

Semi-feedback for the binary multiplying channel

Citation for published version (APA):

Schalkwijk, J. P. M., & Meeuwissen, H. B. (1994). Semi-feedback for the binary multiplying channel. In Proceedings of the 1994 IEEE International Symposium on Information Theory, 27 June - 1 July 1994, *Trondheim, Norway* (pp. 325-325). Institute of Electrical and Electronics Engineers. https://doi.org/10.1109/ISIT.1994.394693

DOI: 10.1109/ISIT.1994.394693

Document status and date:

Published: 01/01/1994

Document Version:

Publisher's PDF, also known as Version of Record (includes final page, issue and volume numbers)

Please check the document version of this publication:

• A submitted manuscript is the version of the article upon submission and before peer-review. There can be important differences between the submitted version and the official published version of record. People interested in the research are advised to contact the author for the final version of the publication, or visit the DOI to the publisher's website.

• The final author version and the galley proof are versions of the publication after peer review.

• The final published version features the final layout of the paper including the volume, issue and page numbers.

Link to publication

General rights

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- · Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
- You may not further distribute the material or use it for any profit-making activity or commercial gain
 You may freely distribute the URL identifying the publication in the public portal.

If the publication is distributed under the terms of Article 25fa of the Dutch Copyright Act, indicated by the "Taverne" license above, please follow below link for the End User Agreement:

www.tue.nl/taverne

Take down policy

If you believe that this document breaches copyright please contact us at:

openaccess@tue.nl

providing details and we will investigate your claim.

Semi-Feedback for the Binary Multiplying Channel

J. Pieter M. Schalkwijk, and Hendrik B. Meeuwissen

Eindhoven University of Technology, Department of Electrical Engineering, Group of Information and Communication Theory, P.O.Box 513, 5600 MB Eindhoven, The Netherlands

I. Introduction

In his 1961 paper [1] on Two-Way Channels (TWC's) Shannon derived the so-called inner and outer bound region. For a TWC without feedback the outer bound coincides with the inner bound. As a consequence, the capacity region of a TWC without feedback is equal to its inner bound. Furthermore, Shannon showed in his initiating paper that for the Binary Multiplying Channel (BMC) the inner and outer bound are different. The equal rate point (R_1, R_2) on the inner bound of the BMC satisfies $R_1 = R_2 = R = 0.61695$, while the equal rate point (R_1, R_2) on the outer bound satisfies $R_1 = R_2 = R = 0.69424$.

In 1979 Dueck [2] proved the existence of a TWC with full-feedback for which the capacity region is in excess of its inner bound region. Next, in 1982 Schalkwijk [3] constructed a coding strategy for the BMC that achieves $R_1 = R_2 = R =$ 0.61914 outside of the inner bound region. Then, in 1983 Schalkwijk [4] further extended the achievable rate region of the BMC towards $R_1 = R_2 = R = 0.63056$ by a technique called bootstrapping. Both the 1982 and the 1983 strategy are based on the progressive subdivision of a unit square of message points $\Theta = (\Theta_1, \Theta_2)$ using feedback at both terminals.

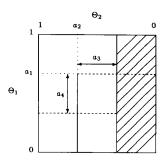
In 1980 Dueck [5] also proved the existence of a TWC with feedback at one terminal (semi-feedback) for which the capacity region is in excess of its inner bound region. However, the BMC with semi-feedback was believed to have a capacity region that coincides with the inner bound region. Nevertheless, a semi-strategy has been constructed for the BMC that operates beyond the inner bound region. The semi-strategy is based on both the new message percolation technique [6] and the old bootstrapping technique.

II. An achievable rate region

The BMC has two binary inputs X_1 and X_2 , and one common binary output Y, defined by $Y_1 = Y_2 = Y =$ X_1X_2 . Encoder 1 forms the channel input sequence $X_1 =$ $(X_{1,1}X_{1,2},...,X_{1,n})$ using both the message Θ_1 and the channel outputs $Y_1, Y_2, ..., Y_{n-1}$ that are available because of the feedback link. The channel input sequence $X_2 =$ $(X_{2,1}X_{2,2},...,X_{2,n})$ of encoder 2 depends on the message Θ_2 only. Thus encoder 1 uses a strategy, while encoder 2 uses a code.

The first transmission $X_{i,1}$, i = 1, 2, at terminal *i* can only depend on the message Θ_i . Consequently, we need at least two transmissions to improve upon the inner bound. In this section we will show that if message percolation is applied, then exactly two transmissions are needed to outperform the inner bound. The first transmission of the depth 2 semi-strategy is $X_{i,1} = 1$, i = 1, 2, if $\Theta_i \in [0, a_i)$, or $X_{i,1} = 0$, i = 1, 2, if $\Theta_i \in [a_i, 1)$. Whether the message point Θ is in the resolution product $[0, a_1) \times [0, a_2)$ or in the resolution product $[0, 1) \times [0, 1] - [0, a_1) \times [0, a_2)$ is not only known to decoder 1 and 2, but also to encoder 1. Subsequently, we can apply bootstrapping [4]. In that manner we can confine ourselves to

the subrectangle $[a_1 - a_4, 1) \times [a_2 - a_3, 1)$ without affecting the rate. The dashed lines in the figure are transparent to encoder 2, because of the absence of feedback.



Now suppose that we have a sequence $(\Theta_1, \Theta_2, ..., \Theta_l)$ of length l of message points, such that for each message point Θ_i , j = 1, 2, ..., l, in this sequence both the first transmission. and the subsequent bootstrapping resolution have been carried out. Then encoder 1 can interchange its messages in the interval $[0, a_1 - a_4)$ with messages in the interval $[a_1 - a_4, a_1)$. In other words, encoder 1 percolates its messages and constructs a new sequence of message points $(\Theta'_1, \Theta'_2, ..., \Theta'_l)$, such that the first k message points in the new sequence are in the rectangle $[a_1 - a_4, 1] \times [a_2 - a_3, 1]$. For these k initial members a second transmission is done. Note that the order of the messages is changed at terminal 1. This is in contrast with one-way communication, where the order of the messages is irrelevant. For $l \rightarrow \infty$ the information theoretical maximal half-sum rate of the new semi-strategy equals 0.61818 in the point (0.61651, 0.61985). Thus encoder 1 uses the feedback in this maximum to percolate its messages, such that encoder 2 can transmit at the highest rate.

References

- C. E. Shannon, "Two way communication channels," Proceedings 4th Berkely Symposium on Mathematics, Statistics and Probability, vol. 1, pp. 611-644, 1961.
- [2] G. Dueck, "The capacity region of the two can exceed the inner bound," Information and Control, vol. 40, pp. 258-266, 1979.
- [3] J.P.M. Schalkwijk, "The binary multiplying channel a coding scheme that operates beyond the Shannon inner bound," *IEEE Transactions on Information Theory*, vol. IT-28, no. 1, pp. 107-110, Jan. 1982.
- [4] J.P.M. Schalkwijk, "On an extension of an achievable rate region for the binary multiplying channel," *IEEE Transactions on Information Theory*, vol. IT-29, no. 3, pp. 445-448, May 1983.
- [5] G. Dueck, "Partial feedback for two-way and broadcast channels," Information and Control, vol. 46, pp. 1-15, 1980.
- [6] J.P.M. Schalkwijk, "Semi-feedback and message percolation," Proceedings Joint Swedish-Russian International Workshop on Information Theory, vol. 6, pp. 87-91, Mölle, Sweden, 1993.