

Real-time tracking of delayed-onset cellular apoptosis induced by intracellular magnetic hyperthermia

Aim: To assess cell death pathways in response to magnetic hyperthermia. **Materials & methods:** Human melanoma cells were loaded with citric acid-coated iron-oxide nanoparticles, and subjected to a time-varying magnetic field. Pathways were monitored *in vitro* in suspensions and *in situ* in monolayers using fluorophores to report on early-stage apoptosis and late-stage apoptosis and/or necrosis. **Results:** Delayed-onset effects were observed, with a rate and extent proportional to the thermal-load-per-cell. At moderate loads, membranous internal-to-external lipid exchange preceded rupture and death by a few hours (the timeline varying cell-to-cell), without any measurable change in the local environment temperature. **Conclusion:** Our observations support the proposition that intracellular heating may be a viable, controllable and nonaggressive *in vivo* treatment for human pathological conditions.

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Over the last decade the possible therapeutic use of heat generated in magnetic nanoparticles by time-varying magnetic fields, *viz.* magnetic field hyperthermia (MFH), has been a subject of great interest in both the scientific and medical research communities [1–4]. The underlying principle is attractive and straightforward: that cancer cells are sensitive to elevated temperatures, and that *in vivo* treatments can utilize targeted magnetic nanoparticles to transform hysteretic energy losses, induced by the time-varying field, into a localized source of heat.

However, there is a significant issue with current translational research efforts in magnetic hyperthermia, in that the nature of the cell death pathways – as opposed to outcomes – that are triggered by MFH have until now been unclear. This is because almost all previously reported *in vitro* and/or *ex vivo* assays have relied exclusively on *post hoc* assessments

of cell viability or morphology, as determined after the application of the time-varying field [5–11]. To our knowledge, the only exception to this rule, to date, was a very recent publication on real-time imaging of MFH experiments using a confocal microscope [12] – albeit that the focus of that work was on the immediate consequences of the MFH during treatment, and on lysosome membrane permeabilization events, rather than on longer term consequences to the cell as a whole. Furthermore, *in vivo* measurements of cellular function at the necessary subcellular length scale, and over the requisite period of time both during and after the application of the time-varying field, are not feasible in any approvable preclinical study. As such, the cell death pathways have remained an open question.

This issue is potentially a significant impediment in the clinical exploration of

Cristina Blanco-Andujar^{1,2}, Daniel Ortega^{1,3,4}, Paul Southern¹, Stephen A Nesbitt¹, Nguyễn Thị Kim Thanh^{*,1,5} & Quentin A Pankhurst^{**1}

¹Healthcare Biomagnetics Laboratory, University College London, 21 Albemarle Street, London, W1S 4BS, UK

²Institut de Physique et Chimie des Matériaux de Strasbourg (IPCMS), UMR-7504 CNRS-Université de Strasbourg, 23 rue du Loess, BP 43 67034 Strasbourg Cedex 2, France

³Instituto Madrileño de Estudios Avanzados en Nanociencia (IMDEA-Nanociencia), Cantoblanco 28049, Madrid, Spain

⁴Nanobiotecnología (IMDEA-Nanociencia), Unidad Asociada al Centro Nacional de Biotecnología (CSIC), Cantoblanco 28049, Madrid, Spain

⁵Biophysics Group, Department of Physics & Astronomy, University College London, Gower Street, London, WC1E 6BT, UK

*Author for correspondence: ntk.thanh@ucl.ac.uk

**Author for correspondence: q.pankhurst@ucl.ac.uk

[†]Authors contributed equally

MFH, given that national health authorities and regulators around the world are almost always concerned to understand the 'primary mode of action' of any given intervention. In the case of MFH, this translates into a demand for a comprehensive model, at the cellular and subcellular level, of how the localized generation of heat affects the tissue and fluid environment in which the magnetic particles reside. In particular, there are fundamental questions to be answered as to whether the primary mode of action is a purely physical one, or one that involves an induced biological response. In many countries this can determine whether or not the intervention is classified as that of a medical device (e.g., in the case of a physical mode of action), or that of a drug (e.g., in the case of a biological mode of action involving an induced metabolic, immunological or pharmacological action). The device or drug classification, in turn, can have major ramifications as to the cost and length of time that it may take to get a new therapeutic into clinical practice, and available to patients.

It is with this issue in mind that we have, over the last 3 years, developed a real-time *in situ* molecular tracking system specifically for explorations of the cell death pathways (and therefore modes of action) for controlled *in vitro* cell cultures in the presence of MFH-inducing magnetic fields. This has involved both the development of the requisite instrumentation, *viz.* a specially engineered magnetic field appliance incorporated into a fluorescent/optical live-cell imaging microscope, and the development of an imaging protocol capable of delivering substantive information on cell death pathways. Although another group has independently been working on combined MFH/microscope instrumentation [12], we report here, for the first time, on a complete system of both instrumentation and imaging protocol for the purpose of understanding the primary modes of action of magnetic hyperthermia. We take, as a paradigmatic exemplar, the action of MFH on the DX3 human melanoma cell line.

Melanoma is an aggressive disease that accounts for ca. 4% of all deaths by cancer, and nearly 80% of the ones related to skin cancer, with a 5-year survival expectancy of ca. 14% [13]. The initial post diagnosis treatment is usually surgical removal, but the invasive nature of melanoma often necessitates further treatment to ensure eradication and limit recurrence. Understandably, then, there is a clinical drive towards finding better and improved interventions to treat melanoma, and MFH, either as a stand-alone treatment or in conjunction with anticancer drugs, is one of the methods that is being explored.

Recent preclinical studies on MFH treatment of murine melanoma have shown encouraging

results [14–18]. However, the control over the treatment intensity was rather limited, frequently leading to tissue necrosis, with the associated possible side effects that necrosis brings (such as vascular disruption and scarring), as well as the subsequent need for surgical removal of the dead tissue. It would clearly be preferable to move towards less aggressive apoptotic cell death pathways, but to do so it is imperative to distinguish between the two pathways and relate them to the dose–response characteristic of the MFH treatment.

To this end we have built and used a bespoke real-time *in situ* MFH imaging system to explore the apoptotic and/or necrotic cell death pathways exhibited by DX3 human melanoma cells inoculated with iron oxide nanoparticles in response to the application of time-varying magnetic fields. Prior to heating, the DX3 cells were loaded with citric acid-coated iron oxide nanoparticles to a concentration ranging from ca. 210 to 400 pg of iron oxide per cell, which was found to be sufficient to deliver intracellular hyperthermia in response to a time-varying magnetic field, but not so high as to affect the viability of the cells under normal (zero field) conditions. The nanoparticles were specifically chosen to be ones that exhibited excellent magnetic heating properties, with an intrinsic loss parameter of $4.1 \text{ nHm}^2\text{kg}^{-1}$ [19]. To monitor the cell death pathways, two fluorescent markers were used: (i) Annexin V-FITC for early-stage apoptosis, a pseudogreen-colored membrane stain activated by internal-to-external lipid exchange in the cell membrane; and (ii) either DRAQ7 or propidium iodide (PI) for late-stage apoptosis or necrosis, both of which are pseudored-colored nuclear stains that cannot penetrate intact membranes.

Cell death pathway experiments were performed under a selection of different conditions. In one experiment of particular note, when the cells were subjected to MFH at a level of ca. 145 nW per cell for 2 h, clear evidence of delayed-onset apoptosis was seen. In this case, all of the cells in the culture remained viable for 1 h after the MFH was applied, but then one-by-one the cells started to die, until, after a further 18 h had elapsed, all the cells were dead. Control experiments were performed to rule out the possibility that this might be a bystander effect, indicating that this is indeed a hitherto unknown effect. It is hoped that MFH-induced delayed-onset apoptosis may be of significant clinical interest, given the likelihood that the idiopathic burden on healthy tissue associated with such a treatment will be much less than that involved with traditional heat-based therapies based on microwave or radiofrequency radiation or ultrasound.

Materials & methods

Microwave-assisted synthesis of citric acid-coated iron oxide nanoparticles

Unless otherwise stated, all reagents were obtained from Sigma Aldrich, UK, and used as received. The synthesis route was the same as was previously reported in [19] for the sample identified therein as 'CA-ioH'.

Citric acid-coated iron oxide nanoparticles (CA-IONPs) were made by co-precipitation of ferric and ferrous chloride salts with sodium carbonate and post precipitation addition of a citric acid solution, with the aid of a SP-Discovery Microwave oven (CEM Corporation, USA). Briefly, a solution of $\text{FeCl}_2 \cdot 4\text{H}_2\text{O}$ (0.02 M, where M denotes molarity, i.e., 0.02 M = 0.02 mol/l) and $\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$ (0.04 M) was transferred into a vial and sealed with a pressure cap. The solution was heated to 60°C (microwave power setting 300 W) and sodium carbonate aqueous solution (1 M) was added with a syringe pump (2 ml/min) (World Precision Instruments, UK). The solution was kept at 60°C for 10 min and then citric acid solution (2 mmol, 1 ml ddH₂O) was added. The solution was then maintained at 60°C for a further 10 min. The obtained nanoparticles were then washed by magnetic separation and redispersed in ddH₂O.

The magnetic heating properties of the as-made CA-IONPs were determined using standard methods for nonadiabatic test conditions [20], and quantified in terms of the intrinsic loss parameter (ILP) of the particles.

Cell culture & magnetic nanoparticle loading

DX3 human melanoma cells were obtained from the Barts Cancer Institute, Queen Mary University of London. Adherent cells were cultured in high glucose Dulbecco's Modified Eagle Medium (DMEM) containing 10% fetal calf serum (FCS), 1% penicillin and 1% stable L-glutamine (complete DMEM) [21]. Cells were incubated at 37°C and 8.5% CO₂. The culture medium was changed every other day and the cells passaged every 5 days.

Initially, 2×10^7 cells were cultured prior to starving using FCS-free complete DMEM to induce subsequent uptake of CA-IONPs. After 12 h, the cells were fed with complete DMEM including 0.5 mg/ml of CA-IONPs and returned to culture for 12 h. Afterwards, the cell flasks were rinsed five-times with prewarmed (37°C) phosphate buffered saline (PBS) prior to harvesting.

DX3 cells were harvested with Accutase [22,23], washed twice with prewarmed PBS by centrifugation (1100 rpm, 10 min, 4°C) and fixed (3.5% paraformaldehyde and 2% sucrose in PBS) for 10 min at room

temperature. The obtained cell pellet was washed twice by centrifugation (1100 rpm, 10 min, 4°C) with ddH₂O. The number concentration of cells in the final cell suspension was counted by flow cytometry (Accuri C6, BD Biosciences).

The internalized nanoparticles were quantified using a superconducting quantum interference device vibrating sample magnetometer (SQUID-VSM, Quantum Design, USA) by recording a room temperature magnetization curve, and comparing the measured saturation magnetization to that obtained from a calibration sample of neat CA-IONPs at a known concentration. The measured concentration of particles was combined with the measured number concentration of cells in the sample to estimate the average mass concentration of CA-IONPs per cell.

Histology & localization studies

DX3 human melanoma cells were cultured on glass coverslips in a 24-well plate at 5×10^4 cells/well and cultured for 24 h prior to loading. Cells were starved, loaded with magnetic particles and fixed, as described above. Cells were stained for iron content with Prussian Blue (1:1 4% HCl : 4% K₄Fe(CN)₆, 10 min, two-times), rinsed with ddH₂O and counterstained with nuclear fast red (2 min). Stained cells were dehydrated with ascending alcohols by immersion in 70% ethanol (2 min), 100% ethanol (1 min, two-times) and xylene (10 min) and mounted. The cells were assessed by light microscopy using an inverted microscope (DMI6000B, Leica, UK).

In vitro MFH treatment on cell suspensions

DX3 cells were cultured and loaded with particles as described above, then harvested with Accutase and resuspended (1×10^6 cells/ml) in phenol-red-free complete DMEM media containing 25 mM HEPES. They were then loaded into 1.5 ml Eppendorf tubes and placed in a controlled alternating magnetic field supplied by a desktop solenoid-based Magnetic Alternating Current Hyperthermia system (MACH system, Resonant Circuits Ltd, UK). This system comprised a six-turn water-cooled solenoid coil capable of delivering a magnetic field with near-uniform (within $\pm 6\%$) intensity over a cylindrical volume of height ca. 16 mm and diameter ca. 20 mm at the center of the solenoid (Supplementary Information S1).

Time varying magnetic fields of amplitude 6.6, 10.5, 12.0, 14.7 and 16.1 kA/m were applied at a constant frequency of either 911 or 950 kHz, for a total treatment period of 2 h per sample. Aliquots were taken for analysis before, during and immediately after the hyperthermia treatment (i.e., at $t = 0, 1$ and 2 h). After treatment, half of the remaining cells were transferred

to culture plates and incubated for 2 days, with their viability being assessed at $t = 24$ and 48 h. The other half of the cells were kept in their Eppendorf tubes, and their viability assessed at $t = 5$ h. Control experiments were carried out under each of the hyperthermia experimental conditions (i.e., for each of the six time points and for each of the five field and frequency conditions), using native (not magnetically loaded) DX3 cells.

Wherever possible, both before and during the experiments, the cells were kept at 37°C in buffered media to maintain incubation ambient conditions, and in the dark to minimize light impact reducing cell damage from light exposure. During the hyperthermia treatment, the temperature of the cell culture medium was monitored using a Luxtron FOT fluoroptic probe thermometer (LumaSense Technologies, USA). A mounting device was used to ensure that the thermometer tip was placed at the same position in the Eppendorf tubes each time, to allow for direct comparison between the different experiments.

In situ MFH treatment on cell monolayers

DX3 cells were seeded on a 35-mm Fluorodish cell culture dish (World Precision Instruments, USA) with a working window of 10 mm, at 4×10^5 cells/well. Cell loading was carried out as previously stated. After incubation, the cell layer was washed two-times with prewarmed PBS (37°C). The wells were supplemented with fresh phenol-red-free complete DMEM media containing 25 mM HEPES, and the cells were then stained for live imaging with Annexin V-FITC and DRAQ7 [24] (1 μ l/100 μ l media) (BD Biosciences, UK). Cell dishes were kept in darkness before being and transferred to a live-cell-imaging inverted microscope (DM1600B, Leica, UK) equipped with a Pecon BL-TIRF incubation chamber and an Insert P microscope stage heater and cover (Leica, UK) and a bespoke MACH system (Resonant Circuits Ltd, UK). The Insert P microscope stage was modified using replacement plastic parts in place of the metal parts that would otherwise have been subject to nonspecific eddy-current heating from the MACH system.

The bespoke 'pancake' geometry MACH system was specifically designed by Resonant Circuits Ltd for *in situ* operation in the live-cell-imaging microscope (Supplementary Information S2). It featured a five-turn drive coil made from 2-mm diameter copper pipe wound into a flat spiral with 0.5-mm spacing between turns, and inner and outer diameters of 15 and 44 mm. The coil was mounted in the microscope, ca. 5 mm above the tissue culture dish, and aligned so that the cells were viewable through the center of the coil. A bespoke nonmetallic baseplate was made to incorpo-

rate both the coil and the culture dish within the CO₂-controlled incubation chamber. The entire microscope, including the pancake-MACH system, was set within a temperature-controlled chamber, which was set to 37°C throughout the MFH treatment, and the subsequent post treatment imaging.

The cells were allowed to equilibrate for 1 h in the chamber before they were subjected to a time-varying magnetic field of amplitude 10.5 kA/m and frequency of 950 kHz for 2 h. Cells were kept in the incubation chamber at 8.5% CO₂ and imaged *in situ* for 24 h. Time-lapse images were captured hourly using three channels: phase contrast, far-red fluorescence (CY5; excitation/emission wavelengths = 647/670 nm) and green fluorescence (FITC; 495/ 519 nm).

Nanoparticle cytotoxicity & cell viability

Nanoparticle cytotoxicity was studied with a 'cell counting kit 8' (NBS Biologicals, UK), used as stated by the manufacturer. DX3 cells were seeded on a 96-well-plate (1×10^4 cells/well) and returned to culture. Cell loading was carried out as previously stated at concentrations ranging from 0–0.5 mg/ml. Cells were rinsed (five-times) with prewarmed PBS (37°C) to remove any free iron oxide nanoparticles and supplemented with complete phenol-red-free DMEM medium and the WTS8 solution. Cells were returned to culture for 2 h and the absorbance measured at 450 nm (SpectraMax M2e, Molecular Devices, UK). A positive control for cell toxicity was provided with 10% NaN₃.

Cell viability before, during and after the *in vitro* hyperthermia treatments on cell suspensions was assessed using a FITC-Annexin V Apoptosis Detection Kit (BD Pharmingen, BD Biosciences, UK); propidium iodide solution was included in the same kit. Aliquot cell suspensions (ca. 10^5 cells) were taken at time points $t = 0, 1, 2, 5, 24$ and 48 h, as described above. Cells were stained before analysis by flow cytometry (Accuri C6 Flow Cytometer, BD Biosciences, UK).

Cell viability before and during the *in situ* hyperthermia treatment on cell monolayers was assessed using both Annexin V-FITC (green) and DRAQ7 (far-red) stains (at 1 μ l stain per 100 μ l media) (BD Biosciences, UK). Fluorescence images were captured every hour for 24 h, starting from immediately before the cells received 2-h exposure to the alternating magnetic field. The imaged region, which covered ca. 230 μ m \times 300 μ m of the plate, was kept constant throughout to enable longitudinal tracking of individual cells.

Results

In this study, citric acid-coated iron oxide nanoparticles (CA-IONPs) were used to deliver intracellular

heating to DX3 human melanoma cells. The particles were synthesized by co-precipitation of ferric and ferrous chloride salts, with sodium carbonate, in a microwave reactor [19]. Subsequently, citric acid was added to the solution to provide a surface coating with good biocompatibility, transport and cellular internalization properties [25–28]. The particular CA-IONPs chosen here were optimized for their magnetic heating properties. Their measured intrinsic loss parameter (ILP $\approx 4.1 \text{ nHm}^2\text{kg}^{-1}$ [19]) is comparable to that of the best superparamagnetic heating materials reported to date (Supplementary Information S3).

Transmission electron microscopy (TEM) analysis showed that the CA-IONPs were multicore particles with a mean core diameter of $17 \pm 3 \text{ nm}$ (Figure 1A & Supplementary Information S4). Dynamic light scattering analysis indicated that the CA-IONPs had a mean hydrodynamic diameter of ca. 66 nm – substantially more than the 17 nm core diameter measured by TEM, and consistent with the particles being multicore in their nature. From these two measurements the mean number of cores per particle was estimated to be $N \approx 35$, assuming a loose random packing of the constituent core particles, with a 60% v/v content [19].

Histology data on the CA-IONP-incubated DX3 cells showed that their morphology was not affected by the presence of the nanoparticles, and cytotoxicity studies showed that even after 12 h of incubation with 0.5 mg/ml of CA-IONPs there were no significant effects on cell viability (Student's *t*-test, two-tailed, $p > 0.05$) (Supplementary Information S5). Following Prussian blue and nuclear fast red staining, optical microscopy of the incubated cells showed ring-like par-

ticle agglomerations in some images (Figure 1B), consistent with intracellular uptake of the nanoparticles and their subsequent arrangement in the cytoplasm, and exclusion from the cell nucleus. The highest magnification TEM images (Figure 1C) confirmed this assessment by revealing discrete clusters of nanoparticles at subcellular length scales, as is typical of the presence of internalized nanoparticles in endosomes or similar vesicles.

Cytometer and magnetometer data indicated that when incubated for 12 h with the 0.5 mg/ml preparation of CA-IONPs, the DX3 cells took up and retained, on average, ca. 315 pg of iron oxide per cell, with the batch-to-batch variation ranging from 210 pg/cell up to 400 pg/cell (Table 1 & Supplementary Information S6). These are relatively high levels of uptake, e.g. compared with the 60 pg/cell that was reported previously for the uptake of dextran-coated iron oxide nanoparticles in rabbit mesenchymal stem cells [29], and are indicative of the effectiveness of the citric acid coating in enhancing the internalization capacity of the particles, while still avoiding disruption to the cell's viability.

In vitro MFH experiments on the CA-IONP-loaded cells were performed using a solenoid-based desktop MACH system, operating at the magnetic field intensities and frequencies listed in Table 1. For each set of experiments at a given field and frequency setting, a fresh batch of cells was prepared. The resultant MFH-induced thermal load in the cells was estimated from the measured concentration of particles per cell, and the known heating capacity of the particles: these gave rise to estimates for the load that ranged from ca. 35 nW/cell up to ca. 390 nW/cell (Table 1). Control experiments were performed on nonloaded cells at each of

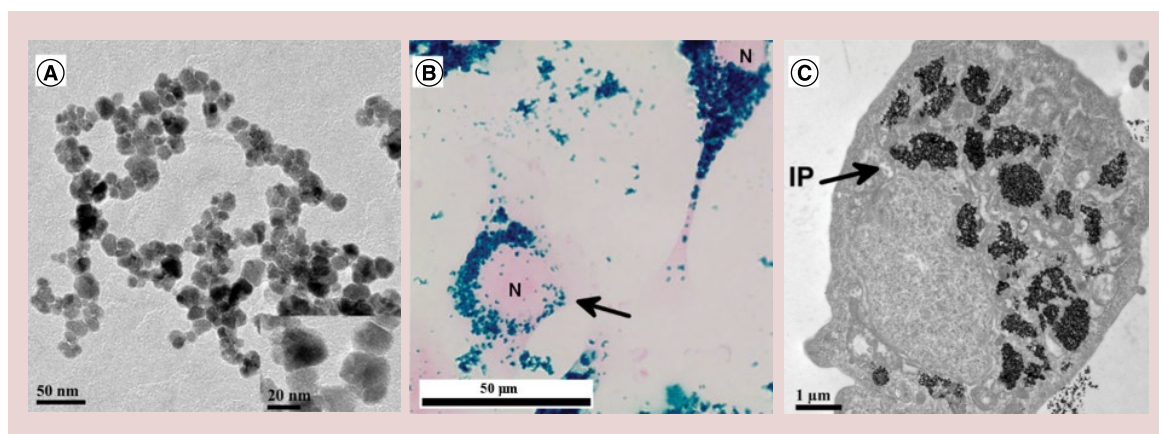


Figure 1. Magnetic nanoparticle internalization in DX3 human melanoma cells. (A) Transmission electron microscopy image of the as-made citric acid-coated iron oxide nanoparticles (CA-IONPs) used in this study. A magnified view is shown in the inset. (B) Bright field image of DX3 human melanoma cells loaded with the CA-IONPs stained with Prussian blue and counterstained with nuclear fast red. The ring-like perinuclear iron staining (arrowed) seen around the cell nucleus indicates intracellular uptake. (C) Representative transmission electron microscopy image of a DX3 cell loaded with CA-IONPs. IP: Internalized nanoparticles in endosomes; N: Nucleus.

Table 1. Parameters used for magnetic field hyperthermia experiments on citric acid-coated iron oxide nanoparticle-loaded DX3 melanoma cells.

Mean loading m_{cell} (pg of iron oxide per cell)	Coil current/(A) [†]	Field intensity H (kA/m) [†]	Frequency f (kHz) [‡]	Thermal load P (nW per cell)
<i>In vitro</i> experiments on cell suspensions				
210 ± 10	50.4 ± 0.7	6.6 ± 0.1	950	35 ± 5
315 ± 15	80.2 ± 1.5	10.5 ± 0.2	950	135 ± 15
370 ± 20	91.6 ± 1.5	12.0 ± 0.2	950	210 ± 20
285 ± 15	112.2 ± 2.2	14.7 ± 0.3	911	230 ± 20
400 ± 20	122.9 ± 2.2	16.1 ± 0.3	911	390 ± 35
<i>In situ</i> experiment on cell monolayers				
315 ± 75 [§]	80 ± 4	10.8 ± 0.5	950	145 ± 45

The mean magnetic nanoparticle loading per cell, m_{cell} , determined by cytometer and magnetometer measurements; the peak current in the coil, I ; the corresponding peak applied magnetic field intensity, H ; the frequency of the applied field, f ; the deduced mean thermal load, $P = m_{cell} ILP H^2 f$, where $ILP = 4.1 \pm 0.1 \text{ nHm}^2\text{kg}^{-1}$ is the CA-IONP's intrinsic loss parameter.

[†]Both current and field intensity vary sinusoidally with time, with instantaneous values $I \sin(\omega t + \phi)$ and $H \sin(\omega t + \phi)$, respectively, where $\omega = 2\pi f$, and ϕ is a constant.

[‡]Negligible error was determined on the generator frequency.

[§]Direct measurement of the loading was not possible for the *in situ* experiment, so the figure quoted is an estimate based on the mean and standard deviation of the loadings recorded in the *in vitro* experiments.

the magnetic field intensities and frequencies, allowing direct comparison of the influence of the CA-IONPs on the cellular responses.

During the 2 h of MFH treatment, the temperature of the cellular suspension medium (which we shall refer to as the 'local environment temperature', T_{local}) was monitored using a fluoroptic probe. In all cases the T_{local} temperature increased on application of the MFH, and reached a plateau after ca. 20 min that was sustained until the MFH field was removed (Supplementary Information S7). These measured T_{local} temperatures are shown in Figure 2A for both the loaded and control cells. The data show nonspecific peripheral heating effects at the two highest magnetic field intensities, where in both cases the T_{local} temperatures in the controls exceeded 40°C. Such peripheral heating effects are well documented [20], and are an unavoidable consequence of the heat generated by the currents flowing through the electromagnetic coil at these highest fields. However, it is also notable from Figure 2A that in all cases the T_{local} temperature recorded for the loaded cells was ca. 5–8°C higher than that in the control cells, indicating that the expected magnetic heating effect is indeed present. It is also notable that at the three highest thermal loadings (those exceeding 200 nW/cell) the T_{local} temperatures exceeded the 42°C benchmark that is widely considered to be a threshold for cellular damage due to environmental heating [30].

The local environment heating effect was further explored by performing flow cytometry experiments on the loaded and controls cells (Supplementary Information S8). Two fluorescent

markers were used: green Annexin V fluorescein isothiocyanate (FITC) as a marker of early-stage apoptosis, and red propidium iodide (PI) as a marker for later-stage apoptosis and/or necrosis. As shown in Figure 2B & C the percentage of the original cell population that were still alive at time $t = 2$ h (immediately after the MFH treatment) and at $t = 48$ h showed similar trends as were seen with T_{local} . At both timepoints the control cells remains almost 100% viable up to ca. 200 ng/cell thermal load, but showed significant cell death at higher loads. Meanwhile the CA-IONP-loaded cells showed progressively increasing levels of cell death across the entire range of thermal loadings.

The morphological and structural integrity of the cells immediately following the MFH treatment was assessed by TEM (see Figure 3). Treatment under thermal loads of 135 and 210 nW/cell did not affect the integrity of the cells (Figure 3A & B); however, the formation of vacuoles in the cytoplasm at these MFH levels are indicative signs of cellular apoptosis [31,32]. The size and number of the vacuoles increased with increasing thermal load, with the additional appearance of cell blebbing, which is a characteristic of more advanced cellular apoptosis [32–34]. Complete loss of cell membrane integrity with extreme damage to the internal structure of the cell was observed at higher thermal loads, as evident in the 390 nW/cell case shown in Figure 3C. Under these conditions, some of the cells were found to have swelled up, and the cytoplasm and all the organelles were disrupted, consistent with the cells having experienced a rapid and possibly violent process of necrosis and cell death at this elevated level of thermal loading.

The time-dependent nature of the effect on the DX3 cells of the *in vitro* MFH treatments was analyzed by expanding the previously described flow cytometry measurements to include each individual timepoint and thermal load condition, and by separating out data on each sample population's percentage of live cells, FITC-positive cells and PI-positive cells. These data are summarized in **Figure 4**, and provided in full in the **Supplementary Information S8**, for the CA-IONP-loaded cells.

It is clear on inspection of **Figure 4** that in all cases the effect on the cell populations extends beyond the initial 2 h of the MFH treatment. At the lowest loading of 35 nW/cell the live cell count was 85% at 2 h but fell to 50% at 48 h, while both the FITC- and PI-positive cells showed similar trends, starting from low levels at 2 h (5–15%) and rising to moderate levels (30–40%) at 48 h. At moderate loadings, such as the 135 and 210 nW/cell cases, similar trends were evident, albeit reaching lower live cell counts (25%), and higher FITC- and PI-positive counts (60–70%), at 48 h. At the highest loading of 390 nW/cell the trends are more marked, with almost complete cell death (only 5% live cells) and almost all the cells (95%) registering both the FITC and PI markers. Across the series, in most cases (the exception being the 210 nW/cell case), the population changes between the $t = 24$ and 48 h timepoints were relatively small, of order a few percent, implying

that the effect of the MFH treatment was primarily active in the first 24 h post treatment.

At this point, it was apparent: (i) that the *in vitro* experimental data had established that MFH treatments at thermal loadings of less than 200 nW/cell could be undertaken without risking any environmental heating effects; (ii) that the majority of the time-dependent effects were observable within 24 h of the start of the MFH treatment; and (iii) that the combined use of an early-stage apoptosis (FITC) biomarker and a later-stage apoptosis and/or necrosis (PI) biomarker had shown some synchronicity in their rate of change, but that it was impossible to distinguish them on the basis of the flow cytometry data only. Therefore, to further explore the cell death pathway, an *in situ* MFH treatment experiment was performed using a hybrid live-cell imaging plus magnetic heating system, the latter featuring a bespoke 5-turn spiral pancake-coil MACH appliance.

For the *in situ* experiment it was necessary to seed the CA-IONP-loaded DX3 cells directly onto a cell culture dish, which meant that it was not possible to directly measure their magnetic loading. As such, the loading of the cells was estimated from the average and standard deviation of all the measurements made on previous batches (see **Table 1**), giving a value of 315 ± 75 pg/cell. The applied magnetic field intensity was then adjusted to bring the anticipated thermal load

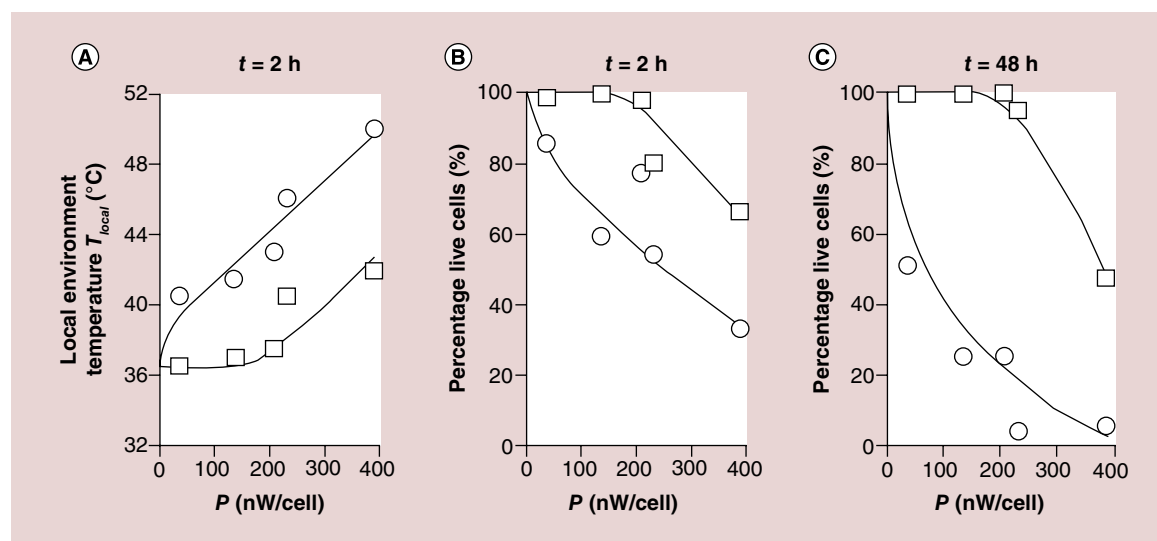


Figure 2. *In vitro* magnetic field hyperthermia treatment effects in citric acid-coated iron oxide nanoparticle-loaded DX3 melanoma cells (circles) and nonloaded controls (squares). (A) The local environment temperature T_{local} measured in the cell suspension medium during the course of the 2-h magnetic field hyperthermia treatment (this is the plateau temperature reached at $t \approx 15$ min, and sustained until the treatment ceased at $t = 2$ h). (B) The percentage of the original cell population that were alive at time $t = 2$ h, immediately after the magnetic field hyperthermia treatment. (C) The percentage of the original cell population were alive at time $t = 48$ h. All data are plotted with respect to the mean thermal load per citric acid-coated iron oxide nanoparticle-loaded cell, P , which refers also to the respective field and frequency conditions employed, as listed in **Table 1**. Solid lines are guides to the eye only.

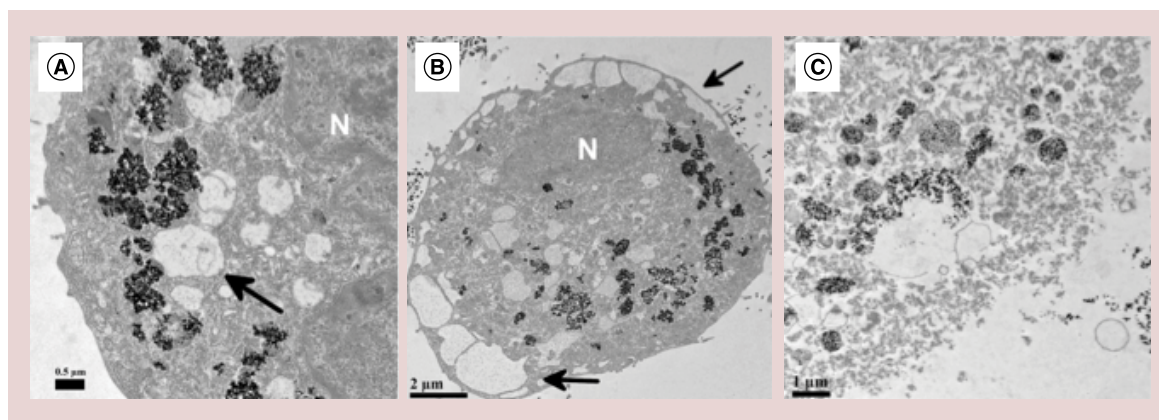


Figure 3. Cell damage after magnetic field hyperthermia treatment under varying field conditions. Representative transmission electron microscopy images of DX3 human melanoma cells after MFH treatment for 2 h (i.e., recorded at $t = 2$ h) with a magnetic field delivering an average thermal loading of (A) 135 nW/cell; (B) 210 nW/cell; and (C) 390 nW/cell. The arrows indicate the presence of vacuole formation due to cell apoptosis. N: Nucleus.

below the 200 nW/cell level above which environmental heating might occur. A field of intensity 10.8 kA/m at a frequency of 950 kHz was chosen, corresponding to a thermal load of 145 ± 45 nW/cell.

Cellular responses were observed in real time by fluorescence microscopy using Annexin V-FITC to image early-stage apoptosis and DRAQ7 to image later-stage apoptosis and/or necrosis. DRAQ7 was used in preference to PI as it is designed to be nontoxic, and therefore is better suited to prolonged exposure (in this case 24 h) to a given cell culture [24]. The microscope field of view was kept fixed throughout the experiment, which enabled the identification and tracking of all the individual cells that were present in the ca. $230 \mu\text{m} \times 300 \mu\text{m}$ imaged region. For the cases reported here this corresponded to 80 cells in the control experiment, and 65 cells in the active experiment. The data thus obtained are summarized in Figures 5 & 6, and in the Supplementary Information S9.

In Figure 5 some representative fluorescence microscopy images from the *in situ* MFH treatment experiment on CA-IONP-loaded cells are shown. It was notable that no FITC- or DRAQ7-positive cells were observed either during or immediately after the 2 h of MFH treatment, and that the first fluorescence appeared at $t = 3$ h. Thereafter, both FITC (green) and DRAQ7 (red) fluorescence was seen in each individual cell, with, in some cases, the FITC and DRAQ7 appearing at the same time, and, in the majority of the cases, the FITC appearing first, to be followed an hour or more later by the DRAQ7. Some cases of cell contraction and membrane blebbing were seen from $t = 4$ h onwards (Figure 5), both of which are characteristic of morphological changes associated with apoptosis [33]. DRAQ7 fluorescence intensity was preserved throughout the experiments, but in some cases

the FITC fluorescence faded, being more susceptible to photobleaching.

In Figure 6 the *in situ* experiment data is presented in a form reminiscent of a survivorship or mortality curve, in this case referring to the cell death pathways of the ensemble of imaged cells. It is clear on inspection of Figure 6 that most CA-IONP-loaded DX3 cells are dead (only 2 of 65 cells remaining alive) by $t = 24$ h, whereas the majority of the control cells (58 of 80 cells) were unaffected by the MFH treatment. From Figure 6 one can also identify the timepoint at which 50% of the exposed cells had died, *viz.* at $t_{LD50} = 11$ h. In the control experiment, in 2/80 cells DRAQ7 was seen but not FITC, while in 16/80 cells FITC was seen but not DRAQ7. In contrast, in the CA-IONP-loaded cells, none were found to be DRAQ7-positive and FITC-negative, and only 2/65 were FITC-positive and DRAQ7-negative. By far the majority of cells, 61/65, were both FITC-positive and DRAQ7-positive.

For the CA-IONP-loaded cells that exhibited both fluorescent signals during the course of the experiment, the observed time delay ($t_2 - t_1$) between the appearance of the FITC (at t_1) and the later appearance of the DRAQ7 (at t_2) was found to follow a reasonably smooth distribution, as shown in Figure 7. It is apparent from these data that in the majority of cases (50/65) the late-apoptotic and/or necrotic DRAQ7 biomarker was seen within 3 h of the appearance of the early-apoptotic FITC biomarker. Equally, it is clear that in some cells the transition from early to late-stage apoptosis and/or necrosis takes longer, with one showing a $t_2 - t_1$ delay of 9 h.

Although we do not at present have a theoretical model for the progression of MFH-initiated cell death in the DX3 cells, it is notable that the histogram in Figure 7 is reminiscent of a log-normal distribution.

For this reason we attempted a phenomenological fit to the data by treating the histogram as a point-by-point dataset at times $t_2 - t_1 = 0.5, 1.5, \dots 9.5$ h. The resultant fit is shown as the dashed line in **Figure 7**. The mean, standard deviation and mode values of this fit were of order 1.1, 1.0 and 1.2 h, respectively.

Discussion

The citric acid-coated iron oxide nanoparticles (CA-IONPs) employed in this study were specifically chosen because of their high intrinsic loss parameter (ILP ≈ 4.1 nHm²kg⁻¹) and their high level of uptake (210–

400 pg/cell) in our chosen DX3 human melanoma cell line. Taken together, these factors allowed us to explore a very broad range of intracellular thermal loads, from zero up to $P \approx 390$ nW/cell. Furthermore, by characterizing the thermal load per cell in this way – something that to our knowledge has not yet become standard practice – we were able to explore in detail the cellular responses in the system in a manner that lends itself to discussion of the dose–response characteristics of magnetic field hyperthermia.

Initial cell viability tests showed that despite the high levels of uptake, that CA-IONP-loaded DX3

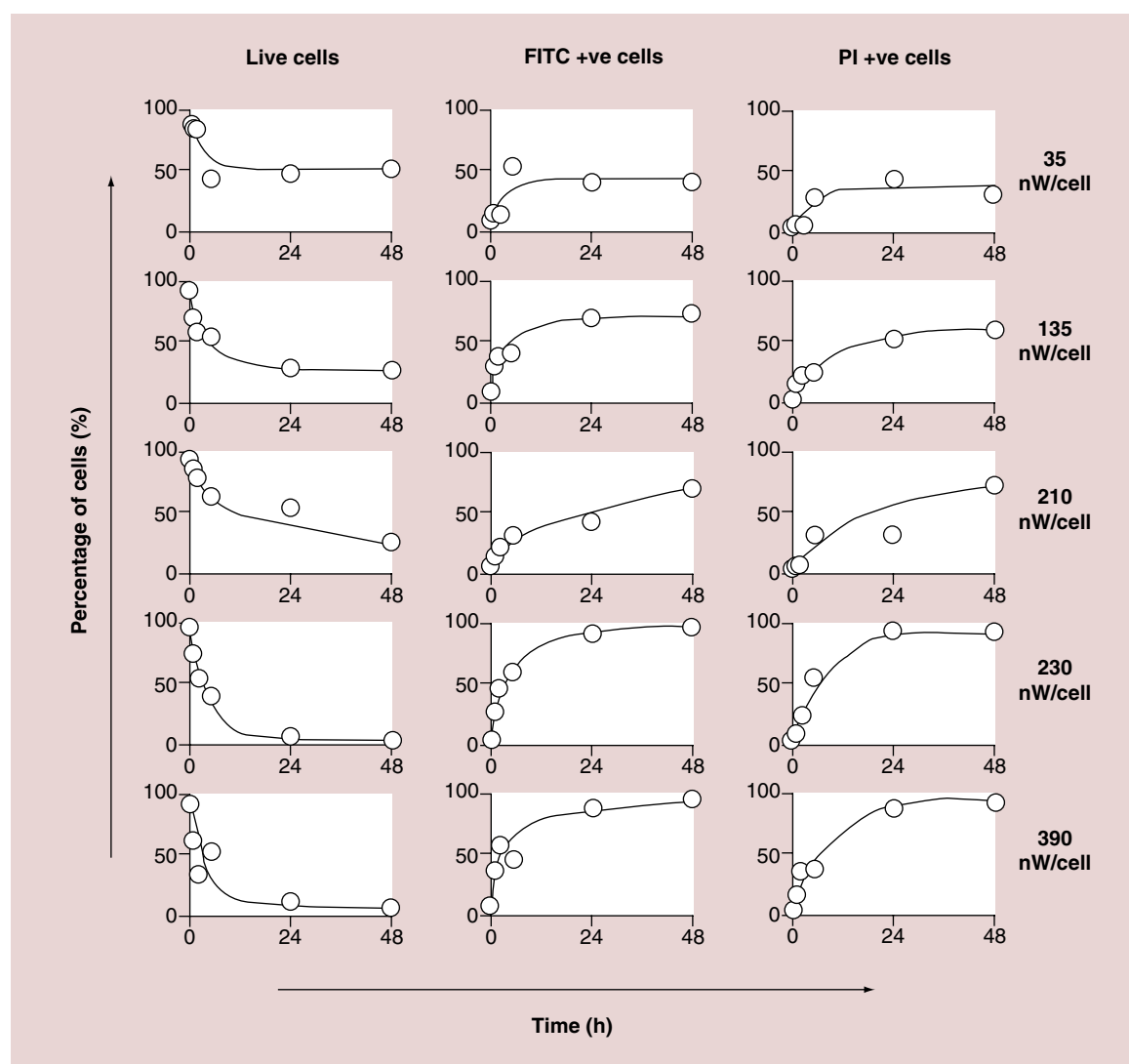


Figure 4. *In vitro* magnetic field hyperthermia treatment effects in DX3 melanoma cells. Flow cytometry data on DX3 melanoma cells labeled with Annexin V-FITC (FITC: green – indicative of early-stage apoptosis) and propidium iodide (PI: red – indicative of late-stage apoptosis and/or necrosis) stains, as a function of time following the application of 2 h of magnetic field hyperthermia treatment (from $t = 0$ to 2 h) at the indicated heat loads. The data correspond to six time points before, during and after the magnetic field hyperthermia treatment, for five different thermal loads, and to the percentage of the cells counted that were live, FITC-positive (registering a green fluorescence) and PI-positive (registering a red fluorescence), respectively. Solid lines are guides to the eye only.

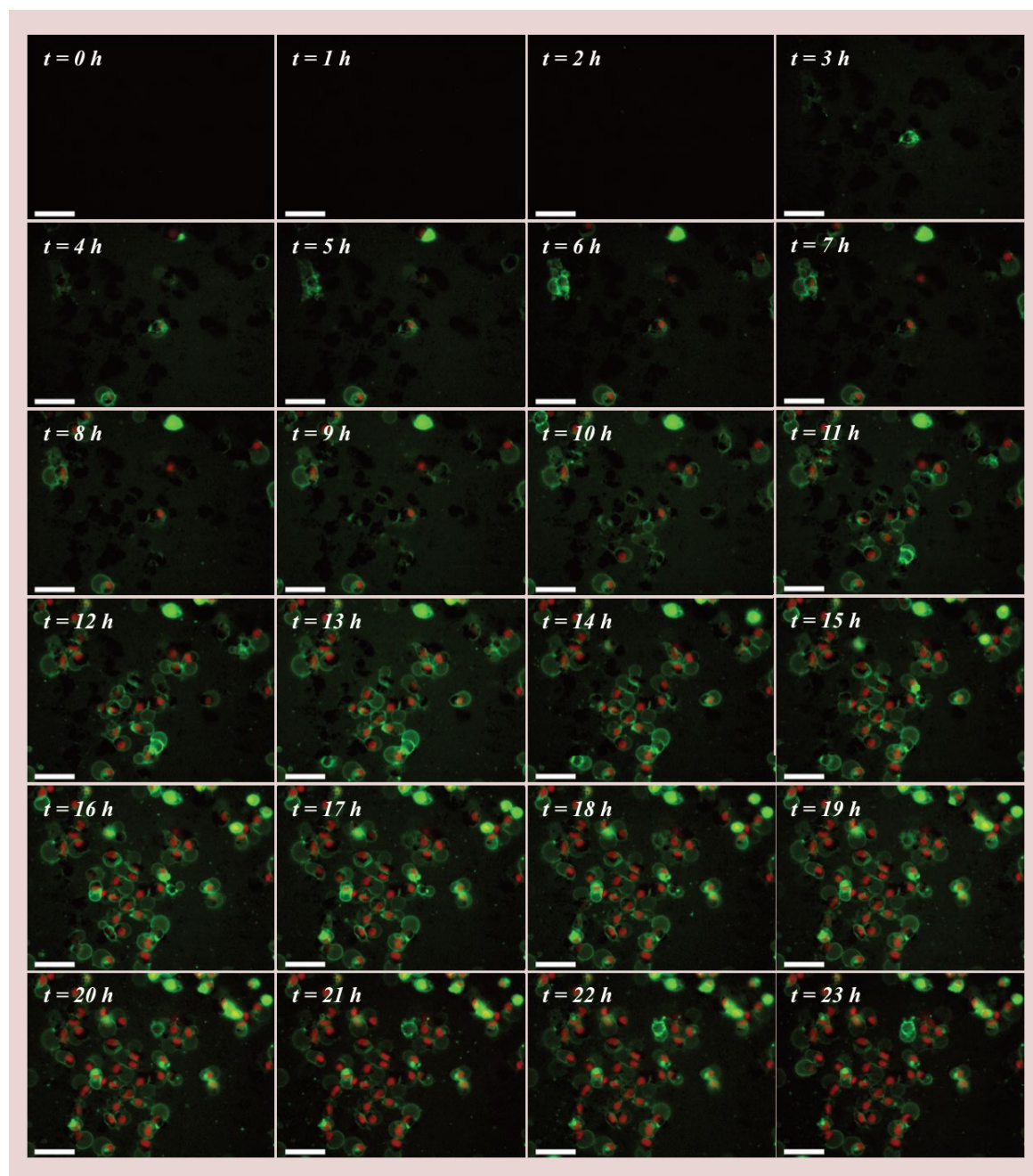


Figure 5. Cell-by-cell real-time tracking of cell death pathways – fluorescence imaging. Fluorescence microscopy images of citric acid-coated iron oxide nanoparticle-loaded DX3 cells during and after 2 h of magnetic field hyperthermia treatment (from $t = 0$ to 2 h) with a magnetic field delivering ca. 145 nW/cell of thermal energy. Cells were labeled with Annexin V-FITC (green) and DRAQ7 (far-red), and images were recorded hourly. Scale bar is 50 μm in all images.

cells remained viable, and that the nanoparticles were internalized into endosomes within the cells. Subsequent *in vitro* MFH experiments on both nonloaded and loaded cells in suspension, using a solenoid-based MACH system, showed three distinct phenomena. The first was a nonspecific peripheral heating of the suspension medium due to the large currents flowing in the electromagnetic coils. This led to an environmental

heating effect that was apparent in the nonloaded control-cell suspensions at the two highest magnetic field intensities used (*viz.* at $H = 14.7$ and 16.1 kA/m, corresponding to peak currents $I = 112$ and 123 A, respectively). Under these conditions, after 2 h of exposure to the environmental heating, the control cells exhibited significant rates of cell death (of order 20 and 35%, respectively). That said, under less extreme conditions

(*viz.* up to $H = 12.0$ kA/m, $I = 92$ A), no significant cell death at all was measured in the nonloaded cells.

The second effect observed in the *in vitro* experiments was an MFH-specific direct heating due to the presence of the magnetic nanoparticles. This was apparent in the measured local-environment (cell-suspension) temperature, T_{local} , which in all cases was ca. 5–8°C higher than in the control suspensions, as well as in the observed quasilinear correlation between T_{local} and P . These are intriguing observations, as they imply that the magnetic heating of the iron oxide nanoparticles is not being confined within endosomes, or even within cells, but rather that some degree of thermal equilibration is taking place throughout the suspension as a whole.

The third phenomenon seen in the *in vitro* data was that of delayed-onset cell death in the magnetically heated cells. This was evidenced by flow cytometry

in combination with dual-marker fluorescent staining; the latter to identify both early-stage apoptosis (Annexin V-FITC: activated by internal-to-external lipid exchange in the cell membrane) and late-stage apoptosis or necrosis (propidium iodide: a nuclear stain that cannot penetrate intact membranes). Definite time-delayed response curves were seen in the data for the proportion of cells that remained alive, as well as for the proportion of cells that were either FITC-positive, or PI-positive, or both. At the two lowest thermal loads ($p = 35$ and 135 nW/cell) the proportion of FITC-positive cells appeared to be measurably higher than the proportion of PI-positive cells across the 48-h monitoring period, but at higher thermal loads such differences were not so apparent. The implication is that at the lower thermal loads, the progression of cell death via early apoptosis (and internal-to-external lipid exchange in the cell membrane) through to late-

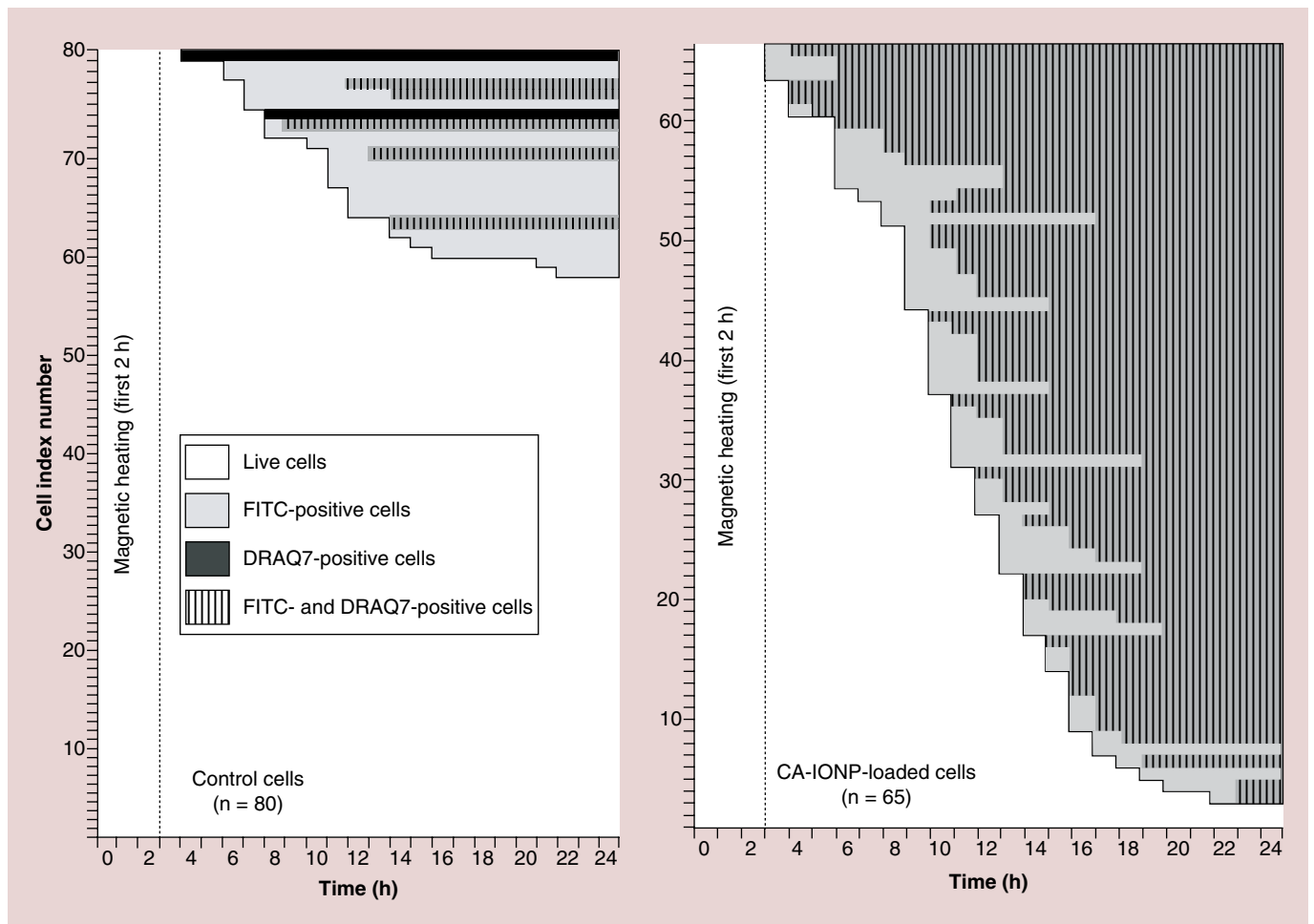


Figure 6. Cell-by-cell real-time tracking of cell death pathways – accumulated mortality curves. Representative mortality curves for control (nonloaded) and CA-IONP-loaded DX3 melanoma cells during and after 2 h of magnetic field hyperthermia treatment (from $t = 0$ to 2 h) with a magnetic field delivering ca. 145 nW/cell of thermal energy. Data acquired by tracking individual cells in an *in situ* magnetic field hyperthermia treatment system. Cells were labeled with Annexin V-FITC and DRAQ7 stains, indicative of early-stage apoptosis and of late-stage apoptosis and/or necrosis, respectively. CA-IONP: Citric acid-coated iron oxide nanoparticle.

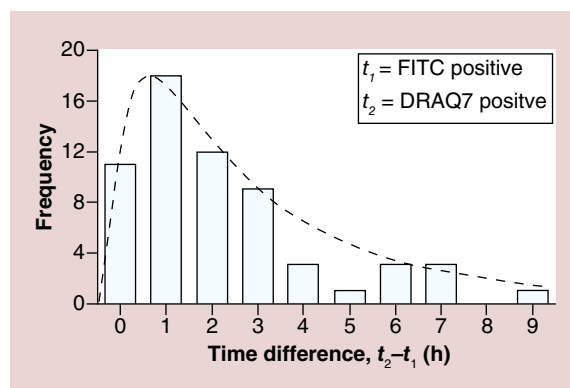


Figure 7. Prolonged cell death instigated by magnetic field hyperthermia treatment of citric acid-coated iron oxide nanoparticle-loaded DX3 melanoma cells. Histogram of the delay between the time of appearance of Annexin V-FITC and DRAQ7 fluorescence biomarkers in citric acid-coated iron oxide nanoparticle-loaded DX3 melanoma cells, after those cells had been subjected to 2 h of magnetic field hyperthermia treatment delivering ca. 145 nW/cell of thermal energy. Data acquired by tracking individual cells in an *in situ* magnetic field hyperthermia treatment system. The dashed line is a phenomenological log-normal distribution fit to the data.

stage apoptosis and/or necrosis (that leaves the nucleus exposed as a result of membrane rupture) is sufficiently prolonged that it is measurable; while at the higher thermal loads the progression is faster, and the events cannot be separated. That said, it should be noted that although the *in vitro* data gives clear evidence for delayed-onset cell death, the evidence as to the cell death pathway is not so well determined, and is largely based on supposition.

For this reason, the *in situ* MFH experiments on DX3 cell monolayers were especially significant, in that they allowed the time-dependent cell death pathways of individual cells to be monitored and recorded. This cell-by-cell monitoring, and the accumulated mortality curves that were derived from observing 80 control cells and 65 magnetically loaded cells, provided definitive evidence that the sequence of cell death was indeed as had been surmised previously, with membrane lipid exchange preceding the exposure of the cell nucleus by a matter of some hours.

However, before discussing the implications of these results, we should consider the differences observed between the *in vitro* and *in situ* experiments, and their origins. The DX3 human melanoma cell line we used is an adherent cell line that grows best under plated conditions with controlled nutrients and CO₂ levels. As such, when the DX3 cells are removed from their culture plates and placed as a cell suspension in an Eppendorf tube for the *in vitro* experiments, they are

likely to be in a stressed state, and therefore more susceptible to induced cell death following MFH treatment. In this context it is therefore rather unexpected to note that in the *in situ* experiment, where the cells were in their adherent state, and where they were subjected to a relatively low thermal load of 145 nW/cell, the level of DRAQ7-indicated cell death in both the control and loaded cells (7/80 and 61/65 after 24 h) was significantly higher than in the corresponding *in vitro* experiments.

One possible explanation for this is that there may have been nonspecific environmental heating in the culture plate medium caused by the proximity of the pancake-coil MACH system, which was operating at a peak current of 80 A. We consider this to be unlikely however, as the proximity of the cells to the coils in the two systems (ca. 5 mm to the pancake coil, ca. 8 mm to the solenoid, at closest approach) was similar, and no nonspecific heating had been seen in the *in vitro* experiment at comparable currents. Also, the live-cell imaging microscope was placed in a thermally regulated 37°C chamber, which should have mitigated any such effects.

Another possibility is related to the continuous exposure to the Annexin V-FITC and DRAQ7 fluorescent imaging dyes that the cell cultures were subjected to *in situ*, as compared with the just-prior-to-measurement addition of the dyes *in vitro*. It is well known that excited fluorophores generate radical oxygen species (ROS), which can react with cell components such as proteins or nucleic acids, leading to delayed-onset phototoxicity [35,36]. This effect would be present *in situ*, but not *in vitro* (where the record of any fluorophore activation was imaged at the same time as any corresponding ROS production). We saw some evidence that such effects were important when we used the automatic focusing feature and ‘z-stacking’ on the *in situ* imaging camera to find the best focal plane at each timepoint, and observed substantial cell death. Subsequently, when we undertook bright-field manual focusing under low-level illumination at each timepoint, and used a narrow-band filter to limit the radiation exposure of the cells, we observed significantly reduced mortality rates in both the control and loaded cells. That said, ROS-mediated phototoxicity relies on the fluorophores being activated, which requires that there be dead or dying cells in the culture to begin with. In the control experiments, this was not expected to be the case.

A third explanation is that our expectation that the *in situ* adherent cells should be in a less stressed, and therefore more resilient state compared with the *in vitro* nonadherent cells is not correct. This is certainly possible, as the cellular metabolism may well be different between the two environments. It is also

possible that the effect of the MFH treatment on the DX3 cells may be different for a cell monolayer compared with a cell suspension, as in the latter case there might be more opportunities for the cells to dissipate heat by, for example, transforming the heat energy into mechanical energy via increased Brownian motion.

Consequently, in our estimation the observed differences in cell viability *in situ* versus *in vitro* are most likely to be due to intrinsic differences in the cell metabolism and/or MFH response characteristics in the experiments, possibly coupled to delayed-onset ROS-mediated phototoxicity effects that would only be observable *in situ*. Despite this, we believe it is still valid and reliable to compare the results of the two experiments to understand better the effect of the MFH treatment on the cell death pathway.

Thus, returning to the *in situ* data, it is clear that the MFH treatment had a substantial effect, as evidenced by the near-total cell mortality it elicited, and also that it was definitively a delayed-onset effect, with a smooth progression of cell death proceeding over the 24-h period of the experiment. Furthermore, in all cases the Annexin V-FITC dye was activated at a given cell's membrane at the same time as, or before, the DRAQ7 dye was activated at the same cell's nucleus. These are significant observations indicating that controlled apoptosis-based rather than necrotic cell-death pathways may be achievable *in vivo*.

Another key observation relates to the evolution of the cell-by-cell responses observed in the *in situ* data, where the fluorescently activated cells appear one by one at randomly distributed sites on the plate. It is striking that there is no evidence here for any cooperative or intercellular effects. Indeed, given an expectation that there would be environmental (extracellular) heating effects associated with the MFH treatment, one would have expected to see the fluorescence progressively spreading out from a given cell to its neighbors and beyond, in a sequential manner. Instead, it very much appears to be a stochastic, individual cell effect. This leads to the consideration that these are cell-specific events, directly relating to the presence in a given cell of internalized magnetic nanoparticles, and the associated generation, under MFH treatment, of internalized heat.

This is very important for potential *in vivo* applications, where research teams around the world have been exploring strategies for surface-functionalising magnetic nanoparticles with targeting moieties such as antibodies, antibody fragments, proteins and peptides, with the objective of achieving indication-specific uptake in certain cells (e.g., cancer cells) only, while precluding uptake into healthy cells. This strategy has distinct benefits regarding the ease of administration (e.g., intersti-

tial or intravenous injection) and the potential limiting of side effects, but previously there had been little real evidence to support the proposition that MFH treatment could be effective at the level of an individual cell. Indeed, theoretical work has been presented that has precluded the possibility of this being a viable strategy, on the basis that the heat generated in a single cell would not be enough to generate even a localized environmental heating of sufficient magnitude to bring about cell death [37]. It is therefore highly significant to have been able to gather direct evidence for intracellular effects resulting from the internalized generation of heat.

Conclusion

A series of controlled *in vitro* and *in situ* magnetic field hyperthermia treatment experiments have been performed on DX3 human melanoma cell suspensions and monolayers. The effect of intracellular heating – achieved by loading the cells with nontoxic concentrations of citric acid-coated iron oxide nanoparticles and subjecting them to a time-varying external magnetic field – has been studied with respect to the cell death pathways that might result from the treatment.

Conclusive evidence has been gathered that indicates: (i) that delayed-onset cell death may be achieved, with data showing that after a 2-h MFH treatment, mortality events were still taking place up to 24 and 48 h later; (ii) that the extent and time-course of the cell death over a population of cells can be proportionately controlled by varying the level of the administered thermal load, with higher loads eliciting faster and more complete cell mortalities; (iii) that at moderate thermal loads, cell death proceeded in an orderly fashion, with membranal internal-to-external lipid exchange preceding rupture and death by a few hours, with the timeline varying from cell to cell; and (iv) that the cell death was due to intracellular heating and did not depend on any heating effects in the local environment. Together these observations provide a body of evidence in support of the proposition that intracellular heating may be a viable means of achieving a controllable, nonaggressive, *in vivo* treatment modality for human pathological conditions such as cancer.

Looking ahead, it is clear that a great deal more work needs to be done to explore in detail the cellular nature of magnetic hyperthermia. To this end, and in the interest of encouraging the sharing of tangible data that will allow cross-comparisons to be made between different experimental systems and designs, we recommend to our fellow researchers the adoption of three metrics that we have found to be useful here. These are: (i) the mean magnetic nanoparticle loading per cell (m_{cell} , in pg/cell) and the corresponding mean thermal load per cell (P ,

in nW/cell); (ii) the cell-by-cell accumulated mortality curve as obtained from *in situ* experiments, with the associated timepoint at which 50% of the exposed cells had died (t_{LD50} in hours); and (iii) the cell death pathway histogram of time delay between early apoptosis and late-stage apoptosis or necrosis, as obtained from *in situ* experiments with appropriate fluorescent biomarkers. It is hoped that by adopting a standard practice around making measurements such as these, a definitive assessment protocol may be developed that could amount to a dose–response characteristic for future magnetic field hyperthermia treatments.

Supplementary data

To view the supplementary data that accompany this paper, please visit the journal website at: www.futuremedicine.com/doi/full/10.2217/NNM.15.185

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No writing assistance was utilized in the production of this manuscript.

Ethical conduct of research

The authors state that they have obtained appropriate institutional review board approval or have followed the principles

Executive summary

Objective

- To study the real-time cell death pathways in a model system both during and after its exposure to a time-varying magnetic field, and thereby draw conclusions regarding the dose–response characteristics and the primary mode of action of the treatment.

Methods

- Citric acid-coated iron oxides nanoparticles (core diameter 17 nm) were synthesised and loaded into human DX3 melanoma cells at concentrations of 210–400 pg per cell.
- *In vitro* and *in situ* experiments were performed on cell suspensions and monolayers, respectively, wherein the cells received 2 h of magnetic hyperthermia treatment, delivering a thermal load per cell that ranged from 35–390 nW/cell.
- Real-time monitoring (before, during and up to 48 h after the treatment) of the cell death pathways was undertaken via flow cytometry (for the suspensions) and fluorescence microscopy (for the monolayers), using fluorophores to report on early-stage apoptosis and on late-stage apoptosis and/or necrosis.

Results

- Magnetic hyperthermia caused delayed onset cell death, the rate and extent of which was proportional to the thermal load. Under certain conditions, mortality events were found to be still taking place even at 48 h after the treatment.
- At moderate loads (145 nW/cell), membranal internal-to-external lipid exchange preceded rupture and death by a few hours, with the timeline varying from cell to cell. At these loads, no heating effects were measured in the local environments of the cells.
- Analysis of the *in situ* fluorescence microscopy data provided an accumulated, cell-by-cell mortality curve, from which an estimate of the timepoint at which 50% of the exposed cells had died was obtained ($t_{LD50} \approx 11$ h, for a thermal load of 145 nW/cell).

Conclusion

- It is important to consider the delayed-onset cell death pathways that are instigated by magnetic hyperthermia, and recognize that effects may not be fully evaluable until many hours after the treatment itself ends.
- Nonaggressive, targeted cell death is achievable via intracellular heating, with the advantage that a measurable dose–response characteristic may be determined, and a primary mode of action ascertained. As such, magnetic hyperthermia has a real prospect of being usable in future *in vivo* human treatments.

outlined in the Declaration of Helsinki for all human or animal experimental investigations. In addition, for investigations involving human subjects, informed consent has been obtained from the participants involved.

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