

1 **Contourite processes associated with the overflow of Pacific Deep**  
2 **Water within the Luzon Trough: Conceptual and regional**  
3 **implications**

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16 **ABSTRACT**

17 Overflows through oceanic gateways govern the exchange of water masses in the  
18 world's ocean basins. These exchanges also involve energy, salinity, nutrients, and  
19 carbon. As such, the physical features that control overflow can exert a strong influence  
20 on regional and global climate. Here, we present the first description of sedimentary  
21 processes generated by the overflow of Pacific Deep Water (OPDW). This mass flows  
22 southward at approximately 2000 to 3450 m water depth within the Luzon Trough  
23 (gateway) from the Pacific Ocean into the South China Sea. OPDW can be divided into:  
24 a) a lower, denser layer (including an associated weak counter-current), which has  
25 generated a large contourite depositional system (CDS-1) that includes large erosional  
26 (channel and moat), depositional (mounded and plastered drift), and mixed (terrace)  
27 contourite features along the trough bottom and walls, and b) an upper mixing layer,  
28 which has not generated any significant depositional or erosional contourite features.  
29 Where OPDW does not reach the seafloor, it is underlain by bottom water that circulates  
30 more sluggishly but has generated a second contourite depositional system (CDS-2)  
31 made of a large sheet-like drift. The OPDW flow has generally enhanced since the  
32 middle to late Miocene, except in the shallower northernmost corridor. In the deeper  
33 main trough, reductions in width and depth of the gateway by Taiwan orogenic events  
34 have likely accelerated the overflow. The latest significant enhancing may promote  
35 widespread development of contourite depositional systems along the South China  
36 Sea's lower continental slope and adjacent deeper areas. This work highlights the  
37 importance of gateway-confined overflows in controlling the morphology and  
38 sedimentary evolution of adjacent deep marine sedimentary systems. A clear  
39 understanding of overflow processes and their products is essential for decoding  
40 tectonic control in oceanographic or paleoceanographic processes.

41

42 **Keywords:** Overflows, sedimentary processes, contourites, gateways, Luzon Trough,  
43 Taiwan orogeny, South China Sea

44

## 45 **1. Introduction**

46 Bathymetric gateways, which may differ in many geometric aspects (e.g., Drake  
47 Passage, Tasmanian Seaway, Strait of Gibraltar) (Kennett, 1982; Knutz, 2008), connect  
48 all the world's ocean basins, and conduct the exchange of seawater and associated  
49 properties and constituents between oceans and seas (Berggren, 1982; Kennet, 1982;  
50 Dummann et al., 2019). In the geological past, gateways controlled paleo-circulation  
51 patterns and marine basin connections (e.g., Indian Gateway, Indonesian Gateway). The  
52 opening / closing, deepening / shallowing of gateways can influence the sedimentary  
53 evolution of basins, global ocean circulation, global carbon cycles, poleward  
54 temperature gradients, and the exchange and vertical structure of water masses (and  
55 associated sedimentary processes) (Knutz, 2008). In turn, these factors determine the  
56 distribution of marine biota as well as their longer term evolutionary trajectories  
57 (Berggren, 1982; Ricou, 1995; Zachos, et al., 2001; Sijp et al., 2014; Pérez et al., 2019).

58 The exchange of seawater (and associated properties and constituents) through  
59 gateways occurs primarily as lower high-density overflows, as well as upper waters  
60 (e.g., buoyant surface waters) necessary to balance the mass transport by overflows  
61 across gateways (Gordon et al., 2004, 2009, 2011; Legg et al., 2009; Hansen et al., 2016;  
62 Jochumsen et al., 2017; Sanchez-Leal et al., 2017). Examples of these processes include  
63 the deep Nordic overflows through gaps in the Greenland-Iceland-Scotland ridge and  
64 Antarctic Bottom Water overflows from the Weddell and Ross seas in the Antarctic

65 (Gordon et al., 2004, 2009; Legg et al., 2009), as well as intermediate-depth overflows  
66 from the Red Sea and the Mediterranean (Peters et al., 2005; Legg et al., 2009; Sanchez-  
67 Leal et al., 2017). The history of long-term changes in overflow behavior could be  
68 deduced from the products of overflows, i.e., contourite features. For example,  
69 erosional features represent fast overflows and depositional features mark relatively  
70 weak overflows, where mounded contourites (drifts) indicate faster currents as  
71 compared to sheeted drifts (e.g., Faugères et al., 1999; Hernandez-Molina et al., 2008;  
72 Stow et al., 2009; Rebesco et al., 2014). For many gateways, erosion represents the  
73 most dominant sedimentary process, and high velocity and overflow currents leave no  
74 sedimentary record (Garabato et al., 2002; Gordon et al., 2004, 2009; Sanchez-Leal et  
75 al., 2017). In those gateways with significant sedimentation, the history of long-term  
76 changes in overflows and associated gateway tectonics can be decoded based on the  
77 sedimentary record. In the Faeroe-Shetland gateway, the change from erosion / non-  
78 deposition to enhanced contourite drift accumulation at the early Pliocene suggests a  
79 reduction in meridional overflow transport, which may have been an important factor  
80 for the growth of North Hemisphere ice sheets (Knutz and Cartwright, 2003). In the  
81 Bruce Passage, the development of contourite drifts recorded the opening and evolution  
82 of the gateway (Maldonado et al., 2003; Hernandez-Molina et al., 2007; Lobo et al.,  
83 2011; Garcia et al., 2016). Thus, the rare sedimentary record and modern seafloor can  
84 serve as archives of gateway evolution, recording the long-term regional tectonic events  
85 over time.

86 At gateway exits, overflows form large-scale contourite features in adjacent ocean

87 basins. These features may be erosional (e.g., channels, furrows), depositional (e.g.,  
88 drifts, bedforms), or mixed (e.g., terraces) (see McCave et al., 1980; Llave et al., 2007;  
89 Garcia et al., 2009; Stow et al., 2013; Hernandez-Molina et al., 2014, 2016; Rebesco et  
90 al., 2014; de Weger et al., 2020). Exit features are fairly well documented. By contrast,  
91 overflow processes within gateways have not been systematically described. One  
92 exception is a general report on overflow of Weddell Sea Deep Water through the Bruce  
93 Passage from the Weddell Sea into the Scotia Sea (Hernandez-Molina et al., 2007; Lobo  
94 et al., 2011; Garcia et al., 2016).

95 Pacific Deep Water (PDW), also called North Pacific Deep Water in the north  
96 Pacific, overflows from the Pacific Ocean into the Luzon Trough in the Luzon Strait  
97 (Fig. 1) through the narrow Bashi Channel and Taitung Canyon (Qu et al., 2006; Zhao  
98 et al., 2014, 2016; Zhou et al., 2014, 2018), and then enters the South China Sea through  
99 two gaps (Ye et al., 2019) in the Heng-Chun Ridge (Fig. 1A). The overflow of Pacific  
100 Deep Water (OPDW), after crossing the Luzon Trough, may gradually get mixed due  
101 to energetic internal waves/tides and eddies (Qu et al., 2006; Tian et al., 2006; Zhu et  
102 al., 2019), and eventually exits the South China Sea in the intermediate layer through  
103 the Luzon Strait (Qu et al., 2000; Tian et al., 2006) and in the upper layer mainly through  
104 the Karimata and Mindoro Straits (Qu et al., 2009; Yaremchuk et al., 2009). The narrow  
105 trough gateway, the only deep passage connecting the Pacific Ocean and the South  
106 China Sea, formed due to the Taiwan orogeny (Huang et al., 2018). The Luzon Trough  
107 is therefore ideal for studying overflow within a confined setting, and the role of  
108 tectonics in trough and overflow evolution. In this study, the deep north and narrow



109 middle areas of the Luzon Trough are analyzed in order to: 1) identify primary  
110 contourite features within the Luzon Trough, 2) decode the role of OPDW in the  
111 formation of these features, 3) investigate the influence of the Taiwan orogeny on the  
112 overflow variations, and 4) explore the possible effect of the overflow along the  
113 adjacent South China Sea margin.

114

## 115 **2. Geological and oceanographic setting**

### 116 *2.1 Geological background of the Luzon Strait*

117 The South China Sea (Fig. 1A) formed during the Oligocene to middle Miocene  
118 (Taylor and Hayes, 1980; Li et al., 2014) with eastward subduction of the lithosphere  
119 along the Manila Trench due to northwest movement of the Philippine Sea plate at a  
120 rate of 5–8 cm/year (Hayes and Lewis, 1984; Hall, 2002; Clift et al., 2003; Sibuet and  
121 Hsu, 2004). Subduction continued in the middle to late Miocene, with oblique collision  
122 between the N-S trending Luzon volcanic arc and the NE-SW trending northern South  
123 China margin. The oblique collision initially occurred north of Taiwan at 12–6.5 Ma,  
124 then gradually propagated southward (Suppe, 1981; Huang et al., 2018; Clift et al., 2008;  
125 Chen et al., 2019). The arc-continent collision is an event referred to as the Taiwan  
126 orogeny (Huang et al., 2018). Our study area —the northern and middle Luzon  
127 Trough— occupies the Luzon Strait between the South China Sea and the Pacific Ocean  
128 (Fig. 1A). The trough represents a forearc basin bound by an accretionary wedge (Heng-  
129 chun Ridge) to the west and a volcanic arc (Luzon Arc) to the east. The formation of

130 this trough began in the middle to late Miocene due to the Taiwan orogeny (Clift et al.,  
131 2008; Huang et al., 2018).

132

### 133 *2.2 Oceanographic setting of the Luzon Strait*

134 The Luzon Strait hosts a distinctive inflow-outflow-inflow structure in vertical  
135 (Fig. 1B) (Review in Zhu et al., 2019; Cai et al., 2020). In the upper layer, the North  
136 Pacific Tropical Water (NPTW), with a density of  $1024 \text{ kg/m}^3$  (Qu et al., 1999), flows  
137 into the South China Sea and contributes to forming the South China Sea Surface Water  
138 (SSW) between 0 and 500 m water depth, with a density between 1021.0 and 1026.8  
139  $\text{kg/m}^3$  (Qu et al., 2006; Tian et al., 2006; Zhang et al., 2015; Cai et al., 2020). The upper  
140 layer inflow is induced by the Kuroshio Current intrusion (Review in Cai et al., 2020).  
141 In the middle layer, the South China Sea Intermediate Water (SIW, a density between  
142 1026.8 and 1027.6  $\text{kg/m}^3$ ) flows out of the South China Sea into the Pacific Ocean and  
143 contributes to circulation of the North Pacific Intermediate Water (NPIW, a density of  
144 1026.5 to 1027.6  $\text{kg/m}^3$ ) between  $\sim 500$  and  $\sim 1500$  m water depth (Qu et al., 1999; Tian  
145 et al., 2006; Cai et al., 2020). In the deep layer, the PDW, with a potential density of  
146  $1036.7 \text{ kg/m}^3$  (referenced to 2000 decibar) to  $1045.8 \text{ kg/m}^3$  (referenced to 4000 decibar)  
147 (Kaneko et al., 2001), flows into the South China Sea as a dense overflow (OPDW)  
148 between  $\sim 1500$  and 2450 m water depth and forms the South China Sea Deep Water  
149 (SDW) with a potential density (referenced to 2000 decibar) of 1036.7 to 1036.8  $\text{kg/m}^3$ ,  
150 and Bottom water (SBW) with a potential density (referenced to 2000 decibar) larger  
151 than  $1036.8 \text{ kg/m}^3$  (Qu et al., 2006; Zhao et al., 2014; Zhou et al., 2018; Ye et al., 2019).

152 The deep layer inflow and middle layer outflow are driven by baroclinic pressure  
153 gradient across the Luzon Strait induced by the density differences between the South  
154 China Sea basin and the Pacific Ocean (Fig. 1C. Review in Zhu et al., 2019).

155 The OPDW, which occurs at depths below  $\sim 2000$  m with a potential density  
156 (referenced to 2000 decibar) of 1036.8 to 1036.9  $\text{kg/m}^3$  (Zhao et al., 2014; Zhou et al.,  
157 2018), enters the Luzon Strait (Fig. 1) primarily through the Bashi Channel (1.2 Sv,  
158 where 1 Sv =  $1 \times 10^6 \text{ m}^3/\text{s}$ ) and secondarily through Taitung Canyon (0.4 Sv) (Zhao et  
159 al., 2014). The overflow then flows southward along the northern Luzon Trough  
160 through the narrow, middle part into the southern trough, to finally enter the South  
161 China Sea (Fig. 1). This final stage primarily occurs through two gaps (0.73 and 0.45  
162 Sv) in the Heng-Chun Ridge (Zhao et al., 2014). The velocity of the present-day  
163 overflow within the Luzon Trough can reach up to 30 cm/s (Zhao et al., 2014, 2016;  
164 Zhou et al., 2014). The OPDW exhibits significant seasonal and intraseasonal variaions  
165 (Zhou et al., 2014; Zhao et al., 2016), including intensified, thicker, deeper, denser  
166 flows with higher transports in late fall (October–December) and weakened, thinner,  
167 shallower, lighter flows with lower transports in spring (March–May). This overflow is  
168 driven by a persistent baroclinic pressure gradient between the Pacific Ocean and the  
169 South China Sea due to strong diapycnal mixing in the Sea (Qu et al., 2006; Tian et al.,  
170 2009; Zhou et al., 2018), which is induced by energetic internal tides, internal waves,  
171 and mesoscale eddies (Review in Zhu et al., 2019).

172

173 **3. Materials and methods**

174 This study used multibeam swath bathymetry, multichannel seismic reflection  
175 profiles, surface samples, and oceanographic data.

176

177 *3.1 Multibeam swath bathymetric surveys*

178 The bathymetric survey, which covered the entire Luzon Trough (Fig. 1A), was  
179 conducted by the Xiangyanghong 14 vessel during the Luzon Strait cruise, from 23  
180 December 2005 to 4 January 2006, using a RESON SeaBat 8150 multibeam system.  
181 The original multibeam sounding data were processed using Caris HIPS and SIPS  
182 software (version 8.1.9). The final high-resolution seabed digital terrain model was  
183 built at a 100 m grid resolution using the swath angle surface method of Caris HIPS  
184 and SIPS software (Fig. 2).

185 The bathymetric data are used to identify modern contourite features together with  
186 seismic data following the morphological and seismic criteria defined by Fauguères et  
187 al. (1999), Rebesco and Stow (2001), Rebesco (2005), Nielsen et al., (2008), Rebesco  
188 and Camerlenghi (2008), and Rebesco et al. (2014).

189

190 *3.2 Seismic reflection data*

191 Fourteen multichannel 2D seismic reflection profiles (Fig. 1A) spanning a total  
192 length of ~1200 km were collected on five cruises between 1995 and 2009. These  
193 included the R/V Maurice Ewing survey EW9509 (Schnuerle et al., 2008) from 23

194 August 1995 to 24 September 1995; the R/V Marcus G. Langseth expeditions  
195 MGL0905 (McIntosh et al., 2013a) from 1 April 2009 to 29 April 2009; MGL0906  
196 (McIntosh et al., 2013b) from 4 May 2009 to 4 June 2009 and MGL0908 (McIntosh et  
197 al., 2014) from 16 June 2009 to 25 July, 2009; and the Malina Trench Cruise of the  
198 Xiangyanghong 10 vessel in July 2016. The seismic data have a dominant frequency  
199 range between 30 and 60 Hz, giving a vertical resolution (tuning thickness) of  $v/240$  to  
200  $v/120$  ( $v$  represents average interval velocity). Average interval velocity was estimated  
201 at about 1600 m/s from the average p-wave velocity for sediment 434 m thick at IODP  
202 349 site U1431 (Fig. 1A. Expedition Scientists, 2014), along the abyssal plain of the  
203 South China Sea, near the study area. The other four sites (IODP 349 U1432 through  
204 U1435) were not used for average interval velocity estimation because their measured  
205 p-wave velocities were of poor quality and/or incomplete, or influenced by very high  
206 carbonate content. The average interval velocity (1600 m/s) was used to estimate  
207 sedimentary thickness and perform a time-depth conversion below the modern seafloor  
208 in the Luzon Trough. Seismic data were processed using a standard pre-stack time-  
209 migration procedure. Major processing steps included denoising, deconvolution,  
210 amplitude correction, trace selection, velocity analysis and model building, and time  
211 migration.

212 The seismic data were used to identify large subsurface contourite features within  
213 the Luzon Trough and also to show water mass structure at the profile sites. The seismic  
214 stratigraphic analysis was performed following the conventional method and basic  
215 criteria proposed by Mitchum et al. (1977) and Catuneanu et al. (2009). This method

216 uses reflection terminations to identify discontinuities and internal reflection  
217 configurations, and unit shapes to characterize seismic facies. The lack of wells in the  
218 Luzon Trough impedes good age control of the seismic units. Thus, the age of the  
219 sediment base in the Luzon Trough was inferred from the tectonic background, i.e.,  
220 Taiwan orogeny (e.g., Suppe, 1981; Teng, 1990; Lee et al., 1993; Lin et al., 2002; Yang  
221 et al., 2014), and was referenced to stratigraphic interpretations for the northern Luzon  
222 Trough by Huang et al. (2018).

223

### 224 *3.3 Surface sediment samples*

225 Four box surface sediment samples, GX118BC, GX128BC, GX133BC, and  
226 GX138BC, were collected in the northern Luzon Trough (Fig. 1A). The grain size of  
227 these samples was measured using a Mastersizer 2000 laser diffraction particle size  
228 analyzer. These samples were used to determine dominant sedimentary facies of the  
229 seafloor within the modern trough and to assist in the comprehensive identification of  
230 contourites by bathymetric and seismic data.

231

### 232 *3.4 Oceanographic data*

233 Regional oceanographic data, including salinity and temperature, were provided  
234 by the NOAA World Ocean Database 2013  
235 (<https://www.nodc.noaa.gov/OC5/WOD13/data13geo.html>). These data show the  
236 characteristics of water masses in the South China Sea, northwest Pacific Ocean, and

237 within the Luzon Trough (Fig. 1B). Data were also used to link the regional water  
238 masses to present-day, large contourite features within and adjacent to the Luzon  
239 Trough.

240

### 241 *3.5 Nomenclature*

242 For simplicity, we use the term contourites to refer to sediments deposited or  
243 substantially reworked by the persistent action of bottom currents, which could also be  
244 related to large-scale bedforms, i.e., sediment waves. This term therefore includes a  
245 variety of sediments affected by different types of currents (Rebesco et al., 2014). Thick,  
246 extensive sedimentary accumulations are referred to as contourite drifts (Faugères et al.,  
247 1999).

248

## 249 **4. Results**

### 250 *4.1 General morphology of the Luzon Trough*

251 The Luzon Trough extends southward from Taiwan Island along about 650 km to  
252 Luzon Island (Figs. 1A and 2). Averaging 50 km in width, the trough typically assumes  
253 a U-shape in cross-section with a relatively smooth bottom, steep sides and gradients  
254 of 3° to 25°. The Heng-chun Ridge to the west (Fig. 1A) is characterized by linear  
255 accretionary ridges that parallel the Luzon Trough. A number of sub-circular  
256 depressions are also present to the west, mainly along the Heng-chun Ridge at water  
257 depths between 3000 and 3500 m. These depressions range from 8 to 18 km in length,

258 2.5 to 10 km in width, and 200 to 450 m in depth (Fig. 2B). The Luzon volcanic arc,  
259 east of the Luzon Trough (Fig. 1A), includes more than a dozen connected seamounts  
260 at water depths between 2500 and 3000 m. These reach heights of 650 to 2600 m.

261 The Luzon Trough itself consists of three primary trough areas. The northern  
262 trough trends N-S, whereas the middle and southern troughs trend NE-SW (Figs. 1 and  
263 2). The northern trough reaches a width of 65 km and bottom depths of 2600 to 3700  
264 m. Its north end connects to two narrower and shallower northeast-trending corridors  
265 respectively referred to as C-1 and the Bashi Channel. The middle trough is a narrow,  
266 short passage, 7–10 km wide and 20 km long, with bottom depths of 3200 to 3650 m.  
267 The southern trough is up to 40 km wide and has bottom depths of 2800 to 3400 m. The  
268 whole trough has two main gaps along its western side. Referred to as Outlet-1 and  
269 Outlet-2 (Fig. 2), these gaps reside at ~2850 m water depth and connect the southern  
270 trough to the South China Sea.

271 A sill, herein referred to as the Bashi sill, transects the head of the Bashi Channel  
272 (Figs. 1 and 2). The sill runs 25 km in length, spans 5 km in width, and resides at water  
273 depths of 2050 to 2450 m. Three large isolated bathymetric highs (E1, E2, and E3)  
274 reach heights of 200 to 1000 m and are spaced roughly equidistant along the floor of  
275 the Bashi Channel and the southern trough (Fig. 2). They form oval to linear features  
276 in plan view, with long axes of 8 to 9 km and short axes of 4 to 5 km.

277



278 *4.2 General seismic stratigraphic framework*

279 Three main seismic units (SUs) were identified in the northern and middle areas  
280 of the Luzon Trough (Figs. 4–7). These units (SU3 to SU1, from bottom to top) are  
281 bound by an acoustic basement beneath SU3, two internal regional discontinuities (H2  
282 and H1, from bottom to top), and the modern seafloor at the top of SU1.

283 The acoustic basement, which is characterized by reflection-free or discontinuous  
284 reflection areas, lies exposed or is locally overlain by thin sediment along the trough  
285 wall. Within the trough bottom, seismic unit SU3 overlies the basement. SU3 exhibits  
286 moderately continuous, variable-amplitude reflections that show a divergent basin-fill  
287 configuration. A reverse fault cuts through the SU3 in the northern trough (Figs. 4 and  
288 5).

289 The H2 discontinuity defines the top of seismic unit SU3 and the base of seismic  
290 unit SU2. This surface appears as continuous, high-amplitude reflections with  
291 occasional partial erosional truncation over the underlying seismic unit SU3. SU2  
292 generally shows continuous, high-amplitude reflections that form a sheeted to mounded  
293 or wedge-like shape with an aggradational internal configuration (Figs. 4–7). The lower  
294 part of SU2 contains a chaotic to semi-transparent reflection package that appears on  
295 six of seven examined seismic lines within the main axis of the trough. Similar deposits  
296 appear locally in SU1 and on the trough walls.

297 The H1 discontinuity defines the top of seismic unit SU2 and the base of seismic  
298 unit SU1. This surface is characterized by a continuous, high-amplitude reflection. The

299 upper boundary of SU1 is the modern seafloor. SU1 exhibits moderate- to high-  
300 amplitude reflections that show an aggradational internal configuration. The unit  
301 exhibits a mounded external form within the C-1 corridor of the northern trough and  
302 also within the southern trough (Figs. 4, 5, and 7). Within the main axis of the northern  
303 trough (Fig. 6), this unit is generally sheeted rather than mounded, yet it may be  
304 mounded locally.

305

#### 306 *4.3 Gateway contourite features: morphosedimentary and seismic characteristics*

307 All along the length of the north and middle Luzon Trough, depositional, erosional,  
308 and mixed (depositional + erosional) contourite features appear by the trough bottom  
309 and walls, even in sections where downslope gravitational features predominate (Fig.  
310 2). Table-I lists the general morphologic parameters of these contourite features.

311

##### 312 *4.3.1 Depositional features*

313 Sediment drifts are among the depositional features identified in bathymetric and  
314 seismic data. The north and middle parts of the Luzon Trough include mounded,  
315 plastered, and sheeted drifts (Fig. 2).

##### 316 *Mounded drifts*

317 Seven mounded drifts, numbered MD-1 through MD-7, occur along the bottom of  
318 the northern and middle troughs (Fig. 2). As implied by their designation, each exhibits  
319 a mounded shape. The distribution of erosional and depositional features indicates that

320 some of the drifts connect laterally, as do MD-1 and MD-2 (Fig. 4), and MD-3 and MD-  
321 4 (Fig. 5). The MD-1 drift extends along the western side of corridor C-1 within the  
322 northern trough (Figs. 2, 3A, and 4). This drift (Table-I) reaches thicknesses of up to  
323 520 m and gradually thins eastward. MD-1 is characterized by continuous reflections  
324 with an aggradational pattern developed primarily within SU2 and SU1 (Fig. 4). SU2  
325 shows a more clearly pronounced mounded morphology than SU1 (Fig. 4).

326 The MD-2 mounded drift, located just east of MD-1, extends along the eastern  
327 side of corridor C-1 (Figs. 2 and 3) and gradually thins westward (Fig. 4). MD-2 (Table-  
328 I) reaches thicknesses of up to 320 m and is composed of continuous reflections with  
329 an aggradational pattern primarily developed in SU1 and SU2 (Fig. 4).

330 The nearby MD-3 (up to 190 m thick) and MD-4 drifts (up to 160 m thick) mainly  
331 trend NW-SE along the eastern side of a wider section of the C-1 corridor (Figs. 2 and  
332 3A). Both of these drifts show aggradational internal configurations developed within  
333 SU1 and SU2 (Fig. 5). Features within SU2 exhibit more mounded morphology than  
334 those within SU1. SU3 shows no significant seismic evidence of contourite drifts.

335 MD-5 and MD-6 occur along the eastern edge of the northern trough bottom (Fig.  
336 2). The mounded shapes here are very smooth (i.e., lower relief). The internal  
337 configuration of the drifts is aggradational with continuous, weak to moderate  
338 amplitude reflections (Fig. 6). Both of these mounded drifts, up to 130 m thick, occur  
339 only in the uppermost seismic unit, SU1.

340 MD-7 occurs along the middle trough bottom just north of the E2 bathymetric high

341 (Figs. 2, 3D, and 7). Mainly within SU1 and SU2, high-amplitude reflections outline a  
342 mounded, aggradational configuration (Fig. 7). The mounds exhibit higher relief in SU1  
343 than in SU2. The amplitude of the reflection associated with the modern seafloor is  
344 higher in western parts of the study area than in eastern parts (Fig. 7).

#### 345 *Plastered drifts*

346 Plastered drifts are generally smaller and more subtle than mounded drifts  
347 (Rebesco et al., 2014). They are often too small to appear in bathymetric data. Seismic  
348 profiles exhibit three plastered drifts, PD-1 through PD-3, along the northern and  
349 middle Luzon Trough walls (Figs. 6 and 7). PD-1 occurs along the eastern wall of the  
350 northern trough and shows a lightly mounded shape (Fig. 6). Up to 40 m thick, PD-1  
351 shows an aggradational internal configuration of continuous reflections having  
352 moderate amplitudes (Fig. 6).

353 PD-2 extends along the western wall of the middle trough, near MD-7 (Fig. 7). Up  
354 to 30 m thick, this drift exhibits a smooth, mounded shape and continuous, moderate  
355 amplitude reflections indicating an aggradational configuration (Fig. 7). PD-3 occurs  
356 along the eastern wall of the middle trough, opposite PD-2 (Fig. 7). Up to 60 m thick,  
357 this drift exhibits a smooth, mounded shape and continuous, moderate amplitude  
358 reflections indicating upslope progradation (Fig. 7).

#### 359 *Sheeted drifts*

360 Sheeted drifts are characterized by a broad, very slightly mounded geometry that  
361 thins slightly toward the margins (Rebesco et al., 2014). In the Luzon Trough, a single

362 sheeted drift —SD-1, which appears primarily in seismic profiles— extends along the  
363 trough bottom below 3500 m water depth (Figs. 2 and 6). Up to 800 m thick, this  
364 massive sheeted drift covers the northern trough and a small portion of the southern  
365 trough, but occurs only in SU1 and SU2 (Fig. 6). Continuous moderate- to high-  
366 amplitude parallel reflections outline an aggradational internal configuration (Fig. 6).  
367 Some truncations occur below the modern seafloor along the western edge of the trough  
368 bottom. Fine silts cover the surface of the sheeted drift (Fig. 8). Four surface samples  
369 (Fig. 2) in the northern trough bottom show fine silt deposits on the seafloor of the  
370 sheeted drift (Fig. 8). These samples, GX118BC, GX128BC, GX133BC and GX138BC,  
371 respectively gave median grain sizes of 6.69, 6.72, 6.97 and 6.96 phi, all of which mark  
372 fine silt.

373

#### 374 *4.3.2 Erosional features*

375 Two types of erosional features —contourite channels and moats— appear in the  
376 bathymetric and seismic data from the northern and middle Luzon Trough.

#### 377 *Contourite channels*

378 Two contourite channels, CC-1 and CC-2, extend along the northeast-trending C-  
379 1 and Bashi Channel of the northern trough (Fig. 2). The CC-1 channel extends  
380 southward along the C-1 corridor into the main axis of the northern trough (Fig. 2). This  
381 channel is interrupted by the four mounded drifts, MD-1 through MD-4 (Fig. 2). CC-1  
382 exhibits a V- to U-shape in cross-section and reaches an incisional depth of about 600

383 m (Fig. 5). Only a limited amount of sediment (sometimes no sediment at all) is found  
384 within the CC-1 channel. When present, deposits primarily appear as chaotic, high-  
385 amplitude reflections (Fig. 5).

386 The CC-2 extends southwestward from Bashi Sill (2100 to 2450 m water depth)  
387 at the head of Bashi Channel, entering the main course of the northern trough (Fig. 2).  
388 This channel exhibits a U-shaped cross-section and reaches an incisional depth of 750  
389 m (Fig. 9). The channel floor is an erosional surface that locally truncates layers of  
390 underlying sediment. Some sporadic sedimentary deposits appear within the channel as  
391 chaotic to contorted, moderate- to high-amplitude reflections (Fig. 9).

#### 392 *Moats*

393 Moats are a type of valley associated with the mounded drifts identified in SU2  
394 and SU1. Moats within the Luzon Trough are found within two ranges of water depth:  
395 2700 to 3400 m, and 3400 to 3700 m. The moats within the shallower depth range run  
396 along the western edges of mounded drifts, MD-1 and MD-3, along the eastern edges  
397 of MD-2 and MD-4, and along both edges of MD-7 (Figs. 2, 3, 4, 5, and 7). These moats  
398 reach incisional depths of up to 180 m. Moats are commonly wider and deeper along  
399 the western sides of the drifts than along the eastern sides (Figs. 4, 5, and 7). Moats  
400 within the deeper water depth range run along the eastern sides of the very smooth  
401 mounded drifts, MD-5 and MD-6. These moats occur within the northern trough at  
402 depths of up to 40 m (Fig. 6). Seismically, all the moat infill appears as moderate- to  
403 high-amplitude layered reflections (Figs. 4–7).

404

### 405 *4.3.3 Mixed features*

#### 406 *Terraces*

407       Contourite terraces appear as broad, low-gradient, along-slope features that dip  
408 slightly seaward. They develop from mixed (erosional + depositional) bottom-current  
409 processes (Rebesco et al., 2014). Within the Luzon Trough, two terraces, T-1 and T-2,  
410 extend along the western wall of the northern and middle troughs, respectively (Fig. 2).  
411 Terrace T-1 (Table-I), about 600 m thick, exhibits continuous parallel, low-amplitude  
412 reflections that outline an internal aggradational configuration (Fig. 6). Terrace T-2  
413 (Table-I) occurs south of terrace T-1 at a similar water depth. Because the seismic  
414 survey did not extend to this feature, its internal configuration remains unknown.

415

## 416 **5. Discussion**

417       Contourite features in the Luzon Trough have not been reported in the literature to  
418 date, but turbidites have been previously described (Huang et al., 2018). We shall first  
419 discuss evidence of contourites in the trough and the possible reason why contourites  
420 were not easily found in the adjacent onshore outcrops. After that, the sedimentary  
421 processes related to these contourites are discussed, as well as the conceptual and  
422 regional implications of the sedimentary processes.

423

424 *5.1 Identification of contourite features in the Luzon Trough*

425 The identification and interpretation of contourite features in the Luzon Trough  
426 relied on the three-scale approach suggested by Nielsen et al., (2008) and Stow and  
427 Smillie (2020). The large-scale (oceanographic setting), middle-scale (seismic  
428 architecture), and small-scale (seismic facies, lithology, bedforms) framing allows for  
429 consistent interpretation of local- to regional-scale implications.

430 a) *The oceanographic setting.* The Luzon Trough is the only deep passage connecting  
431 the Pacific Ocean and the South China Sea (Qu et al., 2006; Zhao et al., 2014,  
432 2016; Zhou et al., 2014, 2018; Ye et al., 2019). This passage experiences vigorous  
433 bottom currents with velocities up to 30 cm/s (Figs. 7B and 9B. Zhao et al., 2014).  
434 Such conditions can form depositional or erosional contourite features (Faugères  
435 et al. 1999; Stow et al., 2009; Rebesco et al., 2014).

436 b) *The seismic architecture.* Seismic architecture with regional bathymetric data can  
437 detect contourite features (e.g., Faugères et al., 1999; Rebesco and Stow, 2001;  
438 Rebesco, 2005; Rebesco and Camerlenghi, 2008; Rebesco et al., 2014). Together  
439 with morphological criteria, the mounded drifts (MD-1, MD-2, MD-3, MD-4, MD-  
440 5, and MD-6), sheeted drifts (SD-1), and plastered drifts (PD-1, PD-2, and PD-3)  
441 (Figs. 3–7) were identified and interpreted based on their regional distributions.

442 c) *The small scale.* Local seismic facies are also essential for detecting and interpreting  
443 bottom current features (Faugères et al., 1999; Nielsen et al., 2008). They include  
444 bedforms and seafloor sedimentary facies that may elucidate erosional,  
445 depositional, and mixed bottom current features. Physical samples of seafloor



446 material from the sheeted drift SD-1 (Fig. 8) exhibit fine silt deposits with well  
447 selected grain size distributions ranging from 6.69 and 6.97 phi. These parameters,  
448 characteristic of fine grained contourites (Rebesco et al., 2014; Brackendridge et  
449 al., 2018), indicate a low-energy setting swept by a semi-continuous current  
450 (Stow et al., 2009; Rebesco et al., 2014).

451 Huang et al. (2018) reported turbidites within Taiwan outcrops north of the Luzon  
452 Trough. This report describes contourite features absent from adjacent onshore outcrops  
453 that record deep-water sedimentary systems. Contourites do not appear onshore  
454 probably because the overflow of Pacific Deep Water (OPDW) only flowed within a  
455 narrow trough of an otherwise expansive subduction-collision system. Deformation  
456 most likely subjects depocenters to compression and deformation, thus obscuring their  
457 morphology and continuity with onshore outcrop expression. Secondly, older ancient  
458 sediment exposed onshore could be in a setting with absent or weak OPDW circulation  
459 that did not affect these units. Finally, younger, exposed sediments may reflect  
460 environments shallower than 2000 m water depth due to a more protracted period of  
461 uplift in the north relative to south (Huang et al., 2018), and therefore would not record  
462 deeper OPDW activity.

463

## 464 *5.2 Overflow processes and their association with contourite features*

### 465 *5.2.1 Contourite depositional systems and gateway water masses*

466 Depositional (drift) and erosional (channel and moat) contourite features described  
467 here can be grouped into two contourite depositional systems (CDSs) according to their

468 water depth ranges (2100 to 3400 m, and 3500 to 3700 m water depth). These depth  
469 ranges capture contrasting distribution and morphological reliefs of contourite features,  
470 which in turn are related to the influences of different water masses.

471 *Contourite depositional system 1 (CDS-1)*

472 CDS-1 developed at shallower water depths. It includes mounded drifts MD-1  
473 (2780–2850 m water depth), MD-2 (2750–2800 m water depth), MD-3 (2600–2670 m  
474 water depth), MD-4 (2590–2630 m water depth), and MD-7 (3200–3400 m water depth)  
475 as well as their associated moats. All three plastered drifts, PD-1 (2500–2800 m water  
476 depth), PD-2 (2900–3200 m water depth), and PD-3 (3000–3200 m water depth) also  
477 occur in CDS-1. It furthermore includes both contourite channels, CC-1 (2600–3000 m  
478 water depth) and CC-2 (2650–2700 m). Except for MD-7, PD-2, and PD-3, which occur  
479 in the middle trough, most of these features occur in the northern trough. This  
480 depositional system overlaps with the OPDW depth range (2000 to 3450 m water depth),  
481 which is characterized by high current velocity (Figs. 10 and 11, Zhao et al., 2014), low  
482 temperature, and high salinity (Fig. 12) within the trough.

483 The fact that larger-scale moats occur only along the western sides of the mounded  
484 drifts indicates that these features were formed by a southward-flowing geostrophic  
485 flow concentrated along the western side of the Luzon Trough (right side of the flow)  
486 due to the influence of the Coriolis force. This interpretation is consistent with the  
487 southward current direction and the geostrophic character of the OPDW reported by a  
488 number of authors (Qu et al., 2006; Zhao et al., 2014, 2016; Zhou et al., 2014; Ye et al.,  
489 2019). The interpretation is also consistent with the westward deviation of the dense

490 OPDW documented by salinity and temperature profiles across the trough (Fig. 12).  
491 The OPDW velocity of up to 16 cm/s in the main trough (Fig. 7) (Zhao et al., 2014)  
492 resembles current velocities observed in other areas with similar types of drifts and  
493 moats along the Atlantic and Antarctic margins (Faugères et al., 1999; Hernandez-  
494 Molina et al., 2006, 2008; Stow et al., 2009; Rebesco et al., 2014).

495 Relative to the smaller eastern moats, the larger western moats may record a faster  
496 flowing core, i.e., the core of the southward OPDW (Fig. 13). The smaller (narrower  
497 and shallower) moats along the eastern sides of the mounded drifts (Figs. 4, 5 and 7)  
498 indicate a weaker, northward geostrophic flow concentrated along the eastern side of  
499 the trough due to the influence of the Coriolis force. The higher reflection amplitude of  
500 the seafloor along the western side of MD-7 (Fig. 7) arises from larger acoustic  
501 impedance (the product of sound speed and water density) contrast. This suggests  
502 coarser (higher sound speed) sediment deposited by more vigorous currents along the  
503 western side of the trough relative to weaker currents along the eastern side. Numerical  
504 simulation revealed the occurrence of a faster, southward current and a slower,  
505 northward current in the OPDW depth range along the northern and middle Luzon  
506 Trough (Jiang et al., 2020).

507 The weaker northward current may represent a counter-current of the OPDW (Fig.  
508 13), similar to current and counter-current pairs observed from other passages (e.g., the  
509 Orkney, Bruce, and Discovery passages) (Garabato et al., 2002; Garcia et al., 2016).  
510 Within the Bruce Passage, for example, dense Weddell Sea Deep Water overflows from  
511 the Weddell Sea into the Scotia Sea (Garabato et al., 2002). Overflow includes a faster

512 northward-flowing current and a slower southward-flowing current; they generate  
513 channels (Garcia et al., 2016) and furrows (Lobo et al., 2011) along both sides of the  
514 passage.

515 Following interpretations from similar localities (Faugères et al., 1999;  
516 Hernandez-Molina et al., 2008; Rebesco et al., 2014; Miramontes et al., 2019), the  
517 CDS-1 plastered drifts probably indicate the existence of relatively slow currents.  
518 Rebesco et al. (2014), for example, characterize plastered drifts as generally forming  
519 along gentle slopes swept by relatively low-velocity currents. The Luzon mooring  
520 observations reported in Zhao et al. (2016) confirm lower current velocities along the  
521 trough sidewalls relative to the trough center. The depths of CDS-1 plastered drifts  
522 (2500–3200 m water depth) fall within the depth range of the lower OPDW layer (Figs.  
523 11 and 12), indicating that these drifts formed from the deeper, denser layer of overflow  
524 with only minor (or absent) deposition from the upper (mixing) layer of overflow (Fig.  
525 13B; Legg et al., 2009).

526 In the two contourite channels (Figs. 5 and 9), truncated reflections and scarce to  
527 absent channel fill indicate erosive flow channels formed by the OPDW entering from  
528 the North Pacific Ocean and cascading down to the Luzon Trough (Fig. 1A; Tian et al.,  
529 2006; Zhao et al., 2014). Faster OPDW flows (up to 30 cm/s) appear in these channels  
530 (Fig. 7; Zhao et al., 2014).

### 531 *Contourite depositional system 2 (CDS-2)*

532 The deeper contourite depositional system, CDS-2, includes the large sheeted

533 drift SD-1 (3500–3700 m water depth) plus the two smaller, smoothly mounded drifts,  
534 MD-5 and MD-6 (3510–3600 m water depth), and their associated moats. All of these  
535 features occur within the deepest part of the Luzon Trough (Fig. 2).

536 We interpret this depositional system as tied to the bottom water mass underlying  
537 the overflow of Pacific Deep Water (OPDW) in the Luzon Trough. The lower boundary  
538 of the OPDW (Fig. 11) is estimated to be 50–120 m below the maximum velocity water  
539 depth according to the previous velocity observations along the trough (Zhao et al, 2014;  
540 Zhou et al., 2014; Ye et al., 2019). Hence, the bottom water in the trough is at water  
541 depth over ~3450 m, with a slower velocity (Figs. 11–13). The dense sluggish bottom  
542 water probably represents the remaining water of the OPDW —that is, the water that  
543 did not enter the South China Sea because of the obstacles of Outlet-1 and 2 and  
544 remained in the trough (Fig. 11). Formed at depths >3450 m, CDS-2 lies beneath the  
545 direct influence of the OPDW. Fine silt within the modern sheeted drift resembles that  
546 commonly found within abyssal plain environments (Rebesco et al., 2014), where it  
547 indicates sweeping of the seafloor by very slow bottom currents (Faugeres et al., 1999;  
548 Hernandez-Molina et al., 2008; Stow et al., 2009; Rebesco et al., 2014). These  
549 characteristics are consistent with the sluggish bottom water in the Luzon Trough  
550 beneath the vigorous OPDW (Zhao et al., 2014) (Figs. 11–13), which flows in a  
551 southward direction (Zhao et al., 2014).

552 The deep, very smoothly mounded drifts (MD-5 and MD-6) and their associated  
553 moats along the eastern side of the northern trough floor indicate a weak and narrow  
554 northward current. This current may represent a counter-current of the southward

555 flowing bottom water in the trough (Fig. 13A).

556

### 557 *5.2.2 Terraces and water mass interfaces*

558 The two terraces, T-1 and T-2, occur at 2900–3400 m water depth along the  
559 western wall of the northern trough. This depth coincides with the interface between  
560 the OPDW and the underlying water mass (Fig. 11). The terraces likely represent  
561 sedimentary processes associated with vertical fluctuations of this interface (Fig. 13B).  
562 Water mass interface mechanisms have been proposed to explain terraces in other  
563 marine basins (Hernández-Molina et al., 2009, 2016, 2018; Preu et al., 2013; Rebesco  
564 et al., 2014). For a relatively deep interface (i.e., below terrace depth), the fast-flowing  
565 OPDW causes erosion of terraces (Fig. 6). When the interface becomes shallower, the  
566 sluggish BW enables deposition along the terraces (Fig. 13B). Mooring observations in  
567 the trough documented the seasonal and intraseasonal variations of the OPDW (Zhou  
568 et al., 2014; Zhao et al., 2016), including intensified, thicker, deeper, denser OPDW in  
569 late fall; and weakened, thinner, shallower, lighter OPDW in spring. These observations  
570 indicate significant vertical fluctuations of the OPDW base, which correspond well with  
571 our interpretation of the terrace formation.

572

### 573 *5.3 Conceptual implications of the overflow of Pacific Deep Water processes*

#### 574 *5.3.1 Overflow behavior in confined settings*

575 Our study observations and interpretations elucidate sedimentary processes

576 associated with the overflow of Pacific Deep Water (OPDW) in the deep confined  
577 trough. Three characteristics of the OPDW emerge as critically linked to sedimentary  
578 evidence, which could be considered and compared to other overflows in deep confined  
579 gateways in future studies in order to deepen our understanding of overflow processes.

580 First, OPDW does not always reach the gateway seabed. The appearance of the  
581 CDS-2 indicates the action of the sluggish bottom water overlain by the CDS-1-related  
582 vigorous overflow water. Other gateways typically show overflow waters that clearly  
583 traverse gateway bottoms. Examples include Mediterranean Outflow Water, which  
584 flows through the Strait of Gibraltar (Baringer and Price, 1997; Sanchez-Leal et al.,  
585 2017), and Faroe Bank Channel Overflow, through the Faroe Bank Channel (Mauritzen  
586 et al., 2005; Fer et al., 2010; Hansen et al., 2016). In this study, OPDW flows over the  
587 Bashi Sill between 2100 and 2450 m water depth, then descends to its equilibrium  
588 horizon (as determined by its density) of ~3450 m water depth, and finally enters the  
589 South China Sea at Outlet-1 and 2. The OPDW's maximum depth of descent (~1000 m)  
590 is therefore less than that of dense overflow waters. Mediterranean Overflow Water  
591 descends 1200–1400 m after exiting the strait of Gibraltar (Baringer and Price, 1997;  
592 Sanchez-Leal et al., 2017), and the Faroe Bank Channel Overflow descends 2200 m  
593 (Hansen et al., 2016). OPDW may not reach the bottom of the northern Luzon Trough  
594 because the overflow lacks sufficient density to penetrate the deepest parts of the trough.  
595 The supposition of insufficient density, with a 0.07–0.08 kg/m<sup>3</sup> difference around Bashi  
596 sill, is supported by oceanographic observations of the potential density referenced to  
597 2000 decibar (Fig. 1C. Zhou et al., 2018). Moreover, the seismic reflection data

598 described here do not show distinct reflections arising from abrupt changes in acoustic  
599 impedance (the product of sound speed and water density) within the OPDW portion  
600 of the water column. These proxies for density interfaces fail to appear at either upper  
601 or lower boundaries of the OPDW, or within regions affected by its overflow (Fig. 10).  
602 In other areas with more energetic and denser water masses relative to ambient water,  
603 seismic reflection data clearly delineate water masses (Yamashita et al., 2011; Gorman  
604 et al., 2018).

605       The reason for the small density difference between the OPDW and the underlying  
606 water in the Luzon Trough may be related to the existence of the sills at the exit, i.e.,  
607 Outlet-1 and 2 (Figs. 1A and 11). Only the OPDW shallower than the depth of Outlet-  
608 1 and 2 (both ~2850 m) in the trough could easily enter the South China Sea (Fig. 11),  
609 while the deeper portion of the overflow would be retained in the trough. The presence  
610 of sills at the entrance and exit of the gateway could be a key difference between the  
611 OPDW and those overflows that encounter only one sill before reaching the open  
612 sea/ocean. The mechanisms generating such a minor overflow density difference merit  
613 further study.

614       Second, the lower (denser) layer of OPDW dominates the development of  
615 contourite features along the gateway flow path with little or no contribution from the  
616 water mass's upper (mixing) layer. Overflow waters commonly consist of an upper  
617 mixing layer and a lower, denser layer (Legg et al., 2009). In the Luzon Trough, the  
618 CDS-1 components flow mainly between 2500 and 3400 m water depth, a range that  
619 overlaps that of the lower overflow layer (Fig. 13B). Contourite features do not appear



620 at shallower depths. The OPDW dense layer controls the formation of overflow-  
621 associated, large-scale depositional and erosional contourite features within the trough,  
622 while the mixing layer apparently makes no contribution. A similar situation occurs  
623 with Mediterranean Overflow Water along the middle slope of the Gulf of Cadiz, where  
624 large erosional and depositional features form primarily due to the denser overflow  
625 layer (Baringer and Price, 1997; Llave et al., 2001; 2007; Hernandez-Molina et al., 2003;  
626 2014; Roque et al., 2012; Sanchez-Leal et al., 2017). The steep topography swept by  
627 the OPDW upper layer may act as a non-depositional factor. However, the development  
628 of the plastered drifts on the steep wall of the Luzon Trough in the depth range of the  
629 OPDW lower layer, which is sometimes steeper than the wall where the OPDW upper  
630 layer sweeps (Fig. 13B), indicates that the steep topography could not be the decisive  
631 factor behind non-deposition and non-erosion. The key might lie in the behaviour of  
632 the overflow upper layer itself: its lower velocity and mixing/turbulence taking could  
633 hinder continuous large-scale deposition and erosion. Again, the mechanisms impeding  
634 deposition and erosion by the overflow upper layer call for further study.

635 Third, the southward-flowing OPDW and its northward counter-current are  
636 responsible for the formation of drift-associated moats along both sides of the gateway.  
637 Our data suggest that the deeper and wider moats linked to the larger mounded drifts  
638 along the western side of the Luzon Trough may be a result of the more vigorous,  
639 southward OPDW. The shallower and narrower moats associated with the smaller  
640 mounded drifts along the eastern side (Figs. 2, 3, 4, 5, and 7) may result from the weaker  
641 northward current. Such a counter-current could generate moats and drifts even within

642 a very narrow passage such as the 6 km wide C-1 corridor (Figs. 2–5). No observation  
643 of cross-trough variations in the along-trough flow has been reported for the main  
644 Luzon Trough, yet a numerical simulation revealed the presence of the counter-current  
645 in the northern and middle Luzon Trough (Jiang et al., 2020). The occurrence and  
646 behaviour of the OPDW counter-current urgently call for long-term, cross-trough  
647 observations of the Luzon Trough. The overflow counter-current contributing to the  
648 formation of contourite features is a phenomenon also observed in the Bruce Passage  
649 and adjacent Scan Basin in Antarctica (Garabato et al., 2002; Garcia et al., 2016). There,  
650 the overflow of Weddell Sea Deep Water and its counter-current flow have formed  
651 contourite channels and furrows (narrower and less incised than contourite channels)  
652 along both sides of the gateway (Lobo et al., 2011; Garcia et al., 2016). The mechanisms  
653 underlying the generation of such overflow counter-currents merit further study.

654

#### 655 *5.4 Regional implications of the overflow of Pacific Deep Water processes*

656

##### 657 *5.4.1 Overflow-generated contourites as a record of regional tectonics*

658 The three seismic units within CDS-1 (SU1 through SU3) show significant  
659 differences in terms of their seismic configurations and external morphologies that  
660 reflect variations in OPDW flow from the middle to late Miocene, when the trough  
661 formed.

662 The divergent basin-fill configuration of SU3 (Figs. 4–7) indicates uneven

663 deposition in a generally high-energy deep-sea setting (Sangree and Widemier, 1977),  
664 i.e., turbidites as calibrated by IODP wells in the South China Sea oceanic basin (Yin  
665 et al., 2020) and other marginal seas (Pickering et al., 2013). Therefore, SU3 points to  
666 an absence of significant contourite activity and, by extension, weak or absent OPDW  
667 circulation at the bottom of the trough during its deposition. The apparently weak or  
668 absent OPDW circulation during the early depositional stage of the Luzon Trough may  
669 owe to a wider and deeper connection between the Pacific Ocean and the South China  
670 Sea (Hall, 2002). In addition, during the early development of the Luzon Strait, fewer  
671 volcanoes in the Luzon Arc (Yang et al., 1996; Chen et al., 2015; Huang et al., 2018)  
672 meant less of a bathymetric barrier to water exchange between the Pacific Ocean and  
673 the South China Sea.

674 The aggradational reflection configuration of the younger units, SU2 and SU1  
675 (Figs. 4–7), indicates regional enhancement of OPDW circulation after the early  
676 depositional stage of the trough. The enhanced mounded shape of SU2 through the top  
677 of SU1 within the main axis of the trough (Fig. 7); and significant moat formation  
678 further records a regional increase in OPDW velocity during the latest depositional  
679 stage of the trough. Gradual strengthening of OPDW circulation coincides with regional  
680 closure and shallowing of the Luzon Trough, as well as more volcanic activity in the  
681 area given the Taiwan orogeny in middle to late Miocene times (Yang et al., 1996;  
682 Huang et al., 2018).

683 Three of the four mounded drifts within the C-1 corridor (MD-1, MD-3, and MD-  
684 4) north of the trough show a higher degree of local mounding in SU2 than in SU1.

685 This difference could indicate a slight decrease in overflow velocity through the C-1  
686 corridor during trough evolution and SU1 development. The modern C-1 corridor  
687 (2590–2850 m water depth) occurs in the shallower part of the denser OPDW (2500–  
688 3450 m water depth), where the current velocity is lower than that observed for the  
689 deeper part (Figs. 7, 9, 10, 11; Zhao et al., 2014). The shallower water depth of C-1 may  
690 result from a more protracted period of uplift in the north relative to south, due to  
691 oblique collision (Huang et al., 2018). The irregular evolution of MD-1, MD-3, and  
692 MD-4 relative to the narrowing trough may therefore arise from greater shoaling to the  
693 north during the Taiwan orogeny.

694

#### 695 *5.4.2 Influence of the overflow of Pacific Deep Water on the South China Sea margin*

696 The overflow of Pacific Deep Water (OPDW) enters the South China Sea basin  
697 from the Luzon Trough mainly through Outlet-1 (2850 m water depth) (Fig. 2; Zhao et  
698 al., 2014; Ye et al., 2019). Numerical modelling of overflow transport (Zhao et al., 2014)  
699 indicates that the OPDW then flows counter-clockwise along the continental margin of  
700 the South China Sea.

701 Three lines of evidence suggest that the OPDW drives the circulation of the SDW  
702 and SBW in the South China Sea and thus helps form the two large-scale contourite  
703 depositional systems observed along the lower continental slope and abyssal plain just  
704 south of the South China shelf and Dongsha Islands (Fig. 13A; Yin et al., 2019). First,  
705 the SDW (1500–2000 m water depth) and SBW (>2000 m water depth) within the South

706 China Sea roughly overlap with the OPDW depth range (2000–3450 m water depth) in  
707 the Luzon Trough. Second, the generally counter-clockwise circulation of the SDW and  
708 SBW (Qu et al., 2006; Tian et al., 2006) matches the direction of OPDW flow (Zhao et  
709 al., 2014). Third, the development of the Dongsha Islands contourite depositional  
710 systems (~1.1 Ma to present; Yin et al., 2019) coincides with the setting of enhancing  
711 OPDW circulation from the middle-late Miocene to present. Together, these lines of  
712 evidence indicate that the OPDW has been driving SDW and SBW circulation in the  
713 South China Sea since at least 1.1 Ma, when the OPDW became vigorous enough to  
714 significantly influence the marginal sea. Further assessment of this hypothesis will  
715 require additional research and regional data collection.

716

## 717 **6. Conclusions**

718 The overflow of Pacific Deep Water (OPDW) movement within the Luzon Trough  
719 gateway generated a contourite depositional system (CDS-1) along its southward flow  
720 path through the trough. This system includes erosional (channel and moat),  
721 depositional (drift), and mixed (terrace) contourite features along the bottom of the  
722 trough and its adjacent flanks. The lower, denser layer of the overflow, in conjunction  
723 with its weaker, northward-flowing counter-current, was primarily responsible for the  
724 formation of these features, including moats found along both sides of the mounded  
725 drifts. The upper (mixing) layer of the overflow does not appear to have generated any  
726 significant depositional or erosional contourite features. In parts of the Luzon Trough  
727 deeper than ~3450 m water depth, the OPDW does not reach the bottom because of

728 thermohaline density constraints (i.e., the relatively low OPDW density). In those areas,  
729 the denser bottom water in the trough circulates weakly beneath the OPDW, thus  
730 generating a deeper contourite depositional system (CDS-2), where a sheet-like drift  
731 dominates deposition.

732 OPDW flow has gradually strengthened with the narrowing of the Luzon Trough  
733 due to the Taiwan orogeny. Shoaling in the northernmost trough may also weaken  
734 overflow locally. During the latest depositional stage, this more vigorous overflow has  
735 developed more prominent contourite features within the Luzon Trough while also  
736 promoting the formation of large contourite features along the lower slope and deeper  
737 areas of the adjacent South China Sea. Future drilling endeavors in the Luzon Trough  
738 are needed to obtain more precise age constraints regarding the OPDW's evolution.

739 This work demonstrates the importance of overflows and gateways in controlling  
740 the morphology and sedimentary evolution of deep marine sedimentary systems.  
741 Similar multidisciplinary research efforts could shed further light on the role of  
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1079 **Table-I.** General morphologic parameters of contourite features within the northern  
 1080 and middle Luzon Trough

Contourite features		Water depth (m)	Length (km)	Width (km)	Contourite depositional system		
Depositional	Drifts	MD-1	2780–2850	17	6	CDS-1	
		MD-2	2750–2800	17	2	CDS-1	
		MD-3	2600–2670	10	4	CDS-1	
		Mounded	MD-4	2590–2630	4	2	CDS-1
		MD-5	3510–3580	17	3	CDS-2	
		MD-6	3560–3600	8	5	CDS-2	
		MD-7	3200–3400	19	5	CDS-1	
	Plastered	PD-1	2500–2800	9	2	CDS-1	
		PD-2	2900–3200	8	3	CDS-1	
		PD-3	3000–3200	12	1	CDS-1	
	Erosional	Sheeted	SD-1	3500–3700	220	25	CDS-2
			CC-1	2600–3000	110	2	CDS-1
		Channels	CC-2	2650–2700	65	12	CDS-1
				2700–3400	≤19	2	CDS-1
Moats		3500–3700	≤17	2	CDS-2		
	Mixed	Terraces	T-1	2900–3400	60	7	–
T-2			3000–3400	17	5	–	

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1082 **Figure captions**

1083 **Figure 1.** A) Regional bathymetric map of the northeastern South China Sea and  
 1084 northwestern Pacific Ocean, including regional ocean circulation patterns,  
 1085 sketch map of tectonics, and data collection locations for this study. The sizes  
 1086 of the blue arrows indicate the volume transport of the OPDW, marked by the  
 1087 number with unit Sv ( $1 \text{ Sv} = 1 \times 10^6 \text{ m}^3/\text{s}$ ) close to the arrow. G1 through G4  
 1088 respectively represent samples GX118BC, GX128BC, GX133BC, and  
 1089 GX138BC. *In situ* hydrographic stations (BC1, LT1, LT2, WG2) in the trough

1090 are cited from Zhao et al. (2014). B) Mean hydrographic (salinity and  
1091 temperature) section L1 with a width of 187 km across the northern Luzon Strait.  
1092 Thermohaline data were downloaded from the NOAA World Ocean Database  
1093 2013. This figure is elaborated using Ocean Data View (Schlitzer, R., 2016).  
1094 The black profile at the bottom of each section shows seafloor topography. The  
1095 arrows indicate flow direction of water masses. A ‘•’ symbol in the center of a  
1096 circle indicates flow coming out of the page (i.e., southward, towards the reader).  
1097 The white triangles at the section top mark the locations of the hydrographic  
1098 casts in (B) and (D). C) Mean density profiles in the deep South China Sea (18°–  
1099 20°N, 119°–121°E), Luzon Trough, and the Pacific (21°–23°N, 122°–124°E),  
1100 modified from Zhao et al., 2014 and Zhou et al., 2014. D) Sectional (L2) view  
1101 of the potential density ( $\sigma_2$ ) based on CTD casts along the Bashi Channel,  
1102 modified from Zhou et al., 2018. The profile locations of (B) and (D) are shown  
1103 in panel (A). *Abbreviations:* OPDW = Overflow of Pacific Deep Water. SSW =  
1104 South China Sea Surface Water. SIW = South China Sea Intermediate Water.  
1105 SDW = South China Sea Deep Water. SBW = South China Sea Bottom Water.  
1106 NPTW = North Pacific Tropical Water. NPIW = North Pacific Intermediate  
1107 Water. PDW = Pacific Deep Water. SCS = South China Sea. HR = Heng-chun  
1108 Ridge. LA = Luzon Arc. LT = Luzon Trough. BS = Bashi Sill. MT = Manila  
1109 Trench. TC = Taitung Canyon.

1110 **Figure 2.** Swath multibeam bathymetric map (A) and regional morphosedimentary map  
1111 (B) of the Luzon Trough interpreted from multibeam and seismic data, including

1112 the main contourite depositional and erosional features. The gray outlines mark  
1113 the zero (present sea surface) contours. The white lines in A show the locations  
1114 of select interpreted seismic sections. The gray rectangles mark close-up of  
1115 bathymetry in Fig. 3. The black dots mark the locations of surface samples. The  
1116 yellow line represents the bathymetric profile location in Fig. 11. *Abbreviations:*  
1117 BC = Bashi Channel. C-1 = Corridor 1. CC = contourite channel. MD =  
1118 mounded drift. PD = plastered drift. SD = sheeted drift. T-1 and -2 = terraces 1  
1119 and 2. TC = Taitung Canyon. E1 through 3 = elevations 1 through 3. MTD =  
1120 mass transport deposit.

1121 **Figure 3.** Close-up of multibeam bathymetry in the north (A), east (Bashi Channel) (B),  
1122 north to middle (C), and middle (D) sectors across the Luzon Trough. The white  
1123 lines show the locations of select interpreted seismic profiles. The black dot  
1124 marks the location of surface sample G2. The color bar in “A” is suitable for the  
1125 rest of the figures (B, C and D).

1126 **Figure 4.** Seismic reflection profile across the northern end of corridor C-1 of the  
1127 northern Luzon Trough. The interpreted seismic units SU1–SU3, discontinuities  
1128 H1 and H2, and the mounded drifts MD-1 and MD-2 with their associated moats  
1129 are indicated. Current direction is indicated by the circles: a ‘•’ symbol in the  
1130 center of a circle indicates flow coming out of the page (i.e., southward). An ‘×’  
1131 indicates a current flowing into the page (i.e., northward). TWT = two-way  
1132 travel time. See Fig. 2 for profile location.

1133 **Figure 5.** Seismic reflection profile across the southern end of corridor C-1 of the



1134 northern Luzon Trough showing the interpreted seismic units SU1–SU3,  
1135 discontinuities H1 and H2, mounded drifts MD-3 and MD-4 (along with their  
1136 associated moats), and contourite channel CC-1. See Fig. 2 for profile location.

1137 **Figure 6.** Seismic reflection profile across the main axis of the northern Luzon Trough,  
1138 showing: the interpreted seismic units SU1–SU3; discontinuities H1 and H2;  
1139 the sheeted drift (SD); mounded drift MD-6 (and its associated moat); plastered  
1140 drift PD-1; and terrace T-1. See Fig. 2 for profile location.

1141 **Figure 7.** Seismic reflection profile across the middle Luzon Trough (A) showing the  
1142 interpreted seismic units SU1–SU3, discontinuities H1 and H2, mounded drift  
1143 MD-7 (with associated moats), and plastered drifts PD-2 and PD-3. B) The  
1144 along-trough current velocity at site LT2 (Zhao et al., 2014) near MD-7 is shown  
1145 by the green line in the water column and indicates a southward direction. Note  
1146 that depth in meters (red) is shown together with TWT (see text for further  
1147 information). See Fig. 2 for profile location and Fig. 1A for the site location.

1148 **Figure 8.** A work site photo of surface sample GX118BC (G1) from the northern Luzon  
1149 Trough showing fine-grained material on the modern seafloor.

1150 **Figure 9.** Seismic reflection profile across the Bashi Channel (A) showing contourite  
1151 channel CC-2. B) The along-trough (downstream) current velocity at site BC1  
1152 in Zhao et al. (2014) for CC-2 is shown by the green line in the water column.  
1153 See Fig. 2 for profile location and Fig. 1A for site location.

1154 **Figure 10.** Seismic images across the Bashi Channel of the northern Luzon Trough (A)

1155 and across the southern trough (B), showing water masses and associated  
1156 contourite features. Figure A shows contourite channel CC-2, and B shows  
1157 mounded drift MD-3 along with plastered drifts PD-2 and PD-3. South China  
1158 Sea Deep Water (SDW) is equivalent to Pacific Deep Water (PDW). The dashed  
1159 lines mark the boundary of water masses. The vertical green lines show water-  
1160 column profiles of nearby along-trough current velocities at sites BC1 and LT2  
1161 in Zhao et al. (2014). Positive values indicate southward, along-trough flow and  
1162 negative values indicate northward, along-trough flow. See Fig. 2 for profile  
1163 locations and Fig. 1A for site locations.

1164 **Figure 11.** Mooring observations of current velocity along the Luzon Trough showing  
1165 the general depth of the overflow of Pacific Deep Water (OPDW). Velocity  
1166 profiles are adapted from Zhao et al. (2014). The black profile at the bottom  
1167 shows seafloor topography. *Abbreviations:* BW = bottom water in the Luzon  
1168 Trough. V = velocity. See Fig. 2 for the bathymetric profile location.

1169 **Figure 12.** Seismic and vertical hydrographic (salinity and temperature) sections across  
1170 the C-1 corridor (A, B) and the main course of the northern Luzon Trough (C,  
1171 D). South China Sea Deep Water (SDW) is equivalent to Pacific Deep Water  
1172 (PDW). The white dashed lines represent upper and lower boundaries of the  
1173 overflow of Pacific Deep Water (OPDW). The white triangles at the sea surface  
1174 mark the locations of the hydrographic casts. See Fig. 2 for section locations.

1175 **Figure 13.** A) Sketch of the Luzon Trough (from entryways to Outlet-1), with the two  
1176 contourite depositional systems, CDS-1 and CDS-2. The thick black lines

1177 indicate the spatial boundary of the Luzon Trough, and the gray lines indicate  
1178 outlines of major regional morphologic features. The solid blue arrows show the  
1179 overflow of Pacific Deep Water (OPDW), and the open blue arrows show  
1180 counter-current flow. Arrow size indicates relative transport volume. See Fig.  
1181 2B for the contourite features within and around the Luzon Trough. B) Vertical  
1182 sections across the northern trough (section S1) and the middle trough (section  
1183 S2) showing water masses and associated contourite features. The dashed line  
1184 marks the possible shallower interface between the OPDW and bottom water in  
1185 the Luzon Trough (BW) when the OPDW weakens. Section locations are shown  
1186 in panel (A) by the dashed black lines. In the Luzon Trough, the OPDW  
1187 controlled development of CDS-1, and the South China Sea Deep Water (SDW)  
1188 controlled development of CDS-2.







































