

# Integrated multimodal imaging of dynamic bone-tumor alterations associated with metastatic prostate cancer

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RESEARCH ARTICLE

# Integrated Multimodal Imaging of Dynamic Bone-Tumor Alterations Associated with Metastatic Prostate Cancer

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**Competing Interests:** BDR, AR, TLC and CJG have a financial interest in the underlying technology which

## Abstract

Bone metastasis occurs for men with advanced prostate cancer which promotes osseous growth and destruction driven by alterations in osteoblast and osteoclast homeostasis. Patients can experience pain, spontaneous fractures and morbidity eroding overall quality of life. The complex and dynamic cellular interactions within the bone microenvironment limit current treatment options thus prostate to bone metastases remains incurable. This study uses voxel-based analysis of diffusion-weighted MRI and CT scans to simultaneously evaluate temporal changes in normal bone homeostasis along with prostate bone metastasis to deliver an improved understanding of the spatiotemporal local microenvironment. Dynamic tumor-stromal interactions were assessed during treatment in mouse models along with a pilot prospective clinical trial with metastatic hormone sensitive and castration resistant prostate cancer patients with bone metastases. Longitudinal changes in tumor and bone imaging metrics during delivery of therapy were quantified. Studies revealed that voxel-based parametric response maps (PRM) of DW-MRI and CT scans could be used to quantify and spatially visualize dynamic changes during prostate tumor growth and in response to treatment thereby distinguishing patients with stable disease from those with progressive disease ( $p < 0.05$ ). These studies suggest that PRM imaging biomarkers are useful for detection of the impact of prostate tumor-stromal responses to therapies thus demonstrating the potential of multi-modal PRM image-based biomarkers as a novel means for assessing dynamic alterations associated with metastatic prostate cancer. These results establish an integrated and clinically translatable approach which can be readily implemented for improving the clinical management of patients with metastatic bone disease.

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## Trial Registration

ClinicalTrials.gov [NCT02064283](https://clinicaltrials.gov/ct2/show/study/NCT02064283)

## Introduction

Bone metastasis is the hallmark of prostate cancer and is a major cause of morbidity and mortality [1,2]. It is found in over 90% of men with castration-resistant disease [3,4] and in most patients postmortem [5,6]. Clinical response criteria used for assessment of treatment efficacy are based upon changes in the anatomical size of the tumor. Recent changes in these criteria have been detailed as part of the updated Response Evaluation Criteria in Solid Tumors (RECIST 1.1) which consider lytic or mixed lytic-blastic bone metastases with soft tissue masses greater than 1 cm to be measurable disease, but blastic bone lesions are still considered non-measurable [7]. The use of imaging in the clinical management of bone metastasis has traditionally relied predominantly on bone scintigraphy using  $^{99m}\text{Tc}$ -methyl diphosphonate [8–10]. Plain film radiographs, MRI and more recently PET [11,12] have been used adjunctly. While assessment in the response of primary or metastatic cancers within the skeletal system has been a longstanding problem, alternative strategies including functional and molecular imaging approaches are being pursued [12–14]. However, traditional imaging relies upon either visual interpretation of acquired scans by a musculoskeletal radiologist or by whole volume quantification of mean values of voxels contained within a region of interest (i.e. a tumor). Furthermore, integration of the information available from multimodal images on a voxel-by-voxel basis to assess the spatiotemporal effects of tumor growth and response to therapy has not been attempted to date.

Diffusion-weighted MRI (DW-MRI) has been reported as a tool for assessing cancer response to therapy as it is able to quantify the random (i.e., Brownian) motion of water molecules within tissue [15–18]. Water diffusion values are reduced in the presence of cellular membranes which impede the motion of water molecules. Effective treatments result in a loss in the number of tumor cells thus reducing restrictive barriers and allowing for more rapid water mobility (i.e., diffusion). DW-MRI is able to capture these subtle changes by quantifying water mobility as the apparent diffusion coefficient (ADC) in tumors. The application of DW-MRI for tumor treatment response assessment was initially described using a 9L glioma model [19] and was successfully extended in preclinical studies evaluating the response to a variety of anticancer interventions [20–23]. Further evolution in image post-processing of tumor ADC values was undertaken for assessing treatment response through the development of a voxel-by-voxel algorithm to account for intratumor heterogeneity, an approach termed the functional diffusion map (fDM) [24–27]. The fDM approach tracked changes in the ADC values of individual tumor voxels over time in patients with primary malignant brain tumors as well as a brain tumor model where the amount of fDM-detected change in diffusion values was shown to correlate with overall survival [27–34].

More recently, successful use of DW-MRI and the fDM metric for providing early indication of treatment response in preclinical models as well as patients diagnosed with metastatic prostate cancer to the bone have been reported [27,35–37]. Furthermore, extension of the voxel-based image analysis approach was significantly advanced by showing that it could be generally applied to a variety of imaging modalities including perfusion MR, PET and CT and was re-termed the parametric response map (PRM) [38–42]. In particular, application of PRM analysis to CT images obtained from a rat model of osteoporosis revealed that this approach

could provide the ability to spatially track and quantify changes in bone mineral density over time [43].

In this present study we investigated the use of multimodal PRM imaging biomarkers for their ability to quantify dynamically changing tumor-host interactions by monitoring tumor treatment response (PRM<sub>ADC</sub>) along with changes in bone density (PRM<sub>CT</sub>) using *in vivo* mouse models and a pilot clinical trial involving patients with prostate cancer and bone metastasis. The mouse studies provided an opportunity to evaluate the impact of osteolytic and osteoblastic tumor models on the imaging metrics along with the effect of therapeutic intervention and results revealed that the multimodal approach could detect spatiotemporal tumor and bone alterations. Furthermore, results from the clinical study provide initial results showing that this approach can be successfully translated into the clinical setting allowing for multimodal disease monitoring of dynamic bone microenvironmental alterations associated with metastatic prostate cancer to the bone. Integration of the PRM imaging results into future clinical workflow will impact our ability to assess putative therapies in clinical trials as well as in routine patient management.

## Materials and Methods

The protocol for this trial and supporting CONSORT checklist are available as supporting information; see [S1 CONSORT Checklist](#) and [S1 Protocol](#).

### Study 1: PC3 Tumor Response to Docetaxel and Radiation

The therapeutic effects of docetaxel and ionizing radiation (IR) were evaluated in an intra-tibial model of prostate cancer metastasis to the bone using DW-MRI. Androgen independent human prostate cancer [44] cells were purchased from American Type Culture Collection and grown in RPMI 1640 with 10% fetal bovine serum.

All studies involving the use of mouse protocols were approved by The University of Michigan Committee on Use and Care of Animals. Male severe combined immunodeficient (SCID) mice were included in the study at 4–6 weeks of age. Mice were anesthetized by intraperitoneal injection of a mixture of ketamine (100 mg/kg) and xylazine (10 mg/kg). A Hamilton syringe with a 28-gauge needle was inserted in the middle of the patella ligament through the tibial crest epiphysis and growth plate. To prevent pain, Carprofen (5mg/kg) was injected subcutaneously. PC3 cells ( $5 \times 10^5$ ) suspended in 10 $\mu$ l of media were injected into the trabecular bone of the tibial metaphysis. Sham surgeries were performed on the left leg using an identical procedure by injecting media only.

When tumor volumes reached 7 to 15 mm<sup>3</sup>, as determined by MRI, animals were entered into one of four treatment groups: docetaxel (n = 6), IR (n = 6), docetaxel + IR (n = 6), or vehicle control (n = 8). Docetaxel treatment at a dose of 20 mg/kg in 10% DMSO was administered weekly via intraperitoneal injection for three weeks. The effect of IR on PC3 tumors was evaluated alone and in combination with docetaxel at a dose of 2 Gy/day 5 times per week for two weeks using an IC-320 Specimen Irradiation System. For combination therapy, animals were first treated with docetaxel followed by IR 4 hours later.

MRI scans were acquired on days 0, 1, 4, 7, 11, 14, and then weekly until tumors surpassed a pre-defined terminal volume increase of 400% from initial volume. Imaging was performed using a 9.4T Agilent system. DW-MR was accomplished using a spin-echo sequence, with a navigator echo and gradient waveforms sensitive to isotropic diffusion [45] as previously described.

## Study 2: Early Bone Protection with Bisphosphonates

Bisphosphonate treatment using zoledronic acid early after tumor model induction was evaluated in a mouse model using x-ray micro-computed tomography ( $\mu$ CT) of the bone to assess the sensitivity of PRM<sub>CT</sub> for the detection of bone remodeling. PC3 prostate cancer cells were cultured and implanted in mice as described above in Study 1.

The bisphosphonate zoledronic acid was evaluated as a bone-protective agent, administered early after initiation of the bone tumor ( $n = 8$ ) and compared to vehicle controls treated with PBS ( $n = 5$ ). Treatments of 5mg/kg began 3 days post-implantation (day 0 for the study) and were administered twice weekly for four doses (days 0, 3, 7, and 11).

Acquisition of  $\mu$ CT scans were twice weekly until study end. Images were acquired using a Siemens Inveon system (56- $\mu$ m voxel size). DW-MR acquisitions were as in Study 1.

## Study 3: Chemotherapy of Osteoblastic Lesions

Osteoblastic lesions derived from LAPC-9 cell implantation [46,47] were treated with docetaxel ( $n = 3$ ) or vehicle ( $n = 3$ ) as in Study 1 to compare signatures of osteolytic/-blastic tumor growth and response using MR and CT-derived imaging biomarkers. Cells were maintained by propagation as subcutaneous xenografts in SCID mice until needed for implantation [48]. Immediately prior to cell implantation, LAPC-9 tissue was harvested and treated with collagenase to facilitate cell implantation into male SCID mice. Docetaxel was administered weekly at a dose of 20mg/kg via intraperitoneal injection.

DW-MR scans were acquired weekly until the end of the study as described in Study 1. Changes in tumor volume and ADC were monitored to evaluate tumor treatment response. Bone response was evaluated using weekly  $\mu$ CT imaging to detect changes in mineral density in the vicinity of the tumor.

## Clinical Trial

Eligible patients required a confirmed diagnosis of prostate cancer, evidence of bone metastatic disease by bone scan for which initiation of systemic therapy would be undertaken. All patients underwent standard disease imaging with bone scan and CT of abdomen and pelvis. Patients with newly diagnosed metastatic disease underwent androgen deprivation while patients with castration resistant prostate cancer (CRPC) were treated with systemic non-hormonal therapy. The study was approved by the University of Michigan Institutional Review Board and all patients signed as IRB approved consent.

Image-derived metrics included volume percent change of the number of tumor voxels from registered diffusion MRI maps and CT images which were compared to clinical response outcomes to investigate the utility of PRM as an early predictor of therapy in these patients. Patients were separated into response groups based on the response criteria used in this trial where outcomes were defined by the following post-therapy PSA changes:

**Complete Response (CR):** Undetectable PSA ( $<0.2$  ng/ml) confirmed by another PSA level at no less than 4 weeks.

**Partial Response (PR):** Decrease in PSA value by  $\geq 50\%$  confirmed by another PSA level at no less than 4 weeks.

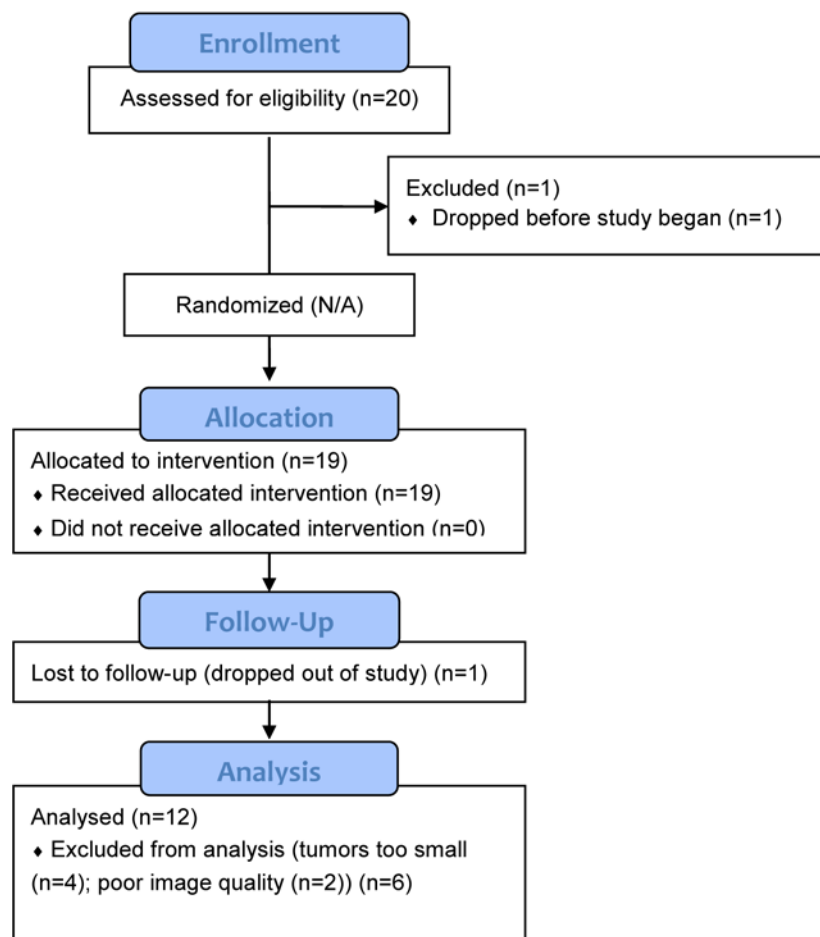
**Stable Disease (SD):** Patients who do not meet the criteria for CR, PR or PD were considered stable.

**Progression (PD):** A 25% increase over baseline or measured nadir PSA level whichever is lower and an increase in the absolute value of PSA level by 5 ng/ml that is confirmed by another PSA level at no less than 4 weeks.

Shown in Fig 1 is a CONSolidated Standards of Reporting Trials (CONSORT) flow diagram of the overall study patient population recruitment and disposition over the course of study. Of the twenty patients recruited and enrolled in this study, fifteen patients had CRPC and 5 patients had hormone sensitive disease. Eight of the 20 patients were not included in the analysis due to the following reasons: patient dropped out of study before treatment began (n = 1), poor image quality (n = 2), tumors were too small (n = 4), lack of follow-up scans (n = 1) thus a total

**CONSORT**  
TRANSPARENT REPORTING of TRIALS

**CONSORT 2010 Flow Diagram**



**Fig 1. A CONSolidated Standards of Reporting Trials (CONSORT) flow diagram of the overall study patient population recruitment and disposition over the course of study.**

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of 12 patients were available for image analysis from this pilot study. Of the 12 patients 10 received chemotherapy and 2 patients were treated with hormone deprivation.

Baseline MRI was performed before therapy (week 0) and again at approximately 2 and 10 weeks post-treatment initiation using a clinical Philips 3T scanner. The MRI protocol included: 3-plane anatomical survey; Proton-Density; STIR Fat-Suppressed; T1-weighted, and DW scans.

Computed tomography (CT) scans consisted of pre-treatment acquisition and one additional scan collected as part of routine clinical care at approximately 10 week post-treatment initiation. Standard bone imaging protocols were used consistently for all patients, including helical acquisition and intravenous contrast.

Bone scans were obtained pre-treatment and again at approximately 10 week post-treatment initiation as part of routine clinical care. The  $^{99m}\text{Tc}$ -MDP bone scans were acquired following injection of 20–25 mCi of  $^{99m}\text{Tc}$ -MDP intravenously with imaging acquisition occurring following a 3–5 h uptake period.

## Quantitative Image Analysis

**Tumor Volumes.** Tumor volumes of interest (VOI) were determined by contouring the tumor on each slice of the high-b DW-MR image and integrated across slices to provide a volume estimate. Only tumors of 4 cm<sup>3</sup> or greater were included in the clinical study to maintain a sufficient number of voxels and minimize partial-volume effects.

**Apparent Diffusion Coefficient (ADC).** MR data was analyzed using software developed in MATLAB. ADC maps were generated using a two-point subsampling [49] of the signal decay curve using the following equation:

$$ADC_{1-2} = \frac{1}{(b_2 - b_1)} \times \ln \left( \frac{s_1}{s_2} \right)$$

where  $S_1$  and  $S_2$  are the signal intensities at  $b$ -values  $b_1$  and  $b_2$ , respectively, and  $ADC_{1-2}$  is the apparent diffusion coefficient obtained using  $b_1 = 120$  and  $b_2 = 1200$  s/mm<sup>2</sup> which desensitizes the diffusion measurement to local perfusion effects.

**CT Hounsfield Units (HU).** CT images were calibrated to the Hounsfield scale using a water or water-equivalent phantom (= 0 HU) and surrounding air (= -1000 HU) on a linear scale. For mouse images, VOIs were generated to encompass the tibia from the tibia-fibula junction to the tibial plateau. VOIs for clinical images were contoured to encompass the bone surrounding the known location of the osseous lesion.

## Parametric Response Maps (PRM)

The Parametric Response Map is capable of detecting changes in quantitative imaging metrics on a voxel scale [24,25,39]. Image co-registration was performed using an automated iterative image transformation algorithm using the objective function of mutual information [50]. Each lesion was co-registered individually using either rigid-body transformation (CT) or a thin-plate spline warping interpolant (MRI).

After registration, each image sub-volume (voxel) is associated with two quantitative indices, one pre-treatment and the other post-treatment. Image voxels were statistically classified by their change over time ( $\Delta X$ ) using a pre-determined threshold (i.e., applying a 95% confidence interval of no change) into one of the following categories: increased ( $\text{PRM}_{X+}$ , red), decreased ( $\text{PRM}_{X-}$ , blue), or unchanged ( $\text{PRM}_{X0}$ , green), where  $X$  denotes the quantitative index under analysis (i.e., ADC or HU). PRM analysis was applied to both ADC values as a measure of change in tumor cellularity [27,35–37] and HU values as a measure of bone mineral density in the vicinity of the tumor [43]. The threshold used for voxel classification was determined by the

95% confidence interval found in images obtained the same day (test-retest data), and varied by imaging modality and clinical/preclinical as follows: for ADC a threshold of 32 or 55 mm<sup>2</sup>/sec was determined and for HU a threshold of 391 or 100 HU was determined to be the upper and lower limits of the respective confidence limits for mice and human patients [27,35–37].

## Statistical Analysis

For statistical analysis, a normal distribution was assumed and comparisons between groups were performed at each time point using a two-tailed Student's t-test (Microsoft Excel). Significant difference between groups was determined by  $p < 0.05$  (denoted by \* in all figures). All data was presented as mean±SEM.

## Results

### PRM<sub>ADC</sub> Assessment of Metastatic Prostate Cancer to the Bone Treatment Response

Trabecular bone tumors underwent treatment initiation within 4 weeks post-cell implantation. Tumor volumes of control animals showed continual growth throughout the study until an endpoint of +400% (i.e., 5 times the initial volume) was reached (Fig 2A). Animals treated with IR and docetaxel exhibited significant growth delays of about 30 and 40 days, respectively. Further enhancement of treatment efficacy was found in the combination therapy group with an extended growth delay of 60 days (Fig 2A).

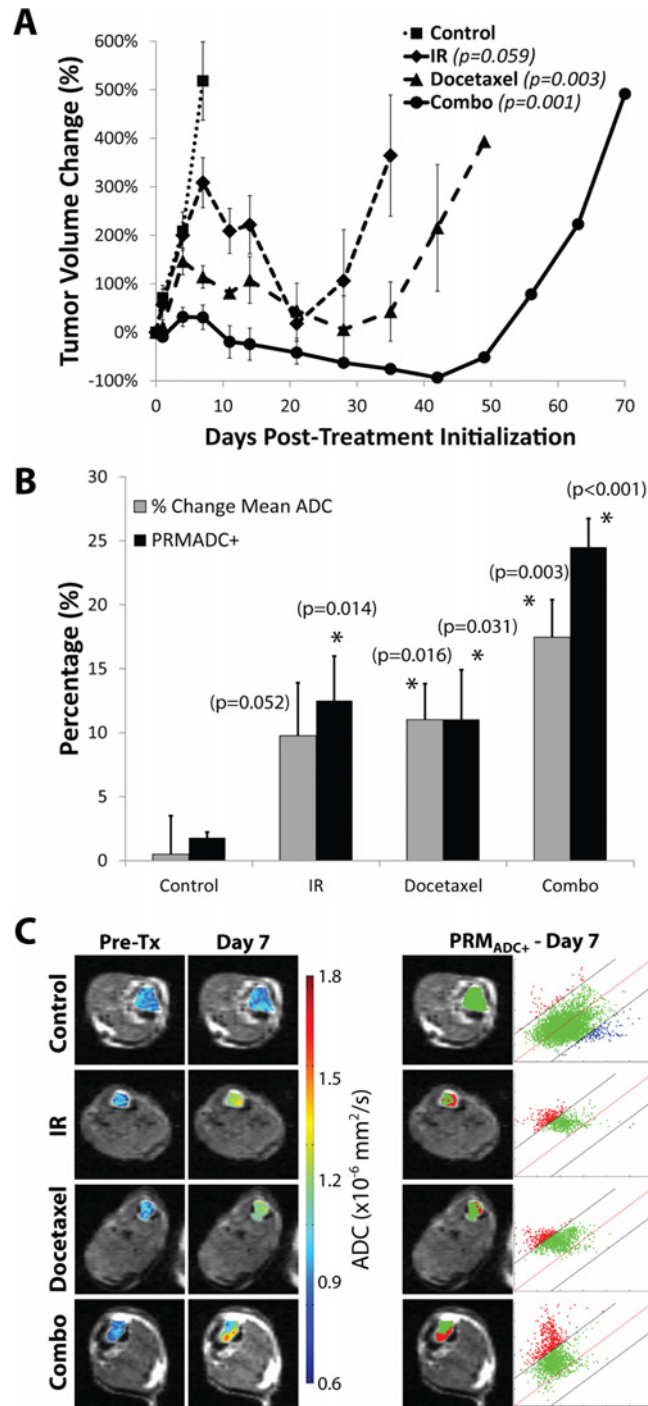
DW-MRI was also evaluated for its ability to detect early response by evaluating the changes in tumor water diffusion values during the first week of therapy. As shown in Fig 2B and 2C, tumors were analyzed using both mean tumor ADC as well as the PRM voxel-based approach. Analysis of tumor ADC changes using PRM revealed a significant shift in the distribution of tumor voxels to higher ADC values (red voxels) moving above the 95% cutoff threshold which served as the biomarker metric of response. The PRM color overlay revealed heterogeneous changes in ADC values at 7 days post-treatment initiation within an individual tumor. PRM scatter plots (2C) were generated by plotting ADC values from each voxel on day 7 (y-axis) against day 0. The red line represents equality between measurements whereas the black lines represent the 95% confidence interval cutoff which was determined to be  $\pm 0.32 \times 10^{-3}$  mm<sup>2</sup>/s. Control tumors exhibited little change in ADC over the first 7-day period post-treatment, as evidenced in the representative overlay (Fig 2C) by majority of green voxels. Conversely, representative overlays showing docetaxel and IR-treated animals demonstrate the substantial fraction of voxels that shifted to higher values at day 7 due to an increase in tumor ADC values. Animals treated with a combination of docetaxel and IR presented an even greater change in ADC than either treatment alone.

Changes in mean tumor ADC for each group showed similar magnitudes of response compared to PRM<sub>ADC</sub> (Fig 2B and 2C). The mono-therapy groups were both found to have an approximate 10–12% increase in mean tumor ADC values at 7 days post-treatment initiation whereas the combined treatment group exhibited a 25% increase. The percent change in mean ADC as well as the PRM<sub>ADC+</sub> values of the docetaxel, IR and combined treatment groups were all statistically different from the control group at day 7 ( $p < 0.01$ ).

### PRM<sub>CT</sub> Assessment of Protective Bone Treatment from Metastatic Prostate Cancer

PRM was applied to CT images to monitor bone tissue density changes during early protective treatment of PC3 tumors with ZA and compared to untreated animals soon after implantation of tumor cells. ZA was used as a treatment to evaluate the ability of PRM<sub>CT</sub> to detect the effects

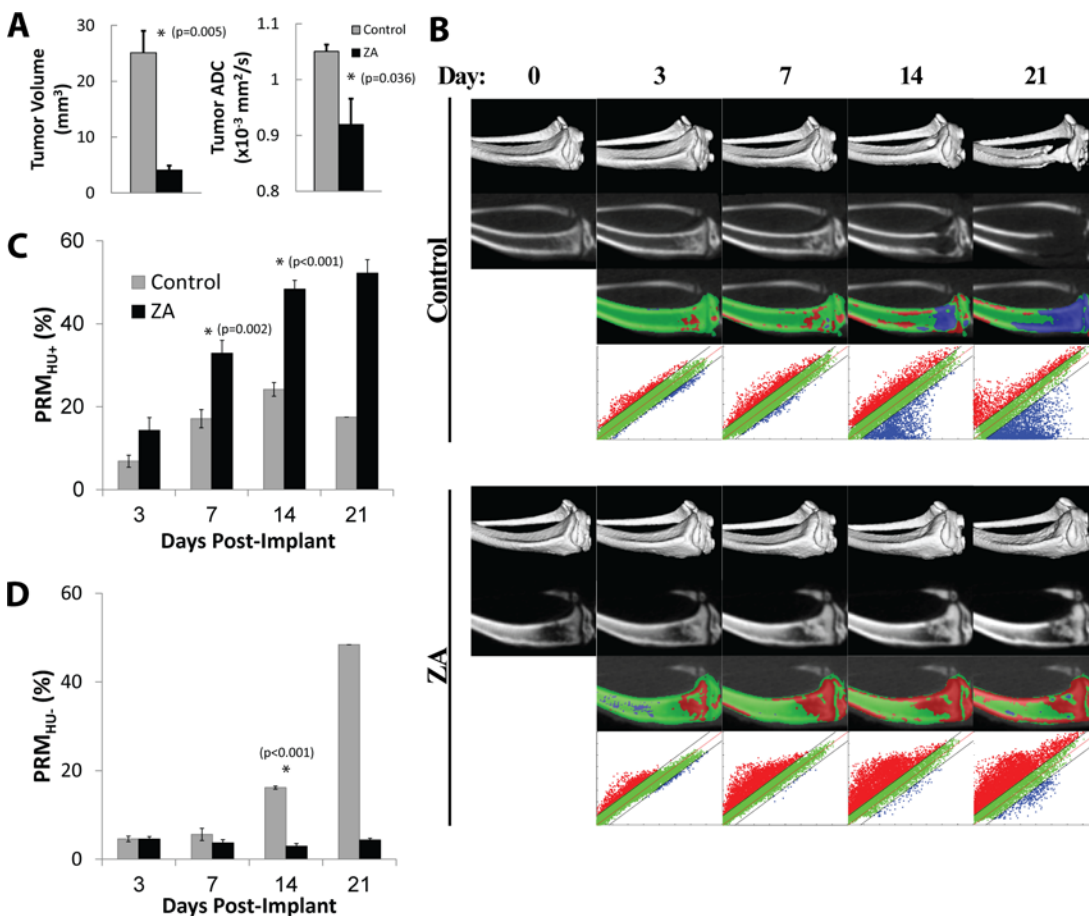




**Fig 2. Intratibial PC3 tumor response to docetaxel (n = 6), radiation (IR, n = 6), or combination treatment (n = 6) shows an additive effect by anatomical and diffusion MRI compared to controls (n = 8).** (A) Tumor volumes plotted over time (p-values in legend show significance versus controls at day 7) show greater cell kill in the combination group (circles, solid line) than either docetaxel (triangles, long-dashed line) or IR (diamonds, short-dashed line) treatment alone, and all treatments resulted in significant cell kill over controls (squares, dotted line). (B) Comparison of mean ADC change to PRM<sub>ADC+</sub> at day 7 post-treatment-initialization resulted in no significant difference between measurements, but slightly elevated PRM<sub>ADC+</sub> over mean ADC in the combination treatment (significant difference from controls: \* ( $p<0.05$ )). (C) ADC color over overlays are shown in the left two columns for pre-treatment and day 7, and corresponding PRM<sub>ADC</sub> overlay and scatterplot are shown on the right.

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of a bisphosphonate drug on slowing down bone resorption which should allow for bone-forming cells the time needed to rebuild normal bone through bone remodeling. As shown in Fig 3, PC3 implantations treated with ZA revealed a bone-protective effect, with expedited healing of the surgical bone wound and progressive increases in overall bone density. MRI tumor volumes along with ADC values were quantified at day 21 post-treatment-initiation which revealed retardation of tumor growth in ZA-treated animals as compared to control animals (Fig 3A). Longitudinal CT scans on control and ZA-treated animals allowed for voxel-based PRM analysis to be accomplished for assessment of bone mineral changes due to the osteolytic processes associated with a growing PC3 tumor along with bone changes due to ZA intervention. Shown in Fig 3D are representative images for a control (top panels) and ZA-treated (bottom panels) mouse showing (from top to bottom) an isosurface, CT slice, PRM overlay, and PRM scatterplots from pre-treatment to 21 days post-treatment. The red regions represent voxels of increased bone mineral density versus loss of bone density depicted by blue voxels. Quantification of longitudinal changes in bone density was accomplished and summarized in Fig 3B and 3C. The PRM<sub>HU+</sub> bar plot (Fig 3B) shows significantly higher volume of



**Fig 3. PC3 implantations treated with zoledronic acid (ZA, n = 4) show a bone-protective effect compared to controls (n = 8).** (A) MRI tumor volume and ADC determined at day 21 post-treatment-initiation shows a retardation of tumor growth and significantly lower ADC in the zoledronic acid treated animals. (B) PRM<sub>HU+</sub> bar plot shows significantly higher volume of bone that increased in density after treatment compared to controls. (C) PRM<sub>HU-</sub> bar plot shows minimal loss of bone in the ZA-treated group, compared to progressively increasing bone loss in the controls. (D) Representative images for a control (top) and ZA-treated (bottom) mouse showing (from top to bottom) an isosurface, CT slice, PRM overlay, and PRM scatterplot from pre-treatment to 21 days post-treatment.

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bone that increased in density after ZA-treatment as compared to control animals with growing PC3 tumors. The  $PRM_{HU}$  bar plot (Fig 3C) showed minimal loss of bone occurred over time in the ZA-treated group as compared to progressively increasing bone loss in control PC3 implanted animals.

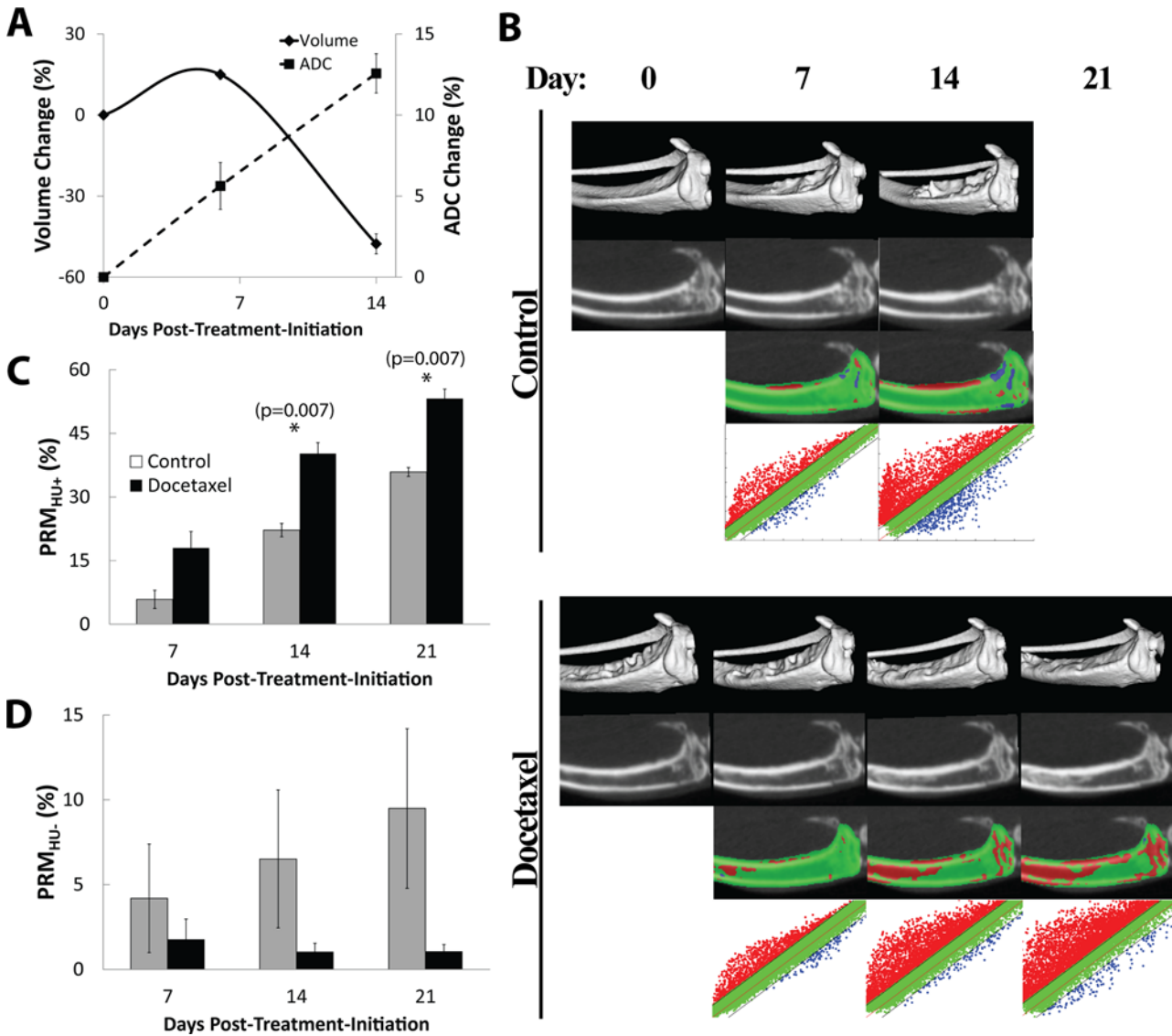
### $PRM_{CT}$ Assessment of Osteolytic and Osteoblastic Responses to Metastatic Prostate Cancer

Docetaxel-treated LAPC-9 tumors were also evaluated against controls using PRM. The LAPC-9 tumor has been shown to have a significant osteoblastic effect when implanted in mice and PRM analysis revealed that there was in fact a slower and mixed  $PRM_{HU}$  response as compared to PC3 with docetaxel treatment. As shown in Fig 4A, plots of changes in tumor volume (solid line) and ADC (dashed line) revealed the effects of docetaxel-treatment on LAPC-9 as a decrease in tumor volume was associated with an early and increasing change in tumor ADC values. Shown in Fig 4D are representative images from a control animal (top panels) and a docetaxel-treated animal (bottom panels) showing (from top to bottom) an isosurface, CT slice, PRM overlay, and PRM scatterplot from pre-treatment to 21 days post-treatment. The untreated LAPC-9 tumors (Fig 4C) appeared to have less bone loss ( $PRM_{HU}$ ) at day 21 compared to untreated PC3 animals (Fig 3C) indicating differential dynamics of bone loss and buildup between the two different tumor lines. As shown in Fig 4B,  $PRM_{HU+}$  bar plots over time reveal bone density increases occurred more in the docetaxel-treated group as compared to controls reaching significance by days 14 and 21. As shown in Fig 4C,  $PRM_{HU}$  bar plots over time showed very little bone loss in the treated group compared to elevated bone mineral loss in the control animals (though not significant in this study).

Comparison between untreated PC3 and LAPC-9 tumor growth signatures via PRM analysis is shown in Fig 5.  $PRM_{HU}$  analysis for this comparison was performed using a  $\mu$ CT image acquired the day of implantation as the baseline, compared to the previous studies which used the day that treatments were initiated (pre-treatment) as baseline. In Fig 5A,  $PRM_{HU+}$  (gain of bone density) over time appeared to be greater in animals with PC3 tumors as compared to animals with LAPC-9 tumors. However, inspection of PRM color overlays for PC3 and LAPC-9 tumors in Figs 3D and 4D, respectively, shows that in fact most of the bone density increase was spatially associated with cortical bone expansion not local to the focal lesion reflecting structural changes associated with natural skeletal growth and compensation for the growing lesion. In contrast, clear differences in the effects of the two tumor lines on bone density loss is shown in Fig 5B wherein  $PRM_{HU}$  values which reflect bone loss was significantly larger for untreated PC3 tumors versus animals with LAPC-9 tumors.

### Clinical $PRM_{ADC/HU}$ Assessment of Metastatic Prostate Cancer Treatment Response

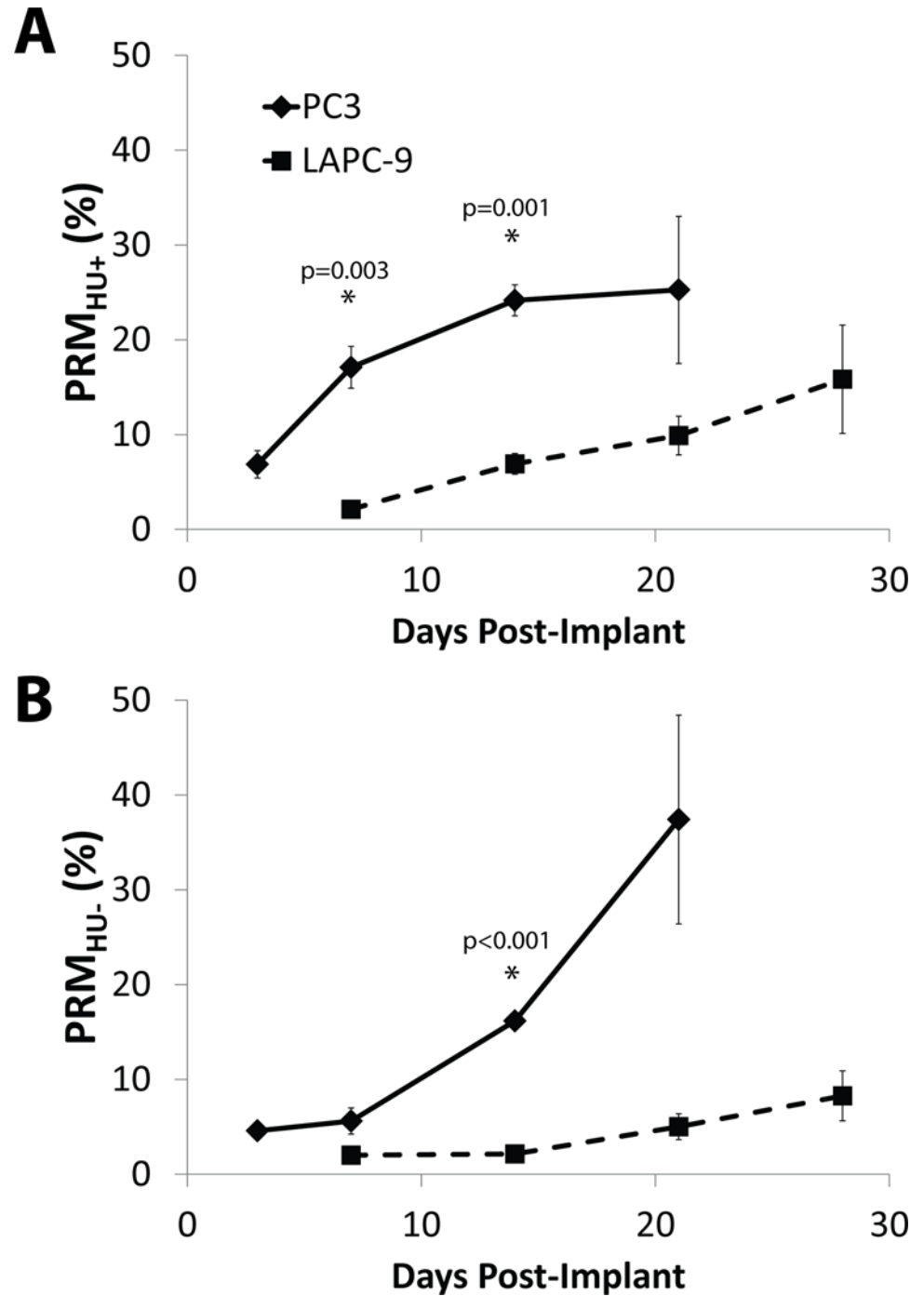
In the pilot clinical trial, patients with CRPC underwent both DW-MRI and CT scanning protocols. Of the 12 patients 8 were clinically determined to have stable disease (SD) and 4 to have disease progression (PD) by standard criteria at first disease assessment. DW-MRI  $PRM_{ADC}$  measurements were monitored per individual lesion on all 12 patients, resulting in 18 lesions for the SD group and 7 for the PD group. For CT-based  $PRM_{HU}$  measurements, 5 patients analyzed were SD (12 lesions) and 4 patients were PD (7 lesions). Representative PRM color overlays are presented in Fig 6A showing results for a SD patient (top) versus a PD patient (bottom) for  $PRM_{HU}$  (left) and  $PRM_{ADC}$  (right). In these panels, blue represents regions of decreased value, red increased value, and green statistically unchanged value. Shown in Fig 6B is a bar plot summarizing the imaging findings for patients with prostate cancer to the bone



**Fig 4. LAPC-9 tumors show slower mixed PRMHU<sup>±</sup> response with docetaxel treatment compared to PC3.** (A) Time plots of tumor volume (solid line) and ADC (dashed line) show successful response to treatment (n = 3) as volume shrinkage and ADC increase. (B) PRM<sub>HU+</sub> bar plot over time shows more bone density increase in the docetaxel-treated group compared to controls (n = 3), significant on days 14 and 21. (C) PRM<sub>HU+</sub> bar plot over time shows very little bone loss in the treated group compared to elevated bone mineral loss in the controls (though not significant in this study). (D) Representative images for a control (top) and docetaxel-treated (bottom) mouse showing (from top to bottom) an isosurface, CT slice, PRM overlay, and PRM scatterplot from pre-treatment to 21 days post-treatment.

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post-therapy. Follow-up DW-MR scans were obtained on average at 2.2±0.1 and 2.1±0.1 weeks' post-therapy initiation for patients with stable disease (SD) and progressive disease (PD), respectively, and CT scans were obtained on average at 9.6±1.1 and 14.5±2.1 weeks post-therapy initiation for the SD and PD patient groups, respectively. Significant differences between SD and PD groups were found in both PRM<sub>HU-</sub> and PRM<sub>ADC+</sub>. Early increases in tumor ADC quantified by PRM<sub>ADC+</sub> at 2 weeks post-treatment were positively correlated to treatment response based upon changes in PSA levels. Patients with clinically determined stable disease generally had a significantly greater volume fraction of their tumor with increased ADC values

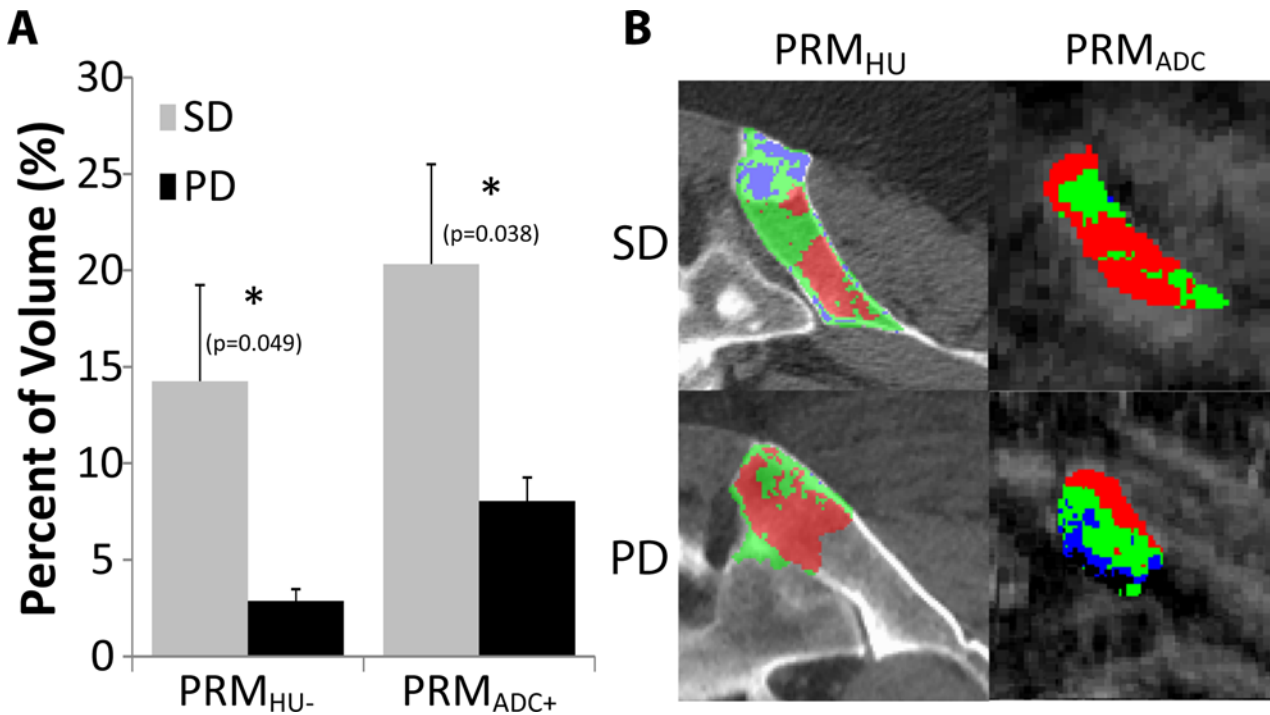


**Fig 5. PRMHU plots over time post-implantation compare un-treated bone changes in PC3 (diamonds, solid line, n = 4) to LAPC-9 (squares, dashed line, n = 6) implants as quantified by (A) PRMHU+ and (B) PRMHU-.**

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( $17.2 \pm 3.9\%$ ) versus patients which were subsequently determined to have progressive disease ( $7.1 \pm 1.4\%$ ). Tumor-regional PRM<sub>HU-</sub> volumes quantified by PRM<sub>CT</sub> at approximately 9–12 weeks post-treatment were positively correlated to treatment response based upon changes in PSA levels. Patients with stable disease also generally had a significantly greater volume





**Fig 6. Results from clinical trial.** (A) Representative PRM overlays show stable disease (top) and progressive disease (bottom) for PRM<sub>HU-</sub> (left) and PRM<sub>ADC+</sub> (right). Blue represents regions of decreased value, red increased value, and green statistically unchanged value. (B) The bar plot shows significant differences (marked with \*) between stable disease (SD, gray, n = 8) and progressive disease (PD, black, n = 4) groups in volume fractions of bone PRM results (labeled PRM<sub>HU-</sub>, volume fraction of decreased attenuation at about 10 weeks post-treatment) and DW-MRI (volume fraction of increased ADC at about 2 weeks post-treatment).

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fraction of their tumor-regional VOI with reduced attenuation (13.2±4.2%) versus patients with progressive disease (2.5±0.6%).

### Discussion

Skeletal involvement remains the leading cause of morbidity and mortality in patients with prostate cancer. Bone scintigraphy with <sup>99m</sup>Tc-labelled bisphosphonates is widely used to stage patients with bone metastasis [10]. The ability to optimize patient management in this population has been limited by difficulties in assessing response in bone metastasis based on bone scan imaging [7]. Quantification of treatment response of osseous lesions can be complicated by an inability to delineate accurate tumor dimensions due to a dynamic remodeling process occurring between osteoclasts and osteoblasts in an attempt to maintain bone homeostasis. Moreover, an increase in <sup>99m</sup>Tc-labelled bisphosphonates by metastatic lesions may occur after initiation of systemic hormone or chemotherapy and is referred to as the flare phenomenon [51]. This phenomenon occurs due to bone remodeling in a skeletal metastasis as dysregulation of processes involved with homeostasis can occur due to the presence of osteolytic, osteoblastic or mixed lesions contributing to an increased difficulty of image interpretation.

The overall goal of this research study was to evaluate the voxel-based PRM biomarker for quantification of multi-modal images to assess the pathology and treatment effects of multiple tissues including tumor and stroma in the context of metastatic prostate cancer to the bone. Pathological correlation of loss of tumor cell density with increasing ADC values by DW-MR as well as loss of bone mineral density with decreasing in HU values in CT scans have been previously reported by our group [35,43]. Complex interactions between prostate cancer tumor



cells and the host microenvironment and therapies targeting osseous metastases are dynamically maintained through a series of signaling pathways. The skeleton is remodeled continually through simultaneous resorption and formation of new bone. Significant effects on bone metabolism can be induced due to delivery of cancer treatments even in the local absence of a bone lesion known as cancer treatment-induced bone loss [52]. As the skeleton is the most common organ affected by metastatic cancer along with the site that produces the greatest patient morbidity, development of improved multi-modal imaging approaches which can provide for assessment of both the tumor and bone integrity may provide for new advances in the management of this patient population.

The objective of this study was to evaluate DW-MRI and CT as imaging biomarkers for quantification of growth progression and treatment response associated with changes in tumor cellularity (DW-MRI) and bone density (CT), respectively in metastatic cancer to the bone. We explored the capabilities of PRM voxel-based analysis of MRI and CT images to quantify and display changes in both tumor tissue and the bone stromal compartment in response to metastasis and treatment intervention. The hypothesis was that PRM may be useful for analyzing DW-MRI scans to provide for an early response biomarker and similarly that PRM analysis of CT scans would provide insights on the impact of the tumor involvement and treatment on the stromal compartment.

Docetaxel plus prednisone is the standard first-line chemotherapy for patients with CRPC at time of study conduct. The study also allowed non-standard systemic therapy that has had reported efficacy in this disease setting. In previous work by our group [35], docetaxel therapy alone was shown to elicit a significant increase in ADC values for PC3 tumors. In this present study, MRI-determined PC3 tumor volumes showed that treatment with IR or docetaxel alone were both very effective in producing an overall growth delay but that the combination of the two interventions was much more effective than single agent therapies (Fig 2A). The PRM<sub>ADC</sub> biomarker revealed that at day 7 post-treatment, a significant increase in tumor ADC values had occurred in the single therapy groups which were also found to be even higher in the combination treatment group (Fig 2B). Moreover, the data presented herein reveals that DW-MRI is capable of detecting early loss in tumor cellular density due to IR, docetaxel and radiochemotherapy in this bone metastasis model and that PRM analysis appeared to provide additional sensitivity for the combination therapy group over a simple histogram analysis (Fig 2B). The observed correlation of the magnitude of PRM<sub>ADC</sub> changes as a quantitative imaging biomarker with outcome/tumor response in the setting of assessing therapeutic combinations may provide for improved screening opportunities in pre-clinical testing.

In this study, we also evaluated the ability to use PRM analysis of longitudinal CT scans to assess tumor-stromal interactions in bone metastasis models. Net loss of bone density was readily observed which increased over time in untreated PC3 animals. PC3 cells were used as they are known to generate an androgen independent osteolytic response [44,53]. PRM<sub>HU</sub> analysis revealed that this approach could readily detect spatially and time-dependent loss of bone mineral density in this model (Fig 3) which was inhibited using anti-resorptive therapy (zoledronic acid). While the use of bisphosphonate treatment is considered standard of care for patient with metastatic bone involvement, the mechanisms are not fully understood and the development of the PRM biomarkers allowing for simultaneous interrogation of the impact of these treatments on the tumor and stroma open up potentially new approaches for obtaining information to further our understanding of the underlying dynamic changes which occur during therapy.

In an additional study, we used the LAPC-9 tumor line as it has been shown to have significant osteoblastic activity (Fig 4). This secondary tumor model was used to further evaluate the overall sensitivity of PRM analysis which revealed a slower and mixed PRM<sub>HU</sub> progression in

mice with LAPC-9 tumors as compared to PC3 mice (Fig 5). Where PC3 tumors resulted in a steady loss of bone seen as increasing  $PRM_{HU-}$ , LAPC-9 tumors resulted in progressive increases in both  $PRM_{HU+}$  and  $PRM_{HU-}$ . In fact, bone loss was found to be significantly higher in untreated PC3 tumors versus animals with LAPC-9 tumors. Significantly higher  $PRM_{HU+}$  seen in the PC3 tumors was attributed to the need for the remaining healthy bone to compensate for the loss of bone structure near the tumor. The PRM analysis was able to detect and quantify osteolytic versus osteoblastic lesions.

In the pilot clinical trial, we used both DW-MRI and CT scanning protocols to evaluate their ability to detect changes in patients with CRPC. While patient numbers were relatively small, the volume fraction of the tissue that had a significant decrease in HU value ( $PRM_{HU-}$ ) was found to be greater in the SD group versus the PD patient group. This may indicate bone re-normalization in patients who responded to therapy. Although lesion phenotyping was not performed in this study, regions of the metastases generally seemed to appear sclerotic on CT images, which agree with the hypothesis that a decrease in attenuation in these regions may indicate progress toward a normal state and positive treatment outcome. The use of  $PRM_{HU}$  in the context of monitoring changes due to bone metastases and treatment intervention appears promising and further studies based upon these novel findings are warranted. Moreover, DW-MRI scans ( $PRM_{ADC}$ ) at 2 weeks post-treatment revealed that early increases in tumor ADC values could be detected which were positively correlated to a subsequent positive clinical response. The fact that patients with SD were shown to have a significantly greater volume fraction of their tumors with increased ADC values versus PD patients also reveals the potential for using  $PRM_{ADC}$  as an imaging biomarker for early treatment stratification of patients with metastatic prostate cancer to the bone. These results support earlier findings in hormone naïve patients which showed similar increased  $PRM_{ADC}$  values in therapeutically responsive patients [27,36]. Moreover, methodologies for assessment of response in disseminated skeletal metastases using whole body DW-MR have also recently emerged [54,55].

Overall, this study revealed that the PRM imaging biomarker as applied to DW-MRI and CT scans was able to provide for quantitative assessment of tumor-stroma (bone) interactions which were specific to overall treatment outcome with similar findings in both the mouse and human studies. Further development and evaluation of DW-MRI and methods for quantification and visualization of response such as PRM as a noninvasive quantitative imaging biomarker are warranted to more fully explore the clinical impact of this approach.

## Supporting Information

**S1 CONSORT Checklist. TREND statement checklist for nonrandomized controlled trial.**  
(PDF)

**S1 Protocol. Protocol for Early Assessment of Treatment Response using Functional Diffusion Mapping**  
(PDF)

## Author Contributions

Conceived and designed the experiments: BDR MH TLC JAJ AR TDJ KJP CJG. Performed the experiments: JCB BAH TLC JAJ SG TS ASA. Analyzed the data: JCB BAH TLC JLB TDJ KJP CJG CRM TS ASA MH BDR. Contributed reagents/materials/analysis tools: BAH TLC JAJ JLB SG AR TDJ KJP CJG CRM KN ASA MH BDR. Wrote the paper: JCB BAH TLC JAJ AR TDJ KJP CJG CRM TS KN ASA MH BDR.

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