- 1 **Title:** How did that get there? Understanding sediment transport and accumulation
- 2 rates in agricultural landscapes using the ESTTraP agent-based model.
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## 14 Abstract

- The 15<sup>th</sup>-18<sup>th</sup> century CE site of Engaruka in Tanzania is often described as primarily 15 16 comprising drystone agricultural terraces, but it is now known that many of these 17 former farming plots are not terraces per se, but are instead sediment traps. 18 Stratigraphic excavations of these traps show that they were built by constructing low drystone walls adjacent to either natural or artificial water courses in order to capture 19 20 fine alluvial sediments entrained within water flows. In the northern area of the site sediments were accumulated to a depth of up to 700 mm, while in one area in the 21 22 south of the site over 2 m of deposits were accumulated over at least a 300 year 23 period. The presence of sediment traps on archaeological sites allows investigations 24 of the efficacy and sustainability of these structures over decadal to centennial 25 timescales, since stratigraphic excavations can define the process of construction, 26 and geoarchaeological analyses can explore changes within accumulated sediments 27 over time. Although a combination of stratigraphy and absolute dating can discern the broad sequence and timing of sediment capture they cannot determine 28 29 sediment-accumulation rates, and these techniques are too time consuming to be used to map the development of over 9 km<sup>2</sup> of sediment traps. The ESTTraP agent-30 based model provides these data by simulating sediment accumulation under 31 32 different hydrological conditions. Four scenarios were simulated for a period of 100 33 years: constant water availability (SIM-01), seasonal variability (SIM-02), long-term
- climate variability (SIM- 03), and vegetation-cover impact (SIM-04). The model

- results suggest that the fields can be constructed over a short period of time,
- approximately 1 3 months per 6 × 6 m field, and that to construct a block of 90
- fields covering 3,000 m<sup>2</sup> it would take between 8 to 13 years in periods of high water
- availability, and up to 27 years during prolonged dry periods. The results define the
- 39 amount of time needed to construct individual fields, and suggest that farmers
- 40 constructed blocks of fields concurrently rather than sequentially expanding across
- the landscape, and that the c. 10 km<sup>2</sup> area of sediment traps at Engaruka could have
- 42 been constructed by a number of households working independently. The ESTTraP
- 43 model presents an important resource in the assessment of sediment dynamics and
- 44 patterns of field development, is relevant to a range of archaeological sites
- 45 worldwide that include intentional or unintentional alluvial deposition, and has
- 46 applications for modern landscape management.
- 47

# 48 Highlights

- 49 Technique for assessing long-term sustainability of sediment traps
- 50 Long-term patterns of sediment accumulation
- 51 Water availability the main constraint on sediment accumulation rates
- 52 Modelling of sediment accumulation helps refine site chronology
- 53 Landscape modifications can be achieved through house-hold level interventions
- 54

# 55 Keywords

- 56 Sediment transport
- 57 Sediment traps
- 58 Agent-based model
- 59 Engaruka
- 60 Water-management system
- 61 Sediment accumulation rates
- 62

# 63 **1. Introduction**

- 64 The construction of artificial sediment traps to create agricultural fields is a
- widespread practice (Mekonnen, et al., 2015), and is increasingly being recognised
- 66 on archaeological sites. These include long-abandoned sites like Petra in Jordon
- 67 (Beckers, et al., 2013) and extant farming systems in Ethiopia and Tunisia (Ferro-
- Vázquez, et al., 2017, Hill and Woodland, 2003), while other studies recognise

69 ancient sediment traps as exploitable legacies from previous periods of agricultural expansion or intensification (Giráldez, et al., 1988). Sediment traps can be built in a 70 71 variety of ways and can perform one or more of several functions, including 72 mitigating the impacts of soil erosion, stabilising sedimentation, increasing soil depth 73 and soil-water storage capacity, reducing runoff or the velocity of channelled water, accumulating fine sediments for ease of tillage or root penetration, the incorporation 74 75 of mineral or organic material beneficial to plant growth within agricultural plots, or to create flat areas for cultivation within valleys or at the base the slopes (Mekonnen, et 76 77 al., 2015, Abedini, et al., 2012, Ran, et al., 2008). At the current case-study site of Engaruka in Tanzania, geochemical results suggest that the repeated accumulation 78 79 of sediments within traps also avoided the salinization of soils (Lang and Stump, 2017); a common problem with prolonged irrigation, particularly in the tropics. The 80 ability of archaeological research to identify these benefits, and to assess their 81 efficacy over decadal to millennial scales, means it is well placed to contribute to 82 assessments of agricultural sustainability (Fisher, 2019, Logan, et al., 2019) Since 83 84 the construction of sediment traps leads to increases in the quantity of accumulated sediments over time, they provide an ideal case-study of how archaeological data-85 86 sets can be used to quantify change, and thus contribute to assessments of the costs and benefits of human-landscape modifications. In the current paper we 87 88 employ agent-based modelling to explore the physical and environmental factors that 89 influence the rates of sediment accumulation with sediment traps at Engaruka, and 90 discuss why the rates of change are significant to questions of site chronology, 91 resource use, agricultural management, social hierarchy and sustainability.

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93 Within the archaeological literature sediment traps are sometimes classified as a 94 form of runoff agriculture or as a runoff-terrace system (e.g. Beckers, et al., 2013, Evenari, et al., 1982), reflecting the fact that water-harvesting systems can 95 unintentionally accumulate the sediments entrained within water flows, most 96 obviously in the infilling of artificial reservoirs (Morrison, 2015). For some issues of 97 98 relevance to agricultural management and sustainability, intentionality may not 99 matter: the periodic addition of new sediments may have mitigated the effects of soil 100 salinization at Engaruka, for example, but it cannot be concluded from this that the 101 farmers who built these traps were aware of this effect. The question of intentional or unintentional accumulation of sediments remains important, however: the removal of 102

103 sediments inadvertently accumulated within irrigation canals, fields or reservoirs can be a time-consuming and labour intensive process (Sheridan, 2002) and may prompt 104 105 their abandonment (Morrison, 2015). At Engaruka, in contrast, the layout, method of 106 construction, and physical extent of the area of sediment traps, strongly suggest that the famers who built the field system between the 15<sup>th</sup> and 18<sup>th</sup> centuries CE 107 intended to exploit available river flows while deliberately capturing the sediments 108 109 they carried, in the process creating c.9 km<sup>2</sup> of sediment traps up to 700 mm deep in the northern end of the site (Stump, 2016, Stump, 2006), and accumulating 110 111 sediments over 2 m deep towards the southern end of the field system (Lang and 112 Stump, 2017).

113

The Engaruka system is of particular interest as excavations show that sediment 114 trapping and accumulation was inherently tied to the construction and expansion of 115 116 the system of drystone-bound fields bounded by drystone walls (Stump, 2006). Studies by Lang and Stump (2017) and Stump (2006) show that the drystone walls 117 118 of the sediment- trap fields were not self-supporting and instead were supported by the accumulation of sediments behind the walls. This arrangement meant that wall 119 120 courses were raised as the sediments accumulated in the sediment trap fields with farmers placing additional drystone wall courses over time that raised the height of 121 122 the walls as the sediment depths increased. In addition, excavations (Stump, 2006) also showed that the sediment trap fields were built in a series of blocks with each 123 124 block surrounded by a set of canals that transported the water and sediments to 125 these fields (Fig. 2). Thus the rate of sediment accumulation influenced the 126 construction of the drystone fields and the expansion of the field system across the landscape. 127

128

Although studies of archaeological stratigraphy can define the depth and extent of 129 sediment accumulation, and can demonstrate broadly the sequence of sediment-trap 130 construction, this approach provides little data on rates of sedimentation, and hence 131 132 the rate, pattern and manner of the system's development. The aim of this study is thus to assess the effects of water- and sediment-transport dynamics on sediment 133 134 accumulation and field development at Engaruka. In this paper we use evidence from archaeological excavations, field survey and aerial photographic data to create 135 a simulated version of the North Fields area at Engaruka, and employ agent-based 136

modelling (ABM) techniques to simulate different hydrological scenarios based on
data from modern irrigation at Engaruka and palaeoclimatic data from the region
(Ryner, et al., 2008, Verschuren, et al., 2000).

140

141 ABM represents just one technique that can be used to approach this problem, with others including 'system dynamics' - a deterministic, top-down modelling approach 142 143 that provides an aggregate view of the system's processes (Ding, et al., 2018, Martin and Schlüter, 2015) - and traditional discrete event simulation that employs a top-144 145 down, process-oriented modelling approach (Siebers, et al., 2010, Bonabeau, 2002). The choice to use ABM is to allow for the exploration of a variety of scenarios related 146 147 to the hydrology and sediment processes, but is primarily intended to allow for future expansion of the model to incorporate more complex human-environment 148 interactions such as farmer decision making. Whilst this paper is focused on an 149 150 archaeological example, the techniques employed have the potential to contribute to 151 studies of the efficacy and sustainability of sediment-trap systems in the modern 152 world by providing information on the accumulation of sediments over centuries, rather than simply over the few years available to modern observational studies 153 154 (Barton, 2016).

155

#### 156 **2. Study Area and Archaeological Background**

157 Engaruka provides an opportunity to study sediment-transport and accumulation 158 processes over long temporal scales which would otherwise be difficult in modern 159 extant agricultural systems. The site is located in the East African Rift Valley, is 160 centred at 2 59' 20" S, 35° 57' 45"E, and the former field area slopes from west to 161 east from c. 1000 m asl to c. 850 m asl (Fig. 1). The system of irrigated agricultural fields at Engaruka was abandoned by the 19<sup>th</sup> century CE, covers approximately 162 20 km<sup>2</sup>, and comprises an extensive network of irrigation channels, stone-bound 163 fields, agricultural terraces and sediment traps (Westerberg, et al., 2010, Stump, 164 2006, Sutton, 1978). The abandoned field system has been categorised into three 165 166 distinct sections of the North, Central and South Fields (Sutton, 1998) (Fig. 1) based on differences in field construction, with the majority of the North Fields and parts of 167 168 the South Fields constructed through periodic sediment capture and accumulation, 169 while the Central fields were built by tilling the existing topsoil and removing stones from the field area (Lang and Stump, 2017, Stump, 2006). 170



172

173 Figure 1: Location of Engaruka and extent of the agricultural field system, showing

river sources and village settlements (Laulumaa, 2006, Sutton, 1978). Source of
 regional map: National Geographic World Map (Esri, 2011). Copyright © Esri.

176

Detailed stratigraphic excavations (Stump, 2006) and a combination of stratigraphy, 177 geochemistry and soil micromorphology (Lang and Stump, 2017) have demonstrated 178 how sediments were accumulated. The results of excavations and surveys 179 undertaken in the North Fields area of Engaruka in 2002-3, reported in Stump 180 (2006), demonstrated that fields in this area were constructed by building a series of 181 182 c.  $6 \times 6$  m L-shaped drystone walls, with each new L-shaped wall forming a roughly square field by abutting earlier walls built in the same manner (Fig. 2b). The water 183 and sediments supplied to this block of fields were transported from the main river 184 Engaruka by the primary irrigation furrows (Fig. 1) which then branched off into the 185 smaller canalised streams and irrigation canals (Fig 2a) that distributed water and 186 sediments into the blocks of fields. The individual fields within the block are 187

interconnected by a series of smaller channels that allow distribution of water and

sediment between the fields and excess runs off into the canalised stream (Fig 2c).

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191

Figure 2: a) Location of the block of fields being modelled (within the red bounding 192 193 box), the blue lines indicate the water channels and canals that surround a block of 6 x 6 m drystone-bound fields; b) Phases of field construction based on excavations of 194 part of the North Fields (Stump, 2006); c) Plan of the group of sediment trap fields 195 and associated water channels in the North Fields section of Engaruka used to 196 197 simulate the field system in the ESTTraP model, based on excavations conducted by Stump (2006). Interpretation of stratigraphic data suggests that the fields were 198 199 constructed consecutively from the upper fields (yellow) through the middle fields 200 (grey) and down to the lower fields (blue), and from left to right (i.e. from upslope to downslope). 201

202 There are, however, limitations to these stratigraphic data that in turn limit our ability 203 to employ them to address broader issues regarded the development and 204 management of the agricultural system. The first of these - that these data demonstrate the sequence and manner of field construction but provide no 205 206 information on the time it took to build an individual field or group of fields - has been highlighted already. A second limitation requires a little more explanation, and arises 207 208 from the fact that it is often difficult or impossible to discern on purely stratigraphic 209 grounds whether irrigation channels, canals or ditches were built in one construction 210 episode or through successive phases of construction; an issue most commonly discussed in terms of identifying maintenance of structures or differentiating 211

212 maintenance from modification (Doolittle, 2015, Howard, 1993, Doolittle, 1984). To illustrate this in the case of Engaruka, note that the alluvial sediments captured 213 214 within the fields could be delivered by water transported within the canalised stream 215 (as shown in Fig. 2b, phase 1), with the stratigraphically later canal employed solely 216 to deliver water for irrigation after these fields had been constructed (as shown in Fig. 2b, phase 4). Alternatively, it is equally possible that sediments were 217 218 transported within the smaller irrigation canal, as suggested by observations and conversations with farmers in Konso, Ethiopia, who build analogous sediment traps 219 220 by first diverting stream-flows along small canals (see Ferro-Vázquez, et al., 2017). Deciding between these two scenarios cannot be achieved based on the 221 222 stratigraphic data alone, since the canal shown in Fig. 2b phase 4 could have been periodically extended: i.e. the stratigraphic evidence merely demonstrates that the 223 224 ditch and drystone lining to this canal were inserted later than the field walls and captured sediments they truncate, not that the whole canal was built in a single 225 226 construction episode. The construction sequence presented in Stump (2006) and 227 summarised in Fig. 2b was thus based on the interpretative inference that collecting 228 sediments entrained within comparatively small canals would take too long to be of 229 practical benefit to farmers. Without data on possible sediment accumulation rates 230 the interpretation of the sequence of field construction is merely an assumption. 231

232 The recognition that subtly different processes could produce the same sequence of 233 field construction requires a method of investigating whether sediments were 234 accumulated quickly during relatively large flood events or more slowly via the 235 control of flows within small irrigation canals. One such approach is the use of ABM 236 techniques since they can both explore the multitude of interacting hydrological and 237 sedimentological factors involved and can investigate the effects of different scenarios such as changes to vegetation or climatic conditions. By modelling how 238 water flowed through the irrigation channels, it is possible to assess how much 239 entrained sediment could be transported, and therefore extrapolate the amount of 240 sediment that can be accumulated and the rates at which these sediment traps could 241 242 be constructed and expanded over time.

243

## 244 **3. Materials and Methods**

# 3.1 Engaruka Sediment Transport and Trapping (ESTTraP) Model Overview and Framework

247 The ESTTraP model is implemented in the NetLogo platform version 5.2.1

- 248 (Wilensky, 1999) and its documentation is based on the ODD+ protocol (Müller, et
- al., 2013, Grimm, et al., 2006). In this implementation of the model, a block of 90
- 250 individual stone-bound fields were modelled to understand the timescales and
- 251 pattern of sediment accumulation given simulated scenarios of different
- environmental conditions. Using a georeferenced digital elevation model to build the
- model environment, the ASTER GDEM V2 (NASA\_LP\_DAAC, 2011) was resampled
- using the nearest neighbour interpolation technique from the original 30 m resolution
- to a resolution of 6 m to match the size of the stone-bound fields recorded from
- excavations within the North Fields (Stump, 2006). Each field within the model thus

has a 6 x 6 m resolution (Fig. 2 and Fig.3), with sediment accumulation simulated for

a block of 90 such fields covering approximately 3,000 m<sup>2</sup> of the c. 9 km<sup>2</sup> North

259 Fields area of Engaruka (Fig. 1).





#### 261

262 Figure 3: ESTTraP model interface showing the modelled block of fields (yellow)

263 connected by a network of canals.

Excavation evidence shows that the process of accumulating sediments acted to level slight variations in slope of the pre-existing topography. This is clearest in the fields constructed adjacent to the canalised stream where up to 700mm of sediments were captured on their downslope side with as little as 100 mm towards their upslope extent, resulting in a wedge shape of captured sediments (Stump, 2006). This pattern of sediment accumulation allowed for sloping land to be levelled for improved agricultural production. The sediment depth of 700 mm across the block of 90 fields
therefore represents a maximal depth of accumulated deposits with the assumption
that the fields are of equal dimensions and that the excavated examples are broadly
representative of other fields in this area. For the purposes of the current modelling
process we have therefore taken 350 mm as an average depth of sediment
accumulation, i.e. approximately half of the maximal average depth of 700 mm to
account for this wedge shape.

277

278 The hydrological and sediment-transport model is implemented through agents consisting of a network of nodes and directional links to represent the irrigation 279 280 channels that transport water and sediments to the fields. The nodes contain 281 information on the flow and sediment discharges along the system of canalised 282 stream and irrigation channels based on the agent characteristics. The directed links 283 that represent the irrigation channels distribute water and sediments from the 284 canalised stream into the fields via nodes set within each 6 x 6 m field. At each 285 model time step (representing a day) each node shares a percentage of its amount of sediments and water equally with its neighbours in the network of nodes. The 286 287 nodes also retain a percentage of the sediments and water flows to represent sediment and diffusion loss incurred as these agents move along the network. 288 289 Sediments and water flows terminate at the fields, where the nodes transfer these 290 agents to the field patches as sediment discharge, which is then converted to 291 represent sediment accumulation (Appendix A.3). 292 The model runs on a daily time step with 365 steps per year, and with data collected

at each time step on the amount of sediment accumulated and changes in sediment
depth. At each time step agents perform the following actions (which represent the
sub-models of the ESTTraP ABM):

296

297 1) Generation of water flows: the estimation of flow rates and discharge from the
298 irrigation channels is based on Manning's equation for open-channel continuous
299 flows for trapezoidal channels (Robert, 2014, 31):

300 
$$Q = \frac{k}{n} (R)^{\frac{2}{3}} \sqrt{S} A$$
 (1)

301 Q represents water discharge ( $m^3 s^{-1}$ ) from the irrigation channels, *k* is a 302 dimensionless constant (=1 for SI units), *n* is the Manning's roughness coefficient; *R* 

303 represents the hydraulic radius (m); S represents the slope of the channel (m m<sup>-1</sup>), and A is the cross-sectional area of the channel  $(m^2)$ . The water is then transported 304 305 and distributed to the canals and fields. The dimensions of the canals are based on 306 archaeological data from excavations (2006), where a canal top-width of 1.0 m and 307 canal bottom-width of 0.5 m (Appendix A) are used to estimate the hydraulic radius and cross-sectional area of the canal. On the basis of excavated examples, the total 308 309 depth of canals (as opposed to channels and canalised streams) rarely exceeds 300 mm, but water depths and hence the wetted perimeter of canals is more important 310 311 factors than the maximum physical depth of a canal. Simulated water-depths (section 3.2 below), in conjunction with these dimensions, are thus used to 312 313 determine discharge.

314

2) Sediment transport and discharge: sediments are transported by water in the irrigation channels, with the model focused on sediments transported in suspension and discharged into the fields based on the following relationship (Van Rijn, 1993):  $Q_s = Y C_t Q$  (2)

319  $Q_s$  represents sediment discharge (tonnes day<sup>-1</sup>) into the fields. Q represents water 320 discharge (m<sup>3</sup>s<sup>-1</sup>),  $C_t$  is the daily total suspended sediment (mg L<sup>-1</sup>) and Y is a 321 conversion factor that converts m<sup>3</sup> s<sup>-1</sup> to m<sup>3</sup> day<sup>-1</sup> and mg L<sup>-1</sup> to tonnes m<sup>-3</sup>. 322 Entrainment and re-entrainment of sediments in the canals is considered to be 323 minimal as the canals modelled are stone-lined and relatively smooth, and thus 324 representing the daily sediment as a constant, average value is reasonable. 325

3) Sediment accumulation in the fields: sediments discharged into the field then
accumulate as a function of the sediment discharge and the bulk density of the
sediments, constrained by a soil compaction factor (van Rijn, 2013, Wilkinson, et al.,
2006, 7):

$$330 \quad \Delta H_i = \frac{\Delta S_{tot,i}}{f_i} \tag{3}$$

331 Where:

$$332 \qquad \Delta S_{tot,i} = \frac{Q_{s,i}}{BD} C \tag{4}$$

- 333  $\Delta H_i$  represents the depositional layer thickness (m),  $\Delta S_{tot,i}$  represents the total
- sediment volume in a given stone-bound field i ( $m^3 day^{-1}$ ),  $f_i$  is the area of a given
- stone-bound field *i* (m<sup>2</sup>),  $Q_{s,i}$  is the sediment discharge within a given stone-bound
- field *i* (tonnes day<sup>-1</sup>), *BD* is the bulk density of the soil (for clays such as at Engaruka,
- 337 1.0 1.6 tonnes m<sup>-3</sup>) (McKenzie, et al., 2002, FAO, 2006, 51), and C is a
- 338 consolidation/compaction rate of deposited sediment (1 /days).
- 339

The model design assumes 100% sediment trap efficiency, whereby all sediments 340 341 discharged into the fields were captured and accumulated within those fields, which is not unreasonable given the geometry of the surface and stone walls. Total 342 343 suspended sediments (TSS) were kept constant at 200 mg L<sup>-1</sup>, and the data values for TSS of c. 200 mg L<sup>-1</sup> and the water depth of 0.1 m were selected based on a 344 hydrological study conducted in 2015 along a 4 km section of the Engaruka River 345 346 (Fig. 1). These instrumental measurements are not unreasonable in the long term 347 when reviewed in conjunction with CRUTS data (Harris, et al., 2014) on the rainfall 348 and temperatures for the region and provide baseline approximations since the 349 system is now abandoned. The choice of water depth is further supported by the 350 results of the sensitivity analyses which found that water depths greater than 0.5 m 351 would result in increased water discharge, which could potentially damage the 352 channel walls by eroding their surfaces (Appendix B).

353

# 354 **3.2 Model Simulations and Scenarios**

Global sensitivity analysis (Thiele, et al., 2014, Saltelli, et al., 2008) was conducted 355 356 on the model parameters for water depth, TSS and Manning's *n* to explore model 357 behaviour and the influence of model parameters on outputs (Appendix B). The 358 model was then implemented using a series of four scenarios to try to understand how the environmental factor of rainfall availability and variability, represented by 359 water depth, would influence water and sediment discharge and thus sediment 360 accumulation. The four scenarios simulated in the model are: SIM-01 Constant water 361 362 availability, SIM-02 Seasonal variability, SIM-03 Long-term climate variability, and SIM-04 Vegetation cover impact. The scenarios modelled are about end-members, 363 364 i.e. the diverse unimodal grain-size populations of sediments that are the result of 365 different erosive processes (Seidel and Hlawitschka, 2015), and are intended to constrain sediment-transport rates rather than being realistic representations of the 366

367 compositional aspects of sediment-transport processes. The implementation of
368 these four scenarios would help define the timescales involved in accumulating
369 sediments within the field system. Simulations were run for a period of 100 years at a
370 daily time-step to incorporate multi-decadal variability in climate conditions. Model

371 uncertainty was assessed using Monte Carlo simulation of runs whereby the model

372 scenarios were simulated over 100 replicated runs to estimate the distribution of

373 model outputs.

374

375 SIM-01 Constant water availability: idealised conditions of constant water

availability over time, which are intended to characterise an end-member of potential

377 system behaviour, are represented by a constant water depth of 0.1 m based on

378 observations of water-depths conducted during field survey along a 4 km stretch of

the Engaruka River and modern irrigation channels in 2015.

SIM-02 Seasonal variability: the climate in Engaruka follows a bimodal rainfall pattern during a calendar year with two wet seasons interspersed with two dry seasons (Jones and Harris, 2008, Ryner, et al., 2008). The seasonal variability was simulated in the model by increasing and decreasing the constant water depth of 0.1 m by 20% to represent seasonal fluctuations in water availability. The 20% was an estimated range based on observations of the highest and lowest values of water depth from the observations made in 2015.

387 SIM-03 Long-term climate variability: in combination with seasonal variability, 388 longer term climate variability is also evident within the East African region. Studies 389 of palaeoclimatic proxies (Marchant, et al., 2018, e.g. Westerberg, et al., 2010, 305, 390 Ryner, et al., 2008, Barker and Gasse, 2003, Verschuren, et al., 2000) suggest that 391 over the last 1,100 years the East African region has experienced warm and wet 392 climates interspersed with long periods of drought at a decadal scale. The longerterm variability was simulated by increasing or decreasing the average annual water 393 depth and intra-annual seasonal variability by 20% over decadal scales to simulate 394 395 long dry or wet periods, which means that in this simulation the baseline flows are shifted up and down by 20% at a decadal scale and within that seasonal variability 396 397 increases and decreases by 20% of the decadal baselines . 398 **SIM-04 Vegetation cover impact**: in combination with seasonal variability, the

vegetation cover of a landscape also influences water-discharge rates with runoff
increasing by approximately 30% on bare ground as compared to areas with

vegetation cover (Lesschen, et al., 2009). One of the possible outcomes of reduced
vegetation cover would be increased surface runoff during rainfall events which
could possibly result in increased water depth and elevated levels of entrained
sediments in the channels.

405

The scenarios modelled are intended to explore how the differences in water availability over time (which is a factor of seasonal and long-term climate fluctuations and vegetation cover) influenced sediment accumulation within the sediment trap fields and thus field construction and expansion.

410

#### 411 **4. Results**

Results of the sensitivity analyses show that sediment discharge can be interpolated 412 from water discharge and that the model simulates this function as expected, such 413 414 that as water discharge increases sediment discharge also increases. Sediment 415 discharge is a linear function of water and TSS, and increases in the water depth 416 values would therefore result in increases in the sediment discharged and therefore the accumulation rates in the fields (Appendix B). This approximation has 417 418 implications for the results of the scenarios modelled in that the sediment discharge and consequent accumulation would be affected by the water depths simulated to 419 420 represent the variability in water availability due to climate conditions. Relative to the variation of the model parameters, the uncertainty analyses show that the scenarios 421 422 for climate variations that would result in variations in water availability play a role in 423 sediment accumulation (Appendix B).

424

425 The results of the model simulations provide information on the amount of time and 426 rates at which sediments accumulate within the entire block of 90 fields. The four scenarios of constant water availability (SIM-01), seasonal variability (SIM-02), long-427 term climate variability (SIM-03), and vegetation cover impact (SIM-04) were 428 429 simulated for a period of 100 years at a daily time-step to see how long it would take 430 to accumulate sediments to the observed depths of 350 mm (Fig. 4). It would therefore take a shorter time to accumulate sediments, with sediments accumulating 431 to a depth of 350 mm across the 3,000 m<sup>2</sup> block of 90 fields after 8 years for 432 conditions modelled in SIM-01 and SIM-04, and 13 years for SIM-02 and SIM-03 433 (Fig. 4). Given that it would take 8 - 13 years to accumulate sediments across the 434

- block of fields, it would take approximately 1 2 months to build each 6 x 6 m field
  individually. This range of estimates from the model end-members provides a
- 437 maximum and minimum time scale for the development of the fields.









Figure 5: Mean annual sediment accumulation rates (mm a<sup>-1</sup>) modelled for the four scenarios of constant water availability (SIM-01), seasonal variability (SIM-02), longterm climate variability (SIM-03), and vegetation cover impact (SIM-04) for a block of 90 fields over a period of 100 years.

Looking at the average annual rates of sediment accumulation for a block of 90 fields 453 454 (Fig. 5), SIM-01 had the highest rates at 42 mm a<sup>-1</sup>, followed by SIM-04 at 39 mm a<sup>-1</sup> and SIM-02 at 27 mm a<sup>-1</sup>. Longer term variations in climate account for the 455 456 fluctuations in sediment accumulation rates seen in SIM-03, ranging from 38 mm a<sup>-1</sup> during the much wetter periods, to as low as 13 mm a<sup>-1</sup> during the periods simulated 457 458 for extreme dry conditions, and an average of 22 mm a<sup>-1</sup> over the 100 years. This lower mean annual sediment accumulation rate of 22 mm a<sup>-1</sup> means that it could 459 have taken 16 years for sediment depths to reach 350 mm across the 3,000 m<sup>2</sup> 460 461 block of 90 fields. The prolonged dry conditions simulated in SIM-03 were set to have a minimum water depth that would simulate low water availability but not the 462 complete absence of water. This scenario is based on evidence that the perennial 463 464 Engaruka River was one of the water sources for the irrigation channels in the North 465 Fields (Stump, 2006, Sutton, 1998), meaning that water supply to the fields would have still been possible but the amount of water in the channels would most probably 466 467 have been greatly reduced.

Although the annual sediment accumulation rates presented in Fig. 5 appear to be 469 470 constant when averaged out for each year; these rates do not reflect seasonal 471 fluctuations in water availability that would affect sediment discharge and 472 accumulation within the fields. The influence of seasonal variability on sediment accumulation was therefore explored further to see how these intra-annual/daily 473 474 fluctuations influence sediment accumulation rates (Fig. 6 and Fig. 7). It should be noted that there is no simulation for intra-daily variability as the model assumes 475 476 shorter timescale variability averages out for the purposes of this study.

477

468



478

Figure 6: Intra-annual/daily sediment accumulation rates (mm day<sup>-1</sup>) show the
variations in sediment accumulation over a one year period i.e. Year 1 of the model
for scenarios of constant water availability (SIM-01), seasonal variability (SIM-02),
long-term climate variability (SIM-03), and vegetation cover impact (SIM-04).

As shown in Fig. 6, for SIM-02 and SIM-03 the average daily sediment accumulation rates ranged from 0.03 mm day<sup>-1</sup> during the dry seasons to 0.16 mm day<sup>-1</sup> during the rainy seasons, while the daily rates for SIM-04 ranged from 0.05 mm day<sup>-1</sup> in the dry season to 0.20 mm day<sup>-1</sup> in the rainy seasons. This result is in contrast to SIM-01 where the daily rates throughout the year were constant at 0.12 mm day<sup>-1</sup>. 488 Simulations for long-term climate variability combined with seasonality (SIM-03) had the greatest impact on the sediment accumulation within the fields, with scenarios 489 490 suggesting that it would take 13 years to accumulate 350 mm of sediments across 491 the block of 90 fields (Fig. 4). However, over the longer timescales of the 100-year 492 period (Fig. 7) these seasonal fluctuations varied further based on extremes of drought or wetter conditions. When extreme dry conditions were simulated between 493 494 Year 30 - 50 and Year 80 – 100, these sediment accumulation rates dropped to 0.01 mm day<sup>-1</sup> during the dry seasons and were highest at 0.12 mm day<sup>-1</sup> during the rainy 495 496 seasons. In the wetter periods simulated between Year 50 - 60, these sediment-497 accumulation rates were 0.04 mm day<sup>-1</sup> during the dry seasons and rose to 0.23 mm 498 day<sup>-1</sup> during the rainy seasons (Fig. 7). These periods of extreme weather had a 499 marked effect on sediment depth within the block of fields with the average depth at 500 2.30 m after the 100-year period, the lowest of all the simulations. The results of 501 these climate-variability scenarios mean that if farmers attempted to accumulate 502 sufficient sediments to fill these fields to the 350 mm depths observed 503 archaeologically during a comparatively wet period the fields could be filled in 9 504 years, but attempts to do the same during the conditions that prevailed during the dry 505 period would take 27 years.



508 Figure 7: Daily sediment accumulation rates (mm day<sup>-1</sup>) simulated for scenarios of constant water availability (SIM-01), seasonal variability 509 (SIM-02), long-term climate variability (SIM- 03), and vegetation cover impact (SIM-04) over a period of 100 years.

510 The low or no vegetation cover in the catchment simulated for in SIM-04 would result in higher surface runoff and water influx into the channels. This would then result in 511 512 higher discharge rates and thus higher sediment accumulation rates within the fields 513 such that SIM-04 resulted in high annual sediment accumulation rates at 39 mm a<sup>-1</sup> 514 (Fig. 5). The block of fields took an average of 9 years to accumulate sediments to a depth of 350 mm across the c. 3,000 m<sup>2</sup> block of 90 fields. These high rates of 515 516 sediment accumulation could also be seen in the daily rates for SIM-04, ranging from  $0.05 \text{ mm day}^{-1}$  in the dry season to  $0.12 - 0.16 \text{ mm day}^{-1}$  and then rising to 0.20 mm517 518 day<sup>-1</sup> in the rainy seasons (Fig. 6 and Fig. 7). SIM-04 presents a case that takes into consideration the removal of vegetation cover from the upslope catchment areas that 519 520 could result in increased surface runoff in the short term. In addition, the possible 521 removal of forest vegetation cover on the hillslopes upstream of the agricultural fields 522 is likely to have affected water availability over the longer-term in both dry and rainy 523 seasons, with the loss of vegetation and soil reducing the water holding capacity 524 within the upland river catchments.

525

#### 526 **5. Water availability, sediment accumulation and field development**

527 The four scenarios influencing water availability explored in the model present important steps in understanding the temporal scales and patterns of field 528 529 development that resulted in the expansion of the Engaruka water-management system. As discussed in Section 1 and 2 above, the excavations of the site show that 530 531 the construction of the drystone-bound fields was tied to sediment accumulation. The 532 farmers would lay a few courses of the drystone walls and as the sediments 533 accumulated behind these drystone walls the farmers could add further courses 534 when needed, thus construction of the wall courses and the sediment trap fields 535 were tied to the rate of sediment accumulation. The scenarios discussed above point to the influence of variability in water availability on the construction and 536 development of a block of fields and the timescales involved. This variability relates 537 to both seasonal and long-term climate changes that affected the east African region 538 539 (Marchant, et al., 2018); and by focusing on specific aspects of water availability, we 540 can use this information to understand the patterns of sediment accumulation and 541 field construction that influenced the development of the Engaruka system. Understanding the impacts of seasonality, climate variability and vegetation cover on 542 the availability of water and sediment accumulation helps support interpretations of 543

the archaeological evidence by providing additional data to refine stratigraphic
interpretations of the timelines and patterns involved in the development of the field
systems.

547

548 The block of 90 fields simulated in the model took between 8 to 13 years, and up to 549 27 years during prolonged dry conditions, to accumulate sediments to a depth of 350 550 mm, given differing scenarios of water availability for sediment transport. At a finer resolution it would take individual 6 x 6 m fields between 1 - 2 months when flows 551 552 are occurring to accumulate sediments to depths of 350 mm and the farmers would be able to add one or two courses to the drystone walls with the sediments 553 554 accumulating behind these wall courses. This means that farmers can construct 555 individual fields within a field block over a period of a single cropping/growing season 556 and continue to add wall courses and expand field construction across the block of 557 fields. The timescales presented by this model are best estimates of average 558 conditions for sediment accumulation and field construction. The model results suggest that the fields could have been developed by utilising low flows of water in 559 560 irrigation canals to transport and accumulate sediments in small fields over 561 successive seasons, and thus do not require the larger flooding events from 562 overbanking or diverted streams envisaged by Stump (2006).

563

The amount of time it would take to accumulate 350 mm of sediments within a block 564 565 of fields also points to concurrent construction of multiple blocks of fields across the 566 entire Northern Fields areas rather than the consecutive construction of field blocks; 567 an interpretation suggested by Stump (2006) on the basis of stratigraphic data and 568 the interpretation of field layouts in relation to water courses, but one which remained 569 speculative without approximations of sediment accumulation rates. Given model results suggesting 8 - 13 years to construct a block of 90 fields covering 3,000 m<sup>2</sup>, it 570 would take between 24,000 - 39,000 years to construct the fields across the entire 9 571 572 km<sup>2</sup> of the North Fields if the field blocks were constructed sequentially. These time 573 scales are clearly far beyond the time periods in which Engaruka was in use. Indeed, the North Fields area only forms part of the entire 20 km<sup>2</sup> field system, with 574 575 accumulated sediment depths in the South Field area up to 2.0 m deep (Lang and 576 Stump, 2017), making it unlikely that the society in the Engarukan system widely relied on sequential field construction. If the ESTTraP model results hold true for 577

578 real-world scenarios, multiple blocks of fields must have been constructed concurrently across the North Field sections, and it is possible that the North Field 579 580 and South Fields areas of the site were also constructed simultaneously. This 581 interpretation in turn has implications for discussions of social hierarchy and 582 resource management, as it suggests individual farmers, households or extended families (such as clans) could have built the extensive field remains and irrigation 583 584 network without the need for central control or long-term planning, with each block of fields constructed and managed by a small group of individuals. 585

586

The ESTTraP model demonstrates the utility of agent-based modelling to assess the 587 588 sediment transport dynamics and their influence on sediment accumulation and patterns of field construction. For example, although the results presented here 589 590 suggest that farmers could utilise low flows of water to transport and accumulate sediments in the small individual 6 x 6 m plots evidenced in the North Fields, it is 591 592 possible that much higher flows were employed to accumulate sediments quickly in 593 the much larger fields located towards the south of the site (see Lang and Stump, 594 2017). This manipulation of the amounts of water flowing would influence not only 595 the spatial scales but the temporal scales for the development of the field system as well as the choice of consecutive or concurrent field construction. However, there 596 597 would nevertheless be limitations to this where diversion of water and sediment to 598 multiple field blocks would limit the flow available to each plot. The construction of 599 field blocks simultaneously would thus require water resources to be shared between 600 the groups constructing each new block or irrigating existing plots, which would have 601 further implications on water availability and the attendant water and sediment 602 discharge capacity. This would also raise questions on the management of these 603 water resources as issues of competition for water resources may arise among farmers, particularly when water flows are low during extended dry periods. These 604 aspects of water sharing and competition are being further explored in versions of 605 the ABM that assess the effect of concurrent field construction and which 606 607 incorporates human agents within the simulated landscape.

608

#### 609 6. Conclusions

610 The ESTTraP model demonstrates an ability to model sediment accumulation rates 611 at the abandoned agricultural site of Engaruka, Tanzania, and given reasonable

612 model parameter approximations shows that blocks of fields at Engaruka are likely to have been constructed concurrently across the field system rather than sequentially. 613 This conclusion substantially refines previous interpretations of stratigraphic 614 evidence, showing that comparatively low water flows are sufficient to transport 615 616 sediments that can be captured to create agricultural plots, and thus refutes an earlier interpretation of the stratigraphy of the field system (Stump 2006) that 617 618 assumed the depths and extent of sediment capture required periodic or controlled flooding events. Field construction could occur over short periods with a single 6 × 6 619 620 m field taking 1-2 months and a block of 90 fields taking 8 -13 years given constant water flows of as little as 100 mm deep within canals with basal widths of 0.5m. 621

622

623 Moreover, the results have the potential to be combined with direct dating evidence 624 of fields to refine the site's chronology of construction, and with better dating could 625 be used to relate periods of field construction to palaeoclimatic evidence of changing 626 rainfall regimes. The results of this model thus support arguments by Stump (2016) 627 and Wainwright (2008) on the relevance of combining agent-based models with 628 archaeological data for improved stratigraphic interpretations. The model results 629 support archaeological interpretations where little additional information about the system is available such as direct evidence of water availability and rates of 630 631 sediment accumulation and field expansion, making this model particularly relevant to other sites and studies for which little or no direct dating evidence is available. The 632 633 results of these model scenarios would be of particular interest in understanding how 634 other similar agricultural systems developed while taking into consideration the 635 effects of long term climate on water availability.

636

637 Although tailored to a specific archaeological case-study, understanding the rates and patterns of field construction not only has a bearing for the abandoned 638 agricultural site at Engaruka but is of relevance for any archaeological study 639 involving the deliberate or unintended accumulation of alluvial sediments, including 640 641 the siltation of basins or reservoirs, or the accumulation of sediments within run-off irrigation systems. Even further, knowing the rates and patterns of sedimentation is 642 643 valuable in estimating alluviation in non-archaeological sites such as modern 644 irrigation systems, whilst the ability to model these process over centennial timescales can aid in the assessment of the legacies of previous land-use and help 645

- 646 examine the future sustainability of modern land-use systems. The ESTTraP model
- thus presents an important resource in the assessment of sediment dynamics and
- 648 patterns of field development at Engaruka as presented here, but can also be
- 649 adapted for other archaeological sites and has further applications for modern
- 650 irrigation systems and future landscape management.
- 651

## 652 Appendices

## 653 Appendix A: Model Overview

## 654 A.1 Model Assumptions

655 The ESTTraP agent-based model was constructed to perform a series of simulations to understand the temporal and spatial patterns for the transport and accumulation of 656 657 sediments within a section of the stone-bound fields in the Engarukan water-658 management system. This model focuses exclusively on the physical processes of 659 sediment transport and accumulation within a section of the stone-bound fields. The research presented here introduces a model that simulates water flows and 660 661 sediment transport through a small section of the canal systems and through a c. 3,000 m<sup>2</sup> block of fields in the North Fields section of the site in order to demonstrate 662 663 the core features of sediment accumulation. The model omits more complex 664 landscape-scale elements: rainfall and surface runoff and erosive processes, as well 665 as site-specific elements of sediment transport such as bedload and saltation. The effects of rainfall and surface runoff on discharge rates are not simulated directly 666 667 within the model but are simplified and represented by variability in water depth within the irrigation channels. Studies have shown that rainfall increases can lead to 668 669 an increase in surface runoff which in turn contribute to water flows in channels and canals, correspondingly increasing water depth (Linard, et al., 2009, Montgomery 670 671 and Buffington, 1998) and resulting in higher water discharge rates. Erosive 672 processes across the landscape are omitted and sediment inclusion is represented 673 by the total suspended sediments in order to focus on sediments already present within the channels. The omission of erosive processes is because the presence of 674 the stone-bound fields across the landscape would act to limit surface runoff and 675 676 erosion (Mekonnen, et al., 2015, Lesschen, et al., 2009). In addition, the transport of sediments ties closely with the flow rate of water within the channels as the fast 677 678 moving water will transport larger pebbles while slower flow rates would transport 679 silt, sand and clay (Miedema, 2010, Sundborg, 1956). Studies by Lang and Stump

- 680 (2017) have shown that the sediments captured within the field system were
- 681 predominantly clays which tend to be transported in suspension making bedload and
- 682 saltation transport processes negligible for this model.
- 683

# 684 A.2 Model Parameterisation

Initial conditions for state variables in each grid cell (elevation in metres above sea 685 686 level, soil-depth in metres, and angle of slope) are derived from DEMs, archaeological excavations and surveys of the study site. The initial values for the 687 688 water discharge and sediment discharge vary among simulations; however, some baselines have been determined based on calibrations from existing data. Baselines 689 690 such as water depth, used to determine cross sectional area of the channels, and 691 total suspended sediments TSS, were based on data from hydrological studies 692 conducted in 2015 along a 4 km stretch of the Engaruka River. The irrigation channel dimensions were based on archaeological measurements from studies conducted by 693 694 Stump in 2003 in the North Fields of the Engaruka field system (Stump, 2006). The 695 model parameters, their dimensions and default values are described in Table A. 1 696 below.

697

Parameter	Explanation	Model initial/			
		default value			
Water Transport and Discharge					
Q	Water discharge (m <sup>3</sup> s <sup>-1</sup> )	> 0			
k	Dimensionless constant	1			
n	Manning's roughness coefficient	0.03			
R	Hydraulic radius (m)	> 0			
S	The slope of the channel (m m <sup>-1</sup> ) i.e. the height	0.02			
	difference between the start and end of the				
	channel over the horizontal distance of the				
	channel				
A	Cross-sectional area of the channel (m <sup>2</sup> )	> 0			
Canal	Top width	1.0 m			
dimensions	Bottom width	0.5 m			
	Water depth	0.1 m			
Sediment Transport and Discharge					
Qs	Sediment discharge (tonnes day-1)	> 0			
$C_t$	Daily total suspended sediment (mg L <sup>-1</sup> )	200			

# Table A.1: Model Parameters, variables and Initial values

Parameter	Parameter Explanation					
		default value				
Y	Conversion factor that converts m <sup>3</sup> s <sup>-1</sup> to m <sup>3</sup> day <sup>-1</sup>	0.0864				
	and mg L <sup>-1</sup> to tonnes m <sup>-3</sup>					
Canal Networks						
CNjQ	Transport and distribution of water discharge in	> 0				
	the network of irrigation canals					
<i>CNjQs</i>	Transport and distribution of sediment discharge	> 0				
	in the network of irrigation canals					
WI	Water discharge loss along the canal network	> 0				
dı	Sediment discharge loss along the network	> 0				
tw	Proportion of water discharge that continues to be	0.80				
	transported along the canal networks (%)					
t <sub>d</sub>	Proportion of sediment discharge that continues	0.85				
	to be transported along the canal networks (%)					
r	Number of irrigation canal recipients in the	> 0				
	network					
Sediment Accumulation						
$\Delta H_i$	Depositional layer thickness (m)	> 0				
$\Delta S_{tot,i}$	Total sediment volume in a given stone-bound	> 0				
	field <i>i</i> (m <sup>3</sup> day <sup>-1</sup> )					
fi	Area of a given stone-bound field <i>i</i> (m <sup>2</sup> )	36				
Q <sub>s,i</sub>	Sediment discharge within a given stone-bound	> 0				
	field <i>i</i> (tonnes day <sup>-1</sup> )					
BD	Bulk density of soils (1g cm <sup>-3</sup> or 1000kg m <sup>-3</sup> )	1.10 – 1.60				
С	Consolidation/compaction rate of soils (days)	365				

# 700 A.3 ESTTraP Model ODD

## 701 A.3.1 ESTTraP Overview

## 702 Purpose

703 ESTTraP ABM was constructed to perform a series of simulations to understand the 704 temporal and spatial patterns for the transport and accumulation of sediments within 705 a section of the stone-bound fields in the Engarukan water-management system. The purpose of this model is to understand the influence of irrigation infrastructure 706 and water diversion on the accumulation of sediments and development of a series 707 708 of stone-bound fields in the North fields of the historical irrigation system in 709 Engaruka, Tanzania. Sediment accumulation greatly influences field construction as excavations by Stump (2006) show that as sediments accumulate the farmers place 710

- additional drystone courses that over time raise their field walls. In this way, the
- 712 development of the drystone fields is tied to sediment accumulation.
- 713

## 714 Engaruka North-fields Habitat entities, variables and scales

715 In this implementation of the model a block of 90 individual stone-bound fields, were modelled to understand the timescales and pattern of sediment accumulation given 716 717 simulated scenarios of different environmental conditions. Each fields has a 6 x 6 m resolution, and the 90 field block covers approximately 3,000 m<sup>2</sup> of the 56,000 m<sup>2</sup> of 718 719 the simulated landscape. The Engaruka North Fields habitat is characterised by 720 state variables of topography and slope. The habitat is represented by field patches characterised by soil depth and elevation. The fields and irrigation canals are 721 722 characterised by location and elevation data with the canals further characterised by 723 water velocity generated from analysis of canal dimensions from archaeological 724 excavations. The primary drivers of this model environment are water velocity and 725 suspended sediment volumes within the canalised streams and irrigation canals. 726 Initial conditions for the state variables are described in Appendix A.2 above.

727

# 728 Process overview and scheduling

The sediment deposition and accumulation process comprises four stages i.e.
generation of water flows and sediments, water and sediment transport within the
irrigation canals, sediment discharge in the fields and sediment accumulation over
time. These processes occur within each year in the following order:

733 1. Water flows and sediment volumes are generated within the irrigation channels 734 and modelled on the principles of continuous uniform open channel flows. The 735 water flows and sediment volumes are distributed sequentially with the canalised 736 stream receiving water first and then being distributed into the irrigation canals i.e. offtake canals and finally to the field offtake canals. In addition, the water 737 distributed is divided amongst the total number of canalised stream and irrigation 738 739 canals. In order to simulate water loss through seepage along the canalised 740 stream and irrigation canals, a parameter of water loss is incorporated such that 741 a certain proportion of the flows from the prior canal nodes are lost along the 742 canals.

743 2. Water and sediments are transported within the irrigation canals with the water
744 transporting suspended sediments. Similar to the water flows, some sediment

- would be deposited along the water channels if the flows are not fast enough anddo not reach the fields. In order to simulate this phenomenon, sediment loss
- along the canals was incorporated into the model.
- 3. Water and sediments are discharged into the fields from the irrigation canals.
- 4. Sediments that have been discharged accumulate over time in the fields; with
- sediment accumulation a function of sediment discharge and bulk density and the
- inverse of a soil consolidation factor for soil compaction over time.
- 752



- Figure A. 1: Flow diagram of the ESTTraP model processes
- 755 The total flows, sediment discharge and sediment depth for all the fields are
- collected at each time-step. The accumulation of sediments in the series of fields is
- calculated on a daily time-step and the results analysed to determine the amount of
- time required to accumulate the sediment depths that relate to the real world
- observations and archaeological observations.
- 760

#### 761 A.3.2 ESTTraP Design concepts

**Theoretical and Empirical background:** The water flows, and sediment transport 762 763 and deposition are modelled based on standard hydrological approaches including 764 streamflow hydrology and sedimentation dynamics. The estimation of water 765 discharge from the river channels and irrigation canals is based on the principles of 766 open channel continuous flows for trapezoidal channels with Manning's roughness 767 coefficient values within the range for natural streams with stone/pebble lined channels and excavated channels with rubble sides and earth bottom slope (Chow, 768 769 1959). The sediment discharge is estimated from a sediment rating curve, which is a 770 linear relationship of water discharge and total suspended sediments; while 771 sedimentation processes are based on the principles of sediment accumulation 772 (Robert, 2014, Wilkinson, et al., 2006, 7, Gordon, et al., 2004, van Rijn, 1984). 773 774 Individual decision-making: There is no individual decision-making. 775 776 Learning: There is no individual or collective learning included in the decision 777 model. The agents do not change the decision-making rules. 778 779 **Individual sensing:** There is no individual sensing. 780 Individual prediction: The model makes no predictions. 781 782 783 Interactions: The rate of water discharge has an effect on the discharge of 784 sediments, with a direct linear relationship between water and sediment discharge. 785 786 **Collectives:** The model contains no collectives. 787 Heterogeneity: There is no heterogeneity of decision-making by the agents. 788 789 790 **Stochasticity:** The amount of sediment discharged varies with changes in water 791 discharge which is in turn influenced by the water height within the river channels 792 and irrigation canals. In addition, the amount of water discharge decreases with 793 increase in distance from the main canalised stream. 794

795 **Observation:** The amount of sediments deposited within the fields is collected on a daily time step. In addition, the water and sediment discharge from the canals is 796 797 observed. The amount of sediment that accumulates within each stone-bound field is 798 observed over time and the amount of water flows through the channels and canals 799 is also noted. The amount of time it takes for a field to accumulate sediments of up to 800 700 mm in depth is recorded. The data is compared between the varied 801 environmental scenarios of seasonal variability in water availability and erosive 802 processes i.e. as represented by changes in water depth in the canalised stream and 803 variations in suspended sediments, to determine the influence of these variations on the rates of sediments transported, deposited and accumulated within the fields. In 804 805 addition the data is used for sensitivity analysis to assess the model's ability to produce results highlighting the implications of these scenarios on the influence of 806 807 the water diversion infrastructure on field development and sediment accumulation 808 within the irrigation system.

809

Emergence: The key results emerging from the model are patterns of sediment
accumulation and field development over time, given the different environmental
scenarios of water availability.

813

#### 814 A.3.3 ESTTraP Details

#### 815 Implementation Details

816 The model is implemented in Windows 7 using the NETLOGO platform version 5.2.1 817 (Wilensky, 1999). The hydrological and sediment-transport model is implemented 818 through agents consisting of a network of nodes and directional links to represent the 819 irrigation channels that transport water and sediments to the fields. The nodes 820 contain information on the flow and sediment discharges along the system of canalised stream and irrigation channels based on the agent characteristics. The 821 822 directed links that represent the irrigation channels distribute water and sediments 823 from the canalised stream into the fields via nodes set within each 6 x 6 m field. At 824 each time-step (representing the passage of one day) each node shares a 825 percentage of its value of sediments and water equally with its neighbours in the 826 network of nodes. The nodes also retain a percentage of the sediments and water 827 flows to represent sediment and diffusion loss incurred as these agents move along the network. The transfer of sediments and water flows terminates at the fields, 828

- 829 where the nodes transfer these agents to the field patches as sediment discharge,
- 830 which is then converted to represent sediment accumulation.

## 832 Initialisation

833 Initial conditions for state variables in each grid cell (elevation in metres above sea level, soil-depth in metres, and angle of slope) are derived from DEMs, 834 835 archaeological excavations and surveys of the study site. The initial values for the water discharge and sediment discharge vary among simulations; however, some 836 837 initial values have been determined based on existing data. Initial values such as water depth, used to determine cross sectional area of the channels and total 838 suspended sediments (TSS), were based on data from hydrological studies 839 conducted in 2015 along a 4 km<sup>2</sup> stretch of the Engaruka River. The irrigation 840 channel dimensions were based on archaeological measurements from studies 841 842 conducted by Stump in 2003 in the North Fields of the Engaruka field system 843 (Stump, 2006). The model has four sub-models that represent the water and 844 sediment discharge, irrigation canal networks and sediment accumulation. The model parameters, their dimensions and default values are described in Table A.1 845

846 847

## 848 Input data

above.

The elevation data for the model was obtained using a digital elevation model, the ASTER GDEM used is a product of METI and NASA (NASA\_LP\_DAAC, 2011). The input data for the model parameters, variables and initial values are outlined in Table A.1 above.

853

# 854 Submodels

The model runs on a daily time step with 365 steps per year, and with data collected at each time step on the amount of sediment accumulated and changes in sediment depth. The ESTTraP sub-models are described in Section 3 on material and methods of the manuscript.

859

#### 860 Appendix B: Sensitivity and Uncertainty Analyses

#### 861 **B.1 Sensitivity and Uncertainty Analyses**

862 Global sensitivity analysis of the model was conducted using the NetLogo

863 BehaviorSpace and R 3.3.3 to explore the various model parameters in a systematic

864 way. This was in order to explore the model behaviour for varying parameters and

865 how they affect model outputs in order to see which parameters had the greatest

866 influence on model outputs. The outputs were then graphically visualised in R using

ggplot (Wickham, 2009) to assess the sensitivity of the model to variations in model

- 868 inputs. The three main parameters of TSS, water depth in channels and Manning's
- 869 roughness coefficient (n) have a great influence on the output variables under
- observation i.e. water and sediment discharge rates and the accumulation of
- sediments within the fields. Global sensitivity analysis was conducted where the
- selected input parameters for the model was run over all combinations of the main
- 873 parameters and iterated for 365 time steps to represent a year.
- Table B.1: Model parameters used for global sensitivity analysis in BehaviorSpace

Parameter	Min value	Max value	Varied by
Water depth	0.05	1.0	0.05
TSS	50	800	50
Manning's n	0.01	0.1	0.01

875

Exploratory global sensitivity analysis of the data shows that water and sediment 876 877 discharge varied with water depth while sediment discharge also varied with TSS. As the water depth within the canalised streams and irrigation channels increased, the 878 879 amount of sediment being discharged into the stone-bound fields increased even as 880 the total suspended sediments were held constant (Figure B.1). This ties to existing 881 literature that estimates suspended sediment discharge through the linear 882 interpolation of estimated suspended sediment concentration and water discharge (Gray and Simões, 2008, 1066). The increase in sediment discharge can be related 883 884 to the depth of the water column in the channels which allows for more water to flow 885 through at a given time and thus transport more sediment. This means that even in instances where there is low sediment input from the surrounding catchment, 886 887 possibly due to vegetation cover, variations in the water depth from increased water 888 availability would influence the sediment discharge downstream. The water depth

889 and water discharge also affect sediment discharge particularly of fine sediments as 890 these fine particles can be transported at low water discharge rates (Steegen, et al., 891 2000, 31). This means that even in instances where water depth is low, sediment 892 discharge of fine sediments will still occur. Therefore where fine sediments such as 893 clay particles make up the majority of the total suspended sediment concentration, 894 low water depths and slower water discharge rates can effectively transport 895 sediments and the TSS volume becomes the predominant factor influencing 896 sediment discharge (Figure B.1). 897



898

Figure B.1: Variations in mean annual sediment discharge (m<sup>3</sup> s<sup>-1</sup>) with increasing
water depth and total suspended sediment (mg L<sup>-1</sup>) with Manning's n of 0.03

The sediment discharge also increased with increased suspended sediment 902 903 volumes. Thus at a constant water depth increased TSS would result in increased 904 sediment discharge. This is relevant in representing increased incorporation of 905 sediments into the water channels during rain storm events and understanding the 906 changes in sediment discharge between different seasons. Studies by Nu-Fang 907 (2011) found that suspended sediment yield varied with the different seasons and was highest when water availability was greatest. The variability in TSS would 908 909 therefore also affect the amount of sediment discharged and accumulated within the

910 field system. Since sediment discharge is a linear function of water and TSS, increase in the TSS values would therefore result in increase in the sediment 911 912 discharged and therefore the accumulation rates in the fields. For the purposes of 913 this model, a combination of variation in water depth and constant TSS would 914 support representation of seasonal variations that would influence sediment accumulation as outlined below. Results of field studies conducted on water 915 916 channels in the Engaruka found TSS values ranging from 50 to 800 mg/L, with the average TSS of 200 mg L<sup>-1</sup>. The model therefore uses initial TSS values of 200 mg 917 918 L<sup>-1</sup> and focuses on variability in water depth to simulate for the effects on water and 919 sediment discharge.



920

Figure B.2: Change in mean annual water discharge (m<sup>3</sup> s<sup>-1</sup>) with increasing water
depth and changing Manning's roughness coefficient (n) values, with TSS of 200 mg
L<sup>-1</sup>

924

Water discharge was also found to vary with water depth and varying Manning's roughness coefficients (Figure B.2). An increase in the Manning's n resulted in a decrease in the water discharge while an increase in water depth in the channels resulted in an increase in water discharge across all Manning's n values. Low Manning's n values are typical of the surfaces of artificial channels made with materials intended to reduce friction while natural channel surfaces tend to have

higher roughness coefficients (Chow, 1959). Based on data from Stump (2006) from
excavations conducted in Engaruka, the water channels modelled can be described
as excavated or dredged, straight earth channels with earth bottoms and stone-lined
channel sides. The calibration for these channels can therefore be adjusted to
approximate n of 0.030 based on interpretation of Chow's (1959) reference
Manning's n values.

937 Increase in water depth resulted in increased water discharge (Figure B.2) and while faster water discharge can seem useful in providing large supplies to fields, the high 938 939 water flows within the channels can result in damage to the channel walls by eroding 940 their surfaces. The preference would therefore be for lower water discharge at 941 channel water depths of less than 0.50 m. Calibration for other aspects of the cross-942 sectional area of the channel i.e. bottom and top width and channel slope, were based on the archaeological data from excavations conducted by Stump (2006). As 943 944 discussed above, sediment discharge can be interpolated from water discharge and 945 the model simulates the function as expected such that as water discharge increases 946 sediment discharge also increases.

947

Model Uncertainty analyses were conducted using NetLogo's BehaviorSpace where the model scenario SIM-01 was run 100 times before stratified random sampling was used to extract 100 random variables from the runs. These 100 runs were then analysed using descriptive statistics in Excel to generate univariate statistics on the variability and the central tendency of the sample group (Figure B.3).

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The summary statistics and histogram show that the data has a bimodal distribution with a mean of 2.21 m, standard deviation 1.14, sample variance of 1.30 and confidence level (95%) of 0.23. The peaks for the data fall within the range of the first quartile at 1.38m and the third quartile at 3.28m. This bimodality in the distribution of data can be due to selection of random variables from the data at different points in the time period as the model ran.

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## 966 **B.2 Equivalence of Tested Scenarios**

967 The scenarios simulated utilised changes in water depth as proxies for the seasonal 968 and long-term climate fluctuations that could affect water availability and thus water 969 depth. The average daily water-depth for each scenario was determined where in 970 SIM-01 average water-depth was 0.10 m per day, for SIM-02 the average daily water depth was 0.073 m, for SIM-03 the average daily water depth was 0.064 m, and for 971 972 SIM-04 the average daily water depth was 0.093 m. This brings the question of 973 whether the results of the scenarios simulated were due to the differences in 974 average daily water depth or due to the trends in water depth over time. In order to

975 determine this the scenarios were also run with seasonal and long-term fluctuations

976 where water-depth would vary over different seasons but would still result in an

average daily water depth of 0.10 m overall in order to assess the equivalence of the

978 tested scenarios (Fig B.3).

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Figure B.4: Mean annual cumulative sediment depth (metres) for constant water
availability (SIM-01), seasonal variability (SIM-02), long-term climate variability (SIM03), and vegetation cover impact (SIM-04) over a period of 100 years.

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985 The results of the equivalence test showed that when the average daily water depth 986 was the same between the scenarios with different trends over time, the rates of 987 sediment accumulation closely matched. However it must be noted that in realistic 988 depictions of water availability, the seasonal and climate fluctuations mean that there 989 might be less water available in the water channels to transport water and sediments 990 to the fields thus these two variables are interlinked. The changes in water depth due 991 to differences in trends of water distribution as a result of seasonal and long-term 992 climate fluctuations would result in averaged daily water depths being lower than the idealised rate, it would thus mean that it would be the combination of trends in water 993 994 distribution over time and the differences in water availability that would influence the rate of sediment accumulation in the fields. 995

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