

Air drying resin and composition

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(54) Title: AIR DRYING RESIN AND COMPOSITION

(57) Abstract: The invention relates to an airdrying resin suitable for a coating composition characterized in that the resin is a polyesteramide prepared from: A) at least one anhydride chosen from the list containing succinic, glutaric, citraconic, itaconic or maleic anhydride or a combination of any of them, and B) at least one alkanol-amine, and C) at least one fatty acid, wherein the fatty acid is incorporated in the resin in an amount equivalent to an oil- length between 15 and 40%. This airdrying resin is both waterand solvent-soluble, having a combination of properties desirable for different types of applications. The invention relates also to a method for preparing such a polyesteramide resin, to a composition comprising at least one polyesteramide resin and a method for preparing such a composition, to a substrate fully or partially coated with this composition, a method for curing the coated substrate, the cured and coated substrate and the use of resin in various applications.

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AIR DRYING RESIN AND COMPOSITION

The invention relates to an airdrying polyesteramide resin functionalized with unsaturated fatty acid groups; it also relates to a method for preparing such a resin, to a composition comprising at least one polyesteramide resin and a method for preparing such a composition, to a substrate fully or partially coated with this composition, a method for curing the coated substrate, the cured and coated substrate and the use of resin in various applications.

A composition containing such a resin is known from WO 00/32708. In WO 00/32708 an airdrying coating composition is disclosed, which coating composition comprises a polyesteramide containing at least two carboxyalkylamide groups derived from an unsaturated acid with between 10 and 28 carbon atoms.

WO 00/32708 has the disadvantage that the airdrying coating composition can only be made either water- or solvent-soluble. The same coating composition cannot therefore be used for both kinds of systems.

In general, paint manufacturers produce paints by adding their choice of additional components to a coating composition, comprising a binder. Paint manufacturers choose in general different components and binders when producing water-borne paints and others when producing solvent-borne paints. Thus a paint manufacturer who produces both types of paints, water-borne and solvent-borne, needs a large variety of components and binders as each component or binder is suitable for only one kind of paint, either water- or solvent-borne. This is due to various reasons, for example different pigments or other additives that are needed for solvents of different nature. It would be very advantageous, for paint manufacturers as well as for the end-users, when one resin would be available for compositions that can be dissolved both in water and solvent, while keeping its airdrying property.

It is an object of the invention to overcome one or more of the abovementioned and other disadvantages and to provide an airdrying resin suitable for a coating composition. Surprisingly it was found that the desired resin properties were realized for a polyesteramide resin prepared from:

A) at least one anhydride chosen from the list containing succinic, glutaric,

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citraconic, itaconic or maleic anhydride or a combination of any of them,

B) at least one alkanol-amine, and at least one fatty acid, wherein that the fatty acid is incorporated in the resin in an amount equivalent to an oil-length between 15 and 40%.

This airdrying resin is both water- and solvent-soluble, having a combination of properties desirable for different types of applications.

A polyesteramide resin is a polymer having branched structure with a high functionality obtained by the polycondensation of for example anhydride with an alkanol-amine. Functionality is understood to be the average number of reactive groups of a certain type per molecule in the resin composition. For details regarding the compounds and the processes used to produce polyesteramide in general, patent WO 99/16810 can be consulted as reference. In the present invention, to obtain the required properties, the branched polyesteramide resin was chosen such as to have three principle building blocks: alkanol-amine, anhydride and fatty acid groups.

Surprisingly it was found that such a resin has airdrying properties and it is both water- and solvent-soluble. Especially, no airdrying hyperbranched resin was found until now that is water-soluble. Another advantage is that the resin is transparent and additionally a composition containing the polyesteramide resin is quickly hardened by curing.

The three components from which the polyesteramide resin is generally prepared are: A) at least one anhydride, B) at least one alkanol-amine and C) at least one fatty acid. Preferably, unsaturated fatty acids or mixtures of fatty acids that contain also unsaturated fatty acids can be used, in order to achieve the airdrying property of the polyesteramide resin determined by the presence of the double bonds within the fatty acid chains.

The anhydride used in the preparation of the polyesteramide according to the invention is: succinic, glutaric, citraconic, itaconic or maleic anhydride, or a combination of any of them. Preferably succinic or maleic anhydride is used, and more preferably a combination of succinic anhydride and maleic anhydride is used. A mole ratio of between about 1:1 and 1:10 maleic anhydride to the succinic anhydride assures a better water solubility. The additional advantage of the combination of maleic and succinic anhydride is that it also boosts the airdrying. Only maleic anhydride or a too high percentage of it can induce discoloration and side-reactions, possibly making the resin less suitable for certain coating compositions.

The alkanol-amine may be a monoalkanol-amine, a dialkanol-amine,

WO 2007/147559 PCT/EP2007/005385 - 3 -

a trialkanol-amine or a mixture of any of them. The degree of branching can be set via the alkanol-amines chosen. Highly branched structures with high functionality use as starting compounds di- and trialkanol-amines. Examples of suitable alkanol-amines are described in, for example, WO 00/32708. By preference, a dialkanol and more preferred diisopropanolamine (DIPA) is chosen.

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The fatty acid used in the preparation of the polyesteramide according to the invention will generally be a fatty acid having between 12 to 22 carbon atoms, preferably between 16 and 18 carbon atoms. Examples of suitable saturated aliphatic acids are for example 2-ethyl hexanoic acid, lauric acid, versatic acid and stearic acid. Examples of suitable unsaturated fatty acids include dehydrated castor oil fatty acid, linoleic acid and/or linolenic acid. Examples of useful natural oil fatty acids are tall oil fatty acid, sunflower oil fatty acid, corn oil fatty acid, cottonseed oil fatty acid, peanut oil fatty acid, linseed oil fatty acid, soybean oil fatty acid, rapeseed oil fatty acid, rice bran oil fatty acid, safflower oil fatty acid and/or sesame oil fatty acid. In general, both saturated and unsaturated fatty acid groups may be used, however unsaturated groups are preferred due to their role in the airdrying. Mixtures of all kinds of fatty acids may be used, however it is preferred when using a mixture to use a mixture of unsaturated fatty acids.

As a measure of the amount of fatty acid incorporated into the resin composition, the oil length is used. The oil length (OL) is here and hereinafter defined as the weight ratio of oil (fatty acids calculated as triglyceride equivalent) to the total resin composition. In traditional alkyd resins, a resin with an oil length of less than 40% oil is generally referred to as "short oil resin", from 40-60% oil is called medium oil resin, from 60-70% is called long oil resin and from 70-85% is called very long oil resin. For the polyesteramide resin according to the present invention, the oil length ranges between 15 and 40%. Below 15% OL airdrying was found to be difficult, while above 40% OL the resin was found to be no longer water-soluble.

The process for the preparation of the polyesteramide according to the invention is characterized in that the polyesteramide is obtained by reacting an anhydride, an alkanol-amine and a fatty acid wherein the equivalent ratio alkanol-amine : anhydride (D:A) ranges between

1.0 : 1.0 and 2.5 : 1.0. The part of the range with lower amounts of alkanol-amine (D:A between 1.0 : 1.0 and 1.5 : 1.0) is particularly advantageous when the alkanol-amine is a dialkanol-amine and the anhydride does not contain any reactive double bonds, such as for example succinic anhydride. The part of the range with higher amounts of

alkanol-amine (D:A between 1.5 : 1.0 and 2.5 : 1.0) is particularly advantageous when the alkanol-amine is a dialkanol-amine and the anhydride does contain reactive double bonds, such as for example maleic anhydride. The middle of the range is particularly advantageous when a mixture of anhydrides with and without reactive double bonds is used.

When the anhydride has substantially no reactive double bonds and the alkanol-amine is a dialkanol-amine, then the ratio F: (3D - 2A) preferably ranges between 0.05: 1.00 and 0.35: 1.00 wherein

F = the molar amount of fatty acid

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D = the molar amount of alkanol-amine

A = the molar amount of anhydride and

(3D-2A) = the molar amount of available hydroxyl groups on the polyesteramide backbone.

According to a preferred embodiment of the invention the ratio D: A ranges between 1.20: 1.00 and 1.40: 1.00 and the ratio F: (3D-2A) is between 0.10: 1.00 and 0.25: 1.00.

In another embodiment encompassing for example also when the anhydride has reactive double bonds the amount of fatty acid is defined by the oil length, and it is highly preferred that the amount of fatty acid in the method according to the invention is adjusted relative to the amount of alkanol-amine and the anhydride to ensure that the oil length of the resulting resin ranges between 15 and 40%.

The process for the preparation may take place as a one step or as a two-step process.

The two-step process may take place by a process wherein in the first step the anhydride is reacted with alkanol-amine in an equivalent ratio alkanol-amine: anhydride between 1.0:1.0 and 1.5:1.0 at a temperature between 20°C and 150°C, to form a β -hydroxyalkyl-amide, after which, at a temperature between 120°C and 180°C, a polyesteramide resin is obtained through polycondensation with water being removed through distillation and in the second step the fatty acid is added in such amount that the ratio F: (3D - 2A) ranges between 0.05 and 0.25 after which esterification with the β -hydroxyalkylamide groups of the polyesteramide resin takes place with water being removed through distillation.

The two-step process may also take place by a process wherein the anhydride is reacted with alkanol-amine in an equivalent ratio alkanol-amine: anhydride

between 1.0 : 1.0 and 1.5 : 1.0 at a temperature between 20°C and 150°C, to form a β-hydroxyalkyl-amide, after which, at a temperature between 120°C and 180°C, a polyesteramide is obtained through polycondensation with reaction water being removed and wherein the fatty acid is added before the polycondensation is completed. Preferably the fatty acid is added between 0.5 and 4 hours before the polycondensation is completed.

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The one step process takes place by a process wherein the anhydride, alkanol-amine and fatty acid are reacted at a temperature between 120°C and 180°C, reaction water being removed and wherein the equivalent ratio alkanol-amine: anhydride ranges between 1.0 : 1.0 and 1.5 : 1.0 and the ratio F : (3D - 2A) ranges between 0.05 and 0.25. The reaction water can be removed by methods known to the person skilled in the art, for example distillation, azeotropic distillation, etc. Preferably distillation is used.

A resin according to the invention can for example be used as a component in pigment pastes, as a sole binder or as an assisting binder.

The invention also relates to the use of the resin according to the invention in a pigment paste. Pigment pastes also have, between others, the problem of dual solubility, i.e. the ability to be soluble both in water and in solvent. The airdrying property is also for pigment pastes an important advantage due to the improved speed in drying. Various solutions were proposed to solve the problem of solubility both in water and in solvent, which is desirable for coating compositions. US5723537 describes a mixture of a water-soluble polyacrylate and a solvent-soluble polyester resin along with the use of a large amount of co-solvent. US4410657 describes a copolymer from acrylic (solvent-soluble) and N-vinylic monomers (water-soluble), also in the presence of a co-solvent. The disadvantage of both suggested solutions is that the presence of a co-solvent is necessary to homogenize mixtures of polymers and water; without the co-solvent the problem of dual solubility cannot be solved. The use of co-solvents in pigment pastes has several disadvantages. First of all, a large amount of co-solvent needs to be used to obtain a good viscosity range for a corresponding coating composition. Besides the fact that it is costly and thus less economical, after applying a coating composition containing such co-solvents, evaporation of a large amount of co-solvent takes place and that may have undesirable environmental and health effects. Also the levels of co-solvents that increase the VOC (volatile organic compound) level are more and more regulated by law. All the above-mentioned issues

WO 2007/147559 PCT/EP2007/005385 - 6 -

would certainly be disadvantages also from the point of view of the consumer.

EP0507202A1 relates to a dye paste suitable for a mixture with dye and binding agent systems, where the mixture contains water-soluble and solvent-soluble or emulsifiable organic polymeric binding agents. However, this mixture does not have the airdrying property, which property is necessary because airdrying coatings can be slowed down in the drying process by dilution of the binder with the non-airdrying pigment paste binder.

An embodiment of the invention is related to the use of the polyesteramide resin in a pigment paste further comprising at least one binder, at least one pigment and at least one component chosen from water or an organic solvent or a mixture of any of them.

The resin according to the invention can for example be used as additive. Examples of use of such additives may be as: dispersant for pigments, hardness modifier, in water and solvent-based paint compositions or as surfactant. As an additive, it can be used both in airdrying and non-airdrying systems. It can be also an open time improver or a rheology modifier. Here and hereinafter, with "open time" is meant the time window wherein it is still possible to make readjustments to a wet paint layer without causing a damaged appearance.

The invention also relates to a composition comprising at least one polyesteramide resin and at least one component chosen from water or an organic solvent or a mixture of any of them.

The invention also relates to a coating composition comprising at least one polyesteramide resin and at least one crosslinker.

The coating composition according to the invention may be used in all kinds of coatings, for example: water-borne or solvent-borne coatings, powder coatings or radiation curable coating compositions.

The invention also relates to a substrate, fully or partially coated with a coating obtainable by using a pigment paste prepared in the process described above.

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Examples

Preparation of fatty acid modified hyper branched polyesteramide.

Diisopropanolamine (DIPA) was introduced in a double-walled glass reactor, which was heated by means of thermal oil, fitted with a mechanical stirrer, a

WO 2007/147559 PCT/EP2007/005385

distillation head, nitrogen and vacuum connections. At 60°C the anhydride or the mixture of anhydrides was added to the vessel. The reaction mixture was continuously stirred and gradually heated to approx 150°C. After 30 minutes the fatty acid was added and vacuum was applied during heating. The vacuum in the reactor was adjusted to the release of reaction water so that this could be removed by distillation. After a total reaction time of approx 10 hours the viscous polymer had an acid value of < 5 mg KOH/gram resin. The resin was cooled to 95 °C and water of 95 °C was added under stirring in order to get a solid content appropriate for the application. After preparation of the polyesteramide, the solubility in water and white spirit was established by visual inspection (presence of phase separation) following mixing of resin with water or white spirit. In Table 1, the experimental data for examples 1-7 is presented.

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Table 1

solubility	White	spirit			+	+	+	+	+	+	+	+
	Water			+	+	+	+	+	+	+	+	1
Oil length			(%)	11	18	23	28	28	33	38	38	49
Fatty acid	0,00) ()	(6)	105.40	172.28	218.03	261.14	260.47	311.11	354.75	353.95	457.80
DIPA/Anhydride	Mole Doto			1.4	1.4	1.4	1.4	1.4	1.4	1.4	1.4	1.4
Anhydride	Maleic	Anhydride	(b)	153.7	142.21	134.35	126.94	•	118.36	110.86	1	93.16
Anhy	Succinic	Anhydride	(6)	158.84	145.11	137.09	129.53	258.40	120.77	113.12	225.73	92.06
DIPA			(6)	584.07	540.40	510.53	482.38	481.14	449.76	421.27	420.32	353.99
Resin	example		Unit	-	2	8	4	4a	5	9	ба	7

WO 2007/147559 PCT/EP2007/005385

- 9 -

From Table 1 it is found that the resins with oil length between 15-40% are soluble in both water and oil.

Preparation of pigment pasta

- Resin No 3 as described above was then used as a dispersant in a pigment paste with the following formulation:
 - 62% pigment Bayferrox 130 M.
 - 1% Bentone SD-2.
- 10 10% Resin No 3.
 - 27% water.

The paste was milled in the standard way using glass beads resulting in a stable homogeneous pigment paste

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Optical properties

A coating composition was prepared by mixing by standard means 3 grams of the above prepared pigment paste based on Resin No 3 with 20 grams WB alkyd emulsion based on Uradil 554 leading to a homogeneous coating composition denoted Formulation a. Thereafter Formulation a was applied to a contrast card (100 um film) by standard means and the coating composition was allowed to cure at room temperature to form a dry film. The optical properties were established by a standard lab-tester for the dried film of the coating composition as indicated below.

25 *L -0.29
 *a -0.07
 *b -0.39
 Delta E 0.47

100%

Color strength

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Based on these data it may be concluded that the resulting dry film showed a good optical appearance on the level of what is required by a coating composition. The polyester amide pigment base was found to be compatible with both Alkyd resin Uralac AD44 in white spirit (60%) and water born Alkyd resin Uradil 554.

<u>Hardness</u>

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For comparison, hardness data of the white paint base based on WB alkyd emulsion Uradil 554 without pigment paste were collected (formulation b). A comparative coating sample (Formulation c) was also prepared similar as described for the sample with pigment paste and Resin No. 3, but with a commercially available pigment concentrate based on Disperbyk 185 replacing Resin No. 3.

The König hardness development in dry films of the coatings based on formulation a, b and c was measured after 3 days. König hardness was measured according to ASTM D 4366. The results of the hardness measurements are shown in Table 2.

Table 2

System	Pendulum hardness after 3 days			
	König			
	(s)			
Formulation a (with pigment paste according to invention)	18			
Formulation b (without pigment paste)	18			
Formulation c (with pigment paste Comparative example)	9			

From this table, it is observed that the König hardness values are similar for the formulations with and without pigment paste according to the invention (Formulation a and b, respectively), and that the formulation with pigment paste according to the invention (Formulation a) provides superior hardness as compared to the formulation with a standard pigment paste (Formulation c)

WO 2007/147559 PCT/EP2007/005385 - 11 -

CLAIMS

- 1. Airdrying resin suitable for a coating composition characterized in that the resin is a polyesteramide prepared from:
- A) at least one anhydride chosen from the list containing succinic, glutaric, citraconic, itaconic or maleic anhydride or a combination of any of them, and
 - B) at least one alkanol-amine,
 - C) at least one fatty acid,

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- 10 characterized in that the fatty acid is incorporated in the resin in an amount equivalent to an oil-length between 15 and 40%.
 - 2. Resin according to claim 1 characterized in that the polyesteramide is prepared from only succinic and/or maleic anhydride as the anhydride, preferably the polyesteramide is prepared from a combination of succinic anhydride and maleic anhydride and more preferably the mole ration between maleic anhydride to succinic anhydride is between 1 : 1 to 1 : 10.
 - 3. Resin according to any one of the claims 1 to 2, wherein the fatty acid comprises an unsaturated fatty acid selected from the group of dehydrated castor oil fatty acid, linoleic acid, linolenic acid, tall oil fatty acid, sunflower oil fatty acid, corn oil fatty acid, cottonseed oil fatty acid, peanut oil fatty acid, linseed oil fatty acid, soybean oil fatty acid, rapeseed oil fatty acid, rice bran oil fatty acid, safflower oil fatty acid, sesame oil fatty acid and a mixture of at least two of these fatty acids.
- 4. Resin according to any one of the claims 1 to 3, wherein the alkanol-amine is a dialkanol, preferably the alkanol-amine is diisopropanolamine and/or diethanolamine, most preferably the alkanol-amine is diisopropanolamine.
 - 5. Process for the preparation of a polyesteramide according to any one of the claims 1 to 4 in one step by reacting A, B, C, at a temperature between 120-180°C while reaction water is removed and whereby the equivalent ratio alkanol-amine: anhydride ranges between 1.0 : 1.0 and 2.5 : 1.0.
 - 6. Process for the preparation of a polyesteramide according to any one of the claims 1 to 4 in two steps comprising step 1 wherein the anhydride is reacted with alkanol-amine in an equivalent ratio alkanol-amine : anhydride between 1.0:1.0 and 1.5:1.0 at a temperature between 20° C and 150° C, to form a β -hydroxyalkyl-amide and step 2 wherein a polyesteramide is obtained at a

WO 2007/147559 PCT/EP2007/005385 - 12 -

- temperature between 120°C and 180°C through polycondensation, with reaction water being removed and wherein the fatty acid is added before the polycondensation is completed.
- 7. Process according to claim 5 or 6, wherein fatty acid is added in an amount to realize an oil length of the resulting resin in the range of 15 and 40%.
 - 8. Composition comprising at least one resin according to anyone of claims 1 to 4 and at least one component chosen from water or an organic solvent or a mixture of any of them.
- 9. Coating composition comprising at least one resin according to anyone of claims 1 or 4 and at least one crosslinker.

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- 10. Substrate, fully or partially coated with a composition according to claim 8 or 9.
- 11. Use of resin according to anyone of claims 1 or 4 in a pigment paste comprising at least one resin according to anyone of claims 1 or 4, at least one pigment and at least one component chosen from water or an organic solvent or a mixture of any of them.

INTERNATIONAL SEARCH REPORT

International application No PCT/EP2007/O05385

A. CLASSIFICATION OF SUBJECT MATTER INV. C09D177/12 C08G69/44 C08G63/685								
.								
According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED								
Minimum do	ocumentation searched (classification system followed by classification	on symbols)	·					
C09G	C09D							
Documental	Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched							
Electronic d	ata base consulted during the international search (name of data bas	se and, where practical, search terms used)						
EPO-Internal								
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT							
Category*	Citation of document, with indication, where appropriate, of the rele	evant passages	Relevant to claim No.					
A	WO 00/32708 A (DSM NV [NL]; BENTHEM 1-11 RUDOLFUS ANTONIUS THEO [NL]; BEETSMA JOCHUM [NL];) 8 June 2000 (2000-06-08) cited in the application claims 1,9,10							
	page 1, line 23 - line 30 page 9, line 21 - page 13, line 2							
A	US 2 638 449 A (WHITE RALPH V ET 12 May 1953 (1953-05-12) examples 2,23	1-11						
A	EP 0 326 356 A1 (NIPPON OILS & FA [JP]) 2 August 1989 (1989-08-02) page 6, column 61 - column 65	1-11						
								
Further documents are listed in the continuation of Box C. X See patent family annex.								
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INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No
PCT/EP2007/005385

	atent document d in search report		Publication date		Patent family member(s)	Publication date	
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