

# All binary, (n,e,r)-uniformly packed codes are known

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# TECHNISCHE HOGESCHOOL EINDHOVEN

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All binary, (n,e,r)-uniformly packed codes are known

door

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# § 1. Introduction

Let V be a n-dimensional vectorspace over GF(2). For  $\underline{u} \in V$ , the weight  $w(\underline{u})$  is the number of its nonzero components. The <u>Hamming distance</u>  $d(\underline{u},\underline{v})$  for any two vectors  $\underline{u}$  and  $\underline{v}$  im V is the weight of their difference, i.e.  $d(\underline{u},\underline{v}) = w(\underline{u} - \underline{v})$ .

A <u>code</u> C of length n is any subset of V, with  $|C| \ge 2$ ; its <u>minimum distance</u> d(C) is the minimum value of the distance between any two distinct elements of C. A code C is called <u>e-error-correcting</u> iff  $e = [\frac{d(C) - 1}{2}]$ . The <u>weight-</u> <u>enumerator</u> of a code C is the polynomial W<sub>c</sub>(z) defined by

(1) 
$$W_{c}(z) := \sum_{i=0}^{n} A(i)z^{i} := \sum_{\underline{u}\in C} z^{w}(\underline{u})$$

Clearly A(i) is the number of codewords of weight i. We need some more definitions:

(2) 
$$B(\underline{x},k) := |\{\underline{c} \in C \mid d(\underline{x},\underline{c}) = k\}|, \quad \underline{x} \in V, \quad 0 \le k \le n,$$

(3) 
$$p(x) := \min\{k \mid B(x,k) \neq 0\}, x \in V,$$

(4) 
$$C_e := \{ \underline{x} \in \mathbb{V} \mid p(\underline{x}) \ge e \},$$

(5) 
$$r(\underline{x}) := B(\underline{x}, e) + B(\underline{x}, e + 1)$$

In words: r(x) is the number of code words at distance e or e + 1 from x. Let  $\underline{x} \in C_e$  be fixed. By a suitable translation of the code, we may assume that  $\underline{x} = \underline{0} = (0, 0, \dots, 0)$ .

Now  $r(\underline{0})$  equals the number of codewords of weight e or e + 1. Since the mutual distance of these code words is at least 2e + 1, we have  $r(\underline{0}) \leq \lfloor \frac{n+1}{e+1} \rfloor$ , i.e.

(6) 
$$r(\underline{x}) \leq [\frac{n+1}{e+1}], \quad (\forall \underline{x} \in C_e)$$
.

Let r(C) be the average value of r(x) for  $x \in C_{a}$ . Since

(7) 
$$|c_e| = 2^n - |c| \sum_{i=0}^{e-1} {n \choose i}$$

and

(8) 
$$\sum_{\underline{\mathbf{x}}\in C_{e}} \mathbf{r}(\underline{\mathbf{x}}) = |C| \{\binom{n}{e} + \binom{n}{e+1} \}$$

it follows that

(9) 
$$\frac{|c| \cdot \{\binom{n}{e} + \binom{n}{e+1}\}}{2^{n} - |c| \cdot \sum_{i=0}^{n} \binom{n}{i}} = r(c) \leq \left[\frac{n+1}{e+1}\right].$$

The inequality in (2) was originally derived in [2]. A code C is called a (n,e,r)-uniformly packed code if for all  $\underline{x} \in C_e$ ,  $r(\underline{x}) = r = r(C)$ . Clearly  $r \ge 2$ , since r = 1 implies that the code is (e + 1)-error-correcting. We remark that this in the original definition of uniformly packed codes (see [5]).

Later this definition was generalized to other fields and the condition for r was replaced by

$$\underline{\mathbf{x}} \in \mathbf{V}, \ \mathbf{p}(\underline{\mathbf{x}}) = \mathbf{e} \Rightarrow \mathbf{B}(\underline{\mathbf{x}}, \mathbf{e} + 1) = \lambda,$$
  
 $\underline{\mathbf{x}} \in \mathbf{V}, \ \mathbf{p}(\mathbf{x}) > \mathbf{e} \Rightarrow \mathbf{B}(\mathbf{x}, \mathbf{e} + 1) = \mu.$ 

So our case reduces to  $\lambda + 1 = \mu = r$  (see [1]). If  $r = \frac{n+1}{e+1}$ , where e+1 divides n+1, then C is called perfect. This is the case where the spheres of radius e around the codewords form a partition of V. If  $r = [\frac{n+1}{e+1}]$ , where e+1 does not divide n+1, then C is called nearly perfect.

It was shown by van Lint and Tietävainen that there are no unknown perfect codes (see [4] and [6]). Recently K. Lindström proved that there are no unknown binary, nearly perfect codes (see [3]). It is the aim of this paper to prove:

Theorem. There are no unknown, uniformly packed binary codes.

# § 2. Lemmas

In [1] the following result is proved:

Lemma 1. If C is a (n,e,r)-uniformly packed code, e = 1 or 2, then either C is (nearly) perfect or we are in one of the following cases:

a) 
$$e = 1, n = (2^{m-1} + 1)(2^m - 1), r = \begin{pmatrix} 2^{m-1} + 1 \\ 2 \end{pmatrix}, m \ge 2;$$
  
b)  $e = 1, n = (2^{m-1} - 1)(2^m + 1), r = \begin{pmatrix} 2^{m-1} \\ 2 \end{pmatrix}, m \ge 3;$ 

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c) 
$$e = 1, n = 2^m - 2, r = 2^{m-1} - 1, m \ge 3;$$

d)  $e = 2, n = 2^{2m} - 1, r = (2^{2m} - 1)/3, m \ge 2;$ 

e) 
$$e = 2, n = 2^{2m+1} - 1, r = (2^{2m} - 1)/3, m \ge 2;$$

f) e = 2, n = 11, r = 3.

For a description of these codes see [1].

<u>Definition</u>. C(n,e,r) denotes the set of (n,e,r)-uniformly packed codes C, where C is not perfect.

Lemma 2. If  $C \in C(n,e,r)$ , then d(C) = 2e + 1.

<u>Proof.</u> Assume that d(C) = 2e + 2. W.1.o.g. <u>0</u> ∈ C and <u>c</u> := (1,1,...,1,0,0,...,0), where  $w(\underline{c}) = 2e + 2$ , is in the code. Take <u>x</u> = (1,1,...,1,0,...,0),  $w(\underline{x}) = e$ . Then  $r = r(\underline{x}) = 1$ . However for <u>y</u> = (1,1,...,1,0,...,0),  $w(\underline{y}) = e + 1$ , we find  $r = r(\underline{y}) \ge 2$ .

Lemma 3. If  $C \in C(n,e,r)$ , then

(10) 
$$|c| \{ \sum_{i=0}^{e-1} {n \choose i} + \frac{1}{r} ({n \choose e} + {n \choose e+1}) \} = 2^{n}$$
.

Proof. This is a reformulation of (9).

Lemma 4. If C(n,e,r) is nonempty, then the polynomial

(11) 
$$Q(x) := \sum_{i=0}^{e-1} P_i^{(n)}(x) + \frac{1}{r} P_e^{(n)}(x) + \frac{1}{r} P_{e+1}^{(n)}(x) =$$

(12) 
$$= \frac{1}{r} \{ (r-1)P_{e-1}^{(n-1)}(x-1) + P_{e+1}^{(n-1)}(x-1) \}$$

has e + 1 distinct integer roots  $x_1, x_2, \dots, x_{e+1}$  in [1,n]. Here

(13) 
$$P_{k}^{(n)}(x) := \sum_{i=0}^{k} (-2)^{i} {\binom{n-i}{k-i}} {\binom{x}{i}} = \sum_{i=0}^{k} (-1)^{i} {\binom{n-x}{k-i}} {\binom{x}{i}} .$$

Proof. See [1].

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Lemma 5. If 
$$x_1 < x_2 < \dots < x_{e+1}$$
 are the zeros of  $Q(x)$ ,  $e \ge 3$ , then  
(14) i)  $\sum_{i=1}^{e+1} x_i = \frac{(n+1)(e+1)}{2}$ ,  
(15) ii)  $x_i + x_{e+1-i} = n+1$ ,  $1 \le i \le e+1$ ,  
(16) iii)  $\prod_{i=1}^{e+1} x_i = \frac{r(e+1)!2^{n-e-1}}{|C|} \ge \frac{(e+1)!\binom{n}{e+1}}{2^{e+1}}$ ,  
(17) iv)  $2^{e+1} \prod_{i=1}^{e+1} (x_i - 1) = (n-1)(n-2)\dots(n-e+1)\{n^2 - (2e+1)n + re(e+1)\},$ 

(18) v) 
$$2^{e+1} \prod_{i=1}^{e+1} (x_i - 2) = (n-2)(n-3)...(n-e+1)\{(r-1)(e+1)e(n-2e+1) + (n-e)(n-e-1)(n-2e-3)\}$$
.

<u>Proof</u>. Let  $C_k(p(x))$  denote the coefficients of  $x^k$  in the polynomial p(x). Since

$$C_{e+1}(Q(x)) = C_{e+1}(\frac{1}{r}P_{e+1}^{(n)}(x)) = (-2)^{e+1}\frac{1}{r(e+1)!}$$

it follows that

(19) 
$$Q(x) = \frac{(-2)^{e+1}}{r(e+1)!} \prod_{i=1}^{e+1} (x - x_i)$$

Now i) follows from (11) and the observation

$$\sum_{i=1}^{e+1} x_i = -C_e(Q(x))/C_{e+1}(Q(x))$$

The equality in iii) follows similarly from (11) and

$$\begin{array}{l} e^{+1} \\ \Pi \\ i^{-1} \\ i^{-1} \end{array} = (-1)^{e^{+1}} C_0(Q(x)) / C_{e^{+1}}(Q(x)) \\ \vdots \\ \end{array}$$

The inequality in iii) follows from (10) and

$$\frac{r(e + 1)!2^{n-e-1}}{|C|} = \frac{(e + 1)!\left\{\sum_{i=0}^{e-1} {n \choose i} + \frac{1}{r}{n \choose e} + \frac{1}{r}{n \choose e+1}\right\}}{2^{e+1}\frac{1}{r}} \ge \frac{(e + 1)!{n \choose e+1}}{2^{e+1}}$$

The equalities iv) and v) can easily be verified by substitution of x = 1resp. x = 2 in (11) and (19). The definition of  $P_k^{(n)}(x)$  in (13) leads to the obvious observation  $P_k^{(n)}(x) = (-1)^k P_k^{(n)}(n - x)$ . Using (12), one finds  $Q(x) = (-1)^{e+1}Q(n + 1 - x)$ . This implies ii).

Lemma 6. Let  $C \in C(n,e,r)$ ,  $\underline{0} \in C$ . Then the words of weight k in C form an  $e - (n,k,\lambda(k))$  design, where  $\lambda(k)$  depends on k,  $\lambda(2e + 1) = r - 1$ . Moreover, the words of weight k in the extended code form an  $(e + 1) - (n + 1,k,\mu(k))$  design, where  $\mu(k)$  depends on k,  $\mu(2e + 2) = r - 1$ .

Proof. See [5].

Lemma 7. Let  $\sum_{i=0}^{n} A(i)z^{i}$  be the weight enumerator of a code  $C \in C(n,e,r)$ . Then for all  $0 \le k \le n$ 

(20) 
$$\binom{n}{k} = \sum_{\delta=0}^{e+1} \alpha_{\delta} \sum_{i=0}^{\delta} A(k+\delta-2i) \binom{k+\delta-2i}{\delta-i} \binom{n-k-\delta+2i}{i},$$
  
where  $\alpha_{0} = \alpha_{1} = \dots = \alpha_{e-1} = 1, \alpha_{e} = \alpha_{e+1} = \frac{1}{r}.$ 

Proof. See [5].

Lemma 8. If C(n,e,r),  $e \ge 3$ , is nonempty, then  $e \ge 17$  or

$e = 3, n \ge 90,$	$e = 8, n \ge 405,$	$e = 13, n \ge 279,$
$e = 4, n \ge 135,$	$e = 9, n \ge 262,$	$e = 14, n \ge 319,$
$e = 5, n \ge 189,$	$e = 10, n \ge 314,$	$e = 15, n \ge 361,$
$e = 6, n \ge 430,$	$e = 11, n \ge 371,$	$e = 16, n \ge 407$ .
e = 7, n ≥ 324,	$e = 12, n \ge 242,$	

<u>Proof.</u> This is done by a computer analysis. For each of the admissable parameters, we first checked whether they satisfy the necessary conditions for the existence of an (e + 1) - (n + 1, 2e + 2, r - 1) design (lemma 6). If so, then we applied lemma 3. This excluded all the remaining cases. The total computer time was 16 seconds on a Burroughs B6700.

Lemma 9. If C(n,e,r),  $e \ge 3$ , is nonempty then

i) 
$$n \ge \frac{(r-1)e^2 + (3r-2)e + (2r-2)}{r}$$
 for  $r \ge 4$ ,

ii) 
$$n \ge \frac{2e^2 + 8e + 4}{3}$$
 for  $r = 3$ ,

iii)  $n \ge \frac{e^2 + 4e + 3}{2}$  for r = 2.

Proof. With the aid of lemma 7, it is easy to verify that

$$A(2e + 2) = A(2e + 1)\frac{n - 2e - 1}{2(e + 1)}$$

and

$$A(2e + 3) = \frac{A(2e + 1) \cdot g(n)}{(2e + 3)(2e + 2)(r - 1)},$$

where g(n) := r(n-e)(n-e-1) - r(r-1)e(e+1) - (r-1)(e+1)(e+3)(n-2e-1). At this point we must remark that the cases n = 2e + 1 and n = 2e + 2 never occur in C(n,e,r).

Since  $g(2e + 1) = r(2 - r)e(e + 1) \le 0$ , it follows that n must be greater than or equal to the largest zero of g(x). Using  $e^4(r - 1)^2$  as a lower bound for the discriminant of g(n) for  $r \ge 4$ , one easily obtains i. Direct calculations for r = 2 and 3 lead to ii) and iii).

Lemma 10. If 
$$C(n,e,r)$$
,  $e \ge 3$ , is nonempty, then  
 $(r - 1)(n - e + 1) \ge (e + 2)(e + 3)$ .

<u>Proof</u>. Since the words of weight 2e + 1 form an e-design with  $\lambda = r - 1$ , one can apply the generalisation of Fisher's inequality to the parameters (see [8]). This leads to the lemma.

Lemma 11. If C(n,e,r),  $e \ge 3$ , is nonempty, then

(21)  $n \ge \frac{2}{3}(e + 1)(e + 2)$ .

**Proof.** Apply lemma 9 for  $r \ge 3$  and lemma 10 for r = 2.

Definition. For any  $m \in \mathbb{N}$ , A(m) is defined as the largest odd divisor of m. We define an equivalence relation on N by

$$m \sim n : \Leftrightarrow A(m) = A(n)$$
.

Let s(C), for any  $C \in C(n,e,r)$ , be the number of equivalence classes  $X_i$  containing at least one zero of Q(x). Moreover let  $n_i$  be the number of equivalence classes containing exactly i zeros of Q(x). Clearly

(22) 
$$\sum_{i=1}^{e+1} n_i = s(C)$$
,

(23) 
$$\sum_{i=1}^{e+1} in_i = e+1$$
.

Lemma 12. If C(n,e,r),  $e \ge 3$ , is nonempty and Q(x) has k zeros on  $[0,\alpha(n+1)]$ ,  $\alpha < \frac{1}{2}$ , then

(24) 
$$\begin{array}{c} e+1 \\ \Pi \\ i=1 \end{array}^{k} \leq (4\alpha(1-\alpha))^{k} (\frac{n+1}{2})^{e+1} \\ \end{array}$$

<u>Proof.</u> Since  $x_1 < x_2 < \dots < x_k \leq \alpha(n+1)$  it follows from (15) that

$$x_{i} x_{e+1-i} \le \alpha(1-\alpha)(n+1)^{2} = 4\alpha(1-\alpha)(\frac{n+1}{2})^{2}, \quad 1 \le i \le k$$

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$$x_i x_{e+1-i} \le (\frac{n+1}{2})^2$$
, for the other values of i.

Together these inequalities imply the lemma.

Lemma 13. Let  $C \in C(n,e,r)$ ,  $e \ge 3$ . Then

(25) 
$$n + 1 \ge (e + 1)^{\frac{e + 1}{\log(e + 1)}} \frac{5 \log 2}{4} - (e + 1 - s(C))}{\prod_{\substack{i \le e + 1 - s(C) \\ i \text{ odd}}} \pi i^2}.$$

Proof. Since

$$2^{2e} = \sum_{i=0}^{e} \begin{pmatrix} 2e+1 \\ i \\ i \end{pmatrix} \leq A(|C|) \cdot \sum_{i=0}^{e} \binom{n}{i} \leq 2^{n-k},$$

one has n - k - e - 1 > 0 (here  $|C| = A(|C|) \cdot 2^k$ ). Therefore by lemma 5, iii) and by the inequality in (9)

(26) 
$$A(\prod_{i=1}^{e+1} x_i) = A(\frac{r(e+1)!2^{n-k-e-1}}{A(|C|)}) = \frac{A(r)A((e+1)!)}{A(|C|)} \le rA((e+1)!) \le \frac{n+1}{e+1}A((e+1)!) .$$

Tietäväinen has proved in [6] that for all  $e \ge 7$ 

(27) 
$$A((e + 1)!) < p(e + 1)(e + 1) = \frac{e + 1}{2} + 1 - \frac{e + 1}{\log(e + 1)} + \frac{5 \log 2}{4}$$

where  $p(e + 1) = \Pi$  i.  $i \le e+1$ i odd

Suppose that the smallest zero x and the largest zero y in one equivalence class, satisfy  $16x \le y$ . Clearly  $x \le \frac{n+1}{16}$ . However (24) now implies

$$\substack{e+1 \\ \Pi \\ i=1} x_i \le \frac{15}{64} (\frac{n+1}{2})^{e+1} .$$

Comparing this with the inequality in (16) results in

$$\frac{15}{64} \ge \prod_{i=1}^{e+1} (1 - \frac{i}{n+1}) .$$

Since the right hand side is at least  $1 - \frac{(e+1)(e+2)}{2(n+1)}$ , we obtain a contradiction with lemma 11.

Therefore  $n_{\ell} = 0$  for  $\ell \ge 5$  and  $n_4 \ne 0$  implies that the elements of a class  $x_i$  with four zeros look like a, 2a, 4a and 8a. Moreover, clearly  $a \le \frac{1}{8}(n + 1)$ . Suppose that the sum of any 2 zeros in this class is never n + 1. Let  $Y := \{n + 1 - a, n + 1 - 2a, n + 1 - 4a, n + 1 - 8a\}$ . Now, using the arithmeticmeangeometric mean inequality, we obtain

This leads, as above, to a contradiction with (16) and lemma 11. If the sum of two zero's in  $X_i$  equals n + 1, we get in the same way, but easier, a contradiction. Hence  $n_i = 0$ . Now clearly

$$A(\prod_{i=1}^{e+1} x_i) \ge \{1,3,5,\ldots(2s(C)-1)\}, 1^2, 3^2,\ldots(2n_3-1)^2(2n_3+1),\ldots(2n_2+2n_3-1) = (28) = p(2s(C)), p(2n_3), p(2(n_2+n_3)) = p(2s(C)), p(2n_3), p(2(e+1-s(C)-n_3)) \ge p(2s(C)), \{p(e+1-s(C))\}^2 \ge p(2s(C)), \{p(e+1-s(C))\}^2 \ge p(e+1)(e+1)^{s(C)-(e+1-\lfloor\frac{e+1}{2}\rfloor)}, \{p(e+1-s(C))\}^2.$$

Comparing (26) and (28) leads, with the use of (27), to the assertion of the lemmas for  $e \ge 7$ . For e = 3,4,5 and 6 the lemma follows from lemma 8.

At this moment we have enough lower bounds on possible values of n. The next 2 lemmas will provide us with upper bounds on n.

Lemma 14. If  $y_1, y_2, \dots, y_s$  and p are positive integers such that  $\frac{y_{i+1}}{y_i} \ge p$ , for all  $1 \le i \le s - 1$ , then

$$\underset{i=1}{\overset{s}{\prod}} y_{i} \leq R^{s-1} \left( \sum_{i=1}^{s} \frac{y_{i}}{s} \right)^{s}, \text{ where } R = \frac{4p}{(1+p)^{2}}.$$

Proof. See [7].

Lemma 15. If  $C \in C(n,e,r)$ ,  $e \ge 3$ , then

(29) 
$$\left(\frac{8}{9}\right)^{e+1-s(C)} \ge 1 - \frac{(e+1)(e+2)}{2(n+1)}$$
.

Proof. Let

$$Y_{i} := X_{i} \cap \{x_{1}, x_{2}, \dots, x_{e+1}\}, t(i) := |Y_{i}|$$
$$R_{i} := (\prod_{x \in Y_{i}} x) / (\sum_{x \in Y_{i}} \frac{x}{t(i)})^{t(i)} \text{ for } Y_{i} \neq \emptyset.$$

Since  $x \in Y_i$ ,  $y \in Y_i$ , y > x implies  $y \ge 2x$ , we get by lemma 14 that  $R_i \le {\binom{8}{9}}^{t(i)-1}$ . Therefore, using the arithmetic-mean-geometric-mean inequality

$$\begin{array}{cccc} e^{+1} & s(C) & s(C) \\ \Pi & x_{i} &= \Pi & (\Pi & x) \leq \Pi & (\frac{8}{9})^{t(i)-1} (\sum_{x \in Y_{i}} \frac{x}{t(i)})^{t(i)} \leq \\ i = 1 & i = 1 & x \in Y_{i} & i = 1 \end{array}$$

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$$\begin{pmatrix} s & (C) \\ \sum & (t & (i) - 1) \\ (\frac{8}{9})^{i=1} & (\sum _{i=1}^{e+1} \frac{x_i}{e+1})^{e+1} = (\frac{8}{9})^{e+1-s} \begin{pmatrix} C & (\frac{n+1}{2})^{e+1} \\ (\frac{1}{2})^{e+1} \end{pmatrix}^{e+1}$$

Here we also used (22), (23) and (14). Comparing this inequality with the inequality in (16) one obtains

$$(\frac{8}{9})^{e+1-s(C)} \ge \prod_{i=1}^{e+1} (1 - \frac{i}{n+1})$$
.

The right hand side in turn is at least  $1 - \frac{(e+1)(e+2)}{2(n+1)}$ .

Lemma 16. If C(n,e,r),  $e \ge 3$ , is nonempty, then

(30) 
$$(n+1)^{1-2/e} \le \left(\frac{A((e+1)!)}{e+1}\right)^{2/e} \left(1 + \frac{\delta_n}{2}\right)^2 \cdot 2(e+1)(e+2)$$

where 
$$\delta_n := (\frac{e+1}{(n+1)A((e+1)!)})^{1/e}$$

<u>Proof.</u> Let us reorder the roots of Q(x) in such a way that  $x_i = A(x_i)2^{\alpha_i}$ ,  $\alpha_1 \leq \alpha_2 \leq \cdots \leq \alpha_{e+1}$ .

(31)   

$$\begin{array}{c} e \\ \Pi \\ i=1 \end{array} g.c.d.(x_{i},x_{i+1}) = \prod_{i=1}^{e} g.c.d.(A(x_{i}),A(x_{i+1})).2^{\alpha_{i}} \ge \prod_{i=1}^{e} 2^{\alpha_{i}} = \\ i=1 \end{array}$$

$$= \frac{\mathbf{x}_1 \ \mathbf{x}_2 \ \cdots \ \mathbf{x}_e}{\mathbf{A}(\mathbf{x}_1 \ \cdot \ \mathbf{x}_2 \ \cdots \ \mathbf{x}_e)} \cdot$$

As in the proof of lemma 13 we remark that n-k-e-1 > 0 if  $|C| = A(|C|) \cdot 2^k$ . Using (31) and (16) we obtain

(32) 
$$\frac{e}{\pi} \frac{|x_{i} - x_{i+1}|}{x_{i}} \ge \frac{e}{\pi} \frac{g.c.d.(x_{i}, x_{i+1})}{x_{i}} \ge \frac{1}{A(x_{1} \cdot x_{2} \cdot \cdot \cdot x_{e})} \ge \frac{1}{A(x_{1} \cdot x_{e+1})}$$

$$= \frac{A(|C|)}{A(r)A((e + 1)!)} \ge \frac{1}{A(r)A((e + 1)!)} \ge \frac{1}{rA((e + 1)!)} \ge \frac{1}{rA((e + 1)!)} \ge \frac{e + 1}{(n + 1)A((e + 1)!)} .$$

Let t be defined by

$$\frac{|x_{t} - x_{t+1}|}{x_{t}} = \max_{1 \le i \le e} |\frac{x_{i} - x_{i+1}}{x_{i}}|.$$

Then (32) implies

$$\frac{|x_t - x_{t+1}|}{x_t} \ge \left(\frac{e+1}{(n+1)A((e+1)!)}\right)^{1/e} = \delta_n .$$

Since the function  $\frac{x}{(1 + x)^2}$  is monotonically increasing on [0,1] and decreasing on [1, $\infty$ ), it follows that for  $x_t < x_{t+1}$ , i.e.  $\frac{x_{t+1}}{x_t} > 1 + \delta_n$  we have

(33) 
$$\frac{\frac{x_{t} x_{t+1}}{x_{t} + x_{t+1}}}{\left(\frac{x_{t} + x_{t+1}}{2}\right)^{2}} = \frac{\frac{\frac{x_{t+1}}{x_{t}}}{\frac{1 + \frac{x_{t+1}}{x_{t}}}{2}} < \frac{\frac{1 + \delta_{n}}{2 + \delta_{n}}}{\left(\frac{2 + \delta_{n}}{2}\right)^{2}} = 1 - \frac{\delta_{n}^{2} \left(\frac{2}{2 + \delta_{n}}\right)^{2}}{4 \left(\frac{2}{2 + \delta_{n}}\right)^{2}} = : 1 - \gamma,$$

and similarly, for  $x_t > x_{t+1}$ ,

(34) 
$$\frac{x_{t} x_{t+1}}{(\frac{x_{t} + x_{t+1}}{2})^{2}} < \frac{1 - \delta_{n}}{(\frac{2 - \delta_{n}}{2})^{2}} = 1 - \frac{\frac{\delta_{n}^{2}}{4}}{1 - \delta_{n} + \frac{\delta_{n}^{2}}{4}} < 1 - \gamma,$$

where (33) defines  $\gamma$ . Using (33), (34), the arithmetic-mean geometric-mean inequality and (14), we obtain

$$\begin{array}{cccc} e^{+1} & & e^{+1} & \\ \Pi & x_{i} = x_{t}x_{t+1} & \Pi & x_{i} \leq (1-\gamma)\left(\frac{x_{t}+x_{t+1}}{2}\right)^{2}\left(\begin{array}{c} e^{+1} & \frac{x_{i}}{e^{-1}} \\ i = 1 & i \neq t, t+1 & \\ & i \neq t, t+1 & \\ & \leq (1-\gamma)\left(\sum_{i=1}^{e+1} \frac{x_{i}}{e^{+1}}\right)^{e+1} = (1-\gamma)\left(\frac{n+1}{2}\right)^{e+1} . \end{array}$$

Comparing this inequality with the one in (16), yields, using again that

$$\begin{array}{l} \overset{e+1}{\Pi} & (1 - \frac{i}{n+1}) \geq 1 - \frac{(e+1)(e+2)}{2(n+1)} \\ 1 - \frac{\delta_n^2}{4} (\frac{2}{2+\delta_n})^2 = 1 - \gamma > 1 - \frac{(e+1)(e+2)}{2(n+1)} \\ (n+1)\delta_n^2 < 2(1 + \frac{\delta_n}{2})^2 (e+1)(e+2) \end{array}$$

Substitution of  $\delta_n$  in the left hand side yields the lemma.

# § 3. Proof of the theorem

Let  $C \in C(n,e,r)$ ,  $e \ge 3$ . Suppose  $e + 1 - s(C) \ge 12$ . Then lemma 15 implies

$$n+1 \leq \frac{(e+1)(e+2)}{2(1-(\frac{8}{q})^{e+1-s(C)})} \leq \frac{(e+1)(e+2)}{2(1-(\frac{8}{q})^{12})} \leq \frac{2(e+1)(e+2)}{3}$$

thus violating lemma 11.

For e + 1 - s(C) = 1, 2, ..., 11, we compare lemma 13 with lemma 15. In each case we are left with a gap of admissible parameters. However all these gaps are covered by lemma 8. For instance for e + 1 - s(C) = 1, lemma 13 reads:

$$(n+1) \ge (e+1)^{\frac{e+1}{\log(e+1)}} \frac{5 \log 2}{4} - 1$$

and lemma 15 reads:

$$(n+1) \leq \frac{9}{2}(e + 1)(e + 2)$$

We derive a contradiction for  $e \ge 9$ . For e = 3, 4, 5, 6, 7 and 8

$$(n + 1) \le \frac{9}{2}(e + 1)(e + 2)$$

implies that these cases are covered by lemma 8.

So from now on we may assume e + 1 - s(C) = 0. Let m(e) be the right hand side of (25) after substitution of e + 1 - s(C) = 0.

Since  $\delta_n \leq \delta_{m(e)}$  we may replace  $\delta_n$  by  $\delta_{m(e)}$  in (30). Then (30) yields an upperbound for n + 1 which contradicts (25) for  $e \geq 11$ . Hence  $3 \leq e \leq 10$ . At this moment we are left with a finite (but still large) set of admissible parameters. We could let the computer do the rest for us. The rest of this article is devoted to avoiding the use of a computer for this part of the proof.

Since e + 1 - s(C) = 0, it follows from (26) that

(35) 
$$\Pi$$
 (2i-1)  $\leq$  A( $\Pi$  x<sub>i</sub>)  $\leq$   $\frac{n+1}{e+1}$  A((e+1)!).  
i=1 i=1

This gives a lower bound a(e) for n + 1. Since  $\delta_n \leq \delta_{a(e)}$ , we find, after replacing  $\delta_n$  by  $\delta_{a(e)}$  in (30), that lemma 16 contradicts (35) for  $e \geq 7$ . For instance: e = 7; (35) implies  $n + 1 \geq 51480 = a(7)$ . Replacing  $\delta_n$  by  $\delta_{a(7)}$  in (30) yields  $n + 1 \leq 5418$  a clear contradiction. The cases e = 3, 4, 5, 6 will now be treated separately.

$$e = 6$$
. (35) yields  $n + 1 \ge 3003 = a(6)$ 

After replacement of  $\delta_n$  by  $\delta_{a(6)}$  in (35), it follows that  $n + 1 \le 9735$ . Suppose that Q(x) has a zero on [0,0.45(n + 1)]. Then it is not difficult to verify that lemma 12 contradicts the inequality in (16) for  $n + 1 \ge 3003$ . Hence the roots  $x_i$  of Q(x) are all in [0.45(n + 1),0.55(n + 1)]. Hence by the two bounds on (n + 1), we know that

(36) 
$$1352 \le x_i \le 5354$$
,  $i = 1, \dots, 7$ .

Suppose that all zeros of Q(x) have an odd part  $\geq 3$ , then the left inequality in (35) can be sharpened by

$$3.5.7.9.11.13.15 \le A(\Pi x_i).$$

Now (35) contradicts  $n + 1 \le 9735$ . So one zero, let us say  $x_1$ , has odd part 1. In the same way one zero, let us say  $x_2$ , has odd part 3. The only possibilities for  $x_1$  by (36) are  $2^{11}$  and  $2^{12}$ , and for  $x_2$   $3.2^9$  and  $3.2^{10}$ . However  $x_i \in [0.45(n+1), 0.55(n+1)]$  implies for  $x_1$ 

$$n + 1 \in [3723, 4551]$$
 or  $n + 1 \in [7447, 9102]$   
and for  $x_2$ 

 $n + 1 - \epsilon$  [2792,3413] or  $n + 1 \epsilon$  [5585,6826].

A contradiction.

<u>e = 5</u>. We repeat the argument of the case e = 6 and get  $1386 \le n + 1 \le 7944$ . Each zero of Q(x) is in [0.42(n + 1), 0.58(n + 1)]. So each zero is in [582,4607]. Again we find that one zero  $x_1$  has odd part 1. So  $x_1 = 2^{10}, 2^{11}$  or  $2^{12}$  and we find

 $n + 1 \in [1765, 2438], [3531, 4876] \text{ or } [7062, 9752]$ .

The assumption that some zero  $x_i$  of Q(x) has odd part 5 leads to  $x_i = 5.2^7$ ,  $5.2^8$  or  $5.2^9$ .

The corresponding admissable intervals of n + 1 have an empty intersection with the ones before. So we have a contradiction. Now (35) can be sharpened to

$$1.3.7.9.11.13 \le \frac{n+1}{6} A(6!)$$
, i.e.  $n+1 \ge 3603$ .

Now we start all over again. However we can now deduce that all zeros of Q(x) are in [0.45(n + 1), 0.58(n + 1)]. Knowing that Q(x) has no zero with odd part 5, implies that it has a zero, let us say  $x_2$ , with  $A(x_2) = 3$ . Now  $x_1 = 2^{11}$  or  $2^{12}$  implies

$$n + 1 \in [3723, 4551]$$
 or  $n + 1 \in [7447, 9102]$ ,

and  $x_2 = 3.2^{10}$  (the only possibility) implies  $n + 1 \in [5585, 6826]$ . A contradiction.

e = 4. Repeating the initial arguments of the case e = 6 yields

$$n + 1 \in [315, 15255]$$
,

and each zero is at least 0.35(n + 1), so at least 111.

Let  $x_1 < x_2 < x_3 < x_4 < x_5$  be the zeros of Q(x). Lemma 5, ii) implies  $x_3 = \frac{n+1}{2}$ . Let  $n + 1 = A(n + 1) \cdot 2^a$ . Then (35) reads

1.3.
$$\frac{n+1}{2^{a+1}}$$
.5.7 = 1.3.A(x<sub>3</sub>).5.7  $\leq \frac{n+1}{5}$  A(5!) i.e. 5.7  $\leq 2^{a+1}$ .

Hence  $n + 1 = A(n + 1) \cdot 2^{a}$ ,  $a \ge 5$ . Let us now suppose that one zero  $x_{i}$  is odd. Clearly  $i \ne 3$ . Since also  $n + 1 - x_{i}$  is odd in this case. Hence

$$A(x_i \cdot (n+1-x_i)) = x_i(n+1-x_i) \ge 111.(315 - 111)$$
.

Substitution of this in (35) leads to an immediate contradiction. Hence all zeros are even. Let us now write down (17).

$$2^{5} \cdot \prod_{i=1}^{5} (x_{i} - 1) = (n - 1)(n - 2)(n - 3)(n^{2} - 9n + 20r), \text{ i.e.}$$

$$2^{5} \cdot \prod_{i=1}^{5} (x_{i} - 1) = ((n + 1) - 2)((n + 1) - 3)((n + 1) - 4)((n + 1)^{2} - 1)((n + 1) + 10 + 20r) .$$

Since all zeros  $x_i$  are even, it follows that the left hand side is divisible by  $2^5$ . The right hand side has as highest power of two  $2^1 \cdot 2^0 \cdot 2^2 \cdot 2^1 = 2^4$ , since  $2^5 | (n + 1)$ . This is a contradiction.

e = 3. The hardest case. Using (35) and subsequently lemma 16 yields  $140 \le n + 1 \le 65.886$ .

Using lemma 12 as before we observe that all zeros of Q(x) are at least  $\frac{1}{15}(n + 1)$ . Suppose that some zero x<sub>i</sub> of Q(x) is odd. Then (35) implies

1.3.5. 
$$\frac{n+1}{15} \le 1.3.5.x_i = 1.3.5.A(x_i) \le \frac{n+1}{4}A(4!) = \frac{3}{4}(n+1).$$

i.e.  $n + 1 \le \frac{3}{4}(n + 1)$ . A clear contradiction. Let  $x_1 \le x_2 \le x_3 \le x_4$  be the zeros of Q(x). Let  $x_i = A(x_i)^{\alpha}$ . Since

$$x_3 \ge \frac{n+1}{2}$$
,  $A(x_3) = \frac{x_3}{\frac{\alpha}{2}^3} \ge \frac{n+1}{\frac{\alpha}{2}e^{+1}}$ .

Substitution of this in (35) learns that  $\alpha_3 \ge 4$ . Similarly  $\alpha_4 \ge 4$ . Using lemma 12 as before, it follows that  $x_2 \ge 0.403$  (n + 1), hence

$$A(x_2) = \frac{x_2}{2^{\alpha_2}} \ge \frac{0.403(n+1)}{2^{\alpha_2}}$$

Substitution of this in (35) also learns that  $\alpha_2 \ge 4$ . Hence  $n + 1 = x_2 + x_3$  by (15) is divisible by  $2^4 = 16$ . We again write down (17)

$$2^{4} \stackrel{4}{\prod} (x_{i} - 1) = (n - 1)(n - 2)\{n^{2} - 7n + 12r\} =$$
  
=  $((n + 1) - 2)((n + 1) - 3)\{(n + 1)^{2} - 9(n + 1) + 8 + 12r\}$ 

Since all  $x_i$ 's are even and n + 1 is divisible by 16, it follows that  $r \equiv 0 \pmod{4}$ .

For e = 3 it is not difficult to find the zeros of Q(x). They are

$$x_{1234} = \frac{n+1 \pm \sqrt{3n-6r-1} \pm \sqrt{6n^2-6n-24rn+36r^2+4}}{2}$$

Let us define s, l and m by

(37) 
$$6n^2 - 6n - 24rn + 36r^2 + 4 = s^2$$

(38)  $3n - 6r - 1 + s = l^2$ 

(39) 
$$3n - 6r - 1 - s = m^2$$
.

Let us denote  $n + 1 = A(n + 1)2^a$ ,  $\ell = A(\ell)2^b$ ,  $m = A(m)2^c$ ,  $s = A(s)2^u$ , r = A(r).2<sup>z</sup> and  $|C| = A(|C|)2^k$ . Then (37), (38), and (39) can be rewritten

(40) 
$$3A^{2}(n+1)2^{2a+1} - 9A(n+1)2^{a+1} - 3A(r)A(n+1)2^{z+a+3} + 9A^{2}(r)2^{2z+2} + 3A(r)2^{z+3} + 2^{4} = A^{2}(s)2^{2u}$$
.

(41) 
$$3A(n + 1)2^{a} - 3A(r)2^{z+1} - 2^{2} + A(s)2^{u} = A^{2}(l)2^{2b}$$

(42) 
$$3A(n + 1)2^{a} - 3A(r)2^{r+1} - 2^{2} - A(s)2^{u} = A^{2}(m)2^{2c}$$

Considering the powers of 2 in each term we deduce from (40) that, since  $a \ge 4$  and  $z \ge 2$ , u equals 2. Now (41) implies  $b \ge 2$  and (42) implies  $c \ge 2$ . However since exactly one of A(s) + 1 and A(s) - 1 is congruent to 2 mod 4 and the other congruent to 0 mod 4, one of these equations will imply that z = 2 and the other  $z \ge 3$ . A contradiction.

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