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# Some remarks on subdesigns of symmetric designs 

by

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1. Introduction

Let $D(v, k, \lambda)$ be a symmetric design containing a symmetric design $D_{1}\left(v_{1}, k_{1}, \lambda_{1}\right)$ $\left(k_{1}<k\right)$. We call $D_{1}$ a subdesign of D. Assume

$$
D=\left(\begin{array}{ll}
D_{1} & R \\
S & T
\end{array}\right)
$$

and let $x=\frac{\left(k-k_{1}\right) v_{1}}{v-v_{1}}$. We show that $k \geq\left(k_{1}-x\right)^{2}+\lambda$ (theorem 1). If equality holds, $D_{1}$ is called a tight subdesign of $D$. In the special case $\lambda_{1}=\lambda_{1}$, our inequality reduces to that of R.C. Bose and S.S. Shrikhande [3] and tight subdesigns then correspond to their notion of Baer subdesigns. We give examples of tight subdesigns. We divide the possibilities for ( $v, k, \lambda$ ) designs, having Baer subdesigns into three cases, and give examples for each case.
2. Main results

Theorem 1. Let $D_{1}\left(v_{1}, k_{1}, \lambda_{1}\right)$ be a subdesign of $D(v, k, \lambda)$. Let $x=\frac{\left(k-k_{1}\right) v_{1}}{\left(v-v_{1}\right)}$. Then $k \geq\left(k_{1}-x\right)^{2}+\lambda$.

Proof. Let $D=\left(\begin{array}{ll}D_{1} & R \\ S & T\end{array}\right)$. Then $x=$ average row sum of $S$. Form

$$
A=\left(\begin{array}{ll}
0 & D \\
D^{t} & 0
\end{array}\right)=\left(\begin{array}{llll}
0 & 0 & D_{1} & R \\
0 & 0 & S & T \\
D_{1}^{t} & S^{t} & 0 & 0 \\
R^{t} & T^{t} & 0 & 0
\end{array}\right)
$$

where $D^{t}$ denotes the transpose of $D$. Next, we construct the matrix $M$ consisting of the average row sums of $A$ corresponding to the given blocking. Then,

$$
M=\left(\begin{array}{cccc}
0 & 0 & k_{1} & k-k_{1} \\
0 & 0 & x & k-x \\
k_{1} & k-k_{1} & 0 & 0 \\
x & k-x & 0 & 0
\end{array}\right)
$$

The eigenvalues of $\left(\begin{array}{ll}k_{1} & k-k_{1} \\ x & k-x\end{array}\right)$ are $k$ and $k_{1}-x$. Hence the eigenvalues of $M$ are $\pm k$ and $\pm\left(k_{1}-x\right)$. The eigenvalues of $A$ are $\pm k$ and $\pm \sqrt{k-\lambda}$. Using the result of [9] on the interlacing of the eigenvalues of $M$ and $A$, then gives $\sqrt{k-\lambda} \geq\left(k_{1}-x\right)$. This yields $k \geq\left(k_{1}-x\right)^{2}+\lambda$.

Remark. It can be proved that if $k=\left(k_{1}-x\right)^{2}+\lambda$, then $S$ has constant row sums.

Definition. $D_{1}\left(v_{1}, k_{1}, \lambda_{1}\right)$ is a tight subdesign of $D(v, k, \lambda)$ if $k=\left(k_{1}-x\right)^{2}+\lambda$.

Corollary ([3] or [10]). Let $D_{1}\left(v_{1}, k_{1}, \lambda_{1}\right)$ be a subdesign of $D(v, k, \lambda)$. Then $k \geq\left(k_{1}-1\right)^{2}+\lambda$.

This follows immediately upon noting that in this case $x \leq 1$.

If $D_{1}\left(v_{1}, k_{1}, \lambda\right)$ is a subdesign of $D(v, k, \lambda)$ and $k=\left(k_{1}-1\right)^{2}+\lambda$, then $D_{1}$ is called a Baer subdesign of $D$ ([3]). For $\lambda=1$, Baer subdesigns are just Baer subplanes of projective planes. In this case many things have been investigated [6].

Example 1. Let $D$ be the design formed by the points and hyperplanes of $P G(n, q), n>3$. Let $X$ and $Y$ be $m$ and $n-m-1$ dimensional subspaces of $P G(n, q)$, respectively, which do not have a point in common. The points of $X$ and the hyperplanes containing $X$ form a subdesign of $D$. This subdesign is not tight.

Example 2. Let $H_{1}$ be a regular Hadamard matrix of size $4 n^{2}$. Then $H_{1}$ is equivalent to a symmetric design $D_{1}\left(4 n^{2}, n(2 n-1), n(n-1)\right)$. Put

$$
H=\left(\begin{array}{cccc}
\mathrm{H}_{1} & -\mathrm{H}_{1} & -\mathrm{H}_{1} & -\mathrm{H}_{1} \\
-\mathrm{H}_{1} & \mathrm{H}_{1} & -\mathrm{H}_{1} & -\mathrm{H}_{1} \\
-\mathrm{H}_{1} & -\mathrm{H}_{1} & \mathrm{H}_{1} & -\mathrm{H}_{1} \\
-\mathrm{H}_{1} & -\mathrm{H}_{1} & -\mathrm{H}_{1} & \mathrm{H}_{1}
\end{array}\right)
$$

Then $H$ is a regular Hadamard matrix of size $16 n^{2}$ and is equivalent to a symmetric design $D\left(16 n^{2}, 2 n(4 n+1), 2 n(2 n+1)\right)$. It is easily checked that $D_{1}$ is a tight subdesign of $D$. For examples of regular Hadamard matrices cf. [8].

Remarks. Let $D_{1}\left(v_{1}, k_{1}, \lambda_{1}\right)$ be a tight subdesign of $D(v, k, \lambda)$. Then
(i) $k-\lambda$ is a square.
(ii) The complement of $D_{1}$ is a tight subdesign of the complement of $D$. Using $\overline{\mathrm{D}}$ to denote the complement of D , we then have

Example 3. Let $D_{1}\left(v_{1}, k_{1}, 1\right)$ be a Baer subplane of $D(v, k, 1)$. Then $\bar{D}_{1}\left(v_{1}, v_{1}-k_{1}, v_{1}-2 k_{1}+1\right)$ is a tight subdesign of $\bar{D}(v, v-k, v-2 k+1)$.

Theorem 2. Let $D_{1}\left(v_{1}, k_{1}, \lambda\right)$ be a Baer subdesign of $D(v, k, \lambda)$. Then, one of the following holds:
a) $v=\lambda\left(\lambda^{2}-2 \lambda+2\right)$, $D$ has parameters $\left(\lambda\left(\lambda^{2}-2 \lambda+2\right), \lambda^{2}-\lambda+1, \lambda\right)$ and $D_{1}$ is the trivial design $(\lambda, \lambda, \lambda)$.
b) $v=\lambda^{2}(\lambda+2)$, $D$ has parameters $\left(\lambda^{2}(\lambda+2), \lambda(\lambda+1), \lambda\right)$ and $D_{1}$ is the trivial design $(\lambda+2, \lambda+1, \lambda)$.
c) $v>\lambda^{2}(\lambda+2)$.

Proof. Let $D$ be a non-trivial design having a Baer subdesign $D_{1}$. Then $k<v-1$ or equivalently $\lambda<k-1$. Since $D_{1}$ is a Baer subdesign of $D$, we have

$$
x=\frac{\left(k-k_{1}\right) v_{1}}{\left(v-v_{1}\right)}=1
$$

This gives
(1)

$$
v=v_{1}\left(k-k_{1}+1\right)
$$

and

$$
\begin{equation*}
k=\left(k_{1}-1\right)^{2}+\lambda \tag{2}
\end{equation*}
$$

If $D_{1}$ is trivial then $v_{1}=k_{1}=\lambda_{1}$ or $v_{1}=k_{1}+1=\lambda_{1}+2$. Using (1) and
(2) we see that these two trivial cases lead to (a) and (b), respectively. If $v_{1}>k_{1}+1$ then (1) and (2) give

$$
v>\left(k_{1}+1\right)\left(\left(k_{1}-1\right)\left(k_{1}-2\right)+\lambda\right)
$$

Using $k_{1}>\lambda+1$ we obtain $v>\lambda^{2}(\lambda+2)$.

We now give examples to show that in each of the above cases, there exist symmetric designs with Baer subdesigns.

Example 4. A symmetric design $D\left(\lambda\left(\lambda^{2}-2 \lambda+2\right), \lambda^{2}-\lambda+1, \lambda\right)$ has the parameters of the symmetric design on the points and planes of $\operatorname{PG}(3, \lambda-1)$ which exist for all prime powers $\lambda-1$. Moreover the points on a given line and all planes containing it form a Baer subdesign $D_{1}(\lambda, \lambda, \lambda)$.

Example 5. From Ahrens and Szekeres [1], the existence of symmetric designs D with parameters $\left(\lambda^{2}(\lambda+2), \lambda(\lambda+1), \lambda\right)$ is known for all prime powers $\lambda$. From their construction it can be easily seen that $D$ has a Baer subdesign $D_{1}(\lambda+2, \lambda+1, \lambda)$, corresponding to a clique of size $\lambda+2$ in the corresponding graph.

Before giving an example to show the existence of a design satisfying (c) of theorem 2, we make some observations:
If we consider designs ( $v, k, \lambda$ ) with $v>\lambda^{2}(\lambda+2)$, then according to [5], p. 105 the only known examples are projective planes of prime power order and biplanes (= symmetric designs with $\lambda=2$ ) on 37,56 and 79 points; as far as we know meanwhile one other example is found, a $(71,15,3)$ design, see [2].

Note that if $D_{1}\left(v_{1}, k_{1}, \lambda\right)$ is a Baer subdesign of $D(v, k, \lambda)$, then $v$ cannot be prime. Thus if we are to find a Baer subdesign $D_{1}\left(v_{1}, k_{1}, \lambda\right)$ of $D(v, k, \lambda)$ which is not a Baer subplane, it is easily seen from above that $D(56,11,2)$ is the only possible candidate. Any Baer subdesign of $D$ has parameters (7,4,2), whose of the complement of the Fano plane ( $7,3,1$ ). The next example shows that there is a $(56,11,2)$ design with a Baer subdesign.

Example 6. We follow [7] Denniston who gives constructions of (56,11,2) designs some of which are based on Cameron's description [4] of biplanes. Namely, one block $b^{*}$ is fixed and all the other blocks are in $1-1$ correspondence with the unordered pairs of points of $b^{*}$. Each point not on $b^{*}$ is represented by a disjoint union of polygons on the points of $b^{*}$. The block represented by $\{p, q\}$ is incident with $p$ and $q$ and with the points represented by graphs in which $p$ and $q$ are joined.

Let us represent the points of $b^{*}$ by $0, \ldots, 10$ then according to [7] in at least two of the constructed biplanes (the "nice" one due to Gewirtz, Hall, Lane and Wales, and another design due to Assmus and others), there exist three points off $b^{*}$ whose polygons are
$\left.\begin{array}{l}(9810\end{array}\right)\left(\begin{array}{lllllllll}0 & 2 & 4 & 6 & 0\end{array}\right)\left(\begin{array}{lllll}1 & 3 & 5 & 7 & 1\end{array}\right)$

It is easily seen that these 3 points together with the points $1,3,5$ and 7 from $b^{*}$ form a ( $7,4,2$ ) design which is a Baer subdesign of the $(56,11,2)$ design.

Using the above example and remark ii) we have

Example 7. There exists a $D(56,45,36)$ which has the Fano plane (= $(7,3,1)$ design) as a tight subdesign.

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