## Partitioning and eigenvalues

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# EINDHOVEH UNIVERSITY OF TECHNOLOGY 

Department of Mathematics

## Memorandum 1976-11

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Partitioning and eigenvalues

by<br>Willem Hammers

Technological University
Department of Mathematics
PO Box 513, Eindhoven
The Netherlands

## Partitioning and eigenvalues

by

## Willem Haemers

Let $A$ be a complex hermitian matrix of size $n$, which is partitioned into block-matrices:

$$
A=\left[\begin{array}{ccc}
A_{11} & \cdots & A_{1 m} \\
A_{m 1} & \cdots & A_{m m}
\end{array}\right]
$$

such that $A_{i j}$ is a square matrix for all $1 \leq i \leq m$. Let $B$ be the matrix of size $m$, any element $b_{i j}$ of which equals the average rowsum of the block $A_{i j}$. Then the eigenvalues of $A$ and $B$ are real numbers, and it is known that the eigenvalues of $B$ lie between the largest and the smallest eigenvalue of $A$, cf. [1], [3] where this fact is used under the name Higman-Sims technique. Here we prove a more general result:

Theorem. The eigenvalues $\alpha_{1} \geq \ldots \geq \alpha_{n}$ of $A$ and the eigenvalue $\beta_{1} \geq \ldots \geq \beta_{m}$ of B satisfy

$$
\alpha_{n-m+i} \leq \beta_{j} \leq \alpha_{i}, \quad \text { for all } 1 \leq i \leq m
$$

This property is often expressed as "the spectrum of B interlaces the spectrum of $A^{\prime \prime}$.

Proof. Let $d_{i}$ be the size of $A_{i j}$. Consider the $m \times m$ matrix $D$, and the $m \times n$ matrix $S$ defined by


Then we have $B=D^{-1} S A S^{H} D$, and $S S^{H}=I$, as can easily be verified.

Let $T$ be a matrix of size $(n-m) \times n$, whose rows form an orthonormal basis of the orthogonal complement of the row-space of: $S$, then $R:=\left|\begin{array}{c}S \\ T\end{array}\right|$ satisfies $R^{H}=R^{-1}$, Computing $R A R^{-1}$ we obtain

$$
\mathrm{RAR}^{-1}=\operatorname{RAR}^{H}=\left[\begin{array}{ll}
\mathrm{SAS}^{H} & \mathrm{SAT}^{H} \\
\mathrm{TAS}^{H} & \mathrm{TAT}^{H}
\end{array}\right]
$$

Now the theorem is proved, because the spectrum of any principal submatrix of a hermitian matrix interlaces the spectrum of that matrix, cf. [2], p. 119. Indeed, $B$ is cospectral to $S A S^{H}$, which is a principal submatrix of the hermim tian matrix $\operatorname{RAR}^{-1}$, which is cospectral to $A$.

Remark 1. If any block $A_{i j}$ has a constant rowsum then $A S D=S D_{D}{ }^{H}$, as can easily be verified. If in addition $B$ has eigenvalue $B$, whose eigenspace is spanned by the columns of $X$, say, then we have $\lambda X=B X, \lambda S^{H} D X=S^{H} D B X=A S^{H} D X$. Hence the column-space of $S^{H} D X$ is an eigenspace of A belonging to the eigenvalue $B$. So in this case the spectrum of 3 is a sub(multi)set of the spectrum of A (note that in this case we do not need to take A hermitian).

Remark 2, Let $\bar{B}, \bar{D}$ and $\bar{S}$ be defined analogous to $B, D$ and $S$, but with respect to another partition of $A$, which is a refinement of the above partitioning. Then the spectrum of $B$ interlaces the spectrum of $\bar{B}$ (note that in an extremal case we have $A=\bar{B}$ ). This can be proved in a similar way as above: first rea-


Remark 3. Of course everything remains valid if "rowsum" is replaced by "columnsum".

## Literature

[1] Hestenes, M.D. and D.G. Higman; Rank 3 groups and strongly regular graphs, Computers in Algebra and Number Theory, SIAM-AMS Proceedings, vol. IV, Amer. Math. Soc., (1971).
[2] Marcus, M. and H. Minc; A survey of matrix theory and matrix inequalities, Allyn and Bacon, Boston (1964).
[3] Payne, S.E.; Finite generalized quadrangles: a survey, proceedings of Washington State Univ. Conference on Proj. Planes (1973).

