

Randers pp-waves

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Randers pp-waves

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In this work, we study Randers spacetimes of Berwald type and analyze Pfeifer and Wohlfarth's vacuum field equation of Finsler gravity for this class. We show that, in this case, the field equation is equivalent to the vanishing of the Finsler Ricci tensor, analogously to Einstein gravity. This implies that the considered vacuum field equation and Rutz's equation coincide in this scenario. We also construct all exact solutions of Berwald-Randers type to vacuum Finsler gravity, which turns out to be composed of a Ricci-flat, CCNV (covariantly constant null vector) Lorentzian spacetime, or Brinkmann space, and a 1-form defined by its CCNV. Since the pp-waves are the most well-known metric in this class, we refer to the found solutions as Randers pp-waves.

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I. INTRODUCTION

Finsler geometry is an extension of Riemannian geometry, in which the squared line element is not restricted to be quadratic in the displacements, and it is a natural framework allowing for a canonical definition of length for curves on a smooth manifold.

Historically, the possibility of considering this type of geometry was already discussed by Riemann himself in his famous habilitation lecture in 1854 [1,2]. The first systematic study of such spaces, however, appeared only much later, in the dissertation thesis of Finsler in 1918 [3]. It is therefore hardly surprising that general relativity was formulated on the basis of (pseudo-)Riemannian geometry, which was already well developed at the time. In fact, there is no fundamental physical reason to exclude the use of proper, non-Riemannian, Finslerian spacetimes to describe gravity [4,5].

The exploration of theories of gravity based on Finsler geometry only began much later, once it became clear that general relativity may not be the complete answer to our understanding of gravity. Today, we know that general relativity is able to explain many observations with

astonishing precision but not at all scales. The theory faces substantial problems at the very large and very small scales. In the first case, dark matter and dark energy have to be postulated, and the challenge in the latter scenario is to reconcile the theory with the principles of quantum mechanics. A more general theory of gravity, based on Finsler geometry, might shed light on some of these issues.

There are two particularly compelling examples of how Finsler geometry may lead to new insights on the nature of the gravitational interaction. The first one appears in the context of quantum gravity. Research suggests that the flat, classical limit of the quantum-mechanical description of gravity may be given by an effective semiclassical theory in which spacetime is a curved Finsler manifold. The geometrical structure of spacetime in this regime seems to be of a more general type, and it is truly necessary to use Finsler geometry (or even Lagrange geometry) in order to describe it. This is intimately related to deformed Poincaré symmetries and corresponding modified (energy-momentum) dispersion relations (MDRs). For instance, a certain Finsler geometry can be coupled to any MDR (satisfying some basic mathematical assumptions) in order to describe the corresponding dynamics [6–8]. This stands in contrast to Lorentzian geometry, which can only describe quadratic MDRs.

The second example comes from the coupling between gravity and fluids, respectively gases. The kinetic theory of

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gases describes the dynamics of multiparticle systems in terms of a scalar field on the tangent bundle, the so-called 1-particle distribution function (1PDF) [9]. The coupling between gravity and such a gas usually involves averaging over the velocity distribution of its particles and leads to the Einstein-Vlasov equations [10]. Hence, although the velocity distribution of the gas is taken into account for its dynamics, it is averaged away when determining the gas gravitational field. A direct coupling of the gas 1PDF to Finsler geometry circumvents this loss of information and gives rise to a gas gravitational field distribution described by Finsler geometry [11,12].

In this work, we consider the (action-based) approach to Finsler gravity outlined in [13,14]. Structurally, the theory is analogous to general relativity in the sense that admissible, or “physical,” spacetimes are those that satisfy a certain field equation. Solutions of Berwald type to Pfeifer and Wohlfarth’s vacuum field equation have recently been found [15–17]. We analyze this equation for Randers spacetimes of Berwald type and prove that, in this scenario, it is formally identical to Einstein’s vacuum field equations. As a result, the classification of its solutions reduces to the classification of vacuum solutions in general relativity, admitting a covariantly constant 1-form. The resulting Berwald-Randers spacetimes are composed of a Ricci-flat, covariantly constant null vector (CCNV) Lorentzian spacetime, or Brinkmann space [18], and a 1-form defined by its covariantly constant null vector. Since the pp-waves are the most well-known metric in this class, we refer to the found solutions as Randers pp-waves.

II. FINSLER GEOMETRY

Finsler geometry is a natural extension of Riemannian geometry [3,19,20]. Given the philosophy that the length of a curve is obtained by integrating the length of its tangent vector, Finsler geometry provides the most general way of assigning lengths to curves on a manifold. While in Riemannian geometry, the length of a tangent vector is given by the metric-induced norm, in Finsler geometry, this length is given by a so-called Minkowski norm, a much weaker and more general notion.

First of all, some remarks about notation are in order. Throughout this work, we will usually work in local coordinates; i.e., given a smooth manifold M , we assume that some chart $\phi: U \subset M \rightarrow \mathbb{R}^n$ is provided, and we identify any $p \in U$ with its image $\phi(p) \in \mathbb{R}^n$. For $p \in U$, each $Y \in T_p M$ (the tangent spaces to M) can be written as $Y = y^i \partial_i|_p$, where the tangent vectors $\partial_i \equiv \frac{\partial}{\partial x^i}$ furnish the chart-induced basis of $T_p M$. This provides natural local coordinates on the tangent bundle TM via the chart,

$$\begin{aligned} \tilde{\phi}: \tilde{U} &\rightarrow \mathbb{R}^n \times \mathbb{R}^n, & \tilde{U} &= \bigcup_{p \in U} \{p\} \times T_p M \subset TM, \\ \tilde{\phi}(p, Y) &= (\phi(p), y^1, \dots, y^n) =: (x, y). \end{aligned} \quad (1)$$

These local coordinates on TM , in turn, provide a natural basis of its tangent spaces $T_{(x,y)} TM$, namely

$$\left\{ \frac{\partial}{\partial x^i} = \partial_i, \frac{\partial}{\partial y^i} = \bar{\partial}_i \right\}. \quad (2)$$

Next, we will first introduce the basic notions of Finsler geometry for the positive definite case. The generalization to Lorentzian signature is nontrivial, however, and will be introduced afterward.

A. Finsler spaces of positive definite signature

A Finsler space is a pair (M, F) , where M is a smooth manifold, and F , the so-called Finsler function, is a map $F: TM \rightarrow [0, \infty)$ that satisfies the following axioms:

- (i) F is (positively) homogeneous of degree one with respect to y :

$$F(x, \lambda y) = \lambda F(x, y), \quad \forall \lambda > 0; \quad (3)$$

- (ii) F is strictly convex in y ; i.e., the *fundamental tensor*, with components $g_{ij} = \bar{\partial}_i \bar{\partial}_j (\frac{1}{2} F^2)$, is positive definite.

For each $x \in M$, the map $y \mapsto F(x, y)$ is what is known as a Minkowski norm¹ on $T_x M$, i.e., a real-valued function that is positively homogeneous, strictly convex, and smooth away from the zero vector. The homogeneity conditions ensure that the length of any curve γ , defined as

$$L(\gamma) = \int F(\dot{\gamma}) d\lambda = \int F(x, \dot{x}) d\lambda, \quad \dot{\gamma} = \frac{d\gamma}{d\lambda}, \quad (4)$$

is invariant of the parameterization. A fundamental result that is essential for doing computations in Finsler geometry is Euler’s theorem for homogeneous functions. It says that if $f: \mathbb{R}^n \rightarrow \mathbb{R}$ is (positively) homogeneous of degree r , i.e., $f(\lambda y) = \lambda^r f(y)$ for all $\lambda > 0$, then $y^i \frac{\partial f}{\partial y^i}(y) = r f(y)$. In particular, this implies the identity,

$$g_{ij}(x, y) y^i y^j = F(x, y)^2. \quad (5)$$

Hence, the length of curves is formally identical to the length in Riemannian geometry, the difference being that now, the metric tensor may depend on the direction in addition to the position.

The fundamental theorem of Riemannian geometry says that any Riemannian manifold admits a unique torsion free affine connection that is compatible with the metric, the Levi-Civita connection. A similar statement is true in Finsler geometry, and this is sometimes called the fundamental lemma of Finsler geometry: It states that any Finsler

¹Not to be confused with the flat Lorentzian Minkowski metric.

space can be endowed with a canonical connection. An essential difference with Riemannian geometry is that the connection on a Finsler space is, in general, not a linear one. Let us therefore briefly recall the notion of a nonlinear connection. A nonlinear (or Ehresmann) connection is a smooth decomposition of TTM into a horizontal and a vertical subbundle,

$$TTM = HTM \oplus VTM. \quad (6)$$

This provides the most general means of describing parallel transport of vectors between tangent spaces, and, in particular, it allows one to define whether a curve $\gamma: I = (a, b) \rightarrow M$ is autoparallel (straight). Intuitively, we would like to call a curve straight whenever the velocity $\dot{\gamma}: I \rightarrow TM$ is constant. However, there is no unique way to say, *a priori*, what constant means in this context, as each image point of $\dot{\gamma}$ lies in a different tangent space. As a matter of fact, as $\dot{\gamma}$, living in the tangent bundle, also contains all information about the base point γ , it could never be truly constant. Indeed, all we can ask is that $\dot{\gamma}$ change only parallel to M and not in the direction of the fibres of TM . The rate of change of $\dot{\gamma}$, i.e., $\ddot{\gamma}$, is an element of TTM . Therefore, in order to be able to say what we mean by a straight line, we should split the directions in TTM into a space HTM of directions parallel to M and a space of directions VTM along the fibres of TM . We then say that a curve $\gamma: I \rightarrow M$ is autoparallel if $\ddot{\gamma}(\lambda) \in H_{\dot{\gamma}(\lambda)}TM$ for all $\lambda \in I$. The vertical subbundle VTM is canonically defined on any smooth manifold, namely

$$VTM = \text{span}\{\bar{\partial}_i\}. \quad (7)$$

However, there is, in general, not a preferred choice of the horizontal subbundle. In order to be able to speak about straight curves, in the most general sense, one thus needs to select one. In order to do so, a set of functions $N_j^i(x, y)$, the connection coefficients, may be specified, leading to the following horizontal subbundle of TTM :

$$HTM = \text{span}\{\delta_i \equiv \partial_i - N_j^i \bar{\partial}_j\}. \quad (8)$$

Parallel transport of a vector field V along γ is then characterized by the parallel transport equation,

$$\dot{V}^i + N_j^i(\gamma, V)\dot{\gamma}^j = 0, \quad (9)$$

and consequently, autoparallels are precisely the curves that satisfy

$$\dot{\gamma}^i + N_j^i(\gamma, \dot{\gamma})\dot{\gamma}^j = 0. \quad (10)$$

As mentioned, on a generic smooth manifold, there is no canonical choice of the connection² N_j^i , but any Finsler

²From now on, we will refer to the connection coefficients N_j^i simply as the connection.

metric induces one, the *Cartan nonlinear connection*. This is the unique homogeneous (nonlinear) connection on TM that is (smooth on $TM \setminus \{0\}$), torsion free, and compatible with F . This *Cartan nonlinear connection* is given in terms of the Finsler function F by

$$N_j^i(x, y) = \frac{1}{4} \bar{\partial}_j(g^{ik}(y^l \partial_l \bar{\partial}_k F^2 - \partial_k F^2)), \quad (11)$$

and may be viewed as a generalization of the Levi-Civita connection to Finsler spaces. The autoparallel curves of the nonlinear connection coincide with the geodesics (locally length-minimizing curves) on M . The curvature tensor, curvature scalar, and the Finsler Ricci tensor of (M, F) are defined as

$$R^i{}_{jk}(x, y) = -[\delta_j, \delta_k]^i = \delta_j N_k^i(x, y) - \delta_k N_j^i(x, y),$$

$$\text{Ric}(x, y) = R^i{}_{ij}(x, y)y^j, \quad R_{ij}(x, y) = \frac{1}{2} \bar{\partial}_i \bar{\partial}_j \text{Ric}. \quad (12)$$

B. Berwald spaces and the Riemannian limit

A Berwald space is a Finsler space (M, F) for which the Cartan nonlinear connection is, in fact, a linear connection on TM .³ What this means is that the connection coefficients are of the form,

$$N_j^i(x, y) = \Gamma_{jk}^i(x)y^k, \quad (13)$$

for a set of functions $\Gamma_{jk}^i: M \rightarrow \mathbb{R}$. From the transformation behavior of N_j^i , it can be inferred that the functions Γ_{jk}^i have the correct transformation behavior to be the Christoffel symbols of a (torsion-free) affine connection on M . We will refer to this affine connection as the associated affine connection or simply *the* affine connection on the Berwald space. The parallel transport (9) and autoparallel equations (10) reduce, in this case, to the familiar equations,

$$\dot{V}^i + \Gamma_{jk}^i(\gamma)\dot{\gamma}^j V^k = 0, \quad \dot{\gamma}^i + \Gamma_{jk}^i(\gamma)\dot{\gamma}^j \dot{\gamma}^k = 0, \quad (14)$$

in terms of the Christoffel symbols. A straightforward calculation reveals that the curvature tensors of a Berwald space can be written as follows:

$$R^j{}_{kl} = \bar{R}^j{}_{kl}(x)y^i, \quad \text{Ric} = \bar{R}_{ij}(x)y^i y^j,$$

$$R_{ij} = \frac{1}{2}(\bar{R}_{ij}(x) + \bar{R}_{ji}(x)), \quad (15)$$

in terms of the curvature tensor of the associated affine connection,

³See [21] for an overview of the various equivalent characterizations of Berwald spaces and [22] for an explicit procedure to construct Berwald spaces and spacetimes. The latter was used in [23] to find all homogeneous and isotropic Berwald Finsler geometries.

$$\bar{R}^i{}_{jk} = 2\partial_{[j}\Gamma^i{}_{k]l} + 2\Gamma^i{}_{m[j}\Gamma^m{}_{k]l}, \quad (16)$$

and its Ricci tensor $\bar{R}_{lk} = \bar{R}^i{}_{ik}$,⁴ defined in the usual way. In fact, for *positive definite* Berwald spaces, one even has $R_{ij} = \frac{1}{2}(\bar{R}_{ij} + \bar{R}_{ji}) = \bar{R}_{ij}$, but this does *not* extend to Finsler spacetimes, as will be discussed in some more detail in the next section.

Finsler geometry reduces to Riemannian geometry when the fundamental tensor $g_{ij}(x, y) = g_{ij}(x)$ is independent of direction y , i.e., if the fundamental tensor is a Riemannian metric. Equivalently, the space is Riemannian if F^2 is quadratic in the y coordinates. In this case, the nonlinear connection is actually linear so that, in particular, any Riemannian manifold is Berwald. In fact, the associated linear connection is, in this case, nothing more than the Levi-Civita connection of the Riemannian metric.

C. Finsler spacetimes

The generalization of positive definite Finsler geometry to an indefinite, for instance Lorentzian, signature is not completely trivial. To see the basic issue, note that if the fundamental tensor $g_{\mu\nu}$ has Lorentzian signature, then there will be (nonzero) null vectors $v \in T_x M$ for which $g_{\mu\nu}v^\mu v^\nu = 0$. Then, $F(x, v) = \sqrt{g_{\mu\nu}v^\mu v^\nu} = \sqrt{0}$, even though $v \neq 0$, so F can never be smooth everywhere on $TM \setminus 0$, which was one of the axioms of a Finsler space. Moreover, for spacelike (or timelike, depending on the convention) directions w , $F(x, w)$ will even be imaginary. Thus, some things clearly need to be modified in order to give an acceptable definition of a Finsler spacetime. Multiple approaches are possible. One classical approach [24] is to work with $L = F^2$ instead of F . Another is to restrict the domain of definition of F , for instance, to those (x, y) for which $F(x, y)^2 = g_{\mu\nu}(x, y)y^\mu y^\nu > 0$ [25]. Also, combinations of the two approaches and even additional variations have been proposed [13, 26–28]. What we will do in this work is simply replace the subbundle $TM \setminus \{0\} \subset TM$ by a generic conic⁵ subbundle $\mathcal{A} \subset TM$. One might say this is the *weakest* definition of a Finsler spacetime in the sense that it allows for the most examples. This is, of course, the most suitable for our present purpose, which is to find the most general solutions to the vacuum field equations of Finsler gravity in the Berwald-Randers class. In this way, we guarantee that our results do not depend on a particular definition and that we indeed find the most general solutions. Given any other specific definition of a Finsler spacetime, the most general solutions will then still be a subset of the ones we present later.

⁴We use the notations $T_{[ij]} = \frac{1}{2}(T_{ij} - T_{ji})$ and $T_{(ij)} = \frac{1}{2}(T_{ij} + T_{ji})$ for (anti)symmetrization.

⁵The property of being conic means that if $(x, y) \in \mathcal{A}$, then also $(x, \lambda y) \in \mathcal{A}$, for any $\lambda > 0$.

Thus, we will be using the following definition. A Finsler spacetime is a triple (M, \mathcal{A}, F) , where M is a smooth manifold, \mathcal{A} is a conic subbundle of TM (with nonempty fibers), and F , the so-called Finsler function, is a map $F: \mathcal{A} \subset TM \rightarrow [0, \infty)$ that satisfies the following axioms:

- (i) F is (positively) homogeneous of degree one with respect to y :

$$F(x, \lambda y) = \lambda F(x, y), \quad \forall \lambda > 0; \quad (17)$$

- (ii) The *fundamental tensor*, with components $g_{\mu\nu} = \bar{\partial}_\mu \bar{\partial}_\nu (\frac{1}{2}F^2)$, has Lorentzian signature on \mathcal{A} .

The discussion and results (for the connection, curvature tensors, etc.) treated in sections II A and II B apply verbatim for Finsler spacetimes, assuming that we only consider points $(x, y) \in \mathcal{A}$. In particular, since the last equality in Eq. (15) will prove to be of particular interest to us, we restate it as a lemma.

Lemma 1. The Finsler Ricci tensor of a Berwald space (time) coincides with the symmetrization of the conventional Ricci tensor of the associated affine connection.

We remark that, in the case that $\mathcal{A} = TM \setminus \{0\}$, symmetrization is, in fact, not necessary, as the Ricci tensor is then automatically symmetric. In the positive definite case, this follows immediately from Szabo's theorem, which states that the affine connection of any Berwald space is Riemann-metrizable [29]. In the case of Finsler spacetimes, the situation is more complicated. In general, Szabo's theorem does *not* hold in this setting, as has been demonstrated in [30]. Therefore, there is no reason to expect the Ricci tensor \bar{R}_{ij} to be symmetric. In order to shed a bit more light on this, we may use the first Bianchi identity, $\bar{R}^i{}_{[jk]} = 0$ (which holds as the connection is torsion-free) to deduce that

$$2\bar{R}_{[ik]} = \bar{R}^i{}_{ik}. \quad (18)$$

When the connection is the Levi-Civita connection of a pseudo-Riemannian metric, the symmetries of the Riemann tensor dictate that the right-hand side vanish identically, and hence, in that scenario, the Ricci tensor is always symmetric. Here, however, the curvature tensor, as defined in (16), does not have the same symmetries as in Riemannian geometry, because the connection, in general, does not come from a pseudo-Riemannian metric. Indeed, in the cited article, we present explicit examples where these symmetries do not hold, and the Ricci tensor is indeed not symmetric.

One can prove that if $\mathcal{A} = TM \setminus \{0\}$, then the Ricci tensor must be symmetric after all, as illustrated in detail in the same article, but, in general, it is not, and hence, symmetrization in the last equality of (15) is really necessary.

D. Randers spacetimes

A Randers metric [31] is a Finsler function of the form $F = \alpha + \beta$, where the variables $\alpha(x, y) = \sqrt{a_{\mu\nu}(x)y^\mu y^\nu}$ and $\beta(x, y) = b_\mu(x)y^\mu$ are defined in terms of a (pseudo-)Riemannian metric⁶ $a = a_{\mu\nu}dx^\mu dx^\nu$ and a 1-form $b = b_\mu dx^\mu$. By convention, all indices are raised and lowered with the $a_{\mu\nu}$, and we denote the norm of the 1-form by $|b| = \sqrt{g^{\mu\nu}b_\mu b_\nu}$. Randers metrics belong to the class of (α, β) -metrics, which are Finsler functions of the form $F = \alpha\phi(\beta/\alpha)$, with α and β as defined above and ϕ a scalar function. For Randers metrics, the function ϕ is given by $\phi(s) = 1 + s$. Randers metrics have been studied extensively in both the positive definite as well as the Lorentzian context and have a wealth of applications in physics.

A well-known result states that if α is positive definite, then $F = \alpha + \beta$ is a Finsler metric if and only if $|b| < \alpha$. A crucial ingredient that leads to this conclusion is the Cauchy-Schwarz inequality $|\beta| \leq |b|\alpha$, which does not extend in this simple form to indefinite signatures. Therefore, in the latter case, the situation becomes somewhat different. It can be shown via the matrix determinant lemma that for α -timelike (i.e., $a_{\mu\nu}y^\mu y^\nu > 0$) vectors, the determinant of the fundamental tensor of a Randers metric is given by

$$\det g = \left(\frac{\alpha + \beta}{\alpha}\right)^{n+1} \det a, \tag{19}$$

where $n = \dim M$. This expression has, in general, no well-defined limit as $\alpha \rightarrow 0$, so from this, it is clear that, in the Lorentzian case, the subbundle \mathcal{A} can only include points (x, y) for which $\alpha \neq 0$. In order to have a connected subbundle, one might propose to work on the subbundle consisting of α -timelike vectors, i.e., the timecone of α . However, this does not work in general, and one has to restrict \mathcal{A} just a little bit further.

Proposition 2. Given a Lorentzian metric $\alpha = \sqrt{a_{\mu\nu}y^\mu y^\nu}$ on a manifold M with time orientation T and a future-pointing 1-form β that is either null or timelike with respect to a , the Randers metric $F = \alpha + \beta$ defines a Finsler spacetime on

$$\mathcal{A} = \{(x, y) \in TM : a_{\mu\nu}(x)y^\mu y^\nu > 0, a_{\mu\nu}(x)T^\mu(x)y^\nu > 0\}, \tag{20}$$

i.e., the forward timecone of α .

The proof, presented in Appendix, relies on showing that $\beta = b_i y^i > 0$ holds everywhere on \mathcal{A} .

⁶By abuse of language, α is often referred to as a (pseudo-)Riemannian metric.

The following is a well-established result in the positive definite case, which holds in the indefinite case as well; see e.g., [22], where conditions for a Finsler spacetime to be of Berwald type are presented.

Proposition 3. A Randers space, $F = \alpha + \beta$ is Berwald if and only if the 1-form β is covariantly constant with respect to the Levi-Civita connection of a . If so, the affine connection of F is identical to the Levi-Civita connection of a .

III. VACUUM FIELD EQUATION

In the context of Finsler gravity, two types of field equations have been proposed in the literature. First, tensorial field equations considering the Finsler metric as fundamental variable [25,32–36], and second, scalar field equations for which the Finsler Lagrangian is the fundamental variable [13,37,38]. We adopt the latter view, and, in addition, demand the field equation to be variational,⁷ meaning that it can be derived from an action. The first Finsler generalization of Einstein’s equations in a scalar fashion was suggested by Rutz [37]. However, Rutz’s equation is *not* variational; its variational completion [14,39] turns out to be the Finsler gravity equation proposed in [13] (for positive definite Finsler spaces, the analogous equation was presented in [38]), which we therefore consider as our departure point. In the case of Berwald spacetimes, it reduces to [16]

$$\left(g^{\mu\nu} - \frac{3}{F^2}y^\mu y^\nu\right)R_{\mu\nu} = 0, \tag{21}$$

where $R_{\mu\nu}$ is the Finsler Ricci tensor, and since we are in a Berwald setting, we have $R_{\mu\nu} = \bar{R}_{(\mu\nu)}(x)$; see Eq. (15). Note that the vanishing of the Finsler Ricci tensor is a sufficient condition for a Berwald spacetime to be a solution to Eq. (21). In fact, for Randers spaces, we have a stronger result.

Proposition 4. For Berwald-Randers spacetimes, the field equation, Eq. (21), is equivalent to the vanishing of the Finsler Ricci tensor $R_{\mu\nu}(x) = \bar{R}_{\mu\nu}(x) = \bar{R}_{(\mu\nu)}(x) = 0$.

Proof.—To see this, we first compute the fundamental tensor for a Randers spacetime $F = \alpha + \beta$. It reads,

$$g_{\mu\nu} = \frac{F}{\alpha}a_{\mu\nu} + b_\mu b_\nu + (b_\mu y_\nu + b_\nu y_\mu)\frac{1}{\alpha} - y_\mu y_\nu \frac{\beta}{\alpha^3}. \tag{22}$$

It can be checked that its inverse is given by

$$g^{\mu\nu} = a^{\mu\nu}\frac{\alpha}{F} - (b^\nu y^\mu + b^\mu y^\nu)\frac{\alpha}{F^2} + y^\mu y^\nu \frac{(\beta + |b|\alpha)}{F^3}. \tag{23}$$

⁷This constrains the field equation to be a scalar equation on the tangent bundle.

Substituting the expression for the $g^{\mu\nu}$ into the Berwald field equation, (21), we find that for Berwald-Randers spacetimes, the field equation becomes equivalent to

$$R\alpha(\alpha + \beta)^2 - 2\alpha(\alpha + \beta)R_{\mu\nu}b^\mu y^\nu + (\beta + \alpha|b|^2)R_{\mu\nu}y^\mu y^\nu - 3(\alpha + \beta)R_{\mu\nu}y^\mu y^\nu = 0, \quad (24)$$

which is a polynomial equation in α . We can separate this equation into the rational part (even powers in α) and irrational part (odd powers in α) as

$$R\alpha^3 + (R\beta^2 - 2\beta R_{\mu\nu}y^\mu b^\nu + (|b|^2 - 3)R_{\mu\nu}y^\mu y^\nu)\alpha = 2(R_{\mu\nu}b^\mu y^\nu - R\beta)\alpha^2 + 2\beta R_{\mu\nu}y^\mu y^\nu. \quad (25)$$

In order for this equation to hold, the left-hand side must become polynomial in y . However, since $\alpha = \sqrt{a_{\mu\nu}y^\mu y^\nu}$ is built from the components of a nondegenerate metric $a_{\mu\nu}$, this is only possible if both the rational and irrational part vanish separately.

To verify this last statement before we continue, we rewrite the equation above as

$$P\alpha = Q, \quad (26)$$

where $Q = Q_{\mu\nu\rho}(x)y^\mu y^\nu y^\rho$ and $P = P_{\mu\nu}(x)y^\mu y^\nu$ are third and second order polynomials in y . Thus, assuming P is nonvanishing, then $\alpha = \frac{Q}{P}$ everywhere on \mathcal{A} . In other words, α is a *rational* function everywhere on \mathcal{A} . This is, however, not possible since α is the square root of a pseudo-Riemannian metric, which is, in particular, nondegenerate and, by definition, nonvanishing on \mathcal{A} . Hence, we must have $P = 0$, by contradiction.

To continue our proof, we now focus on the vanishing of the rational part Q , which implies

$$2(R_{\mu\nu}b^\mu y^\nu - R\beta)\alpha^2 + 2\beta R_{\mu\nu}y^\mu y^\nu = 0. \quad (27)$$

Taking two derivatives with respect to y and taking the trace with a yields

$$4(5R\beta - 8R_{\mu\nu}b^\mu y^\nu) = 0. \quad (28)$$

Substituting this into equation (27) yields

$$\beta \left(\frac{3}{4}R\alpha^2 - 2R_{\mu\nu}y^\mu y^\nu \right) = 0. \quad (29)$$

After applying other two y derivatives to the term in parenthesis and contracting again with a , we get $2R = 0$. Substituting this back into (29) yields $R_{\mu\nu}y^\mu y^\nu = 0$, and hence, $R_{\mu\nu} = 0$. ■

Notice that in this specific scenario, Berwald-Randers, the vacuum field equations of Finsler gravity are formally

identical to the vacuum field equations of general relativity. Moreover, they coincide with Rutz's proposal for the vacuum field equation in Finsler gravity [37], $\text{Ric} = 0$, which amounts to the vanishing of the Finsler Ricci tensor, $R_{\mu\nu} = 0$; see Eq. (12). The analogous result for positive definite Finsler spaces has been proven in [38].

Next, we will investigate solutions of the field equation. The previous results show that in Berwald-Randers spacetimes, the metric a is determined by the Einstein vacuum equations $\bar{R}_{\mu\nu} = 0$ and 1-form $b = b_\mu dx^\mu$ by the condition that it is covariantly constant with respect to metric a , $\nabla_\mu b_\nu = 0$.

IV. EXACT BERWALD-RANDERS SOLUTIONS

We now have all the background required to prove our main results. The following theorem classifies all Berwald-Randers solutions to the vacuum Finsler generalization of Einstein's field equation (FEFE), Eq. (21), that are Berwald in terms of solutions to the classical Einstein field equations (EFEs).

Theorem 5. Let (M, \mathcal{A}, F) be a Randers spacetime of Berwald type, $F = \alpha + \beta$. Then, F is an exact solution to the vacuum FEFE if and only if α is a solution to the vacuum EFEs.

Proof.—Since F is Berwald-Randers, Proposition 3 implies that its affine connection coincides with the Levi-Civita connection of the Lorentzian metric α . With Lemma 1, it thus follows that the Finsler Ricci tensor coincides with the Ricci tensor of α , and since for Lorentzian resp. Randers spaces, the property of being a solution is equivalent to the vanishing of the relevant Ricci tensor, by Proposition 4, α solves the vacuum EFEs if and only F solves the vacuum FEFE. ■

Taking into account Prop. 3, we may also formulate the theorem in the following way.

Theorem 6. Let (M, \mathcal{A}, F) be a Finsler spacetime of Randers type, $F = \alpha + \beta$. Then the following are equivalent:

- (1) F is an exact Berwald solution to the vacuum FEFE.
- (2) α is an exact solution to the vacuum EFEs, and F is Berwald.
- (3) α is an exact solution to the vacuum EFEs and β is parallel with respect to α .

An immediate consequence of the theorem is that a Randers metric of Berwald type can only be a solution to the Finsler gravity field equation provided α admits a parallel 1-form. This strongly restricts the set of possibilities for α , which we investigate in detail in the next section.

V. RANDERS pp-WAVES

It has been shown [40] that if a four-dimensional Ricci-flat Lorentzian manifold (M, g) admits a covariantly constant 1-form ω , then either g is the flat Minkowski metric (and, hence, the components of ω , in suitable coordinates, must be constant), or ω is null and the metric can be written, in local coordinates (u, v, x^1, x^2) , with $u = (1/\sqrt{2})(x^0 - x^3)$ and $v = (1/\sqrt{2})(x^0 + x^3)$ light-cone coordinates, as

$$ds^2 = -2du(dv + H(u, x)du + W_a(u, x)dx^a) + h_{ab}(u, x)dx^a dx^b, \quad \omega = du, \quad a, b = 1, 2, \quad (30)$$

where H, W_a are real metric functions, and h_{ab} is a two-dimensional Riemannian metric. We focus our attention on the nontrivial case given by Eq. (30). Lorentzian spacetimes of this form are called Brinkmann spaces⁸ and are also referred to as covariantly constant null vector (CCNV) spacetimes; the standard pp-waves are obtained when the

transverse metric is Euclidean. Brinkmann spaces in higher dimensions are also of this form; see, for example, [41–43].

We choose $\alpha = ds^2(y, y)$ as above and $\beta = du(y) = y^u$ and consider the following Randers metric,

$$F = \alpha + \beta = \sqrt{-2y^u(y^v + H(u, x)y^u + W_a(u, x)y^a) + h_{ab}(u, x)y^a y^b} + y^u. \quad (31)$$

By Proposition 2, any such F defines a Finsler spacetime on the forward timecone of α if and only if du is future oriented, which we can always achieve (at least locally) by choosing an appropriate time orientation. We can use these observations to strengthen our theorem.

Theorem 7. Let (M, F) be a Randers spacetime, $F = \alpha + \beta$. Then, F is an exact Berwald solution to the FEFE if and only if one of the following statements is true:

- (i) There exist local coordinates such that

$$F = \alpha + \beta = \sqrt{-2y^u(y^v + H(u, x)y^u + W_a(u, x)y^a) + h_{ab}(u, x)y^a y^b} + y^u, \quad (32)$$

where α is a vacuum solution to Einstein gravity.

- (ii) There exist local coordinates such that

$$F = \alpha + \beta = \sqrt{-(y^0)^2 + (y^1)^2 + (y^2)^2 + (y^3)^2} + b_\mu y^\mu, \quad b_\mu = \text{const.} \quad (33)$$

In particular, the second item in the theorem may be viewed as a Randers analog of the Bogoslovsky/very special relativity (VSR) line element [44–47]. The first item may be viewed as a Randers analog of *very general relativity (VGR)* spacetimes [16], and when $W_a = 0$ and $h_{ab} = \delta_{ab}$, it reduces to a Randers analog of the Finsler pp-waves introduced in [15]. In practice (to the best of our knowledge), the most general solution to Einstein’s vacuum field equations that can appear here, i.e., of the form (30), is the gyratonic pp wave [48,49], which belongs to the VSI class of spacetimes [50]. In this case, the transverse metric is Euclidean, $h_{ab} = \delta_{ab}$, and the vacuum Einstein equations read,

$$\nabla^2 H = -\frac{1}{2}(\partial_{x^2} W_1 - \partial_{x^1} W_2)^2 + \partial_u(\partial_{x^1} W_1 + \partial_{x^2} W_2) \quad (34)$$

$$0 = \partial_{x^2}(\partial_{x^2} W_1 - \partial_{x^1} W_2) \quad (35)$$

⁸Note that these are not necessarily Ricci flat.

$$0 = \partial_{x^1}(\partial_{x^2} W_1 - \partial_{x^1} W_2), \quad (36)$$

where $\nabla^2 = \partial_{x^1}^2 + \partial_{x^2}^2$. The latter two equations restrict the form of metric functions W_1, W_2 , and the first one determines H for given W_1, W_2 .

VI. DISCUSSION

In this work, we study Randers spacetimes of Berwald type and analyze Pfeifer and Wohlfarth’s vacuum field equation of Finsler gravity for this class. We show that, in this case, the field equation is equivalent to the vanishing of the Finsler Ricci tensor, analogously to Einstein gravity. This implies that the considered vacuum field equation and Rutz’s equation coincide in this scenario. Then, we construct all exact solutions of Berwald-Randers type to vacuum Finsler gravity, which turn out to be composed of a Ricci-flat, CCNV Lorentzian spacetime, or Brinkmann space, and a 1-form defined by its covariantly constant null vector. Since the pp-waves are the most well-known metric in this class, we refer to the found solutions as Randers pp-waves.

Interestingly, such a Randers spacetime has the same affine connection and, hence, the same geodesics, as the corresponding CCNV Lorentzian spacetime (see Proposition 3). This also holds for the CCNV subclass of Finsler VSI spacetimes presented in [16], which include the Finsler pp-waves [15]. The latter case has also been discussed recently in [51]. In Finsler geometry, it is not uncommon to encounter different Finsler metrics that nevertheless share the same geodesics. This

remarkable feature of Finsler geometry was first pointed out by Tavakol and Van den Bergh [4]. However, having the same affine structure does not imply that the causal structure coincides as well. For example, the set of causal (timelike and null) directions of the Randers pp-waves does not coincide with that of the classical pp-waves due to their different Finsler functions.

The natural question that arises is whether and how such spacetimes can be physically distinguished from one another. In particular, is there any kind of physical observable that could distinguish Randers pp-waves from Finsler pp-waves and/or Lorentzian pp-waves? Such questions cannot be answered without a consistent observer framework; see, for example, [52,53]. These studies suggest that time dilations between observers moving relatively to each other would yield different results for Randers and Lorentzian pp wave spacetimes due to the different normalization of timelike geodesics. Similarly, speed light measurements may not coincide for different observers due to the modified null condition for light rays [54], although this strongly depends on the observer model employed [52]. These important questions will be addressed in future work.

A somewhat related issue pertains to geodesic completeness. It is known that any Lorentzian compact pp wave is geodesically complete, and this reduces to plane waves in the Ricci flat case [41]. Whether these features also hold for Finslerian versions of pp-waves, such as the ones in this work and in [15], remains to be studied. However, the fact that all of these spacetimes share the same geodesics could point in that direction.

Both our Randers and the previously known m -Kropina solutions in [16] belong to the class of (α, β) -metrics. A natural next step would be to study the existence of generic solutions of (α, β) type, i.e., with a defining Finsler function $F = \alpha\phi(\beta/\alpha)$ with arbitrary scalar ϕ . Even beyond this class, it would also be of interest to explore solutions in the form of Finsler b spaces, which describe Lorentz violating particle kinematics derived from the standard model extension [55] and certain classical mechanical systems [56]. Such metrics are characterized by a Finsler function $F = \alpha + \tilde{\beta}$, where $\tilde{\beta} = \sqrt{\beta^2 - |b|^2\alpha^2}$, and b is an arbitrary 1-form. Note that Randers and b spaces coincide for a null 1-form b .

Finally, it would be interesting to investigate Berwald-Randers spacetimes in higher dimensions. In this case, a Brinkmann metric also induces a Berwald-Randers spacetime in a straightforward generalization of expression (31). The vacuum field equations in higher-dimensional Finsler gravity, although not formally studied yet, are very likely to resemble the 4D case (up to numerical factors related to the considered number of dimensions). In such a scenario, spacetimes generalizing (31) with a Ricci-flat Brinkmann metric would immediately be solutions of higher-dimensional Finsler gravity.

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APPENDIX: PROOF OF PROPOSITION 2

Here, we include the proof that the Randers metric $F = \alpha + \beta$, where α is Lorentzian and β is future pointing and not spacelike, defines a Finsler spacetime on the forward timecone of α .

The lemma below shows that $F = \alpha + \beta$ is strictly positive on the forward timecone of α so that $\det g$ is strictly positive as well, by Eq. (19). The remainder of the proof is the same as in the positive definite scenario. Introduce a parameter λ and consider the family of functions $F_\lambda = \alpha + \lambda\beta$ for $\lambda \in [0, 1]$. For each value of λ , $\det g_\lambda$ is strictly positive, and so g_λ has constant signature for $\lambda \in [0, 1]$. In particular, $g_{\lambda=1}$ has the same signature as $g_{\lambda=0}$, which is just the statement that g is Lorentzian. Since the homogeneity of F is clear, this completes the proof that F defines a Finsler spacetime on the forward timecone of α .

Lemma 8. Let y be timelike, b timelike or null, and y and b both future oriented, all with respect to some time-oriented Lorentzian matrix $g_{\mu\nu}$ with index convention $(+, -, \dots, -)$. Then $g_{\mu\nu}y^\mu b^\nu > 0$.

Proof.—Let the time orientation be defined in terms of a nowhere vanishing timelike vector field v . Then, future orientation of y and b means that we have $g_{\mu\nu}y^\mu v^\nu > 0$ and $g_{\mu\nu}b^\mu v^\nu \geq 0$. Now, notice that we can always pick a basis of $T_x M$ such that v has coordinates $(v^0, 0, \dots, 0)$, and $g_{\mu\nu} = \eta_{\mu\nu}$ is the Minkowski metric (first, pick a basis such that the metric is Minkowski, then apply an appropriate Lorentz boost to make all components of v other than the first vanish, and then possibly apply a reflection in the x^0 coordinate). In this basis, we write $\vec{y} = (y^1, y^2, \dots, y^n)$. With this notation, the future-pointing properties of y and b translate to $y^0 > 0$ and $b^0 > 0$, and the remaining

properties can be stated as $|y^0| > |\vec{y}|$ and $|b^0| \geq |\vec{b}|$. Combined, they read $y^0 > |\vec{y}|$ and $b^0 \geq |\vec{b}|$. Then, we have

$$\eta_{\mu\nu}y^\mu b^\nu = y^0 b^0 - \vec{y} \cdot \vec{b} \geq y^0 b^0 - |\vec{y}| |\vec{b}| > y^0 b^0 - y^0 b^0 = 0. \tag{A1}$$

■

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