

Emulsion polymerization of butadiene. 4. Effect of thiols

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Emulsion polymerization of butadiene, 4a)

Effect of thiols

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SUMMARY

The role of thiols of low water solubility, commonly used in the emulsion polymerization of butadiene, has been considered. The following effects have become apparent: **(1)** dodecanethiols act as efficient chain transfer agents in limiting the formation of heavily cross-linked polymer networks; (2) the monomer concentration within the particles is not influenced by such thiols; **(3)** C_{12} -thiol radicals do not desorb because of their extremely low water solubility. The 'promoting' effect' of thiols in emulsion polymerizations of diene-hydrocarbons is still poorly understood, but it appears to be related to impurities present in the emulsifier, as it was found completely absent in emulsifier-free polymerizations.

Introduction

In earlier papers the *ab initio* emulsion polymerization of butadiene with Dresinate 214 ¹⁾ and sodium dodecylsulfate²⁾ as emulsifiers, both in the presence of tertiary dodecanethiol as chain transfer agent, were discussed. Chain transfer agents are routinally used in diene-polymerizations to limit the extent of branching and crosslinking of the polymer, and thus the amount of heavily cross-linked insoluble gel. **A** limited gel content greatly facilitates processability of the crude polymer, such as in the compounding of synthetic rubbers.

However, chain transfer agents also exert other, sometimes less desirable effects in emulsion polymerization systems. It is well known they can promote radical desorption **334),** thus lowering the rate of polymerization. Furthermore, slightly watersoluble thiols were found to be essential to bring about reaction at acceptable rates in persulfate-initiated polymerizations of butadiene(75 by weight)-styrene(25 by weight)⁵⁾ or butadiene⁶, a phenomenon referred to as the 'promoting effect'.

This article describes the various effects of the chain transfer agent tertiary dodecanethiol (t-DT) in the *ab initio* emulsion polymerization of butadiene, with regard to the process of gel formation as well as to the reaction kinetics.

Experimental part

Materials and procedures: All materials used are of high purity quality, except Dresinate **214** (from Hercules bv, Den Haag, Holland) which is a disproportionated mixture of abietic acid-type of derivatives, and tertiary dodecanethiol (from Pennwalt Chemicals, Rotterdam, Holland) which

Part **3:** cf. P. A. Weerts, **J. L.** M. van der Loos, A. L. German, *Makromol. Chem. 192,* 1993 (1991), preceding article.

⁰ 1991, Hiithig & Wepf Verlag, Base1 CCC 0025-1 16)</91/\$05.00

is a crude mixture of C12-isomers. **A** well-defined mixture of two isomeric t-dodecanethiols (from Fluka AG, Buchs, Switzerland) was also used: $(CH_1)_3CCH_2C(H_3)_2CH_3C(CH_1)_2SH$ and (CH_3) ₃CCH₃ $CH_2C(H_3)$ (SH)CH₂C(CH₃)₃. Unless stated otherwise, t-dodecanethiol (t-DT) refers to the commercial grade material supplied by Pennwalt. 1 -Dodecanethiol (n-DT, **90%,** from Merck, Darmstadt, FRG) was used as received.

The standard polymerization recipes and reaction conditions are given in Tab. 1, while further experimental details can be found in earlier papers^{1,2)}.

| Ingredient | Recipe 1 | Recipe 2 | |
|---|----------|----------|--|
| Water | 230 | 230 | |
| Butadiene | 100 | 100 | |
| Dresinate 214 | 7,6 | | |
| Sodium dodecyl sulfate (SDS) | | 7.6 | |
| K^+/Na^+ carbonate ^{b)} | 4,4 | 2,0 | |
| K^+ /Na ⁺ persulfate ^{b)} | 0,8 | 0,7 | |
| t-Dodecanethiol | 0,7 | 0,7 | |

Tab. 1. Standard polymerization recipes in parts by weight **a)**

a) [Initiator] = 13 mmol·L⁻¹, temp. = 62°C, pH 10,5-10,8.

b) In recipe 1 potassium salts and in recipe 2 sodium salts were used.

The average particle diameters measured with dynamic light scattering (DLS) are weightaverage diameters \bar{d}_w (= $\sum n_i \cdot d_i^4 / \sum n_i \cdot d_i^3$), and those obtained with transmission electron microscopy (TEM) mean volume-average diameters $\overline{d_v}$ (= { $\sum n_i \cdot d_i^3 / \sum n_j^{1/3}$), unless stated otherwise. The particle number N (in mL^{-1}) was calculated from the polymer content and the average particle volume. The polymerization rate R_{pol} (in g \cdot mL⁻¹ \cdot s⁻¹) was taken as the slope of the linear portion of the conversion **vs.** time curve, i. e., interval II.

Gel analysis: Although the gel content of a cross-linkable polymer is theoretically well defined as the fraction of material of "infinite" molecular weight, experimental criteria are usually more arbitrary, and depend strongly on the experimental procedure. The same holds for the gel point, i. e., the conversion at which the first insoluble polymer network makes its appearance. Therefore, the procedures followed to determine the gel content and gel point in this study will be described in some detail.

The first method is based on *toluene extraction* of the soluble fraction of polybutadiene (PB). The insoluble residue is considered the gel. The polybutadiene is isolated from the latex by precipitation in acidic methanol, followed by repeated washing with water and methanol. The polymer is dried under nitrogen at 50°C. Toluene (100 mL) is pipetted to 1 g of an accurately weighed PB samplein a stoppered flask. After gentle shaking for at least **48** hat room temperature, the content is passed over a 100 mesh filter, and 50 mL of the filtrate evaporated to dryness in a weighed aluminium dish.

The gel content is simply calculated according to $(w_{\text{PR}} - 2 w_{\text{F}})/w_{\text{PR}}$, where w_{PR} is the weight of the PB sample and w_F is the weight of the dried filtrate. Linear extrapolation to zero of the gel content as a function of conversion gives the experimental gel point. This can be markedly different from the theoretical gel point, since any possible gel structures of latex particle dimensions or smaller (i. e., microgel) that persist after coagulation remain undetected by this method, as they pass through the filter. It **is** assumed that during isolation of PB from the latex the microgel agglomerates completely.

The socalled *vistex method* is based on measurements of the intrinsic viscosity [q] of diluted latex solutions. The procedure is a slightly modified version of the one described by Henderson and Legge '). One gram of latex is dissolved in 100 mL toluene-isopropyl alcohol (80 : **20** by vol.), and diluted with pure toluene, successively. The viscosity was measured with an Ubbelohde viscometer at 25 °C, and $[\eta]$ in pure toluene is obtained by extrapolation of the reduced or inherent viscosity to zero concentration. **A** plot of *[q]* versus conversion has a pronounced maximum, taken as the gel point.

Initially *[q]* increases with conversion, as molecular weight increases through branching and cross-linking. Although the presence **of** toluene-swollen microgel may still raise *[q]* somewhat, as the amount **of** microgel and the cross-link density therein increases, the contribution to *[q]* inevitably decreases and a maximum in the *[q]* vs. conversion curve occurs.

Results and discussion

Thiol as chain transfer agent

The gel points were determined with the vistex and extraction procedures for polymerizations with Dresinate 214 (recipe 1) as emulsifier (Fig. 1). Agreement between these techniques is good; in both cases toluene was used **as** solvent/diluent. Results with varying amounts of t-DT are summarized in Tab. **2.**

Tab. **2.** Gel points for polymerizations with Dresinate **214** (recipe 1) and different amounts **of** t-dodecanethiol (t-DT)^{a)}

^{a)} The particle number was $1,0$ ($\pm 0,05$) $\cdot 10^{15}$ mL⁻¹ in all experiments.

 $b)$ C_{t-TJT} expressed in grams t-DT per gram of butadiene.

As expected, the gel point goes to higher conversions with increasing amounts of thiol. However, there appears to be no significant effect on the polymerization kinetics; both R_{pol} and N are identical within experimental error. The polymerization rate R_{pol} is usually expressed as:

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$$
R_{pol} = \frac{dc}{dt} n_M^o = k_p C_M (\bar{n}/N_{Av}) N
$$
\n(1)

where *c* is the fractional conversion, n_M° is the initial amount of monomer per unit volume of water, k_p is the propagation rate coefficient, C_M the monomer concentration within the latex particles, \bar{n} the average number of radicals per particle, and N_{Av} Avogadro's number.

Cross-linking and network formation may possibly affect the monomer concentration within the particles (and thus the kinetics). Even when monomer and polymer are completely miscible, the swelling of a particle is limited, because the free energy of mixing, which favours swelling, is counterbalanced by the resulting increase in interfacial energy. The monomer concentration at saturation swelling is described by the Morton-Kaizerman-Altier equation⁸⁾:

$$
-H_{\rm v}-H_{\rm v}^2\ln(1-1/H_{\rm v})=\chi+\frac{4\ V_{\rm M}\ \gamma}{d\ R\ T}\ H_{\rm v}^{5/3}\tag{2}
$$

where H_v is the volume swelling ratio, V_M the molar volume of the monomer, *d* the unswollen particle diameter, χ the Flory-Huggins interaction parameter, γ the interfacial tension, R the gas constant and T the absolute temperature. H_v is related to the monomer volume fraction ϕ_M by: $H_v = 1/(1 - \phi_M)$.

For densely cross-linked latex particles, the contribution of the elastic energy in limiting the swelling capacity is given by the Flory-Rehner theory⁹, and is expressed in Eq. **(2)** by an extra term on the left-hand side:

$$
-(V_{\rm M} \rho_{\rm p}/M_{\rm c})(H_{\rm V}^{5/3} - H_{\rm v}/2) \tag{3}
$$

where ρ_p is the polymer density and M_c the average molecular weight of the segments between two cross-links.

Given the large variation in gel point (i. e., cross-link density) and the constancy of R_{pol} and final N in the experiments described above (Tab. 2), the effect of t-DT on C_{M} appears negligible. Even in the absence of t-DT the cross-link density is still too low (or alternatively M_c too large) to further restrict monomer solubility within the particles under the prevailing experimental conditions.

In inverval **I11** (i.e., the regime where polymerization is commencing in the latex particles in the absence of monomer droplets) the monomer concentration in the particles is decreasing continuously, so it is convenient to remove C_M from the right side of Eq. (1) and write the expression in terms of the fractional conversion in interval 111, *x*, with $x = (C_M^{\circ} - C_M)/C_M^{\circ}$, and C_M° the initial monomer concentration in the particles¹⁰):

$$
-\frac{\mathrm{d}\ln(1-x)}{\mathrm{d}t}=\frac{k_{\mathrm{p}}\,C_{\mathrm{M}}^{\circ}\,\bar{n}\,N}{N_{\mathrm{Av}}\,n_{\mathrm{M}}}\tag{4}
$$

where n_M is the amount of monomer present at the beginning of interval III. Since n_M $= (1-x') n_{\text{M}}^{\circ}$, with x' the conversion where interval III begins, we can easily calculate x' from the experimental values of interval II (R_{pol}) and interval III rate $(-d \ln(1-x)/dt)$.

Previously¹¹⁾ an average value of 0,60 was determined for x', and this value was found to be independent of particle size between **30** and 150 nm. The values of *x'* at different levels of t-DT for polymerizations with SDS (Tab. **3)** and Dresinate 214 were also found identical within experimental error, and in perfect agreement with our previous results.

Altogether, it can be safely concluded that the saturation monomer concentration C_M is constant and independent of the amount of t-DT in the present system.

Tab. 3. The conversion x' where interval III begins, for polymerizations with $32.4 \text{ g} \cdot \text{L}^{-1}$ SDS and different amounts of t-DT

| | | $10^2 \cdot C_{1-DT}/(g \cdot g^{-1})$ | | | | |
|-----------------|----------|--|-------|-------|-------|--|
| | $_{0,0}$ | $_{0.0}$ | 0.7 | | 2,8 | |
| Conversion x' | 0.616 | 0,609 | 0,598 | 0.610 | 0,625 | |

Promoting effect of thiols

Another important effect of slightly water-soluble thiols is the so-called 'promoting effect'. At the time of the synthetic rubber program during World War I1 it was already known that the persulfate-initiated emulsion polymerization of butadiene(75 by weight)-styrene(25 by weight) or butadiene alone was extremely slow in the absence of an appropriate thiol. In the presence of such thiols, R_{pol} is virtually independent of the persulfate concentration within wide limits **12),** and above a certain minimum level also independent of the amount of thiol⁵⁾. Similar results were found for the emulsion polymerization of isoprene **13).** This rate-enhancing effect was commonly explained as being caused by a redox reaction between persulfate and thiol. Hydrogen abstraction by sulfate radical-anions gives uncharged, lyophilic thiol radicals, which supposedly are more efficient in entering a latex particle. Indeed, thiols containing 8 to 12 C-atoms solubilized in emulsifier solutions are oxidized by persulfate to disulfides **14).** The latter compounds are easily envisaged as being formed by combination of thiol radicals.

The promoting effect is reconsidered here qualitatively, using as emulsifiers Dresinate 214, sodium dodecyl sulfate (SDS), potassium stearate and potassium oleate, and as initiators sodium (SPS) or potassium peroxodisulfate (PPS), 4,4'-azobis- (4-cyanopentanoic acid) (ACPA) and **2,2'-azoisobutyronitrile (AIBN).** In a preliminary communication⁶ (Tab. 4) it was shown that the promoting effect was specific of persulfate-initiated polymerizations with Dresinate 214 as emulsifier. However, at lower

Fig. 2. Variation of polymerization rate R_{pol} with radical production rate per gram emulsion *R* for three initiators in the presence (open symbols) and absence (full symbols) of tdodecanethiol (recipe **1): PPS** (\circ) , **ACPA** (\triangle) and **AIBN** (∇) . For details on *R* (= 2 k_d [I] N_{Av}) see ref.¹⁾

concentrations of ACPA, the polymerizations in the absence of t-DT also become markedly slower (Fig. **2,** extension of Fig. **1** in ref.')). The type and amount of initiator clearly plays an important role, The type of emulsifier also appears to be crucial in the promoting effect of t-DT, as Tab. *5* reveals large differences between the emulsifiers employed. It may be noted that Ishigure et al. **Is)** found a similar increase in R_{pol} of about 30% with SDS in the radiation-induced emulsion polymerization of butadiene.

As pointed out by other investigators **s),** reproducibility of experiments without thiol is greatly affected by traces of impurities. Bhakuni **16)** showed that with peroxidecontaining emulsifiers some polymerization occurs in the absence of thiol, whereas with peroxide-free emulsifiers no polymerization was observed. The results in Tab. *5* confirm the suggestion that impurities present in the emulsifier need to be considered in relation to the poorly understood promoting effect.

Altogether, the experimental data presented here qualitatively confirm the observations from early literature, but also gross quantitative differences become apparent, related to the nature and concentrations of emulsifier and initiator. This certainly is not helpful in clarifying the mechanistic role of thiols of low water solubility in the emulsion polymerization of butadiene. In an attempt to eliminate artifacts introduced by the emulsifier, *ab initio* emulsifier-free emulsion polymerizations with potassium persulfate as initiator were performed.

Em ulsvier- free polymerizations

Emulsifier-free polymerizations in the presence and absence of t-DT were performed; the monomer/water ratio was chosen low (i. e., **1/9** by weight) to reduce the polymerization time to an acceptable level (after 25 h a conversion of 90% was reached).

The kinetics in interval I1 of both emulsifier-free polymerizations, and of similar polymerizations with emulsifier and t-DT, were all found identical within experimental

^{b)} R_{nod} determined between 20 to 35% conversion.

error, taking into account the variation of R_{pol}/N with particle size (Tab. 6). These data are consistent with the observation that R_{pol}/N is solely determined by particle size, and not by the parameters utilised to manipulate the final particle diameter $\binom{11}{2}$. This behaviour strongly suggests that the promoting effect is indeed related to impurities present in the emulsifier, although experimental evidence is insufficient to draw definitive conclusions.

Tab. *6* Kinetic and particle size data for some emulsifier-free emulsion polymerizations of butadiene (for details see text)^{a)}

| $C_{\rm E}$ $g \cdot L^{-1}$ | $10^3 \cdot C_{t-DT}$ $g \cdot g^{-1}$ | $10^5 \cdot R_{\text{pol}}$ $g \cdot mL^{-1} \cdot s^{-1}$ | $10^{20} \cdot R_{\rm pol}/N$ $g \cdot s^{-1}$ | $\bar{d}_{\rm v}/\rm{nm}$ $(at 90\%)$ | $(=\bar{d}_{\rm w}/\bar{d}_{\rm n})$ |
|---------------------------------|---|---|---|--|--------------------------------------|
| 0,0 | $_{0,0}$ | 0,19 | 4,7 | 173 | 1,26 |
| 0,0 | 7,0 | 0,18 | 3,9 | 167 | 1,16 |
| $4,0^{b}$ | 7,0 | 0,79 | 4,9 | 173 | 1,03 |

^{a)} Experimental details of emulsifier-free runs: $M/W = 1/9$ (w/w), [PPS] = 10 mmol $\cdot L^{-1}$, $[K_2CO_3] = 10$ mmol $\cdot L^{-1}$.

 $^{b)}$ Polymerization described in ref.²⁾.

The particle size and size distribution of the final latexes of these emulsifier-free polymerizations (Fig. **3)** reveal some other marked peculiarities. For the emulsifier-free emulsion polymerization of styrene, Goodwin et al. $\frac{1}{7}$ derived an empirical correlation between the particle diameter, initiator and monomer concentration, ionic strength, and temperature. From this relationship it follows that under the experimental conditions specified in Tab. 6, a monodisperse polystyrene (PS) latex with a diameter of 700 nm should be obtained. In contrast, the polybutadiene (PB) latexes prepared by us are highly polydisperse and of a significantly smaller diameter. The large polydispersity strongly suggests that (homogeneous) particle nucleation is not restricted to the very beginning of the polymerization, and that once formed, the PB particles appear highly stable towards coagulation. Consequently, the number of sulfate end-groups on these PB particles must be significantly larger than on PS particles prepared under identical conditions. This is fully consistent with the observation that the volume growth rate (which is mainly determined by k_p and \bar{n}) of a PB particle is significantly smaller than the one for an equally sized PS particle¹¹⁾.

Although the so-called promoting effect is far from being understood some aspects have become clearer, such as:

- The structure and purity of the emulsifier, as well as concentration and type of initiator, all play a crucial role.
- There is no apparent reason why sulfate radical anions (or oligomers thereof) should not initiate butadiene, as is shown in the persulfate-initiated emulsifier-free polymerizations in the absence of thiol.
- Although this does not disprove the involvement of thiol radicals in the initiation mechanism in polymerizations with emulsifier, a simple redox reaction between thiol and persulfate grossly oversimplifies the actual situation.

The most puzzling aspect yet remains to be solved: why is the promoting effect specific of diene-hydrocarbons, such as butadiene and isoprene, and not showing up when using other monomers. Styrene can readily be polymerized without a chain transfer agent, using any type of initiator or emulsifier (including Dresinate 214), both at widely varying concentrations.

Radical desorption

see text

Regardless of complexity of the data presented above, one common characteristic is the observation that addition of t-DT never decreases the steady state R_{pol} , nor the average rate per particle R_{pol}/N (and thus \bar{n}). If a chain transfer agent were facilitating desorption, a decrease in R_{pol}/N is anticipated, yet all experimental data indicate the reverse.

Nomura et al.³⁾ investigated the effect of radical desorption by chain transfer agents in the emulsion polymerization of styrene. Carbon tetrachloride and tetrabromide,

1,2-ethanethioI, and 1 -butanethiol were found to give extensive radical desorption; in contrast, 1 -dodecanethiol (n-DT) did not affect the polymerization rate nor the particle number. The fact that n-DT radicals apparently do not desorb was attributed to their extremely low water solubility. The concentration of n-DT was varied between $2.7 \cdot 10^{-5}$ and $1.1 \cdot 10^{-4}$ mol \cdot g⁻¹ styrene, comparable with that in the present system, $viz. 3,5 \cdot 10^{-5}$ mol t-DT per gram butadiene.

A further check was made regarding the quality of the commercial grade t-DT (supplied by Pennwalt) used until now, being a crude mixture of C_{12} -isomers which may also contain small amounts of lower and higher thiols. Polymerizations were performed with SDS as emulsifier (Tab. 7), and n-DT or a mixture of two tertiary C,,-isomers (supplied by Fluka; for structures see *Experimentalpart).* Both polymerizations with t-DT were found identical, while the one with n-DT was only slighly faster. This latter observation is in accord with results reported by Kolthoff⁵⁾. However, allowing for the difference in particle size and the dependence of \hat{n} on particle size¹¹, the average rates per particle are equivalent for all three C_{12} -thiols used. Radical desorption of dodecanethiol radicals is obviously negligible, regardless of the structure and composition of the dodecanethiol employed.

| Thiol | | Conversion in % after | | | $10^5 \cdot R_{pol}$ | a) $\frac{d_{90}}{4}$ | x |
|----------------|-----|-----------------------|-----|-----|--------------------------------|--------------------------|-------|
| | 2 h | 4 h | 6 h | 8 h | $g \cdot mL^{-1} \cdot s^{-1}$ | nm | |
| t -DT b | | 29 | 55 | 79 | 1,82 | 46 | 0,598 |
| t -DT c) | ' 1 | 29 | 56 | 79 | 1,82 | 44 | 0,613 |
| $n-DT$ | 13 | 32 | 62 | 83 | 1,88 | 51 | 0,608 |

Tab. 7. Effect of the composition of dodecanethiol in polymerizations with $32.4 g \cdot L^{-1}$ sodium dodecylsulfate (recipe **2)**

a) Weight-average particle diameter at **90%** conversion.

b) t-DT supplied by Pennwalt.

 ϵ) t-DT supplied by Fluka.

Conclusions

From a kinetic standpoint, t-dodecanethiol appears to behave as an 'ideal' chain transfer agent in the emulsion polymerization of butadiene; it regulates cross-linking efficiently, but otherwise does not influence the reaction kinetics:

- $-$ Even though the gel point varies widely with the initial amount of thiol, C_M and *x'* were both found to be constant within experimental error. Even in the absence of a chain transfer agent, the extent of cross-linking is still to low to restrict the monomer swelling of latex particles.
- Dodecanethiol radicals do not desorb, regardless of their structure and composition, as a result of an extremely low water solubility.

The promoting effect of slightly water-soluble thiols is specific of diene-hydrocarbon monomers, but the phenomenon is still poorly understood. Nevertheless, some aspect can now be placed in a better perspective:

- $-$ Sulfate radical anions (or oligomers thereof) are capable of initiating the emulsion polymerization of butadiene, as was shown in the emulsifier-free experiments, which polymerize with an R_{pol}/N identical to that of reactions with emulsifier and thiol rendering the same final particle size.
- Despite this observation, many authors (including the present ones) have reported on the retardation of butadiene emulsion polymerizations in the absence of an appropriate thiol, using different types of emulsifiers.
- The extent of retardation in the absence of thiol depends on the structure, concentration and chemical purity of the emulsifier and initiator employed.
- $-$ It has become clear that a simple redox reaction between a sulfate radical anion and thiol is inadequate to provide a satisfactory explanation.

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