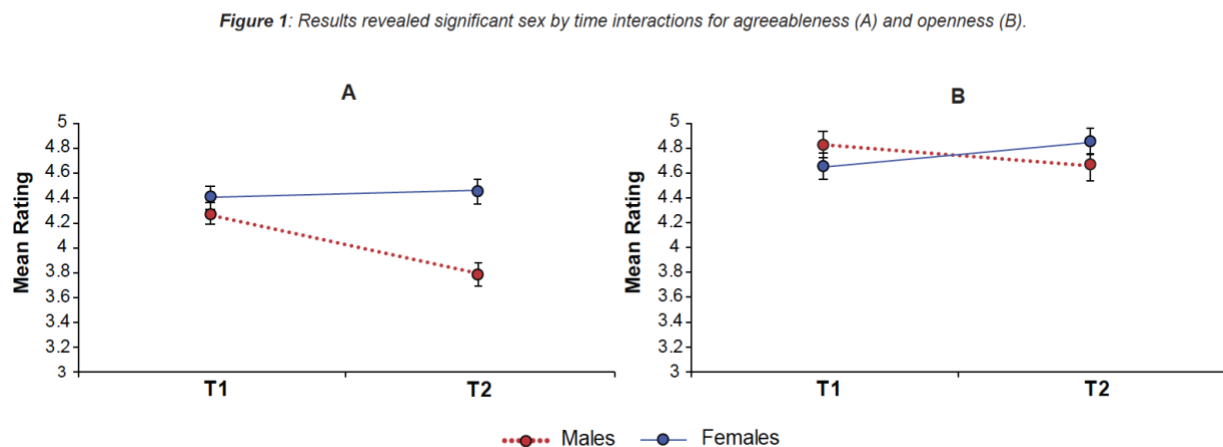
Factor	Rank order stability (Raters)			Reliable change index (RCI) (%)		
	All (n = 50)	Same (n = 14)	Different (n = 36)	Increased	Same	Decreased
Agreeableness	.535	.551	.309	2	88	10
Dominance	.854	.529	.551	18	82	0
Extraversion	.712	.479	.589	2	98	0
Methodical	.493	.515	.025	4	94	2
Openness	.596	.493	.824	10	82	8
Reactivity/Undependability	.661	.631	.559	2	42	56

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**Table 3:** Overview of results from individual analyses. For rank-order stability, ‘All’ represents ICC correlations for all raters combined (N = 50 chimpanzees), ‘same’ represents chimpanzees who were rated by the same raters at both time points (N = 14), and ‘different’ represents chimpanzees whose raters differed at T1 and T2. The reliable change index (RCI) provides the percentage of individuals that significantly increased, stayed the same or decreased over the study period according to the RCI calculation.

398

Insert Figure 1 about here



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Figure 1: Results revealed significant sex by time interactions for agreeableness (A) and openness (B).

402

403 *Rank-order stability*

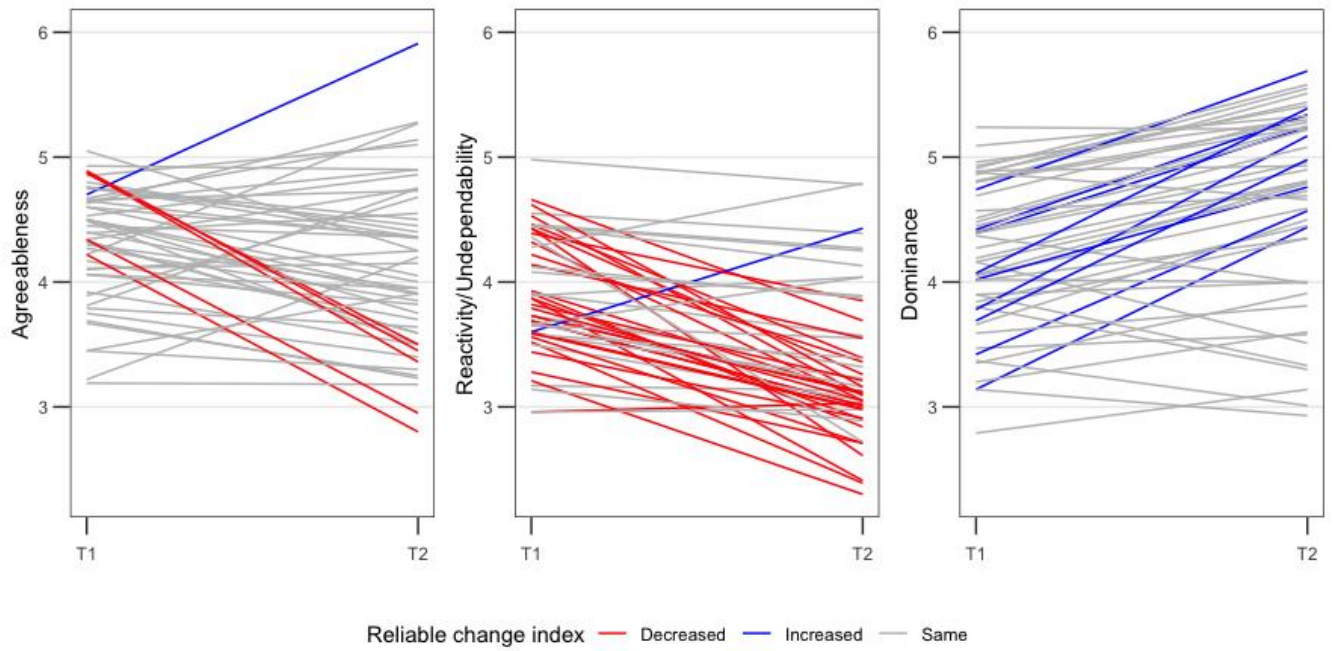
404 Table 3 presents the rank-order stability results. When all raters were combined, dominance  
405 (ICC 3,k = .854) showed the highest (good) intra-class correlation coefficient between T1 and  
406 T2, and methodical (ICC 3,k = .493), showed the lowest (poor) rank-order stability. The other  
407 four factors all showed moderate rank-order stability (ICC 3,k range = .535-.712), suggesting  
408 individuals were variable in their rank-order position over time. For four of six factors, ICCs  
409 were stronger when analysis was restricted to raters who were present at both time points  
410 (ICC 3,k range: = .479-.631) compared to the case where raters differed (ICC 3,k range =  
411 .025-.824).

412

413 *Reliable Change Index (RCI)*

414 The extent to which individuals' change (T1 to T2) was over the RCI threshold varied by  
415 factor. Reactivity/undependability was the factor for which most individuals changed, with  
416 58% passing the RCI threshold in either direction. Dominance (18%), openness (18%) and  
417 agreeableness (12%) showed lower individual-level change, and methodical (6%) and  
418 extraversion (2%) showed the lowest rates of individual change over time. Thus, while  
419 reactivity/undependability, agreeableness and dominance all showed overall (group) mean  
420 level change from T1 to T2, only for reactivity/undependability did the majority (and by a  
421 small margin) of individuals show significant change according to the RCI. Table 3 presents  
422 the group RCI scores and Table 4 presents RCI group by the sexes, and for a full breakdown  
423 of RCI scores by age category see Table SI 5.

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*Insert Figure 2 about here*

**Figure 2:** Individual RCI values for agreeableness, dominance and reactivity/undependability, which all showed significant mean level change over time. Red lines show individuals whose RCI value significantly decreased, blue lines indicate individuals whose RCI value significantly increased and grey lines indicate individual's whose RCI value did not change significantly over the time points.

*Insert Table 4 about here*

Factor	Reliable change index (RCI) (%)					
	Males			Females		
	Increased	Same	Decreased	Increased	Same	Decreased
Agreeableness	2	90	8	0	98	2
Dominance	10	90	0	8	92	0
Extraversion	2	98	0	0	100	0
Methodical	0	98	2	4	96	0
Openness	2	94	4	8	88	4
Reactivity/ Undependability	2	70	28	0	72	28

434  
435

**Table 4:** Breakdown of Reliable Change Index scores by sexes.

436 **Discussion**

437 *Stability of chimpanzees' personality over time; overall and sex differences*

438 We examined the stability of multiple chimpanzee personality traits by measuring changes in  
439 factors across an approximately 10-year period using the same instrument, revealing  
440 consistencies and differences with previous work. Analysis of mean rank stability revealed  
441 that consistent with previous findings and with our prediction, overall, chimpanzees showed  
442 increased dominance with age. Approximately half of the study subjects traversed age  
443 categories during the study period (predominantly moving from adolescence into adulthood).  
444 Our findings largely fit cross-sectional data on personality development showing that adult  
445 chimpanzees are more dominant than juvenile and younger chimpanzees (King et al., 2008) -  
446 although we note that no age category by time interactions were found for any of the six  
447 factors. Contrary to our prediction, chimpanzees did not show an overall significant decrease  
448 in extraversion over time. The chimpanzees were also rated as significantly less  
449 reactive/undependable over time - a finding that was also not predicted.

450

451 Analyses of sex differences in personality traits also indicated that males and females differed  
452 for agreeableness, openness, dominance and extraversion. In line with our predictions, males  
453 were rated as more dominant and extraverted than females, and females showed an increase  
454 in agreeableness and openness while males did not. The finding that males actually decreased  
455 in these factors was not, however, predicted. Further, in contrast to other cross-sectional  
456 findings, the chimpanzees were rated as less agreeable over time - though this decrease was  
457 driven by males.

458

459 *Comparisons with sex differences in chimpanzee and human personality stability*

460 The results revealed some sex differences in personality traits that contrast with previous  
461 chimpanzee studies but correspond with findings in humans. For instance, King et al. (2008)  
462 found that chimpanzees decline in openness with age, whereas in the present study, while  
463 males significantly declined in openness, females increased by a similar margin. Although  
464 these findings contrast with those of King and colleagues, they are consistent with findings  
465 that human females score higher on openness to experience than males (Weisberg et al.,  
466 2011) and that this pattern continues throughout development (Gjerde & Cardilla, 2009). In  
467 humans, females score particularly high on the facets of warmth, openness to feelings and  
468 aesthetics (Chapman, Duberstein, Sörensen, & Lyness, 2007; Costa, Terracciano, & McCrae,  
469 2001). It is important to note, however, that vast majority of longitudinal studies of  
470 personality in humans are based on Western populations, for whom our human comparisons  
471 are based on (and thus limited to), and further research is needed to measure cultural  
472 differences in personality stability over time (see Costa, McCrae, & Löckenhoff, 2019 and  
473 SI3 for additional discussion on variance and invariance of personality across age groups and  
474 cultures).

475

476 One potential explanation for the contrasting findings between this study and others is that,  
477 the present study, unlike King et al.'s included affectionate/friendly as a facet of openness,  
478 and thus could contribute to the sex differences found here. Similarly, intelligent and  
479 persistent loaded on to openness for our instrument, while both loaded on to dominance in  
480 King et al.'s (for a breakdown of the traits used in both studies, see SI Tables 6 and 7).  
481 However, despite these there is also large overlap between the two instruments. For instance,  
482 there was large similarity in the traits loading on to the factors agreeableness (protective,  
483 kind), dominance (non-fearful and non-submissive, dominant), extraversion (sociable,  
484 affiliative, playful, non-depressed) and openness (inventive, inquisitive).

485   Reactivity/Undependability also showed overlap with King et al.'s consciousnesses (irritable,  
486   jealous, impulsive).

487

488   Similarly, it is important to consider differences in age categories, group composition and  
489   environmental factors when comparing these data with those of other studies, particularly  
490   breeding populations. Here, all study subjects experienced changes in group members and  
491   group sizes across the study period, and many experienced relocations to new enclosures (on-  
492   site). Personality has been shown to correlate with individual differences in stress response in  
493   young chimpanzees (Anestis, Bribiescas, & Hasselschwert, 2006) and it has been found  
494   that nonhuman primate social dynamics including individual and group level affiliative and  
495   aggressive behaviours are disrupted by enclosure relocation and changes to group  
496   demographics (Dufour, Sueur, Whiten, & Buchanan-Smith, 2011; Schel et al., 2013), but that  
497   such behaviours and group dynamics begin to return to pre-disruption levels within a  
498   year (Schel et al., 2013; Yamanashi et al., 2016). Given there were no major alterations to  
499   group demographics or relocations for the study subjects for several years prior to the second  
500   data collection period, it is not clear whether the effects of relocation had a major bearing  
501   on ratings.

502

503   In turn, these findings can contribute to the development of a theoretical framework in which  
504   to empirically examine specific hypotheses about chimpanzee personality over time,  
505   particularly with regards to ecological and life history changes. For example, future research  
506   could examine how individuals high or low in social based traits such as dominance,  
507   agreeableness and extraversion are shaped by adjustments to group dynamics. Tools such as  
508   social network analysis have proven useful for helping facilitate and monitor the integration  
509   of different groups or relocation of nonhuman primates (Dufour et al., 2011; Schel et al.,

510 2013) and chimpanzees display ‘friendships’ based on personality homophily (Massen &  
511 Koski, 2014). Thus personality instruments may be an important tool for group formations or  
512 relocations (Schapiro, 2017). Further, given that these data indicated that  
513 reactivity/undependability showed high levels of mean and rank-order decreases, it may be  
514 that individuals high in this trait exhibit lower stability over time than those scoring low in it.  
515 These questions would be well suited to longitudinal personality data over multiple time  
516 points. Such data, coupled with documentation of major events, including changes to social  
517 environments, would allow these types of assessments, and in turn comparisons with  
518 analogous human data (Ying & Han, 2006).

519

520 Likewise, evolutionary theory suggests that if changes in personality over time are an  
521 evolutionary preserved feature of chimpanzees there should be corresponding fitness benefits  
522 (Blaszczyk, 2020). While extraversion itself has been linked with longer survival in wild  
523 gorillas (Weiss, Gartner, Gold, & Stoinski, 2013), there has been a striking lack of empirical  
524 research assessing fitness benefits of nonhuman animal personality instability (Blaszczyk,  
525 2020; Trillmich, Müller, & Müller, 2018). It is possible, for examples that females - who are  
526 the socially dispersing sex in chimpanzees - become more agreeable over adulthood to  
527 maximise social bonds. It is important for evolutionary models of personality, for researchers  
528 to document the association between changes in nonhuman animal personality over time with  
529 fitness benefits so such hypotheses can be tested.

530

531 Our findings also afford comparisons with other ape species and humans. Assessments of  
532 bonobo personality has shown both overlap and differences with human and chimpanzee  
533 personality data. For example, while there are similarities in the factors found in bonobos,  
534 there are contrasting patterns of sex differences to chimpanzees and humans. Female bonobos



535 score higher on traits such as assertive and extraversion than male bonobos and receive less  
536 aggression (Staes et al., 2016). Higher female assertiveness and extraversion reflects the fact  
537 that, unlike chimpanzees, they are more socially dominant and maintain close relationships  
538 with other group members compared to male bonobos (Staes et al., 2016; Vervaecke, De  
539 Vries, & Van Elsacker, 2000). Similarly, as with humans, orangutans - for whom factor based  
540 personality traits have also been validated - show age related declines in extraversion and  
541 neuroticism. Male orangutans, like chimpanzees, also score higher in dominance than  
542 females (Weiss & King, 2015). Comparisons across different ape species are crucial for  
543 understanding evolutionary continuity of personality (Weiss & King, 2015).

544

545 *Individual-level change over time: multiple approaches to assessing long-term stability*

546 Investigation of rank-order stability revealed comparatively strong stability for ratings of  
547 dominance; individuals who were rated as scoring highly in the factor dominance at T1 were  
548 also rated as scoring highly in the factor dominance 10 years later. This finding is perhaps  
549 expected: dominance exhibited the strongest rank order stability in other studies (e.g., King et  
550 al., 2008b). Extraversion also exhibited relatively high rank-order stability compared to the  
551 other traits, also suggesting that individuals high (or low) remained high (or low) in this  
552 factor. The other four traits overall exhibited lower rank-order stability, indicating that  
553 individuals were variable in their ordinal rank-position consistency when compared at T1 and  
554 T2. That methodical displayed the least rank-order consistency (regardless of whether the  
555 raters were the same, different or combined) is not surprising. In the initial study by Freeman  
556 and colleagues (Freeman et al., 2013), showed methodical to have the lowest reliability and  
557 failed to correlate with factors from other instruments measuring chimpanzee personality  
558 (and caution should be exercised when interpreting from this factor, as noted by Freeman and  
559 colleagues in the initial study).

560

561 When assessing individual-level change using the reliable change index (RCI), despite overall  
562 mean changes in dominance, agreeableness and reactivity/undependability, only in the latter  
563 trait did most individuals exhibit a change that was considered 'reliable'. For dominance and  
564 agreeableness under 20% of individuals exhibited a statistically significant change over time.  
565 This may be because reactivity/undependability included traits such as being excitable,  
566 impulsive, aggressive, mischievous, eccentric and calm (negatively loaded) – all traits that  
567 perhaps change to a greater extent as subjects traverse age categories to those within dominance  
568 and agreeableness.

569

570 An understanding of individual-level changes occurring over time compliments our  
571 understanding of population changes. Population-level changes of personality may either be  
572 driven by a subset of individuals or represent a general group-level trend in change over time  
573 (or a combination of both). Discrepancies between population-level and individual-level  
574 changes over time have important implications for future research and the conclusions that  
575 can be drawn from longitudinal assessments of personality. First, researchers should be  
576 cautious when drawing conclusions about population-level changes in personality over time.  
577 Although data may indicate that personality may significantly change overtime at the  
578 population level, this may be driven by certain individuals. Second, presenting individual and  
579 population data on all subjects is important to provide a complete picture of the data and how  
580 personality changes over time – an approach taken in very few studies. Third, in line with  
581 studies with other nonhuman animals, these findings may indicate that key individuals, in  
582 terms of personality scores, may have significant impact on group behaviours (Aplin et al.,  
583 2013; Brown & Irving, 2014; Farine, Montiglio, & Spiegel, 2015)

584

585 In addition to providing insights regarding how group and individual-level changes in  
586 personality interact, our findings build on the existing, yet limited, longitudinal data using  
587 factor-based instruments to assess chimpanzee personality. For instance, despite increasing  
588 the time scale compared to King et al. ( 2008) (6.8 years versus 10 years here), when all  
589 raters were combined, most of the correlation coefficients are similar to those obtained in  
590 their study: 0.85 vs. 0.74 for dominance; 0.66 vs. 0.51 for reactivity/undependability vs.  
591 dependability/conscientiousness; 0.60 vs. 0.70 for openness; 0.54 vs. 0.39 for agreeableness;  
592 and 0.71 vs. 0.48 for extraversion. Further, as with King et al. ( 2008) at least half of the traits  
593 studied exhibited higher correlation coefficients for data from raters who were present at both  
594 time points compared to data from raters who differed. Such closely matched coefficients and  
595 findings are indicative of robust validity in findings across measures and chimpanzee  
596 populations.

597

598 *Drawing conclusions based on personality data collected years prior to cognitive testing.*

599 These findings also have implications for the use of personality ratings obtained prior to other  
600 types of empirical tests (e.g. cognitive assessments). For example, much recent work has  
601 highlighted the importance of openness in chimpanzee problem solving, study participation  
602 and success (Altschul et al., 2017; Herrelko et al., 2012; Hopper et al., 2014), and  
603 performance on inequity tasks (Brosnan et al., 2015). These studies relied on the personality  
604 ratings collected several years prior to the cognitive testing sessions, and indeed, two of these  
605 studies used the same subjects and same personality instrument as this study (Brosnan et al.,  
606 2015; Hopper et al., 2014). Here, we found that males significantly decreased in openness  
607 over several years, while female ratings increased by a similar (although non-significant)  
608 margin. This may suggest, depending on the timeframe between rating collection and  
609 experimental testing, that the personality ratings may not always accurately reflect the

610 individuals at the time of study participation. Although rating data requires much effort and  
611 valuable time from care-staff, we encourage, where possible, 1) authors use or collect recent  
612 personality data when conducting personality-based assessments of cognitive performance or  
613 other empirical measurements, or 2) researchers consider temporal instability in personality  
614 measures when drawing conclusions regarding the predictive power of personality for  
615 cognitive measures.

616

617 Our data revealed important insights regarding stability in chimpanzee personality over an  
618 approximately 10-year period. We found group-level changes in three of six personality  
619 factors measured (an increase in dominance and decreases in agreeableness and  
620 reactivity/undependability), overall sex differences found for three traits (males rated higher  
621 than females in dominance and extraversion but lower in agreeableness), and males and  
622 females showing different trajectories for two further traits (males decreasing and females  
623 increasing in agreeableness and openness) over the 10-year period. Given that several  
624 personality factors showed group level changes *and* variable individual stability over time we  
625 suggest, researchers measuring the relationship between personality and cognitive  
626 performance in nonhuman primates obtain the most current personality data possible. The  
627 reported sex differences converge with studies of Western humans, providing new  
628 longitudinal evidence for an evolutionary basis for the human pattern of age-related  
629 fluctuations in male and female personality traits. In turn, these findings lay the foundation of  
630 an exciting suite of questions about how environmental and social changes influences  
631 chimpanzees with specific personality profiles, and how this compares to data on human  
632 personality and environmental and social changes.

633

634 **Ethics statement**

635 This study was approved by the UTMDACC Institutional Animal Care and Use Committee  
636 (IACUC approval number 0894-RN01).

637  
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856

### 857 **Acknowledgements**

858

859 We are grateful to Hannah Roome, Lara Wood, Alex Weiss, Sally Street and Josep Call for  
860 helpful comments on an earlier draft and to Samuel Gosling, Sarah Brosnan and Lydia  
861 Hopper for advice and for assistance with initial data collection. We thank the NCCC  
862 chimpanzee carestaff for their contributions.

863

864 **Financial support:**

865 Bruce Rawlings was funded by an Economic and Social Research Council (ESRC)

866 studentship from the North East Doctoral Training Centre, number 1449189.

867

868 **Author contributions**

869 BR, HF, EGF and RK conceived of the study. BR, HF and LR collected data and BR

870 conducted statistical analyses. All authors contributed to manuscript writing.

871

872 **Conflicts of interest**

873 The authors declare no conflicts of interest.

874

875 **Data availability**

876 Data is uploaded to Dryad Repository (doi:10.5061/dryad.xksn02vc0)

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