

1 **Complex geometry and kinematics of subsidiary faults within a carbonate-hosted relay ramp.**

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23 tendency

24

25 **Abstract**

26 Minor fault geometry and kinematics within relay ramps is strongly related to the stress field
27 perturbations that can be produced when two major fault segments overlap and interact. Here we
28 integrate classical fieldwork and interpretation of a virtual outcrop to investigate the geometry and
29 kinematics of subsidiary faults within a relay ramp along the Tre Monti normal fault in the Central
30 Apennines. Although the Tre Monti fault strikes parallel to the regional extension (NE-SW) it
31 shows predominant dip-slip kinematics, suggesting a NW-SE oriented extension acting at sub-
32 regional scale (1-10 km). Conversely, the slickenlines collected on the front segment of the relay
33 ramp highlight right-lateral kinematics. The subsidiary faults in the relay ramp show a complex
34 geometry (variable attitudes) and slickenlines describe multiple kinematics (left-lateral, dip-slip,
35 right-lateral), independently of their orientation. Our fault slip analysis indicates that a local stress
36 field retrieved from the kinematic inversion of the slickenlines collected on the front segment, and
37 likely promoted by the interaction between the overlapping fault segments that bound the relay
38 zone, can explain most of the geometry and kinematics of the subsidiary faults. Further complexity
39 is added by the temporal interaction with both the regional and sub-regional stress fields.

40

41 **1. Introduction**

42 Relay ramps transfer displacement between two overlapping fault segments and are common in
43 extensional tectonic regimes (e.g., Larsen, 1988, Peacock and Sanderson, 1991, 1994). They form
44 in response to the mechanical interaction between the overlapping faults causing the tilting of beds,
45 producing strong damage and, eventually, the linkage between the fault segments (Peacock &
46 Sanderson, 1994; Fossen and Rotevatn, 2016 and references therein). Relay ramps (and interaction
47 damage zones in general; e.g., Peacock et al., 2017) are characterized by stronger damage and by
48 subsidiary faults and fractures having a wider range of orientations than isolated fault segments
49 (Kattenhorn et al., 2000; Peacock et al., 2000; Peacock and Parfitt, 2002; Fossen et al., 2005; Çiftci
50 & Bozkurt, 2007; Bastesen and Rotevatn, 2012; Long & Imber, 2012). The strong damage and the

51 structural complexity in zones of fault interaction can have important consequences on fluid flow,
52 leading to enhanced permeability (e.g., Berkowitz, 1995) and to a multi-directional migration of
53 fluids, including hydrocarbons, CO₂, ground water, and hydrothermal fluids (Sibson, 1996;
54 Curewitz and Karson, 1997; Rowland and Sibson, 2004; Rotevatn et al., 2009; Dockrill and
55 Shipton, 2010; Fossen and Rotevatn, 2016). Since about the half of the current hydrocarbon
56 reserves are held within carbonates, carbonate-hosted relay ramps represent a very interesting case
57 study.

58 The variability in subsidiary structural orientations, including joints and normal faults striking
59 orthogonally to the main fault segments (e.g., Kattenhorn et al., 2000; Ciftci & Bozkurt, 2007), can
60 be very important for cross-fault fluid migration, increasing the chance of some fractures and faults
61 being optimally oriented to open and/or slip under various stress fields (Fossen & Rotevatn, 2006).
62 The presence of variably oriented faults and fractures is commonly attributed to local stress field
63 perturbations due to the interaction and progressive linkage between the fault segments that border
64 the relay ramp, or to the development of the relay ramp itself (Crider & Pollard, 1998; Kattenhorn
65 et al., 2000; Bastesen & Rotevatn, 2012). The existence of various controlling factors (e.g., the
66 displacement profiles, relative orientations, and growth rates of the interacting faults; Fossen and
67 Rotevatn, 2016), makes it difficult to constrain the local stress field within a relay ramp. Although
68 attempts have been made to model the stress field within a relay ramp (e.g., Crider and Pollard,
69 1998) its better characterization through field observations conducted on exhumed faults can help
70 predicting faults and fractures orientations, with important consequences to the assessment of fluid
71 flow within fault zones.

72 In the present work, we combined traditional fieldwork and virtual outcrop interpretation (Bellian et
73 al., 2005; McCaffrey et al., 2005a,b; Hodgetts, 2013) to investigate the geometry and kinematics of
74 the subsidiary faults within a portion of a carbonate-hosted relay ramp pertaining to the Tre Monti
75 fault, a normal fault in the Central Apennines of Italy. The fault slip analysis shows that a local
76 stress field retrieved from the kinematic inversion of the slickenlines locally observed on the front

77 segment of the relay ramp is able to explain most, but not all, of the complex geometry and
78 kinematics of the subsidiary faults. Transient effects of regional and sub-regional stress fields acting
79 on the relay ramp structure may explain this complexity.

80

81 **2. Geological setting**

82 The central Apennines are a late-Oligocene to present fold-and-thrust belt that formed in response
83 to the westward directed subduction of the Adria plate under the European plate (Doglioni, 1991).
84 This produced a north-eastward migrating and NE-SW directed shortening which was
85 accommodated by thrusts (Fig. 1a). The thrusts scraped off the sedimentary sequence overlying the
86 continental basement of Adria (Patacca et al., 2008), including a thick carbonate succession that had
87 been deposited since the late-Triassic to middle Miocene time (Cosentino et al., 2010 and
88 references therein). In the study area (Fucino basin) the thrusting events occurred from late Miocene
89 to early Pliocene (Cavinato and De Celles, 1999) whilst the presently active compressive front is
90 located ~ 60 km towards the NE.

91 Since the early Pliocene, extensional tectonics have affected the central Apennines in response to
92 the opening of the Tyrrhenian back-arc basin (Doglioni, 1991) and, as testified by stress maps
93 (Montone et al., 2004; Heidbach et al., 2016), GPS measurements (D'Agostino et al., 2001; Devoti
94 et al., 2010), and focal mechanisms of earthquakes (Scognamiglio et al., 2010; Chiaraluce, 2012;
95 Chiaraluce et al., 2017), is still ongoing. In particular, NE-SW oriented extension and uplift is
96 accommodated by extensional faults, which dismember the shallow-water to pelagic carbonate
97 succession that constitutes the backbone of the Central Apennines, generating several intermontane
98 basins (Fig. 1a; e.g., Fucino, Sulmona, L'Aquila, Campo Imperatore) (Cosentino et al., 2010). The
99 extensional faults bordering the intermontane basins mostly strike NW-SE, although rare SW-NE
100 trending fault, such as the Tre Monti fault, are present (Fig. 1a). In this tectonic framework, the Tre
101 Monti extensional fault marks the north-western boundary of the Fucino Basin and crops out for ~ 7
102 km through a series of right-stepping SE-dipping fault scarps (Fig. 2a).

103 The reconstruction of Pliocene-Quaternary tectonic structures of the Fucino basin (Galadini and
104 Messina, 1994, 2001; Cavinato et al., 2002; Gori et al., 2017) is based on the increasing thickness of
105 Pliocene deposits towards the northern sector of the basin (Cavinato et al., 2002). The tectonic
106 evolution of the Fucino basin during early Pliocene time was initially controlled by dip-slip
107 movements along the Tre Monti fault, which was longer at the time (Fig. 1b), with the consequent
108 formation of a NE-SW elongated semi-graben. Since Late Pliocene, the Fucino basin tectonics was
109 controlled by NW-SE striking faults that border the Fucino basin to the NE (Cavinato et al., 2002),
110 which cut and displaced the Tre Monti fault near the Celano village (Fig. 1c).

111 The main fault scarps of the Tre Monti fault juxtapose Pliocene to Holocene continental deposits in
112 the hangingwall and early Cretaceous to middle Miocene shallow water carbonates in the footwall
113 (Fig. 2a, b). Interpreted seismic reflection profiles (Cavinato et al., 2002; Smeraglia et al., 2016)
114 show that the throw increases from ~800 m up to ~ 2,000 m moving from SW to NE. The exposed
115 portion of the Tre Monti fault was exhumed from depth < 3 km (Smeraglia et al., 2016). The
116 slickenlines on the fault scarps indicate mainly dip-slip kinematics, although rare right-lateral
117 movements are locally recorded (Morewood and Roberts, 2000; Smeraglia et al., 2016). The Linked
118 Bingham fault plane solution for these kinematic indicators indicate NW-SE oriented tension (Fig.
119 2a), i.e., orthogonal to regional NE-SW extension. Paleoseismological investigations with
120 cosmogenic ³⁶Cl measurements on fault scarps (Benedetti et al., 2013; Cowie et al., 2017) suggest
121 that the Tre Monti fault has been active between Early Pliocene and recent times with dip-slip
122 kinematics. The occurrence of predominantly dip-slip movements on a fault striking nearly parallel
123 to the regional extension vector has been explained by invoking a release fault geometry for the Tre
124 Monti fault (Destro, 1995; Galadini and Messina, 2001). In this scenario the Tre Monti fault
125 accommodates a differential throw along the strike of the NW-SE striking fault system that borders
126 the Fucino basin to the NE and comprises the San Potito-Celano, Celano-Pescina, and Serrone
127 faults (hereafter the San Potito–Serrone fault system, SPSFS; see Fig. 1a,c). Finally, microstructural
128 analyses performed on the fault core suggest that the TMF experienced past earthquakes. This is

129 testified by some seismic slip indicators found in the fault core: fluidized ultracataclasite layers,
130 injection veins, and decomposed calcite crystals (Smith et al., 2011; Smeraglia et al., 2016, 2017).
131 In this work we focus on a key outcrop, represented by an abandoned quarry (the “La Forchetta”
132 quarry in Smeraglia et al., 2016), located ~ 2 km WSW of Celano (42°04’35’’N 13°30’00’’E; Fig.
133 2).

134

135 **3. Methods**

136 We combine traditional fieldwork with the interpretation of a virtual outcrop to investigated minor
137 faults within the damage zone of the study area. Using traditional fieldwork methods, we have (1)
138 collected orientation data for the subsidiary faults to provide control on the virtual outcrop fault data
139 and, (2) collected slickenline data to enable a kinematic analysis.

140

141 *3.1. Virtual outcrop acquisition*

142 The virtual outcrop consists of a high-resolution point cloud that has been collected through a
143 terrestrial laser scanner (TLS) survey (Fig. 3).

144 To build the point cloud, the TLS records the time-of-flight of a series of laser pulses reflected by
145 the outcrop surface (thousands of measurements per second). The TLS calculates the distance
146 between the sensor and the outcrop knowing the velocity of the light. Knowing the exact position
147 (absolute geographic coordinates) of some ground control points (GCP) in the scene, all distance
148 measurements relative to the TLS instrument are then converted to a point cloud, where each point
149 is identified by X, Y, Z values representing its geographic coordinates. The integration of the laser
150 scanner device with images from a calibrated high-resolution camera (Fig. 3) enables true colours to
151 be added to the scene. Consequently, RGB values are assigned to each point (White and Jones,
152 2008) to obtain a georeferenced and true-colour point cloud (Fig. 3). The reader is referred to the
153 papers of Buckley et al., (2008) and Telling et al., (2017) for an extensive review of the terrestrial
154 laser scanner methodology and its application in geology.

155 For this study we collected high-resolution point clouds from 4 different scan positions using a
156 Riegl VZ1000 instrument (Fig. 3). During the point cloud acquisition, we used 7 ground control
157 points (GCP) with known absolute coordinates. The absolute coordinates of the GCPs were
158 obtained through a differential GPS survey performed using a Leica GX1230 GPS receiver. The
159 point clouds were georeferenced and combined to obtain a single point cloud covering the whole
160 quarry. The final result is a high-resolution (~ 100 million points) true-colour point cloud (Fig. 3).

161

162 *3.2. Minor faults mapping on the virtual outcrop*

163 Starting from a 3D model (Fig. 3), we constructed a map and a cross section illustrating the minor
164 faults distribution in the quarry. We built the 3D model using the Move™ software, combining a
165 topographic model of the abandoned quarry with a structural interpretation representing the minor
166 faults distribution. Both the topographic model and the structural interpretations were extracted
167 from the point cloud using the CloudCompare software (www.cloudcompare.org).

168 The topographic model is made up of a Digital Terrain Model combined with an orthophoto of the
169 abandoned quarry. Both have been extracted by converting the point cloud to raster files containing
170 the elevation and RGB values with a grid resolution of 0.5 m steps. The two raster files have been
171 subsequently merged using the Move software.

172 The structural interpretation was produced by manual picking all visible minor faults in the quarry
173 using the Compass plugin in CloudCompare (Thiele et al., 2017). For each minor fault we have
174 drawn a polyline representing its trace in the quarry topography and, eventually, a zig-zag polyline
175 to include as much of the visible minor fault surfaces as possible (Fig. 4), as described in Pless et
176 al., (2015). In order to produce a polygon and to obtain the attitude of minor faults, all the polylines
177 pertaining to each fault have been fitted with planes using the Compass plugin (Thiele et al., 2017).
178 The goodness of fit was evaluated by analysing the Root Mean Square (RMS) value provided by
179 the plugin (Figs. S2 and S3). We finally built a 3D model of the quarry (Fig. 3) exporting the

180 structural interpretation from CloudCompare and merging it with the topographic model using the
181 Move software (Fig. 3).

182 The minor faults map was produced by combining the topographic model with the polylines
183 representing fault traces and with point data representing fault attitudes. To produce the cross
184 section, we used the Move software to project each fault polygon orthogonally to a vertical section
185 oriented parallel to the main fault dip (156° N) regardless of the orientation of the fault planes.

186

187 3.3. Fault slip analysis

188 We conducted a fault slip analysis on a dataset of 100 minor fault collected in the field. For each
189 minor fault we collected the attitude of the slip surface (strike, dip, dip azimuth) and the
190 slickenlines orientation (trend, plunge, rake). In detail, we evaluated the geometrical and kinematic
191 compatibility of all the minor faults with different hypothetical stress fields.

192 The geometrical compatibility has been evaluated calculating the normalised slip tendency (Morris
193 et al., 1996; Lisle and Srivastava, 2004; Collettini and Trippetta, 2007; Di Domenica et al., 2014)
194 for each minor fault in a given stress field. The slip tendency (T_s) measures the potential for slip on
195 a weakness plane subjected to a known stress field and is given by (Morris et al., 1996):

$$196 \quad \tau_{\square} = \frac{\tau}{\sigma'_{\square}} \quad (1),$$

197 where τ and σ'_{\square} are respectively the resolved shear and effective normal stress ($\sigma'_{\square} = \sigma_{\square} - P_f$,
198 where P_f is the pore fluid pressure) on the fault. According to the Amontons' law for fault
199 reactivation ($\tau = \mu_s \cdot \sigma'_{\square}$), the condition for slip on a fault is:

$$200 \quad \tau_{\square} = \frac{\tau}{\sigma'_{\square}} > \mu_s \quad (2),$$

201 where μ_s represents the coefficient of sliding friction. The resolved shear and effective normal
202 stresses on a fault depend on (1) its orientation in the principal stresses reference frame, (2) on the
203 differential stress ($\sigma_1 - \sigma_3$), (3) on the pore fluid pressure, and (4) on the stress shape ratio $\eta =$
204 $\frac{(\sigma_2 - \sigma_3)}{(\sigma_1 - \sigma_3)}$. However, within a crustal volume, the differential stress and the pore fluid pressure are

205 often not well-constrained. We can overcome this problem by assuming that the maximum slip
 206 tendency value is reached when the frictional sliding envelope given by the Amontons' law is
 207 tangential to the $\sigma_1\sigma_3$ Mohr's circle in a $\sigma_1\text{-}\sigma_3$ space. By such an assumption we are able to
 208 evaluate the slip tendency in a mechanical system that depends only on the orientation of the fault
 209 within the principal stresses reference frame, on the coefficient of friction, and on the stress shape
 210 ratio. We assumed a 0.6 friction coefficient, typical of carbonates (Tesei et al., 2014; Carpenter et
 211 al., 2016) and a stress shape ratio of 0.56 (Ferrarini et al., 2015). We refer the reader to the papers
 212 by Lisle and Srivastava, (2004) and Collettini & Trippetta (2007) for the complete procedure. In the
 213 tangential condition assumption, we evaluate the slip potential of a fault through the normalised slip
 214 tendency (Lisle and Srivastava, 2004):

$$215 \quad \sigma_{\sigma} = \frac{\sigma_{\sigma}}{\sigma_{\sigma}} \quad (3),$$

216 Each fault can have $0 \leq \sigma_{\sigma} \leq 1$. We define a fault well-oriented if $0.5 \leq \sigma_{\sigma} \leq 1$, and
 217 misoriented if $0 \leq \sigma_{\sigma} < 0.5$.

218 Although the normalised slip tendency method enables us to establish whether a fault is prone to
 219 slip in a given stress field, it does not predict its kinematics in that stress field. Assuming that slip
 220 on a fault occurs along the direction of the resolved shear stress (Wallace, 1951; Bott, 1959), we
 221 can evaluate the compatibility of the measured slickenlines within a given stress field. Hence, we
 222 calculated the predicted slickenlines orientations for the well-oriented minor faults within the stress
 223 field using the software FaultKin (Marrett and Allmendinger, 1990; Allmendinger et al., 2011).
 224 Consequently, we calculated the difference ($\Delta\sigma$) between the observed (σ_{σ}) and the predicted
 225 rake (σ_{σ}) of the slickenlines on the well-oriented minor faults:

$$226 \quad \Delta\sigma = |\sigma_{\sigma} - \sigma_{\sigma}| \quad (4),$$

227 We divided the extensional rake values, going from 0° for left-lateral kinematics to 180° for right-
 228 lateral kinematics, into 5 fields with amplitude of 36° . For this reason, we decided to classify the
 229 slickenlines as compatible with a certain stress field if $\Delta\sigma \leq 36^\circ$.

230

231 **4. Results**

232 *4.1. Geometry of the minor faults*

233 The study outcrop is located in the overlap zone between two right stepping segments of the main
234 fault, defining a relay ramp environment (Fig. 2c). The distance between the front and the rear
235 segment (sensu Crider and Pollard, 1998) of the relay ramp is ~ 400 m in map view, whilst the two
236 segments overlap for at least 900 m along strike (Fig. 2c). The quarry is located immediately at the
237 footwall and at the western tip of the front segment (Fig. 2c). The front segment dips moderately
238 toward SE (156° mean dip azimuth) and puts Lower Cretaceous shallow-water limestones at the
239 footwall in contact with Middle Pleistocene subaerial breccias (“Brecce Rosate” Unit; Cavinato et
240 al., 2002) at the hangingwall (Fig. 2c and 4). The slickenlines, well-preserved in the western portion
241 of the quarry (Fig. 4), suggest oblique to right-lateral (mean slickenlines rake 155°) kinematics for
242 the front segment. Such kinematics are compatible with a non-Andersonian stress field
243 characterized by oblique σ_1 and NNE gently plunging σ_3 (Fig. 3). The Lower Cretaceous
244 limestones in the quarry host the fault damage zone, characterized by pervasive fracturing and the
245 presence of various small-displacement (metric to decametric) slip surfaces (i.e., minor faults; Fig.
246 4).

247 The manual interpretation of the quarry virtual outcrop allowed us to map the minor faults in the
248 damage zone (Fig. 5). The damage zone is characterized by an intensely fractured carbonate host
249 rock and by the presence of numerous minor faults (Figs. 4 and 5). Minor faults are pervasive and
250 heterogeneously distributed, with the highest concentration in the northern sector (Fig. 5). Their
251 trace length, measured from the DOM, spans from 1 m to 50 m with most of the values comprised
252 between 5 m and 10 m (Fig. S1). The density contour stereoplot representing the poles to the minor
253 faults attitudes measured in the field (stereoplot on the left in Fig. 5) is very similar that obtained
254 from the virtual outcrop (stereoplot on the right in Fig. 5). Both the stereoplots show evidence for
255 two major sets of minor faults. The first set is characterized by orientations similar to the main fault,

256 specifically faults dipping $> 55^\circ$ and striking both E-W and NE-SW (stereoplots in Fig. 5). The
257 most prominent example is provided by a very large (~ 20 m x 25 m) and undulated fault surface
258 exposed in the northern sector of the quarry (Fig. 5 and 6a). The second set is characterized by slip
259 surfaces striking NW-SE (i.e., orthogonal to the main fault) and dipping $> 60^\circ$ (stereoplot in Fig. 5).
260 This set is particularly evident in the eastern sector of the quarry (Fig. 6b). Notably, our
261 observations did not provide any evidence of systematic cross-cutting relationship between the
262 different sets of faults (Fig. 5).

263 A cross-section across the quarry allows us to visualize the minor faults distribution, and hence to
264 illuminate the fault zone structure at the outcrop scale (Fig. 6c). The largest minor faults pertaining
265 to the first set (Fig. 5, 6c) are arranged with distances varying from 1-2 m to tens of meters (Fig.
266 6c). The second set is represented by a relatively high number of minor faults striking orthogonal to
267 the main fault. Other minor faults show strikes similar the main fault and have low dip angles, and
268 rare antithetic faults are also present (Fig. 6c).

269

270 *4.2. Kinematics of the minor faults*

271 The slickenlines collected on the minor faults indicate complex kinematics (Fig. 7). The density
272 contour plot in Figure 7a shows that slickenlines on minor faults have azimuths in variable
273 directions and plunges that range from horizontal to vertical. However, most of the slickenlines
274 plunge between $\sim 220^\circ$ (SW) and $\sim 320^\circ$ (NW), with the highest density between 240° and 280°
275 (WSW to W approximately; Fig. 7a). In this range we recognize two main clusters ($\sim 270^\circ/35^\circ$ and
276 $\sim 250^\circ/15^\circ$) defining W-E oblique and WSW-ENE sub-horizontal movements respectively, and
277 several minor clusters, including NW-SE and WSW-ENE oblique kinematics, and W-E sub-
278 horizontal movements. Finally, other minor clusters indicate sub-vertical movements with
279 slickenlines pointing mainly toward WSW ($\sim 250^\circ$), SSE ($\sim 165^\circ$) and SW ($\sim 220^\circ$).

280 This wide range of slickenlines, together with the different orientation of the minor faults, results in
281 variable kinematics, spanning from left lateral, to normal to right-lateral, independently of their

282 orientation (Fig. 7b, c). Furthermore, a double set of slickenlines is sometimes observed on NE-SW
283 and E-W striking faults (inset in Fig. 6b). Overall, a right-lateral slip component is the most
284 recorded kinematic sense (44 %), followed by normal (34%), and left lateral motions (22%) (Fig.
285 7b). The faults that show a main right-lateral component mostly strike in a W-E direction (~57%)
286 and, secondarily, in a NE-SW direction (~23%) (Fig.7b, c). The same kinematics is recorded also
287 by faults striking NW-SE (13 %), and N-S (~7 %). Normal and left-lateral kinematics are nearly
288 equally distributed for the various fault orientations (Fig. 7b, c). The highest number of faults with
289 normal kinematics strike NE-SW (~ 38%), followed by N-S and W-E striking faults (~24% each)
290 (Fig. 7b, c). Finally, left-lateral slip is mainly associated with E-W (36%) and NW-SE striking
291 (32%) faults (Fig. 7b, c).

292

293 **5. Discussion**

294 *5.1 – Geometry of the subsidiary faults*

295 Our study leverages the employment of a virtual outcrop to provide a very detailed description of
296 minor faults within a portion of a carbonate-hosted relay ramp. The manual interpretation of the
297 virtual outcrop allowed us to reconstruct the exact position of each minor fault in 3D space and we
298 used this information to produce a map (Fig. 5) and a cross-section (Fig. 6c) representing their
299 distribution. Furthermore, we were able to extract orientation data by fitting planes to polylines
300 manually drawn on the 3D traces of the minor faults (Fig. 5). The low RMS and RMS/length values
301 testify the goodness of fit (Figs. S2 and S3). The similarity between the stereoplots representing the
302 minor fault attitudes retrieved from the natural and the virtual outcrops (Fig. 5) is the strongest
303 evidence for the accuracy of the 3D model. Thus, our study further confirms and supports the
304 applicability of analyses derived from virtual outcrops in structural geology problems (Tavani et al.,
305 2014; Seers and Hodgetts, 2016; Vollgger and Cruden, 2016 & Telling et al., 2017 among others)
306 and, in particular, the ability to create a precise 3D geometrical reconstruction at outcrop scales
307 (1:5,000 and higher).

308 The structural map and the cross section reconstructed in our study (e.g. Fig. 5 and 6c) allow for a
309 detailed characterization of the subsidiary fault geometries within the relay zone. The largest
310 subsidiary faults are arranged in major sub-parallel strands striking sub-parallel to the main fault
311 segments and are accompanied by smaller faults with various orientations including those that strike
312 orthogonally to the main fault (Fig. 6c and stereoplot in Figure 5). The presence of subsidiary faults
313 striking sub-parallel to the main fault segments has been observed for carbonate normal faults at
314 different scales (e.g. Jackson and White, 1989; Agosta and Aydin, 2006; Bonson et al., 2007;
315 Collettini et al., 2014 Valoroso et al., 2014; Demurtas et al., 2016; Smeraglia et al., 2016). Similar
316 faults have been observed within relay ramps formed in basement rocks (e.g., Peacock et al., 2000)
317 and been imaged in seismic reflection profiles (Hus et al., 2006). Nonetheless, our work provides
318 one of the first detailed characterizations of the complex fault pattern (e.g. Figs. 5-7) within a
319 carbonate-hosted relay ramp. The detailed structural mapping (scale 1: 2,000) and the large number
320 of subsidiary faults collected for this study (Fig. 5), allowed us to confirm the geometrical (multiple
321 orientations of subsidiary faults and fractures) that has been observed within relay ramps in a few
322 previous studies (Kattenhorn et al., 2000; Çiftçi & Bozkurt, 2007; Bastesen & Rotevatn, 2012).

323

324 *5.2 – Kinematics and Dynamics of subsidiary faults*

325 Associated with the complex geometry, the subsidiary faults in the damage zone also show complex
326 kinematics, ranging from strike-slip (either dextral or sinistral) to dip-slip movements,
327 independently from their orientations (Fig. 7b,c), with slickenlines plunging toward a wide range of
328 directions (Fig. 7a). These observations suggest that slip on all the subsidiary faults is not related to
329 a single stress field (e.g., Angelier, 1984).

330 To explain this complex fault pattern, the first hypothesis to explore is that the complex geometry
331 and kinematics results from the overprinting of two (or more) stress fields related to different
332 tectonic regimes acting in different periods of time. This hypothesis can be easily ruled out. In fact,

333 although some NE-SW and E-W striking faults record two slickenline sets (Fig. 6a), systematic
334 cross-cutting relationships between various sets of minor faults are absent (see Fig. 5).

335 In the following, we test the hypothesis that complex minor fault geometry and kinematics result
336 from the simultaneous activity and competition of at least 3 stress fields (Fig. 8) induced by: 1)
337 active extension in Central Apennines (regional stress field); 2) the Tre Monti fault activity (fault
338 stress field) and 3) the relay zone (quarry stress-field). We firstly provide geological and
339 geophysical background for each stress field, and then we describe our fault slip analysis.

340 The axial zone of the Apennines is characterized by an extensional Andersonian stress field with
341 NE-SW oriented σ_3 (regional stress field; Fig. 8a and Table 1), as shown by inversion of focal
342 mechanisms (e.g. Chiaraluce et al., 2017). There is strong evidence for the recent activity of the
343 Tre Monti normal fault in the framework of the active extensional fault system of Central
344 Apennines. This is supported by the predominance of dip-slip slickenlines observed on the main
345 fault scarps (stereoplot in Fig. 2a) and by paleoseismological investigations showing dip-slip
346 kinematics (Benedetti et al., 2013; Cowie et al., 2017). The kinematic inversion of slickenlines
347 measured along the main fault scarps defines a NW-SE orientated extension with a sub-vertical σ_1
348 (fault stress field; Fig. 8a and Table 1). Finally, in a relay zone, it is well documented that slip and
349 stress distribution within the overlapping segments promote the development of a local stress field
350 (Crider and Pollard, 1998; Kattenhorn et al., 2000; Çiftçi & Bozkurt, 2007; Bastesen & Rotevatn,
351 2012). For our case study we retrieved the local stress field from kinematic inversion of the right-
352 lateral slickenlines observed on the main fault in the quarry (i.e., the front segment of the relay
353 ramp). This stress-field is characterized by a non-Andersonian orientation of the principal stress
354 axes, with a W-trending oblique σ_1 and NNE trending gently dipping σ_3 (quarry stress field; Fig. 8c
355 and Table 1).

356 The regional stress field (Fig. 8a, Table 1) used as input for the fault slip analysis show that 51% of
357 minor faults are well-oriented in this stress field, but only 27% of them present compatible

358 slickenlines (Fig. 8a). The regional stress field can only explain the right-lateral kinematics of W-E
359 striking faults and the dip-slip kinematics on NW-SE striking faults (Fig. 8a and Table 2).

360 The fault stress field (Fig. 8b, Table 1) is able to explain the geometry of a large number of
361 subsidiary faults (72%), however only 15% are well-oriented and have compatible kinematics (Fig.
362 8b). The fault stress field is able to explain only dip-slip slickenlines on NE-SW oriented minor
363 faults (Fig. 8b and Table 2).

364 The quarry stress field (Fig. 8c and Table 2) is able to explain the distribution of a very high
365 percentage of minor faults (81%) and a large number of these faults (53%) have slickenlines
366 compatible with this stress field (Fig. 8c). The quarry stress field is able to explain the kinematics of
367 minor faults striking both parallel (right-lateral kinematics on W-E and NE-SW striking faults) and
368 orthogonal to the main fault (left-lateral kinematics on NW-SE striking faults).

369 Within a relay ramp, complex fault geometries are often associated with mechanical interaction and
370 stress rotation between the overlapping faults (Peacock & Sanderson, 1994; Fossen and Rotevatn,
371 2016). Our mechanical analysis suggests that in the case study of the Tre Monti fault, further
372 geometrical and kinematic complexity can be added by the temporal competition and interaction of
373 various stress fields. Each stress field can either be responsible of the formation of new faults,
374 renewing the minor faults population and increasing the geometrical complexity, or can promote
375 slip on pre-existing well-oriented faults. In this area of Central Apennines, when the regional stress
376 field prevails, promoting slip on the San Potito-Celano and/or Pescara-Celano faults (Fig. 9a), in the
377 relay zone of the Tre Monti fault, slip is favoured on NW-SE structures with dip-slip kinematics
378 and on W-E striking structures with right-lateral movements. On the contrary, when the stress field
379 associated with the Tre Monti fault prevails (i.e. fault stress field; Fig 9b), slip is favoured on NW-
380 SE oriented structures with dip-slip kinematics.

381 However, the large number of minor faults that show geometric and kinematic compatibility with
382 the quarry stress field (Fig. 8c) indicate that the majority of the minor structures are due to the
383 interaction between the two main fault strands which creates an oblique dextral kinematics on the

384 relay zone (Fig. 9c). We therefore suggest that the complex geometry and kinematics of the minor
385 faults in the relay ramp of the Tre Monti fault is mainly a result of a local stress field caused by
386 interaction between the overlapping fault segments. Further kinematic complexity can be explained
387 by the transient influence of regional and fault-scale stress fields at the local-scale.

388

389 **6. Conclusions**

390 Using fieldwork and virtual outcrop technologies, we investigated the subsidiary faults geometry
391 and kinematics within a carbonate-hosted relay ramp. The structural map and cross section
392 reconstructed in our study (scale 1: 2,000 and 1:1,000 respectively) allow for a detailed
393 characterization of the subsidiary faults geometry. The largest subsidiary faults show an orientation
394 that is sub-parallel to the main fault segments accompanied by smaller faults with different attitudes
395 and often striking orthogonally to the main fault. Faults also show a wide range of kinematics (left-
396 lateral, dip-slip, right-lateral) independently of their orientation. Based on fault slip analysis,
397 accounting for both fault geometry and kinematics, we suggest that the complex minor fault
398 geometry and kinematics can be mostly explained by the development of a stress perturbation
399 within the relay zone, resulting from the interaction of the overlapping segments. Further
400 geometrical and kinematic complexity may be interpreted as due to the temporary superposition of
401 either the stress field associated with the slip of the entire Tre Monti Fault or the regional active
402 extension. Our results highlight that the geometry and kinematics of minor faults within relay zones
403 are dependent on stress field interactions across the scales.

404

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672

673 **Figure captions**

674 Figure 1 – Structural setting of the Central Apennines (A) and Plio-Quaternary tectonic evolution
675 of the Fucino basin (B, C). The intermontane basins in the Central Apennines are commonly
676 bordered by NW-SE and rarer WSW-ENE striking normal faults (red). Slip on normal faults
677 accommodates a NE-SW oriented regional extension which started during late Miocene/early
678 Pliocene and it is still ongoing, as testified by the stress field retrieved from recent seismic
679 sequences (e.g., L'Aquila 2009; Scognamiglio et al., 2010; Ferrarini et al., 2015). The normal faults
680 dismember a late Triassic to Miocene shallow-water to pelagic- carbonate succession shortened
681 within the Apennines fold and thrusts belt. The Fucino Plain is an intermontane basin bordered by
682 the Tre Monti fault (TMF) to NW and by the San Potito-Serrone fault system (SPSFS) to the NE.
683 The San Potito – Serrone fault system comprises the San Potito-Celano (SPCF), Celano-Pescina
684 (CPF), and Serrone (SF) faults. The tectonic evolution of the Fucino plain during early Pliocene
685 time was controlled by dip-slip movements on the Tre Monti fault, which was longer at the time
686 (B). Since Late Pliocene, the Fucino plain tectonics was controlled by NW-SE striking San Potito-
687 Serrone fault system cutting the Tre Monti fault near the Celano village (C). Modified from
688 Galadini and Messina, 1994.

689

690 Figure 2 – The Tre Monti fault. (A) Geological map of the Tre Monti area (modified from
691 Smeraglia et al., 2016). The Tre Monti fault is ~7 km long and crops out in a series of SE-dipping

692 and right-stepping fault scarps. Mainly dip-slip kinematic indicators were observed on the main
693 fault scarps, suggesting NW-SE oriented extensional stress field (stereoplot in Figure 2a). Blue and
694 red dots in the stereoplot represent respectively the orientation of σ_1 and σ_3 inferred from the
695 inversion of each slickenline. (B) Geological cross-section (section trace indicated in Figure 2a)
696 showing that the Tre Monti fault is composed of a series of sub-parallel fault strands. The principal
697 fault strand represents the tectonic contact between early Cretaceous to Miocene carbonates
698 (footwall) and Pliocene to Quaternary deposits (hangingwall). (C) Zoom of the study-area marked
699 with a black square in Figure 2a. The abandoned quarry is located at the footwall of the front
700 segment in a relay ramp environment defined by two main right-stepping fault strands and exposes
701 the damage zone within Early Cretaceous shallow-water limestones. The small black circle in
702 Figure 2c represents the view point for Figure 4.

703

704 Figure 3 – Summary of the adopted methodology to build a 3D model representing the minor fault
705 distribution in the abandoned quarry. A laser-scanner survey has been performed to produce a true-
706 color point cloud. Using the CloudCompare software (www.cloudcompare.org), the minor faults
707 were identified in the point cloud and manually picked to obtain a structural interpretation. Finally,
708 we built a 3D model using the Move software.

709

710 Figure 4 – View of the abandoned quarry from the point indicated in Figure 2c. The main fault
711 (front segment of the relay ramp) crops out in the western portion of the quarry where it puts in
712 contact the Early Cretaceous shallow-water limestones in the footwall, with Pleistocene continental
713 breccias (“Brecce Rosate” Unit; Cavinato et al., 2002) in the hangingwall. The damage zone is
714 located in Early Cretaceous shallow-water limestones and characterized by pervasive fracturing and
715 the presence of minor faults. The fault is characterized by right-lateral kinematic indicators
716 providing the stress field reported in the stereoplot (Schmidt net lower hemisphere). Blue and red

717 dots in the stereoplot represent respectively the calculated σ_1 and σ_3 orientation for each
718 slickenline.

719

720

721 Figure 5 – Minor faults map obtained from the manual interpretation of the virtual outcrop. Minor
722 faults are heterogeneously distributed within the damage zone, with the highest concentration in the
723 northern sector of the quarry. The minor faults attitudes obtained from both the real and the virtual
724 outcrop are represented as poles in the two stereoplot (Schmidt net lower hemisphere). The black
725 line (AB) represent the trace of the cross-section reported in Figure 6. The black dots with yellow
726 triangles indicate view points for Figure 6a and 6b.

727

728 Figure 6 – Minor faults in the abandoned quarry. A) Faults striking subparallel to the main fault are
729 the most abundant and are often characterized by two slickenlines sets (inset). This set is
730 accompanied by smaller faults striking orthogonal to the main fault (B). C) Vertical cross-section
731 parallel to the main fault dip. The outcrop-scale internal structure for the Tre Monti fault is depicted
732 by the minor fault distribution, characterized by major fault strands sub-parallel to the main fault
733 with smaller faults with different orientation.

734

735 Figure 7 – Minor faults kinematics. (A) Density contour plot of slickenlines (Schmidt net, lower
736 emisphere). The slickenlines point toward all directions, with maximum densities toward WSW, W,
737 and NW. (B) Bar charts showing the distribution of fault orientation and kinematics. The faults
738 exhibit various kinematics for each fixed orientation. (C) Stereoplot (Schmidt net, lower emisphere)
739 of minor faults for left (red), normal (orange), and right main slip component.

740

741 Figure 8 – Normalised slip tendency and slickenlines compatibility analysis for three hypothesized
742 stress fields: active regional NE-SW orientated extension (regional stress field; A), NW-SE oriented

743 extension (fault stress field; B) compatible with the mainly dip-slip slickenlines observed for the
744 whole Tre Monti fault, and a quarry stress field (C) calculated from the inversion of the right-lateral
745 slickenlines observed on the front segment of the relay ramp. Black dots in the slip tendency
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754 extension (fault stress field) at sub-regional scale (10 km scale), due to the release fault geometry of
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760

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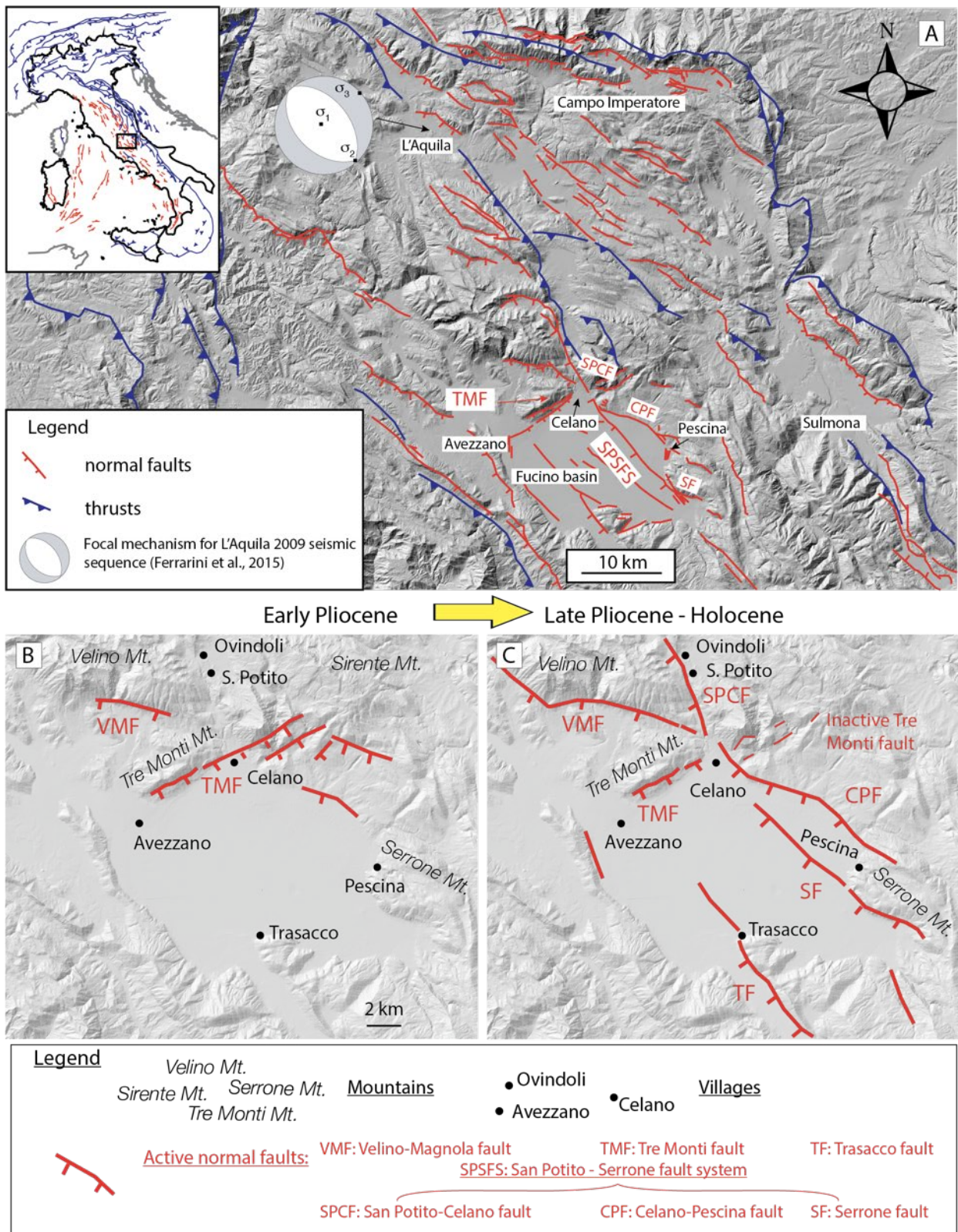


Figure 1 – Structural setting of the Central Apennines (A) and Plio-Quaternary tectonic evolution of the Fucino basin (B, C). The intermontane basins in the Central Apennines are commonly bordered by NW-SE and rarer WSW-ENE striking normal faults. Slip on normal faults accommodates a NE-SW oriented regional extension which started during late Miocene/early Pliocene and it is still ongoing, as testified by the stress field retrieved from recent seismic sequences (e.g., L'Aquila 2009; Scognamiglio et al., 2010; Ferrarini et al., 2015). The normal faults dismember a late Triassic to Miocene shallow-water to pelagic- carbonate succession shortened

within the Apennines fold and thrusts belt. The Fucino Plain is an intermontane basin bordered by the Tre Monti fault (TMF) to NW and by the San Potito-Serrone fault system (SPSFS) to the NE. The San Potito-Serrone fault system comprises the San Potito–Celano (SPCF), Celano-Pescina (CPF), and Serrone (SF) faults. The tectonic evolution of the Fucino plain during early Pliocene time was controlled by dip-slip movements on the Tre Monti fault, which was longer at the time (B). Since Late Pliocene, the Fucino plain tectonics was controlled by NW-SE striking San Potito – Serrone fault system cutting the Tre Monti fault near the Celano village (C). Modified from Galadini and Messina, 1994.

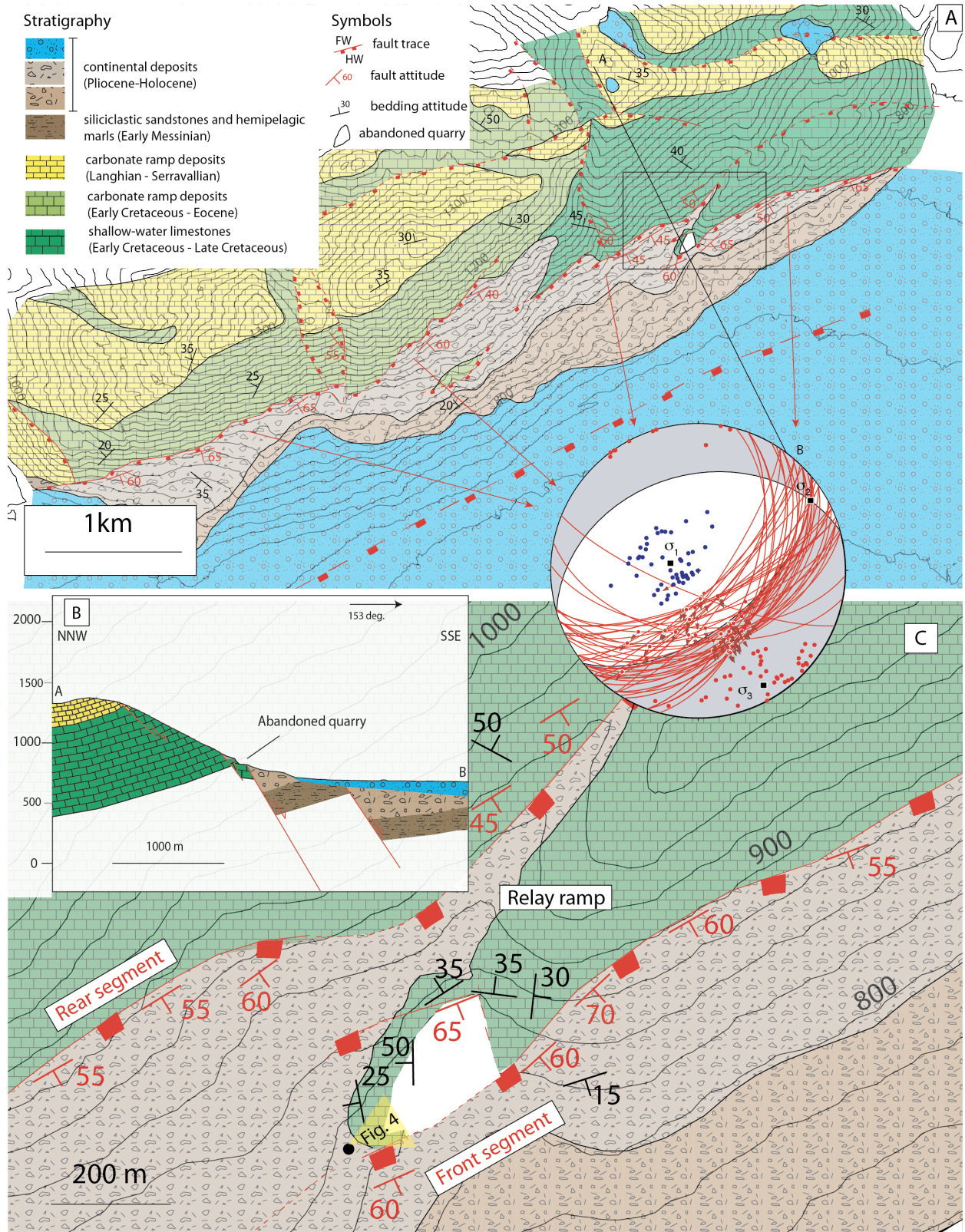


Figure 2 – The Tre Monti fault. (A) Geological map of the Tre Monti area (modified from Smeraglia et al., 2016). The Tre Monti fault is ~7 km long and crops out in a series of SE-dipping and right-stepping fault scarps. Mainly dip-slip kinematic indicators were observed on the main fault scarps, suggesting NW-SE oriented extensional stress field (stereoplot in Figure 2a). Blue and red dots in the stereoplot represent respectively the orientation of σ_1 and σ_3 inferred from the inversion of each slickenline. (B) Geological cross-section (section trace indicated in Figure 2a) showing that the Tre Monti fault is composed of a series of sub-parallel fault strands. The principal

fault strand represents the tectonic contact between early Cretaceous to Miocene carbonates (footwall) and Pliocene to Quaternary deposits (hangingwall). (C) Zoom of the study-area marked with a black square in Figure 2a. The abandoned quarry is located at the footwall of the front segment in a relay ramp environment defined by two main right-stepping fault strands and exposes the damage zone within Early Cretaceous shallow-water limestones. The small black circle in Figure 2c represents the view point for Figure 4.

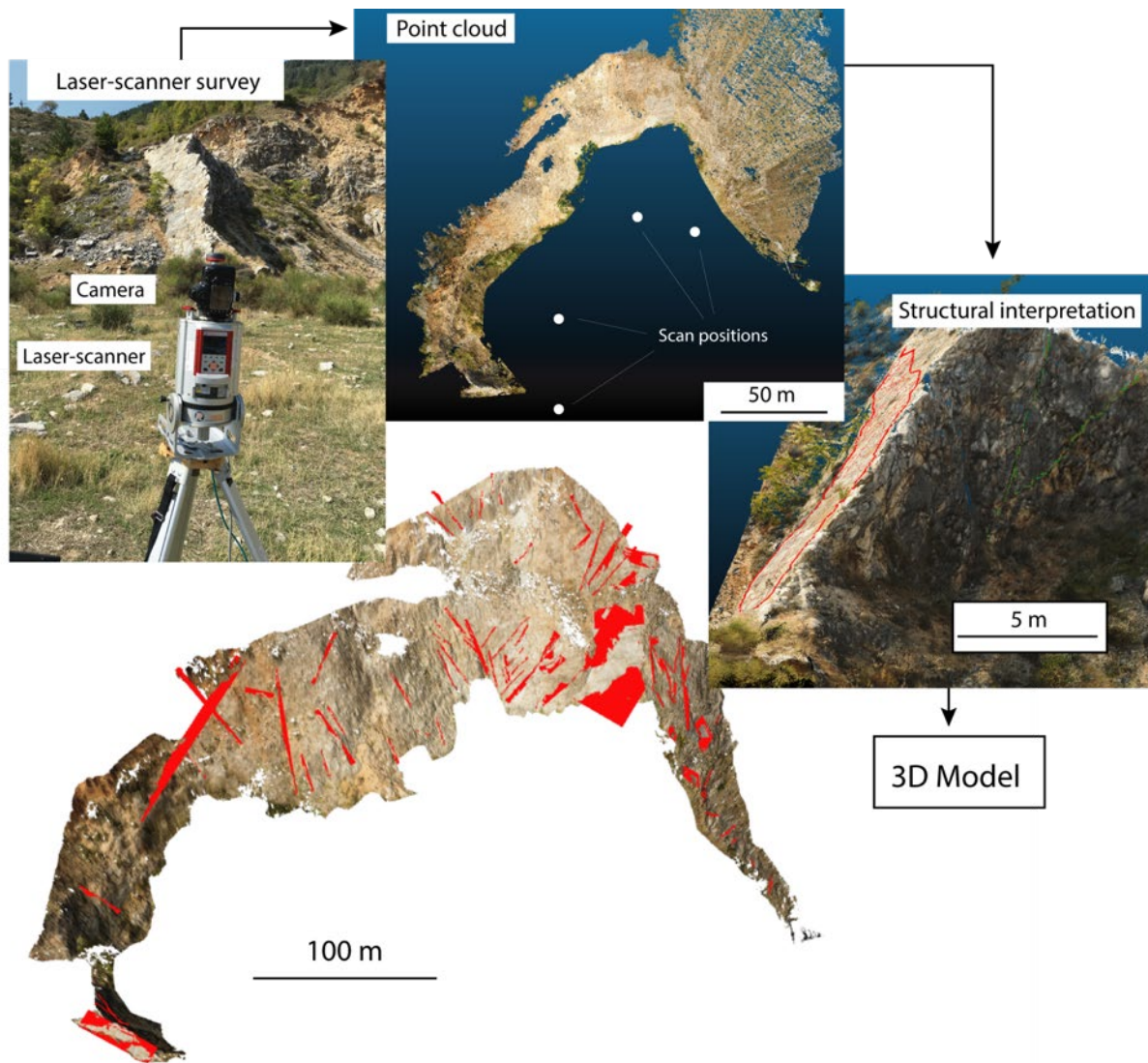


Figure 3– Summary of the adopted methodology to build a 3D model representing the minor fault distribution in the abandoned quarry. A laser-scanner survey has been performed to produce a true-color point cloud. Using the CloudCompare software (www.cloudcompare.org), the minor faults were identified in the point cloud and manually picked to obtain a structural interpretation. Finally, we built a 3D model using the Move software.

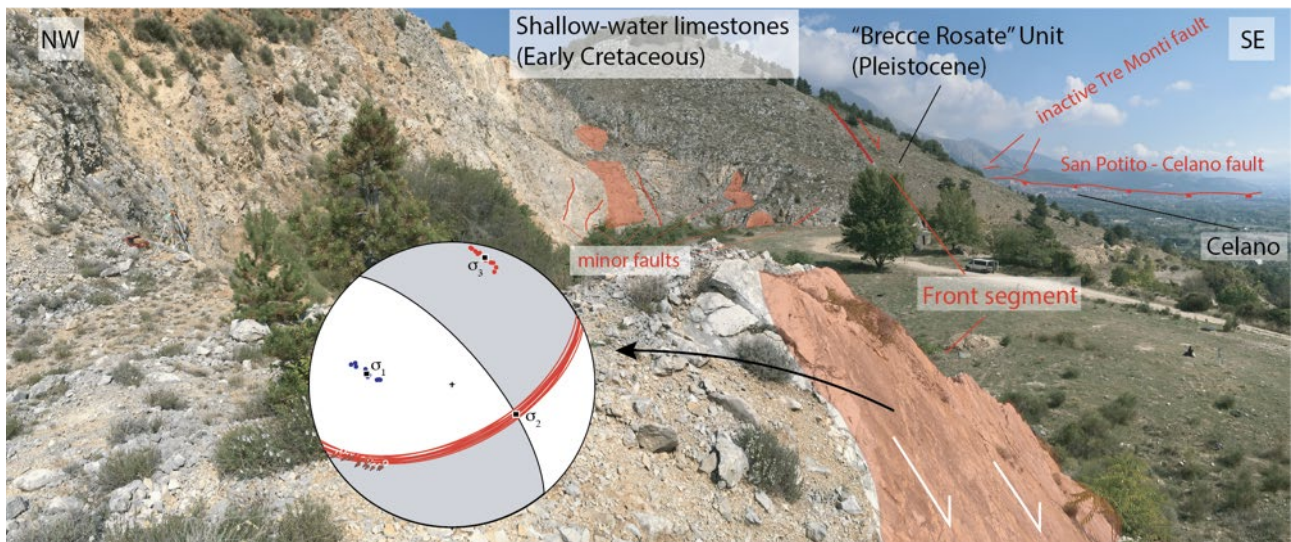


Figure 4 – View of the abandoned quarry from the point indicated in Figure 2c. The main fault (front segment of the relay ramp) crops out in the western portion of the quarry where it puts in contact the Early Cretaceous shallow-water limestones in the footwall, with Pleistocene continental breccias (“Brecce Rosate” Unit; Cavinato et al., 2002) in the hangingwall. The damage zone is located in Early Cretaceous shallow-water limestones and characterized by pervasive fracturing and the presence of minor faults. The fault is characterized by right-lateral kinematic indicators providing the stress field reported in the stereonet (Schmidt net lower hemisphere). Blue and red dots in the stereonet represent respectively the calculated σ_1 and σ_3 orientation for each slickenline.

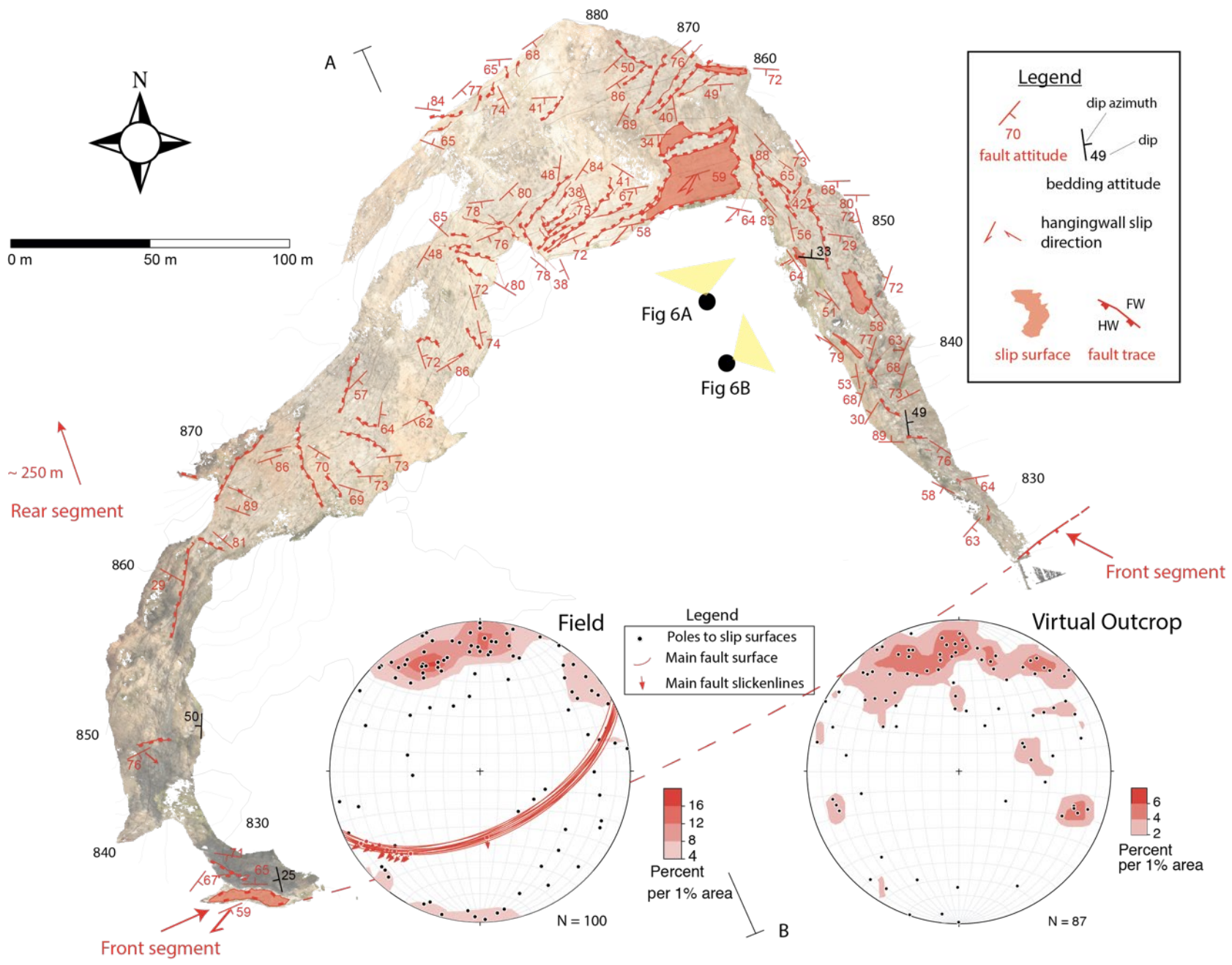


Figure 5 – Minor faults map obtained from the manual interpretation of the Virtual Outcrop. Minor faults are heterogeneously distributed within the damage zone, with the highest concentration in the northern sector of the quarry. The minor faults attitudes obtained from both the real and the virtual outcrop are represented as poles in the two stereoplot (Schmidt net lower hemisphere). The black line (AB) represent the trace of the cross-section reported in Figure 6. The black dots with yellow triangles indicate view points for Figure 6a and 6b.

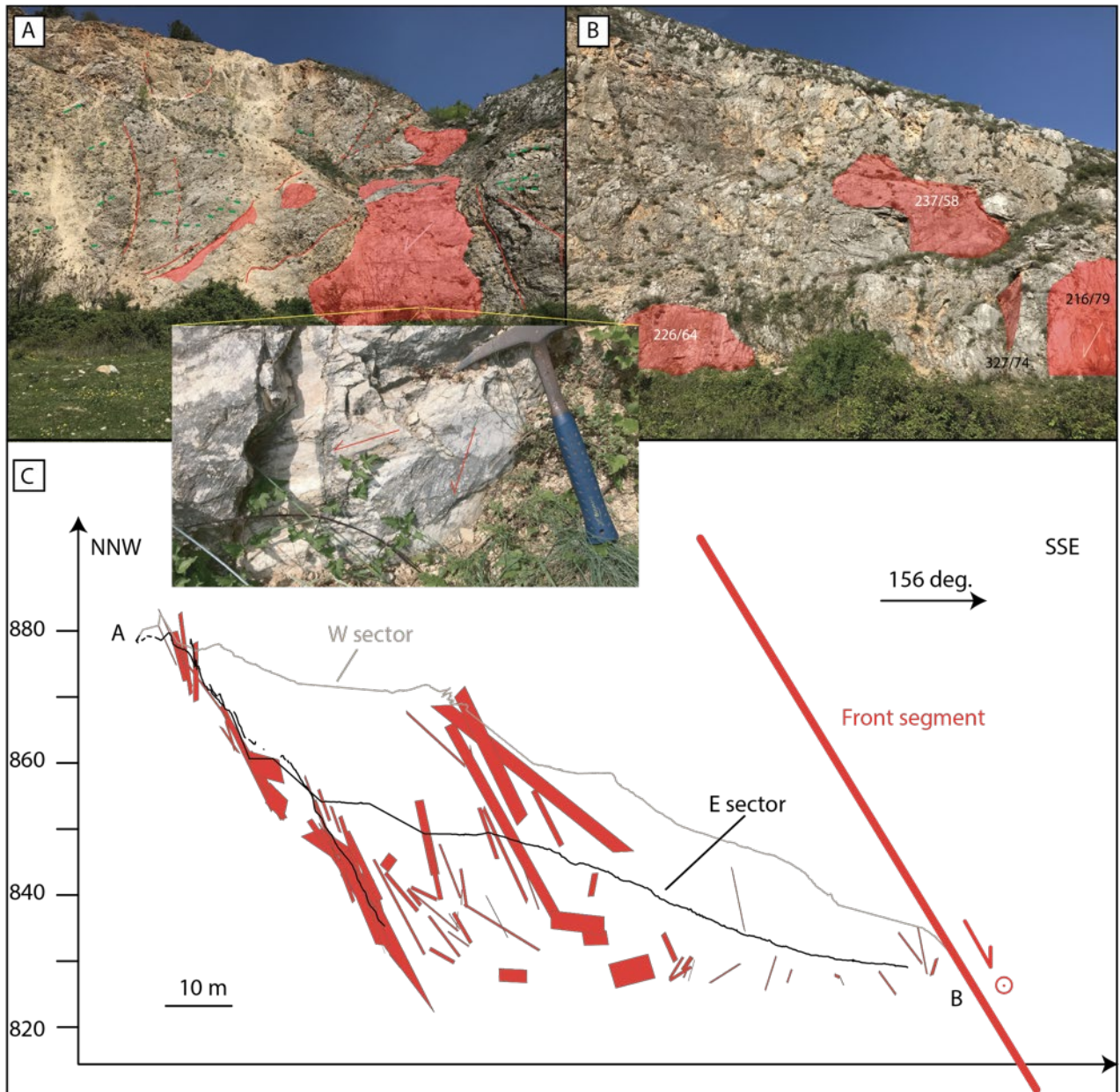


Figure 6 – Minor faults in the abandoned quarry. A) Faults striking subparallel to the main fault are the most abundant and are often characterized by two slickenlines sets (inset). This set is accompanied by smaller faults striking orthogonal to the main fault (B). C) Vertical cross-section parallel to the main fault dip. The outcrop-scale internal structure for the Tre Monti fault is depicted by the minor fault distribution, characterized by major fault strands sub-parallel to the main fault with smaller faults with different orientation.

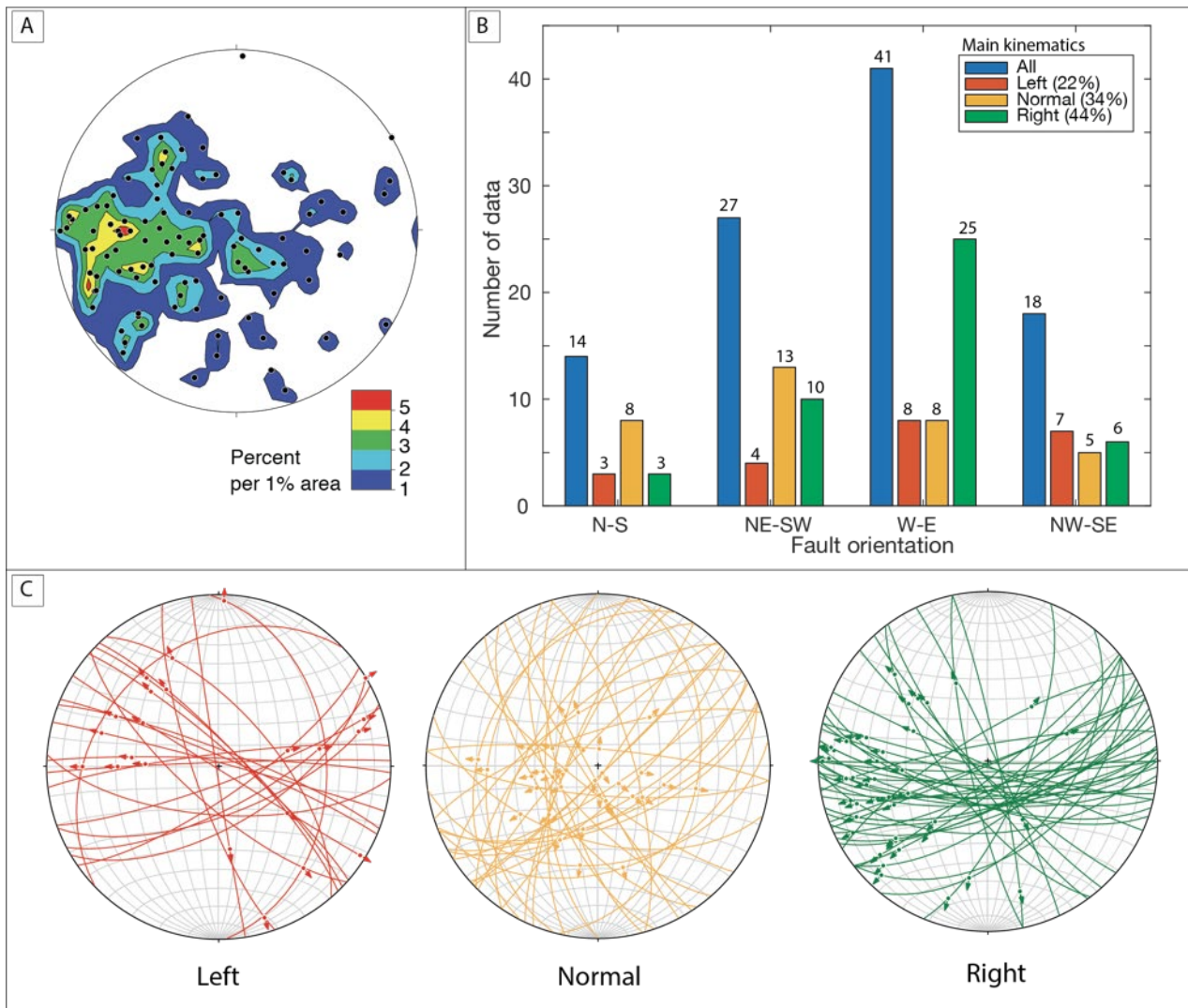


Figure 7 – Minor faults kinematics. (A) Density contour plot of slickenlines (Schmidt net, lower hemisphere). The slickenlines point toward all directions, with maximum densities toward WSW, W, and NW. (B) Bar charts showing the distribution of fault orientation and kinematics. The faults exhibit various kinematics for each fixed orientation. (C) Stereoplots (Schmidt net, lower hemisphere) of minor faults for left (red), normal (orange), and right main slip component.

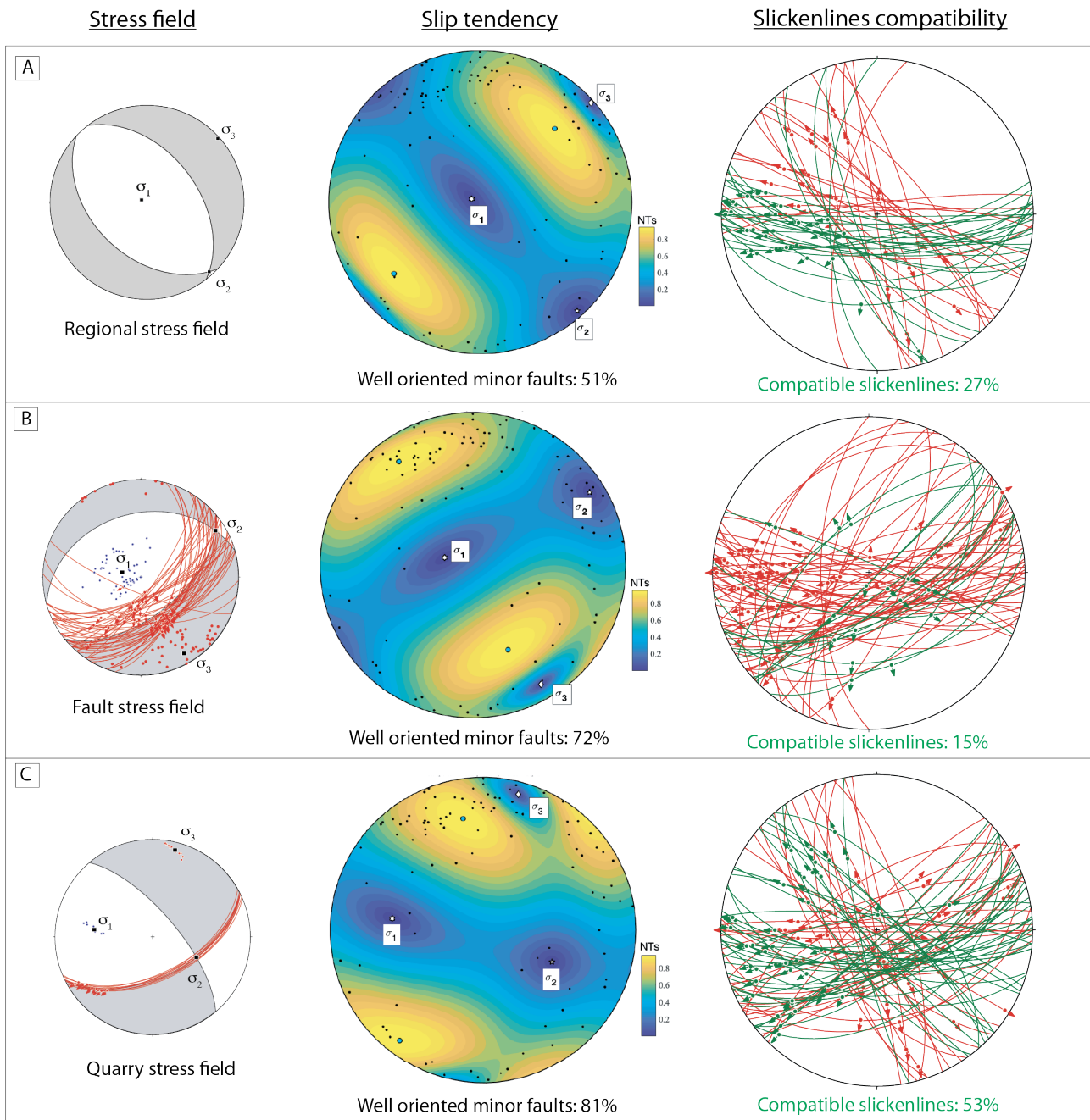


Figure 8 – Normalised slip tendency and slickenlines compatibility analysis for three hypothesized stress fields: active regional NE-SW orientated extension (regional stress field; A), NW-SE oriented extension (fault stress field; B) compatible with the mainly dip-slip slickenlines observed for the whole Tre Monti fault, and a quarry stress field (C) calculated from the inversion of the right-lateral slickenlines observed on the front segment of the relay ramp. Black dots in the slip tendency stereoplots represent the poles to the minor faults. The slip tendency stereoplots have been produced using a MATLAB tool for slip tendency (Bistacchi et al., 2012). Green and Red colours in the slickenlines compatibility stereoplots represent respectively compatible and non-compatible slickenlines with respect to the predicted slickenlines orientation in a given stress field.

Stress field name	σ_1 (trend/plunge)	σ_3 (trend/plunge)	Stress shape ratio (ϕ)	Friction coefficient
Regional stress field	292/85	048/02	0.56 (Ferrarini et al., 2015)	0.6
Fault stress field	285/74	150/11	0.56 (Ferrarini et al., 2015)	0.6
Quarry stress field	277/39	015/09	0.56 (Ferrarini et al., 2015)	0.6

Table 1 – Parameters defining the stress fields assumed for the kinematic analysis of minor fault slickenlines.

Strike	Abundance	Well-oriented			Slickenlines compatibility		
		Regional	Fault	Quarry	Regional	Fault	Quarry
E-W	41%	29%	41%	36%	22%	5%	23%
NE-SW	27%	0%	26%	20%	0%	9%	9%
NW-SE	18%	12%	2%	18%	2%	3%	10%
N-S	14%	10%	5%	7%	3%	0%	1%
all	100%	51%	72%	81%	27%	15%	53%

Table 2 – Results for the slip tendency and slickenline compatibility analysis for different fault orientation.

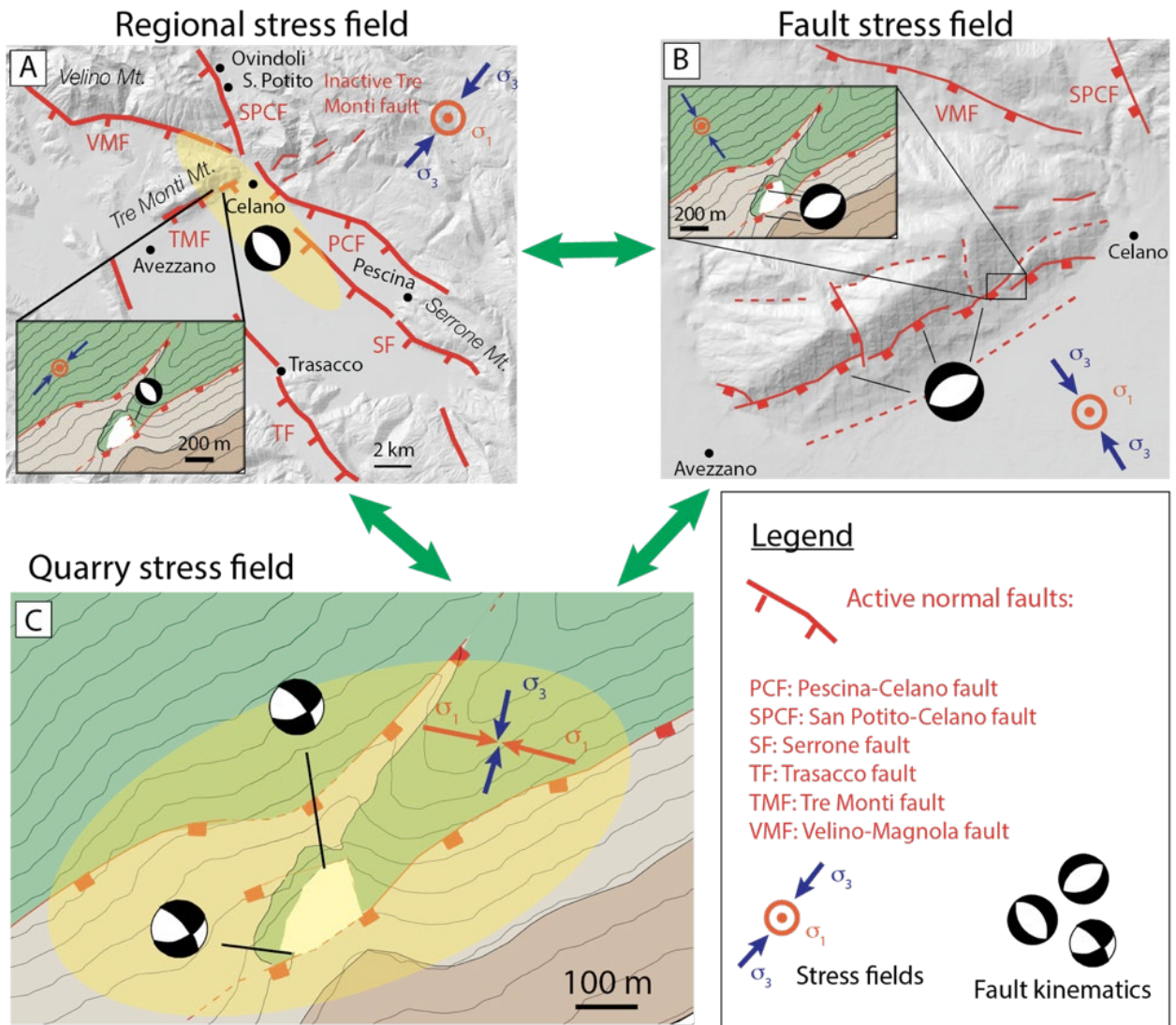


Figure 9 – Interpretation of the complex kinematics of minor faults. Minor faults geometry and kinematics reflect the local-scale temporal interaction between various stress fields. (A) a NE-SW orientated extension acting at regional scale (i.e., regional stress field), and (B) a NW-SE oriented extension (fault stress field) at sub-regional scale (10 km scale), due to the release fault geometry of the Tre Monti fault add further geometrical and kinematical complexity to (C) a quarry stress field due to the interaction of two main fault strands that borders the quarry.