

A reduced-order model for dynamic simulation of district heating networks

Citation for published version (APA):

Jiang, M., Speetjens, M. F. M., Rindt, C., & Smeulders, D. (2022). A reduced-order model for dynamic simulation of district heating networks. *arXiv*, *2022*, Article 2211.14119. https://doi.org/10.48550/arXiv.2211.14119

DOI:

10.48550/arXiv.2211.14119

Document status and date:

Published: 25/11/2022

Document Version:

Publisher's PDF, also known as Version of Record (includes final page, issue and volume numbers)

Please check the document version of this publication:

- A submitted manuscript is the version of the article upon submission and before peer-review. There can be important differences between the submitted version and the official published version of record. People interested in the research are advised to contact the author for the final version of the publication, or visit the DOI to the publisher's website.
- The final author version and the galley proof are versions of the publication after peer review.
- The final published version features the final layout of the paper including the volume, issue and page numbers.

Link to publication

General rights

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- · Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
- You may not further distribute the material or use it for any profit-making activity or commercial gain
 You may freely distribute the URL identifying the publication in the public portal.

If the publication is distributed under the terms of Article 25fa of the Dutch Copyright Act, indicated by the "Taverne" license above, please follow below link for the End User Agreement:

www.tue.nl/taverne

Take down policy

If you believe that this document breaches copyright please contact us at:

openaccess@tue.nl

providing details and we will investigate your claim.

Download date: 05. Oct. 2023

A data-based reduced-order model for dynamic simulation and control of district-heating networks

Mengting Jiang*, Michel Speetjens, Camilo Rindt, David Smeulders

Eindhoven University of Technology, Mechanical Engineering Department

Abstract

This study concerns the development of a data-based compact model for the prediction of the fluid temperature evolution in district heating (DH) pipeline networks. This so-called "reducedorder model" (ROM) is obtained from reduction of the conservation law for energy for each pipe segment to a semi-analytical input-output relation between the pipe outlet temperature and the pipe inlet and ground temperatures that can be identified from training data. The ROM basically is valid for generic pipe configurations involving 3D unsteady heat transfer and 3D steady flow as long as heat-transfer mechanisms are linearly dependent on the temperature field. Moreover, the training data can be generated by physics-based computational "full-order" models (FOMs) yet also by (calibration) experiments or field measurements. Performance tests using computational training data for single 1D pipe configuration demonstrate that the ROM (i) can be successfully identified and (ii) can accurately describe the response of the outlet temperature to arbitrary input profiles for inlet and ground temperatures. Application of the ROM to two case studies, i.e. fast simulation of a small DH network and design of a controller for user-defined temperature regulation of a DH system, demonstrate its predictive ability and efficiency also for realistic systems. Dedicated cost analyses further reveal that the ROM may significantly reduce the computational costs compared to FOMs by (up to) orders of magnitude for higher-dimensional pipe configurations. These findings advance the proposed ROM as a robust and efficient simulation tool for practical DH systems with a far greater predictive ability than existing compact models.

Keywords: District Heating Network, Reduced-Order Model, Input-output relation, linear time-invariant system

1. Introduction

Studies from the International Energy Agency (IEA) show that domestic energy demand mainly concerns heating of buildings and, since this is primarily satisfied by fossil fuels, contributes by more than 40% to the global energy-related carbon dioxide (CO_2) emissions [1]. This energy demand is predicted to only increase in the future [2]. Thus developing more sustainable ways for heating of the built environment is critical to mitigate environmental issues such as e.g. global warming and pollution caused by using fossil fuels.

District-heating (DH) systems distributing thermal energy extracted from geothermal reservoirs via water flows in pipeline networks is a promising solution for the sustainable heating and cooling of buildings. The study by [3] in fact shows that DH systems can achieve the same reduction in primary energy demand and associated CO_2 emissions at a lower (economical) cost compared with other alternatives such as electrification. However, the market share of DH systems has in most countries nonetheless remained low mainly due to its inferior level of thermal comfort compared to other technologies [4]. This is largely caused by (i) the centralized and inflexible nature of conventional DH systems due to their reliance on a single energy source with fixed

Email address: m.jiang1@tue.nl (Mengting Jiang)

^{*}Corresponding author

supply temperatures and (ii) the only limited control users have on the heat supply. Future DH systems should offer much greater thermal comfort by facilitating dynamic energy demands and supply temperatures. Future DH systems must therefore enable active interaction between users and the network by making them, besides energy consumers, also energy producers, i.e. so-called "prosumers" [5]. Future DH systems must furthermore allow for decentralized energy supply from multiple and intermittent energy sources (including energy storage) as well as integration in combined heat and power networks [5–7]. Crucial to these ends is flexible and pro-active operation and control of DH systems based on feedback and input from consumers [8].

A first step towards pro-active operation and control of DH systems exists in so-called "optimal scheduling", that is, determining an optimal interaction scheme between the various components in the (integrated) energy network on the basis of anticipated energy supplies and demands over a given period of time [9–12]. This is in essence open-loop control by running the DH network according to an a priori determined procedure without adjustment or intervention during its actual operation [13]. Thus optimal scheduling is incapable of responding to unforeseen behaviour and disturbances. True pro-active operation of the DH network, accounting for both expected and unforeseen events, requires closed-loop control by regulating the system components and their interactions on the basis of feedback on the actual system behaviour during operation. Suitable control strategies for this purpose – finding widespread and successful application in the intimately related field of process control in industry – include the conventional PID controllers and advanced methods as Model Predictive Control (MPC) [13–15].

Essential for both open-loop and closed-loop control of DH networks is a computational model that is compact, efficient and fast and at the same time sufficiently accurate to capture the relevant dynamics. (A key factor in the latter is thermal inertia of the DH network stemming (mainly) from the pipelines, buildings and working fluid [9, 11].) Various optimization algorithms for optimal scheduling are e.g. readily available in the literature [4, 9–12]; efficient and adequate models are typically the main challenge [7]. Such models are also critical for proper design and fine-tuning of e.g. PID controllers ("controller synthesis") [13]. Real-time control by MPC, arguably, is most critically dependent on an efficient model, since this control strategy relies on repeated predictions of future behaviour from feedback on the intermediate state of the system and subsequent determination of a control action at much faster times scales than the system dynamics [14, 15].

The most detailed and accurate computational models for DH networks result from spatial discretization of the conservation laws for flow and heat transfer in the system components by e.g. finite-element or finite-volume methods [16]. However, such models are computationally expensive and thus typically ill-suited for the above control purposes and basically disqualify for advanced closed-loop control (by e.g. MPC) [17–20]. This motivated the development of a range of compact models for DH systems and then mostly pipe models for the integration in network models.

The "node method" originally by [21] is the most common approach and estimates the pipe outlet temperature from the inlet temperature by accounting for the travel time of fluid parcels within the pipe as well as thermal inertia/loss of/via the pipe wall [17, 18, 22]. The "characteristic method" by [20] considers the actual unsteady 1D energy balance relative to the flow and is more accurate than the node method. However, the necessary discretization of the flow region renders this method computationally more expensive. The "function method" by [22] seeks to unite the advantages of node and characteristic methods by relating inlet and outlet temperatures through an analytical function deriving from coupled unsteady 1D energy balances for fluid and pipe wall. The aggregated model by [23] is a compact network model that reduces the DH system to an equivalent simpler network of pipe segments each described by an integral quasi-steady energy balance including finite travel time and thermal loss (yet without thermal inertia).

The principal shortcoming of existing compact models as those reviewed above is their reliance on (oversimplified) energy balances and the resulting limited predictive ability. The main objective of the present study is development of a compact pipe model that offers far greater physical validity at comparable computational cost. This to a certain extent expands on the function method by [22] by generalizing the analytical relation between inlet and outlet temperatures to more complex pipe configurations involving 3D unsteady heat transfer and 3D steady flow. The proposed model is to be founded on input-output relations for generic linear dynamical systems and thus basically

the only condition for its validity is heat-transfer mechanisms being linearly dependent on the temperature field. Moreover, the proposed model can be identified entirely from data generated by physics-based computational models yet also by (calibration) experiments or field measurements.

The paper is organized as follows. Section 2 defines the pipe configuration and the corresponding computational model, denoted "full-order model" (FOM) hereafter, to simulate its thermal behaviour. The compact model, denoted "reduced-order model" (ROM) hereafter, and its identification from so-called "training data" (generated by the FOM) is discussed in Section 3. Performance and validation of the ROM are investigated in Section 4. Application of the ROM for the simulation and control of DH systems is demonstrated in Section 5 including an analysis of computational costs. Conclusions and future work are discussed in Section 6.

2. Problem definition and full-order model (FOM)

2.1. System configuration and governing equations

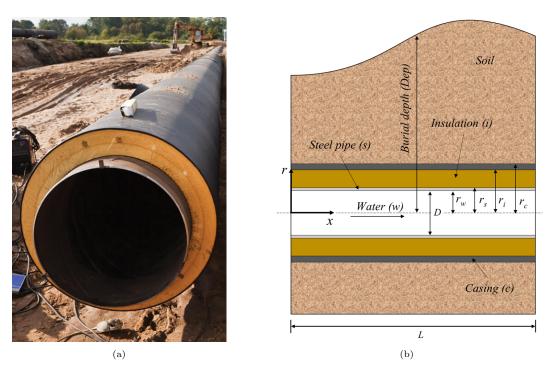


Figure 1: Typical underground insulated DH pipe: (a) pipe before installation; (b) schematic of installed configuration. Panel (a): https://www.bcc-extrusion.com/applications/pipe/po-pipes/district-heating-pipes.html.

The problem of interest is the transfer and distribution of heat via the flow of water through DH networks of underground insulated pipes as shown in Figure 1a. Each pipe segment of length L has a configuration following the vertical cross-section in Figure 1b and consists of a steel pipe with inner and outer radius r_w and r_s , respectively, covered by a cylindrical insulation of outer radius r_i and a cylindrical casing of outer radius r_c buried in the soil at depth Dep. Modeling of the thermo-hydraulic behavior in such a pipe segment is done by the model proposed by Ref. [17] and is based on the following assumptions:

- the system is in a quasi-dynamic state, i.e. the flow and heat transfer are steady and unsteady, respectively;
- the fluid (i.e. water) is incompressible and has constant thermo-physical properties;
- the flow is uniform in both radial (r) and axial (x) directions;

• the heat transfer in flow and solid regions is quasi-2D, i.e. the temperature field exhibits full spatial variation in axial (x) direction and is component-wise uniform in radial (r) direction.

These conditions reduce the fluid motion to a uniform axial flow of magnitude u. The thermal behavior in the pipe configuration in Figure 1b is described in terms of the temperature as a function of axial coordinate x and time t, i.e. T = T(x,t), which is governed by the following energy balances subject to the above assumptions:

$$\frac{\partial T^w}{\partial t} + u \frac{\partial T^w}{\partial x} = \alpha^w \frac{\partial^2 T^w}{\partial x^2} + \frac{1}{R_w V_w \rho_w C_n} (T^s - T^w), \tag{1}$$

$$\frac{\partial T^s}{\partial t} = \alpha^s \frac{\partial^2 T^s}{\partial x^2} + \frac{1}{R_{ws} V_s \rho_s C_{p_s}} (T^w - T^s) - \frac{1}{R_{si} V_s \rho_s C_{p_s}} (T^s - T^i), \tag{2}$$

$$\frac{\partial T^i}{\partial t} = \alpha^i \frac{\partial^2 T^i}{\partial x^2} + \frac{1}{R_{si} V_i \rho_i C_{p_i}} (T^s - T^i) - \frac{1}{R_{ic} V_i \rho_i C_{p_i}} (T^i - T^c), \tag{3}$$

$$\frac{\partial T^c}{\partial t} = \alpha^c \frac{\partial^2 T^c}{\partial x^2} + \frac{1}{R_{ic} V_c \rho_c C_{p_c}} (T^i - T^c) - \frac{1}{R_{cg} V_c \rho_c C_{p_c}} (T^c - T_g), \tag{4}$$

where superscripts for temperature T refer to the different subregions of the system, i.e. water ("w"), steel pipe ("s"), insulation ("i") and casing ("c"), and T_g corresponds with the uniform ground temperature. The set of PDEs (1)-(4) is subject to adiabatic boundary conditions for $T^{w,s,i,c}$, a Dirichlet boundary condition for T_w and uniform initial conditions following

$$\frac{\partial T^{s,i,c}(x,t)}{\partial x}|_{x=0}, \qquad T^{w}(0,t) = T_{in}(t), \qquad T^{w,s,i,c}(x,0) = T_{0}, \tag{5}$$

with T_{in} the (variable) inlet temperature of the fluid.

The trailing term on the LHS of (1) describes axial heat transfer by convection due to the uniform flow u and the leading terms on the RHS of (1)-(4) describes axial heat transfer by conduction parameterized by thermal diffusivity α of the respective subregions. The remaining terms on the RHS of (1)-(4) describe the radial heat transfer between the subregions, where (V, ρ, C_p) are the volume, density and heat capacity of each element, respectively, and R define thermal resistances between adjacent regions according to the subscript (e.g. R_{ws} for the heat exchange between water and steel pipe). The thermal resistances are given by:

$$R_{ws} = \frac{1}{2\pi r_w L h_w} + \frac{\ln\left((r_w + r_{ws})/r_w\right)}{2\pi L k_s}, \qquad R_{si} = \frac{\ln\left(r_s/(r_s - r_{ws})\right)}{2\pi L k_s} + \frac{\ln\left((r_s + r_{si})/r_s\right)}{2\pi L k_i}, \quad (6)$$

$$R_{ic} = \frac{\ln(r_i/(r_i - r_{si}))}{2\pi L k_i} + \frac{\ln((r_i + r_{ic})/r_i)}{2\pi L k_c}, \qquad R_{cg} = \frac{\ln(r_c/(r_c - r_{ic}))}{2\pi L k_c} + R_{soil}, \quad (7)$$

with $r_{ws} = (r_s - r_w)/2$, $r_{si} = (r_i - r_s)/2$ and $r_{ic} = (r_c - r_i)/2$, where h_w is the convective heat-transfer coefficient for the flow, k is the thermal conductivity of each element and R_{soil} is the thermal resistance of the soil. Coefficient h_w is computed from the Nusselt relation [24, 25]

$$Nu = \frac{h_w D}{k_w} = \frac{(f/8) (Re - 1000) P_r}{1 + 12.7 \sqrt{(f/8) \left(Pr^{\frac{2}{3}} - 1\right)}}, \qquad \frac{1}{\sqrt{f}} = -2log_{10} \left(\frac{(\varepsilon/D)}{3.7} + \frac{2.51}{Re} \frac{1}{\sqrt{f}}\right), \tag{8}$$

describing the Nusselt number Nu as a function of the Darcy-Weisbach friction factor f, defined implicitly by the right relation in (8), the Reynolds number $Re = \rho_w u D/\mu_w$ and the Prandtl number $Pr = C_{p_w} \mu_w/k_w$, with ε the pipe roughness, D the pipe diameter and μ_w the dynamic viscosity of the fluid. The thermal resistance of the soil is evaluated via relation [26]

$$R_{soil} = \frac{ln\left(Dep/r_c + \sqrt{(Dep/r_c)^2 - 1}\right)}{2\pi Lk_s},\tag{9}$$

with Dep the burial depth of the pipe (relative to the centerline).

2.2. Full-order model (FOM)

The FOM is built from spatio-temporal discretization of PDEs (1)-(4) using conventional finite-difference methods for space and time [27, 28]. To this end the pipe is partitioned into cells of length $\Delta x = L/(N_x - 1)$ and separated by nodes $x_i = (i-1)\Delta x$, with $1 \le i \le N_x$ and $N_x \ge 2$ the number of nodes, following Figure 2 for discretization in space with a first-order upwind scheme for the convection term and a central-difference scheme for the conduction terms. The corresponding time evolution is discretized with an explicit first-order Euler scheme using a finite time step Δt and discrete time levels $t_j = j\Delta t$, with $j \ge 0$. This results in a FOM that propagates the temperature of the subregions at position x_i from time level t_j to time level t_{j+1} via

$$T_{i,j+1}^{w} = T_{i,j}^{w} + \Delta t \left[\alpha^{w} \frac{T_{i+1,j}^{w} - 2T_{i,j}^{w} + T_{i-1,j}^{w}}{\Delta x^{2}} - u_{j} \frac{T_{i,j}^{w} - T_{i-1,j}^{w}}{\Delta x} - \frac{\Delta x (T_{i,j}^{w} - T_{i,j}^{s})}{R_{ws} V_{w} \rho_{w} C_{p_{w}} L} \right], \quad (10)$$

$$T_{i,j+1}^{s} = T_{i,j}^{s} + \Delta t \left[\alpha^{s} \frac{T_{i+1,j}^{s} - 2T_{i,j}^{s} + T_{i-1,j}^{s}}{\Delta x^{2}} + \frac{\Delta x (T_{i,j}^{w} - T_{i,j}^{s})}{R_{ws} V_{s} \rho_{s} C_{p_{s}} L} - \frac{\Delta x (T_{i,j}^{s} - T_{i,j}^{i})}{R_{si} V_{s} \rho_{s} C_{p_{s}} L} \right], \quad (11)$$

$$T_{i,j+1}^{i} = T_{i,j}^{i} + \Delta t \left[\alpha^{i} \frac{T_{i+1,j}^{i} - 2T_{i,j}^{i} + T_{i-1,j}^{i}}{\Delta x^{2}} + \frac{\Delta x (T_{i,j}^{s} - T_{i,j}^{i})}{R_{si} V_{i} \rho_{i} C_{p_{i}} L} - \frac{\Delta x (T_{i,j}^{i} - T_{i,j}^{c})}{R_{ic} V_{i} \rho_{i} C_{p_{i}} L} \right], \quad (12)$$

$$T_{i,j+1}^{c} = T_{i,j}^{c} + \Delta t \left[\alpha^{c} \frac{T_{i+1,j}^{c} - 2T_{i,j}^{c} + T_{i-1,j}^{c}}{\Delta x^{2}} + \frac{\Delta x (T_{i,j}^{i} - T_{i,j}^{c})}{R_{ic} V_{c} \rho_{c} C_{p_{c}} L} - \frac{\Delta x (T_{i,j}^{c} - T_{g})}{R_{cg} V_{c} \rho_{c} C_{p_{c}} L} \right], \quad (13)$$

subject to the discrete counterparts of conditions (5), i.e.

$$T_{2,j}^{s,i,c} - T_{1,j}^{s,i,c} = 0, T_{1,j}^w = T_i n(t_j), T_{i,j}^{w,s,i,c} = T_0,$$
 (14)

where $T_{i,j}^{w,s,i,c} = T^{w,s,i,c}(x_i, t_j)$.

An important computational advantage of the explicit time discretisation is that this decouples the temperature evolutions by enabling evaluation of the unknown temperature $T_{i,j+1}^{w,s,i,c}$ in each position x_i and subregion from the individual relations (10)-(13) using the fully known temperature distribution $T^{w,s,i,c}$ at time level t_j . This admits a very efficient propagation in time even for variable flow and/or thermo-physical properties. Disadvantage of this approach is a conditional numerical stability, resulting in the following stability criterion for the time step [28]:

$$\Delta t \le \Delta t_{max}, \qquad \Delta t_{max} = \frac{1}{u/\Delta x + 2\alpha_{min}/\Delta x^2}.$$
 (15)

with $\alpha_{min} = \min \alpha^{w,s,i,c}$.

The FOM (10)-(13) can be collected into a matrix-vector relation for the entire system, i.e.

$$T_{j+1} = T_j + \Delta t \left(AT_j + T_{in}b_1 + T_g b_2 \right), \tag{16}$$

with column vector

$$\boldsymbol{T}_{j} = \begin{bmatrix} T_{1,j}^{w}, \dots, T_{N_{x},j}^{w} & \cdots & T^{i}(x_{N_{x}}, t_{j}), \dots, T^{c}(x_{N_{x}}, t_{j}) \end{bmatrix}^{\dagger}, \tag{17}$$

representing the global state (i.e. the temperatures of all subregions in all nodes) at time level t_j (symbol † indicates transpose). Here system matrix \boldsymbol{A} captures the spatially-dependent terms in (10)-(13) and system vectors $\boldsymbol{b}_{1,2}$ capture the impact of the inlet condition T_{in} and the soil temperature T_g and the global temperature evolution. The global FOM (16) paves the way to the ROM to be developed hereafter due to the fact that it results from temporal discretization of

$$\frac{d\mathbf{T}}{dt} = \mathbf{A}\mathbf{T} + \mathbf{B}\mathbf{g}, \qquad \mathbf{B} = [\mathbf{b}_1 \ \mathbf{b}_2], \qquad \mathbf{g} = [T_{in} \ T_g]^{\dagger}, \tag{18}$$

with the beforementioned explicit Euler scheme. Semi-discrete model (18) namely describes the continuous evolution of state vector (17) in time t, i.e. $\mathbf{T} = \mathbf{T}(t)$, and constitutes a generic "linear

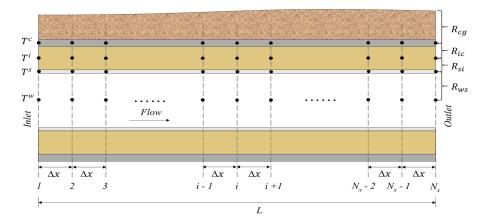


Figure 2: Spatial discretization of the pipe segment shown in Figure 1b by partitioning into N_x cells of length Δx in the stream-wise (x) direction.

time-invariant (LTI) system" from control theory, with \boldsymbol{A} and \boldsymbol{B} the system and input matrices, respectively, and \boldsymbol{g} the input [13]. Thus (18) bridges the gap to methods and concepts from control theory for the development of the ROM. This is elaborated in Section 3.

Spatial discretisation of the (set of) energy balance(s) of more complex pipe configurations involving 3D unsteady heat transfer and 3D steady flow (upon representation of the inlet conditions by a mean T_{in}) yields a semi-discrete model with an identical structure as (18) as long as heat-transfer mechanisms are linearly dependent on the temperature field [16]. This has the important implication that the ROM to be developed in Section 3, though here demonstrated for the 1D pipe configuration according to Section 2, is in fact valid for a much wider range of systems.

3. Reduced-order model (ROM)

The basis for the ROM is an input-output relation associated with the FOM that directly expresses the fluid temperature at the pipe outlet x = L, i.e. $T_{out}(t) = T^w(L,t)$, as a function of the input \boldsymbol{g} of the LTI system (18). Such input-output relations are a common way to model practical systems and processes, because, first, they admit efficient description of the behaviour particularly for linear systems and, second, they often admit identification from data [13]. Both features will be exploited here as well. Reduction of the FOM to an input-output relation is explained in Section 3.1. Construction of a ROM for generic input \boldsymbol{g} and its identification from data is elaborated in Section 3.2 and Section 3.3, respectively.

3.1. Reduction of the FOM to an input-output relation

Consider for illustration of the concept of an input-output relation the simplified system depicted in Figure 3. The integral energy balance of this simplified problem is:

$$mC_{p_w}\frac{dT_m}{dt} = \dot{m}C_{p_w}(T_{in} - T_{out}) + h_w\mathcal{A}(T_g - T_m), \tag{19}$$

with T_m the mean fluid temperature, m the mass content of fluid inside the pipe, \dot{m} the mass flux, T_{in} the temperature at the pipe inlet, T_{out} the temperature at the pipe outlet, T_g the ground (and wall) temperature, h_w the heat-transfer coefficient from pipe wall (area $\mathcal{A} = \pi DL$) to bulk flow.

Assuming $T_{out} = T_m$ as relation between outlet and mean temperature – which, to good approximation, holds for sufficiently high thermal diffusivity – and expressing the temperatures relative to initial temperature T_0 , i.e.

$$\widetilde{T}_{out} = T_{out} - T_0, \quad \widetilde{T}_{in} = T_{in} - T_0, \quad \widetilde{T}_g = T_g - T_0,$$

$$(20)$$

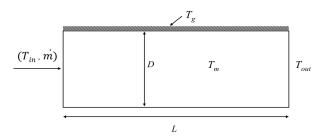


Figure 3: Simplified pipe segment with length L and diameter D for illustration of the concept of an input-output relation between inlet (T_{in}) and outlet (T_{out}) temperatures.

translates (19) into an ODE for the rescaled output \tilde{T}_{out} of the LTI system (18), i.e.

$$\frac{d\widetilde{T}_{out}}{dt} = A\widetilde{T}_{out} + \widetilde{T}_{in}b_1 + \widetilde{T}_gb_2, \quad A = -\frac{\dot{m}C_{p_w} + h_w\mathcal{A}}{mC_{p_w}}, \quad b_1 = \frac{\dot{m}}{m}, \quad b_2 = \frac{h_w\mathcal{A}}{mC_{p_w}}, \quad (21)$$

with initial condition $\widetilde{T}_{out}(0) = \widetilde{T}_0 = 0$. This relation has an analytical solution given by

$$\widetilde{T}_{out}(t) = U(t)\widetilde{T}_{\infty} = \widetilde{T}_{in}F_1(t) + \widetilde{T}_qF_2(t),$$
(22)

where $U(t)=1-\exp(At)$ is the evolution operator that evolves \widetilde{T}_{out} from initial state $\widetilde{T}_{out}(0)=0$ to asymptotic state $\widetilde{T}_{\infty}=-(\widetilde{T}_{in}b_1+\widetilde{T}_gb_2)/A$. (Note that A<0 ensures convergence on \widetilde{T}_{∞} with a characteristic time $\tau=-1/A$.) The second equation in (22) expresses the rescaled output \widetilde{T}_{out} in terms of the rescaled inputs \widetilde{T}_{in} and \widetilde{T}_g and defines the sought-after input-output relation for the simplified system in Figure 3, with

$$F_1(t) = -\frac{b_1 U(t)}{A} = \frac{\dot{m} C_{p_w} \left(1 - \exp(At)\right)}{\dot{m} C_{p_w} + h_w \mathcal{A}}, \quad F_2(t) = -\frac{b_2 U(t)}{A} = \frac{h_w \mathcal{A} \left(1 - \exp(At)\right)}{\dot{m} C_{p_w} + h_w \mathcal{A}}, \tag{23}$$

the transfer functions that describe the response of the system to the input [13].

For the DH pipe segment shown in Figure 1b the situation is more complicated compared to the above simplified problem yet in essence the same input-output relation as (22) follows from the LTI form (18) of the FOM. The latter namely has an analytical solution with a similar structure as (22), i.e.

$$\widetilde{\boldsymbol{T}}(t) = \boldsymbol{U}(t)\widetilde{\boldsymbol{T}}_{\infty} = \widetilde{T}_{in}\boldsymbol{f}_{1}(t) + \widetilde{T}_{a}\boldsymbol{f}_{2}(t), \tag{24}$$

with tildes again indicating temperatures relative to the uniform initial temperature T_0 according to (5). Here the evolution operator and asymptotic state are given by matrix $\boldsymbol{U}(t) = \boldsymbol{I} - e^{(\boldsymbol{A}t)}$ and vector $\tilde{\boldsymbol{T}}_{\infty} = -\boldsymbol{A}^{-1}(\tilde{T}_{in}\boldsymbol{b}_1 + \tilde{T}_q\boldsymbol{b}_2)$, respectively, and vectors

$$f_1(t) = -U(t)A^{-1}b_1, f_2(t) = -U(t)A^{-1}b_2,$$
 (25)

define the corresponding transfer functions between the inputs $(\widetilde{T}_{in}, \widetilde{T}_g)$ and temperature field \widetilde{T} (refer to Appendix A for a detailed derivation). The outlet temperature follows from

$$\widetilde{T}_{out}(t) = \mathbf{C}\widetilde{\mathbf{T}}(t),$$
 (26)

with C the standard output matrix for dynamical systems [13], and readily yields

$$\widetilde{T}_{out}(t) = \widetilde{T}_{in}F_1(t) + \widetilde{T}_gF_2(t), \quad F_1(t) = Cf_1(t), \quad F_2(t) = Cf_2(t),$$
 (27)

with transfer functions $F_{1,2}(t)$, as input-output relation for the pipe segment in Figure 1b. This is indeed the same as input-output relation (22) for the simplified problem. The difference is mainly technical in that the transfer functions are for the latter available in the explicit form (23).

Relation (27) forms the backbone for the ROM to be developed in the remainder of Section 3 and, by deriving from the generic semi-discrete model (18), holds (for reasons given before) for a wide range of systems beyond the 1D pipe configuration of Section 2. The only additional constraint for validity of the resulting ROM is a uniform initial condition T_0 (Appendix A).

3.2. Construction of temperature evolution from sequences of unit step responses

The leading and trailing terms on the RHS of input-output relation (27) describe the response of the output T_{out} to step-wise changes in the inlet temperature by amount T_{in} and the ground temperature by amount T_{in} are respectively, at time t = 0. Linearity of the system admits generalisation of this single-step response to an arbitrary sequence of step-wise changes at arbitrary time levels t_i [13]. Introduce to this end the Heaviside function H(t) [29], i.e.

$$H\left(t\right) = \begin{cases} 0 & t \le 0\\ 1 & t > 0 \end{cases},\tag{28}$$

which allows expression of a sequence of K_1 step-wise changes of the inlet temperature from $\widetilde{T}_{in,j-1}$ to $\widetilde{T}_{in,j}$ at time levels t_j , with $t_0 = 0$, in the functional form

$$\widetilde{T}_{in}(t) = H(t)\widetilde{T}_{in,0} - H(t - t_1)\widetilde{T}_{in,0} + H(t - t_1)\widetilde{T}_{in,1} + \dots = \sum_{j=0}^{K_1} H(t - t_j)\Delta\widetilde{T}_{in,j},$$
(29)

with $\Delta \widetilde{T}_{in,0} = \widetilde{T}_{in,0}$ and $\Delta \widetilde{T}_{in,j} = \widetilde{T}_{in,j} - \widetilde{T}_{in,j-1}$ for $j \geq 1$, and similarly for K_2 step-wise changes of the ground temperature from $\widetilde{T}_{g,j-1}$ to $\widetilde{T}_{g,j}$ at (different) time levels t_j through

$$\widetilde{T}_g(t) = \sum_{j=0}^{K_2} H(t - t_j) \, \Delta \widetilde{T}_{g,j},\tag{30}$$

with $\Delta \widetilde{T}_{g,0} = \widetilde{T}_{g,0}$ and $\Delta \widetilde{T}_{g,j} = \widetilde{T}_{g,j} - \widetilde{T}_{g,j-1}$ for $j \geq 1$. The step-wise changes in inlet and ground temperatures according to (29) and (30) yield via the beforementioned linearity of the input-output relation a temperature response at the pipe outlet following

$$\widetilde{T}_{out}(t) = \sum_{j=0}^{K_1} G_1(t - t_j) \Delta \widetilde{T}_{in,j} + \sum_{j=0}^{K_2} G_2(t - t_j) \Delta \widetilde{T}_{g,j},$$
(31)

where functions

$$G_{1,2}(t-t_i) = H(t-t_i)F_{1,2}(t-t_i), (32)$$

effectively activate the transfer functions $F_{1,2}(t)$ at each time level t_i .

Input-output relation Equation (31) can to good approximation also describe the response to continuous changes in inlet and ground temperatures upon using sufficiently short and constant time intervals $\Delta t_j = t_j - t_{j-1} = \Delta t$. Consider to this end the formal solution for output (26) in case of a generic unsteady input g(t), which is given by the convolutions

$$\widetilde{T}_{out}(t) = \mathbf{C}\widetilde{\mathbf{T}}(t) = \int_0^t \mathbf{Q}(t-\xi)\mathbf{g}(\xi)d\xi = \int_0^t q_1(t-\xi)\widetilde{T}_{in}(\xi)d\xi + \int_0^t q_2(t-\xi)\widetilde{T}_g(\xi)d\xi,$$
(33)

with $\mathbf{Q}(t) = [q_1 \ q_2] = \mathbf{C} \exp(\mathbf{A}t)\mathbf{B}$ and $q_{1,2} = \mathbf{C} \exp(\mathbf{A}t)\mathbf{b}_{1,2}$ [13]. Integration by parts yields

$$\widetilde{T}_{out}(t) = \int_{0}^{t} F_{1}(t-\xi)\widetilde{T}'_{in}(\xi)d\xi + \int_{0}^{t} F_{2}(t-\xi)\widetilde{T}'_{g}(\xi)d\xi
= \int_{0}^{t} G_{1}(t-\xi)\widetilde{T}'_{in}(\xi)d\xi + \int_{0}^{t} G_{2}(t-\xi)\widetilde{T}'_{g}(\xi)d\xi,$$
(34)

where $\widetilde{T}'_{in,g} = d\widetilde{T}_{in,g}/dt$ and using $F_{1,2}(t-\xi) = G_{1,2}(t-\xi)$ due to $t-\xi \geq 0$, and coincides with (31) in the limit of vanishing Δt . Here $q_{1,2}(t)$ relate to the beforementioned transfer functions via $F_{1,2}(t) = \int_0^t q_{1,2}(t-\xi)d\xi$. This demonstrates that (31) indeed admits approximation of the temperature response at the pipe outlet to arbitrary inputs for sufficiently small Δt , which is essential for modelling networks of pipe segments.

3.3. Identification and compact representation of transfer functions

Transfer functions $F_{1,2}$ via (32) form the backbone of the input-output relation (31) and are formally defined by the system matrices of the LTI system (18) according to (25) and (27). However, rather than construction them in this cumbersome way, a more practical alternative exists in identifying $F_{1,2}$ from data. This relies on two special cases for the temperature evolution:

- Case 1: Equation (22) becomes $\widetilde{T}_{out}(t) = F_1(t)$ when $\widetilde{T}_q = 0$ and $\widetilde{T}_{in} = H(t)$.
- Case 2: Equation (22) becomes $\widetilde{T}_{out}(t) = F_2(t)$ when $\widetilde{T}_g = H(t)$ and $\widetilde{T}_{in} = 0$.

The sought-after transfer functions $F_1(t)$ and $F_2(t)$ can thus directly be identified from the two responses as described in Case 1 and Case 2. They define the so-called "unit step responses" (i.e. they correspond with changes in the inputs from zero to unity at t = 0 [13]) and can be determined from both computational and experimental data. Here computational data from simulations by the FOM of Section 2.2 will be employed by the procedure elaborated below. However, it must be stressed that essentially the same approach can be adopted for data obtained by any computational method, laboratory experiments or even field measurements for any pipe configuration governed by laws of physics that admit expression in the generic LTI form (18).

The identification procedure hinges on semi-analytical expression of the transfer functions in a basis of Chebyshev polynomials ϕ_n [30]:

$$F(t) = \sum_{n=0}^{N} \hat{F}_n \phi_n(\theta(t)), \qquad \phi_n(\theta) = \cos(n \arccos \theta), \qquad \theta(t) = 1 - 2e^{-t/\tau}, \tag{35}$$

where $\phi_n(\theta)$ is the n-th order Chebyshev polynomial defined on the spectral space $\theta \in [-1,1]$ and \hat{F}_n is the Chebyshev spectrum. Transformation $\theta(t)$ maps the spectral space onto the physical semi-infinite time interval $t \in [0,\infty)$ and thus ensures that expansion (35) can capture the entire step response from initial state $F_{1,2}(0)$ to asymptotic state $F_{1,2}^{\infty} = \lim_{t \to \infty} F_{1,2}(t) = F_{1,2}|_{\theta=1}$ [30]. Time constant τ is determined via relation $\tau = -t_{ref}/\log\left[(1-\theta_{ref})/2\right]$ using a finite reference time t_{ref} sufficiently far into the transient towards $F_{1,2}^{\infty}$ and $\theta_{ref} < \max \theta = 1$ as corresponding reference in spectral space. Here $\theta_{ref} = \cos(\pi/N)$ for reasons elucidated below and $t_{ref} = \alpha t_{max}$, with $\alpha = 0.9$ and t_{max} the final temperature of the data set. Figure 4 gives the resulting transformation $\theta(t)$ for $t_{max} = 300$ and N = 48, yielding $\tau = 39.5$.

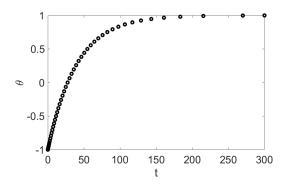


Figure 4: Mapping $\theta(t)$ following (35) between spectral space $-1 \le \theta \le 1$ and physical time $t \ge 0$ shown in interval $0 \le t \le 300$ for $t_{max} = 300$ and N = 48.

Representing the transfer functions in orthogonal polynomials, here Chebyshev polynomials according to Equation (35), has important advantages. First, these expansions exhibit so-called "spectral convergence" and thus enable accurate description of $F_1(t)$ and $F_2(t)$ at any time t by Chebyshev expansion (35) with an order N that is substantially lower than a standard expansion based on e.g. piece-wise linear interpolation [30]. The spectral approach thus effectively yields a reduced-order model of the input-output relation compared to a conventional representation and

thereby contributes to a further reduction of the model size. Second, for given Chebyshev spectrum \hat{F}_n , expansions (35) constitute global semi-analytical expressions in terms of basic trigonometric functions and thus admit evaluation of function values at arbitrary time levels t as well as easy incorporation in larger system models and exact performance of mathematical operations such as differentiation and integration for e.g. control purposes. This approach thereby provides a compact and mathematically sound way to describe the input-output relation in Equation (31).

The Chebyshev spectrum \hat{F}_n in (35) is determined via the discrete Chebyshev transform

$$\hat{F}_n = \frac{1}{\gamma_n} \sum_{k=0}^N F(\theta_k) \phi_n(\theta_k) w_k, \quad \gamma_n = \begin{cases} \pi & n = 0, N \\ \frac{\pi}{2} & 1 \le n \le N - 1 \end{cases},$$
 (36)

with $\theta_k \in [-1, 1]$ and w_k the quadrature points and weights, respectively, given by

$$\theta_k = \cos\frac{\pi k}{N}, \quad w_k = \begin{cases} \frac{\pi}{2N} & k = 0, N\\ \frac{\pi}{N} & 1 \le k \le N - 1 \end{cases}$$
 (37)

according to the Chebyshev-Gauss-Lobatto procedure [30]. The function values $F(\theta_k)$ required for Chebyshev transform (36) constitute the training data for expansion (35) and are determined from interpolation of FOM training data of Case 1 and Case 2 defined before on the discrete time levels $t_k = -\tau \log \left[(1 - \theta_k)/2 \right]$ corresponding with transformation $\theta(t)$ in (35). Note that reference $\theta_{ref} = \cos(\pi/N)$ for the evaluation of time constant τ coincides with the first internal point θ_1 relative to interval boundary $\theta_0 = 1$.

4. Performance and validation of the ROM

4.1. Experimental validation of the FOM

The performance of the FOM described in Section 2.2 is validated by using experimental data from [31]. To this end they realized an experimental set-up consisting of a steel pipe of length L=39m, an inner diameter of D=52.48mm and a thickness of 3.91mm, yielding $r_w=26.24mm$ and $r_s = 30.15mm$ for the corresponding radii in Figure 1b. The pipe is insulated with Tubolit 60/13 (thickness 13mm) to mimic the insulation layer of DH pipes and has a radius of $r_i =$ 43.15mm. The casing layer shown in Figure 1b is not included in the set-up, however, and the corresponding energy balance Equation (4) is therefore eliminated from the numerical model and temperature T_c in the trailing term in (3) is substituted by the ambient temperature T_q . Another essential difference between real DH pipes and the one used in the experimental set-up is that DH pipes are buried underground while the insulated pipe in the set-up is exposed to ambient air. However, these differences between actual DH pipes and the experimental configuration are non-essential, since the overall system behavior remains qualitatively the same, i.e. convective heat exchange between water and inner pipe wall, conduction through multiple solid layers and convective heat exchange between the outer layer and the environment. Hence the experimental set-up is well-suited for validation of the FOM (refer to [31] for further details on this set-up and the corresponding data). Table 1 gives the relevant model parameters for the experimental validation of the FOM.

Table 1: Model parameters for experimental validation of the FOM.

Element	$\rho \ (kg/m^3)$	$C_p(J/(kgK)$	k(W/(mK)
Steel pipe	7800	480	45
Tubolit $60/13$	25	2450.7	0.04

A mesh-dependence analysis shows that a grid size of $\Delta x = 0.6m$ is required for accurate results; the corresponding time step $\Delta t = \Delta t_{max}$ is determined according to stability criterion

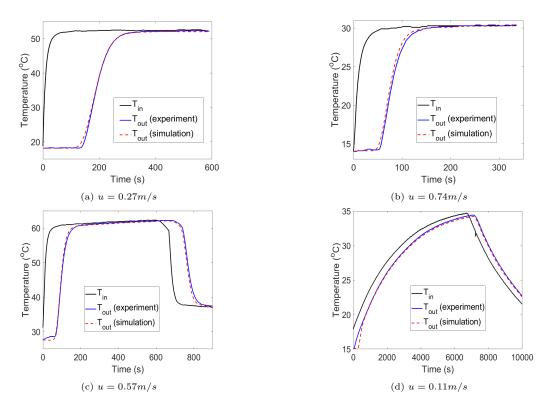


Figure 5: Evolution of the outlet temperature T_{out} for given inlet temperature T_{in} according to laboratory experiments from [31] versus FOM simulations for flow velocities u as indicated.

(15). Comparison of the outlet temperature T_{out} of the experiments versus simulations for given inlet profile T_{in} at flow velocities ranging from u = 0.11m/s to u = 0.74m/s is shown in Figure 5. This reveals a close agreement between measured and predicted response T_{out} to both the step-like changes of T_{in} in Figure 5a–5c and the gradual change of T_{in} in Figure 5d. This demonstrates that the FOM admits sufficiently accurate prediction of the temperature distribution in DH networks to provide reliable training data for the ROM.

4.2. Identification of the transfer functions from training data

The transfer functions $F_1(t)$ and $F_2(t)$ are identified from the unit step responses according to Section 3.3 as simulated with the FOM for a DH pipe segment following Figure 1b with length L=100m, nominal diameter D=25mm (DN25) and velocity u=1.5m/s. Further dimensions and properties of the insulated pipe are in accordance with the Logstor standard¹ and yield the relevant model parameters for the identification of the transfer functions from FOM data shown in Table 2. These parameter values are used for all the test cases in Section 4.2 and Section 4.3 unless stated otherwise.

Figure 6 gives the transfer functions F_1 and F_2 determined from FOM data (red curves) by the procedure following cases 1 and 2 in Section 3.2. The blue stars indicate the discrete time levels t_k for generation of the ROM training data for the evaluation of the Chebyshev spectrum (36) and the blue curves show the ROM reconstruction of the transfer functions via (35). Here expansion orders N=24 and N=16 have been taken for F_1 and F_2 , respectively, and the close agreement between original FOM evolution and ROM reconstruction signifies an accurate approximation by the latter using a very small number of Chebyshev polynomials. Since the FOM prediction

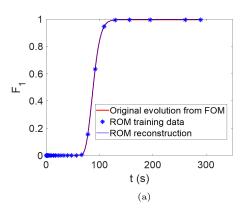
¹Product catalogue for district energy (version 2020.03): https://www.logstor.com/media/6506/product-catalogue-uk-202003.pdf

Table 2: Model parameters for identification of the transfer functions from FOM data.

Element	r(mm)	Thickness (mm)	$\rho \ (kg/m^3)$	$C_p(J/(kgK)$	k(W/(mK)
Water	14.25	N/R	996.7	4066.7	0.605
Steel pipe	16.85	2.6	7900	502.5	51
Insulation	42	25.15	30	1400	0.027
Casing	45	3	944	2250	0.43
Soil	N/R	N/R	N/R	N/R	1.6

concerns the full system involving both the fluid and the surrounding layers (i.e. steel pipe, insulation, casing), all relevant physical phenomena such as thermal losses and thermal inertia are included in the unit step responses and, inherently, in the identified transfer functions $F_{1,2}$. The evolutions of the transfer functions show a number of notable differences, though. Transfer function F_1 (Figure 6a) exhibits a delayed response at around 66s yet reaches its equilibrium F_1^{∞} quickly at around 130s; transfer function F_2 (Figure 6b), on the other hand, responds immediately yet reaches its equilibrium F_2^{∞} only at around 5000s. Moreover, the equilibria differ substantially in magnitude, i.e. $F_1^{\infty} \approx 1$ versus $F_2^{\infty} \approx 4.2 \times 10^{-3}$. Thus the change in T_{out} caused by T_g is much smaller and slower than the one caused by T_{in} , implying system dynamics that are dominated by the inlet temperature.

The transfer functions in fact offer important insight into the system behavior. The differences in sensitivity to both inputs can namely be (qualitatively) understood from the transfer functions (23) of the simplified model (19). These functions evolve monotonically from $F_{1,2}(0)=0$ to $\lim_{t\to\infty}F_{1,2}(t)=F_{1,2}^{\infty}$, with $F_1^{\infty}=\dot{m}C_{p_w}/(\dot{m}C_{p_w}+h_w\mathcal{A})<1$ and $F_2^{\infty}=h_w\mathcal{A}/(\dot{m}C_{p_w}+h_w\mathcal{A})<1$ the corresponding equilibria satisfying $F_1^{\infty}+F_2^{\infty}=1$. The system properties according to Table 2 imply $h_w\mathcal{A}\ll\dot{m}C_{p_w}$ and, in consequence, a clear dominance of the water influx at the inlet (i.e. $F_1^{\infty}\approx 1$) over the heat exchange with the environment (i.e. $F_2^{\infty}\ll 1$) in the dynamics of the outlet temperature T_{out} . The dynamics of the FOM are more intricate, as e.g. reflected in the delayed response to T_{in} and the different equilibration times for F_1 and F_2 , yet the general trend is similar: a clear dominance of the water influx for basically the same reasons (i.e. $F_1^{\infty}\gg F_2^{\infty}$).



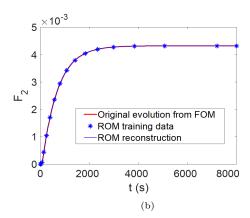


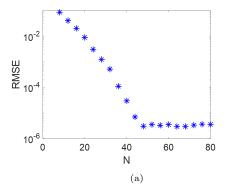
Figure 6: Identification of transfer functions F_1 (panel a) and F_2 (panel b) of the ROM by the procedure following Section 3.2 from training data generated by the FOM.

Visual inspection of Figure 6 suggests that the above expansion orders N enable accurate capturing of the transfer functions $F_{1,2}$ by the ROM. A more systematic determination of the appropriate N may follow from the root mean square error (RMSE) between the ROM predictions

 ROM_i of a given variable and the corresponding FOM benchmark FOM_i at time levels t_i , i.e.

$$RMSE = \sqrt{\frac{\sum_{j=1}^{Q} (FOM_j - ROM_j)^2}{K}}$$
(38)

with Q the total number of time steps in a simulation. (Here the solution of the ROM is projected onto the same time levels t_j as in the FOM by linear interpolation.) Figure 7 gives the RMSE versus the expansion order N for both transfer functions $F_{1,2}$ and reveals an exponential decrease with growing N until saturation sets in at $RMSE \approx 2 \times 10^{-6}$ for F_1 at N=48 and $RMSE \approx 2 \times 10^{-9}$ for F_2 at N=52. (This difference of 3 orders of magnitude is consistent with the difference in magnitude of F_1^{∞} and F_2^{∞} .) Setting the desired level of accuracy for the ROM predictions at $RMSE=2\times 10^{-6}$ yields N=48 and N=16 as adequate expansion orders for F_1 and F_2 , respectively. These orders are used for the remainder of this study unless stated otherwise.



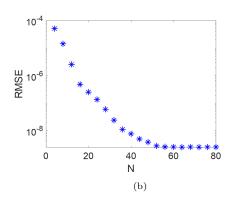
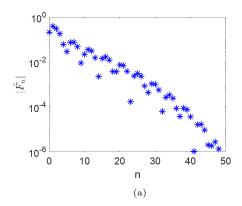


Figure 7: RMSE between the ROM predictions F_1 (panel a) and F_2 (panel b) and the FOM benchmark as a function of the Chebyshev expansion order N.

The Chebyshev spectra \hat{F}_n corresponding with the transfer functions F_1 and F_2 of Figure 6 for N as determined above are shown in Figure 8. This clearly reveals the exponential decay of the magnitude of coefficients \hat{F}_n with increasing polynomial order n that characterizes spectral convergence of expansions in orthogonal polynomials for sufficiently large N [30]. This spectral convergence is consistent with the exponential decay of the RMSE towards saturation in Figure 7 and constitutes further evidence of an accurate identification of the transfer functions from the FOM data with the chosen N. Important to note is that this degree of accuracy is attained for any time t, i.e. not just at the discrete time levels t_k corresponding with the training data. This is a direct consequence of the convergence properties of the employed type of polynomial expansions [30]. These properties admit reliable and efficient prediction of the outlet temperature T_{out} in response to step-wise changes in T_{in} and T_g via the input-output relation (31) at any time t.

4.3. Response to arbitrary step-wise input profiles

The performance and validity of the ROM are investigated further by determining the response of T_{out} to multiple step-wise changes in the input. Figure 9 shows T_{out} predicted by the ROM (dashed blue; left axis) as a function of the given profiles for the inlet temperature T_{in} (solid blue; left axis) and ground temperature T_{g} (solid orange; right axis) versus T_{out} according to the FOM (solid red). Here only input T_{in} (Figure 9a) or T_{g} (Figure 9b) is varied while keeping the other input constant. The response of T_{out} to changes in T_{g} (Figure 9b) is considerably slower and weaker compared to similar changes in T_{in} (Figure 9a) due to the dramatically different sensitivity to former and latter input (and magnitude of the corresponding transfer function F_{2} and F_{1} , respectively) found in Section 4.2. However, in both cases (again) a close agreement between the ROM and FOM occurs and this further demonstrates that the response to step-wise changes in $T_{in,g}$ are accurately captured by transfer functions $F_{1,2}$.



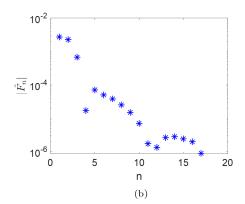
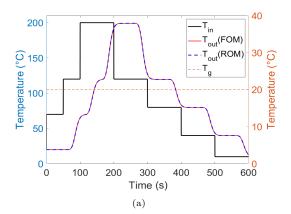


Figure 8: Chebyshev spectrum \hat{F}_n of the identified transfer functions F_1 (panel a) and F_2 (panel b) for expansion orders N=48 and N=16, respectively.



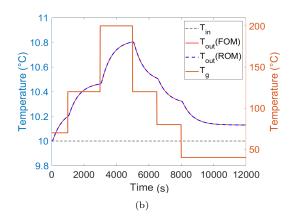


Figure 9: Response of T_{out} to step-wise changes in either T_{in} (panel a) or T_g (panel b) according to ROM predictions versus FOM simulations.

Note that, for illustration and testing purposes, exaggerated profiles are used in Figure 9 for the variations in T_{in} and T_{g} . In practice, variations in T_{g} are much smaller and more gradual due to the large thermal mass and inertia of the ground; variations in T_{in} are usually also more moderate in new generations of DH networks due to lower heating demands of modern buildings and better insulation of the piping. Important furthermore is that, since the temperature responses for multiple step-wise changes are constructed by linear combination of the unit step responses according to input-output relation (31), thermo-physical properties of the fluid must be assumed constant so as to ensure linearity of the thermal behavior and validity of this relation. For this purpose, constant fluid properties are evaluated using the mean inlet temperature as a reference.

Figure 10 shows the response of T_{out} to combined step-wise changes in both T_{in} and T_g following the given profiles. Here a longer pipe of length L=600m is used, since the impact of T_g is very weak compared to that of T_{in} for the original pipe length (Figure 9b). (Other parameters remain as per Table 2.) From the simplified model (19) it namely readily follows that larger L yields a larger area \mathcal{A} and via an increased magnitude of transfer function F_2 in (23) thus a stronger influence of T_g ; similar boosting of the impact of T_g with larger pipe length occurs for the full system. (Maintaining an equal degree of accuracy necessitates an increase of the expansion orders for the identification of the transfer functions to N=96 for F_1 and N=24 for F_2 .) Comparison of the evolutions according to ROM and FOM in Figure 10 again reveals a close agreement and thereby shows that the ROM can accurately predict the temperature response at the outlet of a DH pipe to any sequence of step-wise changes in the inlet and ground temperatures. Note that again exaggerated input temperatures are considered for illustration and testing purposes.

The behavior in Figure 10 demonstrates that, despite considering a much longer pipe, a significantly different sensitivity of T_{out} to both inputs remains. The first stage up to $t \approx 3000s$ concerns a relatively low inlet temperature $T_{in} = 50^{\circ}C$ and ground temperature $T_g = 10^{\circ}C < T_{in}$ and results in a net thermal loss (i.e. a gradual decrease of T_{out} over time). From t = 3000s onward T_{in} step-wise increases to $T_{in} = 120^{\circ}C$ and subsequently decreases via $T_{in} = 100^{\circ}C$ back to $T_{in} = 60^{\circ}C$. This input clearly dominates the corresponding response of T_{out} in that the latter closely shadows the profile of T_{in} ; the additional step-wise increase of T_g to $30^{\circ}C$ at t = 8000s, on the other hand, has no noticeable effect on T_{out} . Only the significant reduction of T_{in} from $100^{\circ}C$ to $60^{\circ}C$ at t = 9000s in combination with a substantial jump in T_g from $30^{\circ}C$ to $90^{\circ}C$ at t = 11,000s yields a clear impact of T_g on T_{out} in that the latter gradually increases in time.

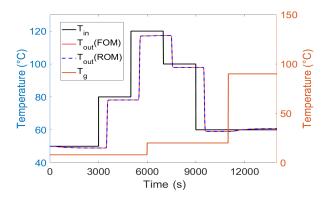


Figure 10: Response of T_{out} to step-wise changes in both T_{in} and T_g according to ROM predictions versus FOM simulations. Note: concerns pipe segment with length L = 600m instead of L = 100m in Figure 9.

5. Application of the ROM

The analysis below concerns practical application of the ROM for the operation of DH systems. This is illustrated and examined for two scenarios: fast simulation of the thermal behavior of a small DH network for e.g. optimal scheduling (Section 5.1) and design of a closed-loop controller for user-defined temperature regulation of a DH system (Section 5.2).

5.1. Fast simulation of a small DH network

Considered is a small DH network with one energy producer and two consumers connected by pipes P1, P2 and P3 as sketched in Figure 11a and denoted System~1 hereafter. Water enters pipe P1 with a constant mass flux $\dot{m}_1 = 2.1884kg/s$ and a supply temperature T_{supply} according to a typical daily variation for a DH system used in [32] and is equally distributed over pipes P2 and P3 (i.e. $\dot{m}_2 = \dot{m}_3 = \dot{m}_1/2 = 1.0942kg/s$). Pipe dimensions are specified in Table 3 and yield flow velocities as indicated for given mass fluxes. The ground temperature is fixed at $T_g = 10^{\circ}C$.

Table 3: Model parameters of small DH network System 1

	L(m)	DN(mm)	$\dot{m}(kg/s)$	u(m/s)
Pipe 1 (P1)	200	40	2.1884	1.5
Pipe 2 (P2)	300	25	1.0942	1.67
Pipe 3 (P3)	500	25	1.0942	1.67

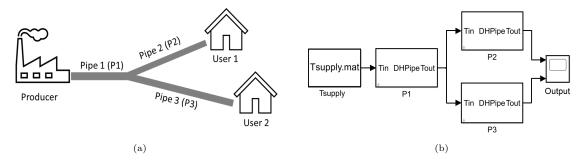


Figure 11: Small DH network System 1 consisting of one heat producer and two end users: (a) configuration; (b) Matlab-Simulink block structure.

5.1.1. Computational algorithms and implementation

Simulations of System 1 are performed with two system models, i.e. one based on the FOM as described in Section 2.2 and one based on the ROM as described in Section 3, that each have a block structure according to Figure 11b implemented in Matlab-Simulink as library blocks. The pipe segments in Figure 11a are represented by blocks DHPipe in Figure 11b and each determine the output T_{out} as a function of the input T_{in} by either the FOM or ROM for the given system parameters. The blocks in both system models are coupled, i.e. the output T_{out} of block P1 forms the input T_{in} for blocks P2 and P3, meaning that the latter blocks receive an (in principle) continuously varying input. Both the FOM and ROM can handle this. The external input to the system consists of the supply temperature T_{supply} from the producer, defining the input for block P1, and the eventual output of the system consists of the output T_{out} of blocks P2 and P3, defining the (typically different) supply temperatures to user 1 and 2. Note that, since input T_g is constant and identical for all blocks, it does not contribute to the coupling.

The ROM can via input-output relation (31) describe the response of T_{out} of blocks P2 and P3 to continuous changes in inlet temperatures upon using sufficiently short and constant time intervals Δt (Section 3.2). However, direct evaluation via (31) becomes prohibitively expensive due to the rapidly increasing number of terms in the summations during the progression in time. A far more efficient way relies on the fact that relation (31), upon considering only discrete time levels $t_k = k\Delta t$, admits reformulation as

$$\widetilde{T}_{out}(t_k) = \boldsymbol{F}_1^{\dagger} \cdot \Delta \boldsymbol{T}_{in} + \boldsymbol{F}_2^{\dagger} \cdot \Delta \boldsymbol{T}_g, \tag{39}$$

with vectors

$$\mathbf{F}_{1,2} = [F_{1,2}(t_k) \cdots F_{1,2}(t_1)]^{\dagger}, \quad \Delta \mathbf{T}_{in,g} = [\Delta \widetilde{T}_{in,g,0} \cdots \Delta \widetilde{T}_{in,g,k-1}]^{\dagger}, \tag{40}$$

containing the values of the transfer functions $F_{1,2}(t_i)$ and the temperature changes $(\Delta \widetilde{T}_{in,i}, \Delta \widetilde{T}_{g,i})$ as defined in Section 3.2 at the sequences of discrete time levels $t_1 \leq t_i \leq t_k$ and $t_0 \leq t_i \leq t_{k-1}$, respectively, in (reversed) order. Refer to Appendix B for a detailed derivation. Note that here the trailing term in (39) simplifies to $F_2(t_k)\widetilde{T}_q$ on account of the uniform and constant T_q .

Critical for reliable simulations is an adequate choice for time step Δt in (16) and (39) for the FOM and ROM, respectively. From a dynamical perspective, two factors must be considered, namely (i) the characteristic time scale τ_{supply} of variable supply temperature T_{supply} and (ii) the characteristic time scales $\tau_{1,2,3}$ of the thermal flows in the pipes. The former and latter require $\Delta t \ll \tau_{supply}$ and $\Delta t \ll \min(\tau_1, \tau_2, \tau_3)$, respectively, for adequately capturing the system dynamics. The input T_{supply} is constructed from linear interpolation between sampling points separated by time intervals $\Delta t_{res} = 1 \ hr = 3600s$ [32] and thus yields the piece-wise linear profile shown in Figure 14a below with $\tau_{supply} = \Delta t_{res}$. Good estimates for $\tau_{1,2,3}$ are the typical duration of the fastest transient of transfer functions $F_{1,2}$; in $System\ 1$ this occurs for F_1 in pipe P1 and yields $\min(\tau_1, \tau_2, \tau_3) = \tau_1 \approx 50s \ll \tau_{supply}$ (as demonstrated below in Figure 13a).

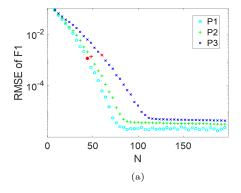
For the FOM – whether employed for benchmark simulations or identification of the transfer functions $F_{1,2}$ – both factors are relevant, since input as well as internal dynamics must be explicitly

resolved. However, for the ROM (given sufficiently accurate identification of $F_{1,2}$ by the FOM) only resolution of the pipe-wise inputs $T_{in,1-3}$ is relevant. For the ROM of P1 this concerns $T_{in,1} = T_{supply}$; for the ROMs of P2 and P3 this concerns the output of P1 (i.e. $T_{in,2,3} = T_{out,1}$) Thus only the first and second factor is relevant in the former and latter case, respectively. This strictly admits component-wise time steps Δt within the global ROM of $System\ 1$ yet for simplicity one global Δt is adopted and, in consequence, both factors are relevant for the ROM as well.

The above conditions put forth $\Delta t \ll \tau_1 \approx 50s$ due to the second factor as the most restrictive (and thereby decisive) constraint imposed by the system dynamics. The FOM is furthermore subject to numerical constraint $\Delta t \leq \Delta t_{max}$ following (15), with here $\Delta t_{max} = 2s$. This yields $\Delta t_{FOM} = 2s$ as adequate time step for the FOM that satisfies both constraints (15) and $\Delta t \ll 50s$. The latter constraint holds also for the ROM and naturally advances $\Delta t_{ROM} = \Delta t_{FOM} = 2s$.

5.1.2. Prediction accuracy of the ROM

Employment of the ROM starts with identifying transfer functions $F_{1,2}$ for each of the pipes P1-3 by the procedure of Section 3.2. Determination of an adequate order N for the corresponding expansions (35) is, as before, done by way of plots of the RMSE (38) similar to Figure 7. The RSME profiles for said functions $F_{1,2}$ are shown in Figure 12 and obtained from FOM simulations using $\Delta t = 2s$ (Section 5.1.1). The profiles are qualitatively similar to Figure 7 yet saturate at substantially higher N compared to the test case in Section 4.2. This means that N must be increased to reach the same level of prediction accuracy and is a consequence of the pipes in $System\ 1$ being longer than the one in Section 4.2. Figure 12a reveals that F_1 in P1 saturates around $RMSE \approx 2 \times 10^{-6}$ at N=80; this onset of saturation shifts to N=88 and N=112 for F_1 in P2 and P3, respectively, due to increased pipe lengths (Table 3). However, numerical experiments demonstrate that expansion orders yielding $RMSE \approx 1 \times 10^{-3}$ are sufficient for accurate representation of F_1 in pipes P1-3. This level is already attained at N=(44,48,60) for (P1, P2, P3) (marked in red in Figure 12a) and these settings are used in the analysis below.



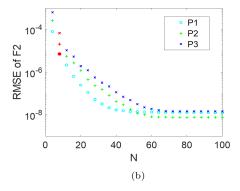


Figure 12: RMSE between the ROM predictions F_1 (panel a) and F_2 (panel b) and the FOM benchmark for pipes P1-3 in System 1 as a function of the Chebyshev expansion order N. Points highlighted in red correspond with an adequate N for description of F_1 and F_2 in the ROM.

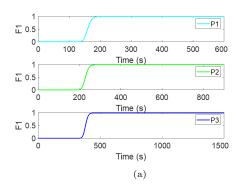
Figure 12b gives the RMSE profiles for F_2 and exposes a dramatic difference with the RMSE profiles of F_1 in Figure 12a by about 2 orders of magnitude. This is comparable with Figure 7 and can therefore be attributed to the different response of the system to changes in T_{in} and T_g explained in Section 4.2. Saturation occurs around $RMSE \approx 2 \times 10^{-8}$ yet, similar as for F_1 , an RMSE level about 3 order of magnitude above saturation (i.e. $RMSE \approx 1 \times 10^{-5}$) is sufficient for accurate predictions. This yields N=8 as adequate expansion order for F_2 in all pipes P1-3 (marked in red in Figure 12b) and also these settings are used hereafter.

The identified F_1 and F_2 for the chosen expansion orders N are shown in Figure 13a and Figure 13b, respectively, and their evolutions resemble those of $F_{1,2}$ in Figure 6. This further substantiates the above finding that $System\ 1$ exhibits qualitatively the same dynamics as the test case considered in Section 4.2: delayed (yet strong) response to the pipe-wise inlet temperatures

Table 4: Prediction accuracy of the ROM for pipes P1-3 in System 1 for test cases Sim1-4 ROM in terms of the RMSE relative to benchmark Sim FOM.

Runs	Time step (s)	RMSE of P1	RMSE of P2	RMSE of P3
Sim FOM	2	0	0	0
$Sim1\ ROM$	2	9.94×10^{-4}	3.6×10^{-3}	5.5×10^{-3}
$Sim2\ ROM$	60	5.54×10^{-3}	9.2×10^{-3}	9.7×10^{-3}
$Sim3\ ROM$	120	2.22×10^{-2}	2.95×10^{-2}	4.12×10^{-2}
$Sim 4\ ROM$	360	5.71×10^{-2}	9.10×10^{-2}	1.37×10^{-1}

 $T_{in,1-3}$ via F_1 versus immediate (yet relatively weak) response to ground temperature T_g via F_2 . Note that the delay increases from P_1 to P_3 and thus grows significantly with pipe length.



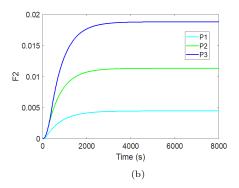


Figure 13: Identified transfer functions F_1 (panel a) and F_2 (panel b) for pipes P1-3 in System 1 using expansion orders N as determined from Figure 12 (highlighted in red).

The prediction accuracy of the ROM is investigated by comparison with benchmark simulation by the FOM using $\Delta t_{FOM} = 2s$ (Section 5.1.1) for a range of time steps $\Delta t_{ROM} \geq \Delta t_{FOM}$. To this end the departure of the ROM from the FOM is quantified at time levels $t_k = k\Delta t_{FOM}$ ($k \geq 0$) by RMSE (38). For mismatching time steps $\Delta t_{ROM} > \Delta t_{FOM}$ the ROM predictions are projected onto time levels t_k by linear interpolation to always obtain evolutions with a resolution $\Delta t_{FOM} = 2s$ as dictated by the system dynamics. This means that (again given accurate identification of $F_{1,2}$) prediction errors may emanate from insufficient resolution of the input temperatures to pipes $P_{1,2} = 1$ and, in case of said mismatch in time steps, interpolation errors in the output temperatures.

The prediction errors for the individual pipes P1-3 are summarized in Table 4 for 4 test cases $(Sim1-4\ ROM)$ distinguished by time steps ranging from $\Delta t_{ROM}=2s=\Delta t_{FOM}$ to $\Delta t_{ROM}=360s\gg\Delta t_{FOM}$. This reveals that for $\Delta t_{ROM}=\Delta t_{FOM}$ ($Sim1\ ROM$) the prediction error of the ROM is very small for all three pipes and, since output interpolation errors are absent in this case, must be entirely attributed to finite temporal resolution of the pipe-wise input temperatures. The prediction error is somewhat larger for P2 and P3 compared to P1 and very likely results from the different characteristic time scales of the respective inputs (i.e. $\tau_{2,3}\sim 50s$ versus $\tau_{supply}=3600s\gg\tau_{2,3}$ for former and latter, respectively). This suggests relatively stronger temporal variation for P2 and P3 and, inherently, a greater effect of finite resolution by the ROM. The prediction accuracy nonetheless is high and this is substantiated by the close agreement in Figure 14a between the output temperatures of the ROM and FOM for given T_{supply} following [32].

Test cases Sim2-4 ROM reveal that the RMSE increases – and the prediction accuracy decreases – with larger time step Δt_{ROM} . For P1 this stems from progressively coarser representation of the piece-wise linear evolution of input $T_{in,1} = T_{supply}$ (Figure 14a) with increasing Δt_{ROM} and the projection of the corresponding output $T_{out,1}$ on time levels t_k ; for P_2 and P_3 this via $T_{in,2,3} = T_{out,1}$ stems both from the latter and the projection of $T_{out,2,3}$ on t_k . The prediction errors following Table 4 grow approximately linear with Δt_{ROM} (consistent with employment of linear interpolation schemes) and the prediction error for P2 and P3 also for test cases Sim2-4 ROM always exceeds that for P1 for reasons explained before. However, for Sim2 ROM and Sim3

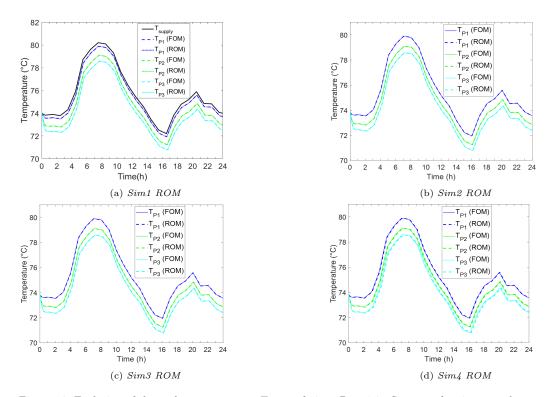


Figure 14: Evolution of the outlet temperatures T_{P1-3} of pipes P1-3 in System 1 for given supply temperature T_{supply} (panel a) according to ROM predictions versus FOM simulations for test cases Sim1-4 ROM (Table 4).

ROM these effects remain virtually invisible in the actual temperature evolutions (Figure 14b-14c); visible departures from the FOM benchmark occur only for *Sim4 ROM* yet nonetheless stay acceptable (Figure 14d).

The above findings imply that the ROM even for coarse time steps of $\Delta t_{ROM} = 360s$ can still reliably predict the temperature evolutions within (for practical purposes) reasonable bounds. This makes the proposed ROM a robust tool for practical simulations of DH systems.

5.1.3. Computational cost of the ROM

The above demonstrated the high computational accuracy of the ROM for the simulation of DH systems. A further important aspect is the computational cost of the ROM versus the FOM, which is investigated below in terms of (i) the floating point operations (flops) that are required to compute the solutions and (ii) the actual runtime of an actual computation.

The FOM propagates the solution per time step Δt via the matrix-vector relation (16) and this involves (per pipe segment) the following operations: matrix-vector multiplication $\mathbf{g}_1 = \mathbf{S}\mathbf{T}_j$, with $\mathbf{S} = \Delta t \mathbf{A} + \mathbf{I}$ the pre-computed system matrix, scalar-vector multiplications $\mathbf{g}_2 = \Delta t T_{in} \mathbf{b}_1$ and $\mathbf{g}_3 = \Delta t T_g \mathbf{b}_2$ and vector additions $\mathbf{T}_{j+1} = \mathbf{g}_1 + \mathbf{g}_2 + \mathbf{g}_3$. For a system of P degrees of freedom (DOFs) the associated computational costs are $2P^2$ flops and P flops for matrix-vector and scalar-vector multiplications, respectively, and P flops for vector additions [33]. This amounts for (16) to a total computational cost of $2P^2 + 2P + 2P = 2P(P+2)$ flops per time step and

$$C_{FOM} = 2P(P+2)Q \stackrel{P\gg 1}{\approx} 2P^2Q \quad flops \tag{41}$$

for Q time steps, where $P = 4N_x \gg 1$ corresponds with the nodal values of the 4 temperatures.

The ROM propagates the output temperature via relation (39) by the following operations: vector-vector multiplications $g_1 = \mathbf{F}_1^{\dagger} \cdot \Delta \mathbf{T}_{in}$ and $g_2 = \mathbf{F}_2^{\dagger} \cdot \Delta \mathbf{T}_g$, each requiring 2k flops for the k DOFs in the intermediate states at time level t_k , and scalar addition $\widetilde{T}_{out}(t_k) = g_1 + g_2$. This yields a total computational cost of 2k + 2k + 1 = 4k + 1 flops per time step. However, in general

ground temperature T_g can be assumed uniform and constant, simplifying the trailing term in (39) to the pre-computed scalar $g_2 = F_2(t_k)\tilde{T}_g$ and reducing the cost to 2k+1 flops per time step. The total cost of the ROM for Q time steps thus becomes

$$C_{ROM} = \sum_{k=1}^{Q} (2k+1) = \sum_{k=1}^{Q+1} (2k-1) - 1 = (Q+1)^2 - 1 \stackrel{Q \gg 1}{\approx} (Q+1)^2 \text{ flops}, \tag{42}$$

and corresponds with an arithmetic sequence of odd numbers [34].

Relations (41) and (42) reveal that the computational cost of the FOM depends on both spatial resolution P and number of time steps Q while the cost of the ROM is solely dependent on Q. Figure 15a gives C_{FOM} and C_{ROM} as a function of (P,Q) in ranges $10 \le P \le 4000$ and $1 \le Q \le 10^6$ and clearly demonstrates this essentially different behaviour. The quadratic dependence of C_{FOM} on P, illustrated in Figure 15b for $Q = 10^6$, results in a rapid (and monotonic) increase of these costs and causes them to always exceed C_{ROM} beyond the threshold

$$P_{min}(Q) = (Q+1)/\sqrt{2Q} \approx \sqrt{Q/2},\tag{43}$$

i.e. $C_{ROM} > C_{FOM}$ for $P < P_{min}$ and $C_{FOM} > C_{ROM}$ for $P > P_{min}$. This threshold shifts unfavourably for the ROM with longer simulation times due to the quadratic versus linear dependence of C_{ROM} and C_{FOM} , respectively, on Q. However, Figure 15c demonstrates that region $C_{ROM} > C_{FOM}$ nonetheless remains small compared to region $C_{FOM} > C_{ROM}$ in the considered parameter regime (max $P_{min} = 707 \ll \max P = 4000$). The converse happens in Q-direction in that here the ROM outperforms the FOM below a certain threshold, i.e. $C_{ROM} < C_{FOM}$ for $Q < Q_{max}$ and $C_{FOM} < C_{ROM}$ for $Q > Q_{max}$. This readily follows from (41) and (42) and yields

$$Q_{max}(P) = P(P + \sqrt{P^2 - 1} - 1) \approx 2P^2, \tag{44}$$

as said threshold. However, region $C_{ROM} > C_{FOM}$ is also in Q-direction small and in fact non-existent in a substantial part of the parameter regime (i.e. $Q_{max} > \max Q = 10^6$ for P > 707). This implies that the ROM is faster than the FOM in regime $P > P_{min}$ and $Q < Q_{max}$ by a factor

$$\mathcal{R} = \frac{C_{FOM}}{C_{ROM}} = \frac{2P^2Q}{(Q+1)^2} \approx \frac{2P^2}{Q} > 1,$$
 (45)

and may thus structurally reduce the computational costs (e.g. C = 32 for P = 4000 and $Q = 10^6$).

The above cost analysis on the basis of the number of flops associated with the computational schemes (16) and (39) includes only the impact of P and/or Q. However, the actual runtime of the ROM and FOM (denoted t_{ROM} and t_{FOM} , respectively, hereafter) is also dependent on other factors. The Matlab-Simulink simulations with both the ROM and FOM namely involve three stages, i.e. (i) compilation of the Matlab source code into block-wise executables, (ii) linking of the executables into one master executable and memory allocation, (iii) actual simulation of the system with the master executable. This process involves more operational, computational and datahandling actions than just flops and makes the runtime slightly different for each simulation, even when re-running a simulation with an already available master executable using the exact same parameter settings. Consider e.g. a FOM simulation of System 1 for a time span $0 \le t \le \tau_{sim}$, with $\tau_{sim} = 24hrs = 86400s$, using resolutions $\Delta t = 1s$ and $\Delta x = 0.1m$. Figure 16 gives the actual runtimes t_{FOM} (determined by the Matlab commands tic and toc) for 50 runs on a conventional laptop² and reveals a significant variation between the worst $(t_{FOM} = 2236s)$ and best $(t_{FOM} = 1706s)$ case around the mean actual runtime $\bar{t}_{FOM} = 1768s$. This variation must indeed be attributed to the particular operation and execution of Matlab-Simulink elucidated above, since the computational cost (16) is identical for each run and via $Q = \tau_{sim}/\Delta t + 1 = 86401$

 $^{^2}$ HP ZBook Studio G4 laptop with an Intel core i7-7700 HQ CPU 2.81GHz processor and 32GB installed RAM.

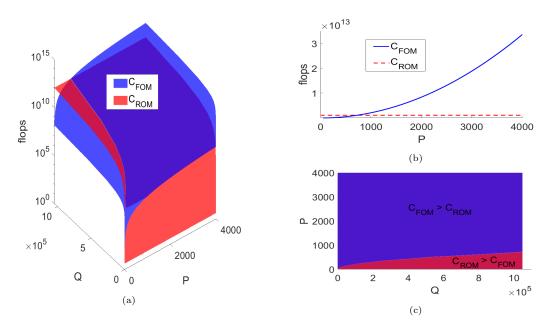


Figure 15: Computational cost (in flops) for the simulation of one pipe segment by the FOM (C_{FOM}) versus the ROM (C_{ROM}) as a function of number of DOFs P and time steps Q: (a) $C_{FOM,ROM}$ distribution in (P,Q)-space; (b) $C_{FOM,ROM}$ versus P along cross-section $Q=10^6$; (c) regions $C_{FOM}>C_{ROM}$ and $C_{ROM}>C_{FOM}$.

and $P = P_1 + P_2 + P_3 = 40012$ DOFs, amounts to $C_{FOM} = 2.77 \times 10^{14}$ flops. Here the segment-wise DOFs equal $(P_1, P_2, P_3) = (8004, 12004, 20004)$ and follow from general relations $P = 4N_x$ and $N_x = L/\Delta x + 1$ using the pipe lengths in Table 3. Similar variation in actual runtimes occur for Matlab-Simulink simulations with the ROM.

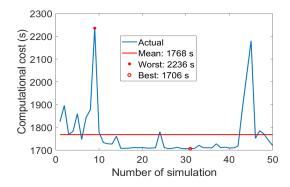


Figure 16: Actual runtime t_{FOM} of 50 FOM simulations of System 1 for $\Delta t=1s$ and $\Delta x=0.1m$ (yielding P=40012 and Q=86401) including mean runtime \bar{t}_{FOM} and worst/best cases.

The mean actual runtimes \bar{t}_{ROM} and \bar{t}_{FOM} of 50 runs are adopted as estimate for the actual computational cost as a function of P and Q. Table 5 gives \bar{t}_{ROM} and \bar{t}_{FOM} versus P for a fixed $\tau_{sim}=24hrs=86400s$ and $\Delta t=1s$, which corresponds with a fixed Q=86401. (Given time step Δt is well within the stability bound (15).) Here the computational cost of the FOM increases from $\bar{t}_{FOM}=25s$ to $\bar{t}_{FOM}=1768s$ as the cell size is decreased from $\Delta x=1m$ to $\Delta x=0.1m$ and, inherently, the total number of DOFs increases from P=4012 to P=40012. The cost of the ROM, on the other hand, remains constant at $\bar{t}_{ROM}=194s$ due to the beforementioned dependence only on Q. This reveals a quadratic dependence $\bar{t}_{FOM} \propto P^2$ on P for given Q and thus consistency with (41). However, \bar{t}_{ROM} and \bar{t}_{FOM} break even at $P_{min}\approx 1.2\times 10^4$ DOFs, which is substantially above threshold $P_{min}=208$ DOFs following (43). This implies that the performance advantage of the ROM over the FOM is overpredicted by the flops-based analysis.

Essentially the same behaviour is found for other Q.

Table 5: Impact of number of DOFs P on the mean runtime of the FOM (\bar{t}_{FOM}) and the ROM (\bar{t}_{ROM}) for the simulation of System 1 for $\Delta t = 1s$ (Q = 86401).

$\Delta x (m)$	Segm	ent-wise	DOFs	Total DOFs (P)	- - (a)	\bar{t}_{ROM} (s)
$\Delta x (m)$	P_1	P_2	P_3	Total DOFS (F)	ι_{FOM} (s)	ι_{ROM} (8)
1	804	1204	2004	4012	25	194
0.5	1604	2404	4004	8012	88	194
0.2	4004	6004	10004	20012	424	194
0.1	8004	12004	20004	40012	1768	194

Investigation of the dependence on the number of time steps Q confirms these observations. Table 6 gives \bar{t}_{ROM} and \bar{t}_{FOM} versus Δt and Q for a fixed $\tau_{sim}=24hrs=86400s$ and $\Delta x=0.5m$, yielding P=8012 DOFs. (Grid-sensitivity analysis advances $\Delta x=0.5m$ as sufficient spatial resolution for System~1.) The computational cost of the ROM changes from $\bar{t}_{ROM}=194s$ to $\bar{t}_{ROM}=0.28s$ via a quadratic dependence $\bar{t}_{ROM}\propto (Q+1)^2$ on Q for given P and is here thus consistent with (42). The FOM is examined only for time steps $\Delta t=1s~(Q=86401)$ and $\Delta t=2s~(Q=43201)$ due to stability criterion (15) yet this nonetheless reveals a proportional dependence on Q that suggests consistency with (41). However, break even of \bar{t}_{ROM} and \bar{t}_{FOM} occurs at $Q_{max}\approx 4.5\times 10^4$ time steps, which is now considerably below threshold $Q_{max}=1.28\times 10^8$ following (44). This again implies an overprediction of the performance of the ROM by the flops-based analysis. For other P essentially the same behaviour is found.

The above overprediction of the ROM performance results from the overhead caused by operations other than the flops themselves during actual simulations with Matlab-Simulink. The actual and flop-based cost namely relate via $\bar{t}_{ROM} = t_{OV} + C_{ROM}f$ for the ROM and (assuming for simplicity comparable overhead) likewise for the FOM, with t_{OV} and f the time consumption by the overhead and per flop, respectively. These relations, upon expressing the overhead as $t_{OV} = C_{OV}f$, yield

$$\bar{\mathcal{R}} = \frac{\bar{t}_{FOM}}{\bar{t}_{ROM}} = A(\mathcal{R} - 1) + 1, \qquad A = \frac{C_{ROM}}{C_{OV} + C_{ROM}}, \tag{46}$$

as the actual improvement factor in terms of the flops-based factor \mathcal{R} according to (45). Coefficient A decays monotonically from upper bound max A=1 for $C_{OV}=0$ to asymptotic limit $\lim_{C_{OV}\to\infty}A=0$ and in conjunction with $\mathcal{R}>1$ implies

$$\Delta R = \mathcal{R} - \bar{\mathcal{R}} = (1 - A)(\mathcal{R} - 1) > 0. \tag{47}$$

thereby demonstrating that a non-zero overhead (i.e. $C_{OV} > 0$) in fact always diminishes the actual cost reduction by the ROM relative to the FOM (i.e. $\Delta R > 0$ and thus $\bar{R} < R$). This (at least qualitatively) explains the observed behaviour and exposes minimisation of the overhead as the way to structurally optimize the performance of the ROM.

Table 6: Impact of number of time steps Q on the mean runtime of the FOM (\bar{t}_{FOM}) and the ROM (\bar{t}_{ROM}) for the simulation of System 1 using $\Delta x = 0.5$ (P = 8012) for the FOM.

$\Delta t (s)$	Simulation steps (Q)	$\bar{t}_{ROM}(s)$	$\bar{t}_{FOM}(s)$
1	86401	194	88
2	43201	41	50
10	8641	2.3	-
30	2881	0.6	-
60	1441	0.42	-
120	721	0.22	-
360	241	0.28	-

The above performance analysis concerned identical temporal resolution Δt for ROM and FOM. However, a major advantage of the ROM is that Δt is determined solely by the required resolution of the pipe-wise inputs; the FOM on the other hand, is furthermore restricted by numerical stability (Section 5.1.1). Grid-size sensitivity analysis and stability criterion (15) advance $\Delta x = 0.5m$ and $\Delta t = 2s$ as adequate spatio-temporal resolution for the simulation of System 1 by the FOM. This results in a mean actual runtime $\bar{t}_{FOM} = 50s$ for $\tau_{sim} = 24hrs$ and corresponding $\bar{t}_{ROM} = 41s$ (Table 6) and, in consequence, an only marginal improvement factor $\bar{\mathcal{R}} = 1.2$ upon using the same Δt for the ROM. Section 5.1.2 showed that the ROM enables accurate predictions for time steps as high as $\Delta t = 120s$, though, and via the corresponding reduced runtime $\bar{t}_{ROM} = 0.22s$ (Table 6) yields an improvement factor $\bar{\mathcal{R}} = 227$. This signifies a reduction in computational effort by two orders of magnitude and suggests that the above cost analyses are rather conservative. The actual computational advantage of the ROM may be substantially greater in many practical situations:

- The FOM in this study concerns the set of 1D systems Eqs. (1)-(4) and thus involves a spatial discretisation with a relatively small number of DOFs $P \sim \mathcal{O}(N_x)$. However, this increases dramatically to $P \sim \mathcal{O}(N_x^2)$ and $P \sim \mathcal{O}(N_x^3)$ for DH networks necessitating 2D and 3D pipe models, respectively, using N_x as typical resolution per coordinate direction. This easily amplifies an improvement factor such as e.g. (45) by several orders of magnitude and effectively leaves only the ROM as viable option for feasible system simulations.
- The test cases in the above cost analysis involve time spans $\tau_{sim} = 24hrs = 86400s$. However, in practice often much shorter time spans are needed, such as e.g. hourly predictions in DH system control (i.e. $\tau_{sim} = 3600s$). This reduces the number of time steps Q and amplifies improvement factor (45) by a (further) order of magnitude.

The ROM is also much easier to implement and integrate in system models of large DH networks than a FOM of the current (and certainly of a more complex) pipe configuration. Moreover, any FOM requires a physics-based mathematical model for the system dynamics including detailed information on the geometry and the material properties. Any missing information makes it very difficult (if not impossible) to build a FOM. The ROM, on the other hand, can be identified purely from data generated by a FOM yet also by (calibration) experiments or field measurements.

5.2. Design of closed-loop controllers for DH networks

An important application of the ROM is closed-loop control of DH networks. Consider for illustration a simplified system with one energy provider and one user following Figure 17a (denoted System~2) connected by a DN25 pipe of length L=100m and with mass flux $\dot{m}=1.0942kg/s$. The control target is making the outlet temperature T_{out} reach the desired temperature $T_{setting}$ at the consumer side as quickly as possible. This is to be achieved by regulating the inlet temperature T_{in} on the basis of the measured T_{out} via a conventional PI controller as shown in Figure 17b. Thermal inertia results in a non-trivial response of T_{out} to changes in T_{in} and the ROM, by incorporating the underlying input-output relation (Section 3), enables design of a controller ("controller synthesis") that accomplishes the most effective response to user requirements. The time step is $\Delta t_{ROM}=1s$ and thereby deliberately more restrictive than $\Delta t_{ROM}=2s$ following Section 5.1.1 so as to ensure adequate capturing of the system response to continuous variation in T_{in} due to the control action.

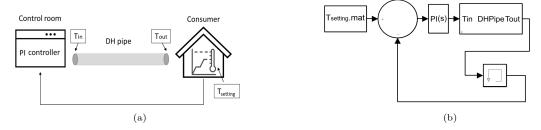


Figure 17: User-defined temperature regulation of DH network System 2: (a) configuration; (b) Matlab-Simulink block structure.

5.2.1. Control strategy

The PI controller attempts to minimize the error $e(t) = \widetilde{T}_{setting} - \widetilde{T}_{out}(t)$ between set and actual temperature by adjusting \widetilde{T}_{in} via the weighted sum of momentary and accumulated error

$$\widetilde{T}_{in}(t) = K_p e(t) + K_i \int_0^t e(\xi) d\xi, \tag{48}$$

where K_p and K_i are the weights (denoted "gains" in control theory) for the proportional (P) and integral (I) terms, respectively [13]. The control action for a fixed $\widetilde{T}_{setting}$ e.g. establishes the equilibrium $\lim_{t\to\infty} \widetilde{T}_{out}(t) = \widetilde{T}_{\infty} = \widetilde{T}_{setting}$ and \widetilde{T}_{in} then converges on $\lim_{t\to\infty} \widetilde{T}_{in}(t) = \widetilde{T}_{in}^{\infty}$, where $\widetilde{T}_{in}^{\infty}$ is defined by the asymptotic state $\widetilde{T}_{\infty} = \widetilde{T}_{in}^{\infty} F_1^{\infty} + \widetilde{T}_g F_2^{\infty}$ of (27). Reaching $\widetilde{T}_{setting}$ eliminates the momentary error, i.e. $\lim_{t\to\infty} e(t) = 0$, and thus achieves its sought-after minimisation. The accumulated error, on the other hand, converges on a non-zero limit value: vanishing of e(t) via (48) namely implies $\lim_{t\to\infty} K_i \int_0^t e(\xi) d\xi = \widetilde{T}_{in}^{\infty}$ and thus $\lim_{t\to\infty} \int_0^t e(\xi) d\xi = \widetilde{T}_{in}^{\infty}/K_i$. Hence its incorporation in (48) is essential to reach the equilibrium.

The required input T_{in} at constant mass flux \dot{m} can in practice be achieved in any desired range $\tilde{T}_{in}^{min} \leq \tilde{T}_{in} \leq \tilde{T}_{in}^{max}$ via the mixture of two streams in a small mixing chamber, i.e. stream \dot{m}_1 from reservoir 1 at constant \tilde{T}_{in}^{min} and stream $\dot{m}_2 = \dot{m} - \dot{m}_1$ from reservoir 2 at constant \tilde{T}_{in}^{max} , resulting in $\tilde{T}_{in}(t) = (\dot{m}_1(t) \tilde{T}_{in}^{min} + \dot{m}_2(t) \tilde{T}_{in}^{max})/\dot{m}$ as temperature of the mixture that enters $System\ 2$ in Figure 17a. This approach admits variation of \tilde{T}_{in} by adjustment of mass fluxes $\dot{m}_{1,2}$ similar to a thermostatic tap, which can be done rapidly and accurately via flow controllers, assuming negligible thermal inertia of the mixing process compared to that of $System\ 2$ itself.

A first step towards optimal control (i.e. reaching the control target by an effective yet also physically achievable and efficient control action) is realized by a basic tuning of the PI controller via the Ziegler-Nichols method [35]. Here the gains in (48) are determined via

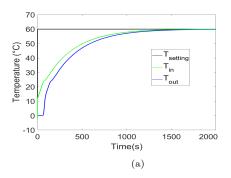
$$K_p = 0.45K_u, \quad K_i = 0.54K_u/\tau_u,$$
 (49)

with K_u the ultimate sensitivity when the controller output $\widetilde{T}_{in}(t)$ has stable and consistent oscillations with a period time τ_u for $K_i = 0$. The settings for K_u and τ_u can be determined heuristically by monitoring T_{in} while changing K_p and this yields $K_u = 1.055$ and $\tau_u = 152.7s$ for System~2. Through (49) this gives $K_p = 0.4748$ and $K_i = 0.0037$ as corresponding gains for (48).

Set temperature $T_{setting}$ in its simplest form is a fixed value and the control target then is establishing the (new) equilibrium $\lim_{t\to\infty} \widetilde{T}_{out}(t) = \widetilde{T}_{\infty} = T_{setting}$ via control law (48). However, $\widetilde{T}_{setting}$ can also be a prescribed profile in time and the control target then is following this reference as closely as possible (termed "reference tracking" in literature [13]). Capability for such reference tracking is relevant for effectively dealing with dynamic heating demands from end-users. Control for both fixed and variable $\widetilde{T}_{setting}$ is considered below in *Scenario 1* and *Scenario 2*, respectively.

5.2.2. Design of optimal controllers

Scenario 1 is examined using $T_{setting} = 60^{\circ}C$ as desired temperature and starting from a uniform initial temperature $\tilde{T}_{out}(0) = \tilde{T}_0 = 0^{\circ}C$. The gains K_p and K_i determined above via the Ziegler-Nichols method are known to be aggressive for many systems in that they may result in strong responses such as e.g. overshoot of the desired temperature $\tilde{T}_{setting}$ by \tilde{T}_{out} [36]. However, overshoot is often unacceptable for DH systems due to efficiency and safety issues; thermal losses and the risk of (too) hot radiators/convectors both increase with higher \tilde{T}_{out} . This suggests that the basic Ziegler-Nichols tuning is sub-optimal for the current purposes. Its aggressiveness can partially be mitigated by adjusting gain K_p to $K_p = 0.2K_u = 0.211$ as proposed by [37] yet optimal control of System~2 furthermore requires case-specific fine-tuning of gain K_i . The latter is rather delicate, though, since increasing K_i decreases the response time – and thus the effectiveness of the control action – yet also promotes the unwanted occurrence of overshoot [38]. This is demonstrated in Figure 18. Gain $K_i = 0.002$ results in an immediate regulation of \tilde{T}_{in} and, after a short delay



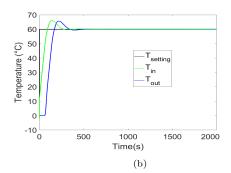


Figure 18: Poor designs of a closed-loop controller for System 2: (a) slow response to changes in desired temperature $T_{setting}$ for $K_p = 0.211$ and $K_i = 0.002$; (b) overshoot of $T_{setting}$ for $K_p = 0.211$ and $K_i = 0.01$.

due to thermal inertia, in a monotonic evolution of \widetilde{T}_{out} towards $\widetilde{T}_{setting}$ (Figure 18a). So this controller setting prevents overshoot yet at the expense of a very slow response in that it takes about 1800s = 30min for the system to reach $\widetilde{T}_{setting}$. Gain $K_i = 0.01$, on the other hand, triggers a much faster response yet at the cost of a significant overshoot (Figure 18b).

The behaviour demonstrated in Figure 18 suggests that (for given $K_p = 0.211$) an optimal gain K_i can be found in the range $0.002 \le K_i \le 0.01$. The ROM enables efficient and systematic design of an optimal controller by fine-tuning of K_i via parametric variation. This yields $K_i = 0.0085$ as optimal gain for control law (48) to accomplish the fastest possible regulation of \widetilde{T}_{out} towards $\widetilde{T}_{setting}$ without overshoot (Figure 19a). Note that the input \widetilde{T}_{in} exhibits some minor overshoot yet this is deemed irrelevant. Striking and in fact counter-intuitive, on the other hand, is that the fine-tuned controller using $K_i = 0.0085$ reaches the desired temperature about twice as fast as the previous "aggressive" controller using the higher gain $K_i = 0.01$, i.e. $\widetilde{T}_{out} \approx \widetilde{T}_{setting}$ within about 240s = 4min in Figure 19a versus 480s = 8min in Figure 18b. This underscores the importance and potential of a well-designed controller – and the need for efficient computational methods such as e.g. the ROM for this purpose – for an optimal performance of DH networks.

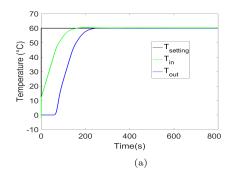
Important to note is that the fine-tuned PI controller aims at optimal control of System 2 in the sense mentioned above. However, this is generically not equivalent to accomplishing the fastest possible evolution of \tilde{T}_{out} towards equilibrium $\tilde{T}_{\infty} = \tilde{T}_{setting}$. Consider for illustration the simplified system (21), which for $h_w = 0$ (via $b_2 = 0$ and $b_1 = -A = \dot{m}/m$) becomes $d\tilde{T}_{out}/dt = A(\tilde{T}_{out} - \tilde{T}_{in}) = b_1(\tilde{T}_{in} - \tilde{T}_{out})$. Analytical solution (22) becomes $\tilde{T}_{out}(t) = U(t)\tilde{T}_{\infty} = F_1(t)\tilde{T}_{\infty} = F_1(t)\tilde{T}_{in}$ and corresponds with an instantaneous jump in inlet temperature from $\tilde{T}_{in} = 0$ to $\tilde{T}_{in} = \tilde{T}_{\infty}$ at t = 0. Smooth transition from $\tilde{T}_{in}(0) = 0$ to $\lim_{t \to \infty} \tilde{T}_{in}(t) = \tilde{T}_{\infty}$ via a variable input $\tilde{T}_{in}(t)$, on the other hand, yields an output following

$$\widetilde{T}_{out}(t) = b_1 \int_0^t e^{(A(t-\xi))} \widetilde{T}_{in}(\xi) d\xi < U(t) \widetilde{T}_{\infty},$$
(50)

for any input without overshoot (i.e. $\widetilde{T}_{in}(t) < \widetilde{T}_{\infty}$ for any finite t) [13]. Inequality (50) thus implies that said jump in \widetilde{T}_{in} establishes the equilibrium faster than the PI controller and in that sense outperforms the latter. However, an instantaneous temperature jump requires instantaneous jumps in mass fluxes $\dot{m}_{1,2}$ in the beforementioned mixing process for regulating $\widetilde{T}_{in}(t)$. Similarly, reaching or exceeding upper bound $U(t)\widetilde{T}_{\infty}$ by a variable $\widetilde{T}_{in}(t)$ requires overshoot by the input. Such actions are sub-optimal (if not physically impossible) from a practical perspective and thereby no alternative for the PI controller. It can be shown that the same reasoning holds for System~2.

Scenario 2 concerns reference tracking and prescribes a variable $T_{setting}$ for System 2 to mimic a practical situation of a DH system. Here the heat supply from the DH system is used either for space heating (requiring $\tilde{T}_{out} = 10^{\circ}C$ and $\tilde{T}_{out} = 35^{\circ}C$ for moderate and fast heating, respectively) or for domestic hot water (requiring $\tilde{T}_{out} = 60^{\circ}C$). Set temperature $\tilde{T}_{setting}$ according to Figure 19b gives a typical hourly demand profile for these purposes and consists of repeated switching

between these temperature levels. The PI controller with the fine-tuned gains $K_p = 0.211$ and $K_i = 0.0085$ is employed to regulate inlet temperature \widetilde{T}_{in} such that \widetilde{T}_{out} follows this demand profile as closely as possible. The control action \widetilde{T}_{in} and corresponding response \widetilde{T}_{out} is for each step-wise change in $\widetilde{T}_{setting}$ similar to that of the single response in Figure 19a in that \widetilde{T}_{in} is adjusted such that \widetilde{T}_{out} evolves (with the same delay as before) towards the new desired temperature within a time span of about 4mins without over- or undershoot. The controller overall accomplishes a supply temperature \widetilde{T}_{out} that closely follows the demand profile; only the responses to the step-wise changes at t=20min and t=40min somewhat lag behind in that \widetilde{T}_{out} does not fully reach the desired $\widetilde{T}_{setting}$ within the prescribed interval. This must be attributed to the short duration of this particular temperature demand compared to the thermal inertia of the system. The ROM in principle enables further fine-tuning of the controller specifically for Scenario 2 yet this is not done here for brevity. This case study nonetheless demonstrates that the ROM enables design of optimal controllers also for the (far) more challenging task of reference tracking.



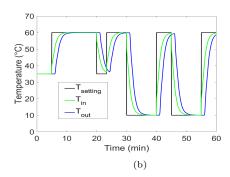


Figure 19: Performance of a well-designed controller for $System\ 2$ ($K_p=0.211$ and $K_i=0.0085$): (a) response to step-wise change in $T_{setting}$ ($Scenario\ 1$); (b) reference tracking of a user-defined variable $T_{setting}$ ($Scenario\ 2$).

The above employed the ROM for efficient design and fine-tuning of the PI controller. However, an important next step exists in more advanced control strategies based on prediction of future behaviour such as e.g. Model Predictive Control (MPC). MPC is an established and proven approach for process control in fluids and chemical engineering and, given their reliance on similar physical transport phenomena, may be a promising approach also for the control of (sustainable) energy systems such as e.g DH networks [14, 15]. Key for MPC is fast and accurate prediction of the future system behaviour and the ROM developed here is well-suited for this purpose and may thus enable advanced control of DH systems. This will be addressed in follow-up studies.

6. Conclusions and future work

This study concerns the development of a data-based compact model for the prediction of the fluid temperature evolution in district heating (DH) pipeline networks. This consists of a so-called "reduced-order model" (ROM) obtained from reduction of the conservation law for energy for each pipe segment to an input-output relation between the pipe outlet temperature and the pipe inlet and ground temperatures. Linearity of the system enables construction of the input-output relation for generic inlet/ground temperature profiles from superposition of basic input-output relations denoted "unit step responses" that can be identified from training data. These step responses are expressed in semi-analytical Chebyshev expansions, which, besides efficient representation, has important advantages as admitting evaluation of function values at arbitrary time levels, easy incorporation in larger system models and exact performance of mathematical operations.

Training data for the ROM are generated by a full-order finite-difference model (FOM) for a 1D pipe configuration. However, the ROM readily generalizes to more complex pipe configurations involving 3D unsteady heat transfer and 3D steady flow. The only condition for its validity basically is heat-transfer mechanisms being linearly dependent on the temperature field. Thus the ROM in fact holds for a wide range of systems far beyond that considered in the present study.

Performance tests for a single pipe segment reveal that training by FOM data yields a ROM that indeed can accurately describe the input-output relations for arbitrary input profiles. Essential to this end is that the time steps for sampling these input profiles are sufficiently small to adequately capture their temporal variations. The Chebyshev expansions exhibit spectral convergence and thereby demonstrate that this representation is indeed highly suitable for efficiently capturing the input-output relations and constructing a data-based compact ROM.

Performance of the ROM is further investigated for the fast simulation of a small DH network consisting of one pipe segment receiving water with a prescribed supply temperature and a down-stream bifurcation into two pipe segments connected with end users. Comparison with benchmark simulations by the FOM for a variable supply profile reveals an accurate prediction of the outlet temperatures of each of the pipe segments by the ROM. Predicted outlet temperatures remain within (for practical purposes) reasonable bounds even for relatively coarse time steps and this makes the proposed ROM a robust simulation tool for practical DH systems.

The basic computational cost in terms of floating-point operations (flops) of the ROM is less for the FOM only for "higher" spatial resolution of the latter and a "lower" number of time steps. Moreover, the advantage of the ROM diminishes upon accounting for overhead other than the flops themselves. This suggests that the gain in computational performance by the ROM is limited. However, these findings hold only for relatively simple configurations (e.g. the 1D pipe segments considered here) and in case ROM and FOM require equal temporal resolution.

The situation dramatically changes in favour of the ROM in many practical cases. First, the temporal resolution for the ROM is determined solely by the pipe-wise input profiles and may therefore often be much coarser than for the FOM (which in addition depends on internal dynamics and numerical stability). Second, the computational cost for the FOM explodes for spatially more complex systems; for the ROM this is, regardless of the spatial complexity of the underlying physical system, irrelevant due to its dependence only on time. These factors may quickly amount to a reduction in computational cost by orders of magnitude. Further advantages of the ROM beyond computational aspects exist in ease of implementation and the fact that training data may also be obtained from (calibration) experiments or field measurements.

First successful application of the ROM for control purposes consists of the design and optimization of a PI controller to achieve a user-defined temperature profile at the outlet of a single-pipe DH system by regulation of the supply temperature. An important next step is incorporation of the ROM in advanced control strategies based on prediction of future behaviour such as e.g. Model Predictive Control (MPC). Another important next step (particularly for control purposes) is development of a ROM that, besides inlet/ground temperatures, admits a variable mass flux in pipe segments as a further system input. This fundamentally changes the problem by transforming the pipe configuration into a so-called "bilinear system" and, as a consequence, precludes the construction of the input-output relations from unit step responses. Incorporation of variable mass fluxes thus constitutes a major challenge to further development of the ROM.

References

- [1] R. Ferroukhi, P. Frankl, R. Adib, Renewable Energy Policies in a Time of Transition: Heating and Cooling (2020).
- [2] L. Capuano, International energy outlook 2018 (IEO2018), US Energy Information Administration (EIA): Washington, DC, USA 2018 (2018) 21.
- [3] D. Connolly, H. Lund, B. V. Mathiesen, S. Werner, B. Möller, U. Persson, T. Boermans, D. Trier, P. A. Østergaard, S. Nielsen, Heat Roadmap Europe: Combining district heating with heat savings to decarbonise the EU energy system, Energy policy 65 (2014) 475–489.
- [4] B. Talebi, P. A. Mirzaei, A. Bastani, F. Haghighat, A Review of District Heating Systems: Modeling and Optimization, Frontiers in Built Environment 2 (October 2016) (2016) 1–14. doi:10.3389/fbuil.2016.00022.

- [5] H. Lund, S. Werner, R. Wiltshire, S. Svendsen, J. E. Thorsen, F. Hvelplund, B. V. Mathiesen, 4th Generation District Heating (4GDH): Integrating smart thermal grids into future sustainable energy systems, Energy 68 (2014) 1–11.
- [6] Z. Li, W. Wu, M. Shahidehpour, J. Wang, B. Zhang, Combined heat and power dispatch considering pipeline energy storage of district heating network, IEEE Transactions on Sustainable Energy 7 (1) (2015) 12–22.
- [7] P. Li, H. Wang, Q. Lv, W. Li, Combined heat and power dispatch considering heat storage of both buildings and pipelines in district heating system for wind power integration, Energies 10 (7) (2017) 893.
- [8] A. Vandermeulen, B. van der Heijde, L. Helsen, Controlling district heating and cooling networks to unlock flexibility: A review, Energy 151 (2018) 103–115.
- [9] W. Gu, J. Wang, S. Lu, Z. Luo, C. Wu, Optimal operation for integrated energy system considering thermal inertia of district heating network and buildings, Applied energy 199 (2017) 234–246.
- [10] N. Deng, R. Cai, Y. Gao, Z. Zhou, G. He, D. Liu, A. Zhang, A MINLP model of optimal scheduling for a district heating and cooling system: A case study of an energy station in Tianjin, Energy 141 (2017) 1750–1763.
- [11] D. Wang, Y.-q. Zhi, H.-j. Jia, K. Hou, S.-x. Zhang, W. Du, X.-d. Wang, M.-h. Fan, Optimal scheduling strategy of district integrated heat and power system with wind power and multiple energy stations considering thermal inertia of buildings under different heating regulation modes, Applied energy 240 (2019) 341–358.
- [12] L. Merkert, P. M. Castro, Optimal Scheduling of a District Heat System with a Combined Heat and Power Plant Considering Pipeline Dynamics, Industrial & Engineering Chemistry Research 59 (13) (2020) 5969–5984.
- [13] B. d'André Novel, M. De Lara, Control Theory for Engineers, Springer-Verlag Berlin Heidelberg, 2013.
- [14] E. Camacho, C. Alba, Model Predictive Control, Advanced Textbooks in Control and Signal Processing, Springer London, 2013.
- [15] J.-P. Corriou, Process Control: Theory and Applications, Springer International Publishing, 2018.
- [16] C. Hirsch, Numerical Computation of Internal and External Flows, Butterworth-Heinemann Limited, 2006.
- [17] H. Palsson, Methods for planning and operating decentralized combined heat and power plants, Ph.D. thesis, Technical University of Denmark (2000).
- [18] I. Gabrielaitiene, B. Bøhm, B. Sunden, Evaluation of approaches for modeling temperature wave propagation in district heating pipelines, Heat transfer engineering 29 (1) (2008) 45–56.
- [19] K. Sartor, D. Thomas, P. Dewallef, A comparative study for simulating heat transport in large district heating network, Proceedings of ECOS 2015 (2015).
- [20] V. D. Stevanovic, B. Zivkovic, S. Prica, B. Maslovaric, V. Karamarkovic, V. Trkulja, Prediction of thermal transients in district heating systems, Energy Conversion and Management 50 (9) (2009) 2167–2173.
- [21] A. Benonysson, Dynamic modelling and operational optimization of district heating systems, Ph.D. thesis, Lab. Heating Air Conditioning, Technical Univ. of Denmark (1991).

- [22] J. Zheng, Z. Zhou, J. Zhao, J. Wang, Function method for dynamic temperature simulation of district heating network, Applied Thermal Engineering 123 (2017) 682–688.
- [23] H. V. Larsen, H. Pálsson, B. Bøhm, H. F. Ravn, Aggregated dynamic simulation model of district heating networks, Energy conversion and management 43 (8) (2002) 995–1019.
- [24] T. L. Bergman, F. P. Incropera, D. P. DeWitt, A. S. Lavine, Fundamentals of heat and mass transfer, John Wiley & Sons, 2011.
- [25] D. Clamond, Efficient resolution of the Colebrook equation, Industrial & Engineering Chemistry Research 48 (7) (2009) 3665–3671.
- [26] Y. Jianguang, Methods of heat transfer analysis of buried pipes in district heating and cooling systems, Applied Engineering 2 (2) (2018) 33–38.
- [27] S. Jayanti, Computational fluid dynamics for engineers and scientists, Springer, 2018.
- [28] J. W. Thomas, Numerical partial differential equations: finite difference methods, Vol. 22, Springer Science & Business Media, 2013.
- [29] E. Kreyszig, K. Stroud, G. Stephenson, Advanced engineering mathematics, Integration 9 (2008) 4.
- [30] C. Canuto, M. Y. Hussaini, A. Quarteroni, A. Thomas Jr, Spectral methods in fluid dynamics, Springer Science & Business Media, 2012.
- [31] K. Sartor, P. Dewalef, Experimental validation of heat transport modelling in district heating networks, Energy 137 (2017) 961–968.
- [32] P. Jie, Z. Tian, S. Yuan, N. Zhu, Modeling the dynamic characteristics of a district heating network, Energy 39 (1) (2012) 126–134.
- [33] R. Hunger, Floating point operations in matrix-vector calculus, Munich University of Technology, Inst. for Circuit Theory and Signal . . . , 2005.
- [34] I. N. Bronstein, K. A. Semendjajew, G. Musiol, H. Mühlig, Taschenbuch der Mathematik, Verlag Harri Deutsch, Frankfurt am Main, 2005.
- [35] J. G. Ziegler, N. B. Nichols, Optimum settings for automatic controllers, trans. ASME 64 (11) (1942).
- [36] G. Ellis, Control system design guide: using your computer to understand and diagnose feedback controllers, Butterworth-Heinemann, 2012.
- [37] A. S. McCormack, K. R. Godfrey, Rule-based autotuning based on frequency domain identification, IEEE transactions on control systems technology 6 (1) (1998) 43–61.
- [38] K. H. Ang, G. Chong, Y. Li, PID control system analysis, design, and technology, IEEE transactions on control systems technology 13 (4) (2005) 559–576.

Appendix A. Analytical solution for the semi-discrete model

The evolution of the state vector (17) with the nodal temperature values is governed by the LTI form (18) of the energy balances and admits

$$AT_{\infty} + Bg = 0$$
 \Rightarrow $T_{\infty} = -A^{-1}Bg = -A^{-1}(T_{in}b_1 + T_ob_2),$ (A.1)

as equilibrium $\lim_{t\to} \boldsymbol{T}(t) = \boldsymbol{T}_{\infty}$. Expressing the state vector relative to equilibrium (A.1), i.e. $\boldsymbol{T}' = \boldsymbol{T} - \boldsymbol{T}_{\infty}$, enables reformulation of (18) as

$$\frac{d\mathbf{T}'}{dt} = \mathbf{A}\mathbf{T}',\tag{A.2}$$

and readily yields

$$\mathbf{T}'(t) = e^{\mathbf{A}t}\mathbf{T}'_0,\tag{A.3}$$

as analytical solution for T', with $T'_0 = T_0 - T_\infty$ as initial condition. This, in turn, yields

$$T(t) = U(t)T_{\infty} + e^{\mathbf{A}t}T_{0}, \qquad U(t) = I - e^{\mathbf{A}t}, \tag{A.4}$$

as analytical solution for the original state vector. Subsequently expressing state vector T relative to its initial condition T_0 , i.e. $\widetilde{T} = T - T_0$, leads to

$$\widetilde{\boldsymbol{T}}(t) = \boldsymbol{U}(t)\widetilde{\boldsymbol{T}}_{\infty},\tag{A.5}$$

where

$$\widetilde{\boldsymbol{T}}_{\infty} = \boldsymbol{T}_{\infty} - \boldsymbol{T}_{0} = -\boldsymbol{A}^{-1}(T_{in}\boldsymbol{b}_{1} + T_{g}\boldsymbol{b}_{2}) - \boldsymbol{T}_{0} = -\boldsymbol{A}^{-1}(\widetilde{T}_{in}\boldsymbol{b}_{1} + \widetilde{T}_{g}\boldsymbol{b}_{2}), \tag{A.6}$$

follows from expressing (A.1) also relative to T_0 . Important to note is that the rightmost form of (A.6) only exists upon assuming a uniform initial condition $T_0 = T_0 \mathbf{1}$. Expansion of (A.5) via (A.6) in terms of $\widetilde{T}_{in,q} = T_{in,q} - T_0$ then gives

$$\widetilde{\boldsymbol{T}}(t) = -\boldsymbol{U}(t)\boldsymbol{A}^{-1}(\widetilde{T}_{in}\boldsymbol{b}_1 + \widetilde{T}_{q}\boldsymbol{b}_2) = \widetilde{T}_{in}\boldsymbol{f}_1(t) + \widetilde{T}_{q}\boldsymbol{f}_2(t), \tag{A.7}$$

with $\boldsymbol{f}_1(t) = -\boldsymbol{U}(t)\boldsymbol{A}^{-1}\boldsymbol{b}_1$ and $\boldsymbol{f}_2(t) = -\boldsymbol{U}(t)\boldsymbol{A}^{-1}\boldsymbol{b}_2$.

Appendix B. Implementation of input-output relation in Matlab-Simulink

Input-output relation (31) admits efficient implementation in Matlab-Simulink library blocks by an expression into a vector form. Represent (31) to this end by the generic form

$$T_{out}(t) = T_0 + \sum_{i=0}^{K} H(t - t_j) F(t - t_j) \Delta T_j,$$
 (B.1)

with $\Delta T_0 = T_{in,0}$ and $\Delta T_j = T_{in,j} - T_{in,j-1}$ for $j \geq 1$. Output T_{out} is at each discrete time level $t_k = k\Delta t$, with $k \geq 0$ and $t_0 = 0$, given by

$$T_{out}(t_k) = T_0 + \sum_{j=0}^{K} H(t_k - t_j) F_1(t_k - t_j) \Delta T_j,$$
(B.2)

and upon using $H(t_k - t_j) = 0$ for $j \ge k$ by virtue of Equation (28) yields

$$\begin{array}{lll} T_{out}(t_0) & = & T_0 \\ T_{out}(t_1) & = & T_0 + F(t_1 - t_0)\Delta T_0 = T_0 + F(\Delta t)\Delta T_0 \\ T_{out}(t_2) & = & T_0 + F(t_2 - t_0)\Delta T_0 + F(t_2 - t_1)\Delta T_1 = T_0 + F(2\Delta t)\Delta T_0 + F(\Delta t)\Delta T_1 \\ T_{out}(t_3) & = & T_0 + F(3\Delta t)\Delta T_0 + F(2\Delta t)\Delta T_1 + F(\Delta t)\Delta T_2 \\ & \vdots \\ \end{array}$$

$$T_{out}(t_k) = T_0 + F(k\Delta t)\Delta T_0 + \dots + F(j\Delta t)\Delta T_{k-j} + \dots + F(\Delta t)\Delta T_{k-1},$$
 (B.3)

as sequence of outputs for all time levels up to the current time level t_k . This leads to

$$T_{out}(t_k) = T_0 + \sum_{j=1}^k F(j\Delta t)\Delta T_{k-j} = T_0 + \sum_{j=1}^k F(t_j)\Delta T_{k-j} = T_0 + \mathbf{F}^{\dagger} \cdot \mathbf{\Delta T},$$
 (B.4)

as expression of (B.2) by the inner product of vector $\mathbf{F} = [F(t_k) \ F(t_{k-1}) \cdots F(t_1)]^{\dagger}$ and vector $\Delta \mathbf{T} = [\Delta T_0 \ \Delta T_1 \cdots \Delta T_{k-1}]^{\dagger}$. Vector \mathbf{F} simply contains the values of transfer function F(t) at the discrete time levels up to t_k and can easily be extracted from the pre-computed total vector $\mathbf{F}_{tot} = [F(t_K) \ F(t_{K-1}) \cdots F(t_1)]$ containing these values for the entire time span of interest $0 \le t_k \le t_K$ for a given simulation. Computation of $T_{out}(t_k)$ via vector form (B.4) is far more efficient than via summation (B.2) and therefore the former is implemented in Matlab-Simulink.