

# Modeling and optimization of hydrogenation of  $CO<sub>2</sub>$

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# Modeling and optimization of hydrogenation of CO2: Estimation of kinetic parameters via Artificial Bee Colony (ABC) and Differential Evolution (DE) algorithms



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### ABSTRACT

Global warming, climate change, fossil fuel depletion and steep hikes in the price of environmentally friendly hydrocarbons motivate researchers to investigate  $CO<sub>2</sub>$  hydrogenation for hydrocarbons production. However, due to the reaction complexities and varieties of produced species, the process mechanism and subsequently estimation of the kinetic parameters have been controversial yet. Therefore, estimating the kinetic parameters using Artificial Bee Colony (ABC) and Differential Evolution (DE) optimization algorithms based on Langmuir-Hinshelwood-Hougen-Watson (LHHW) mechanism is proposed as a possible remedy to fulfil the requirements. To this end, a one-dimensional heterogeneous model comprising detailed reaction rates of reverse water gas shift (RWGS), Fisher-Tropsch (FT) reactions and direct hydrogenation (DH) of  $CO<sub>2</sub>$  is developed. It is observed that ABC exhibiting 6.3% error in predicting total hydrocarbons selectivity is superior to DE algorithm with 32.9% error. Therefore, the model employed the estimated kinetic parameters obtained via ABC algorithm, is exploited for products distribution analysis. Results reveal that maximum 73.21% hydrocarbons ( $C_1-C_4$ ) selectivity can be achieved at 573 K and 1 MPa with 0.85% error compared to the experimental value of 72.59%. Accordingly, the proposed model can be exploited as a powerful tool for evaluating and predicting the performance of  $CO<sub>2</sub>$  hydrogenation to hydrocarbons process.

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# Introduction

Growing global energy consumption has enhanced anthropogenic  $CO<sub>2</sub>$  emissions, which has driven research towards solutions to mitigate  $CO<sub>2</sub>$  emissions. In addition to optimize energy utilization processes as well as enhancing the energy conversion efficiency (e.g., in power plants), one of the potential strategies for reducing  $CO<sub>2</sub>$  emissions would be  $CO<sub>2</sub>$ hydrogenation to produce methanol and fuels  $[1-4]$  $[1-4]$  $[1-4]$ .

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Fortunately, hydrogen can be obtained from various sources including fossil fuels (steam reforming, catalytic partial oxidation, auto thermal reforming), water splitting (electrolysis, thermolysis and photo-reduction) and biomass gasification  $[5-10]$  $[5-10]$ . However, considering challenging issues of conventional energy sources, clean and affordable energy systems are required to be developed [\[11,12\].](#page-18-0) In this regards, sustainable and renewable sources comprising geothermal, wind, solar, and ocean attracted extensive attention as emerging technologies of hydrogen production  $[13-15]$  $[13-15]$ . Recently, hydrogenation of  $CO<sub>2</sub>$  to value added products has attracted great attention as an emerging technology. Therefore, hydrogenation has been considered as a potential technology to produce value-added products via simultaneous occurrence of  $CO<sub>2</sub>$  shift and FT reactions [\[16\]](#page-19-0).

Previous investigations have shown that  $CO<sub>2</sub>$  hydrogenation begins with a two-step mechanism  $[17-20]$  $[17-20]$ . The first step includes conversion of  $CO<sub>2</sub>$  to CO according to the RWGS reaction Eq. (1):

$$
CO2 + H2 \leftrightarrow CO + H2O \quad \Delta H573 K = +38 \ kJ/mol
$$
 (1)

Afterwards, the produced CO from RWGS involves in the FT reaction for hydrocarbons production according to Eq. (2):

$$
nCO + 2nH_2 \to (CH_2)_n + nH_2O \quad \Delta H_{573 \text{ K}} = -166 \text{ kJ/mol} \tag{2}
$$

The transient behavior using both  $CO/H<sub>2</sub>$  and  $CO<sub>2</sub>/H<sub>2</sub>$  was almost identical, including a series of reactions; however,  $CO<sub>2</sub>$ activation requires much longer time. The products distribution at steady state condition was almost the same using both gas mixtures, implying that hydrocarbons formation from  $CO<sub>2</sub>$ improves while CO is a transient product [\[16\].](#page-19-0)

It was proposed by Fiato et al.  $[21]$  that the DH of CO<sub>2</sub> contributes to hydrocarbons could be possible by applying iron carbide catalysts (Eq.  $(3)$ ). Moreover, this reaction is suggested to be improved through  $CO<sub>2</sub>$  dissociative adsorption on catalyst active site (\*) followed by the hydrogenation of adsorbed carbon species. In order to synthesize the long chain hydrocarbons,  $H_2/CO_2$  ratio should be 3. As shown in Table 1, if  $H_2/CO_2$  ratio is adjusted to 4 the reaction will



proceed towards methane formation through the Sabatier process [\[22,23\].](#page-19-0)

$$
nCO_2 + 3nH_2 - \rightarrow (CH_2)_n + 2nH_2O \quad \Delta H_{573 \, K} = -128 \, kJ/mol \qquad (3)
$$

Regarding the kinetics of the  $CO<sub>2</sub>$  shift reaction, various literature studies are reported. Compared to the water gas shift reaction (WGS),  $CO<sub>2</sub>$  shift reaction or RWGS occurs faster in the presence of various oxides and metallic catalysts while exhibiting lower activation energy (see Table 2) [\[24\]](#page-19-0).

Up to the present,  $CO<sub>2</sub>$  hydrogenation has been investigated mostly over catalysts traditionally utilized for the FT reactions. The main product was methane when using Ni or Ru catalysts supported on silica [\[26\].](#page-19-0) Only a small amount of hydrocarbons with more than one carbon atom, were detec-ted at the applied process conditions <a>[\[19\]](#page-19-0)</a>. The influence of varying  $CO/CO<sub>2</sub>$  ratios using Co as an active metal on the synthesis gas were investigated in the previous research [\[27\].](#page-19-0) Increasing  $CO<sub>2</sub>$  content, resulted in methane formation as the most product instead of typical FT reactions which led mainly to hydrocarbons. Commonly, Co catalysts do not present great activity for both CO formation via RWGS reaction and hydrocarbon formation through FT reactions at moderate temperatures [\[28,29\]](#page-19-0). Thus, using such conditions, selective desorption of desired products after reversible CO adsorption which is a prerequisite on Co catalysts for long-chain hydrocarbons synthesis, is not possible  $[30-32]$  $[30-32]$ .

Generally, Fe has shown the most favorable characteristics for the production of long-chain hydrocarbons through hydrogenation of  $CO<sub>2</sub>$  [\[33\]](#page-19-0). Fe which is known as a widely used metal for commercial hydrocarbons production via FT synthesis, is also utilized in CO shift reaction. Consequently, many efforts have been devoted to improve the catalytic properties of Fe-based catalysts through the use of various support materials or the addition of binder and promoters [\[18,34\].](#page-19-0) The most efficient Fe catalysts for  $CO<sub>2</sub>$  hydrogenation were obtained utilizing  $Al_2O_3$  as support [\[35\].](#page-19-0) Meanwhile, potassium was applied as the promoter in concentrations of up to 0.5 mol K/mol of Fe  $[36]$ . Moreover, it was shown that  $SiO<sub>2</sub>$ coated Fe-K/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub> catalysts with different silica contents were prepared and examined for the synthesis of hydrocarbons from  $CO<sub>2</sub>$  hydrogenation. It was found that  $SiO<sub>2</sub>$  coating influenced both the activity for  $CO<sub>2</sub>$  conversion and the selectivity to higher hydrocarbons, depending on the loading level. The catalyst containing 9 wt%  $SiO<sub>2</sub>$  coating exhibited both high CO $_2$  conversion (63%) and high selectivity toward  $\mathrm{C}_2^+$ hydrocarbons (74%) [\[37\]](#page-19-0).

As already reported, the efficiency of  $CO<sub>2</sub>$  hydrogenation is generally much lower than that of CO for production of longchain hydrocarbons, due to low reactant conversion and high



CH<sub>4</sub> selectivity [\[16\]](#page-19-0). As Fe-K/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub> was recently reported to be remarkably active and efficient for  $CO<sub>2</sub>$  hydrogenation to long-chain hydrocarbons, this catalyst is chosen to be examined practically in this study [\[38\].](#page-19-0) However, a number of issues associated with the performance of this catalyst should be solved for the future commercial applications. Previous research has indicated the promising effects of binder addi-tion to the catalyst [\[39\].](#page-19-0) Some binders are not only chemically active, especially at high temperatures, but also are somewhat catalytically active. In fact, interactions between the binder and catalysts have a strong effect on the activity and selectivity of the catalyst in  $CO<sub>2</sub>$  hydrogenation. The presence of binder in the catalyst can change the catalyst acidity. For example, the results of previous study showed that a ZSM-5 catalyst containing high amount of silica could improve the activity of acid catalyzed reactions through the formation of new acid sites during the catalyst fabrication owing to the zeolite-alumina interactions [\[40\].](#page-19-0) The acidity of the catalyst affects the  $CO<sub>2</sub>$  conversion and selectivity towards the hydrocarbons. It was reported that  $CO<sub>2</sub>$  conversion can be increased with the amount of medium acid sites while the selectivity to high-chain hydrocarbons was improved with increasing the amounts of strong acid sites [\[40\]](#page-19-0). Adding silica as a binder to the Fe-K/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub> catalyst causes a decrease in amounts of acid sites, and hence, a reduction in the acidity of the catalyst and iron-carbide (Fe $-C$ ). It is mentioned that the amount of Fe $-C$  is associated with the catalyst activity (reactant conversion) whereas the strength of Fe-C determines the hydrocarbons chain length.

Thus, a few efforts have been made to model the  $CO<sub>2</sub>$  hydrogenation process in order to achieve a better understanding of the influential parameters. However, in all cases the FT reaction was just considered as a sole reaction for hydrocarbons production. For instance, Riedel et al. [\[27\]](#page-19-0) proposed a scheme consisting three reactions of  $CO<sub>2</sub>$  shift, FT and DH and derived the corresponding kinetic parameters via regression. In a more recent study, Willauer et al.  $[41]$  included  $CO<sub>2</sub>$ methanation along with  $C_3H_6$  formation, and determined the corresponding kinetic parameters via utilizing experimental results in the presence of Mn and K-promoted Fe catalysts supported on  $\gamma$ -alumina. Although there have been some studies on the significant issues regarding hydrogenation of  $CO<sub>2</sub>$  to hydrocarbons such as the effects of catalysts, operating conditions and reactor configurations, kinetics parameters as crucial factors of the corresponding reactions have not been sufficiently investigated.

One of the well-known optimization algorithms to estimate the kinetic parameters is the evolutionary algorithm compromising genetic algorithm (GA) and DE. For instance, Yan et al. [\[42\],](#page-19-0) Chen et al. [\[43\]](#page-19-0) and Hu et al. [\[44\]](#page-19-0) employed chaos genetic algorithm, new clonal selection algorithm, hierarchical differential evolution and adaptive differential evolution to estimate kinetic parameters, respectively [\[45\].](#page-19-0) DE is an evolutionary algorithm that calculates the vector of differences between arbitrarily selected candidate solution vectors and employs these differences for the production of new, enhanced candidate solutions to develop its evolutionary search and optimization process. Although, DE is suitable for fine tuning and exploitations, but the risk of premature convergence because of its lack of explorations limited its

utilization. In this regard, swarm intelligent (SI) algorithms such as particle swarm optimization (PSO), ABC, artificial fish swarm (AFS), and ant colony (AC) have attracted much attention for diverse optimization goals in the fields of engineering, science and mathematics. The ABC algorithm mimics the candidate solutions as a swarm of bees that forage through a search space for continuously better quality food sources (i.e., candidate solutions). However, none of the SI subordinates were utilized for the estimation of kinetic parameters so far. In addition, there is no research conducting comparison between two meta-heuristic algorithms like ABC and DE. Obviously, DE and ABC try to achieve the same goal (i.e., dynamic adaptation of the degrees of explorations and exploitations), but using different methodologies. However, ABC is more robust against premature convergence owing to its more explorative design (i.e., explicit explorations by employed and scout bees), in contrast to DE which exhibits premature convergence risk.

With regard to the lack of knowledge on the steps and details of CO<sub>2</sub> hydrogenation, effort has been made to estimate the kinetic parameters of this process for the first time through ABC and DE optimization algorithms based on LHHW mechanism. To this aim, both experimental and theoretical studies are accomplished. Firstly,  $CO<sub>2</sub>$  hydrogenation is performed in a lab-scale fixed-bed reactor containing synthesized Fe-K/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub> catalysts. In the next step, CO<sub>2</sub> hydrogenation process including RWGS, FT and DH reactions is simulated via a one-dimensional heterogeneous model. The next and the main part of this study is devoted to estimate the kinetic parameters of this process through ABC and DE optimization algorithms via utilization of the experimental results. Finally, based on the superiority of ABC algorithm to determine hydrocarbons distribution, the proposed model is exploited to investigate the reactor performance. Besides, available literature data are used to prove the validity of the modeling approach.

#### Experimental works

The main parts of the practical works, which are the catalyst preparation and reactor tests, are explained in this section. The results of the experiments are used for the estimation of kinetic parameters in the reaction rates and consequently determination of the reactant conversion and product distribution along the reactor length.

#### Catalyst preparation

In this study, impregnation method was used for catalyst preparation. The nominal catalyst compositions were calculated as 1.6Fe/0.4 K/8 $\gamma$ -Al<sub>2</sub>O<sub>3</sub> on the basis of mass balance. Fe  $(NO<sub>3</sub>)<sub>3</sub>·9H<sub>2</sub>O$  and  $K<sub>2</sub>CO<sub>3</sub>$  were homogeneously mixed with small amount of water. Then,  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> particles were impregnated with aqueous solutions of metal salts and the solution was evaporated for 5 h at 358 K. Afterwards, the wet powder was dried at 383 K in the oven for 12 h followed by milling in the ball-mill for 1.5 h in order to reduce particle size to overcome intraparticle mass transfer limitations, even in the catalyst pores saturated with liquid hydrocarbons. Silica

binder (SiO<sub>2</sub>), 3 wt% of the whole catalyst weight was added to the catalyst powder. Finally, the catalysts were mixed and dried at 383 K for 12 h followed by calcination at 773 K for 24 h (heating with 2 K/min to 773 K) in air. Brunauer-Emmett-Teller surface area was determined as 136  $\mathrm{m}^2/\mathrm{g}$  for the dried catalyst, which provided a bed density of 1.00 g/cm $^3\!$ 

#### Process description

A schematic diagram of the experimental rig is illustrated in Fig. 1. The reactor consists of an SS316 tube with the length of 60 cm and inner and outer diameters of 0.8 cm and 1.2 cm, respectively. In the typical experiments designed for kinetics rate derivation, a certain amounts of catalyst  $(1.5-5 \text{ gr})$ diluted with 60-100 mesh glass beads was prepared to fill the whole length of the reactor in order to achieve different space velocities. The dilution of the catalyst with inert substances such as glass beads can configure a uniform gas flow, and hence, prevents a high pressure drop along the catalyst bed while providing almost isothermal conditions through the catalyst bed. The temperature gradient along the catalyst bed was always between 2 and 5 K, depending on the applied reaction temperature. Flow rates of reactant gases (CO<sub>2</sub>,  $H_2$ and Ar) were controlled by mass flow controller (MFC, Brooks Co.). Reaction temperature was controlled within 563-633 K employing an electrical furnace and temperature control system. Reaction pressure was regulated by back pressure regulator and measured by pressure sensor. An ice-water cold trap was placed at the outlet of the reactor to condense out water from the product gas stream. Uncondensed gases (CO<sub>2</sub>, CO, CH<sub>4</sub>, H<sub>2</sub>, C<sub>2</sub>H<sub>6</sub>, C<sub>2</sub>H<sub>4</sub>, C<sub>3</sub>H<sub>8</sub>, C<sub>3</sub>H<sub>6</sub>, n- $C_4H_{10}$  and  $C_4H_8$ ) were directed to a GC equipped with TCD (Carbosphere column) and FID (Poraplot-Q column) to be analyzed. The flow rates of the exit gases were measured with a wet gas meter.

Before each reaction test, the reactor was purged with Ar (flow rate 120 ml/min) at 593 K for 1 h followed by reduction of catalyst using H<sub>2</sub>/Ar mixture flow (10% H<sub>2</sub> in Ar, 10 cm<sup>3</sup> of H<sub>2</sub> per min and per g of Fe in the catalyst, and holding time of 8 h). For reduction of the Fe catalyst, the two step reduction has been reported  $[46]$ . First, Fe<sub>2</sub>O<sub>3</sub> is reduced to Fe<sub>3</sub>O<sub>4</sub> and later reduced to metallic Fe, as shown in Eqs. (4) and (5):

$$
3Fe2O3 + H2 \rightarrow 2Fe3O4 + H2O
$$
\n(4)

$$
Fe3O4 + 4H2 \rightarrow 3Fe + 4H2O
$$
 (5)

After reduction, the reactor temperature was adjusted to the desired temperature by furnace, the reaction pressure of 1 MPa, the desired  $H_2/CO_2$  molar ratio and reactant flow rate were set to conduct  $CO<sub>2</sub>$  hydrogenation. When the steady state condition was reached, new reaction conditions were set. The reaction conditions in the experimental reactor (ER) are presented in Table 3.

According to the proposed reaction network at the first step,  $CO<sub>2</sub>$  is converted to CO through RWGS reaction, then CO reacts with  $H_2$  through FT reactions at the second step and hydrocarbon products  $(C_2-C_4)$  are produced.

## Mathematical modeling

The mathematical modeling of the reactor [\(Fig. 2](#page-6-0)) is carried out with the following assumptions:





Fig. 1 – Experimental rig used for CO<sub>2</sub> hydrogenation to hydrocarbons, 1) Reactant gases (2) Mass flow controller, (3) Furnace, (4) G/L separator, (5) Condenser, (6) Back pressure regulator and (7) Wet gas meter.

- 1. One-dimensional plug flow fixed-bed reactor
- 2. The furnace temperature was assumed to be constant
- 3. Heat transfer by conduction was considered to be negligible compared to that of convection
- 5. Ideal gases
- 6. Steady-state conditions.

In the reactor model the mass and energy balances are derived for gas and solid phases separately. The mass balance for the gas phase species reads as follows:

#### Gas phase species balance

Input - output + generation – consumption = accumulation<br>  $\Rightarrow$  0 (6)  $\Rightarrow$  0 (6)

$$
f_{t} \cdot y_{i}|z - f_{t} \cdot y_{i}|z + \Delta z - a_{v}c_{t}k_{gi}(y_{is} - y_{i}) \cdot A_{c}\Delta z = 0
$$
\n(7)

$$
\frac{f_t}{A_c} \left( \frac{y_i |z - y_i| z + \Delta z}{\Delta z} \right) - a_v c_t k_{gi} (y_{is} - y_i) = 0 \stackrel{\times -1}{\Rightarrow} \tag{8}
$$

$$
\lim_{\Delta z \to 0} \frac{y'_{(z+\Delta z)-}y_{(z)}}{\Delta z} = \frac{dy}{dz}
$$
 (9)

$$
-\frac{f_t}{A_c}\frac{dy_i}{dz}+a_vc_t k_{gi}(y_{is}-y_i)=0
$$
\n(10)

#### Gas phase energy balance

The energy balance of the gas phase is presented as follows:

$$
f_{t} \cdot C_{pg} T |z - f_{t} \cdot C_{pg} T |z + \Delta z - a_{v} h_{f} (T_{s} - T) \cdot A_{c} \Delta z - \pi \cdot D_{o} \cdot \Delta z \cdot U (T_{f} - T) = 0
$$
\n(11)

$$
\frac{f_{t}\cdot C_{pg}}{A_{c}}\left(\frac{T|z-T|z+\Delta z}{\Delta z}\right)-a_{v}h_{f}(T_{s}-T)-UA_{t}(T_{f}-T)=0\stackrel{\times-1}{\Rightarrow}(12)
$$

$$
\lim_{\Delta z \to 0} \frac{T_{(z+\Delta z)-}T(z)}{\Delta z} = \frac{dT}{dz}
$$
\n(13)

$$
-\frac{f_t}{A_c}C_{pg}\frac{dT}{dz}+a_vh_f(T_s-T)+UA_t(T_f-T)=0
$$
\n(14)

where  $y_i$  and T are the components mole fraction and temperature in the reactor, respectively, and  $y_{is}$  and Ts are the components mole fraction and temperature on catalyst surface.

Overall heat transfer coefficient,  $UA_t$ , can be estimated by Eq. (15).

$$
UA_{t} = \left(\frac{\ln \frac{D_{f}}{D_{o}}}{2\pi K_{w}L} + \frac{1}{h_{o}\pi D_{o}L}\right)^{-1}
$$
(15)

where  $D_f$  and  $D_o$  are furnace and reactor outside diameters, respectively. L is the reactor length,  $K_w$  is thermal conductivity and  $h_0$  is heat transfer coefficient of gas phase which is calculated according to Eq. (16) [\[47\]](#page-19-0).

$$
h_o = \frac{K_w}{D_p} \left( 2.58 \text{ Re}^{3/3} \text{ Pr}^{3/3} + 0.094 \text{ Re}^{0.8} \text{Pr}^{0.4} \right)
$$
 (16)

where Re and Pr are presented in Eqs. (17) and (18) as follows:

$$
Re = \frac{\rho_g u_g D_p}{\mu_g} \tag{17}
$$

$$
Pr = \frac{C_{pg} \mu_g}{K_w} \tag{18}
$$

The behavior of bulk gas is assumed to be ideal, thus the gas density can be calculated by Eq. (19).

$$
\rho_g = \frac{\text{M}w}{RT} \tag{19}
$$

where Mw is the average molecular weight of bulk gas. The molecular weight of each component is presented in [Table](#page-17-0) [A.2.1](#page-17-0).

Gas velocity,  $u_a$ , which depends on space velocity at inlet condition,  $S_v$  in Eq. (20), is calculated by Eq. (21).

$$
u_g = \frac{m_c S_v}{A_c \varepsilon_b} \tag{20}
$$

$$
S_v = S_{v0} \times \frac{T_0}{273.15} \times \frac{101325}{P_0}
$$
 (21)

where  $S_{\nu 0}$  is the space velocity at standard temperature and pressure (STP).

The bed porosity can be calculated by Eq. (22) [\[48\]](#page-19-0).

$$
\varepsilon_{b} = 0.38 + 0.073 \left( 1 - \frac{\left( \frac{D_{o}}{D_{p}} - 2 \right)^{2}}{\left( \frac{D_{o}}{D_{p}} \right)^{2}} \right)
$$
(22)

Boundary conditions for the gas phase are expressed as:

$$
z = 0 \t y_i = y_{in} \t T = T_{in}
$$
\t(23)

#### Governing equations in catalyst pellets

Mass and energy balance equations for the solid phase (catalyst pellets) can be formulated as follows:

$$
k_{gi}a_vc_t(y_i - y_{is}) + \rho_B\eta r_i = 0 \quad (i = component number) \tag{24}
$$

$$
a_{\nu}h_f(T-T_s)+\rho_B\eta\sum_{j=1}^9r_i\Delta H_{fj}=0 \quad (j=\text{reaction number})\qquad (25)
$$

where  $\eta$  is effectiveness factor,  $\Delta H_f$  is reaction enthalpy and  $\rho_B$ is the bulk density which can be calculated by Eq. (26).

$$
\rho_{\rm B} = (1 - \varepsilon_{\rm b}) \rho_{\rm p} \tag{26}
$$

where  $\rho_p$  is the particle density. The important correlations for calculation of physical properties are presented in [Appendix A](#page-15-0).

#### Reaction rates/equilibrium

The kinetic rate equations for RWGS and FT reactions would be justified according to the mechanism reported in [Table 4](#page-6-0) [\[38\]](#page-19-0). Lack of kinetic parameters for all proposed FT reactions, persuade us to estimate them.

The LHHW mechanism is admitted to achieve kinetic rate equations. According to the elementary reactions and carbon chain distribution methods, the mechanisms for

<span id="page-6-0"></span>

RWGS and FT were proposed and presented in Table 4. In order to determine each kinetic rate equation, the slowest step that controls total reaction rate should be considered as the rate determining step (RDS). For  $CO<sub>2</sub>$  shift reaction, the RDS is reaction between atomic adsorbed hydrogen and molecular  $CO<sub>2</sub>$ , while for FT synthesis the RDS is the reaction between molecular hydrogen and adsorbed CO that results in the formation of hydroxyl methylene (CHOH\*) as intermediate. Moreover, over catalyst surface the CO concentration is higher than that of hydrogen as a result of stronger CO adsorption over Fe.

Finally, fitting the experimental data and kinetic rate equations, the reaction and adsorption coefficients can be estimated via ABC and DE optimization algorithms.

The  $CO<sub>2</sub>$  hydrogenation components include  $H<sub>2</sub>$ ,  $CO<sub>2</sub>$ ,  $CO<sub>3</sub>$ , H<sub>2</sub>O, CH<sub>4</sub>, C<sub>2</sub>H<sub>4</sub>, C<sub>2</sub>H<sub>6</sub>, C<sub>3</sub>H<sub>6</sub>, C<sub>3</sub>H<sub>8</sub>, C<sub>4</sub>H<sub>8</sub> and C<sub>4</sub>H<sub>10</sub>. The following reactions (Table 5) are taken into consideration as the dominant reactions [\[27,49\]](#page-19-0). Accordingly, the employed rate equations which are the main engines of this mathematical model, are presented in Table 5.



Fig.  $2$  – Schematic diagram of an elemental volume in the reactor.

# Algorithms used to estimate kinetic parameters

# Artificial Bee Colony (ABC) algorithm

In this section, an optimization method based on mimicking the chemical reactions in nature was introduced. The main features of this algorithm are the exploiting/exploring mechanisms combined with the elitist survival strategy, these features can reduce the chance that the algorithm stagnates in local optima. The performance of the chemical reaction



algorithm was evaluated on a set of complex benchmark functions and compared with other optimization algorithms. Simulation results displayed how the algorithm was able to reach near to the optimal values for some functions, performing better than the previously stated models, but more tests are needed to compare against some other algorithms that are proved to seek good solutions for larger dimensions [\[50\]](#page-19-0).

Parameter identification is a crucial step in establishing kinetic models. Thus, it can be transformed into an optimization problem by constructing an objective function (O.F) that minimizes simulation errors. In the beginning, some traditional optimization techniques were employed to solve it such as the multivariable regression, the gradient-based optimization method, coordinate transformation, simplex and so on. Owing to the multi-dimensional characteristic and complex nonlinear relations, they were easy to trap into local optima.

Karaboga [\[51\]](#page-19-0) proposed the ABC algorithm, for optimizing numerical problems, simulates the intelligent foraging behavior of honey bee swarms. As shown in Fig. 3 the flowchart of the ABC algorithm, the colony of artificial bees

contains three groups of bees: employed bees; the honey bees which find food source, and unemployed bees: onlookers; the honey bees which wait in the dance area and make decision to choose food source and scouts; the honey bees which carry out stochastic search. In ABC, first half of the colony consists of employed artificial bees and the second half composes the artificial onlookers. The employed bee whose food source has been exhausted becomes a scout bee. The position of a food source demonstrates a feasible solution to the optimization problem and the nectar amount of a food source corresponds to the quality (fitness) of the associated solution. The number of the employed bees is equal to the number of food sources, each of which also represents a site, which is exploited at the moment. More detailed explanation about ABC algorithm can be found in [Appendix](#page-16-0) [B, B.1.](#page-16-0)

#### Differential Evolution (DE) algorithm

The DE algorithm is a population based algorithm similar to GA employing the same operators: mutation, crossover, and selection. The principal discrepancy in constructing better



Fig.  $3$  – The ABC algorithm flowchart [\[51\].](#page-19-0)

<span id="page-8-0"></span>solutions is that GA depends on crossover while DE relies on mutation operation. This main operation is founded on the differences of randomly sampled pairs of solutions in the population.

As illustrated in Fig. 4, DE algorithm uses mutation operation as a seeking mechanism and selection operator to direct the search toward the probable regions in the search space. A non-uniform crossover is exploited by DE algorithm for obtaining child vector parameters, where among all parents one is usually being employed more. Employing the components of the existing population members to create trial vectors, the recombination (crossover) operator efficiently shuffles data about appropriate combinations, enabling the search for a better solution space [\[52\]](#page-19-0).

An optimization task consisting of D parameters can be represented by a D-dimensional vector. In DE, initially a population of near optimal solution vectors is randomly created. This population is proficiently enhanced via applying mutation, crossover, and selection operators. The main steps of the DE algorithm are illustrated in Fig. 4 [\[53,54\]](#page-19-0). More detailed explanation about DE algorithm can be found step by step in [Appendix B, B.2](#page-16-0).

# Results and discussion

#### Comparison between the two algorithms

In order to evaluate the accuracy of the two proposed algorithms, the results of predictions were compared to those obtained via experimental tests for the conversion of  $H_2$  and  $CO<sub>2</sub>$  along with products distribution. As shown in [Table 6](#page-9-0), the low conversion of CO<sub>2</sub> (X<sub>CO2</sub>) refers to the nature of CO<sub>2</sub> indeed, due to, chemical and thermodynamic stability of  $CO<sub>2</sub>$ molecule. It is a multi-reaction system,  $CO<sub>2</sub>$  as feed must be converted to CO based on RWGS reaction; and then CO would be proceeded to hydrocarbons according to FT reactions. Meanwhile, hydrogen is fed for both of the reactions (RWGS  $\&$ FT).

Moreover, it should be noted that these results are based on the proposed model in which the estimated kinetic parameters are obtained with the goal of minimization of errors between experimental and predicted hydrocarbons yield. On the other hand, some non-idealities regarding catalyst deactivation or/and the effect of other parameters in the reduction of CO<sub>2</sub> conversion as the main carbon source are not included in the model to avoid further complexity. For instance, the coke formation according to the Boudouard reaction (Eq. [\(27\)\)](#page-9-0) hampers the catalytic formation of hydrocarbons has not been considered since the temperature is below 633 K. In addition, ABC algorithm is highly dependent on the initial guess as well as the defined upper and lower bounds of the variables which are set according to the data reported by Riedel et al. [\[27\]](#page-19-0). It is noteworthy, the kinetic parameters of the FT reactions were estimated for  $C_3H_6$  as the sole hydrocarbon product of this process by Riedel et al. [\[27\]](#page-19-0). However, seven components consisting CH<sub>4</sub>, C<sub>2</sub>H<sub>4</sub>, C<sub>2</sub>H<sub>6</sub>, C<sub>3</sub>H<sub>6</sub>, C<sub>3</sub>H<sub>8</sub>, C<sub>4</sub>H<sub>8</sub> and  $C_4H_{10}$  are considered as the products of FT reactions in the present study. Therefore, it is expected to observe such deviation in the conversion of  $CO<sub>2</sub>$  in experiments compared to the



Fig.  $4$  – Differential evolution algorithm a) pattern of finding a new proposal b) flowchart [\[53,54\].](#page-19-0)

<span id="page-9-0"></span>



Fig.  $5$  – Prediction versus experimental results for hydrocarbons selectivity.

ideal model. However, errors in the calculation of products selectivity exploiting ABC algorithm are within an acceptable range, and the products distribution are in accordance with the previously reported trends in literature  $[39,55-58]$  $[39,55-58]$  $[39,55-58]$  for Fe- $K/\gamma$ -Al<sub>2</sub>O<sub>3</sub> catalysts. It is worth mentioning that the high errors corresponding to DE algorithm are due to its inefficiency compared to ABC algorithm. According to the results presented in Table 6 relative errors of ABC algorithm are generally lower compared to those of DE algorithm.

$$
CO + CO \leftrightarrow C_{(s)\downarrow} + CO_2 \quad \Delta H_{573\,K} = 173.5\,kJ/mol \tag{27}
$$

The superiority of the ABC algorithm can be inferred from Fig. 5 as well which, illustrates the appropriate regression coefficient of ABC algorithm in estimating the hydrocarbons selectivity.

## Calculation of kinetic parameters

Reaction constants described in terms of pre-exponential factors,  $k_i$ , activation energies,  $E_i$ , and equilibrium constant of  $CO<sub>2</sub>$  shift reaction,  $K_{eq}$ , are indicated in Table 7.

Utilizing the ABC algorithm and experimental data of the present study, the kinetic parameters can be estimated via minimization of the O.F as described in Eq. [\(28\).](#page-10-0) Accordingly, unknown parameters in the rate equations are estimated and presented in [Table 8.](#page-10-0) The flowchart for calculation of kinetic parameters is demonstrated in [Fig. 6.](#page-10-0)



<span id="page-10-0"></span>

$$
O.F = Min \frac{1}{N} \sum_{i=1}^{N} \left( y_{exp,i} - y_{model,i} \right)^2
$$
 (28)

The values determined for kinetic parameters reported by Riedel et al. [\[27\]](#page-19-0) are presented in Table 9. The differences in our estimated values with those of the available report can be attributed to various factors such as reactor volume and catalyst properties.

# Comparison of modeling results with various literature data

The results of the modeling exploiting the kinetic parameters calculated via both ABC and DE algorithms are compared with experimental data of literature and illustrated in [Fig. 7a](#page-11-0)-g. To this aim, seven sets of experimental data from previous studies are used. Each set of data is nominated by a code (A to H) which includes the reaction conditions and catalyst as presented in [Table 10.](#page-11-0)

[Fig. 7a](#page-11-0)-g illustrate seven different hydrocarbon products (i.e. methane, ethylene, ethane, propylene, propane, butylene and butane) in terms of selectivity via model prediction and literature data (colored bars) over various catalysts containing Fe and K supported on  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>. Calculation of relative errors shows that the predicted results of ABC algorithm exhibiting 6.3% error are in good accordance with the experimental data compared to those of DE. The difference of predictions with available experimental data presented as error refers to different catalysts with various BET surface areas as a result of diversities in catalyst synthesis methods along with differences in reactor dimensions. Comparison of the products distribution with recently reported catalysts can be observed in [Table 11](#page-12-0). It is obvious that catalyst characteristics and reaction conditions have crucial roles in products distribution.

#### Model analysis

The proposed model is solved using MATLAB codes according to the algorithm which is presented in [Fig. 8](#page-12-0). Yields of CO and





Fig.  $6 -$  Algorithm for the estimation of kinetic parameters.

<span id="page-11-0"></span>

Fig. 7 - Comparison of modeling and literature data for selectivity of a) Methane, b) Ethylene, c) Ethane, d) Propylene, e) Propane, f) Butylene and g) Butane.



produced hydrocarbons at different times are depicted along the reactor length [\(Fig. 9\)](#page-13-0).

[Fig. 9](#page-13-0) illustrates the trend of CO and hydrocarbon yields along the reactor. Accordingly, after 24 h the order of produced hydrocarbons are propylene, ethylene, methane, butylene, ethane, propane and butane, respectively. It can be seen that at short reaction times propylene and ethylene compete for being the main product while at longer times propylene exceeds which is illustrated in [Fig. 10](#page-13-0). Besides it can be observed that the olefin production prevails those of paraffins as can be seen in [Fig. 11.](#page-13-0)

# The trend of olefin and paraffin distribution

The trend of olefin/paraffin ratio considerably varies during lower reaction times whereas it changes slightly during last 10 h ([Fig. 8\)](#page-12-0).

<span id="page-12-0"></span>



Fig.  $8 -$  Algorithm for solving governing equations.

[Fig. 11](#page-13-0) depicts that olefin/paraffin ratio increases gradually with time and this ratio is evidently higher when carbon number is grater than three.

Formation and consumption of CO along the reactor Moreover, as can be seen in [Fig. 9](#page-13-0), CO is formed rapidly and then consumes gradually. Evidently, a definite CO concentration in the reactor is required for hydrocarbon production, and the peak in CO yield indicates the point that enough CO is produced for the beginning of FT reactions. Accordingly, hydrocarbon yields increase dramatically for all components at the reactor entrance which are attributed to the CO formation and then slightly increase in the rest of the reactor length due to CO consumption and finally remain constant due to gradual deterioration of the reactants and RWGS equilibrium. This can be seen also in [Fig. 12](#page-14-0) which depicts the sharp increase in  $H_2$  and  $CO_2$  conversions and total hydrocarbon yield at reactor entrance at two different times. Besides, it is shown that the peak of CO yield becomes sharper and the final yield approaches to less values at longer times. This phenomenon is illustrated in [Fig. 13](#page-14-0) with more details.

As can be observed in [Fig. 12](#page-14-0),  $H_2$  and  $CO_2$  conversions dramatically increase at the reactor entrance (about 0.1 m) owing to RWGS reaction then slightly increase which refer to FT reaction occurrence and afterwards approach to approximately 90.8% and 87.1% in 24 h, respectively. However,  $CO<sub>2</sub>$ conversion slightly increases along the reactor, especially at short times which refers to chemical and thermodynamic stability of  $CO<sub>2</sub>$  molecule.

According to [Fig. 13](#page-14-0), the CO consumption rate becomes faster as time passes which can be attributed to the completion of FT reaction at longer times. At initial time steps, the RWGS reaction occurs and equilibrium reaches while the FT reaction cannot proceed due to the shortage of time and CO concentration. Therefore, at longer times, more hydrocarbons can be produced as a result of FT reaction which is obviously demonstrated in [Fig. 12](#page-14-0). It can be observed that the peak of CO yield decreases slightly at longer times, which may be an indication of reaching the reaction extent. In this situation, approximately all of CO component is consumed and reaction will not proceed more. The ratio of CO to  $CO<sub>2</sub>$  consumption (CO selectivity) along with  $CO<sub>2</sub>$  conversion versus time are shown in [Fig. 14](#page-14-0).

It can be realized that the rate of CO production dramatically reduces at lower times (before 1 h) while a slight decrease is occurred as time passes which is attributed to the slow consumption rate of  $CO<sub>2</sub>$  as a result of reaching equilibrium as can be detected in [Fig. 14](#page-14-0).

<span id="page-13-0"></span>

Fig. 9 - Yields of CO and produced hydrocarbons along the reactor length at 573 K a) 15 min, b) 1 h, c) 10 h and d) 24 h.

 $\mathbf{8}$ 



Fig.  $10$  – The trend of olefin/paraffin ratio for different rig. 10 – The trend of olemin paramin ratio for different ratio  $\frac{1}{2}$ . Fig. 11 – Evolution of olefin/paraffin ratio versus reaction

#### $7.5$ Olefin/Paraffin  $\overline{6}$  $Carbon$  number =  $2$ Carbon number =  $3$ 5 Carbon number =  $4$  $\overline{\mathbf{A}}$  $\overline{2}$  $\overline{\mathbf{4}}$ 8 10 12 14 16 18  $20$  $22$ 24 'n 6 Time [h]

time at 573 K.

remarkable increase occurs due to exothermic FT reactions. In other words, since the reactor temperature is kept constant via utilization of a furnace, no heat source is available to start the endothermic RWGS reaction. Therefore, the reaction has

Time dependency of reactor temperature

The temperature of the reactor changes suddenly at the reactor entrance. As depicted in [Fig. 15](#page-14-0), temperature of bulk gas decreases first as a result of endothermic RWGS and then a

<span id="page-14-0"></span>

Fig.  $12$  – Feed conversion and hydrocarbons yield along the reactor length at 573 K a) 1 h, b) 24 h.



Fig.  $13 - CO$  yield along reactor length at different times at 573 K.



Fig.  $14 - CO<sub>2</sub>$  conversion and CO selectivity versus time at 573 K.



Fig.  $15$  – Temperature of bulk gas along the reactor length at 573 K.

to proceed utilizing the temperature of the bulk gas which leads to a sudden decrease in reactor temperature (hot spot). However, after a while CO is produced and FT reactions which are exothermic can proceed simultaneously while releasing the heat required for maintaining the reactor temperature as well as heat of endothermic RWGS.

It can be observed that as time passes the first peak vanishes while the second peak becomes sharper. This can be ascribed to the nature of RWGS reaction which occurs rapidly at initial seconds with respect to FT reactions. Indeed (as illustrated in [Fig. 16\)](#page-15-0), CO is required to be produced to some extent to act as the main feed of FT reactions. In other words at longer times (about 10 h) peak of CO yield occurs closer to the reactor entrance as a result of reached equilibrium while at initial times of reaction RWGS is the main reaction (since  $CO<sub>2</sub>$  and  $H<sub>2</sub>$  are more available than CO). Therefore, longer reactor length is required for the consumption and production of CO as an intermediate component which is required for the proceeding of FT reactions.

<span id="page-15-0"></span>

Fig.  $16$  – Temperature and CO yield along the reactor lenght at 573 K.

# Conclusions

Results of one dimensional heterogeneous model for  $CO<sub>2</sub>$  hydrogenation fixed-bed reactor were compared with experimental results to estimate kinetic parameters via optimization. The results showed that ABC with average error of 6.3% was more reliable and accurate than DE algorithm with 32.9% error to predict hydrocarbons distibution. The kinetic parameters determined via applying ABC algorithm were approximately in accordance with those of reported in previous studies considering the varieties in catalyst properties and reaction conditions.

Exploiting the determined kinetic parameters, reactor performance for RWGS, FT and DH reactions was studied in the presence of Fe-K/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub>. Experimental results could be well predicted via the provided model. Besides, reported results by various researchers were in good agreement with model predictions in terms of hydrocarbons selectivity. It was revealed that total hydrocarbons selectivity predicted by the proposed model (73.21%) was comparable to that of experimental results (72.56%) with an error of 0.85%. Results of model analysis revealed that propylene was the most efficient product and as a whole, catalyst acted more selective towards olefins compared to paraffins. Moreover, the olefin/paraffin ratio was higher in high carbon number hydrocarbons. It was found out that the CO production and consumption were dependent to the rates of RWGS and FT reactions, respectively which were affected by the equilibrium limitations at various temperatures. Moreover, illustration of reactor temperature at various time steps proved the occurrence of both endothermic RWGS and exothermic FT reactions in the reactor while giving a comprehensive insight about the temperature and time dependencies of CO yield.

Thus, the rigorous mathematical modeling is a fast, robust tool to investigate and predict the reactor performance while providing an extensive insight about influential parameters for designing advanced reactor configurations in  $CO<sub>2</sub>$  hydrogenation process. However, additional studies are ongoing to further investigate the contribution of significant factors on the reaction rates and products distribution.

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# Nomenclatures



<span id="page-16-0"></span>

- ΔH<sub>298</sub> enthalpy of reaction at 298 K [J mol $^-\rho_p$  density of catalyst [kg m $^{-3}$ ]
- $\rho_{\rm B}$  density of catalytic bed [kg m $^{-3}$ ]
- $\varepsilon_b$  bed porosity  $[-]$
- $\theta_{ij}$  constant parameter in Eq. [\(A.5\)](#page-17-0) [–]

#### Abbreviations



# Appendix A

# A.1 Important correlations for calculation of physical properties

According to pressure and temperature changes during reaction, physical properties are estimated via proper correlations.

Heat capacity

The heat capacity of mixture,  $C_{pg}$ , is calculated as the molar average heat capacity of each species,  $C_{p,i}$ , according to Eq. (A.1).

$$
C_{pg} = \sum_{i=1}^{N} y_i C_{pg,i} \tag{A.1}
$$

where  $C_{pg,i}$  is the heat capacity of component "i" which can be estimated via the proposed correlation in Eq. (A.2).

$$
C_{pg,i} = (A1_i + A2_i \times T + A3_i \times T^2 + A4_i \times T^{-2}) \times R
$$
 (A.2)

where A1, A2, A3 and A4 are constants which were provided in Perry and Green [\[64\]](#page-20-0) and are presented in Table A.1.1.



#### <span id="page-17-0"></span>Thermal conductivity

Thermal conductivity of the bulk mixture can be calculated according to Eq. (A.3).

$$
K_{w} = \sum_{i=1}^{N} \frac{y_{i} K_{w_{i}}}{\sum_{j=1}^{N} y_{j} \theta_{ij}}
$$
(A.3)

where  $K_{wi}$  and  $\theta_{ij}$  can be calculated by Eqs. (A.4) and (A.5).

$$
K_{w_i} = \frac{B1_i T^{B2_i}}{1 + \frac{B3_i}{T} + \frac{B4_i}{T^2}}
$$
(A.4)

$$
\theta_{ij} = \frac{1}{4} \times \left[ 1 + \left[ \left( \frac{\mu_i}{\mu_j} \right) \left( \frac{M w_j}{M w_i} \right) \frac{1}{4} \left( \frac{T + S_i}{T + S_j} \right) \right]^{\frac{1}{2}} \right]^2 \times \frac{(T + S_{ij})}{(T + S_i)}
$$
(A.5)

$$
S_{ij} = f_s \sqrt{S_i S_j}
$$
\n
$$
f_s = \begin{cases} 1 & \text{nonpolar} \\ 0.733 & \text{polar} \end{cases} \quad S_{\text{ior}j} = \begin{cases} 79 & \text{for } H_2 \text{ only} \\ 1.5 \times T_{B,i} & \text{for other components} \end{cases}
$$
\n(A.6)

where B1, B2, B3 and B4 are constants which were provided by Lindsay and Bromley [\[65\]](#page-20-0) and are presented in Table A.1.2.



Viscosity

The viscosity of the gas mixture,  $\mu_a$ , can be calculated through Eq. (A.7).

$$
\mu_g = \sum_{i=1}^{N} \frac{y_i \mu_{g,i}}{\sum_{j=1}^{N} y_j \sqrt{M w_j / M w_i}}
$$
(A.7)

where  $\mu_{q,i}$  is the viscosity of component "i" which can be estimated via the correlation proposed by Perry and Green in Eq. (A.8).

$$
\mu_{g,i} = \frac{C1_i T^{C2_i}}{1 + C3_i + \frac{C4_i}{T^2}}
$$
(A.8)

where C1, C2, C3 and C4 are constants which were provided in Perry and Green [\[64\]](#page-20-0) and are presented in Table A.1.3.



A.2 Components molecular weights

Table  $A.2.1 - M$ olecular weights of components corresponding to Eqs. (A.5 and A.7).

Component	Mw
H <sub>2</sub>	2.02
H <sub>2</sub> O	18.02
CO	28.01
CO <sub>2</sub>	44.01
CH <sub>4</sub>	16.04
$C_2H_4$	28.054
$C_2H_6$	30.07
$C_3H_8$	44.096
$C_3H_6$	42.096
$C_4H_8$	56.123
$C_4H_{10}$	58.123

# Appendix B

## B.1 ABC Algorithm steps

- 1) Initialize the population of solutions  $X_{ij}$ ,  $i = 1, \ldots, SN$ ,  $j = 1,$ ...., D, where SN is the number of food sources, D is the dimension of the variables;
- 2) Compute the fitness values and evaluate the population;
- 3) Produce new solutions (food source positions)  $v_{ij}$  in the neighborhood of  $X_{ij}$  for the employed bees, using Eq. (B.1).

$$
v_{ij,} = X_{ij} + \phi_{ij} (X_{ij} - X_{kj})
$$
\n(B.1)

where  $X_k$  is a randomly selected solution except  $X_i, \phi_{ii}$ is a random number within the range  $[-a, a]$ , a is usually set up 1, then apply the greedy selection process between  $v_i$ and  $X_i$ ;

4) Calculate the probability values  $p_i$ , for the solutions  $X_i$  by means of their fitness values fit<sub>i</sub>, using Eq.  $(B.2)$ :

$$
p_i = f i t_i \Bigg/ \Bigg( \sum_{i=1}^{SN} f i t_i \Bigg)
$$
 (B.2)

<span id="page-18-0"></span>where the fitness values might be calculated using Eq. (B.3) for minimization problems:

$$
fit_i = \left\{ \begin{array}{ll} \frac{1}{1+f_i}, & \text{if } f_i \geq 0 \\ 1 + abc(f_i), & \text{if } f_i < 0 \end{array} \right\}
$$
 (B.3)

where  $f_i$  is O.F value.

- 5) Produce new solutions (new positions)  $v_i$ , for the onlookers from the solutions  $X_i$ , selected depending on  $p_i$ , then apply the greedy selection process between  $v_i$  and  $X_i$ ;
- 6) Determine the abandoned solution  $X_i$ , if exists, and replace it with a new randomly produced solution  $\boldsymbol{\mathrm{X}}_{\!i j}$  for the scout:

$$
X_{ij.} = X min_j + rand(0, 1) \times (X max_j - X min_j)
$$
 (B.4)

where X min<sub>i</sub> is the lower bound of the parameter j and X max<sub>i</sub> is the upper bound of the parameter j.

7) Memorize the best food source position (solution) achieved so far; if the stopping criteria is satisfied, then stop and output the best solution, otherwise go to step (2) and continue loop [\[66\].](#page-20-0)

The values of kinetic parameters are determined via minimization of the O.F Eq (B.5).

$$
O.F_{min} = \frac{1}{N} \sum_{j=1}^{D} (Desired output - Actual value)^2
$$
 (B.5)

### B.2 DE algorithm steps

Mutation

For each target vector  $x_{i,G}$ , a mutant vector is produced by

$$
v_{i,G+1} = x_{i,G} + K \times (x_{r_1,G} - x_{i,G}) + F \times (x_{r_2,G} - x_{r_3,G})
$$
(B.6)

where  $i, r_1, r_2, r_3 \in \{1, 2, ..., NP\}$  are randomly chosen and must be different from each other. In Eq. (B.6), F is the scaling factor which has an effect on the difference vector  $(x_{r_2,G} - x_{r_3,G})$ , K is<br>the asymbioatien forter [52] the combination factor [\[53\].](#page-19-0)

#### Crossover

The parent vector is mixed with the mutated vector to produce a trial vector  $u_{ji,G+1}$ 

$$
u_{ji,G+1} = \left\{ \begin{array}{ll} u_{ji,G+1} & \text{if } (md_j \leq CR) \text{ or } j = m_i, \\ q_{ji,G} & \text{if } (md_j \geq CR) \text{ and } j \neq m_i, \end{array} \right. \tag{B.7}
$$

where  $j = 1, 2, ..., D, rj \in [0, 1]$  is the random number, CR is crossover constant $\in [0, 1]$ , and  $m_i \in (1, 2, ..., D)$  is the randomly chosen index [\[53\]](#page-19-0).

#### Selection

All solutions in the population have the same chance of being selected as parents independent of their fitness values. The child produced after the mutation and crossover operations is evaluated. Then, the performance of the child vector is compared to that of parent and the better one is selected. If the parent is still better, it is retained in the population.

[Fig. 4](#page-8-0). a illustrates the steps of DE algorithm in detail: the difference between two population members (1, 2) is added to a third population member (3). The result (4) is subjected to crossover with the candidate for replacement (5) in order to obtain a proposal (6). The proposal is evaluated and replaces the candidate if it is found to be better.

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