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Making more effective use of human behavioural science in conservation interventions

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59

60

61 **Abstract**

62 Conservation is predominantly an exercise in trying to change human behaviour – whether
63 that of consumers whose choices drive unsustainable resource use, of land managers clearing
64 natural habitats, or of policymakers failing to deliver on environmental commitments. Yet
65 conservation research and practice have made only limited use of recent advances in
66 behavioural science, including more novel behaviour change interventions. Instead
67 conservationists mostly still rely on traditional behaviour change interventions – education,
68 regulation and material incentivisation – largely without applying recent insights from
69 behavioural science about how to improve such approaches. This paper explores how
70 behavioural science could be more widely and powerfully applied in biodiversity
71 conservation. We consider the diverse cast of actors involved in conservation problems and
72 the resulting breadth of behaviour change that conservationists might want to achieve.
73 Drawing on health research, we present a catalogue of types of interventions for changing
74 behaviour, considering both novel, standalone interventions and the enhancement of more
75 traditional conservation interventions. We outline a framework for setting priorities amongst
76 interventions based on their likely impact, using ideas developed for climate change
77 mitigation. We caution that, despite its promise, behavioural science is not a silver bullet for
78 conservation. The effects of interventions aimed at changing behaviour can be modest,
79 temporary, and context-dependent in ways that are as-yet poorly understood. We therefore
80 close with a call for interventions to be tested and the findings widely disseminated to enable
81 researchers and practitioners to build a much-needed evidence base on the effectiveness and
82 limitations of these tools.

83 **1. Introduction**

84 Although conservationists have achieved some notable and heartening victories in recent
85 years (Bolam et al., 2021; Knowlton, 2021), the fight to avert the sixth mass extinction is still
86 being lost. In most places, wild species, the habitats they depend upon, and the diverse
87 benefits they generate for people remain in grave decline (IPBES, 2019). This stems, in large
88 part, from unrelenting growth in the underlying drivers of humanity's impacts on the
89 environment (Tittensor et al., 2014) – our population size, and even more importantly
90 (especially in wealthier countries) our per capita demand for resources; these trends have
91 proven difficult to reverse. But many conservation actions are also less effective than they
92 might be because conservation scientists and practitioners often pay insufficient attention to
93 the complexities of human behaviour (Clayton and Brook, 2005; Cowling, 2014; Saunders et
94 al., 2006; Schultz, 2011; Selinske et al., 2018). This review explores how this challenge
95 might be addressed by examining progress and limitations in applying new advances in
96 behavioural science.

97

98 **2. The fundamental importance of changing behaviour**

99 Almost all conservation problems originate in the actions and choices of people (Balmford
100 and Cowling, 2006; Saunders et al., 2006; Schultz, 2011). For example, the post-apartheid
101 clearance of Cederberg fynbos remnants and the resulting red-listing of over 100 endemic
102 plant species in 12 years originated in the demand for rooibos tea among health-conscious
103 consumers overseas (Raimondo and Von Staden, 2009). The overharvesting of many African
104 coastal fisheries has been driven in considerable measure by political decisions to sign
105 damaging distant-water fishing agreements (Alder and Sumaila, 2004). And the spread of
106 aquatic invasive species in North America is in part enabled by recreational boat-users failing
107 to adequately clean their boats before moving them between water bodies (Clarke Murray et

108 al., 2011). Thus to be effective, the majority of conservation interventions require changes in
109 human behaviour (Cinner, 2018; Cowling, 2014; Saunders et al., 2006; Schultz, 2011).
110 Consider the IUCN’s catalogue of intervention types (Table 1; IUCN 2012). Three of the six
111 categories – Education and awareness, Law and policy, and Livelihood, economic and other
112 incentives – are intended to alter the choices made by consumers, producers, and those who
113 influence their decisions (e.g. policymakers, communicators and investors). The remaining
114 intervention categories focus more directly on the protection and management of populations
115 and habitats, but their effectiveness also depends on human behaviour changes: the actions of
116 conservation agents in implementing the interventions, and the reactions of stakeholders who
117 are impacted by those actions (e.g. protected area neighbours, or those harvesting a managed
118 population).

119 Shifting people’s behaviours in ways that benefit nature is complex (Clayton and
120 Brook, 2005; Reddy et al., 2017; Selinske et al., 2018; Vlek and Steg, 2007). The target
121 audience may respond to an intervention in unexpected ways, potentially exacerbating a
122 problem, or creating new problems (Blanken et al., 2015; Rode et al., 2015). Interventions
123 that are effective for one group of stakeholders may have little impact on others (Burgess et
124 al., 2018). More broadly, people do not necessarily use all available information, follow
125 formal rules or behave in an economically rational fashion (Kahneman, 2011; Marteau et al.,
126 2012; Thaler, 2018). In part because conservation programmes are largely run by biologists,
127 interventions are commonly designed in ways that fail to consider how people will implement
128 and respond to them, and that often do not address underlying drivers of environmental
129 degradation (Williams et al., 2020). We contend that the sector is failing to show sufficient
130 awareness of the power (and indeed limitations) of new developments in behavioural science
131 that are increasingly deployed in other sectors (Burgess, 2016; Cinner, 2018; Rare and The

132 Behavioural Insights Team, 2019; Reddy et al., 2017; Thomas-Walters et al., 2020a; Travers
133 et al., 2021).

134

135 **3. Relevance of behavioural science**

136 In recent decades, theories and evidence from behavioural science – which we define as the
137 scientific study of behaviour informed by a wide range of disciplines including psychology,
138 sociology, economics, anthropology, political science – have shed considerable light on the
139 social, motivational, cognitive, cultural, and contextual processes underlying behaviour.

140 These have in turn informed interventions which have helped encourage societally valued
141 behaviour change, from reductions in smoking, obesity and addiction, to improvements in
142 development assistance, tax compliance, and climate change mitigation (Bollinger et al.,

143 2020; Datta and Mullainathan, 2014; Duflo et al., 2011; Hallsworth et al., 2017). This

144 progress in understanding human behaviour and how to change it is relevant for conservation
145 interventions in two ways. First, integrating evidence from behavioural science into the
146 design of existing conservation interventions – currently based largely on education,
147 regulation, and material incentivisation – may enhance their effectiveness. Second,
148 behavioural science has identified other, novel interventions for effectively changing
149 behaviour, some of which may be unfamiliar to most conservationists. We explore both these
150 routes throughout this review.

151 To illustrate how behavioural science evidence can enhance the effectiveness of
152 traditional interventions, consider the approach – frequently deployed in conservation
153 campaigns – of trying to persuade consumers, farmers, or politicians to change their
154 behaviour by informing them about its environmental impact. It has long been established
155 that broad-brush attempts to increase knowledge are often insufficient to shift behaviour
156 (Kollmuss & Agyeman 2002). Behavioural science shows instead that information campaigns

157 can be more effective when they target discrete audience segments and account for their
158 values, motives, norms, and social and physical realities (Cheng et al., 2011; Kahan et al.,
159 2012; Kusmanoff et al., 2020). For example, in the United States, switching from pro-social
160 to self-interest messaging can increase adoption of solar panels (Bollinger et al. 2020; but see
161 van der Linden 2018; Kraft-Todd et al. 2018); and emphasizing how junk-food marketing
162 undermines autonomy and social justice can be more effective than traditional health-based
163 messaging in reducing unhealthy food choices by adolescent males (Bryan et al., 2019).

164 Behavioural science also suggests interventions not commonly used by
165 conservationists (Michie et al., 2013, 2011). For instance, simple alterations to the physical
166 micro-environments in which choices are made (so-called choice architecture) can have
167 striking effects on behaviour (Hollands et al., 2017; Thaler and Sunstein, 2009). One such
168 intervention involves changing default settings on sign-up documentation, so that participants
169 must opt out of (rather than into) individually or societally more desirable choices. This
170 strategy has increased commitments to organ donation (Johnson and Goldstein, 2003), uptake
171 of higher-benefit retirement plans (Benartzi and Thaler, 2013; Thaler and Sunstein, 2009),
172 and household subscriptions to renewable energy programmes (Ebeling and Lotz, 2015;
173 Liebe et al., 2021). Other related interventions that have proven effective include reducing the
174 size of wine glasses in bars, which may lower alcohol consumption (Pechey et al., 2016;
175 Pilling et al., 2020), and painting brightly coloured footprints between toilet blocks and wash
176 stations, which has increased handwashing among Bangladeshi schoolchildren (Dreibelbis et
177 al., 2016).

178 Yet despite the promise and breadth of behaviour change interventions, researchers
179 and practitioners have been slow to explore and apply the potential of behavioural science for
180 biodiversity conservation. Work has been done to inform behaviour change interventions for
181 related environmental issues, particularly energy use, water use, recycling, and transport

182 (Byerly et al., 2018; Nisa et al., 2019; Osbaldiston and Schott, 2012). But there has been far
183 less behavioural science work addressing, for example, demand for threatened species,
184 wildlife harvesting, or land management, all of which are central to conservation outcomes
185 (Mackay et al., 2018; Marselle et al., 2021). We do, however, acknowledge the noteworthy
186 contributions of the research traditions around human dimensions of wildlife (Fulton et al.,
187 1996; Manfredo et al., 2020), conservation psychology (Clayton and Myers, 2015; Saunders,
188 2003; Selinske et al., 2018), and social marketing (Green et al., 2019; McDonald et al., 2020;
189 Veríssimo, 2019) in introducing and integrating behavioural and social science evidence and
190 theories into conservation science and practice. The evidence base on interventions for
191 shifting behaviours in these domains is nevertheless limited and rarely linked to theory
192 (Byerly et al., 2018; MacFarlane et al., 2020; Olmedo et al., 2018; Veríssimo, 2019).
193 Moreover, effects on target behaviours are typically assessed only through self-reporting, if at
194 all (Kidd et al., 2019; Nilsson et al., 2020).

195 The rest of this review aims to encourage wider integration of behavioural science in
196 biodiversity conservation, extending recent calls by developing a framework for identifying
197 and prioritising potentially effective behaviour changes and interventions for achieving them
198 (Fig. 1; see also Selinske et al., 2020). Starting with the familiar territory (for conservation
199 biologists) of threatening processes and the wide range of actors and behaviours shaping
200 them, we then unpack the interventions that might achieve behaviour change. We explore
201 attributes to consider in identifying which behaviour changes and interventions to prioritise.
202 Throughout, we use examples from conservation and other fields to illustrate the promise of
203 behaviour change interventions, their likely limitations, and the complexities involved in
204 realising their potential. We close with a call for experimental testing, evaluation, and
205 reporting of both novel interventions and modifications of more traditional behaviour change
206 approaches.

207

208 **4. Identifying key actors and behaviours**

209 To investigate the effective use of behavioural science in conservation, we convened a three-
210 day workshop bringing together an international group of behavioural scientists from health
211 research, psychology, economics, and marketing, and conservation scientists from academia
212 and NGOs (see online Appendix for further workshop details). To catalyse consideration of a
213 wide range of behaviours, we began by compiling a series of threat chains: simplified models
214 describing our understanding of the reasons for the undesirable state of a conservation target,
215 from changes in ecological dynamics to the socioeconomic mechanisms and underlying
216 drivers likely to be responsible. We derived threat chains using the grey and peer-reviewed
217 literature and participants' working knowledge. For tractability, the workshop typically
218 considered only one of potentially several threats to each population or habitat. Participants
219 then identified key actors along the threat chain and suggested changes in behaviour which
220 could potentially reduce the focal threat.

221 For example, a major threat to the Hudsonian godwit population overwintering on
222 Chiloé Island in Chile is their ingestion of litter on beaches (Fig. 2; DE, pers. obs.). Tracing
223 the causes of this backwards (reading the red boxes in the figure from left to right), the litter
224 is left by residents and visitors who lack access to waste bins, have limited concerns for the
225 ecological consequences of poor waste management, and use many products sold in non-
226 recyclable or non-degradable packaging. Additionally, there is no collection of plastic waste
227 washing ashore from aquaculture operations, which dispose of plastic directly into the sea.
228 Behaviour changes by specific actors that might reduce the threat to godwits (blue boxes)
229 include increased litter collection by citizens or local agencies, reduced littering by residents
230 and visitors, and the cessation of at-sea disposal of plastics by those working in the
231 aquaculture industry.

232 We repeated this threat-chain exercise for examples that collectively spanned habitat
233 loss and degradation, overexploitation, invasion and disease, pollution and climate change, as
234 well as terrestrial, freshwater and marine ecosystems and higher- and lower-income countries
235 (Table A1 in online Appendix). For each threat chain, we identified relevant actors and
236 suggested multiple behaviour changes that could potentially reduce the focal threat. Looking
237 across all threat chains, we classified actors into groups defined by the ways they impact
238 conservation targets and identified a broad array of important behaviour changes
239 conservationists might seek to mitigate those impacts (Table 2).

240 These behaviourally defined groups of actors range from primary producers and
241 extractors (e.g. farmers, fishers and mining operators) and conservation and environmental
242 managers – whose activities directly impact conservation targets – through to consumers of
243 goods derived from or linked to the conservation targets, and those involved in
244 manufacturing, shipping or selling those goods. Other actor groups impact conservation
245 targets in less direct but nonetheless potentially significant ways – through providing
246 financial support, making or delivering policy, or influencing other actors through voting,
247 communicating, or campaigning for particular outcomes. These groupings are approximate,
248 and inevitably incomplete.

249 The different ways in which actors influence threats in turn suggest diverse
250 opportunities for behaviour change interventions. Changes in the behaviour of more
251 proximal, downstream actors (*sensu* Thomas-Walters et al. 2020a) are likely to impact a
252 conservation target directly – for example fishers changing how or where they harvest their
253 catch. Changes in the behaviour of actors further upstream in contrast tend to impact a
254 conservation target indirectly, by influencing the behaviour of downstream actors – for
255 instance voters increasing pressure on policymakers to remove subsidies for unsustainable
256 fishing practices, which then shifts fisher behaviour. Upstream interventions aimed at

257 delivering such chains of behaviour change could influence large numbers of downstream
258 actors but can be correspondingly complex and politically challenging (Thomas-Walters et
259 al., 2020b).

260

261 **5. A catalogue of behaviour change interventions**

262 So what types of interventions are capable of influencing such a wide-ranging mix of actors
263 and behaviours? Building on similar efforts in the health sector (Hollands et al., 2017; Michie
264 et al., 2011; Swinburn et al., 1999), we produced a simple catalogue of behaviour change
265 interventions that distinguishes between level of delivery (individual versus population) and
266 the broad mechanisms through which the behaviour is influenced (see Table 3, with generic
267 and conservation-specific examples for each intervention class). The relevance and potential
268 effectiveness of the different intervention types is likely to vary depending on context and
269 characteristics of the behaviour change and actor. For example, sometimes a small number of
270 individuals or organisations – very active hunters, highly-regarded farmers, or large
271 transnational corporations, perhaps – may play disproportionate, keystone roles (Folke et al.,
272 2019; Osterblom et al., 2015). In such situations narrowly targeted interventions may be most
273 effective. In other instances, interventions might be more effectively directed at large
274 numbers of people. Addressing complex behaviours may often necessitate interventions at
275 both individual and population level.

276

277 *5.1. Individual-level interventions*

278 Behaviour change interventions targeting specific individuals or groups of individuals fall
279 into two classes: interventions that target an individual's capability, and those targeting their
280 motivation (Michie et al., 2013, 2011). Capability-focused interventions aim to improve a
281 person's physical, psychological, or management resources to perform, modulate, or resist an

282 activity. A new behaviour may not be successfully adopted because the individual does not
283 possess the requisite skills or knowledge. Capability-building interventions are a traditional
284 part of the conservationists' toolbox but are sometimes overlooked. For example, offering
285 Amazonian households coupons for chicken to reduce consumption of wild meat was only
286 successful when advice about how to cook chicken was provided (Chaves et al., 2018).
287 Behavioural science can also shed light on how to improve existing efforts to enhance
288 capability. For example, increasing numbers of female instructors in a farmer outreach
289 scheme in Mozambique significantly increased uptake of sustainable land management
290 techniques (Kondylis et al., 2016). Such effects of messengers on capability-building efforts
291 are rarely evaluated in the conservation literature (Byerly et al., 2018).

292 Motivation has been the subject of intense research in behavioural science, spawning
293 many kinds of interventions. All of them target the processes that energize, direct, and sustain
294 behaviour (Michie et al., 2011; Ryan and Deci, 2000). These can be an individual's reflective
295 thought processes, often predictive of important and infrequent behaviour, or the automatic
296 processes characteristic of habitual and frequently performed behaviour (Kahneman, 2011;
297 Marteau et al., 2012; Strack and Deutsch, 2004). Note that motivation is irrelevant in the
298 absence of capability. Many individuals may want to protect nature in general or a particular
299 place or species but lack the understanding or ability that allows them to do so.

300 Unfortunately, these complexities are often overlooked in persuasive communications. In
301 conservation, the repertoire of motivation-focused interventions has largely been limited to
302 education and individual material incentivisation. Behavioural science can enhance the
303 effectiveness of these traditional interventions. For example, working with Islamic leaders to
304 incorporate conservation messages into sermons increased community awareness of turtle
305 conservation in Malaysia (Clements et al., 2009). Likewise, tailoring motivational messages
306 to the values of wealthy Vietnamese professionals through appeals to their strength of

307 character is likely to prove more successful in reducing the use of rhino horn than messages
308 emphasizing the animals' rarity and suffering (Offord-Woolley, 2017). Behavioural science
309 also suggests many other ways to motivate actors (e.g. Michie et al. 2013; Teixeira et al.
310 2020). Inducing pride in charismatic local species, fostering pro-environmental changes in
311 norms through peer-to-peer conversations, and providing dynamic feedback on individuals'
312 environmental impacts have all motivated positive behaviour change (DeWan et al., 2013;
313 Green et al., 2019; Karlin et al., 2015).

314 However, while capability and motivation can be important for behaviour change, no
315 change will happen without the physical or social opportunity to realize the change (Michie et
316 al., 2011). For example, wild meat hunters may not change their behaviour without
317 alternative ways to ensure their livelihoods, and city dwellers may decide not to cycle to work
318 if they jeopardise their safety by doing so (Fowler et al., 2017; Van Gils et al., 2019). While
319 opportunity may be enhanced through individual-level interventions, it is typically shaped by
320 population-level circumstances (e.g. infrastructure or economic environment). Moreover
321 individual- and population-level interventions may interact – so a motivation-focused
322 intervention may convince policymakers to invest in cycling infrastructure, for example,
323 creating opportunity which may in turn increase city dwellers' motivation to travel by bike
324 (Kraus and Koch, 2021).

325

326 *5.2. Population-level interventions*

327 Despite the potential efficacy of individual-level interventions, tackling many of the
328 underlying causes of conservation problems, such as society-wide unsustainable consumption
329 of resources, typically requires intervention at the population level. We identify four types of
330 behaviour change interventions that operate at this level. The first encompasses physical
331 microenvironment interventions that change the characteristics of products and services or

332 the environments within which they are available (e.g. shops, restaurants, workplaces or
333 websites; Hollands et al., 2017; Marteau et al., 2020). These interventions embrace the
334 concept of choice architecture, also known as nudging; they usually operate through non-
335 conscious routes to action, and have gained considerable popularity since the publication of
336 the book *Nudge* (Thaler and Sunstein, 2009). Notable examples with conservation relevance
337 include changing the relative availability of plant- and meat-based meals in cafeterias
338 (Garnett et al., 2019; Gravert and Kurz, 2019); altering the positioning of high- and lower-
339 footprint options on menus and in buffets (Garnett et al., 2020; Kurz, 2018); and providing
340 accessible litterbins in outdoor spaces (Schultz et al., 2013). Extensive research in other
341 sectors suggests that physical microenvironment interventions can have significant effects on
342 behaviour (Marteau et al., 2015, 2012; Nisa et al., 2019), sometimes disproportionately
343 impacting higher-footprint segments of the population (such as those eating more meat)
344 whose behaviour might be harder to shift by other means (Ebeling and Lotz, 2015; Garnett et
345 al., 2019; Liebe et al., 2021).

346 The second type of population-level interventions relates to the physical
347 macroenvironment. It involves changing the basic physical and organizational structures and
348 facilities needed for the operation of a society or organization (Swinburn et al., 1999). These
349 systems, which include the educational system, built infrastructure, industries, and the media,
350 exert direct effects on behaviour by influencing what actions can feasibly be performed
351 (Creutzig et al., 2015). As described above, they can also act as constraints on other
352 intervention types, such as capability-building or motivation, thereby limiting the extent to
353 which behaviours can be readily changed (Creutzig et al., 2015; Seto et al., 2016): individuals
354 cannot switch from wild meat to chicken, for instance, if limited production means chicken is
355 not available at a competitive price. Conservation-relevant interventions targeting the
356 physical macroenvironment include increasing the availability of public transportation in

357 urban and suburban areas, scaling-up renewable energy supplies, and incorporating greater
358 environmental literacy into school curricula.

359 A third population-level class of interventions involves altering the economic
360 environment of actors by introducing, modifying, or removing taxes, subsidies, and other
361 material incentives, which can act as powerful drivers of individual and organizational
362 behaviour (Andreyeva et al., 2010; Marteau et al., 2019). This type of intervention has
363 commonly been advocated as central to confronting biodiversity decline, in large part due to
364 its effectiveness in changing behaviour across actors and affecting both the supply and
365 demand of goods and services (James et al., 2001; Myers, 1998). Examples include public or
366 market-based payments to farmers and other land managers to protect or restore the
367 biodiversity or ecosystem service values of natural habitats (Jayachandran et al., 2017;
368 Pattanayak et al., 2010), and the removal of subsidies or introduction of taxes on
369 environmentally harmful products (Springmann et al., 2017). Despite commonly being
370 recommended in conservation, such interventions face numerous challenges (Pattanayak et
371 al., 2010; Wunder, 2007): payments are often only weakly linked to environmental
372 performance or to individual actors' costs (so schemes may be inefficient); implementation is
373 commonly constrained by poor governance; and imposition of taxes is often politically
374 unpopular (Marteau, 2017; Wunder et al., 2018). Although not yet widely utilised, it seems
375 plausible that behavioural science could enhance the effectiveness of economic interventions.
376 For example, altering the default cost-share in negotiated on-farm conservation actions
377 significantly increased farmer contributions and lowered the cost of resulting agreements to
378 the taxpayer (Wallander et al., 2017). Other routes for enhancing the performance of
379 economic interventions might include framing payments in terms of what individuals stand to
380 lose from non-participation (drawing on ideas about loss aversion); increasing scheme uptake

381 through the use of trusted messengers; and emphasizing social norms that align with scheme
382 compliance (Cinner, 2018).

383 The fourth set of population-level interventions target the institutional environment by
384 changing the voluntary and regulatory codes of practice to which organizations must
385 conform. These interventions – such as introducing, modifying, or removing fines;
386 establishing or altering institutional standards; or banning certain behaviours outright – may
387 be implemented by governments or private organizations (Vandenbergh and Gilligan, 2017).
388 Institutional interventions are again a conventional part of the conservationist’s arsenal and
389 include rules about harvesting potentially vulnerable populations, restrictions on access to
390 particular areas, and regulations of farming or forestry practices. More recent ideas include
391 instituting sustainability standards for public procurement of farmed or harvested goods,
392 strategically realigning business practices with the Sustainable Development Goals
393 (Österblom et al., 2017; Waddock, 2020), and shortening the working week to reduce
394 greenhouse gas emissions and improve worker wellbeing (King and Bergh, 2017). Evidence
395 from behavioural science may be used to design and enhance the effectiveness of such
396 interventions. In one example, an experiment manipulating signs aimed at discouraging theft
397 of petrified wood in an Arizonan national park found that a descriptive norm (describing the
398 theft behaviour of others) actually increased theft by showing it was widespread, whereas an
399 injunctive norm (asking visitors not to steal) reduced theft (Cialdini et al., 2006). As with
400 interventions based on education and material incentives, behavioural science could
401 potentially yield many opportunities for enhancing the effectiveness of interventions targeting
402 institutional environments.

403 Finally, in this section it is worth noting that, as with climate change, fully addressing
404 the underlying drivers of the biodiversity crisis requires even broader, system-level
405 interventions which re-organise the political and economic system (Otero et al., 2020). In the

406 environmental space, the most prominent example of such macro-economic reorganisation is
407 perhaps the proposed Green New Deal (Mastini et al., 2021); others include a fundamental
408 shift from indefinite economic growth towards zero growth or even de-growth (D'Alessandro
409 et al., 2020; Jackson, 2009; Kallis et al., 2018). Such interventions clearly have greater
410 transformative potential than individual- and population-level interventions. While at present
411 it remains challenging to see how they could be implemented, behavioural science may have
412 an important role to play in understanding what motivates transformative social change.

413

414 **6. Exploring the diversity of potential interventions**

415 How might this array of traditional and novel behaviour change interventions increase impact
416 across the breadth of problems which conservationists are trying to tackle? We sought to
417 explore this question using our panel of heuristic threat chains (Table A1 in online
418 Appendix). Considering first the Hudsonian godwit example, potentially promising
419 interventions for achieving relevant behaviour changes (blue boxes in Fig. 2) could include
420 installing and emptying bins to reduce littering (a physical microenvironment intervention
421 aimed at consumers); introducing a tax on non-degradable packaging (an economic
422 intervention targeting consumers); and regulating against the at-sea disposal of plastics (an
423 institutional intervention aimed at producers – in this case the aquaculture sector). To be
424 enacted, each intervention may also require lobbying politicians (i.e. motivational
425 interventions targeting policymakers) to introduce supporting policies and regulations.

426 The diversity of desirable behaviour changes and possible interventions for delivering
427 them was underscored when, as a heuristic exercise, we considered ways of addressing each
428 of our example threats in turn (see online Appendix). Across the 12 threat chains we
429 examined in detail, workshop participants suggested 130 interventions which might deliver
430 beneficial behaviour changes (see Table 4, which sorts them into intervention and actor

431 groupings). These suggestions were quite diverse. Proposed interventions for tackling
432 examples of habitat loss or degradation, for example, included incentivising forest retention
433 by providing Amazonian ranchers who do not deforest with better training in animal
434 husbandry, and enhancing water quality for threatened vendace populations by simplifying
435 the administrative burden of farmers participating in catchment management schemes. Ideas
436 for tackling other threats included reducing local overexploitation of Caribbean fisheries by
437 providing refrigeration to traders to reduce supply chain wastage; slowing the expansion of
438 alien lionfish in Mexican waters by training chefs in how to handle and cook them; and
439 reducing the impact of climate change on alpine plant communities in Snowdonia by
440 encouraging climbers to make social media commitments to avoid using sensitive routes
441 during warmer weather.

442 Some of the listed interventions have been implemented and found to be at least
443 partially successful. An awareness-raising campaign describing recommended hygiene
444 measures to reduce the spread of aquatic invasive species has been linked to an increase in
445 self-reported adoption of those behaviours by recreational boat-users and anglers around
446 Lake Michigan (Seekamp et al., 2016). Likewise, efforts to recover South Asian vulture
447 populations following inadvertent but devastating die-offs from feeding on carcasses
448 contaminated with the veterinary drug diclofenac have been boosted by removing from patent
449 a safer alternative with which smallholder farmers can treat cattle (Galligan et al., 2020). This
450 latter example involved several linked interventions including motivating a multinational
451 corporation to cede its patent, thereby altering the economic incentives for local
452 pharmaceutical manufacturers, who in turn provided a more sustainable option for cattle-
453 owners. Other sequences of interventions are even more complex (asterisks in Table 4) and,
454 as with efforts to reduce litter on Chiloé's beaches, many will hinge on first motivating
455 upstream policymakers.

456 While we considered only a few example threats and our exploration of promising
457 interventions was not systematic or comprehensive, the distribution of suggestions across
458 actors and intervention types (shown by shading in Table 4) does hint at some interesting
459 patterns. Most of the identified interventions were aimed at producers and extractors, and
460 consumers, with fewer directed towards conservation managers, supply-chain manufacturers
461 or sellers, and fewer still at actors further upstream. Interventions aimed at producers and
462 consumers were spread across several intervention classes, though quite heavily focused on
463 economic incentives (for producers) and motivational interventions (for consumers).
464 Suggested interventions changing the behaviour of upstream actors (so that they provide
465 financial support, institute policy, or otherwise influence the behaviour of downstream actors)
466 were focused almost entirely on motivation. These patterns might in part reflect genuine
467 constraints on what interventions are possible, but we suggest more expansive thinking may
468 identify rewarding interventions of kinds we failed to imagine (white cells in Table 4).

469

470 **7. Selecting behaviours and interventions to focus on**

471 Clearly in tackling any threat to a population or habitat of conservation concern, several
472 human behaviours could be targeted, each through multiple interventions. So how can
473 conservationists practically narrow their focus? Work on prioritising climate change
474 interventions can help. This proposes that the impact of a behaviour change intervention is a
475 function of the degree to which the target behaviour change would, if achieved in full,
476 influence the outcome of interest (its technical potential); and the degree to which the target
477 behaviour can be changed (its behavioural plasticity) by the intervention over the period of
478 interest (Dietz et al., 2009; Nielsen et al., 2021a). Both depend on the scale and nature of the
479 behaviour change sought, while the latter also depends on the specific intervention. These
480 two attributes may often co-vary negatively. For example, while timing devices can

481 successfully shorten how long people spend showering, and plastic bag taxes can persuade
482 people to use canvas bags (i.e. they have high behavioural plasticity), both behaviour changes
483 have low technical potential to reduce greenhouse gas emissions. Conversely, switching from
484 petrol to electric vehicles or avoiding air travel has high technical potential, but interventions
485 to achieve these changes speedily and at scale remain elusive. One other consideration is that
486 while some interventions may appear promising, they might not be feasible to implement or
487 deliver at scale (the concept of initiative feasibility; Nielsen et al., 2020; Vandenberg and
488 Gilligan, 2017). This may be because of cost, political inertia, vested interests of
489 policymakers or corporations, or indeed political feedback: if they are effective in changing
490 behaviour, beneficiaries of the current behaviour may pressure policymakers to limit or even
491 reverse their implementation (Carattini et al., 2019; Klenert et al., 2018; Oreskes and
492 Conway, 2011).

493 Assessing the relative technical potential, behavioural plasticity, feasibility and cost of
494 alternative intervention options is core to effective and efficient efforts to develop and deliver
495 interventions that change behaviour (Nielsen et al., 2021a; Nisa et al., 2019). In the context of
496 biodiversity conservation, existing evidence can provide some guidance, but the views of
497 those with behavioural expertise and with familiarity with the focal threat will also be key.
498 Such assessment was beyond the scope of our workshop but thinking briefly about the godwit
499 interventions suggested above indicates that trade-offs between technical potential and
500 behavioural plasticity may not be uncommon (see also Selinske et al. 2020; Thomas-Walters
501 et al. 2020b). Taxing non-degradable packaging, for instance, may have high behavioural
502 plasticity but low technical potential: people may change what they buy, but without other
503 interventions will still leave litter on beaches. Conversely, stopping at-sea waste disposal
504 could substantially impact how much washes ashore, but a ban may be difficult to enforce
505 and hence have little effect on behaviour. Both taxation and introducing bans may also be

506 politically costly. The third option of installing and emptying litterbins might be the most
507 promising and affordable intervention, as littering strongly impacts litter build-up, and may
508 be reduced by bin provisioning (Schultz et al. 2013).

509 Two further issues are important when prioritising interventions. Obviously, many
510 conservation targets face multiple threats, so if mitigation efforts are to be efficient the likely
511 ability of candidate interventions to enhance the state of the focal population or habitat must
512 be compared across all main threats. Finally, some behaviour changes might benefit multiple
513 conservation targets: delivery of co-benefits should also be considered when assessing the
514 relative merits of alternative candidate interventions.

515

516 **8. Cautions and caveats**

517 Although behavioural science has considerable untapped potential to contribute to
518 biodiversity conservation, it is of course not a panacea. Several important cautions are in
519 order. Behaviour change may require multiple, linked interventions (Table 4), with the
520 success of one contingent on the successful deployment of others. Leakage effects may arise
521 whereby an intervention simply displaces a behaviour: in a conservation example, reducing
522 Japanese consumers' use of rhino horn has been linked to increased demand for the horns of
523 now critically endangered saiga antelope (Kitade and Toko, 2016; Thomas-Walters et al.,
524 2020b).

525 Rebound effects are also possible: where an intervention reduces personal expenditure
526 (through cutting household energy bills, for instance) people may plausibly respond by
527 spending more on other, higher footprint activities (e.g. overseas air travel) (Hertwich 2005;
528 Sorrell et al. 2020). Moral licensing, where individuals undertaking one pro-social action feel
529 justified in not taking others (Hofmann et al., 2014; Merritt et al., 2010); and crowding-out,
530 where providing extrinsic motivation for a behaviour reduces intrinsic motivation (Cinner et

531 al., 2020; Promberger and Marteau, 2013), also require consideration. Evidence suggests,
532 however, that such negative spillover effects may be quite limited (Künemund and Rein,
533 1999; Maki et al., 2019; Promberger and Marteau, 2013), but relevant studies are scarce.

534 Behaviour change interventions also raise important ethical concerns. For example,
535 population-level interventions using choice architecture (or nudging) have been criticized for
536 undermining personal autonomy and for being undemocratic and non-transparent in defining
537 what is considered societally good or bad behaviour (Schmidt and Engelen, 2020; but see
538 Bruns et al., 2018; Paunov et al., 2019). Considering this carefully is especially important
539 given the context of power imbalances between different actors involved in, and affected by,
540 conservation, both now and in the past (Sandbrook, 2017). Other population-level
541 interventions may also reinforce socioeconomic inequalities unless designed to protect
542 vulnerable and/or poorly represented groups (Dietz and Whitley, 2018). For example,
543 physical macroenvironment interventions to improve low-carbon infrastructure and to reduce
544 air pollution may favour wealthier over poorer neighbourhoods, and economic environment
545 interventions, such as offering tax benefits to incentivize energy-efficiency investments in
546 housing, may benefit homeowners and wealthier households who can afford such investments
547 (Owen et al., 2020). We note, however, that such ethical concerns are not unique to the sorts
548 of interventions reviewed here but rather apply to much policymaking. Citizen engagement
549 through deliberative and other processes comprise an important set of interventions to engage
550 citizens, communities, and different stakeholder groups in the design of behaviour change
551 interventions to ensure their impacts are equitable (Bowie et al., 2020; OECD, 2020; Stern et
552 al., 2021).

553 Most significantly, evidence across sectors shows that the effects of behaviour change
554 interventions are (like many other interventions) typically modest (Nisa et al., 2019; Thomas-
555 Walters et al., 2020b). When properly evaluated, behaviour change interventions sometimes

556 fail to produce behaviour change (Veríssimo et al., 2018a), even when they draw on
557 theoretically plausible mechanisms. Conveying educational conservation messages through a
558 Tanzanian radio show, for instance, failed to reduce demand for wild meat (Veríssimo et al.,
559 2018b); and in a recent UK study an array of interventions using tailored information
560 provision, peer-based descriptive norms, loss aversion, and material incentives failed to
561 increase car-sharing (Kristal and Whillans, 2020). In other instances, studies are too
562 underpowered to detect true effects (Byerly et al., 2018; Palm-Forster et al., 2019), or effect
563 sizes are small (Nisa et al. 2019, but see van der Linden & Goldberg 2020). Some evidence
564 suggests that the latter is particularly true of habitual behaviours reinforced by the social or
565 physical environment, or when the benefits of behaviour change to the actor are limited or
566 delayed (Marteau et al., 2012; Verplanken et al., 2008). Effects may also wane over time
567 (Bernedo et al., 2014; Ferraro and Price, 2013), particularly if a treatment is withdrawn or if it
568 requires maintenance to remain functional (Hanna et al., 2016; van der Linden, 2015).

569 Importantly, the effects of behaviour change interventions are typically context- and
570 actor-dependent. For example, the remarkable impact of subtle linguistic cues on voter
571 turnout in the United States revealed by one study (Bryan et al., 2011) could not be replicated
572 in a second (Gerber et al., 2016); and placing vegetarian meals before meat dishes in buffets
573 increased vegetarian sales in one cafeteria but not another at the same university (Garnett et
574 al., 2020). Individual studies may thus have low external validity, and the power of
575 interventions tested in other contexts needs to be explicitly evaluated, not assumed (Camerer
576 et al., 2018; Henrich et al., 2010). Taken together, these considerations suggest that
577 conservationists interested in shifting human behaviours may be well advised to implement
578 multiple (though separately tested) interventions, each with a potentially small but additive
579 effect (Ferraro and Price, 2013; Thomas-Walters et al., 2020b).

580

581 **9. Growing the field**

582 This review has illustrated the potential of behaviour change interventions in conservation,
583 their diversity, and crucially, some of their limitations. We also hope that it provides some
584 guidance on how to select promising interventions by characterizing the dynamics of the
585 focal threats, identifying actors and behaviours, considering a wide range of candidate
586 interventions, and prioritising among them based on their likely impact, feasibility, and cost.
587 Given its potential societal benefits, and the methodological and conceptual insights that
588 might emerge from working in a diverse array of field settings, we hope that devising and
589 testing interventions to avert the extinction crisis can also motivate behavioural scientists to
590 become more engaged in biodiversity conservation (Nielsen et al., 2021b).

591 We close by stressing the clear need for learning by doing – testing and reporting on
592 interventions applied within real-world conservation programmes. Like other fields,
593 behavioural science faces significant challenges of generalisability and reproducibility
594 (Henrich et al., 2010; Nelson et al., 2018; Simmons et al., 2011). Given that evidence is
595 especially limited in conservation (Byerly et al., 2018; Palm-Forster et al., 2019), we urgently
596 need to build an evidence base (Ferraro and Pattanayak, 2006; Veríssimo et al., 2018a).
597 Where possible, behaviour change interventions should be tested singly (rather than in
598 bundles), in adequately powered experiments or quasi-experiments, delivered in field
599 settings, and involving real-world actors (Baylis et al., 2016; Reddy et al., 2017). Particular
600 attention should be paid to selection bias, whereby interested participants self-assign to a
601 treatment group, thereby confounding estimation of its impact (Veríssimo et al., 2018a).
602 Effects should be assessed over the long run (and ideally after an intervention has ceased),
603 and measured in terms of actual behaviour rather than knowledge, attitudes, or intentions
604 (Kollmuss and Agyeman, 2002; Nilsson et al., 2020; Sheeran, 2002). Researchers should,

605 where possible, avoid self-reported behaviour, which can be vulnerable to social desirability
606 bias (people's wish to be viewed favourably; Kormos & Gifford 2014; Kidd et al. 2019).

607 Last, given that effect sizes may be small and potentially context-dependent it is
608 critically important to report non-significant findings (Kristal and Whillans, 2020; Kvarven et
609 al., 2020; Osman et al., 2020; Veríssimo et al., 2018a). A recent comparison of nudge studies
610 in academic articles and the grey literature indicates that a failure to publish lower-effect
611 studies may be systematically distorting our understanding of intervention effectiveness
612 (DellaVigna and Linos, 2020). Preregistration and registered reports (where journals agree to
613 publish a study based on the introduction and methods, before results are available; e.g. Wiik
614 et al. 2020) can substantially improve transparency and reduce publication bias (Nosek et al.,
615 2018; Parker et al., 2019). Ideally, resulting data could then be shared in a transparent and
616 open source manner (as in the Human Behaviour Change Project;
617 www.humanbehaviourchange.org), and in due course synthesised through initiatives such as
618 Conservation Evidence (www.conservationevidence.com/). Sharing and synthesising
619 information in this way should help make experimental tests of interventions as informative
620 for others as possible, and thereby move the significance of behavioural science for
621 conservation from being either overlooked (in many instances) or overstated (in some others),
622 to being better understood and cautiously but widely used.

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1094 150. <https://doi.org/10.1038/s41893-018-0036-x>
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1099 **Fig. 1.** Conceptual framework depicting the proposed six phases of selecting, implementing,
 1100 and evaluating behaviour change interventions for biodiversity conservation. Image credit:
 1101 Flaticons.com and Fasil on freeicons.io.

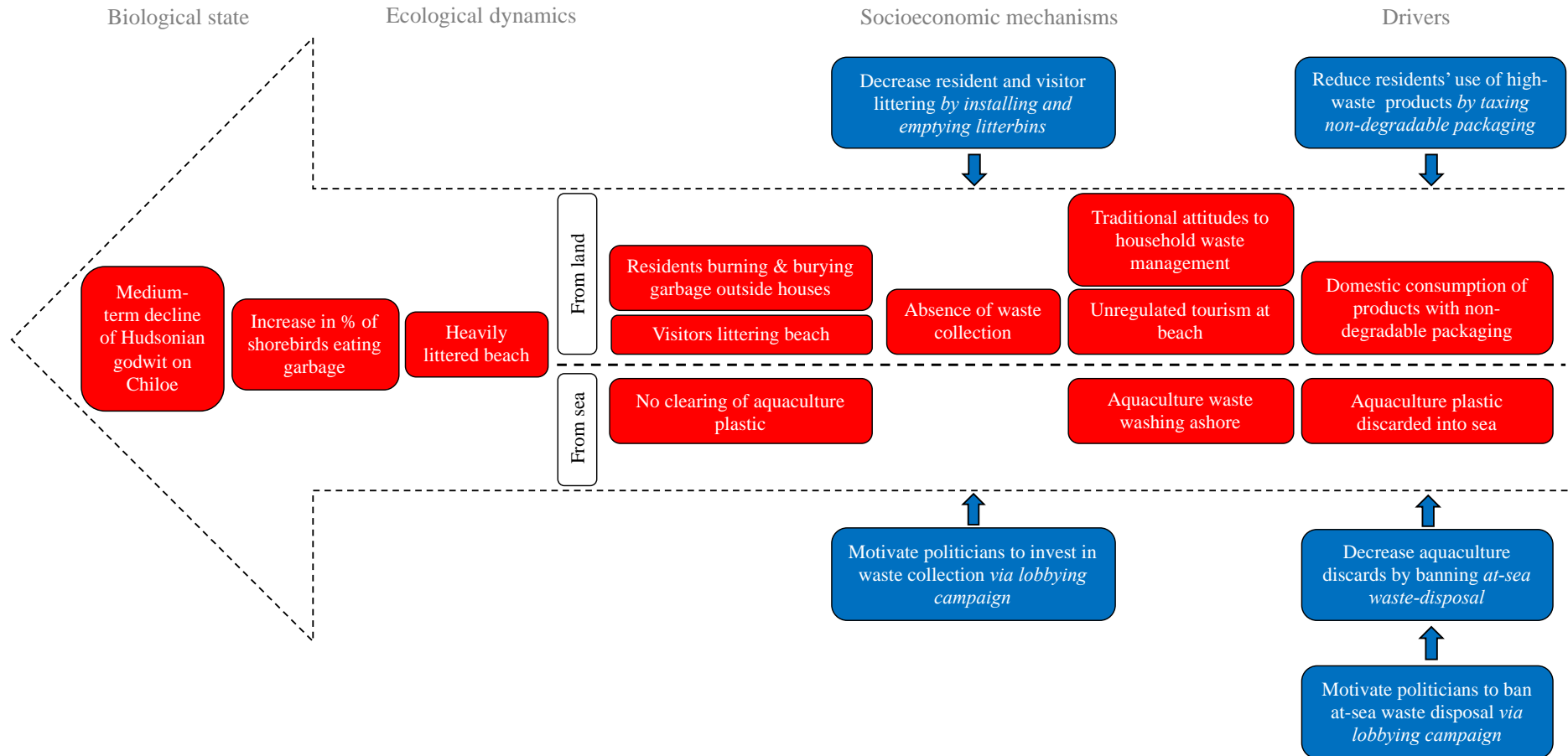


Fig. 2. An illustration of the threat chains we used to explore the breadth of behaviour changes potentially capable of reducing threats to biodiversity. This example characterises (in red boxes) the threat to Hudsonian godwits overwintering on Chiloé Island from ingesting beach litter originating from householders and visitors (above dashed line) and aquaculture operations (below; DE pers. obs.). Potentially beneficial changes in the behaviours of particular actors are in blue boxes, with possible interventions for achieving them in italics. This threat chain addresses only one of several interacting threats impacting the conservation target. The threat chain model is adapted from Balmford et al. (2009).

Table 1. IUCN’s level 1 classification of conservation actions (IUCN 2012), and our broad assessment of the general importance (categorised for simplicity as very important/important/likely to be important) of behavioural factors in determining the outcomes of actions in each category.

Category of conservation action		Importance of behavioural factors
1	Land/water protection	Behavioural factors important in determining people’s responses to formal protected area designation, and very important to determining whether stakeholders treat as protected those areas that are not formally designated
2	Land/water management	Behavioural factors likely to be important in determining extent or quality of delivery of management actions by all, especially in sites not receiving formal protection, and by stakeholders in non-conservation sectors
3	Species management	Behavioural factors likely to be important in determining extent or quality of delivery of actions by conservationists and to be very important in determining actions of those harvesting and trading wild species
4	Education and awareness	Behavioural factors clearly very important in determining uptake of ideas and interventions
5	Law and policy	Behavioural factors important in determining uptake and effectiveness of legislation, policies, regulations, standards, etc.
6	Livelihood, economic and other incentives	Behavioural factors very important in determining responses of target actors to interventions

Table 2. Key groups of actors identified through our heuristic threat chains, and examples of changes in their behaviour that might have beneficial conservation outcomes. Actor groups are defined by how their behaviour impacts a conservation target, rather than by occupation or affiliation (see Nielsen et al., 2021b).

Actor group – and how they impact conservation target	Example behaviour changes
Producers and extractors - harvest or extract conservation target, or produce goods whose generation impacts target	Stop or reduce harvest of conservation target; produce food or fibre using less damaging method; switch to growing less harmful product
Conservation and environmental managers - manage all or part of an area of land or sea for conservation	Adopt or increase management practices which are beneficial for target; stop harmful management practices
Consumers - use conservation target directly, something whose production impacts target, or interact with target through recreational activities	Stop or reduce harmful consumption; start or increase beneficial consumption
Manufacturers, transporters and sellers – supply chain actors who sell goods produced from or otherwise linked to conservation target	Reduce sales of unsustainably harvested product; promote less damaging harvesting technology
Investors - provide financial capital for producers and other actors who impact conservation target	Withdraw or reduce finance for damaging producers; invest in sustainable producers
Policymakers and deliverers – design or implement policies or rules which influence how other actors impact conservation target	Introduce beneficial policy; withdraw harmful subsidy; tax harmful behaviour; enforce conservation legislation
Voters - influence government to change design or execution of environmental and other policies	Take environment into account when voting; let politicians know
Communicators - provide information to others about the impacts of their behaviours and how to change them	Provide information that enhances capability or motivation of any actor to act more sustainably
Campaigners and lobbyists - petition policymakers and other actors to change their choices and decisions	Promote more desirable consumption; lobby for change to government policy

Table 3. Classes of behaviour change intervention, with some general sample interventions, and specific examples of conservation and other environmental interventions. Note that real-world interventions often comprise multiple bundled elements which may span several intervention classes.

	Intervention class	Sample of intervention types	Conservation examples
<i>Individual level</i>	Capability The physical, psychological, or management resource to perform, modulate, or resist an activity	Training physical, psychological, or management skills; providing behavioural support	Training fishers in using less damaging fishing gear; making new insights in habitat management available to conservation managers
	Motivation Any process that energizes, directs, and sustains behaviour	Communicating social norms; promoting public commitment; providing social comparison; offering behavioural feedback	Inducing a sense of pride in local habitats and species; lobbying policymakers or corporations to promote ambitious conservation action
<i>Population level</i>	Physical microenvironment The settings that people use for specific purposes and where they interact directly with objects and stimuli in those environments	Altering availability, position, presentation, or size of products or objects	Increasing vegetarian meal availability in cafeterias; changing the presentation of products to increase salience; altering defaults to lower-footprint settings
	Physical macroenvironment The basic physical and organizational structures and facilities needed for the operation of a society or organization	Increasing availability of public transport; altering functionality of roads	Increasing public transport options to national parks and nature reserves; increasing the energy efficiency of public buildings
	Economic environment The prices of goods and services	Introducing, modifying, or removing taxes, subsidies, and other material incentives	Introducing taxation on ruminant meat; paying farmers to restore natural habitat on their land; removing subsidies for fossil fuels; governments divesting from environmentally damaging industries
	Institutional environment The voluntary and regulatory codes of practice to which public and private organizations must conform if they are to receive support and legitimacy	Introducing, modifying, or removing fines or other material incentives; establishing or altering institutional standards	Creating a protected area; increasing environmental standards for contractors; introducing or increasing fines for undesirable behaviour

Table 4. Matrix showing a representative array of behaviour change interventions proposed for our 12 example threats (Table A1 in online Appendix), classified by intervention class (rows, Table 3) and actor group (columns, Table 2). Shading denotes the overall distribution of 130 proposed interventions across cells: white=0; light green= 1-4; mid-green=5-9; dark green=>9. Note that many ideas for reducing a harmful behaviour in practice require a series of linked interventions. * Asterisks highlight one example: regulating against overnight office lighting to mitigate climate change might first require persuading voters to send a strong motivational message to politicians. For simplicity other linked interventions are not shown.

	Producers and extractors	Conservation and environmental managers	Consumers	Manufacturers, transporters and sellers	Investors	Policymakers and deliverers	Voters	Communicators	Campaigners and lobbyists
Individual level									
Capability	Reducing deforestation by providing husbandry training to Amazonian ranchers who do not deforest	Stemming spread of Florida invasive plants by improving training in weed recognition	Limiting spread of invasive aquatic species by providing clear hygiene instructions to recreational water users	Reducing Caribbean overfishing by providing refrigeration to cut supply-chain waste Controlling invasive lionfish by providing equipment and training for chefs to cook them					
Motivation	Increasing milkweed availability for monarchs through campaign persuading farmers to tolerate "untidy" fields	Enhancing water quality for vendace by motivating water treatment managers via a performance league table	Reducing mangrove conversion by working with an influencer to reduce demand for shrimp Reducing trampling of alpine plants by encouraging climbers to commit publicly to avoiding sensitive routes	Reducing mangrove conversion for hotels via social media campaign persuading travel companies to market more sustainable accommodation options	Reducing mangrove clearance for tourist infrastructure by making scientific case to insurers to increase premiums to hotels in cleared areas	Reducing vulture exposure to diclofenac by persuading politicians to ban its use *Mitigating climate change by motivating policy makers to restrict overnight office lighting	*Mitigating climate change by encouraging voters to lobby politicians to restrict overnight office lighting	Limiting spread of invasive horticultural plants by persuading radio host to run a feature on the damage done by exotic species	Reducing grazing damage to alpine plants by persuading animal-rights groups not to oppose cull of feral goats
Population level									

Physical microenvironment	<p>Reducing vulture exposure to diclofenac by providing farmers an affordable, vulture-safe alternative drug</p> <p>Enhancing water quality for vendace by simplifying the paperwork for farmers participating in catchment management</p>	<p>Reducing litter ingestion by godwits by installing and emptying bins on beaches</p> <p>Limiting spread of invasive mussels by providing boat users with high-temperature cleaning equipment</p>			
Physical macroenvironment		<p>Reducing kittiwake deaths in offshore windfarms by cutting electricity usage through investment in energy-efficient housing</p>			
Economic environment	<p>Increasing milkweed availability for monarchs through business innovation competition for milkweed products</p>	<p>Reducing litter ingestion by godwits by taxing non-degradable packaging</p>	<p>Reducing vulture ingestion of diclofenac by removing patent on alternative, so local companies are incentivised to manufacture it</p>		
Institutional environment	<p>Reducing litter ingestion by godwits by regulating against at-sea disposal of plastics</p> <p>Increasing kittiwake chick survival by reducing quotas for sand-eel fishing</p>	<p>*Mitigating climate change through restrictions on office lighting</p>	<p>Reducing international spread of invasive bivalves by mandating at-sea ballast water exchange by cargo vessels</p>	<p>Reducing Amazon deforestation through regulation restricting the provision of credit to low-deforestation municipalities</p>	<p>Mitigating climate change by reducing incentives to policymakers to oppose action by banning large campaign donations</p>