

## Oxygen transfer in layers of hemoglobin solution

**Citation for published version (APA):**

Spaan, J. A. E. (1976). *Oxygen transfer in layers of hemoglobin solution*. [Phd Thesis 1 (Research TU/e / Graduation TU/e), Mechanical Engineering]. Technische Hogeschool Eindhoven.  
<https://doi.org/10.6100/IR114155>

**DOI:**

[10.6100/IR114155](https://doi.org/10.6100/IR114155)

**Document status and date:**

Published: 01/01/1976

**Document Version:**

Publisher's PDF, also known as Version of Record (includes final page, issue and volume numbers)

**Please check the document version of this publication:**

- A submitted manuscript is the version of the article upon submission and before peer-review. There can be important differences between the submitted version and the official published version of record. People interested in the research are advised to contact the author for the final version of the publication, or visit the DOI to the publisher's website.
- The final author version and the galley proof are versions of the publication after peer review.
- The final published version features the final layout of the paper including the volume, issue and page numbers.

[Link to publication](#)

**General rights**

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
- You may not further distribute the material or use it for any profit-making activity or commercial gain
- You may freely distribute the URL identifying the publication in the public portal.

If the publication is distributed under the terms of Article 25fa of the Dutch Copyright Act, indicated by the "Taverne" license above, please follow below link for the End User Agreement:

[www.tue.nl/taverne](http://www.tue.nl/taverne)

**Take down policy**

If you believe that this document breaches copyright please contact us at:

[openaccess@tue.nl](mailto:openaccess@tue.nl)

providing details and we will investigate your claim.

# OXYGEN TRANSFER IN LAYERS OF HEMOGLOBIN SOLUTION

PROEFSCHRIFT

TER VERKRIJGING VAN DE GRAAD VAN DOCTOR IN DE  
TECHNISCHE WETENSCHAPPEN AAN DE TECHNISCHE  
HOGESCHOOL EINDHOVEN, OP GEZAG VAN DE RECTOR  
MAGNIFICUS, PROF.DR. P. VAN DER LEEDEN, VOOR EEN  
COMMISSIE AANGEWEEZEN DOOR HET COLLEGE VAN  
DEKANEN IN HET OPENBAAR TE VERDEDIGEN OP  
DINSDAG 2 NOVEMBER 1976 TE 16.00 UUR

DOOR

JOZEF AUGUSTINUS ELISABETH SPAAN

GEBOREN TE BREDA

DIT PROEFSCHRIFT IS GOEDGEKEURD  
DOOR DE PROMOTOREN

Prof.Dr. P.C. Veenstra

en

Prof.Dr. F. Kreuzer

To all who contributed  
to my personal and  
scientific development

## CONTENTS

List of symbols	9
CHAPTER 1	
INTRODUCTION	
1.1 Short historical review of investigations of oxygen transport by blood	15
1.2 Purpose and scope of the present investigation	16
CHAPTER 2	
FUNDAMENTALS OF OXYGEN TRANSFER IN HEMOGLOBIN SOLUTIONS AND BLOOD	
2.1 Physiological importance of hemoglobin	19
2.2 Structure and stability of hemoglobin	21
2.3 Models of the hemoglobin-oxygen reaction	22
2.4 Transfer equations of physically dissolved and chemically bound oxygen	25
CHAPTER 3	
THEORETICAL MODELS OF OXYGEN TRANSFER IN THIN LAYERS OF HEMOGLOBIN SOLUTION	
3.1 Equations and boundary conditions for oxygen transfer in layers of hemoglobin solution	29
3.1.1 Layers with finite thickness	29
3.1.2 Layers with half-infinite thickness	30
3.2 Solutions of the transfer equations without chemical reaction	31
3.3 Solutions of the transfer equations including the oxygen-hemoglobin reaction	34
3.3.1 Methods of solution	34
3.3.2 Concentration profiles within the finite and half-infinite slab model	35
3.3.3 Oxygenation of the layer with finite thickness as a function of time	37
3.4 Parametric analysis	39
3.4.1 Dependence of dimensionless oxygenation time on oxygen concentration ratio and oxygen flux ratio with a step-like saturation curve	39
3.4.2 The influence of the dimensionless saturation on the dimensionless oxygenation time	41
3.4.3 Completion of the dimensionless parametric analysis	43

3.4.4	The dependency of real oxygenation time on physical parameters	47
3.5	The "polygonal approximation"	50
3.5.1	Definition and equations	50
3.5.2	Evaluation of the polygonal approximation	52
3.6	Estimation of the influence of finite reaction velocity on the oxygenation process of a non-moving layer	53
3.6.1	Literature dealing with theoretical models including reaction velocity	53
3.6.2	Delay time in the boundary saturation	55
3.6.3	Contradictions between chemical equilibrium assumption and physical boundary conditions	57
3.6.4	Reaction throughout the whole layer	57
3.6.5	Minimal layer thickness allowing chemical equilibrium assumption	60
3.7	Discussion of the models of oxygen transfer in non-moving layers of hemoglobin solutions	61
CHAPTER 4		
EXPERIMENTAL METHOD FOR INVESTIGATION OF THE NONSTATIONARY OXYGENATION PROCESS		
4.1	Experimental principles and set-up	63
4.1.1	Optical method for measuring both change in oxygenation and layer thickness	63
4.1.2	Measurement of absorption coefficients by the wedge method	64
4.1.3	Description of the diffusion chamber and basic experimental procedure	65
4.1.4	Description of oximeter and accessory electronic equipment	69
4.1.5	Preparation and handling of hemoglobin solutions. Description of various measurements	71
4.2	Discussion of several elements of the measuring procedure	72
4.2.1	Influence of bandwidth of LED's on measurements of absorption	72
4.2.2	Discussion of precautions taken with regard to the stability of the hemoglobin layer	74
4.2.3	Time constants of the change in gas conditions and absorption measurements	76

4.3	Range of applicability of the instrumentation	77
4.3.1	Limitations due to experimental errors in the measurements of light absorption	77
4.3.2	Limitations due to the error in determining the start of the oxygenation process	77
CHAPTER 5		
REPORT ON THE EXPERIMENTAL INVESTIGATIONS AND DATA PROCESSING		
5.1	Introduction to the experiments	79
5.2	The experimentally determined course of oxygenation	80
5.3	The determination of normalized oxygenation time	83
5.3.1	Principle of determination of normalized oxygenation times	83
5.3.2	Procedure followed in determining the normalized oxygenation time	
5.3.3	Discussion of the measured normalized oxygenation times	84
5.4	Parameter estimation from the experimental normalized oxygenation times	86
5.4.1	Estimated values of oxygen permeability, hemoglobin diffusion coefficient and related quantities	86
5.4.2	Influence of errors in measurement of extinction on estimated parameter values	89
5.4.3	Influence of the position of the saturation curve on estimated parameter values	90
5.5	Values of constants used for the estimation of parameter values from the experiments	91
5.5.1	Oxygen binding capacity	91
5.5.2	The difference in extinctions of oxygenated and deoxygenated hemoglobin solution	92
5.5.3	The saturation curve	93
5.6	Discussion of the experimental results	94
5.6.1	General interpretation of the experimental results	94
5.6.2	Discussion of the reliability of the estimated parameter values	96
CHAPTER 6		
GENERAL DISCUSSION		
6.1	Theoretical models of nonsteady-state oxygen uptake by hemoglobin layers	97

6.1.1	Moving boundary models with particular reference to the polygonal approximation	97
6.1.2	Advancing front models	98
6.1.3	Computer solutions assuming chemical equilibrium	100
6.1.4	Models assuming linear approximation of the saturation curve	100
6.2	Experimental investigations reported in the literature	101
6.2.1	Oxygenation of hemoglobin layers	101
6.2.2	Oxygenation of single cells	104
6.3	The solubility of oxygen in hemoglobin solutions	106
6.4	The diffusion coefficient of oxygen in hemoglobin solutions	106
6.5	The diffusion coefficient of hemoglobin	108
6.5.1	Agreement between mutual and tracer diffusion coefficients of hemoglobin	108
6.5.2	Compilation of the published values of the diffusion coefficient of hemoglobin	108
6.5.3	Discussion of the corrections applied to the results of different authors	110
6.5.4	Review of the experimental methods using stirred reservoirs	111
6.5.5	Discussion of the published data on the diffusion coefficient of hemoglobin	112
6.6	Concluding discussion	113
Appendix A		
	Numerical method applied to the finite layer diffusion model	115
Appendix B		
	Numerical method applied to the half-infinite layer model	118
Appendix C		
	Mathematical equations relevant to the polygonal approximation	123
Appendix D		
	Derivation of the correction equation applied to the regression curves of Klug et al. (1956)	127
	REFERENCES	129
	SUMMARY	135
	SAMENVATTING	137
	ACKNOWLEDGEMENTS	139
	LEVENSBERICHT	140



## List of symbols

$A = 1 + D^* \frac{d\psi}{d\phi}$	constant used in the polygonal approximation
$A_1, A_2$	value of A holding in region I and II, respectively, in the polygonal approximation
$A_i^e$	constants in Adair equation with $i = 1, 2, 3$ or 4
b	concentration of hemoglobin in tetrameric form (mol/l)
$B = 1 + H \frac{d\psi}{d\phi}$	constant used in the polygonal approximation
$B_1, B_2$	value of B holding in region I and II, respectively, in the polygonal approximation
C	concentration of physically dissolved oxygen (mol/l)
$C_i$	boundary value of C for $P_i$
$C^e$	concentration of physically dissolved oxygen in equilibrium with S according to the saturation curve; is being used in models considering reaction velocity (mol/l)
$C_i$	initial concentration of physically dissolved oxygen
d	layer thickness (cm or $\mu\text{m}$ )
$d_m$	minimum thickness of hemoglobin layer allowing assumption of chemical equilibrium (cm or $\mu\text{m}$ )
$D^* = H \frac{D_H}{D_C}$	oxygen diffusion ratio
$D_C$	diffusion coefficient of oxygen ( $\text{cm}^2/\text{s}$ )
$D_{\text{eff}}$	effective diffusion coefficient of oxygen in flowing blood ( $\text{cm}^2/\text{s}$ )
$D_H$	diffusion coefficient of hemoglobin in tetrameric form ( $\text{cm}^2/\text{s}$ )
$D_X$	diffusion coefficient of species X ( $\text{cm}^2/\text{s}$ )
E	integration constant used in the polygonal approximation
$E_1, E_2$	value of E holding in region I and II, respectively, in the polygonal approximation
$f_1 = t_1^*/t_1^{*0}$	factor describing the influence of the dimensionless saturation curve on $t_n$ , defined by eq. 3.24

$f_2$	proportionality factor between $t_1^{*,0}$ and H, fig. 3.7
$f_1^r$	overall forward reaction rate constant
$f_2^r$	overall back reaction rate constant
$J_{HbO_2}$	flux of chemically bound oxygen (mol/cm <sup>2</sup> /s)
$J_{O_2}$	flux of physically dissolved oxygen (mol/cm <sup>2</sup> /s)
$g(\lambda)$	light sensitivity curve of the light sensor
$h$	oxygen binding capacity (mol/l)
$H = \frac{(S_1 - S_1^*)h}{C_1 - C_1^*}$	oxygen concentration ratio
[Hb]	concentration of monomeric hemoglobin when applied in chapters 1 and 2 (mol/l); concentration of tetrameric hemoglobin when applied in chapters 3 to 6 (g% * gram Hb per 100 cc solution)
Hb <sub>4</sub>	hemoglobin in tetrameric form
H <sub>i,j</sub>	concentration of hemoglobin species where i indicates the number of O <sub>2</sub> molecules bound to hemoglobin and j distinguishes the various forms of oxyhemoglobin with the same number of oxygen molecules
i	current generated by the light-sensor
I	intensity of light after passing through the hemoglobin layer (actually light attenuation, hence dimensionless)
I(t)	intensity of light as a function of time
I <sub>a</sub>	apparatus constant; appears in log I <sub>a</sub>
I <sub>o</sub>	intensity of light after passing through the hemoglobin layer when $\bar{\psi} = 0$
I <sub>o</sub> (λ)	intensity distribution function of LED
k	backward reaction rate constant
k'	forward reaction rate constant
k	dimensionless number describing influence of reaction velocity on oxygen transfer process when $D_H \neq 0$ (analogous to Damköhler and Thiele number)

$k_f$	reaction rate constant (1/mol/s)
$K^e$	equilibrium constant in Hüfner's or Hill's model for oxygen-hemoglobin reaction
$K_i^e$	equilibrium constant in Adair's model for oxygen-hemoglobin reaction with $i = 1, 2, 3$ or $4$
$K$	integration constant used in the polygonal approximation
$K_1, K_2$	values of $K$ holding in region I and II, respectively, in the polygonal approximation
$P_1$	boundary value of $PO_2$ (mm Hg)
$P_{50}$	value of $PO_2$ where $S = 0.5$ (mm Hg)
$PCO_2$	carbon dioxide partial pressure (mm Hg)
$PO_2$	oxygen partial pressure (mm Hg)
$P_X$	partial pressure of a gas X (mm Hg)
$Q$	fraction of oxygen that can be maximally dissolved per unit surface area when $h = 0$
$Q_d$	$Q$ calculated according to the finite layer model
$Q_\infty$	$Q$ calculated according to the half-infinite layer model
$R_C$	rate of production of oxygen by chemical reaction (mol/l/s)
$R_S$	rate of increase of oxygen saturation by chemical reaction (1/s)
$R_{H_{i,j}}$	rate of production of $H_{i,j}$ (mol/l/s)
$R_X$	rate of production of species X by chemical reaction (mol/l/s)
$S$	oxygen saturation of hemoglobin
$\bar{S}$	average oxygen saturation of hemoglobin layer
$S_1$	final oxygen saturation
$\bar{S}_d$	average oxygen saturation calculated according to the finite layer model
$S_i$	initial oxygen saturation
$S_\infty$	oxygen saturation in the half-infinite diffusion model
$S_{Hi}$	fraction of hemoglobin or methemoglobin
$t$	time (s)

$t_1$	oxygenation time of a hemoglobin layer; time when $\bar{\psi}_\infty = 1$ (s)
$t_d$	oxygenation time of a hemoglobin layer assuming chemical equilibrium (s)
$t_r$	oxygenation time of a hemoglobin layer due to chemical reaction alone (s)
$t_{r,d}$	oxygenation time of a hemoglobin layer when both reaction velocity and diffusion are taken into account (s)
$t_n = t/d^2$	normalized time (s/cm <sup>2</sup> )
$t_{n,1} = t_1/d^2$	normalized oxygenation time (s/cm <sup>2</sup> )
$t_{1/2}$	time to reach one half saturation
$t_{1/3}$	time to reach one third saturation
$t^* = \tau D_C/d^2$	dimensionless time
$t_1^*$	dimensionless oxygenation time; equals $t_1^*$ when $\bar{\psi}_\infty = 1$
$t_1^{*,0}$	$t_1^*$ when calculated for $\phi_{50} = 0$
$\vec{v}$	velocity vector
$x$	space coordinate used in the diffusion models (cm)
$x_{50}$	place in layer of hemoglobin solution where $S = 0.5$
$x^* = x/d$	dimensionless space coordinate
$x_1$	parameter to be estimated
$x_2$	parameter to be estimated (cm/s <sup>1/2</sup> )
$x_F$	distance of advancing front from gas-liquid interface
$X$	arbitrary species
$[X]$	concentration of species X (mol/l)
$y$	arbitrarily chosen variable
$Z_1 = h/\alpha D_C$	first basic parameter to be estimated from the experimental $t_{n,1}$ versus $1/P_1$ curve (mm Hg s/cm <sup>2</sup> )
$Z_2 = h D_H/\alpha D_C$	second basic parameter to be estimated from the experimental $t_{n,1}$ versus $1/P_1$ curve (mm Hg)
$\alpha$	solubility of oxygen in hemoglobin solutions (mol/l/mm Hg)
$\alpha^X$	solubility of species X (mol/l/mm Hg)
$\alpha_B$	solubility of oxygen in blood (mol/l/mm Hg)

$\alpha_{H_2O}$	solubility of oxygen in water (mol/l/mm Hg)
$\alpha_{Hb}$	solubility of oxygen in hemoglobin solutions (mol/l/mm Hg)
$\epsilon$	extinction coefficient (1/cm/g%)
$\epsilon_o$	extinction of hemoglobin solution in deoxygenated state (Hi may be present) (1/cm/g%)
$\epsilon_1$	extinction of hemoglobin solution in oxygenated state (Hi may be present) (1/cm/g%)
$\epsilon^*$	adapted extinction coefficient used in order to correct for finite bandwidth of LED (1/mol/cm)
$\epsilon_{HbO_2}$	extinction of oxyhemoglobin (1/cm/g%)
$\epsilon_{Hb}$	extinction of deoxyhemoglobin (1/cm/g%)
$\epsilon_{Hi}$	extinction of methemoglobin (1/cm/g%)
$\eta = \frac{x}{\sqrt{D_C t}}$	independent dimensionless variable used in the half-infinite layer diffusion model
$\eta_C$	value of $\eta$ where $\phi = \phi_c$ and $\psi = \psi_c$ in the polygonal approximation
$\eta_{50}$	value of $\eta$ where $S = 0.5$
$\mu$	tangent of wedge angle
$\xi = R_C t$	reaction velocity function in the half-infinite layer model (mol/l)
$\phi = \frac{C - C_i}{C_1 - C_i}$	dimensionless oxygen concentration
$\phi_c$	abscissa value of intercept point of polygonal approximation of the saturation curve
$\phi_d$	as $\phi$ but calculated according the finite layer model
$\phi_{50} = \frac{p_{50}}{P_1}$	dimensionless half-saturation oxygen pressure characterizing the position of the dimensionless saturation curve
$\phi_\infty$	as $\phi$ but calculated according to the half-infinite oxygenation model
$\psi = \frac{S - S_i}{S_1 - S_i}$	dimensionless oxygen saturation
$\bar{\psi}$	space-average dimensionless oxygen saturation of a hemoglobin layer with finite thickness

$\psi_c$	ordinate value of intercept point of polygonal approximation of the saturation curve
$\psi_d$	as $\psi$ but calculated according to the finite layer model
$\psi_\infty$	as $\psi$ but calculated according to the half-infinite layer model
MW	molecular weight
LED	light-emitting diode
SDC	Standard Dissociation Curve of human whole blood
STPD	Standard conditions of Temperature ( $0^\circ\text{C}$ ), Pressure (760 mm Hg), humidity (Dry)

## CHAPTER 1

### INTRODUCTION

#### 1.1 Short historical review of investigations of oxygen transport by blood

Starting in the past century, the mechanism of oxygen transport by the blood has received wide attention of scientists in several fields, e.g. physiology, biochemistry, physical chemistry, mathematics, and in the past 15 years also in engineering.

Paul Bert (1833-1886) was the first to show that physiological effects of gases depend on their partial pressures, and he published an oxygen dissociation curve of hemoglobin as early as 1872. Hüfner in 1894 reported a value of 1.34 cc O<sub>2</sub> (STPD) as the oxygen binding capacity of one gram of hemoglobin. Knowledge concerning the reaction rates of association and dissociation reactions of hemoglobin with oxygen is important for the understanding of oxygen exchange in lungs and tissues. Hartridge and Roughton (1923) were the first to investigate this matter and reported reaction times in the order of milliseconds.

At Cambridge theoretical studies were performed by Roughton (1932, 1952) and Nicholson and Roughton (1951) on the rate of free penetration of oxygen and carbon monoxide into thin layers of hemoglobin solution with or without bounding membranes. At Fribourg (Müller 1945, Laszt 1945, Kreuzer 1950, Pircher 1951) experiments were performed to investigate the rate of penetration of oxygen into layers (50 to 1000 µm thick) of hemoglobin solutions and red blood cell suspensions, using a photometric technique. The work of Perutz (1969) has been of great importance concerning the knowledge of the stereochemical structure of the hemoglobin molecule and its changes after reaction with ligands. Many other scientists have made significant contributions to our present knowledge of oxygen transfer by hemoglobin in blood. A complete survey, however, would go far beyond the scope of the present thesis.

Engineers became interested in oxygen transport by blood since they were involved in the development of artificial oxygenators, after this technique had been shown to be successful in open heart surgery. Gibbon (1959) was the first to apply such a device clinically. The physiologists were primarily interested in the gas exchange of a single red blood cell; the engineers, however, considered the oxygen uptake by whole blood, being a flowing suspension of red cells. Buckles (1966) was one of the first to study this topic systematically.

In order to understand the influence of the heterogeneous character of the blood on the diffusion process, the situation of stationary mass transfer through non-moving layers of blood has received much attention in the literature. The most complete study was published by Stroevé (1973) who considered both reaction velocity and facilitation of diffusion by hemoglobin within the red cell.

Almost all theoretical models applied to oxygen transfer in flowing blood, assume a homogeneous character of the blood with respect to the diffusion of oxygen and the distribution of hemoglobin. They also neglect the facilitation of oxygen transfer by hemoglobin diffusion. An exception is the microscopic-macroscopic model developed by Garred (1975). If a "homogeneous" model is used to describe oxygen transfer in blood an effective diffusion coefficient ( $D_{eff}$ ) is used as an overall quantity to describe the oxygenation process. It also accounts for the deviation between results of different model calculations. Keiler (1971) showed  $D_{eff}$  to be dependent on shearing in Couette flow. This dependence of  $D_{eff}$  on shearing has been confirmed by several investigations, although large differences exist between the results of several authors. For example it has been shown by Oomens et al. (1976) that  $D_{eff}$  increases fivefold when increasing the shear rate from zero to  $15000 \text{ sec}^{-1}$  whereas Overcash (1972) reported a similar increase at a shear rate of only  $100 \text{ sec}^{-1}$ .

It is obvious that a correct description of the oxygen transfer process in a single red cell as well as in flowing blood is related to the values of the physical quantities involved. With regard to the diffusion coefficient of oxygen in plasma and in the red cell interior there exists sufficient agreement in the literature. This agreement, however, is far from satisfactory regarding data of reaction kinetics and the diffusion coefficient of hemoglobin. These topics will be considered more closely in the present thesis.

## 1.2 Purpose and scope of the present investigation

The present study deals with the nonsteady-state uptake of oxygen by completely deoxygenated layers of hemoglobin solution spread on a glass plate. At its free boundary the layer of hemoglobin solution is exposed to a gas atmosphere which initially does not contain any oxygen. Then a sudden change in oxygen partial pressure from zero to a certain value  $P_1$  at the free boundary of the layer is induced. The course of the



subsequent oxygenation (determined colorimetrically) is compared to predictions from the diffusion-reaction equation based on Fick's law for the diffusion of both oxygen and hemoglobin and on the assumption of chemical equilibrium between oxygen and hemoglobin. This comparison is performed over a wide range of hemoglobin concentrations and  $P_1$  values.

The transfer equations as such are classical and may be found in several publications. Up to now, however, no serious parameter analysis has been presented as needed for the interpretation of the experiments mentioned above (see chapter 3).

The basic ideas in performing the present experiments are the same as those developed by the Fribourg group in the fifties. The reasons for carrying out new experiments were thought to be:

- 1) the Fribourg experiments were performed at only two values of  $P_1$  (700 mm Hg and 140 mm Hg);
- 2) the experimental results show too much scattering (approximately 30%) to serve as a basis for a sophisticated parameter analysis according to the theoretical model presented in this thesis;
- 3) the evaluation of experiments at high hemoglobin concentrations needs certain corrections as will be explained below.

The experimental principle, designed by the Fribourg group, however, proved to be very valuable. It permits to observe the penetration of oxygen into a hemoglobin solution continuously without any disturbance of the diffusion process. In principle the method is subject to small experimental errors as compared with other diffusion experiments. This statement will be discussed in more detail in chapter 6.

Our investigations result in quantitative information which is important for the understanding of the oxygen uptake and release by the red cell in the context outlined in section 1.1. However, the value of the results obtained reaches further than merely to the behavior of the red cell. At very low concentrations hemoglobin may be used as an indicator for the study of the penetration of  $O_2$ , CO and NO into different liquids (e.g. Kreuzer 1950, Fircher 1951, and section 3.4). Moreover, the results of the present study may be of practical interest since hemoglobin solutions are being used as a transfusion fluid or as a perfusate for isolated organs. From comparative oxygen transfer studies using blood or a hemoglobin solution respectively, information may be obtained concerning the influence of the heterogeneous character

of the blood on the oxygen exchange in organs and in artificial oxygenators.

## CHAPTER 2

### FUNDAMENTALS OF OXYGEN TRANSFER IN HEMOGLOBIN SOLUTIONS AND BLOOD

#### 2.1 Physiological importance of hemoglobin

The macromolecule hemoglobin (MW=64,500) is present in the red cells at a high concentration (approx.35 g%), whereas the red cells occupy 45% of the total blood volume. Hemoglobin plays a key role in the gas transport and buffering system of mammals as it reversibly reacts with  $O_2$ ,  $CO_2$ ,  $H^+$ , and 2,3-DPG. The respective equilibrium relationships are interdependent such that optimal levels of  $PO_2$ ,  $PCO_2$  and pH in the blood are maintained.

The oxygen binding capacity  $h$  (mol/l) of blood or hemoglobin solutions is defined as the maximal amount of oxygen bound by hemoglobin.

The oxygen saturation  $S$  is defined as the ratio of the concentration of bound oxygen and the oxygen binding capacity. The concentration of free oxygen in blood or hemoglobin solution is determined by the oxygen partial pressure  $PO_2$ . The concentration of a dissolved gas  $X$  and its partial pressure  $P_X$  are related by Henry's law

$$[X] = \alpha^X P_X \quad (2.1)$$

where  $\alpha^X$  = solubility coefficient in mol/l/mm Hg.

At equilibrium there is a certain relationship between saturation and  $PO_2$  known as the "oxygen saturation curve" or "oxygen dissociation curve". This curve is sigmoid and its position is affected mainly by temperature, pH,  $PCO_2$  and 2,3-DPG concentration. Saturation curves in several conditions are shown in fig.2.1. The curve at  $T = 37^\circ C$  and  $pH = 7.4$  is known as the standard human whole blood oxyhemoglobin dissociation curve ("SDC").

At an arterial  $PO_2$  of 100 mm Hg the fractional saturation is close to one.

Arterial blood with a hemoglobin concentration of 16g% contains approx. 10 mmol  $O_2$ /l but only 0.15 mmol/l is physically dissolved. In resting normal man the venous saturation is about 0.7. The  $PO_2$  difference is the driving force in the exchange of oxygen between alveolar air and blood as well as between blood and tissues. Therefore shape and position of the curve are important factors in the  $O_2$  supply of the body (see e.g. Turek et al. 1973).

The total  $CO_2$  concentration in venous blood is 25 mmol/l, 86% of which is present as bicarbonate ( $HCO_3^-$ ). Bicarbonate concentration, pH and  $[CO_2]$  are related by the equilibrium equation (Henderson-Hasselbalch equation) belonging to the following reactions:

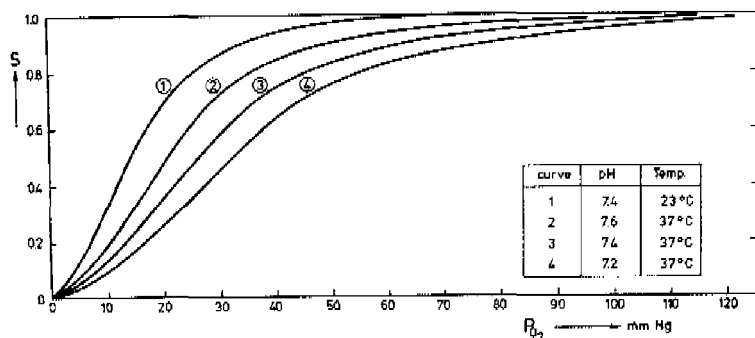
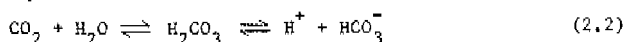


Fig. 2.1. Saturation curves (fractional oxygen saturation  $S$  as a function of oxygen partial pressure  $PO_2$ ) of normal human whole blood ( $PCO_2 = 40$  mm Hg) at several values of pH and temperature. Curve 3 is known as the Standard Dissociation Curve of whole blood (SDC). Both decreasing temperature and increasing pH shift the standard curve to the left.



These reactions occur predominantly within the red cells where they are greatly accelerated (factor 500) by the enzyme carbonic anhydrase (Roughton 1964). Reaction 2.2 is shifted to the right when  $CO_2$  is transferred from the tissues to the blood, and to the left in the lungs. The venous  $PCO_2$  equals approx. 46 mm Hg and the arterial  $PCO_2$  is 40 mm Hg.

The affinity of hemoglobin for  $CO_2$  depends on the oxygen saturation and the pH. The role of carbamate formation in  $CO_2$  transport of resting man in terms of the difference in total  $CO_2$  content between arterial and venous blood (Haldane effect) amounts to 33%.

Hemoglobin behaves as a weak acid, but deoxyhemoglobin is a still weaker acid and therefore a better buffer than oxyhemoglobin. Thus the  $H^+$  produced in the blood when  $CO_2$  is exchanged in the tissues is largely buffered by hemoglobin. Because of this mechanism the pH between arterial and venous blood does not differ by more than 0.05 pH units in normal situation.

The total 2,3-DPG concentration in the red cell does not change during the respiratory cycle, but it may change under abnormal physiological circumstances, e.g. low hematocrit, high altitude, or altered pH. Sometimes 2,3-DPG concentration may change within a few

hours (de Leeuw 1971). After a few days of storage no more 2,3-DPG is present in the blood.

## 2.2 Structure and stability of hemoglobin

In the red cell and in concentrated hemoglobin solutions the hemoglobin molecule is a tetramer consisting of four tetrahedrally arranged subunits which are identical in pairs and are referred to as  $\alpha$  and  $\beta$  chains. The tetramer of hemoglobin ( $Hb_4$ ) approaches a spheroid with dimensions of 76 Å, 55 Å and 50 Å. Each subunit (Hb) is a combination of a protein chain named globin and the pigment heme, a flat molecule with a  $Fe^{2+}$  ion in its center. Each protein is folded in such a way that a kind of basket is formed around the heme (heme pocket). The iron is linked to four nitrogen atoms of the heme and with one nitrogen atom of a histidine in the globin chain. Within the pocket there remains an empty space for oxygen to be attached to the iron. Originally it was assumed that on binding with oxygen the iron remained ferrous and therefore the  $O_2$ -binding process is called oxygenation rather than oxidation. More recently, however, it has been suggested that at "oxygenation" of a heme the iron ion changes to the ferric state (e.g. Koster 1975). Linkage of the  $O_2$  to the iron causes changes in the orbitals of two of its valency electrons which results in a shrinkage of 13% of the iron radius and as a consequence in a changed position of the iron relative to the heme and the globin (Perutz 1971). This displacement of the iron induces alterations in the structure of the respective globin as well as in one or more other subunits. These interactions between subunits accompanying oxygenation of hemes may enhance the oxygenation of other hemes. Reactions of hemoglobin with  $CO_2$ ,  $H^+$  and 2,3-DPG also elicit alterations in chemical bonds and interactions between subunits and are in turn influenced by the degree of oxygenation. Therefore these reactions influence the equilibrium between hemoglobin and oxygen and consequently the position and shape of the oxygen saturation curve.

Besides the reaction of the heme with oxygen the heme is also able to combine with CO and NO. The affinity of the heme for CO is many times higher than that for  $O_2$ . Therefore even a low percentage of CO in the air makes the hemoglobin useless as an oxygen carrier.

The iron within the heme can be oxidized to the ferric state. In this condition the heme is no longer capable of reacting with oxygen. Oxidized

hemoglobin is known as hemiglobin or methemoglobin and can react with some ions, e.g.  $F^-$ ,  $NO_2^-$ ,  $N_3^-$  and  $CN^-$ . In normal blood only a small percentage of heme exists in a form different from Hb or  $HbO_2$  but in hemoglobin solutions, depending on their age and way of storing, a relatively high amount of hemiglobin may be present.

Hemoglobin does not always appear in tetrameric form. In solutions of human hemoglobin the tetrameric form is stable when  $[Hb]$  exceeds 0.25 mmol(heme)/l (in the red cell : 21 mmol/l Hb ). At lower Hb concentrations dimers ( $Hb_2$ ) as well as monomers are present (Schachman 1966, Barnikol 1969). Oxyhemoglobin is less stable in terms of tetrameric configuration than deoxyhemoglobin. The stability is pH dependent and an optimum is found at a pH of 9 for oxyhemoglobin and at a pH of 7.4 for deoxyhemoglobin (Briehl 1965, 1970). The ionic strength of the solution is also important, high values favoring dissociation of the tetramer (Kallett 1971).

### 2.3 Models of the hemoglobin-oxygen reaction

Experimental and theoretical evidence on the detailed structure, stability and reaction mechanisms of hemoglobin is of recent date although the oxygen saturation curve was discovered already a century ago (Bert 1872). Subsequently many workers have tried to describe this curve mathematically and by means of models to elucidate the physico-chemical character of the reaction of hemoglobin with oxygen. None of these models appeared to be completely satisfactory although most of them are based on some hypothesis to be partly confirmed later to hold in a special case. Some equations are still being used in describing the saturation curve.

The first model suggested was that of Hüfner (1890). He did not assume any interaction between hemes, so



This results in the following relationship between S and  $[O_2]$  according to the law of mass action

$$S = \frac{K[O_2]}{1 + K[O_2]} \quad (2.4)$$

with  $K^e$  = equilibrium constant. This equation 2.4 represents a hyperbolic saturation curve. It holds for myoglobin, a related molecule with only one heme, which is found in muscular tissue.

From the reaction scheme given by eq. 2.5 and the related equilibrium eqs. 2.6.a and 2.6.b Hill (1910) tried to deduce the number of subunits aggregated in the hemoglobin molecule



resulting in

$$S = \frac{K^e P^n}{1 + K^e P^n} \quad (2.6.a)$$

and

$$\frac{1}{n} \log \frac{S}{1-S} = \log P - \log P_{50} \quad (2.6.b)$$

where  $K^e = 1/P_{50}^n$ ,

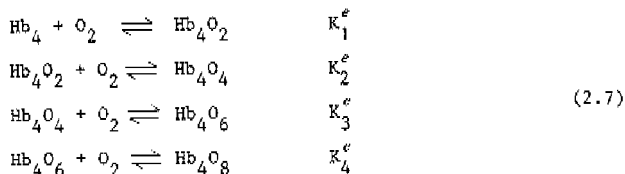
$$P_{50} = P\text{O}_2 \text{ where } S = 0.5, \text{ and}$$

$n = \text{a whole number.}$

Hill's model appeared to be incorrect because the saturation curve could not be described by one constant value of  $n$ . However, eq. 2.6 holds fairly well in the middle range of the saturation curve ( $0.3 < S < 0.9$ ) where  $n$  is constant and has a value between 2.5 and 3. Because of its simplicity the Hill equation is still used in describing individual saturation curves in the range of physiological importance. The Hill equation is also used to determine the  $P_{50}$  of the saturation curve, a value commonly used in physiology to indicate the position of the saturation curve.

Haldane suggested in 1912 that, in certain cases, deoxygenated hemoglobin has a greater tendency to aggregate into polymers than has oxygenated hemoglobin and that its reaction with oxygen only occurs when the protein is in the monomeric form. The sigmoid curve was explained by the differing equilibria of hemoglobin and oxyhemoglobin with their respective polymers. Although for human hemoglobin in the red cell Haldane's model cannot be correct, it holds in the case of lamprey hemoglobin (Briehl 1964) and more or less in dilute solutions of human hemoglobin.

In 1925 Adair established that the mammalian hemoglobin molecule is tetrameric containing four iron atoms which led him to propose his well known intermediate compound hypothesis, according to which oxygen or other ligands react in four successive steps:



whence

$$S = \frac{1/4 \sum_{i=1}^4 i A_i^e \text{PO}_2^i}{1 + \sum_{i=1}^4 A_i^e \text{PO}_2^i} \quad (2.8)$$

where  $A_1^e = K_1^e$ ;  $A_2^e = A_1^e K_2^e$ ;  $A_3^e = A_2^e K_3^e$ ;  $A_4^e = A_3^e K_4^e$ .

If the hemes were equivalent and independent, the ratios of  $K_1^e:K_2^e:K_3^e:K_4^e$  should be 1:3/8:1/6:1/16 according to purely statistical behavior. A hyperbola would result as was the case with eqs. 2.4 and 2.5 if  $n=1$ . The sigmoid shape is explained by interaction of the hemes.

Application of the Hill equation to very precise data of Roughton (1972) for  $S < 0.02$  shows  $n \neq 1$ , and hence in this range  $\text{Hb}_4$  reacts with only one  $\text{O}_2$  molecule at a time. Fitting of the SDC to the Adair scheme (see below) leads to the supposition that  $\text{Hb}_4(\text{O}_2)_3$  is present only in very small amount. Values for  $K_1^e, K_2^e, K_3^e, K_4^e$  giving a good fit to the experimental curve of the SDC from Roughton (1972) are:

values of $K^e$	$K_1^e/K_1^e$	values of $A^e$
$K_1^e = 2.18 \cdot 10^{-2}$	1	$A_1^e = 2.18 \cdot 10^{-2} \text{ mm Hg}^{-1}$
$K_2^e = 4.20 \cdot 10^{-2}$	1.9	$A_2^e = 9.12 \cdot 10^{-4} \text{ mm Hg}^{-2}$
$K_3^e = 4.10 \cdot 10^{-3}$	0.19	$A_3^e = 3.75 \cdot 10^{-6} \text{ mm Hg}^{-3}$
$K_4^e = 6.60 \cdot 10^{-1}$	30	$A_4^e = 2.47 \cdot 10^{-6} \text{ mm Hg}^{-4}$

The Adair model and Haldane's suggestion formed the basis for other models trying to arrive at equations describing the saturation curve



using less than four constants. Pauling (1935) and Thompson (1968) assumed a certain interaction between hemes. Monod et al. (1965) assumed that  $Hb_4$  as a whole exists in two different conformations with distinguishable affinities for oxygen but no interaction between hemes. Koshland et al. (1966) assumed that every heme changes its conformation before it is able to bind oxygen and tried several possibilities of heme-heme interaction. Forbes and Roughton (1931) and later Margaria (1963) concluded that the first 3 reactions in the Adair scheme are independent, and only in the last stage the reaction is enhanced by a factor of 125. Although these conclusions are not in agreement with the present accepted values of  $K_1^c - K_4^c$ , the resulting equation is often used in the literature for its simplicity.

The models of the authors cited and of some others show fair agreement with saturation curves measured in hemoglobin solutions from different mammals; however, a good fit does not necessarily confirm an individual model. Koshland et al. (1966) concluded already that if several models are describing the saturation curve, further information will be necessary to obtain a unique mechanism. Roughton (1972) concluded from his comparative calculations that the models of Pauling, Monod and Koshland are not applicable to the data of human whole blood and that the Adair equation still provides the most appropriate approach to describe the saturation curve. Roughton recognized the fact that this approach is of an empirical nature and does not lead to a deeper understanding of the problem in terms of molecular biology.

#### 2.4 Transfer equations of physically dissolved and chemically bound oxygen

Diffusion processes are commonly described by one or a set of differential equation(s) holding in every point of the medium where transfer occurs. Many solutions of this (these) equation(s) are possible but boundary values for the particular problem determine the specific solution.

When oxygen passes through hemoglobin solutions it will react with hemoglobin to form oxyhemoglobin. Assuming the mass density of the solution to remain constant during oxygenation, the transfer of all species involved in the reaction can be described by (e.g. Bird et al. 1966)

$$\frac{\partial [X]}{\partial t} = - \vec{v} \cdot \text{grad } [X] + \text{div } (D_X \text{ grad } [X]) + R_X \quad (2.9) \quad )^*$$

where  $[X]$  = concentration of a certain species X,

$R_X$  = rate of production of species X by chemical reaction,

$D_X$  = diffusion coefficient of X, and

$\vec{v}$  = velocity of fluid.

In the present thesis it is assumed that in all situations the oxyhemoglobin molecule remains in tetrameric form.

Equation 2.9 holds for oxygen of concentration C and for all hemoglobin species with concentration  $H_{i,j}$ , where  $i = 0, 1, 2, 3$  or 4 indicates the number of  $O_2$  molecules bound to hemoglobin and  $j$  distinguishes the various forms of oxyhemoglobin with the same number of oxygen molecules. Taking into account all different forms of hemoglobin, there is a relationship between  $R_C$  and the various  $R_{H_{i,j}}$ , where  $R_C$  and  $R_{H_{i,j}}$  are the rates of production by chemical reaction of C and  $H_{i,j}$  respectively

$$R_C + \sum_{i=1}^4 i \sum_j R_{H_{i,j}} = 0 \quad (2.10)$$

For all possible forms of hemoglobin

$$\sum_{i=0}^4 \sum_j R_{H_{i,j}} = 0 \quad (2.11)$$

We also assume that the diffusion coefficient is the same for all (see section 6.5.5) hemoglobin species. When defining  $b = \sum_i \sum_j H_{i,j}$  as the total hemoglobin concentration (tetrameric) we now can derive a differential equation for  $b$  as a function of time and place. Adding all equations describing  $H_{i,j}$  and using eq. 2.9 we get

$$\frac{\partial b}{\partial t} = - \vec{v} \cdot \text{grad } b + \text{div } (D_H \text{ grad } b) \quad (2.12)$$

Because of eq. 2.11 there is no reaction term left. Eq. 2.12 shows that in a system where  $O_2$  and hemoglobin species are moving and the

---

<sup>\*</sup>we shall refer to an equation of type 2.9 for species X as eq {X}

assumptions mentioned apply, the local hemoglobin concentration is only affected by gradients of  $b$ .

Only situations are considered here where  $b$  is a uniform concentration at the start. In this case, if there is no change in boundary values of  $b$ , no gradients of  $b$  will occur and  $b$  has a constant value throughout the whole process. The conclusion that  $b$  is constant is required for the derivation of eq. 2.14 below. However, this conclusion is generally valid and thus applies to any specific problem (e.g. Kutchai 1971a, Kreuzer and Hoofd 1970, Zilvermit 1965).

The fractional oxygen saturation of hemoglobin is defined as

$$S = \left( \sum_{i=0}^4 i \sum_j H_{i,j} \right) / (4b) \quad (2.13)$$

We can readily see that the summation

$$\frac{1}{4b} \sum_{i=1}^4 i \sum_j \text{eq} \{ H_{i,j} \} \quad (2.14)$$

leads to

$$\frac{\partial S}{\partial t} = - \vec{v} \cdot \text{grad } S + \text{div} (D_H \text{ grad } S) + R_S \quad (2.15)$$

where

$$R_S = \sum_{i=1}^4 i \sum_j R_{H_{i,j}} = - R_C / 4b \quad (2.16)$$

Thus the saturation may be expressed by a transfer equation as if  $S$  were a transported species itself. Eq {C} and eq {4 b S} can be added to give

$$\frac{\partial}{\partial t} (C+4bS) = - \vec{v} \cdot \text{grad} (C+4bS) + \text{div} (\text{grad}(D_C C+4b D_H S)) \quad (2.17)$$

In addition to the transfer equations of physically dissolved and chemically bound oxygen we need equations for  $R_C$  and  $R_S$  depending on  $C$

and S. The formulation of these equations depends on the assumed reaction scheme for hemoglobin and oxygen. The assumption of near-equilibrium means that the saturation curve may be used as a second relationship required in addition to eq. 2.17. We may quantitatively understand the meaning of this assumption from the following considerations. Generally we may state according to basic theory of reaction kinetics (Laidler 1965) that

$$-R_C = f_1^r C - f_2^r \quad (2.18)$$

where  $f_1^r$  is a function of all terms  $H_{i,j}$  of hemoglobin combining with one or more molecules of oxygen (forward reaction) and  $f_2^r$  is a function of all terms of  $H_{i,j}$  slowing down the overall rate (back reaction). It should be noted that  $f_1^r$  and  $f_2^r$  are always positive. Defining  $C^e$  as the concentration of oxygen where  $R_C = 0$  (equilibrium) we get for finite reaction velocity

$$R_C = (C^e - C) f_1^r \quad (2.19)$$

Relationship 2.18 holds when only one  $O_2$  molecule is involved in each reaction step. If there are more molecules involved per step then eq. 2.18 will be a polynome whilst eq. 2.19 still holds as a first-order approximation. The assumption of near-equilibrium means that  $C^e - C$  is small compared to  $C^e$  (see also section 3.6).

CHAPTER 3

THEORETICAL MODELS OF OXYGEN TRANSFER IN THIN LAYERS OF HEMOGLOBIN SOLUTION

3.1 Equations and boundary conditions for oxygen transfer in layers of hemoglobin solution

3.1.1 Layers with finite thickness

A flat thin layer of hemoglobin solution is at one side ( $x=0$ ) in contact with a gaseous atmosphere and at the other side ( $x=d$ ) with a gas-impermeable wall. At  $t < 0$  the layer is in equilibrium with the gas containing oxygen at a partial pressure  $P_1$ . The oxygen concentration all over the layer equals  $C_1$  according to Henry's law and the oxygen saturation equals  $S_1$  as required by the saturation curve. At time  $x=0$  the gaseous atmosphere is changed abruptly to a  $PO_2$  value of  $P_1$ . Oxygen will diffuse into the hemoglobin layer and react with hemoglobin to produce oxyhemoglobin. Because of symmetry net mass transfer will occur in only one direction ( $x$ ) and the transfer eq. 2.17 reduces to:

$$\frac{\partial}{\partial t} (C+hS) = D_C \frac{\partial^2 C}{\partial x^2} + h D_H \frac{\partial^2 S}{\partial x^2} \quad (3.1)$$

where  $h$  = oxygen binding capacity of hemoglobin.

As has been mentioned in chapter 2 and as will be discussed in section 6 of this chapter, the assumption of near-equilibrium is valid and hence the saturation curve can be used as a second relationship between  $S$  and  $C$ . When the layer has a finite thickness the boundary conditions are

$$t < 0, 0 \leq x \leq d \quad ; \quad C = C_1 \text{ hence } S = S_1 \quad (3.2)$$

$$t \geq 0, x = 0 \quad ; \quad C = C_1 \text{ hence } S = S_1 \quad (3.3)$$

$$t \geq 0, x = d \quad ; \quad \partial C / \partial x = 0 \quad (3.4)$$

Commonly dimensionless variables are introduced

$$x^* = x/d \quad (3.5)$$

$$\tau^* = t D_C / d^2 \quad (3.6)$$

$$\phi = (C - C_1) / (C_1 - C_1) \quad (3.7)$$

$$\psi = (S - S_1) / (S_1 - S_1) \quad (3.8)$$

Substituting eqs. 3.5 and 3.6 into eq. 3.1 yields the dimensionless parameters

$$H = (S_1 - S_i) h / (C_1 - C_i) \quad (3.9)$$

$$D^* = H D_H / D_C \quad (3.10)$$

and eq. 3.1 can be rewritten to be

$$\frac{\partial}{\partial \tau^*} (\phi + H\psi) = \frac{\partial^2 \phi}{\partial x^{*2}} + D^* \frac{\partial^2 \psi}{\partial x^{*2}} \quad (3.11)$$

with the boundary conditions

$$\left. \begin{aligned} \tau^* < 0, \quad 0 \leq x^* \leq 1 & ; \quad \phi = \psi = 0 \\ \tau^* \geq 0, \quad x^* = 0 & ; \quad \phi = \psi = 1 \\ \tau^* \geq 0, \quad x^* = 1 & ; \quad \partial\phi/\partial x^* = 0 \end{aligned} \right\} \quad (3.11,a)$$

H will be called "oxygen concentration ratio" as it represents the ratio of oxygen bound to hemoglobin and physically dissolved.  $D^*$  will be called "oxygen flux ratio" as it represents at steady state the ratio of carrier-mediated oxygen flux and free oxygen flux.

Solutions  $\phi(x^*, \tau^*)$  and  $\psi(x^*, \tau^*)$  depend on:

- 1) the dimensionless saturation curve or the relationship between  $\psi$  and  $\phi$ ,
- 2) the oxygen concentration ratio,
- 3) the oxygen flux ratio.

### 3.1.2 Layers with half-infinite thickness

When the layer is assumed to extend into infinity the boundary condition 3.4 has to be modified to

$$x \rightarrow \infty ; C \rightarrow C_i \quad (3.12)$$

Now eq. 3.4 can be transformed into an equation with only one independent variable when replacing eqs. 3.5 and 3.6 by

$$\eta = \frac{x}{\sqrt{D_C t}} \quad (3.13)$$

In the analysis of mass and heat transfer problems this transformation is well known. Then eq. 3.1 changes by introducing the operators

$$\frac{\partial}{\partial t} = -\frac{1}{2} \frac{\eta}{t} \frac{d}{d\eta} ; \quad \frac{\partial}{\partial x^2} = \frac{1}{D_C t} \frac{d^2}{d\eta^2} \quad (3.14)$$

and applying eqs. 3.7 to 3.10 into

$$-\frac{1}{2} \eta \frac{d}{d\eta} (\phi + H\psi) = \frac{d^2 \phi}{d\eta^2} + D^* \frac{d^2 \psi}{d\eta^2} \quad (3.15)$$

The boundary conditions now become

$$\left. \begin{aligned} \eta = 0 & ; \quad \phi = \psi = 1 \\ \eta \rightarrow \infty & ; \quad \phi \text{ and } \psi \rightarrow 0 \end{aligned} \right\} \quad (3.15.a)$$

The solutions of the equations applying to the finite slab can be compared with those holding for the half-infinite slab for  $x \ll d$ . In dimensionless form this comparison can be done by writing

$$\eta = \frac{x^*}{\sqrt{t^*}} \quad (3.16)$$

where  $x^*$  and  $t^*$  are the dimensionless place and time, respectively, corresponding to the finite slab problem.

The solutions  $\phi(\eta)$  and  $\psi(\eta)$  are dependent on the same parameters as the solutions of the finite slab problem (see 3.1.1). In order to distinguish between the solutions of the finite slab problem and the half-infinite slab problem these solutions will be referred to as  $\phi_d$ ,  $\psi_d$  and  $\phi_\infty, \psi_\infty$  respectively.

### 3.2 Solutions of the transfer equations without chemical reaction

When  $H=0$ , eqs. 3.11 and 3.15 reduce to transfer equations without chemical reaction. Both equations can be solved by applying methods

described in most textbooks on mass and heat transfer (e.g. Bird et al. 1966). For the finite layer the following solution is found

$$\phi_d = 1 - 2 \sum_{j=0}^{\infty} \frac{(-1)^j}{(j+\frac{1}{2})\pi} e^{-((j+\frac{1}{2})\pi x^*)^2} \cos(j+\frac{1}{2})\pi(1-x^*) \quad (3.17)$$

and for the half-infinite layer

$$\phi_{\infty} = 1 - \operatorname{erf}(\frac{1}{2}\eta) \quad (3.18)$$

where error function  $\operatorname{erf}(y) = \frac{2}{\sqrt{\pi}} \int_0^y e^{-x^2} dx$ .

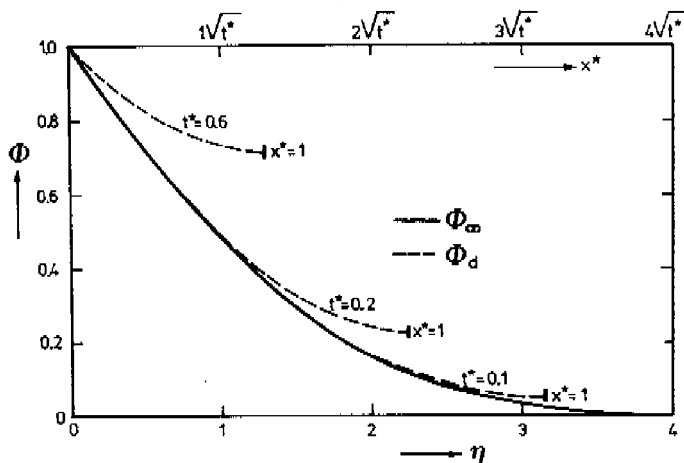


Fig. 3.1. Oxygen concentration profiles within a half-infinite slab (solid line) and a finite slab (broken lines) in the absence of chemical reaction as a result of an instantaneous increase in oxygen partial pressure at the gas-liquid interface ( $x^* = \eta = 0$ ). Solid line: dimensionless oxygen concentration ( $\phi = \phi_{\infty}$ ) as a function of  $\eta (= x^*/t^*)$  for a half-infinite slab. Broken lines: dimensionless oxygen concentration ( $\phi = \phi_d$ ) as a function of  $x^* (= x/d)$  at several values of  $t^* (= tD_c/d^2)$  for a finite slab. At each value of  $t^*$  the scale value of  $x^*$  is different and equals  $\eta\sqrt{t^*}$ . In this way comparison between concentration profiles, calculated according to the finite slab model and the half-infinite slab model, is possible. For values of  $t^* \leq 0.1$ ,  $\phi_d$  and  $\phi_{\infty}$  coincide. When  $t^*$  increases the deviation between the two models increases.



In fig. 3.1 both solutions are compared. The quantity  $\phi_{\infty}$  asymptotically approaches zero when increasing  $\eta$ . In order to represent the solutions of the finite slab problem at several values of  $t^*$  the ordinate is attenuated by a factor  $\sqrt{t^*}$  for each solution. It is clear from this figure that  $\phi_d$  and  $\phi_{\infty}$  coincide until the value of  $\phi_d$  at  $x^* = 1$  differs significantly from zero. In a popular way one may say that up to this moment the concentration profile does not "know" whether it exists in a finite or half-infinite slab.

The fraction of oxygen that can be dissolved maximally per unit surface area in a layer of thickness  $d$  equals  $(C_1 - C_2)d$ . The fraction  $Q$  that has been dissolved in the dimensionless lapse of time  $t^*$  amounts to

$$Q(t^*) = Q_d(t^*) = \int_0^1 \phi_d(x^*, t^*) dx^* \quad (3.19)$$

If we assume that up to  $Q=1$  the oxygen flux into the layer of finite thickness equals the flux into the half-infinite layer we get

$$Q < 1 ; \quad Q(t^*) = Q_{\infty}(t^*) = \int_0^{\infty} \phi_{\infty}\left(\frac{x^*}{\sqrt{t^*}}\right) dx^* = 2\sqrt{\frac{t^*}{\pi}} \quad (3.20)$$

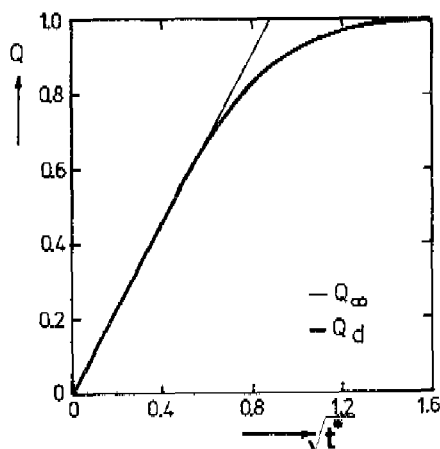


Fig. 3.2. Fractional increase of dissolved oxygen  $Q$  (eq. 3.19) as a function of dimensionless time  $t^*$  ( $Q = 0$  at  $t^* = 0$ ,  $Q = 1$  at  $t^* = \infty$ ) according to both the finite slab ( $Q = Q_d$ ) and the half-infinite slab ( $Q = Q_{\infty}$ ) model.

In fig. 3.2 the quantities  $Q_d$  and  $Q_\infty$  are compared. Both curves coincide up to  $Q \approx 0.5$ . When increasing the (dimensionless) time,  $Q_\infty$  reaches  $Q=1$  abruptly whereas  $Q_d$  approaches  $Q = 1$  asymptotically.

### 3.3 Solutions of the transfer equations including the oxygen-hemoglobin reaction

#### 3.3.1 Methods of solution

When  $O_2$  combines with hemoglobin the transfer equations can only be solved analytically but for simplifying the saturation curve. Examples for simplifications from the literature will be given in Chapter 6.

Eqs. 3.12 and 3.15 have to be solved numerically (by computer). Solutions of the finite layer problem are obtained by an adapted finite difference scheme of Crank-Nicholson (e.g. Lapidus 1962). This method calculates  $\phi$  and  $\psi$  at  $n+1$  equidistant points at time intervals of  $\Delta t^*$ . In the program used here the value of  $\Delta t^*$  changes with  $t^*$ . The difference scheme used is convergent in the second order. A detailed description is given in Appendix A.

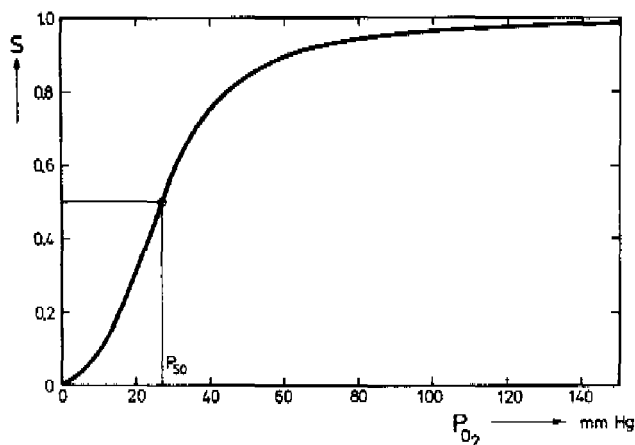


Fig. 3.3. Oxygen saturation curve according to the Adair equation (eq. 2.8) as used in all the calculations. Values of the Adair constants used are:  $K_1^e = 0.0216$ ,  $K_2^e = 0.0425$ ,  $K_3^e = 0.00558$ ,  $K_4^e = 0.477$  l/mm Hg.  $P_{50} = 26.74$  mm Hg. Dimensionless saturation curves ( $\psi$  versus  $\phi$ ) are obtained from this curve through eqs. 3.7 and 3.8 and are characterized by the value of  $\phi_{50} = P_{50}/P_1$  (fig. 3.10).

Eq. 3.15 has been solved by a fourth-order Runge-Kutta integration procedure)\*. In order to use this procedure, eq. 3.15 was modified to

$$\frac{d^2\phi}{d\eta^2} = \frac{-1}{(1+D^* \frac{d\psi}{d\phi})} \frac{d\phi}{d\eta} \left\{ \frac{1}{2} \eta(1+H \frac{d\psi}{d\phi}) + D^* \frac{d^2\psi}{d\phi^2} \frac{d\phi}{d\eta} \right\} \quad (3.21)$$

The Runge-Kutta method requires two different boundary conditions at  $\eta=0$ , i.e.  $\phi_{\eta=0}$  and  $(d\phi/d\eta)_{\eta=0}$ . The latter replaces the physical condition  $\phi \rightarrow 0$  when  $\eta \rightarrow \infty$ . Hence a parameter estimation program has been developed in order to determine the particular value of  $(dC/d\eta)_{\eta=0}$  satisfying the physical boundary conditions. A detailed description of the numerical procedure is given in Appendix B. The dimensionless saturation curves used in all the calculations have been derived from the saturation curve given in fig. 3.3. In the computer program the saturation and the first and second derivatives of the saturation curve were calculated according to the Adair equation using the constants given in fig. 3.3 and taking  $P_1=0$ .

### 3.3.2 Concentration profiles within the finite and half-infinite slab

Profiles  $\psi$  and  $\phi$  within the half-infinite slab are presented in fig. 3.4. For the sake of simplicity the dimensionless parameter analysis partly has been abandoned here because of the fact that some physical parameters (e.g.  $P_1$ ) are related to more than one dimensionless parameter (e.g.  $H$ ,  $D^*$  and the dimensionless saturation curve). In fig. 3.4 the dependence of the profiles on  $P_1$  and the ratio  $D_H/D_C$  is shown.

If hemoglobin is not mobile (curves 1 and 2) the saturation profile in general shows quite a steep course. This may be understood from the dimensionless saturation curve which is shifted to the left and so becomes steeper if  $P_1$  is increased (see fig. 3.10). Obviously the oxygenation front has moved further into the layer at the high  $P_1$  value. The profiles of  $\phi$  at  $D_H = 0$  are virtually linear within the oxygenated part of the layer whereafter they flatten rapidly. If the hemoglobin is assumed to diffuse, all profiles become smooth. In relative terms the influence of carrier facilitation is stronger at lower values of  $P_1$  than at the higher ones.

\* THE Library Procedure Computer Centre  
Eindhoven University of Technology

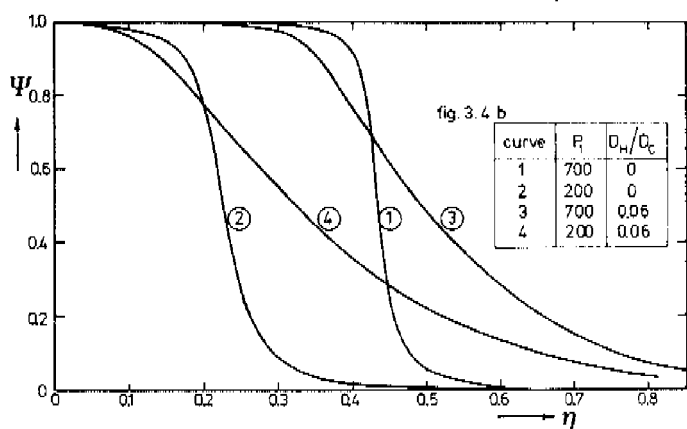
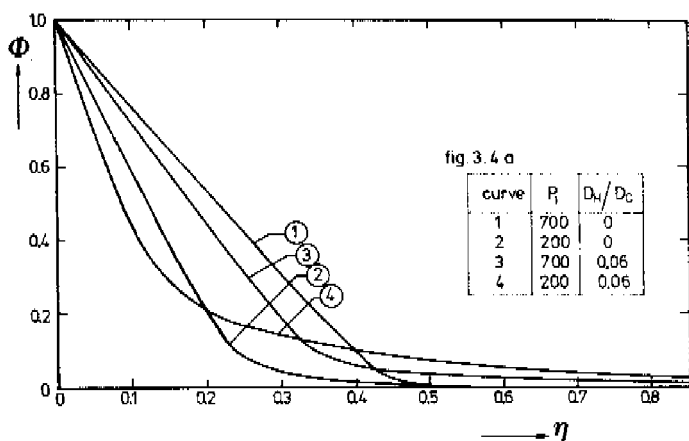


Fig. 3.4(a,b). Oxygen concentration and oxygen saturation profiles within a half-infinite slab of hemoglobin solution (oxygen binding capacity  $h = 1.073$  mol/l ( $[HB] = 17.3$  g%),  $P_2 = 0$ ,  $\alpha = 1.53 \cdot 10^{-6}$  mol/l/mm Hg); a) dimensionless oxygen concentration  $\phi$  as a function of  $\eta$  ( $= x \cdot \sqrt{t^*}$ ); b) dimensionless oxygen saturation of hemoglobin  $\psi$  as a function of  $\eta$ . The curves are calculated for different values of  $P_1$  and  $D_H/D_C$  ratio; curves: 1)  $P_1 = 700$  mm Hg,  $D_H/D_C = 0$ ; 2)  $P_1 = 200$  mm Hg,  $D_H/D_C = 0$ ; 3)  $P_1 = 700$  mm Hg,  $D_H/D_C = 0.06$ ; 4)  $P_1 = 200$  mm Hg,  $D_H/D_C = 0.06$ . Both the concentration profiles and the saturation profiles tend to be smoother at low values of  $P_1$  as well as at high values of  $D_H/D_C$ .

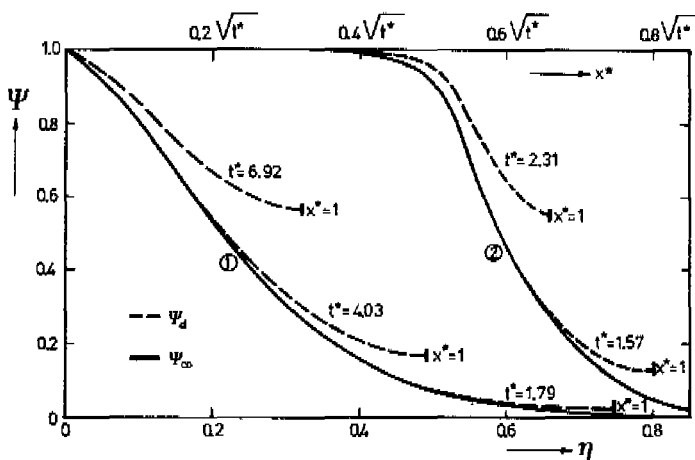


Fig. 3.5. Comparison of the saturation profiles within a layer of hemoglobin solution as calculated from the half-infinite slab model (solid line) and the finite slab model (broken lines). Oxygen binding capacity  $h = 6.2 \cdot 10^{-3}$  mol/l ( $[Hb] = 10$  g%),  $D_H/D_C = 0.0281$ ,  $\alpha = 1.53 \cdot 10^{-6}$  mol/l/mm Hg; 1)  $P_1 = 717$  mm Hg; 2)  $P_1 = 85.2$  mm Hg. The steeper the oxygenation profile, the more abruptly the oxygenation process of a finite slab will be completed.

Fig. 3.5 compares the saturation profiles within both a layer of finite thickness and a layer of half-infinite thickness analogous to fig. 3.1. It is clear that the steeper the oxygenation profile, the more abruptly the oxygenation process of a finite slab will be completed (see also fig. 3.6 below).

### 3.3.3 Oxygenation of the layer with finite thickness as a function of time

The quantity of main interest within the scope of the present work is the increase of the average oxygen saturation in a finite layer of hemoglobin. The average dimensionless oxygen saturation is defined by

$$\bar{\psi}_d(t^*) = \frac{1}{d} \int_0^d \psi(x, t^*) dx = \int_0^1 \psi(x^*, t^*) dx^* \quad (3.22)$$

In analogy to section 3.1.3,  $\bar{\psi}_\infty(t^*)$  is defined as the average saturation of the layer with finite thickness, but calculated by means of the half-infinite layer model

$$\bar{\psi}_\infty(t^*) = \int_0^\infty \psi_\infty\left(\frac{x^*}{\sqrt{t^*}}\right) dx^* = \sqrt{t^*} \left\{ \int_0^\infty \psi_\infty(\eta) d\eta \right\} \quad (3.23)$$

$\bar{\psi}_\infty$  can only be  $\leq 1$  according to definition. The integral in brackets is independent of time. Hence according to the half-infinite model and independent of the saturation curve used, the average saturation has to increase proportionally to the square root of time. However, this conclusion only holds as far as  $\bar{\psi}_\infty$  equals  $\bar{\psi}_d$ .

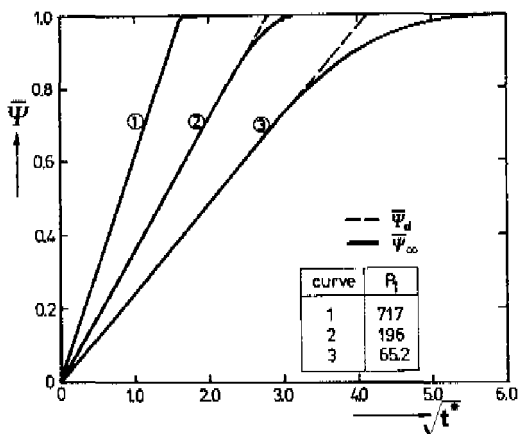


Fig. 3.6. Oxygenation of a finite slab as a function of  $t^*$  (solid lines) and the deviation from the half-infinite slab model (broken lines). Oxygen binding capacity  $h = 6.22 \cdot 10^{-3}$  mol/l ( $[Hb] = 10$  g%),  $D_H/D_C = 0.0262$ ,  $\alpha = 1.51 \cdot 10^{-6}$  mol/l/mm Hg; 1)  $P_1 = 717$  mm Hg, 2)  $P_1 = 196$  mm Hg, 3)  $P_1 = 65.2$  mm Hg. Curves 1 and 3 of this figure correspond to curves 1 and 2 of fig. 3.5.

The oxygenation increases of the finite and half-infinite slab model are compared in fig. 3.6. As may be seen from fig. 3.6, the range of coincidence of  $\bar{\psi}_d$  and  $\bar{\psi}_\infty$  is considerable and tends to increase as  $P_1$  is higher. This range of coincidence is controlled by the same conditions

that make the saturation profile steeper. This is understandable because the steeper the saturation profile, the longer the profile "is not aware of the dimension of the layer". Obviously it is permitted to apply the half-infinite slab solution throughout a large part of the saturation process in layers with finite thickness. The solution in the range of  $\bar{\psi}_d = \bar{\psi}_\infty$  can now be represented by a single figure, being the value of  $t^*$  when  $\bar{\psi}_\infty = 1$ . For this the notation  $t_1^*$  is introduced which will be referred to as the dimensionless oxygenation time.

### 3.4 Parametric analysis

#### 3.4.1 Dependence of dimensionless oxygenation time on oxygen concentration ratio and oxygen flux ratio with a step-like saturation curve

According to the experimental procedure chosen (see chapter 4)  $P_i$  has been taken to be zero for this analysis. On the basis of the saturation curve given in fig. 3.3 and according to common practice in physiology,  $\phi_{50}$ , being the value of the ratio  $P_{50}/P_1$ , is chosen as a quantity to characterize the dimensionless saturation curve.

If  $\phi_{50}$  approaches to zero the dimensionless saturation curve assumes a step-like shape. For this shape  $t_1^*$  can be calculated by means of a moving boundary model as will be shown in section 3.5. The dimensionless time calculated for  $\phi_{50} = 0$  will be denoted by  $t_1^{*,0}$ . This quantity has been calculated for  $H$  varying between 0 and 500 and  $D^*$  varying between 0 and 7. Numerical results are listed in tables 3.1 and 3.2. Concerning the choice of the values of  $H$  and  $D^*$  refer to section 3.4.3 below.

Fig. 3.7 shows  $t_1^{*,0}$  as a function of  $H$  for several values of  $D^*$ . The relationship between  $t_1^{*,0}$  and  $H$  at constant  $D^*$  appears to be linear (data from tables 3.1 and 3.2). The intercept with the vertical axis is close to zero, and  $D^*$  determines the slope of the curves to be denoted by  $f_2$ .

Fig. 3.8 presents the slope  $f_2$  as a function of  $D^*$ . The curve of  $f_2$  versus  $D^*$  approaches to a hyperbolic shape as indicated by the broken line. The sensitivity of  $f_2$  as a function of  $D^*$  is much higher at lower values of  $D^*$  than at higher values. From this behavior the facilitation may be derived as will be described later.

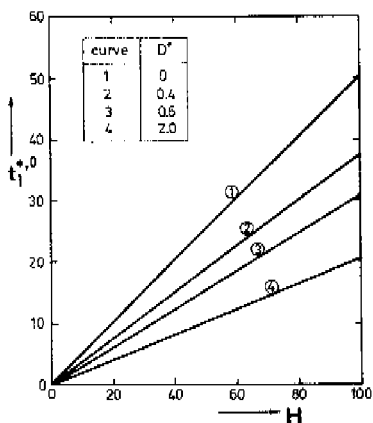


Fig. 3.7. Dimensionless oxygenation time using a step-like saturation curve ( $= t_1^{*,0}$ ) as a function of the oxygen concentration ratio ( $H$ ) for four different values of the oxygen diffusion ratio ( $D^*$ ). 1)  $D^* = 0$ , 2)  $D^* = 0.4$ , 3)  $D^* = 0.6$ , 4)  $D^* = 2.0$ . The intercepts of the curve with the ordinate are close to zero. Data are taken from tables 3.1 and 3.2.

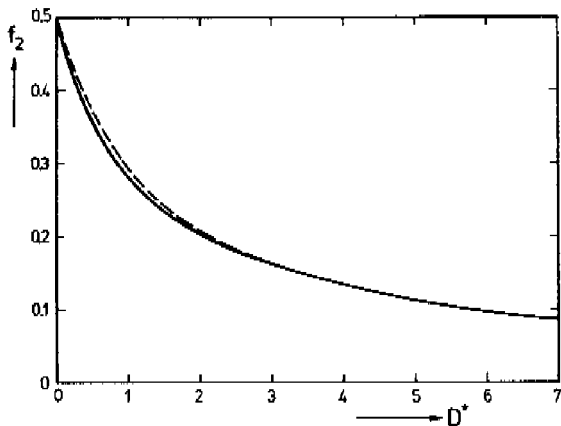


Fig. 3.8. The tangent  $f_2$  of the relationship between the dimensionless oxygenation time, using a step-like saturation curve ( $t_1^{*,0}$ ), and oxygen concentration ratio ( $H$ ) as a function of oxygen diffusion ratio  $D^*$  ( $= H D_H/D_C$ ) (solid line). The  $f_2$  versus  $D^*$  curve has a hyperbolic shape and can be approximated (broken line) by  $f_2 = 0.739/(D^* + 1.477)$ . In fact  $f_2$  shows the sensitivity of the oxygenation process for the diffusivity of hemoglobin.



### 3.4.2 The influence of the dimensionless saturation curve on the dimensionless oxygenation time

For the arbitrarily chosen situation of  $H = 100$  the influence of  $\phi_{50}$  on the ratio  $\tau_1^*/\tau_1^{*,0}$  has been studied for both  $D^* = 0$  and  $D^* = 3$ . The results are given in fig. 3.9. At a fixed value of  $D^*$ , this ratio first increases and subsequently decreases with increasing  $\phi_{50}$ . This behavior is due to the shape of the saturation curve which shows a concave and a convex part. A curve is defined to be concave when both the first and second derivative are positive. A curve is defined to be convex when the first derivative is positive but the second derivative is negative. At small values of  $\phi_{50}$  the convex part dominates the influence of the curve whereas at increasing values of  $\phi_{50}$  the concave part becomes more important.

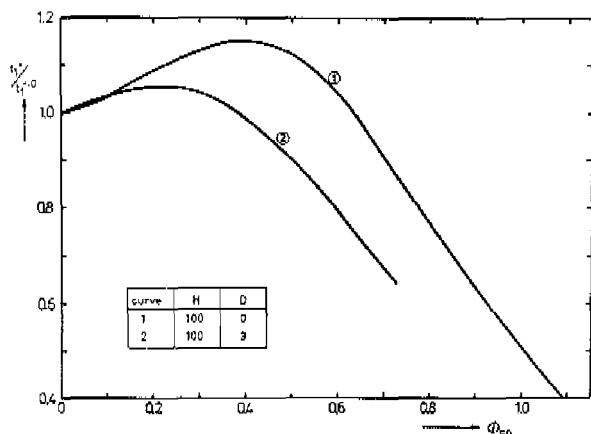


Fig. 3.9. The ratio  $\tau_1^*/\tau_1^{*,0}$  as a function of  $\phi_{50}$ ;  $\tau_1^{*,0}$  is the dimensionless oxygenation time applying a step-shaped saturation curve;  $\phi_{50} = F_{50}/F_1$  characterizes the dimensionless saturation curve. 1)  $H = 100$ ,  $D^* = 0$ ; 2)  $H = 100$ ,  $D^* = 3$ . Initially the dimensionless oxygenation time  $\tau_1^*$  increases and next decreases with increasing  $\phi_{50}$ . This behavior is due to the shape of the saturation curve showing a concave and a convex part.

Fig. 3.9 is important with regard to the choice of the  $P_1$  values to be applied in the experiments. As will be explained in section 3.5 and as has been shown in fig. 3.4 the influence of the carrier facilitation

becomes more pronounced at low than at high  $P_1$  values. However, three important objections may be advanced against low  $P_1$  values:

- 1) The real oxygenation times to be measured experimentally increases with decreasing  $P_1$ , and hence the degree of possible experimental error increases with decreasing  $P_1$ ;
- 2) As is clear from fig. 3.6 the range of coincidence of  $\bar{\psi}_d$  and  $\bar{\psi}_s$ , hence the range where  $\bar{\psi}$  changes with  $\sqrt{t^*}$ , decreases with decreasing  $P_1$ ; this relationship is of particular importance to check the experimentally determined oxygenation course for errors;
- 3) Within the parts of the curves of fig. 3.9 having a positive slope, knowledge of the position of the real saturation curve is less important than within the parts having a negative slope.

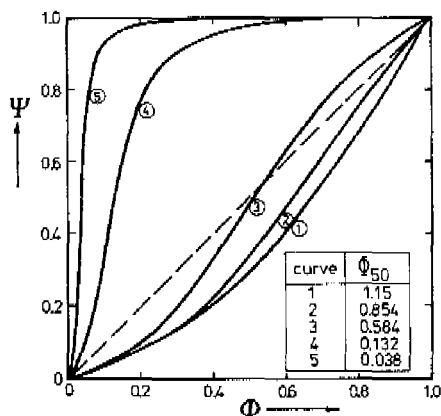


Fig. 3.10. Dimensionless saturation curves ( $\psi$  versus  $\phi$ ;  $P_2 = 0$ ,  $\psi = S/S_2$ ,  $\phi = P/P_1$ ) at several values of  $\phi_{50}$  ( $P_{50}/P_1$ ). Every dimensionless saturation curve is obtained from the standard saturation curve given in fig. 3.3 and the boundary values  $P_1$  and  $S_1$ . 1)  $\phi_{50} = 1.15$ ; 2)  $\phi_{50} = 0.854$ ; 3)  $\phi_{50} = 0.589$ ; 4)  $\phi_{50} = 0.132$ ; 5)  $\phi_{50} = 0.0378$ . In calculating  $t_1^*$  using curves 4 and 5 the convex part of the curve dominates whereas when using curves 1, 2 and 3 the concave part becomes dominant (see fig. 3.9). The broken line represents an approximation of curve 3 (see section 3.5.2).

The latter point is also illustrated by fig. 3.10 giving dimensionless saturation curves for several values of  $\phi_{50}$ . The small difference in

shape between curves 1 and 2 results in a large difference between the respective  $t_1^*$  values ( $H=100, D^*=0$ ) curve 1)  $t_1^* = 16.4$ , curve 2)  $t_1^* = 34.2$ ). Consequently it was decided to experimentally study only the region where the oxygenation time increases with increasing  $\phi_{50}$ .

### 3.4.3 Completion of the dimensionless parametric analysis

The three parameters influencing  $t_1^*$  are  $H, D^*$  and  $\phi_{50}$ . Since the relations are non-linear the limiting values of these parameters have to be defined. Two sets of limiting values are relevant:

- 1)  $H$  varies between 5 and 175,  $D^*$  varies between 0 and 2, and  $\phi_{50}$  varies between 0.019 and 0.203 (table 3.1).
- 2)  $H$  varies between 100 and 500,  $D^*$  varies between 1 and 7, and  $\phi_{50}$  varies between 0.019 and 0.377 (table 3.2).

These boundary values of the dimensionless parameters are chosen in such a way that all those physical parameters are covered which belong to hemoglobin concentrations between  $7.7 \cdot 10^{-4}$  mol/l (5 g%) and  $5.4 \cdot 10^{-3}$  mol/l (30 g%). The minimum value of  $P_{50}$  equals 12.5 mm Hg and  $P_1$  values vary between 32 and 720 mm Hg in table 3.2 and between 62.5 and 720 mm Hg in table 3.1.

Table 3.2 shows that the oxygen concentration ratio hardly influences the dependency of  $t_1^*/t_1^{*,0}$  on  $\phi_{50}$ . The maximum in this relationship decreases and shifts to smaller values of  $\phi_{50}$  with increasing  $D^*$  as is also seen in fig. 3.9.

Table 3.1 presents results restricted to a narrower region of boundary values than applied in table 3.2. Table 3.1 may be divided into regions where the dependency of the ratio  $t_1^*/t_1^{*,0}$  on  $\phi_{50}$  is identical within 0.5 percent. These regions are:  $H \geq 25, D^* \geq 0.2$ ;  $H = 5, D^* \geq 0.4$ ;

$H \geq 25, D^* = 0$ . The relationships of  $t_1^*/t_1^{*,0}$  with  $\phi_{50}$  in these regions are summarized in fig. 3.11. It is evident from this figure that for  $\phi_{50} \rightarrow 0$  the computer solutions converge to the solutions obtained by the moving boundary model using a step-like saturation curve.

H	$\Phi 50$	$D^*$	0,0	0,2	0,4	0,6
5		$\frac{L_1^{*,0}}{L_1^{*,0}}$	2,6625	2,2222	1,9411	1,753
	0,01910	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,002	1,015	1,015	1,018
	0,03820	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,005	1,020	1,025	1,028
	0,05348	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,019	1,038	1,047	1,049
	0,08913	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,041	1,072	1,081	1,083
	0,1337	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,078	1,110	1,124	1,129
	0,2026	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,136	1,174	1,183	1,185
25		$\frac{L_1^{*,0}}{L_1^{*,0}}$	12,666	10,715	9,4308	8,4224
	0,01910	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,001	1,012	1,013	1,013
	0,03820	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,003	1,014	1,017	1,022
	0,05348	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,015	1,027	1,033	1,035
	0,08913	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,030	1,052	1,057	1,058
	0,1337	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,054	1,080	1,085	1,087
	0,2026	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,096	1,121	1,122	1,120
50		$\frac{L_1^{*,0}}{L_1^{*,0}}$	25,166	21,928	18,790	16,898
	0,01910	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,004	1,010	1,010	1,013
	0,03820	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,006	1,017	1,019	1,020
	0,05348	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,014	1,028	1,029	1,031
	0,08913	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,031	1,047	1,052	1,053
	0,1337	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,054	1,076	1,081	1,080
	0,2026	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,092	1,112	1,114	1,111
75		$\frac{L_1^{*,0}}{L_1^{*,0}}$	37,666	31,941	28,149	25,312
	0,01910	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,004	1,008	1,012	1,010
	0,03820	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,006	1,014	1,017	1,018
	0,05348	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,014	1,028	1,029	1,032
	0,08913	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,028	1,049	1,051	1,054
	0,1337	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,053	1,073	1,080	1,080
	0,2026	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,089	1,110	1,112	1,110
100		$\frac{L_1^{*,0}}{L_1^{*,0}}$	50,167	42,553	37,509	33,732
	0,01910	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,004	1,008	1,010	1,013
	0,03820	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,006	1,016	1,020	1,018
	0,05348	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,011	1,028	1,031	1,032
	0,08913	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,027	1,048	1,051	1,054
	0,1337	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,051	1,074	1,079	1,079
	0,2026	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,091	1,111	1,110	1,107
175		$\frac{L_1^{*,0}}{L_1^{*,0}}$	87,667			58,990
	0,01910	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,003			1,023
	0,03820	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,006			1,023
	0,05348	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,014			1,029
	0,08913	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,030			1,051
	0,1337	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,050			1,073
	0,2026	$\frac{L_1^{*,0}}{L_1^{*,0}}$	1,088			1,107

0.8	1.2	1.6	2.0
1.5742			
1.019			
1.027			
1.049			
1.087			
1.128			
1.184			
7.7157	6.5703	5.7527	5.1205
1.011	1.011	1.012	1.009
1.021	1.022	1.023	1.020
1.033	1.031	1.030	1.030
1.056	1.052	1.051	1.045
1.084	1.075	1.071	1.066
1.117	1.108	1.096	1.086
15.391			
1.012			
1.019			
1.031			
1.052			
1.079			
1.106			
23.066	19.684	17.223	15.336
1.012	1.012	1.011	1.008
1.019	1.021	1.021	1.017
1.030	1.029	1.027	1.027
1.051	1.047	1.043	1.042
1.078	1.068	1.063	1.057
1.104	1.092	1.082	1.073
30.741			
1.013			
1.019			
1.032			
1.053			
1.077			
1.102			
	45.894	40.163	35.768
	1.012	1.007	1.008
	1.023	1.020	1.017
	1.030	1.026	1.025
	1.047	1.042	1.053
	1.067	1.059	1.053
	1.089	1.080	1.071

Tables 3.1 (p.p. 44-45) and 3.2 (p.46). For several combinations of values of oxygen concentration ratio  $H$  and oxygen diffusion ratio  $D^*$ , the dimensionless oxygenation time  $t_1^*$  is given as a function of  $\phi_{50} (=V_{50}/V_1)$ , being the quantity chosen to characterize the dimensionless saturation curve. The calculations were performed according to the half-infinite layer model and are accurate within  $\pm 0.2\%$  (section 3.5.3). The results are given in terms of the ratio  $t_1^*/t_1^{*0}$ , where  $t_1^{*0}$  stands for the dimensionless oxygenation time in case of a step-shaped saturation curve ( $\phi_{50}=0$ ). The values of  $t_1^{*0}$  were calculated using a moving boundary model (section 3.5) and are listed in the table.

Table 3.1. All experiments were performed in conditions where the parameters  $H$ ,  $D^*$  and  $\phi_{50}$  range as listed. The values of  $t_1^*/t_1^{*0}$  for  $\phi_{50} < 0.1337$  may be approximated within 1% by the polygonal approximation as described in section 3.5.

H	$\Phi_{50}$	$D^*$	1	3	5	7
100		$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	28.292	16.105	11.360	8.7914
	0.0191	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	1.012	1.007	1.007	1.005
	0.1337	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	1.071	1.044	1.029	<u>1.022</u>
	0.2010	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	1.096	<u>1.053</u>	<u>1.033</u>	1.017
	0.2674	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	<u>1.111</u>	1.050	1.022	1.003
	0.3766	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	1.101	1.010	0.973	0.949
225		$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	63.616	36.229	25.556	19.779
	0.0191	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	1.012	1.009	1.007	1.006
	0.1337	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	1.069	1.042	1.028	<u>1.019</u>
	0.2010	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	1.094	<u>1.050</u>	<u>1.030</u>	1.015
	0.2674	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	<u>1.108</u>	1.048	1.017	1.000
	0.3766	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	1.097	1.007	1.967	0.944
350		$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	78.941	56.353	39.753	30.767
	0.0191	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	1.012	1.006	1.007	1.003
	0.1337	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	1.069	1.045	<u>1.030</u>	<u>1.020</u>
	0.2010	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	1.093	<u>1.051</u>	1.028	1.016
	0.2674	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	<u>1.106</u>	1.045	1.015	1.001
	0.3766	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	1.095	1.005	0.965	0.943
500		$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	141.33	80.502	56.709	43.952
	0.0191	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	1.009	1.008	1.004	1.004
	0.1337	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	1.069	1.043	<u>1.029</u>	<u>1.018</u>
	0.2010	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	1.092	<u>1.049</u>	1.029	1.017
	0.2674	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	<u>1.106</u>	1.046	1.015	1.999
	0.3766	$\frac{\tau_1^{*,0}}{\tau_1^{*,0}}$	1.093	0.996	0.964	0.943

Table 3.2. The range of the values of the parameters  $H$ ,  $D^*$  and  $\Phi_{50}$  was chosen in order to estimate approximately the maximum of the relationship between  $\tau_1^*/\tau_1^{*,0}$  and  $\Phi_{50}$  (see also fig. 3.9) as a function of  $H$  and  $D^*$ . The different maximum values thus obtained are underlined in the table. Obviously the value of  $\Phi_{50}$  where the maximum value of  $\tau_1^*/\tau_1^{*,0}$  appears, as well as the numerical value of this maximum, merely depend on  $D^*$ .

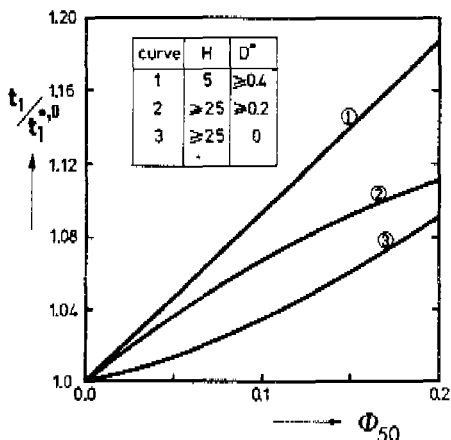


Fig. 3.11. The ratio  $t_1^*/t_1^{*0}$  ( $=f_1$ ), being the ratio of dimensionless oxygenation time  $t_1^*$  and the dimensionless oxygenation time at a step-shaped saturation curve  $t_1^{*0}$  as a function of  $\phi_{50}$ . The curves shown hold approximately within  $\pm 0.01$  for the following range of parameter values: 1)  $H = 5$ ,  $D^* \geq 0.4$ ; 2)  $H \geq 25$ ,  $D^* > 0.2$ ; 3)  $H \geq 25$ ,  $D^* = 0$ . This figure is analogous to fig. 3.9 where, however, only one value of  $H$  and two values of  $D^*$ , but a much wider range of  $\phi_{50}$  values are considered.

#### 3.4.4 The dependency of real oxygenation time on physical parameters

The course of the real oxygenation is not explicitly evident from the dimensionless parametric analysis applied so far. The value of  $P_{50}$  determining the position of the real saturation curve ( $S$  versus  $P$ ) is (linearly) related to  $\phi_{50}$  only; the same holds for  $D_H$  with respect to  $D^*$ . Hence the influence of these physical parameters on oxygenation versus time can be demonstrated directly from the dimensionless parametric analysis. However, the other physical parameters involved are related to more than one dimensionless parameter. The oxygen binding capacity  $h$  occurs in both  $H$  and  $D^*$ ; the boundary condition  $P_1$  in  $H$ ,  $D^*$  and  $\phi_{50}$ ; the diffusion coefficient of oxygen  $D_c$  in  $\tau^*$  and  $D^*$ ; the solubility  $\alpha$  in  $H$  and  $D^*$ .

From the results of the parametric analysis the relationship between real oxygenation time  $t_1$  and the physical parameters can now be explicated. Thus we get

$$\frac{t_1}{d^2} = f_1 \left( \frac{P_{50}}{P_1}, \frac{hS_1}{\alpha P_1}, \frac{hS_1 D_H}{\alpha D_C} \frac{1}{P_1} \right) f_2 \left( \frac{hS_1 D_H}{\alpha D_C} \frac{1}{P_1}, \frac{hS_1}{\alpha D_C} \frac{1}{P_1} \right)$$

(3.24)

where  $f_1$  is a function of  $\phi_{50}$ ,  $H$  and  $D^*$  as given in fig. 3.11, and  $f_2$  is a function of  $D^*$  alone as was shown in fig. 3.8.

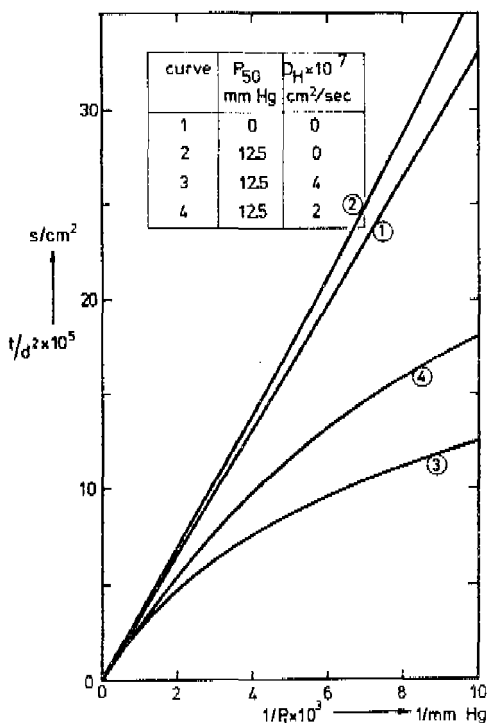


Fig. 3.12. The ratio of real oxygenation time and the square of layer thickness as a function of the reciprocal value of the boundary condition  $P_1$ , and the influence of both saturation curve and diffusion of oxyhemoglobin on this relationship.  $h = 1.24 \cdot 10^{-3}$  mol/l ( $[Hb] = 20\%$ ),  $\alpha = 1.53 \cdot 10^{-6}$  mol/l/mm Hg,  $D_C = 1.2 \cdot 10^{-5}$   $\text{cm}^2/\text{s}$ ,  $P_{50} = 12.5$  mm Hg. 1) no influence of saturation curve ( $\phi_{50} = 0$ ) and  $D_H = 0$ ; 2) influence of saturation curve and  $D_H = 0$ ; 3) influence of saturation curve and  $D_H = 4 \cdot 10^{-7}$   $\text{cm}^2/\text{s}$ ; 4) as curve 3 but  $D_H = 2 \cdot 10^{-7}$   $\text{cm}^2/\text{s}$ .



The small deviation of the intercept of the plot of  $t_1^{*,0}$  versus  $H$  from the origin has been neglected (fig. 3.7). In fact, however,  $P_1$  is the only parameter that can be varied experimentally without influencing other physical parameters.

It is clear from eq. 3.24 that the experimental study of the relationship between  $t_1/d^2$  and  $1/P_1$  of a certain hemoglobin solution can provide quantitative information on the physical parameters involved. In this regard the following conclusions may be drawn from eq. 3.24 as demonstrated in fig. 3.12 (except conclusion 1):

- 1) Apart from the quantity  $f_1$  the solubility  $\alpha$  and the diffusion coefficient  $D_C$  are only relevant as a product, being the permeability. However,  $f_1$  as such has only a minor influence on  $t_1$  (fig. 3.11), and moreover the influence of  $\alpha$  on  $f_1$  through  $H$  is very small. Consequently the permeability  $\alpha D_C$  should be considered as the physical parameter to be determined from the oxygenation experiments rather than  $D_C$ .
- 2) If a) no influence of the saturation curve on the oxygenation process exists,
  - b) the hemoglobin molecules are immobile, and
  - c)  $P_1$  is varied such that  $S_1$  remains unity,
 then the relationship between  $t_1/d^2$  and  $1/P_1$  will be linear (curves 1 and 2 in fig. 3.12).
- 3) The influence of the saturation curve, while still assuming  $D_H = 0$ , leads to a concave shape of the  $t_1/d^2$  versus  $1/P_1$  curve (curve 2 in fig. 3.12).
- 4) The carrier facilitation as a result of the diffusion of hemoglobin leads to a convex shape of the  $t_1/d^2$  versus  $1/P_1$  curve (curves 3 and 4 in fig. 3.12).

From eq. 3.24 it may be concluded that, in case  $S_1$  is known from  $P_1$ , two groups of parameters can be estimated from an experimental curve like 3 or 4 in fig. 3.12

$$Z_1 = \frac{h}{\alpha D_C} \quad \text{and} \quad Z_2 = \frac{h}{\alpha D_C} D_H \quad (3.24.a)$$

The information concerning  $Z_1$  is contained in the scale value of the vertical axis, the information concerning  $Z_2$  is contained in the curvature of the graph. It is important to note that the ratio of both expressions results in a value of  $D_H$  independent of both  $h$  and  $\alpha D_C$ .

According to eq. 3.24 the value of  $h/\alpha D_C$  can be estimated from the slope of the curve at the origin because in the neighbourhood of this point  $f_1 \rightarrow 1$  and  $f_2 \rightarrow 0.5$ . Using figs. 3.7, 3.8 and 3.11, the value  $D_H$  can be estimated from experimental points at higher values of  $1/P_1$  (see also chapter 5).

### 3.5 The "polygonal approximation"

#### 3.5.1 Definition and equations

When aiming only at an estimate of the values of physical constants, in general sophisticated theoretical models are not efficient with regard to computer time. From this point of view the polygonal approximation of the saturation curve, as presented by Curl and Schultz (1973), has been chosen here although the authors have not offered a complete evaluation of their approximation method. Moreover, the parameters chosen seem unnecessarily complex. For this reason and considering its importance for parametric estimation this approximation will now be reviewed briefly. For a detailed description see Appendix C.

In the polygonal approximation the saturation curve is represented by two straight lines. The first line originates from the point ( $\psi=\phi=0$ ) and intercepts the second line originating from the point ( $\psi=\phi=1$ ) at ( $\psi=\psi_c$ ,  $\phi=\phi_c$ ). According to the half-infinite layer model  $\psi$  and  $\phi$  are explicit functions of  $\eta$ . Hence there will exist a value  $\eta_c$  where  $\psi = \psi_c$  and  $\phi = \phi_c$ . So the half-infinite layer may be divided into two regions,  $0 \leq \eta \leq \eta_c$  and  $\eta_c \leq \eta$  respectively. In both regions the second derivative  $d^2\psi/d\eta^2=0$ , thus according to eq. 3.21 the following differential equation holds

$$A \frac{d^2\phi}{d\eta^2} - \frac{1}{2} \eta B \frac{d\phi}{d\eta} = 0 \quad (3.25)$$

where

$$A = 1+D^* \frac{d\psi}{d\phi} \quad ; \quad B = 1+H \frac{d\psi}{d\phi} \quad (3.26)$$

The parameters A and B have different values in each different region, and hence for  $0 \leq \eta \leq \eta_c$  referred to as region I,  $A = A_1$  and  $B = B_1$ , for  $\eta_c \leq \eta$  referred to as region II,  $A = A_2$  and  $B = B_2$ .

The general solution of eq. (3.25) is

$$\phi = E \operatorname{erf} \left( \frac{\eta}{2} \sqrt{\frac{B}{A}} \right) + K \quad (3.27)$$

E and K are integration constants the values of which are to be determined from the boundary conditions. For region I these conditions are

$$\eta = 0 \quad ; \quad \phi = 1, \quad \eta = \eta_c \quad ; \quad \phi = \phi_c$$

so

$$K_1 = 1 \quad , \quad E_1 = (\phi_c - 1) / \operatorname{erf}\left(\frac{\eta_c}{2} \sqrt{\frac{B_1}{A_1}}\right) \quad (3.28)$$

For region II the boundary conditions are

$$\eta \rightarrow \infty \quad ; \quad \phi \rightarrow 0 \quad , \quad \eta = \eta_c \quad ; \quad \phi = \phi_c \quad (3.29)$$

so

$$E_2 = -K_2 \quad , \quad E_2 = \frac{\phi_c}{\operatorname{erf}\left(\frac{\eta_c}{2} \sqrt{\frac{B_2}{A_2}}\right) - 1}$$

Since  $\eta_c$  is still unknown one more equation is needed. This equation follows from the condition that the total oxygen fluxes at the interface between regions I and II as calculated from the conditions in the different regions should be equal. Hence we get

$$A_1 \left(\frac{d\phi}{d\eta}\right)_{\eta=\eta_c, \text{ region I}} = A_2 \left(\frac{d\phi}{d\eta}\right)_{\eta=\eta_c, \text{ region II}} \quad (3.30)$$

This relationship leads to the following implicit equation for  $\eta_c$

$$\frac{\phi_c}{1 - \phi_c} \sqrt{\left(\frac{A_2}{A_1} \frac{B_2}{B_1}\right)} = \exp\left(\left(\frac{\eta_c^2}{4} \left(\frac{B_2}{A_2} - \frac{B_1}{A_1}\right)\right)\right) \frac{1 - \operatorname{erf}\left(\frac{\eta_c}{2} \sqrt{\frac{B_2}{A_2}}\right)}{\operatorname{erf}\left(\frac{\eta_c}{2} \sqrt{\frac{B_1}{A_1}}\right)} \quad (3.31)$$

Thus  $\phi$  and  $\psi$  are known for all values of  $\eta$  and next the quantity  $\bar{\psi}$  may be found by integration of  $\psi$  (see Appendix C).

### 3.5.2 Evaluation of the polygonal approximation

The polygonal approximation belongs to the so-called class of moving boundary models to be discussed in more detail in chapter 5.

In the case of section 3.5.1 the results of the polygonal model depend on the choice of the approximation of the saturation curve. Curl and Schultz suggested as a reasonable procedure to qualitatively minimize the area between the saturation curve and the straight line segments. However, this suggestion appears to be far too optimistic as is clearly demonstrated by the straight line approximation of curve 3 in fig. 3.10. The computer solution for this curve 3 yields  $t_1^* = 12.7$  ( $H = 100$ ,  $D^* = 3$ ) whereas the straight line approximation yields in this case  $t_1^* = 19.8$ .

In selecting an approximation of the saturation curve apparently first the influence of the saturation curve on the oxygenation process has to be known. Considering fig. 3.9 ( $t_1^*$  versus  $\phi_{50}$ ), probably the saturation curve has to be approximated by more than two lines (which is mathematically possible) in the region where  $t_1^*$  decreases with increasing  $\phi_{50}$ . However, for reasons explained in section 3.4.2, the  $\phi_{50}$  values of interest for the experiments have been chosen such that the concave part of the saturation curve dominates. For this region of  $\phi_{50}$  the polygonal approximation of the saturation curve has been chosen such that  $\psi_c$  always equals 1. Then for a particular dimensionless saturation curve characterized by its value at  $\phi_{50}$  ( $= 0.1337$ ) and arbitrarily chosen values of  $H(=100)$  and  $D^*(=0.6)$ , the value for  $\phi_c$  ( $=0.2945$ ) was estimated such that the quantity  $t_1^*$  calculated according to the Runge-Kutta numerical integration method equals the value calculated from the polygonal approximation.

To evaluate the applicability of the polygonal approximation the same parameter analysis has been performed as used in table 3.1. A change of the value of  $\phi_{50}$  was replaced by a proportional change of  $\phi_c$ . The numerical agreement of both parameter analyses is within 1% over a wide range of parameter values for  $\phi_{50}$  and  $D^*$ . This range is included in table 3.1. Hence, the polygonal approximation may be applied successfully for the interpretation of the experimental results.

### 3.6 Estimation of the influence of finite reaction velocity on the oxygenation process of a non-moving layer

#### 3.6.1 Literature dealing with theoretical models including reaction velocity

In view of the gas exchange of the red cell the mathematics of oxygen and carbon monoxide absorption by thin layers of hemoglobin including reaction velocity, as well as the related problem of oxygen uptake by carboxyhemoglobin (HbCO) have received much attention by Roughton (1932), Nicholson and Roughton (1951), and Klug, Kreuzer and Roughton (1956). The reactions were assumed to be of first order, characterized by a forward reaction velocity constant  $k'$  and a backward reaction velocity constant  $k$ . Approximate solutions were obtained mostly by neglecting the back reaction. Sometimes the diffusion of hemoglobin and/or the influence of membranes bounding the layers were considered. For a comprehensive survey of the results of these studies the reader is referred to Roughton (1959).

Mochizuki and Fukuoka (1958) considered the oxygen uptake by the red cell surrounded by a plasma layer. Their model was one-dimensional too. The reaction scheme used was of first order, but  $k$  was varied and made to depend on saturation and  $k'$  in such a way that at equilibrium the first-order reaction equation resulted in a sigmoid shape. Hemoglobin diffusion was neglected. All methods discussed so far are of a combined analytical-numerical nature and appear to be quite complex.

Moll (1968) presented computer solutions for the oxygen uptake by layers of hemoglobin solution of finite thickness obtained by a finite-difference method. The objective of his study was to predict the rate of oxygen uptake and release by the red cell. The reaction velocity was taken into account in the same way as Mochizuki and Fukuoka (1958) had done. The results were presented only in terms of a change of average saturation as a function of time. A limited number of cases relevant to our problem were considered, i.e. (half) layer thicknesses of 0.8  $\mu\text{m}$  and 1.8  $\mu\text{m}$ , only one value of  $[\text{Hb}]$  (33 g%),  $D_C$  and  $k'$ , two values of  $D_H$  (0 and  $4.5 \cdot 10^{-8} \text{ cm}^2/\text{s}$ ), and two values of  $P_1$  (40 and 100 mm Hg) though the lower value of  $P_1$  was considered only in connection with the layer of 1.8  $\mu\text{m}$ . Moll showed for the layer thickness of 0.8  $\mu\text{m}$  that until the initial saturation increase is 35% the diffusion of hemoglobin has no influence, indicating that in the first stage of oxygenation a sufficient gradient of saturation is not yet present. For the case

of the 1.6  $\mu\text{m}$  layer this limit is seen at 10% initial saturation increase.

Kutchai (1970) presented numerical results using a first-order reaction model but accepted the consequence of a hyperbolic saturation curve. He studied only one hemoglobin concentration (33 g%) and one value of  $P_1$  (100 mm Hg) while, however, including a wider range of layer thicknesses (0.25  $\mu\text{m}$  to 10  $\mu\text{m}$ ) as well the influence of  $D_H$ ,  $D_C$  and  $k'$ . He also studied the effect of the diffusion of hemoglobin on half-saturation time ( $t_{1/2}$  = time where  $S = 0.5$ ) as a function of layer thickness. There is no reduction in  $t_{1/2}$  for the layer of 0.125  $\mu\text{m}$  but with increasing layer thicknesses the facilitating effect increases to become constant with layer thicker than 2.5  $\mu\text{m}$ . According to the chemical equilibrium model the reduction in oxygenation time by carrier facilitation should be independent of layer thickness. Hence one might conclude that 2.5  $\mu\text{m}$  is the minimum layer thickness that allows applying the chemical equilibrium model. As has been shown before in this chapter, the chemical equilibrium model results in a linear relationship between  $\psi$  and  $\sqrt{t}$  over a wide range of  $\psi$ . In order to test this relationship from Kutchai's results it was tried to fit, by means of the method of least squares, the data of his table 2 with  $\psi$  between 0.2 and 0.95 to the model curve;  $\psi = pt^q$  (see also chapter 6). This curve fitting appeared to be satisfactory for  $d = 1.8 \mu\text{m}$ , although the time for  $\psi = 0.108$  appears to be too large as also shown by Garred (1975). The value of  $q$  had to be 0.5 but was actually found for various layer thicknesses to be:

$d = 1.8 \mu\text{m}$ ,  $q = 0.502 \pm 0.005$ ;  $d = 2.5 \mu\text{m}$ ,  $q = 0.485 \pm 0.006$ ;  $d = 5 \mu\text{m}$ ,  $q = 0.469 \pm 0.0013$ ;  $d = 10 \mu\text{m}$ ,  $q = 0.464 \pm 0.014$  (values of  $q \pm \text{S.D.}$ ). So in fact the numerical procedure of Kutchai leads to questionable results at larger layer thicknesses.

Garred (1975) compared Moll's results with a chemical equilibrium model and showed that the time needed to obtain a saturation of 10% calculated with the model including reaction velocity (2 ms) is five times higher than when calculated with the equilibrium model (0.4 ms). At a saturation of 90% this time difference is only 10% (41 ms versus 37 ms). Garred (1975) also repeated the calculations of Kutchai with a different numerical technique, and compared his results with those obtained when assuming chemical equilibrium. From a comparison of both

models he showed that for the parametric values the time for reaching a degree of oxygenation calculated with the transfer model including reaction velocity ( $= \tau_{r,d}$ ) equals the sum of the time obtained from the reaction velocity equation ( $= \tau_r$ ) and the time resulting from the equilibrium transfer model ( $= \tau_d$ ). He also concluded to a limit of 2.5  $\mu\text{m}$  layer thickness above which chemical equilibrium may safely be applied under these conditions. The results of Garred with the finite reaction velocity model, however, differ significantly from Kutchai's results concerning the time for a 10% initial saturation increase.

Thus a sufficiently complete parametric analysis with models including reaction velocity is lacking. However, from the papers cited it is likely that for a given hemoglobin solution with a certain boundary value  $P_1$  a layer thickness  $d_m$  can be defined in such a way that for  $d > d_m$  the finite slab model with chemical equilibrium results in the same temporal course of oxygenation as does a model which accounts for reaction velocity (see section 3.6.5 below). The remainder of this section will be concerned with elucidating the applicability of the equilibrium assumption and defining a relationship between  $d_m$  and  $h, P_1, D_C$  and reaction velocity constants for the models used here.

There exist three marked differences between the transfer models including chemical reaction and those assuming equilibrium. The first two differences (sections 3.6.2 and 3.6.3) refer to the boundary conditions and the third one (section 3.6.4) deals with the reaction throughout the whole layer.

### 3.6.2 Delay time in the boundary saturation

Finite reaction velocity means that for  $x = 0$  and  $t = 0$ ,  $P = P_1$  and  $S = 0$  rather than  $S = S_1$  for chemical equilibrium. Even if chemical equilibrium would be possible at the gas-fluid interface (see section 3.6.3) some time would be required for  $S_1$  to be reached. It is relatively easy to estimate the delay time in the boundary condition for  $S$ . The simplified reaction velocity equation of Moll and Garred will be adopted. The rate of uptake of oxygen ( $R_C$ ) by hemoglobin is assumed to be

$$-R_C = k_f h (C - C^e)(1 - S) \quad (3.32)$$

where  $k_f$  = reaction velocity constant, 1/mol/s

$h$  = oxygen binding capacity of hemoglobin, mol/l

The relationship between  $S$  and  $C^e$  is represented by the equilibrium saturation curve.

From eqs. 2.15 and 2.16, when neglecting diffusion and convection, it follows

$$-R_C = R_S h = \frac{dS}{dt} h \quad (3.33)$$

and hence  $C = C_1$  at  $x = 0$ ,

$$\frac{dS}{dt} = k_f (C_1 - C^E)(1-S) \quad (3.34)$$

Numerical solutions of 3.34 can easily be obtained by writing 3.34 in an integral form

$$\int_0^S \frac{1}{(C_1 - C^E)(1-S)} dS = k_f t \quad (3.35)$$

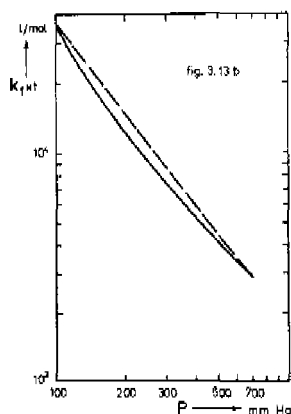
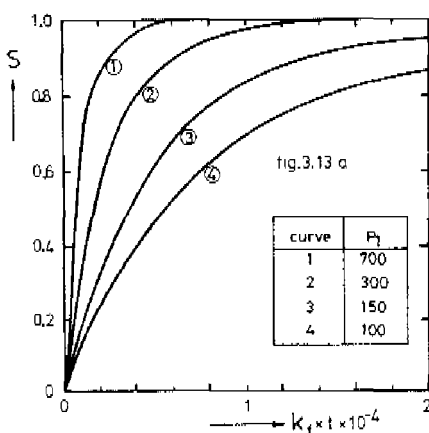


Fig. 3.13a. Saturation increase at the gas-hemoglobin solution interface as a function of  $k_f t$  where  $k_f$  is the forward reaction velocity constant. The value of  $k_f$  is assumed to be  $3.5 \cdot 10^6$  l/mol/s. Hence in case  $P_1 = 700$  mm Hg the boundary condition  $S_1$  at the gas-liquid interface has a delay time in the order of 1 ms.

Fig. 3.13b. The solid curve shows the value of  $k_f t$  needed for the saturation to reach an arbitrary value of 0.941 as a function of  $P_1$ . The broken line is an arbitrarily chosen upper limit of the solid curve.



Results are shown in fig. 3.13a with  $P_1$  as a parameter. Obviously the velocity of the reaction is proportional to  $k_f$ , independent of the oxygen binding capacity  $h$ , and increases when  $P_1$  increases. Fig. 3.13b shows the dependency of  $(k_f t)^{0.94}$  on  $P_1$ , the value of  $k_f t$  for  $S = 94\%$  (arbitrarily chosen). Using a value of  $3.5 \cdot 10^6$  l/mol/s for  $k_f$  according to Garred we find small values (between 1 and 10 ms) for the delay time of the boundary saturation.

### 3.6.3 Contradictions between chemical equilibrium assumption and physical boundary conditions

Since application of the equilibrium model implies that not only  $S$  and  $C$  are uniquely related but also their gradients, the equilibrium model results in a flux of hemoglobin-bound oxygen away from the boundary which is physically impossible. From a purely mathematical point of view the ratio of the flux of chemically bound oxygen and the flux of physically dissolved oxygen can be written as

$$\frac{J_{\text{HbO}_2}}{J_{\text{O}_2}} = \frac{h D_H \frac{dS}{dx}}{D_C \frac{dC}{dx}} = \frac{h D_H}{D_C} \frac{dS}{dC} \quad (3.36)$$

At the high  $\text{PO}_2$  values used in the present analysis ( $P_1 \geq 150$  mm Hg)  $dS/dC$  is small and the ratio  $J_{\text{HbO}_2}/J_{\text{O}_2}$  is less than 2.5%.

From a physical point of view this reasoning is not very sound. In fact, there will probably exist a thin boundary layer at the gas-liquid interface where the saturation profile will deviate from the one calculated by the chemical equilibrium model in order to satisfy the condition of  $dS/dx = 0$  at  $x = 0$ . In case of steady-state oxygen diffusion through a stagnant layer of hemoglobin solution, such thin boundary layers have been described both theoretically (Kutchai 1970, Kreuzer and Hoofd 1970) and experimentally (Weigelt 1976). However, up to now saturation profiles in case of transient oxygen uptake have not been published.

### 3.6.4 Reaction throughout the whole layer

The models including finite chemical reaction velocity maintain a finite value of  $(C-C^e)$  as has been discussed in section 2.4. Thus the actual saturation curve ( $S$  vs  $P$ ) pertaining within the hemoglobin layer

will be a function of place (x) and time (t), but it is always shifted to the right of the equilibrium curve. The assumption of chemical equilibrium means here that the shift of the "saturation curve" is so small that it does not affect the overall result of oxygen uptake time. An indication of the value of  $(C-C^e)$  may be found from eq. 3.32 when  $R_C$  is known. In the case of equilibrium  $R_C$  follows from

$$R_C = \frac{\partial C}{\partial t} - D_C \frac{\partial^2 C}{\partial x^2} \quad (3.37)$$

For a half-infinite layer this results in

$$\xi(\eta) \equiv R_C t = -\frac{1}{2} \eta \frac{dC}{d\eta} - \frac{d^2 C}{d\eta^2} \quad (3.38)$$

Eq. 3.38 shows the product  $R_C t$  to be a function of  $\eta$  only. Combination of eqs. 3.38 and 3.32 results in

$$\Delta C = C - C^e = \frac{\xi(\eta)}{(1-S)} \frac{1}{k_f \cdot h \cdot t} \quad (3.39)$$

Fig. 3.14 shows  $\xi(\eta)/(1-S)$  as a function of  $\eta$  for two values of  $h$  and two values of  $C_1$ . The curves show a peak at a value of  $\eta$  where the oxygen saturation is about 70%. Now  $\Delta C$  will be considered at  $S = 0.5$ , defining  $\Delta C = \Delta C_{50}$  and  $\eta = \eta_{50}$ . The place where this particular shift occurs is given by

$$x_{50} = \eta_{50} \sqrt{D_C t} \quad (3.40)$$

and thus

$$\Delta C_{50} = (C - C^e)_{50} = \frac{\xi(\eta_{50}) \eta_{50}^2}{0.5} \frac{D_C}{k_f h x_{50}^2} \quad (3.41)$$

The function  $\xi(\eta_{50}) \eta_{50}^2$  was calculated for a parameter range of  $h$ ,  $P_1$  and  $D^*$  ( $2.8 \cdot 10^{-3} < h < 1.7 \cdot 10^{-2}$ ;  $150 < P_1 < 700$  mm Hg;  $0 < D^* < 0.6$ ). The influence of  $D^*$  appears to be small (12% when  $D^*$  is between 0 and 0.6). For this reason in the following  $D^* = 0$ . The influence of  $h$  on  $\xi(\eta_{50}) \eta_{50}^2$  is also small (5% difference when  $h$  ranges between  $6 \cdot 10^{-3}$  mol/l and  $1.68 \cdot 10^{-2}$  mol/l). Therefore only the factor  $C_1$  appears to be important.

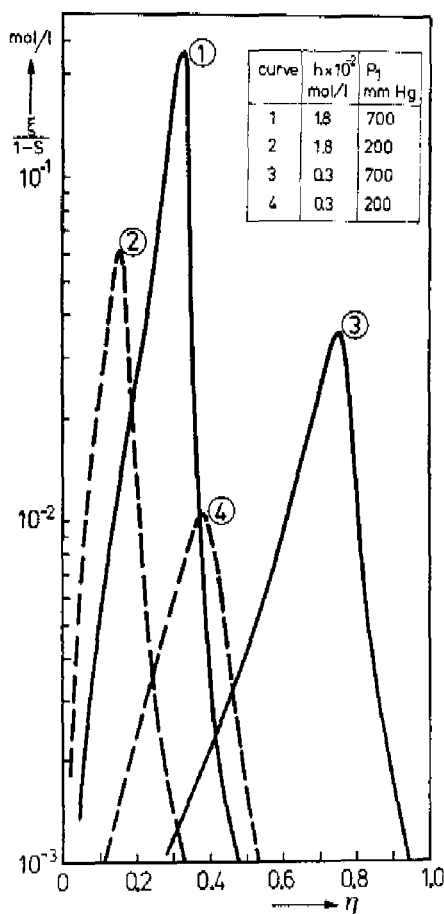


Fig. 3.14. Rate of oxygen uptake ( $R_C$ ) by hemoglobin scaled by the factor  $t/(1-S)$ , ( $\xi = R_C t$ ), in a half-infinite layer of hemoglobin solution as calculated by the model assuming chemical equilibrium. The maximum rate of oxygen uptake occurs at approximately  $S = 0.7$ .  
 1)  $h = 1.8 \cdot 10^{-2}$  mol/l,  $P_1 = 700$  mm Hg; 2)  $h = 1.8 \cdot 10^{-2}$  mol/l,  $P_1 = 200$  mm Hg; 3)  $h = 3 \cdot 10^{-3}$  mol/l,  $P_1 = 700$  mm Hg; 4)  $h = 3 \cdot 10^{-3}$  mol/l,  $P_1 = 200$  mm Hg.

Eq. 3.41 now becomes

$$\Delta C_{50} = 1.5 \cdot 10^4 \frac{D_C}{x_{50}^2} \frac{(C_1)^2}{k_f h} \quad (3.42)$$

If we interpret the influence of reaction velocity as a shift of the local saturation curve at place  $x$ , eq. 3.42 provides a quantification of the "near-equilibrium condition" of the oxygenation process.

### 3.6.5 Minimal layer thickness allowing chemical equilibrium assumption

Eq. 3.42 is a scaling formula for the half-infinite equilibrium model but does not give explicit information on the influence of finite reaction velocity on  $t_1^*$ . A scaling number for this may be obtained, in case hemoglobin is not mobile, by substituting eq. 3.32 into eq. 3.37 and making the resulting equation dimensionless with regard to time and place according to eqs. 3.5 and 3.6. This results in a dimensionless number  $k^*$  (analogous to Danköehler (1937) and Thiele number (1939))

$$k^* = \frac{k_f h d^2}{D_C} \quad (3.43)$$

This number presents a relationship between layer thickness  $d$ , reaction velocity constant, oxygen binding capacity, and diffusion coefficient of oxygen. For identical values of  $C_1$  and  $k^*$  eq. 3.37 provides identical results and hence in this situation  $d_m$ , the minimal layer thickness allowing the chemical equilibrium assumption, can be defined by  $k^*$ . However, a mathematical expression is needed for  $d_m$  in which  $P_1$  and  $D_H$  are incorporated too.

In order to obtain such an expression, it is obvious to use the ratio  $t_r/t_d$  ( $\approx t_r/t_{r,d}$  at small ratios,  $t_r$  = oxygenation time due to chemical reaction,  $t_d$  = oxygenation time assuming chemical equilibrium,  $t_{r,d}$  = oxygenation time when both reaction velocity and diffusion are taken into account). According to the broken line of fig. 3.13b and eq. 3.24 we obtain

$$\frac{t_r}{t_d} \approx \frac{1.5 \cdot 10^7}{P_1^{1.3} k_f} \frac{\alpha D_C P_1}{f_1 f_2 h_1 d^2} \quad (3.44)$$

From Garred's calculations one may deduce a value of 0.03 for the ratio  $t_r/t_d$ , to be small enough to obtain identical results from the models with and without assumption of chemical equilibrium, at least for the parameter values used by him ( $P_1 = 100$  mm Hg,  $[Hb] = 33$  g%). Hence eq. 3.44 may be rewritten in order to calculate  $d_m$

$$d_m = \sqrt{\frac{5 \cdot 10^8}{P_1 \cdot 0.31 \cdot k_f} \cdot \frac{\alpha D_C}{f_1 f_2 h S_1}} \quad (3.45)$$

Obviously the significance of this equation depends on the assumption that  $t_r/t_d = 0.03$  is small enough to apply when using boundary conditions and hemoglobin concentrations different from those used by Garred. However, when substituting the most unfavourable parameter values with respect to the experimental conditions chosen ( $h = 10^{-3}$  mol/l,  $D_C = 2 \cdot 10^{-5}$  cm<sup>2</sup>/s,  $f_1 = 1$ ,  $f_2 = 2$ ,  $\alpha = 1.5 \cdot 10^{-6}$  mol/l/mm Hg,  $S_1 = 1$ ,  $P_1 = 100$  mm Hg,  $k_f = 3.5 \cdot 10^6$  l/mol/s)  $d_m$  shows the value of 23  $\mu$ m which is below the minimum value of 50  $\mu$ m as applied in the experiments.

### 3.7 Discussion of the models of oxygen transfer in non-moving layers of hemoglobin solutions

The parametric analysis given in section 3.4 applies to a situation where chemical equilibrium may be assumed throughout the whole hemoglobin layer. Three dimensionless parameters could be defined:

- 1) Oxygen concentration ratio  $H$ , being the ratio of binding capacity and dissolving capacity of a hemoglobin solution under the boundary conditions present;
- 2) Oxygen flux ratio  $D^*$ , being the ratio between the diffusion fluxes of bound and dissolved oxygen;
- 3)  $\phi_{50}$ , the ratio  $P_{50}/P_1$ , characterizing the dimensionless saturation curve.

This dimensionless analysis has proved to be very useful. In the first place the number of parameters could be reduced to a minimum, making it relatively easy to compare the results of the three models:

- 1) finite layer thickness,
- 2) half-infinite layer thickness, and
- 3) polygonal approximation of model 2.

By comparing the half-infinite and finite layer model, the oxygenation process could be described by a single number ( $\tau_1^*$ ), thus simplifying the presentation of numerical results. Yet the study of a system controlled by three parameters can be very complex. Fortunately the influence of  $\phi_{50}$  appeared to be minor and, even better, it proved to be uniform over a wide range of values of H and  $D^*$  ( $H \geq 25$ ,  $D^* \geq 0.2$ ). Moreover  $\tau_1^{*,0}$  appeared to be a linear function of H for all values of  $D^*$  studied (at  $\phi_{50} = 0$ ). Thus the influence of  $D^*$  on  $\tau_1^{*,0}$  could be expressed by the slope of the  $\tau_1^{*,0}$  versus H curves. As a result of this the complete parametric analysis in terms of  $\tau_1^{*,0}$  can be represented by three simple diagrams (figs. 3.7, 3.8 and 3.11). From the analysis of the influence of the dimensionless saturation curve on oxygenation time a lower limit of  $P_j$  relative to  $P_{50}$  could be defined such that accurate knowledge concerning the position and shape of the saturation curve is not required. The analysis of the relationship between oxygenation time and physical parameters resulted in some important conclusions:

- 1) The oxygen permeability  $\omega D_C$  rather than the diffusion coefficient  $D_C$  is the physical parameter to be determined;
- 2) Accurate knowledge of oxygen solubility is not required in order to estimate other parameters from the experimental results;
- 3) The diffusion coefficient of hemoglobin can be estimated without knowing the oxygen binding capacity of the solution.

The present thesis does not aim at studying the influence of reaction velocity on the oxygen uptake of layers of hemoglobin in a fundamental manner. Partly based on the parametric analysis of the chemical equilibrium model some ideas have been developed as to how to visualize the influence of finite reaction velocity. However, for sufficiently large values of x the concept of explaining the deviation from chemical equilibrium by a local shift of the saturation curve is probably correct. The number  $\Delta C_{50}$  then appears to be a real scaling factor. However, it describes only local phenomena and does not give information on the behavior of an integral phenomenon such as the course of oxygenation as a function of time. Yet, based on the work of Garred (1975), a minimum layer thickness allowing chemical equilibrium could be defined. Using the most unfavorable parameter values this minimum thickness amounts to 23  $\mu\text{m}$  which is safely below the minimum thickness used in the present experiments.

## CHAPTER 4

### EXPERIMENTAL METHOD FOR INVESTIGATION OF THE NONSTATIONARY OXYGENATION PROCESS

#### 4.1 Experimental principles and set-up

##### 4.1.1 Optical method for measuring both change in oxygenation and layer thickness

According to the physical model developed in section 3.1 a layer of deoxygenated hemoglobin solution (thickness between 50 and 300  $\mu\text{m}$ ) is spread over a leveled glass plate. This layer is abruptly contacted with a gaseous atmosphere having a known oxygen partial pressure  $P_1$ .

The oxygen saturation of the layer increases with time up to its maximally possible value (depending on  $P_1$ ). This process of oxygenation is measured by recording the change in absorption of light at a wavelength of 670 nm (bandwidth 40 nm) from a parallel beam of light transmitted perpendicularly through the layer.

According to the law of Lambert-Beer the intensity of the light beam as detected by the light sensor is

$$\log I = \log I_a - (\epsilon_0 (1-\bar{S}) + \epsilon_1 \bar{S})bd \quad (4.1)$$

$I$  = intensity of the light after passing the layer )\*

$\epsilon_0$  = extinction of deoxygenated hemoglobin solution

$\epsilon_1$  = extinction of oxygenated hemoglobin solution

$\bar{S}$  = average oxygen saturation of the layer

$bd$  = product of hemoglobin concentration and layer thickness

$\log I_a$  = apparatus constant

The value of  $\log I_a$  depends on the intensity of the light emitted by the light source, reflection of light at the optical interfaces, and absorption or scattering of light by species other than oxyhemoglobin or deoxyhemoglobin molecules. In case the optical path and the physical constants of the media remain constant  $\log I_a$  will also be constant.

Before oxygen is supplied to the layer obviously  $\bar{\psi} = 0$  ( $S=S_1$ ), and after completing the oxygenation process the situation is characterized by  $\bar{\psi} = 1$  ( $S=S_1$ ). Hence according to eq. 4.1

)\* actually  $I$  is the attenuation of light, hence dimensionless.

$$\log I_1 = \log I_a - (\epsilon_0(1-S_1) + \epsilon_1 S_1)bd \quad (4.2)$$

$$\log I_0 = \log I_a - (\epsilon_0(1-S_1) + \epsilon_1 S_1)bd \quad (4.3)$$

where  $I_1$  = intensity of light at  $\psi = 1$ .

$I_0$  = intensity of light at  $\psi = 0$ .

From eqs. 5.1 to 5.3 the oxygen saturation during the oxygenation process is obtained from the relationship

$$\bar{\psi}(t) = \frac{\log (I(t)/I_0)}{\log (I_1/I_0)} \quad (4.4)$$

where  $I(t)$  = intensity of light at time  $t$ .

From eqs. 4.2 and 4.3 a relationship is found from which the layer thickness can be calculated, assuming no geometrical changes to occur during the oxygenation process

$$d = \frac{\log (I_1/I_0)}{(\epsilon_0 b - \epsilon_1 b)(S_1 - S_1)} \quad (4.5)$$

The apparatus constant does not appear in eqs. 4.4 and 4.5. When using monochromatic light the extinction coefficients of the various forms of hemoglobin are well known (e.g. van Assendelft 1970). However, in the present investigation the light is far from being monochromatic and moreover hemoglobin solutions usually contain some inactive species such as methemoglobin. Hence both  $\epsilon_0$  and  $\epsilon_1$  may differ significantly from the extinctions of pure deoxy- and oxyhemoglobin at  $\lambda = 670$  nm. Consequently the absorption coefficients  $\epsilon_0 b$  and  $\epsilon_1 b$  have to be measured separately in order to be able to determine the layer thickness.

#### 4.1.2 Measurement of absorption coefficients by the wedge method

The principle of the design of the wedge cuvette is shown in fig. 4.1. Two plan-parallel glass plates (length 7 cm) are arranged at a small but well-known angle of which the tangent ( $\mu$ ) is between  $3 \cdot 10^{-3}$  and  $9 \cdot 10^{-3}$ . A mechanical device scans the same light beam as applied in the oxygenation measurements across the wedge.

At a position  $x$  of the beam relative to a point of reference the length of the optical path within the wedge equals  $\mu x$ , and according



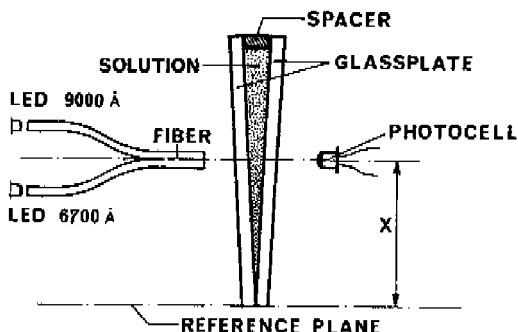


Fig. 4.1. Outline of the wedge method for determining light absorption coefficients at the two different wavelengths of the oximeter (see section 4.1.4). The wedge cuvette is constructed of two plane-parallel glass plates arranged at a small but well-known angle. The light of two Light Emitting Diodes (LED) is conducted by fiber optics to pass through the hemoglobin layer and is received by a photocell (a light-sensing diode). A mechanical device scans the optical system (fiber end and photocell) across the wedge. The light absorption coefficient  $\epsilon b$  follows from eq. 4.6.

to eq. 4.1 the light intensity as a function of  $x$  is given by

$$\log I(x) = \log I_a - \epsilon b \mu x \quad (4.6)$$

where  $\log I_a$  = apparatus constant

$\mu$  = tangent of the wedge angle

$x$  = position of the beam relative to a point of reference

$\epsilon b$  = absorption coefficient

Thus when plotting  $\log I(x)$  versus  $\mu x$  the absorption coefficient can be readily found.

Application of a "van Abbe" length measuring instrument, as commonly used in metrology, allows measuring of  $\mu x$  with an accuracy of approximately  $\pm 1.0 \mu\text{m}$  on the outside of the wedge.

#### 4.1.3 Description of the diffusion chamber and basic experimental procedure

Fig. 4.2a shows a cross-section of the diffusion chamber as well as

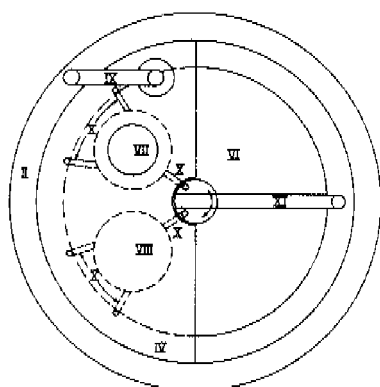


fig. 4.2b

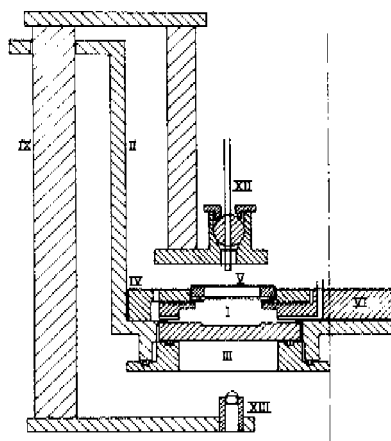


fig. 4.2a

Fig. 4.2(a,b). Outline of the experimental apparatus. Fig. 4.2a shows a cross-section and fig. 4.2b presents a view from the top. For an overall view of the apparatus refer to picture 2.

- I diffusion chamber;
- II cylindrical housing of the diffusion chamber;
- III step-shaped glass plate to carry the hemoglobin layer (see also picture 1 and fig. 4.5);
- IV top plate, mounted rigidly on the bottom of the housing;
- V double glass upper window;
- VI teflon turntable provided with two chambers;
- VII nitrogen gas chamber;
- VIII oxygen gas chamber;
- IX swivel arm on which both the optical fiber and the photocell are mounted;
- X inlet and outlet channels for the gases;
- XI arm for turning the teflon table;
- XII end of the fiber mounted in a ball-joint, allowing to adjust the direction of the lightbeam;
- XIII photocell.

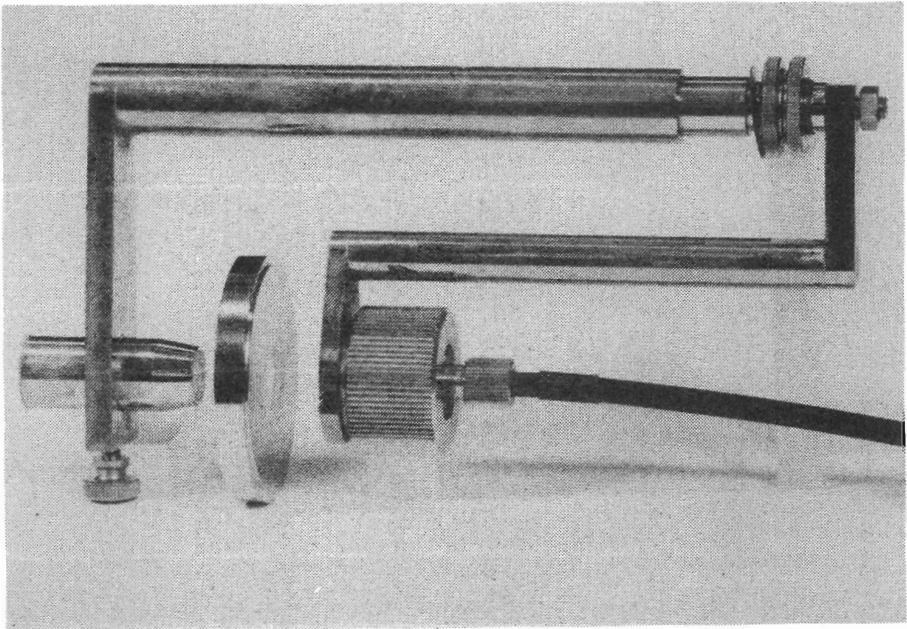
its housing and the relevant part of the optical system. Fig. 4.2b presents a view from the top.

The diffusion chamber (I) is housed in a metal cylinder (II) of 14.5 cm diameter and basically consists of three parts:

- 1) a profiled glass plate (III) to carry the hemoglobin sample and being mounted at the bottom of the cylinder;
- 2) a top plate (IV) containing a double glass window (V) designed to be easily mountable after a hemoglobin layer has been spread;
- 3) a turntable (VI) made of teflon between the bottom of the cylinder and the top plate. This table is provided with two holes to act as gas chambers for nitrogen (VII) and oxygen (VIII) respectively.

By appropriate design and careful matching, leakage between gas spaces and outer atmosphere can be minimized. Inlet and outlet ports permit flushing with gas. By turning the table the hemoglobin film can be exposed either to nitrogen or to oxygen. The change from nitrogen to oxygen can thus be achieved virtually as a step function.

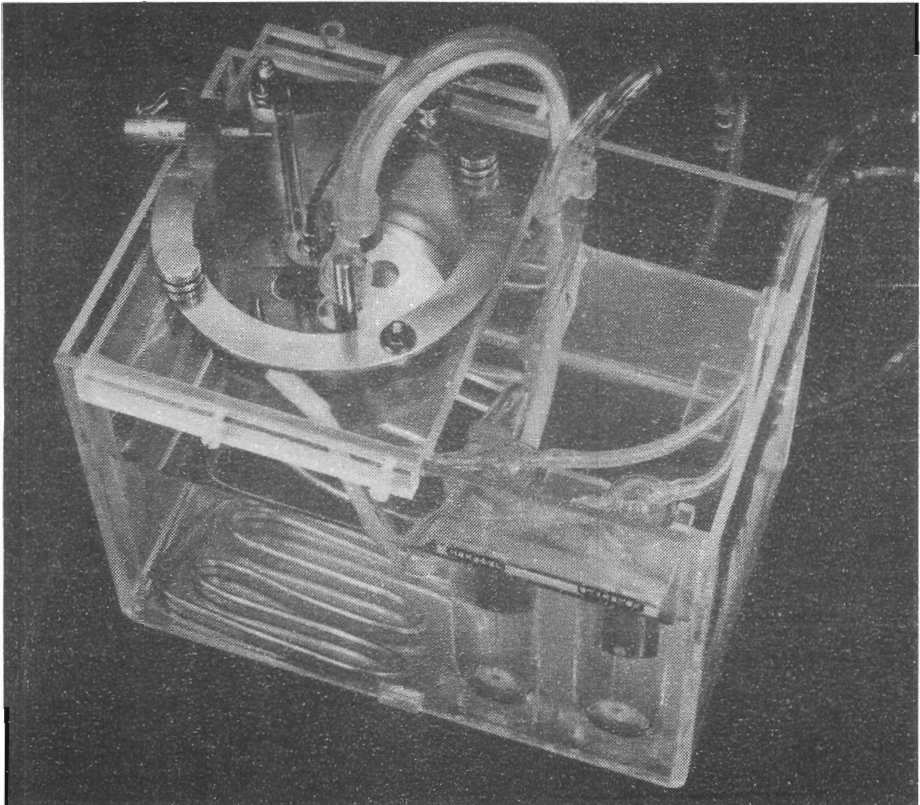
The optical system consisting of an optical fiber and a sensing diode is mounted on a swivel arm (IX) (see also picture 1), thus allowing either to spread the hemoglobin layer or to clean the glass plate.



*Picture 1. This picture shows the swivel arm on which both the optical fiber and the photocell are mounted, and the step-shaped sample holder. The outline of this picture is shown in fig. 4.2a.*

Moreover, an angle-measuring device makes it possible to check the degree of uniformity of the layer thickness. This design provides an identical optical apparatus constant for both the "nitrogen" and "oxygen" situation of the device.

The gas mixtures are prepared from gas tanks, containing 5% CO<sub>2</sub> plus 95% nitrogen or 95% oxygen or air, by means of a gas mixing pump (Wösthoff). The accuracy of the mixing process is within 0.5% as tested



*Picture 2. Overall view of the experimental set up. The outline of this picture in figs. 4.2a and 4.2b shows the most important elements of the diffusion apparatus. The housing of the diffusion chamber is immersed in a waterbath which also contains the wash flasks for humidifying the gases. The waterbath is temperature stabilized by a Homef thermostat (not shown in the picture). The gases are conducted from the wash flasks to the appropriate gas chamber through the inner tubes of coaxial tubing. Thermostating of the gas within the inner tube is achieved by pumping thermostated water through the outer tube.*

with a gas chromatograph (Dept. of Instrumental Analysis T.H.E.). The gases are humidified by means of wash flasks containing thermostated water. The time needed for changing the  $PO_2$  within the oxygen chamber from 700 to 0 mm Hg by readjusting the setting of the pump amounts to 10 minutes.

By means of a micro syringe (Hamilton) and a glass spatula a small amount of completely deoxygenated hemoglobin solution (between 20 and 50  $\mu$ l depending on the desired layer thickness) is spread over the glass plate after removing the glass window. Prior to this operation the turntable is rotated over the glass plate in order to position the nitrogen chamber. After fixing the layer the window is replaced. Next the swivel arm is adjusted to direct the light beam to the center of the layer of hemoglobin solution (see also picture 2).

Subsequently the value of  $I_0$  is recorded. After a certain lapse of time the teflon turntable is moved to expose the hemoglobin layer to the oxygen-containing gas, whereafter the oxygenation process starts. The course of oxygenation is recorded by the change in the absorption coefficient of hemoglobin. After completion of the oxygenation the value of  $I_1$  is recorded. In case the oxygen partial pressure of the gas is chosen to be  $S_1 < 1$ , the  $PO_2$  is increased to determine  $I_1'$  at  $S=1$ .

The absorption coefficients at  $S = 0$  and  $S = 1$  are determined by means of the wedge method. The value  $I_1'$  has to be known for the calculation of both layer thickness and  $S_1$ .

#### 4.1.4 Description of oximeter and accessory electronic equipment

When studying the oxygen uptake by non-moving hemoglobin layers, only one channel of a two-wavelength oximeter is used. Two light-emitting diodes (LED) are used as light sources. The first one has a peak intensity at a wavelength of 670 nm (Monsanto MV/10B) and the other one at 900 nm (Monsanto ME/4). The light intensity of both LED's alternates harmonically. The red one does so at a frequency of 1552 Hz whereas the infrared one operates at 5140 Hz.

The light of the LED's is conducted by fiber optics to pass through the hemoglobin layer, and some of it is received by a light-sensing diode (Monsanto MD 2). The signal generated by the diode is fed into a preamplifier. By appropriate filtering the output is split up into two signals of 5140 Hz and 1552 Hz respectively. Both outputs of the

oximeter consist of a superposition of a DC voltage and an AC voltage. The DC component is proportional to the intensity of the light received, the AC component is filtered out by a low-pass filter with a cut-off frequency of 20 Hz.

In case of performing oxygenation experiments the output signals (red and infrared) change as a function of time. In the case of a wedge experiment or determination of uniformity of the thickness of the hemoglobin layer the signals change as a function of the position of the light beam.

A digital and analog recording system have been used simultaneously (see fig. 4.3). The digital recording system uses a HP ten-channel scanner. The scanner sequentially connects a number of signals to an analog - digital converter. The digital numbers are punched in paper tape. The information on paper tape is to be used for computer calculations. When performing a wedge measurement or when determining

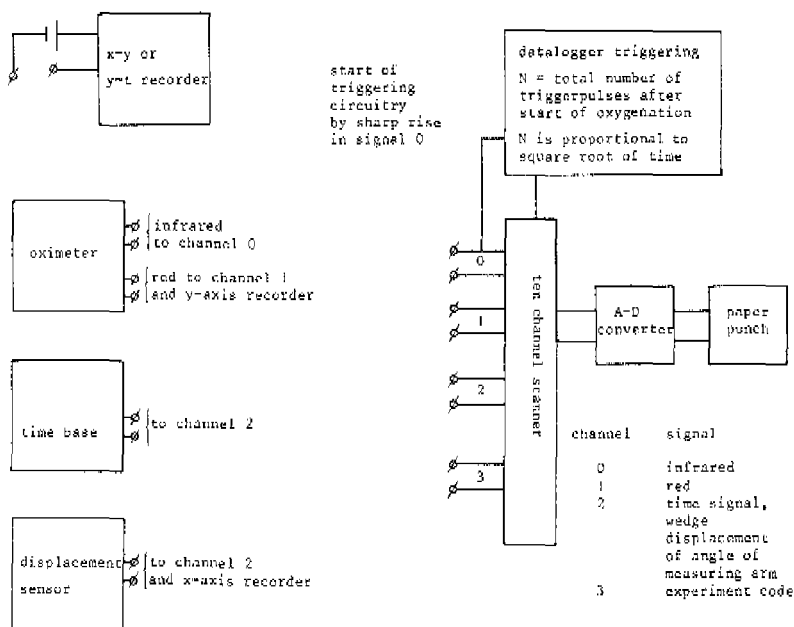


Fig. 4.3. Block diagram of data recording. A detailed description of the instrumentation is given in section 4.1.4.

the layer uniformity the voltage proportional to the displacement of the light beam is also connected to the scanner. In case of an oxygenation experiment a circuit integrating a constant voltage is used to provide the time base.

The integrator is started by a sharp rise in voltage of the infrared channel of the oximeter. This corresponds to the moment when the oxygen chamber in the teflon turntable is turned over the hemoglobin layer, thus starting the oxygenation process. An electrical circuit to trigger the data-logging has been designed in order to make the interval time  $\Delta t$  between two measuring cycles proportional to the square root of time. As far as the oxygen saturation also changes with the square root of time, measurements are obtained at points of equal increase in saturation. Sometimes a fourth channel is used to identify each measuring cycle by supplying a known voltage to it.

A (x-y) or (y-t) recorder (Houston 2000) is used for the analog recording of the wedge experiments and oxygenation experiments. From the output signal of the oximeter connected to the recorder a constant voltage is subtracted, thus small changes in intensity can be recorded on full scale.

#### 4.1.5 Preparation and handling of hemoglobin solutions. Description of various measurements

Fresh packed red blood cells (human blood group O<sup>+</sup>) are rinsed three times with saline (9% NaCl) and separated by centrifuging (6,000 g, 10 min). The cells are lysed osmotically by adding 60 ml of distilled water to 100 ml of packed cells. The red cell stroma is removed by adding another 40 ml of toluene (C<sub>6</sub>H<sub>6</sub>) to the solution. After shaking the mixture the toluene is separated from the hemoglobin solution by centrifuging (6,000 g, 20 min). The hemoglobin solution is sucked off from underneath the toluene ([Hb] between 16 and 19 g%) and poured into specially designed tonometer bottles.

The tonometer bottles are turning continuously for a couple of hours while being flushed with a humidified gas mixture of 95% N<sub>2</sub> and 5% CO<sub>2</sub>. The O<sub>2</sub> content of the solutions is checked with a Lex-O<sub>2</sub>-Con. As soon as the O<sub>2</sub> becomes undetectably small (less than 10<sup>-4</sup> mol/l) the bottles are pressurized (150 mm Hg), closed and stored at 4°C.

Hemoglobin concentration and percentage of methemoglobin are determined by a single-beam spectrophotometer using the cyanide method

of Zijlstra and van Kampen and the method according to Evelyn and Malloy respectively, as described by van Assendelft (1970). By following this procedure of preparation and storage the methemoglobin content usually proved to be less than 1 percent of the total hemoglobin concentration.

The hemoglobin solutions are diluted by adding saline. High hemoglobin concentrations are obtained by ultra-filtration (Diaflow, Amicon Co.).

Oxygen binding capacity of the hemoglobin solutions is measured directly by using a Lex-O<sub>2</sub>-Con or computed from the hemoglobin concentration. This computation is based on an oxygen binding capacity of 1.36 cc O<sub>2</sub> (STDP) per gram hemoglobin after correction for the presence of methemoglobin. This value was normally found (+ 1.5%) in experiments where both Hb was determined spectrophotometrically and the oxygen binding capacity was measured with the Lex-O<sub>2</sub>-Con. For a further discussion the reader is referred to chapter 5.

## 4.2 Discussion of several elements of the measuring procedure

### 4.2.1 Influence of bandwidth of LED's on measurements of absorption

The bandwidth of the LED's is in the order of 40 nm. Particularly for the red emitter this is rather considerable when comparing it to the part of the absorption spectra relevant for the measurements. Within this part of the spectrum the extinction coefficient of hemoglobin changes by a factor of 8, that of deoxyhemoglobin by a factor of 2, and that of oxyhemoglobin by a factor of 0.7. Hence the influence of the bandwidth of the light sources used has to be taken into account.

Let  $I_0(\lambda)$  be the intensity distribution function of the emitter and  $g(\lambda)$  the sensitivity curve of the light sensor. When applying the law of Lambert-Beer to an infinitesimally small part  $\Delta\lambda$  of the emission spectrum the current generated by the sensor can be described by

$$i = \int_{\lambda_{\text{min}}}^{\lambda_{\text{max}}} g(\lambda) I_0(\lambda) e^{-\epsilon(\lambda)cd} d\lambda \quad (4.7)$$

An approximation of the solution of eq. 4.7 can be obtained by introducing a function  $\delta(\lambda)$  defined by the relationship

$$\epsilon(\lambda) = \epsilon^* + \delta(\lambda) \quad (4.8)$$

where  $\epsilon^*$  is a constant of arbitrary value. Substitution of eq. 4.8 into eq. 4.7 results in



$$i = e^{-\epsilon^*cd} \int_{\lambda=-\infty}^{\lambda=\infty} g(\lambda) I_o(\lambda) e^{-\delta(\lambda)cd} d\lambda \quad (4.9)$$

Series expansion of the exponent in eq. 4.9 provides

$$i = e^{-\epsilon^*cd} \int_{\lambda=-\infty}^{\lambda=\infty} g(\lambda) I_o(\lambda) d\lambda - \epsilon^*cd \int_{\lambda=-\infty}^{\lambda=\infty} g(\lambda) I_o(\lambda) \frac{\delta(\lambda)}{\epsilon^*} d\lambda \quad (4.10)$$

For small values of  $\epsilon^*cd$  eq. 4.8 can be approximated by

$$\frac{i}{i_o} = e^{-\epsilon^*cd} \quad (4.11)$$

where  $i_o = \int_{\lambda=-\infty}^{\lambda=\infty} g(\lambda) I_o(\lambda) d\lambda$  is the "blank value" of the system.

In the red light  $\delta(\lambda)$  is an even function of  $\lambda$  for most kinds of hemoglobin, and a value of  $\epsilon^*$  may be chosen to make the first-order expansion term in eq. 4.10 equal to zero. Hence the law of Lambert-Beer may still be applied when introducing an adapted extinction coefficient ( $\epsilon^*$ ) which may differ essentially from the extinction coefficient at the peak wavelength of the emission spectrum. It may easily be seen that if different light-absorbing species are present in the solution the summation rule of absorbing species, thus in fact eq. 4.1, remains valid when using the adapted extinction coefficient.

Using the wedge method to calibrate the hemoglobin solution with respect to the  $\epsilon_{1b}$  and  $\epsilon_{ob}$  values, the validity of relationship 4.11 has been shown to hold in the region of layer thicknesses as used in the oxygenation experiments. The summation rule has been tested by comparing the oxygen saturation as measured by the wedge method with measurements of the oxygen content of the same samples by the Lex-O<sub>2</sub>-Con. Results are shown in fig. 4.4.

The value of  $\epsilon^*$  depends on the bandwidth of the emission spectrum and, in turn, this bandwidth depends on the temperature of the LED. The dependency of  $\epsilon^*$  on temperature at  $\lambda = 670$  nm appeared to be 0.2% per

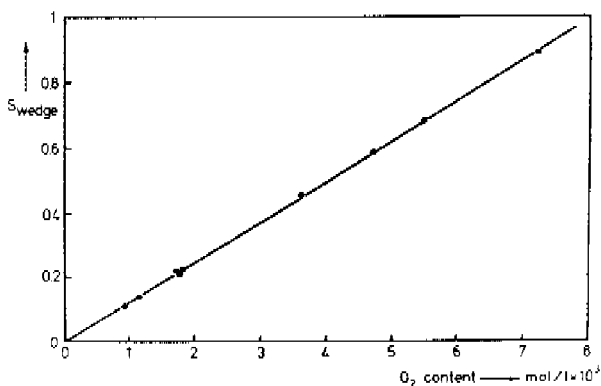


Fig. 4.1. Oxygen saturation as measured by the wedge method compared with the oxygen content of the same samples as determined by the Lex-O<sub>2</sub>-Con. The hemoglobin concentration of the solution used is approximately 16 g%. The correlation between the two methods is within the experimental errors of both methods.

degree centigrade . For the elimination of this effect temperature stabilization to within 0.2°C at a level of 30°C has been provided.

#### 4.2.2 Discussion of precautions taken with regard to the stability of the hemoglobin layer

According to the experimental model chosen, the geometry of the hemoglobin layer, at least at the point of incidence of the light beam, has to remain unchanged throughout the course of oxygenation. Apart from qualitative visual observation the behavior of the hemoglobin layer with time can only be judged quantitatively by means of light absorption recordings. Since the light absorption at S=0 is ten times larger than at S=1, the stability of the hemoglobin layer has to be checked when the diffusion chamber is flushed with nitrogen.

Changes in light absorption may be caused by several factors:

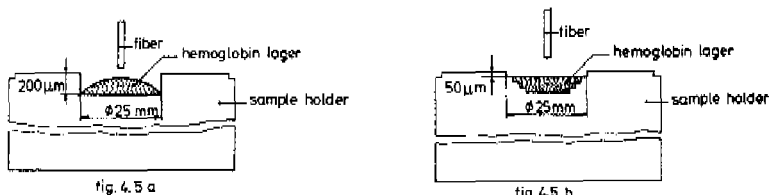
- 1) The hemoglobin layer has not been spread anaerobically so that the layer may have a small initial saturation. Due to this the light intensity recording shows a downward drift.
- 2) The nitrogen flow is not sufficient to compensate for oxygen leakage through the sealing into the chambers. The light intensity recording shows an upward drift.

- 3) The glass plate has not been leveled sufficiently which results in a convective flux due to gravity, commonly resulting in an upward drift.
- 4) The nitrogen flow is too high and disturbs the layer surface. This sometimes results in a stable though erroneous signal but also may cause a drift of the signal.
- 5) The layer is drying. This only results in a signal change if the drying process is not uniform all over the layer. This is due to the fact that the light absorption is proportional to the product of hemoglobin concentration and layer thickness.

This product remains essentially constant when the evaporation process proceeds uniformly in a layer of uniform thickness. However, as a rule the layer dries faster at the edges than it does in the center. Hence differences of total hemoglobin concentration may occur within the layer resulting in a convective flux of water. In this case the signal may show a drift as a result of the drying effect. The drying effect is more marked at high hemoglobin concentrations.

By trial and error an experimental technique has been developed which eliminates these possible sources of error. In this context the anaerobic technique of spreading the hemoglobin layer is not only favourable as far as it reduces the measuring time but is indispensable for permitting the study of effects of changing sample geometry.

When performing preliminary experiments the oxygenation process was investigated using a film of hemoglobin solution not being attached to the edges of the glass plate (fig. 4.5a). This system had been chosen for its simplicity in making layers of different thicknesses. However, the requirements in leveling the sample plate appeared to be too severe.



*Fig. 4.5a. Outline of the sample holder as used during the earlier investigations. A hemoglobin layer is spread over the bottom of this sample holder, not touching the edges of the well.*

*Fig. 4.5b. Outline of the sample holder as used in the final experiments. The sample holder is provided with a step-shaped well. The hemoglobin layer attaches to one of the well edges.*

Sample holders as designed later (fig. 4.5b and picture 1) are provided with a step-shaped well so that the hemoglobin layer can be attached to one of the well edges. When using this particular geometry of the sample plate it is still simple to obtain a different layer thickness but the leveling is much less critical. A tilting angle of up to 0,7 degrees does not affect the stability of the signal.

Although the oxygen uptake of the hemoglobin layer is minor compared to the total volume of the diffusion chamber, maintenance of flow is required to compensate for loss of gas due to leakage. The minimum adjustment of the gas flow was determined using the following procedure.

The nitrogen flow is stopped and the hemoglobin layer is allowed to take up some oxygen from the air leaked in. After having achieved an average saturation of 5% the nitrogen flux is reestablished to decrease the saturation again. According to the saturation curve this decrease is only possible if the  $PO_2$  in the gaseous atmosphere over the hemoglobin layer does not exceed 2 mm Hg.

The lower limit of gas flow thus determined is 0.4 cc  $N_2$ /sec. The upper limit for the gas flow through the diffusion chamber should be 5 cc  $N_2$ /sec in order to avoid disturbance of the layer. Actually the nitrogen flow before starting the oxygenation process is chosen to be 2 cc  $N_2$ /sec and the gas flow during and after oxygenation is adjusted to 0.4 cc/sec.

Under these conditions of gas flow the measuring signals before and after oxygenation remain stable during a time longer than needed for even the longest oxygenation processes studied (30 min). When cleaning the sample plate after an oxygenation experiment, drying of the layer is not observed. Finally the experimental results prove to be independent of the time the layer had been exposed to the humidified nitrogen flow.

#### 4.2.3 Time constants of the change in gas conditions and absorption measurements

The application of the theoretical models to the experimental results requires a sharp rise in oxygen partial pressure. The resulting sharp change in light absorption has to be recorded adequately. Therefore the transient behavior of the system in terms of time constants is important and requires some discussion.

As explained before, the step-like change is achieved by a teflon turntable . The time necessary to switch the oxygen chamber over the hemoglobin layer amounts to 0.04 sec. The dead space of the diffusing chamber inherent to the design of the device is about 0.06 cc. The total volume of the diffusing chamber is about 4 cc. Thus roughly 98.5% of the step is effected within 0.04 sec. The time constant of the remaining 1.5% is 10 sec when using a gas flow of 0.4 cc/sec. The time constant of the oximeter is mainly determined by the low-pass filters and amounts to 0.07 sec.

The most rapid oxygenation process studied was completed within 60 sec. Thus the time constants are sufficiently small for an accurate determination of  $t_1$ . The influence of the time constants on the recording of the course of oxygenation will be discussed in section 4.3.

#### 4.3 Range of applicability of the instrumentation

##### 4.3.1 Limitations due to experimental errors in the measurements of light absorption

The change in light absorption of a hemoglobin layer due to oxygenation depends on both the hemoglobin concentration and the layer thickness.

The oximeter is sensitive enough to detect a change of 0.075% in light absorption. Eq. 4.1 directly relates the absolute error  $\Delta S$  in the measurement of oxygen saturation to both the error in light absorption measurement and the product  $(\epsilon_1 - \epsilon_0) bd$ . Using a value of 0.394 l/cm/g% for  $(\epsilon_1 - \epsilon_0)$  (see section 5.5.2) one finds that the lower limit of the product  $bd$  is 0.0019/ $\Delta S$  cm g%. Thus, if an absolute error  $S = 0.01$  is aimed at and the minimum layer thickness applied is 100  $\mu$ m, the hemoglobin concentration has to be more than 20 g%. With an error  $\Delta S$  of 0.01 to 0.02 the lower limit of the hemoglobin concentration is 10 g% when applying a layer thickness ranging between 100 and 200  $\mu$ m, whereas, when applying a thickness ranging between 200 and 400  $\mu$ m, the lower limit of the concentration is 5 g%.

##### 4.3.2 Limitations due to the error in determining the start of the oxygenation process

The high accuracy of the oximeter is partly due to the time constant (0.085 s) of the low-pass filter causing a delay in the start of the recording of the oxygenation process. Nevertheless, from the standpoint of measuring the course of oxygenation, this property of the instrument

introduces an experimental error. Another error in determining the start of the oxygenation process is due to the time needed to rotate the teflon turntable. The recording of the oxygenation starts as soon as the optical system has returned to its operational position. However, the oxygenation of the layer may have started slightly earlier because of the oxygen trapped in the narrow gap between turntable and cylinder bottom. An additional small error is due to some electrical noise on the signal of the time base.

Assuming that all possible initial errors only contribute to an uncertainty  $\tau$  in the start of the oxygenation process one may write according to the half-infinite layer model

$$S_m = \sqrt{\frac{t + \tau}{t_1}} = \sqrt{S_r^2 + \frac{\tau}{t_1}} \quad (4.12)$$

where  $t$  = the actual lapse of time from the start of oxygenation,

$\tau$  = the uncertainty in the start of oxygenation,

$t_1$  = the actual oxygenation time,

$S_m$  = the oxygen saturation determined at the apparent time  $(t + \tau)$ ,

$S_r$  = the oxygen saturation at the actual time  $t$ .

From eq. 4.12 it follows that

$$\frac{\tau}{t_1} = (S_m - S_r)(S_m + S_r) \quad (4.13)$$

Requiring arbitrarily that at  $S_r = 0.05$  the difference  $(S_m - S_r)$  has to be less than 0.01 the ratio  $|\tau/t_1|$  must be smaller than 0.001. Since the uncertainty  $\tau$  is in the order of 0.05 s (somewhat less than the time constant of the low-pass filters) the oxygenation time in the experiments should not be less than 50 s. In practice this was so in most cases.

## REPORT ON THE EXPERIMENTAL INVESTIGATIONS AND DATA PROCESSING

5.1 Introduction to the experiments

The experiments may be classed into three groups according to the growing experimental skill and the development of the equipment. In the preliminary phase of the work (first group of experiments) the experimental set-up was not quite the same as described in chapter 4. The anaerobic technique was already used, but the teflon turntable was not yet available. The change in the gas atmosphere from nitrogen to oxygen was obtained by means of a three-way stopcock in the gas lead to the diffusion chamber. Only two different oxygen pressures were applied, i.e.,  $P_1 = 720$  and  $120$  mm Hg respectively. The hemoglobin solutions used in these preliminary experiments contained between 2 and 20% of methemoglobin, a rather large amount. As could be shown, the formation of methemoglobin was due to the non-anaerobic storage of the solutions and also to the contact with toluene for hours. The tendency to oxidation appeared to be stronger at high hemoglobin concentration than at low hemoglobin concentration. From an experimental point of view the presence of a high percentage of methemoglobin is not favorable since the absorption spectrum of methemoglobin depends on pH which is changing during oxygenation (see section 2.1) of the hemoglobin layer. The preliminary results of these diffusion measurements have been published previously (Spaan et al. 1974) and will not be discussed here (see section 6.2.1).

The experimental set-up used in both the earlier experiments (second group) and the final experiments (third group) is described in chapter 4. In the second group a flat glass plate was used as a sample holder (see fig. 4.5) and moreover the digital recording of the oximeter output was less accurate than in the final experiments. For this reason some oxygenation times were directly derived from the analog recordings. This second group of experiments still had the character of trial and error, and in fact the experimental technique was established during this experimental phase. Consequently the results of these earlier diffusion measurements show more scatter than those of the final group. As discussed in section 4.2.2 the experiments in the final (third) phase were performed using the sample holder provided with a step-like

well. By then the experimental technique was well established. This final group of experiments proved to be superior to the earlier group in terms of reproducibility. Hence, in the present chapter main attention will be paid to the experiments of this final phase, but the results of the second phase will also be mentioned. The results of the second phase confirm the conclusions from the experiments of the final phase although the values of oxygen permeability  $\alpha D_C$  and  $D_H$  estimated from the former show a large scatter.

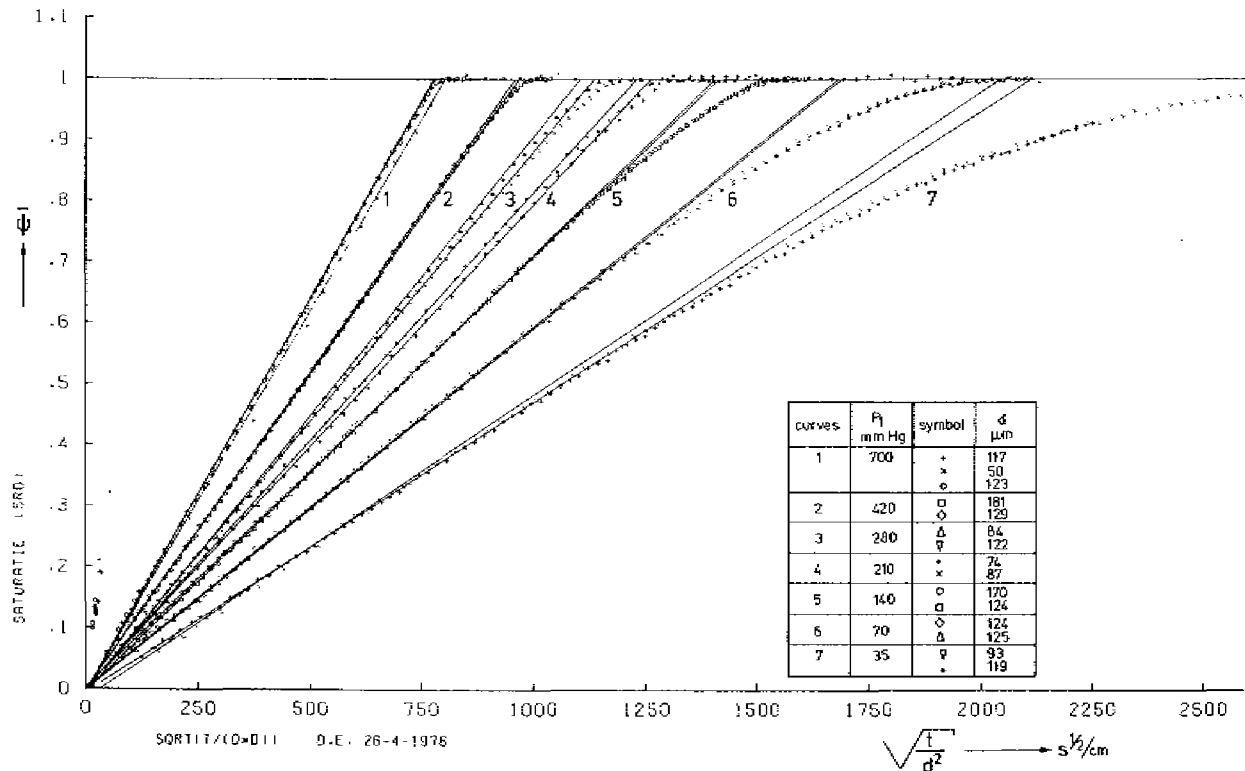
## 5.2 The experimentally determined course of oxygenation

The experimental method to measure the course of oxygenation in a completely deoxygenated layer of hemoglobin after a sudden change in oxygen partial pressure at the gas-liquid interface is described in sections 4.1.1 to 4.1.3. The data recording system was outlined in section 4.1.4.

Fig. 5.1 shows a computer plot obtained from the digital recording of a final series of oxygenation experiments performed with a hemoglobin solution of 29 g%. Both the layer thicknesses and the  $P_1$  values applied are given in the figure. The values used for the extinctions of the deoxygenated and oxygenated hemoglobin solution will be discussed in section 5.2.1. The increase in fractional saturation is plotted on the ordinate whereas the square root of  $t/d^2$  is plotted on the abscissa.

*Fig. 5.1. Computer plot of the experimentally determined course of oxygenation as a function of the square root of normalised time  $\tau_n (= t/d^2)$ .  $[Hb] = 29$  g%,  $(\epsilon_1 - \epsilon_0) = 0.394$  l/cm/g%Hb,  $P_1$  varies between 700 and 35 mm Hg. The straight lines in the figure were obtained by linear regression analysis on the linear part of the experimental curves (see section 3.5.2). The layer thickness and the  $P_1$  value applied in each individual experiment are given in the table of the figure.*





curves	$P_1$ mm Hg	symbol	$d$ $\mu\text{m}$
1	700	+ x o	117 50 123
2	420	o diamond	181 129
3	280	delta psi	84 122
4	210	x o	76 87
5	140	o square	170 124
6	70	diamond delta	124 125
7	35	psi x	93 119

SQRT(t/d^2) 0.E. 26-4-1978

$\sqrt{\frac{t}{d^2}} \rightarrow S^{1/2}/\text{cm}$

Each point is defined by the simultaneously measured values of  $\psi$  and  $t/d^2$ . The points for different experiments at equal values of  $P_1$  are characterized by different symbols. The straight lines in fig. 5.1 are obtained by linear regression analysis on data points from any one oxygenation experiment in the saturation range where the half-infinite model is assumed to be applicable. The determination of this range will be defined in section 5.3.2. Below we will refer to  $t/d^2$  as the normalized time,  $t_n$ , so that

$$t_n = t/d^2 \quad (5.1)$$

Obviously this normalized time is proportional to the dimensionless time  $\tau^*$  ( $\tau^* = t D_G/d^2$ ).

A first glance at the results presented in fig. 5.1 confirms the basic assumptions and conclusions of both the finite layer model and the half-infinite layer model:

- 1) The curves measured at equal values of  $P_1$  coincide when  $t/d^2$  is used as an independent variable. This supports the assumption of chemical equilibrium (the difference between the curves measured at  $P_1 = 280$  and at 210 mm Hg are due to oxygen leakage into the sample syringe).
- 2) The saturation increase is, up to a high degree of oxygenation, proportional to the square root of time as predicted by the half-infinite layer model.
- 3) The deviation of the oxygenation process from that predicted by the half-infinite layer model occurs at lower values of  $\psi$  if lower values of  $P_1$  are used. At  $P_1 = 700$  mm Hg, the oxygenation process is terminated abruptly, thus giving evidence of a sharp oxygenation front within the hemoglobin layer.

In chapter 3 the normalized oxygenation time ( $t_{n,1} = t_1/d^2$ ) has been chosen to be the quantity characterizing the oxygenation process of a hemoglobin layer. This normalized oxygenation time can be calculated from the slope of the linear range of the  $\psi$  versus  $\sqrt{t_n}$  curves according to

$$\bar{\psi} = V \frac{\sqrt{t_n}}{t_{n,1}} \quad (5.2)$$

The determination of normalized oxygenation times will be discussed in section 5.3.

### 5.3 The determination of normalized oxygenation time

#### 5.3.1 Principle of determination of normalized oxygenation times

As mentioned in section 5.2 the normalized oxygenation time can be calculated from the slope of the curves of  $\bar{\psi}$  versus  $\sqrt{t}$  according to eq. 5.2. By means of eq. 4.5 for  $S_1 = 1$  (as is the case for  $P_1 > 100$  mm Hg; see fig. 5.6) eq. 5.2 changes to

$$\bar{\psi} = \sqrt{\left\{ \frac{t(\epsilon_1 b - \epsilon_0 b)^2}{(\log(I_1/I_0))^2} \right\}} \frac{1}{\sqrt{t_{n,1}}} \quad (5.3)$$

This can be expressed in terms of the measured quantity  $I(t)$  by using eqs. 4.4 and 5.3, which leads to

$$\log I(t) - \log I_0 = \frac{\sqrt{t}}{\sqrt{t_{n,1}}} (\epsilon_1 b - \epsilon_0 b) \quad (5.4)$$

where  $t$  = actual lapse of time from the start of the experiment. Consequently, the normalized oxygenation time can be determined from the slope of the  $\log I(t)$  versus  $\sqrt{t}$  curve without knowing the value of either  $I_0$  or  $I_1$ , the latter being eliminated when passing from eq. 5.2 to eq. 5.4.

#### 5.3.2 Procedure followed in determining the normalized oxygenation time

From each oxygenation experiment a computer plot analogous to fig. 5.1 was made in terms of  $\psi$  versus  $\sqrt{t}/(\log(I_1/I_0))^2$ . From these plots it was judged by eye as to which degree of oxygenation this relationship is linear. Data points above this limit were not considered in determining the value of  $t_{n,1}$ . When the range of linearity appeared to exist only for  $\psi < 0.6$  the experiment was discarded. Usually a number (between 1 and 4) of initial data were not considered either when they clearly deviated from the linear part of the curve as discussed in section 4.3.2. Moreover, now and then an individual point was not used in further analysis when it was obviously far from coinciding with the oxygenation curve which might be due to disturbances in the mains. On the remaining data a regression analysis was performed using the method of least squares according to

$$\bar{\psi} = x_2 + x_1 \sqrt{\frac{t}{(\log(I_1/I_0))^2}} \quad (5.5)$$

where  $x_1$  and  $x_2$  are parameters to be estimated. The parameter  $x_1$  is clearly of physical origin whereas  $x_2$  introduces a degree of freedom to account for uncertainties in the experimental conditions. Comparison of eqs. 5.5 and 5.4 shows that

$$t_{n,1} = \frac{(\epsilon_1 b - \epsilon_0 b)^2}{x_1^2} \quad (5.6)$$

Essentially the value of  $x_2$  has to be zero. Exceptionally  $x_2$  may have a small value due to, for instance, a small change in the apparatus constant  $\log I_a$ , as sometimes occurs when interchanging the gas chambers.

### 5.3.3 Discussion of the measured normalized oxygenation times

As has been explained in the introduction to this chapter (section 5.1), the results of two groups of experiments will be reported, referred to as earlier and final experiments respectively. As a rule the oxygenation experiments using one single hemoglobin concentration were performed in series of 10 to 15 runs. The  $P_1$  values ranged between 700 and 140 mm Hg.

The final experiments were performed in four complete experimental series of 50 single oxygenation experiments in total and two incomplete series. As will be shown in section 5.4, the diffusion coefficient of hemoglobin  $D_H$  and the oxygen permeability  $\alpha D_C$  may be estimated from a complete series. From an incomplete series either of these two quantities may be estimated assuming a fixed value of the other one.

Normalized oxygenation times as a function of reciprocal boundary  $PO_2$  ( $1/P_1$ ) in three different final experimental runs are presented in fig. 5.2. The values used for  $(\epsilon_1 b - \epsilon_0 b)$  will be discussed in section 5.5. The solid lines in fig. 5.2 were obtained by fitting eq. 3.24 to the experimental results (see section 5.4). The figure next to each point refers to the thickness of the corresponding hemoglobin layer. The convex shape of the experimental curve clearly shows the presence of hemoglobin-mediated oxygen transfer within the hemoglobin solution. This phenomenon will be discussed quantitatively in section 5.4.1.

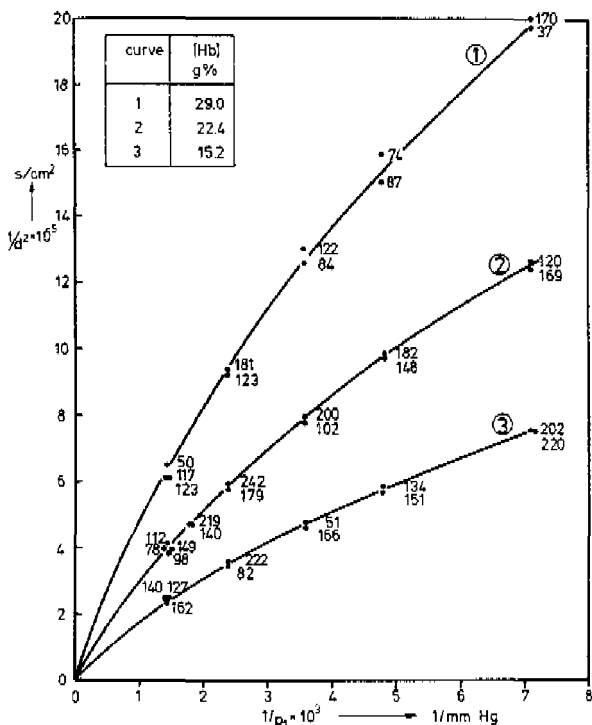


Fig. 5.2. Experimentally determined normalized oxygenation time  $t_{n,1} = \sqrt{t_1/d^2}$  versus reciprocal boundary oxygen pressure ( $1/P_1$ ). The results of three final experimental series were obtained with hemoglobin concentrations of 15.2, 22.4, and 29 g%. The number next to each point represents the respective layer thickness. The curves were obtained by fitting the polygonal model to the experimental data on the basis of the method of least squares by varying the parameters  $\alpha D_C$  and  $D_H$ . The parameter values found and the "95% confidence limit" for each experimental series are as follows:

[Hb] g%	$\alpha D_C 10^{11}$ $\frac{\text{mol cm}^2}{\text{s L mm Hg}}$	$D_H 10^7$ $\text{cm}^2/\text{s}$
15.2	$2.38 \pm 3.2\%$	$4.51 \pm 5.3\%$
22.4	$2.04 \pm 3.5\%$	$2.73 \pm 6.2\%$
29.0	$1.64 \pm 2\%$	$1.75 \pm 8.6\%$

In the final experiments the values for  $|x_2|$  were always less than 0.01 (except in 3 experiments), so obviously the apparatus constant  $\log I_a$  (see section 4.1.1) remains virtually constant. Linear variance analysis resulted in a 95% confidence limit of  $x_1$  of less than 0.01  $x_1$ . Hence the linear relationship between  $\psi$  and  $\sqrt{t}$  is confirmed (fig. 5.1). An estimate of the reproducibility of the experiments may be obtained from fig. 5.2. With the exception of 4 normalized oxygenation times at  $[Hb] = 29 \text{ g\%}$ , the reproducibility of the experimental results is better than 4%. The exceptions are due to oxygen leakage into the sample syringe as stated before. Using the Lex-O<sub>2</sub>-Con this could be proven to be the cause when checking the remaining solution within the syringe after spreading the hemoglobin layers. No systematical difference could be observed as an effect of the layer thickness of each individual experiment though this thickness ranged between 50 and 220  $\mu\text{m}$ .

The earlier experiments were performed in 10 complete series including a total of approximately 120 single oxygenation experiments. As stated in section 5.1 this earlier experimental phase had the character of trial and error, and several series could not be used for further analysis because of too much scatter (more than 20%) in the oxygenation times obtained. From some of the remaining complete series a small number (never more than 4) of single oxygenation experiments were excluded from analysis because the 95% confidence limit of  $x_1$  was more than 4% or the drift in the signals  $I_0$  and  $I_1$  exceeded an equivalent value of 1% oxygen saturation change per minute. The reproducibility of the earlier measurements appeared to be between 5 and 10%, thus being roughly two to three times poorer than that of the final experiments.

The dimensionless oxygenation times obtained from both the earlier and final experiments will be considered to be independent measurements for the estimation of the oxygen permeability and diffusion coefficient of hemoglobin. A poor reproducibility of the normalized oxygenation times will find its expression in wide confidence limits of the parameter values estimated from them.

#### 5.4 Parameter estimation from the experimental normalized oxygenation times

##### 5.4.1 Estimated values of oxygen permeability, hemoglobin diffusion coefficient and related quantities

The experimental data of normalized oxygenation time versus reciprocal

boundary oxygen pressure (fig.5.2) were fitted to eq. 3.24 by using the polygonal approximation. The parameters to be estimated are  $\alpha D_C$  and  $D_H$ . For  $(\epsilon_1 - \epsilon_0)$  a value of 0.394 lcm/g% Hb, for  $P_C$  a value of 26 mm Hg,

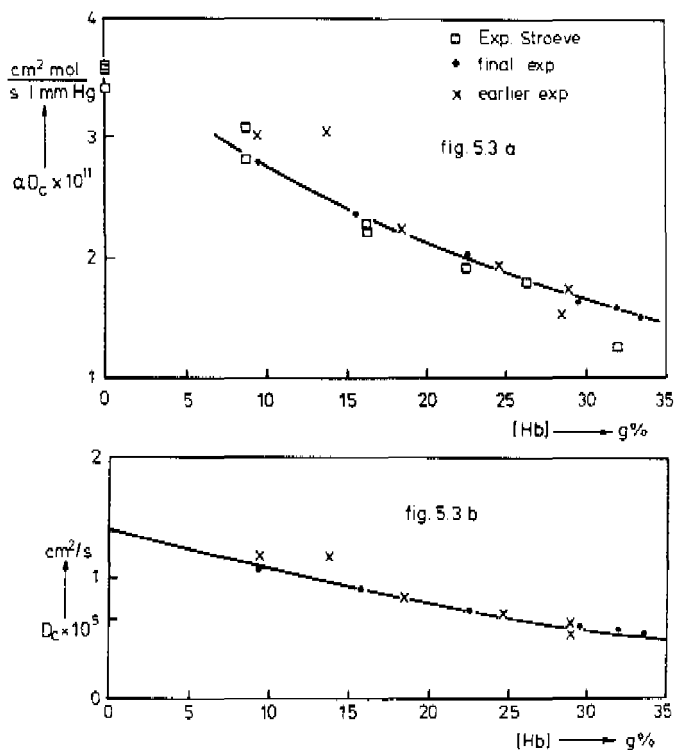


Fig. 5.3a. Values found for the permeability of oxygen  $\alpha D_C$  as a function of hemoglobin concentration. The dots correspond to the final experiments, the crosses to the earlier experiments, and the open squares to the experimental data of Stroeve (1973). The final experiments have an accuracy of the order of 3%, the earlier experiments of the order of 8%. Fig. 5.3b. The diffusion coefficients of oxygen calculated from the experimentally found permeability by using values for the solubility as discussed in section 6.3, compared with the compromise curve suggested by Kreuzer (1970) for the values of  $D_C$  found in the literature (see section 6.4). The dots refer to the final experiments, the crosses to the earlier experiments. Temperature is 25 °C.

and for  $h$  a value calculated from the hemoglobin concentration corrected for methemoglobin were used. These values will be discussed in section 5.5.

Fitting of theory to experiments was performed by using the criterion of least squares. Three results of this procedure were shown in fig. 5.2 above. Linear variance analysis was applied to get some idea of the reliability of the estimated parameters. This reliability will be given in terms of a confidence limit, in spite of the fact that the estimations of parameters are not expected to be normally distributed.

A whole experimental series includes 10 to 15 individual oxygenation experiments. This 95% confidence limit for  $\alpha D_C$  is 3%, whereas for  $D_H$  it is 7% when estimated from the final experiments. The earlier

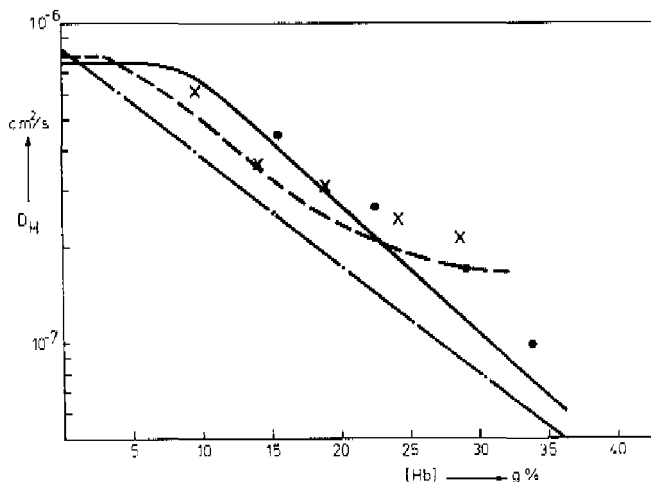


Fig. 5.4. Values found for the diffusion coefficient of hemoglobin  $D_H$  as a function of hemoglobin concentration. The dots refer to the final experiments, the crosses to the earlier experiments. The final experiments have an accuracy of the order of 8%, the earlier experiments of the order of 15%. Temperature is 25 °C.

- — — compromise curve of Kreuser (1970),
- - - - experimental results of Keller et al. (1971),
- experimental results of Riveros-Moreno and Wittenberg (1978).

For a discussion of the published data of the diffusion coefficient of hemoglobin see section 6.5.



experiments, performed in the second stage, are less reliable as was discussed in sections 5.1 and 5.3. Variance analysis in this case shows 95% confidence limits of 6 - 10% for  $\alpha D_C$  and approximately 15% for  $D_H$ .

Results for  $\alpha D_C$  are shown in fig. 5.3 and are compared with results of Stroeve (1973). The dots refer to the final experiments, the crosses to the earlier experiments, and the squares to the experiments of Stroeve. Stroeve performed his experiments by measuring the oxygen flux through layers of methemoglobin solution at steady state. The agreement between these results obtained by two different techniques is quite satisfactory.

The estimated values of  $D_H$  are presented in fig. 5.4.  $D_H$  values as a function of  $[Hb]$  as published by Keller et al. (1971) and Riveros-Moreno and Wittenberg (1972) (the latter after correction for temperature) are also presented. The present results will be compared with those of other studies in more detail in section 6.5.

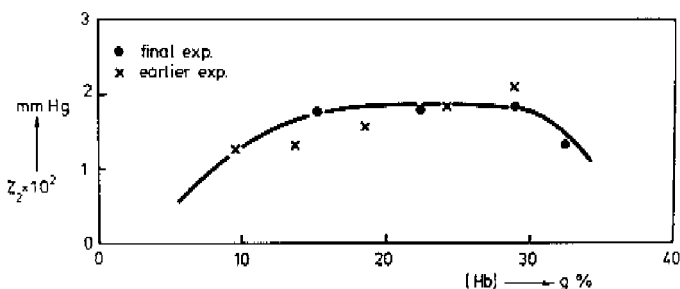


Fig. 5.5. Experimental values of  $Z_2 = h D_H / \alpha D_C$  as a function of hemoglobin concentration.  $Z_2$  is virtually constant in the range of  $[Hb]$  from 15 to 29 g%. Outside this range the values of  $Z_2$  decrease.

Fig. 5.5 shows the estimated values for  $Z_2 = h D_H / \alpha D_C$  as a function of hemoglobin concentration. It can be shown that the confidence limit for  $Z_2$  equals the confidence limit for  $D_H$ .  $Z_2$ , and hence the relative influence of facilitation at a fixed value of  $P_1$  proves to be virtually independent of hemoglobin concentration over a wide range of hemoglobin concentration.

#### 5.4.2 Influence of errors in measurement of extinction on estimated parameter values

The difference in extinction between oxyhemoglobin and deoxyhemoglobin

is an important quantity in determining the normalized oxygenation time  $t_{n,1}$ . The value used will be discussed in section 5.5. Here only the influence of errors in the experimental data will be analyzed. The influence of a systematical error may be readily seen from eq. 5.8 as derived from eqs. 3.24 and 4.5

$$\frac{t}{(\log(I_1/I_0))^2} = f_1 f_2 (Z_2, \frac{1}{P_1}) \frac{Z_1}{(\epsilon_1 b - \epsilon_0 b)^2} \frac{1}{P_1} \quad (5.8)$$

The left-hand term of eq. 5.8 is independent of the values chosen for the extinctions. When fitting the experiments in terms of  $t/(\log(I_1/I_0))^2$  versus  $1/P_1$ , the quantities  $Z_2$  and  $Z_1/(\epsilon_1 b - \epsilon_0 b)^2$  are the main parameters to be estimated. Whereas the value of  $Z_1$  is affected by an error in the extinction coefficients, the value of  $Z_2$  is not. Consequently the estimated values of both  $\alpha_{D_C}$  and  $D_H$  are influenced but their ratio is not.

#### 5.4.3 Influence of the position of the saturation curve on estimated parameter values

As discussed in chapter 3 the saturation curve has not to be known very precisely. However it is not easy to assess directly to what extent

	[Hb] = 22.4 g%		[Hb] = 15.2 g%	
$P_C$ mm Hg	$\alpha_{D_C} 10^{11}$ $\frac{\text{cm}^2 \text{ mol}}{\text{s l mm Hg}}$	$D_H 10^7$ $\text{cm}^2/\text{s}$	$\alpha_{D_C} 10^{11}$ $\frac{\text{cm}^2 \text{ mol}}{\text{s l mm Hg}}$	$D_H 10^7$ $\text{cm}^2/\text{s}$
1	2.08 ± 3.4%	2.43 ± 6.9%	2.40 ± 3.3%	3.99 ± 6.5%
26	2.05 ± 3.5%	2.73 ± 6.2%	2.35 ± 3.2%	4.51 ± 5.3%
80	1.98 ± 3.4%	3.47 ± 4.3%	2.27 ± 2.7%	5.79 ± 3.4%

Table 5.1. Influence of an error in the position of the adopted saturation curve on the estimated values of  $\alpha_{D_C}$  and  $D_H$ . The value of 26 mm Hg for  $P_C$  used in the polygonal approximation is estimated from the saturation curve measurements shown in fig. 5.8. Obviously the position of the saturation curve is more important for the estimation of  $D_H$  than for the estimation of  $\alpha_{D_C}$ . The accuracies given in the table correspond to the 95% confidence limits for the estimated parameter values.

a shift of the saturation curve affects the estimated parameter values. To study this effect a parameter analysis was performed on two experimental series using different values of  $P_c$ . The results are shown in table 5.1. The values obtained for the different  $P_c$  values deviate considerably. In practice, however,  $P_{50}$  of the saturation curve and so  $P_c$  are known within 20% (see section 5.5). This results in an uncertainty of at most 2% in the estimated parameter values.

## 5.5 Values of constants used for the estimation of parameter values from the experiments

### 5.5.1 Oxygen binding capacity

It was intended to directly determine the oxygen binding capacity of each individual hemoglobin solution used. For this a Lex-O<sub>2</sub>-Con apparatus was available. Although the reproducibility of this instrument and its linearity over a wide range of oxygen concentrations (0 - 2  $10^{-2}$  mol/l) appeared to be quite adequate (within  $10^{-4}$  mol/l) for hemoglobin solutions, the calibration on atmospheric air, according to the instructions, was often troublesome. These difficulties are mainly caused by the poor gas-tightness of the sample syringe after using it for some time. For this reason it was decided to routinely calculate the oxygen binding capacity from the hemoglobin concentration. This procedure, however, calls for some discussion.

Based on its molecular weight ( $M = 64,500$ ), the oxygen binding capacity of hemoglobin should be  $6.2 \cdot 10^{-5}$  mol/g Hb (1.39 cc O<sub>2</sub>/g Hb). However, the oxygen binding capacity of a hemoglobin solution (and blood) may be affected by oxidation of Fe<sup>2+</sup> in the hemes or by occupation by other ligands (section 2.2). Hence it may be understood that Hüfner (1894) (section 1.1) found a value of only 1.34 cc O<sub>2</sub>/g Hb for the oxygen binding capacity of whole blood. In order to estimate the oxygen binding capacity of the hemoglobin solutions prepared according to the procedure described in section 4.1.5, five independently prepared hemoglobin solutions were carefully analyzed, using the Lex-O<sub>2</sub>-Con for the determination of the total oxygen concentration (chemically bound and physically dissolved) and a spectrophotometer for the determination of total hemoglobin concentration and of fraction of methemoglobin. In all these cases the oxygen binding capacity was found to be 1 - 4% less than the value calculated from the hemoglobin concentration (corrected for the fraction

of methemoglobin present) as measured by a spectrophotometer (see section 4.1.5). Recently very accurate measurements of the oxygen binding capacity of hemoglobin were performed by Dijkhuizen et al. (1975) which also showed an oxygen binding capacity lower than predicted from the concentration of the supposedly active hemoglobin. It was decided to use, in the present calculations, a binding capacity of  $6.07 \cdot 10^{-5} (1-S_{Hi})$  mol  $O_2$ /g Hb, where  $S_{Hi}$  is the fraction of methemoglobin present. This figure was checked to be accurate to within  $\pm 1.5\%$ .

The presence of HbCO has been neglected throughout these experiments. Once a solution was checked spectrophotometrically (as described by van Assendelft 1970) for HbCO which appeared to be present in an amount of less than 0.5%. Another indication that the HbCO fraction was always very minor is obtained from a comparison of oxygen binding capacity as measured by the Lex- $O_2$ -Con with the value as calculated from the hemoglobin concentration. This low HbCO concentration is plausible since during deoxygenation of the hemoglobin solution by flushing the tonometer bottles with nitrogen some CO is also removed.

### 5.5.2 The difference in extinctions of oxygenated and deoxygenated hemoglobin solution

In order to calculate for an oxygenation experiment the thickness of the hemoglobin layer and the normalized oxygenation time the difference between the extinctions of oxyhemoglobin and deoxyhemoglobin has to be known. This difference ( $\epsilon_o - \epsilon_1$ ) was calculated for each individual hemoglobin solution from  $\epsilon_{HbO_2}$  and  $\epsilon_{Hb}$ , the extinctions of pure oxyhemoglobin and deoxyhemoglobin respectively, and the fraction of methemoglobin present (note that the "extinction" refers to the "adapted extinction" as defined for the red channel of the oximeter used; see section 4.2.1),

As mentioned in section 5.1 the extinction of methemoglobin depends on the pH of the hemoglobin solution and consequently on the degree of oxygenation. For the extinctions  $\epsilon_1$  and  $\epsilon_o$  we may write in analogy to eqs. 4.2 and 4.3

$$\epsilon_1 = \epsilon_{HbO_2} (1-S_{Hi}) + \epsilon_{Hi,1} S_{Hi} \quad (5.9)$$

$$\epsilon_o = \epsilon_{Hb} (1-S_{Hi}) + \epsilon_{Hi,0} S_{Hi} \quad (5.10)$$

where  $\epsilon_{Hi,1}$  and  $\epsilon_{Hi,0}$  are the extinctions of methemoglobin of the

oxygenated and deoxygenated hemoglobin solution respectively. Eqs. 5.9 and 5.10 lead to

$$\frac{\epsilon_o - \epsilon_1}{\epsilon_{Hb} - \epsilon_{HbO_2}} = (1 - S_{Hi}) + \frac{(\epsilon_{Hi,0} - \epsilon_{Hi,1})}{(\epsilon_{Hb} - \epsilon_{HbO_2})} S_{Hi} \quad (5.11)$$

The extinction of methemoglobin is of the same order of magnitude as  $(\epsilon_{Hb} - \epsilon_{HbO_2})$  and varies by approximately 10% during oxygenation. Since  $S_{Hi}$  never exceeded 3%, the error in  $(\epsilon_o - \epsilon_1)$  when calculated by

$$\epsilon_o - \epsilon_1 = (\epsilon_{Hb} - \epsilon_{HbO_2}) (1 - S_{Hi}) \quad (5.12)$$

is of the order of 0.3%.

In the preliminary experimental phase (see section 5.1) the wedge method for determining extinction coefficients was tested extensively (v.d. Borne 1972) by using solutions of methemoglobin, malachite green, Evans blue, oxyhemoglobin and deoxyhemoglobin. The last two solutions showed a high methemoglobin fraction. Hence, although linearity, reproducibility and accuracy were well established in this stage, no reliable values of  $\epsilon_{HbO_2}$  and  $\epsilon_{Hb}$  could be obtained. During the second phase emphasis was given to the oxygenation measurements and no due attention was paid to the wedge measurements. From 9 independently prepared hemoglobin solutions with  $[Hb]$  between 8 and 29 g% a value of  $0.43 \pm 6\%$  1/cm/g% (95% confidence limit) was found for  $\epsilon_{Hb}$ . In the final experimental phase again special attention was paid to the wedge experiment. When measuring the value of  $\epsilon_{Hb}$  for three independently prepared fresh hemoglobin solutions of 17.1, 18.5 and 29 g% hemoglobin concentration, values of 0.433, 0.432, 0.438 1/cm/g% were found respectively. From these values a mean value of  $\epsilon_{Hb} = 0.434$  was adopted. For  $\epsilon_{HbO_2}$  a value of 0.040 1/cm/g% was found. Thus a value of  $(\epsilon_{Hb} - \epsilon_{HbO_2}) = 0.394$  was used in all experiments.

### 5.5.3 The saturation curve

A saturation curve was measured over its entire range for two different hemoglobin solutions. A Radiometer electrode was used for the  $PO_2$  determination and the Lex- $O_2$ -Con for the estimation of oxygen saturation. Three times a few points of the saturation curve were obtained

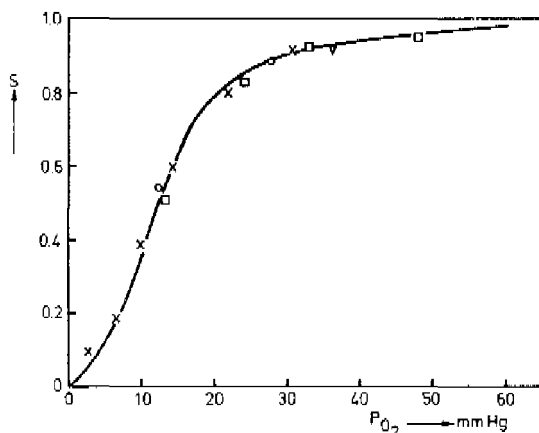


Fig. 5.6. Experimental data for determining the value of  $P_{50}$  of the actual saturation curve holding in the present experiments. The solid line is the Standard Dissociation Curve (SDC) of fig. 3.3 with the  $PO_2$  axis attenuated by a factor of 2.2. From this figure it is concluded that the actual  $P_{50} = 12$  mm Hg (26.4/2.2).

using the diffusion apparatus. For this a layer of hemoglobin solution was equilibrated with gases of different but known  $PO_2$ . The saturation was measured optically. Results are shown in fig. 5.6. The solid line represents the Standard Dissociation Curve (SDC) with its  $PO_2$  axis attenuated by a factor of 2.2. From fig. 5.6 it may be concluded that the saturation curve holding for the present investigation is shifted to the left with regard to the SDC and has a  $P_{50}$  of 12 mm Hg, and therefore  $P_c$  equals 26.4 mm Hg according to the findings of section 3.5.2.

## 5.6 Discussion of the experimental results

### 5.6.1 General interpretation of the experimental results

In chapter 3 the behavior of the oxygenation process of a fully deoxygenated layer of hemoglobin solution has been studied theoretically on the basis of the first and second law of Fick applied to the diffusion of both oxygen and hemoglobin. The conclusions of this study, in terms of average oxygen saturation increase in time, are confirmed by the results of the experimental investigation:

- 1) The quantity  $t/d^2$  can be used as an independent variable to describe the oxygenation of a deoxygenated hemoglobin layer of arbitrary thickness.
- 2) The saturation increase is, up to a high degree of layer oxygenation, proportional to the square root of time.
- 3) This proportionality holds up to a higher saturation when increasing  $P_1$ . At  $P_1 = 700$  mm Hg the oxygenation process terminates abruptly, thus giving evidence of a sharp oxygenation front within the hemoglobin layer.
- 4) Normalized oxygenation time ( $t_1/d^2$ ) defined according to the half-infinite layer model, when plotted versus the reciprocal  $P_1$  value, indeed shows a curvature indicating carrier facilitation of the oxygen uptake by hemoglobin.

A mathematical relationship between the normalized oxygenation time and reciprocal boundary condition (eq. 3.24) was fitted by the least-square procedure to the different data experimentally found by varying two parameters, the permeability  $\alpha D_C$  and the hemoglobin diffusion coefficient  $D_H$ . The 95% confidence limits found for these parameters in the final experiments (3% for  $\alpha D_C$ , 7% for  $D_H$  and  $Z_2$ ) show the quantitative applicability of the theoretical model, at least within the range of hemoglobin concentrations and  $P_1$  values studied. The difference in confidence limits of the parameters mentioned may be explained by the fact that at high  $P_1$  values the influence of  $D_H$  on  $t_{1,n}$  is small compared to the influence of  $\alpha D_C$ , whereas the influences of  $D_H$  and  $\alpha D_C$  on  $t_{1,n}$  are comparable at the low  $P_1$  values applied.

Basically the interpretation of a single oxygenation experiment, in terms of change in light absorption as a function of time, is simple since the relationship between  $\log I$  and  $\sqrt{t}$  is linear. Normalized oxygenation times can be determined when knowing the value of only one physical constant, being  $(\epsilon_1 - \epsilon_0)b$ . However, in estimating the value of  $Z_2 = h D_H / \alpha D_C$  the value of this constant is even not needed. Note that  $Z_2/P_1$  may be interpreted as the ratio of "permeability for bound oxygen" and "permeability for physically dissolved oxygen". It is interesting to see (fig. 5.5) that  $Z_2$  remains virtually constant over a wide range of hemoglobin concentration. But  $Z_2$  strongly depends on hemoglobin concentration when this concentration gets near the hemoglobin concentration of the red cell.

### 5.6.2 Discussion of the reliability of the estimated parameter values

The accuracy of the numerical values estimated for  $\alpha D_C$  and consequently for  $D_H$  holding for a certain hemoglobin solution strongly depends on the accuracy of the data for the oxygen binding capacity and the difference in the extinctions of deoxyhemoglobin and oxyhemoglobin respectively. Initially it was intended to determine, for each individual hemoglobin solution studied, the oxygen binding capacity by means of the  $\text{Lex-O}_2\text{-Con}$ , the hemoglobin and methemoglobin concentration by using a spectrophotometer and  $(\epsilon_{1b} - \epsilon_{0b})$  by applying the wedge method. However, all these determinations proved to be too time-consuming. Moreover the technique of the first and the third measurement mentioned was not fully established during all experiments so that the original plan had to be dropped. It should be kept in mind that a complete experimental run takes 4 to 9 hours, depending on the hemoglobin concentration of the solution examined. Nevertheless, the numerical values found for the permeability  $\alpha D_C$  agree fairly well with the data reported by Stroeve (1973), though he used a completely different method. This supports the correctness of the value for  $(\epsilon_0 - \epsilon_1)$  applied, and thus also the correctness of the value for  $D_H$ .



## CHAPTER 6

### GENERAL DISCUSSION

#### 6.1 Theoretical models of nonsteady-state oxygen uptake by hemoglobin layers

##### 6.1.1 Moving boundary models with particular reference to the polygonal approximation

The polygonal approximation is part of a class of problems in nonsteady-state linear heat conduction or diffusion where two or more phases or regions separated by a moving plane interface are involved. Such a moving boundary always represents a local process discontinuity, as for example a discontinuous change in concentration, a discontinuity in the concentration gradient, or a phase change as in the case of progressive freezing of a liquid (e.g. Danckwerts 1950).

Mostly the moving boundary equations are derived using the time and place variables separately. This, however, impairs the understanding of the essential features of the method. The mathematical treatment of the problem is greatly simplified by combining the dimensionless variables of place  $x^*$  and time  $t^*$  into one single variable being  $\eta = x^*/\sqrt{t^*}$ . Then the transfer equation valid in each region appears in the form of eq. 3.25, which can be solved in terms of error functions (eq. 3.27). However, the introduction of the new independent variable  $\eta$  restricts the number of boundary conditions since they only can be defined at fixed values of  $\eta$ . Time and place corresponding to fixed values of  $\eta$  are  $x^* = 0$ , equivalent to  $t^* = \infty$ , and  $t^* = 0$ , equivalent to  $|x^*| = \infty$ , and moreover those interfaces which change position according to  $x^*/\sqrt{t^*} = \text{constant}$ . The differences in the mathematical treatment of a particular physical problem obviously depend on the specific boundary conditions.

Hill (1928/1929) theoretically studied, using a moving boundary model, the recovery of a tissue as a result of the oxidation of lactic acid. This situation is similar to the oxygenation problem. A tissue initially containing lactic acid and no oxygen is suddenly exposed at its surface to a certain oxygen partial pressure. Oxygen diffuses into the tissue which recovers as a result of the oxidation of lactic acid. Hill's model assumes two regions within the tissue, i.e. a recovered "oxygen" region where all lactic acid has been oxidized, and a fatigued "lactic acid" region where no oxygen is present. Both regions are separated by an

interface where the oxidation reaction occurs. This interface moves into the tissue as recovery proceeds. The model allows for diffusion of oxygen within the recovered region and for diffusion of lactic acid within the fatigued region. Hill's model for the half-infinite layer (he studied cylindrical geometry as well) may be considered as a particular case of the polygonal model (discussed in section 3.1), when  $\phi_c = 0$  and  $\psi_c = 1$ .

The solutions of Hill's model have been applied by Roughton (1959) to reinterpret the experimental results of Longmuir and Roughton (1952) on the uptake of CO by hemoglobin solution, and of Klug, Kreuzer and Roughton (1956) on the uptake of  $O_2$  by hemoglobin solution (see also below).

### 6.1.2 Advancing front models

In the same paper as cited in section 6.1.1, Hill (1928/1929) also showed that, using the notation of the present study, if  $D_H = 0$  the solution of his moving boundary equation leads to the following approximation of the dimensionless average saturation

$$\bar{\psi} = \sqrt{\frac{2 t^*}{H}} \quad (6.1)$$

Eq. 6.1 is known as the advancing front equation and can easily be obtained directly by balancing the assumed steady-state oxygen flux through the oxygenated part of the layer to the amount of oxygen taken up by hemoglobin at the advancing oxygenation front

$$\frac{\alpha D_C (P_1 - P_i)}{x_f} = h (1 - S_i) \frac{d x_f}{dt} \quad (6.2)$$

where  $x_f$  = distance of the advancing front from the gas-liquid interface. Eq. 6.1 results from the integration of eq. 6.2 by using the boundary conditions given in fig. 6.1.

Eq. 6.1 was used by Klug, Kreuzer and Roughton (1956) for the interpretation of the oxygenation of hemoglobin layers (see also section 6.2), by Longmuir and Roughton (1952) for the interpretation of experiments on the CO uptake by hemoglobin layers, as well as by Marx et al. (1960) and Thews and Niesel (1959) to explain the oxygen uptake by layers of blood. Both Marx and Thews extended eq. 6.1 to account for the presence of an extra diffusion resistance at  $x = 0$ , i.e. a membrane (Marx) or a plasma layer (Thews). Spaan (1973) presented an extension of

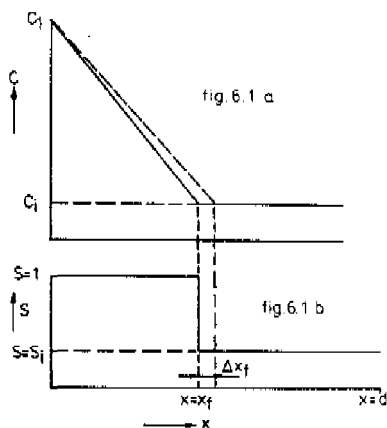


Fig. 6.1. Profiles of oxygen concentration  $C$  (fig. 6.1a) and oxygen saturation  $S$  (fig. 6.1b) within a layer of hemoglobin solution according to the advancing front model. Boundary conditions are:  $t < 0$  for all  $x$ ,  $C = C_i$  and  $S = S_i$ ;  $t \geq 0$  and  $w = 0$ ,  $C = C_1$  and  $S = 1$ . Steady-state oxygen transfer is assumed within the oxygenated part of the layer.  $\Delta x_f$  indicates the progression of the advancing front in a time interval  $\Delta t$ .

formula 6.1, taking into account the increase of the amount of dissolved oxygen during the inward movement of the oxygenation front, while still assuming steady-state oxygen transport in the oxygenated layer. Eq. 6.1 then changes to

$$\bar{\psi} = \sqrt{\frac{2 \tau^*}{H + 0.5}} \quad (6.3)$$

where the correction term 0.5 arises from the physically dissolved oxygen. The accuracy of the advancing front eqs. 6.1 and 6.3 can be evaluated from the parameter analysis described in chapter 3. The numerical results of the polygonal approximation with  $\phi_c = D^* = 0$  may be given by the formula

$$\tau_1^{*,0} = \tau^* (\bar{\psi} = 1) = \frac{1}{2} (H + 0.326) \quad (6.4)$$

The advancing front eq. 6.1 underestimates the dimensionless oxygenation time compared to eq. 6.4 whereas the extended advancing front eq. 6.3

overestimates it. However, eq. 6.4 underestimates the oxygenation time when the influence of the saturation curve is also considered. Generally speaking the extended advancing front eq. 6.3 will be a better approximation to the computer solutions for  $D_H = 0$  than is the advancing front eq. 6.1. However, the suitability of the advancing front eqs. 6.1 and 6.3 for the interpretation of experimental data on oxygen transfer in blood or hemoglobin solution strongly depends on the influence of carrier facilitation where  $D_H > 0$ .

#### 6.1.3 Computer solutions assuming chemical equilibrium

Marx et al. (1960) solved the problem of diffusion into an infinite layer of hemoglobin solution, using an analog computer and assuming the hemoglobin to be immobile. The saturation curve was approximated by a function generator. The computer solution showed a steep oxygenation front for  $P_1 = 760$  mm Hg. From this qualitative observation the authors assumed the results of the advancing front eq. 6.1 to be correct. Dindorf, Lightfoot and Solem (1967) solved the same problem as Marx, but used a Runge-Kutta numerical integration technique. They only considered cases where  $S_1 \approx 0.4$ , and so the Hill approximation (eq. 2.6a) of the saturation curve could be used. By varying  $P_1$  between 70 and 700 mm Hg they showed the advancing front eq. 6.1 to give an upper limit for the oxygen uptake rate, whereas the linear approximation of the saturation curve as shown in fig. 3.10 and discussed in section 3.5.2 gave a lower limit. Applying the terminology of chapter 3 to their conclusions, the ratio  $\tau_1^*/\tau_1^{*0}$  never assumes values less than one, which is due to the virtually convex shape of the dimensionless saturation curve used.

Spaan (1973) presented some solutions for finite layers of hemoglobin solutions using the Adair equation (see eq. 2.8) for the saturation curve with  $S_1 = 0$  and moreover hemoglobin supposed to diffuse. Conclusions of this paper are mentioned in chapter 3.

#### 6.1.4 Models assuming linear approximation of the saturation curve

A next special case of the moving-boundary model implies the assumption of a linear saturation curve. This model also was used by Hill (1928/1929) to show the possible influence of facilitation of  $\text{CO}_2$  transfer by  $\text{HCO}_3^-$ . However, in section 3.5.2 a poor agreement between this model and the numerical solutions of the transfer equations using the sigmoid saturation curve was found. In fact the linear approximation leads to a definition of an apparent diffusion coefficient for oxygen

$$D_{\text{app}} = \frac{D_C}{1 + \frac{h}{\alpha} m} \quad (6.5)$$

where  $\alpha$  = gas solubility

$m$  = slope of the linear saturation curve (1/mm Hg).

Thews (1957) used this notion of an apparent diffusion coefficient but quite arbitrarily replaced the slope  $m = (S_1 - S_2)/(P_1 - P_2)$  in eq. 6.5 by  $m(\bar{\psi}/(t))$ . This method leads to an erroneous relationship between average saturation increase and time. As shown above  $\bar{\psi}$  is proportional to  $\sqrt{t}$  for a large range of  $\psi$ . This, however, does not hold if the apparent diffusion coefficient changes with time. Thews' method has also been severely criticized by Roughton (1959).

## 6.2 Experimental investigations reported in the literature

### 6.2.1 Oxygenation of hemoglobin layers.

Prior to the present study the Fribourg experiments were the only systematical experimental study of the oxygenation of hemoglobin layers. As has been mentioned in chapter 1, these experiments were performed according to the same principles as the present experiments.

In both cases deoxygenated layers of hemoglobin solutions are suddenly exposed to a gas mixture containing oxygen, and the oxygenation process is recorded by a change in light absorption. The present experiments and the Fribourg experiments mainly differ in the experimental procedure and naturally in the instrumentation as improved and developed over a period of a quarter of a century (Kreuzer and Betticher 1951). Some of these differences are:

- 1) In the Fribourg experiments the layer thickness was calculated from the amount of solution spread over a known area, in the present experiments the thickness is measured optically.
- 2) In the Fribourg experiments the layers, after having been spread, first had to be deoxygenated by nitrogen, whereas in the present study they are spread anaerobically.
- 3) In the present experiments the change in gas atmosphere is effected more rapidly than in the Fribourg experiments where the new gas mixture was sucked into the gas chamber.
- 4) In the Fribourg experiments the light intensity was recorded relative to the intensity at  $S = 0$ , thus in fact  $(I - I_0)$ , whereas in the present experiments the absolute light intensity ( $I$ ) is measured.

In the publications reviewed by Kreuzer (1953) only the time for complete oxygenation was read and evaluated. The determination of this time from the recordings is subject to errors because the curves become very flat at their top. In a later publication Klug et al. (1956) reported the times needed for 1/3 and 1/2 of full deflection. However, these deflections were assumed to be equal to the rise in saturation which in fact they are not. Knowing the extinctions of oxyhemoglobin and deoxyhemoglobin at the wavelength of the light used, the saturation belonging to 1/2 and 1/3 deflection can be calculated (see Appendix D). Assuming a linear relationship between  $S$  and  $\sqrt{t}$ , the real time needed to reach  $S = 1/2$  and  $S = 1/3$  can be estimated. The difference between the values estimated and the original data depends on the hemoglobin concentration as shown in figs. 6.2a and 6.2b. The influence of the

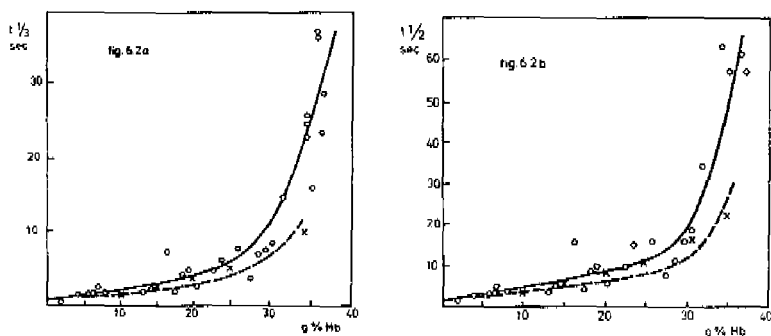


Fig. 6.2. Experimental results of Klug et al. (1956) for 100  $\mu$ m layers of hemoglobin solution using pure oxygen as oxygenating gas ( $P_1 = 700$  mm Hg under their conditions). Fig. 6.2a. Time needed to reach one third saturation as a function of hemoglobin concentration. Fig. 6.2b. Time needed to reach half saturation. Open circles are data points obtained by assuming the deflection of the oximeter to be proportional to the saturation increase. Solid line is the regression curve fitting the data points according to Klug et al. (1956). Broken line is the regression curve corrected for the non-linear relationship between oxygen saturation and light intensity (see text) and therefore presents a better estimate of the values of  $t_{1/2}$  and  $t_{1/3}$ . Crosses are data calculated from the values of  $aD_C$  and  $D_H$  found in the present study.

correction is considerable in particular at high hemoglobin concentrations. In fig. 6.2 the crosses represent calculations of  $\tau_{1/3}$  and  $\tau_{1/2}$  based on the numerical values of  $\alpha D_C$  and  $D_H$  estimated from the experimental results of the present investigation. They fall within the data range reported by Klug et al. From recordings of other incomplete series of oxygenation experiments performed by Kreuzer, using air instead of pure oxygen, the normalized oxygenation time has been determined by plotting  $\log S$  versus  $\log t$  and extrapolating the "straight part" of the curves to  $S = 1$ . The results are compared in fig. 6.3 with a calculated curve using the data for  $\alpha D_C$  and  $D_H$  according to the present investigation. These data also scatter considerably but are of the same order of magnitude as the present results.

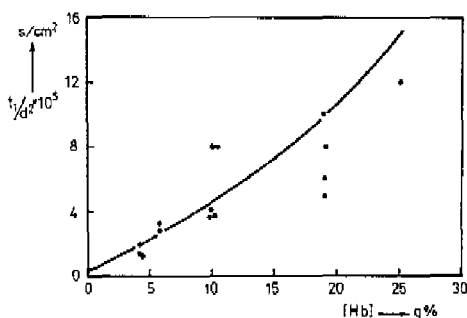


Fig. 6.3. Normalized oxygenation times determined from recordings of other incomplete series of oxygenation experiments performed by Kreuzer (1953), using air as oxygenating gas ( $P_1 = 140$  mm Hg under his conditions). The solid line is drawn based on the results of  $\alpha D_C$  and  $D_H$  found in the present study. Temperature is  $25^\circ\text{C}$ .

In fig. 6.4 the results of the preliminary experiments of the present investigation are compared with curves calculated on the basis of data for  $\alpha D_C$  and  $D_H$  obtained from the earlier and final experiments. These normalized oxygenation times are also obtained from  $\log S$  versus  $\log t$  plots. The values of the extinctions used are those obtained from the wedge experiments performed in the preliminary phase. The gases used in the preliminary experiments contained 5%  $\text{CO}_2$ . Fig. 6.4a shows results for  $P_1 = 700$  mm Hg and fig. 6.4b for  $P_1 = 140$  mm Hg. Next to the curves the percentage of hemoglobin is given as measured for the different

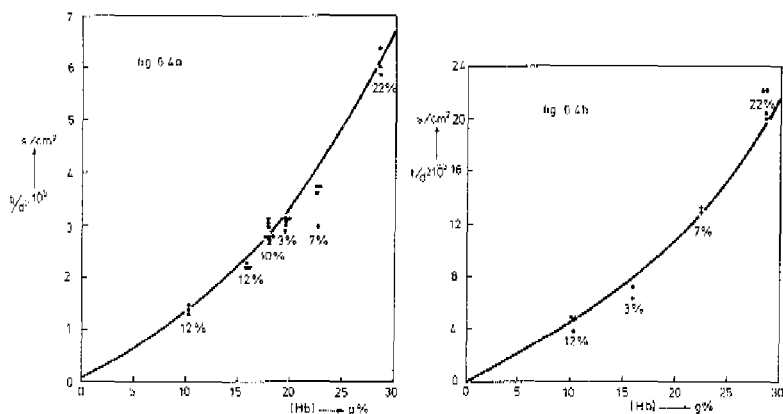


Fig. 6.4. Results of the preliminary experiments of the present study (dots) compared with curves calculated on the basis of the experiments of the 2nd and 3rd group. Fig. 6.4a presents results for  $P_j = 700$  mm Hg, fig. 6.4b presents results for  $P_j = 140$  mm Hg. The numbers next to the group of points show the percentage of methemoglobin present in the respective experiments. Although  $S_{Hi}$  is quite high the agreement between the preliminary experiments and the experiments performed later is fairly good.

solutions used. It is remarkable that good agreement between the calculated curves (which are based on  $S_{Hi} = 0$ ) and the experimental values exists. Previously (Spaan et al. 1974) the normalized oxygenation time had been presented as a function of the oxygen binding capacity  $h$ , leading to a much larger deviation between the preliminary and later experiments. The relatively good agreement between data points and calculated curves of fig. 6.4 may be explained by compensating effects; increasing  $S_{Hi}$  decreases the oxygen binding capacity and thus decreases  $\tau_{l,n}$ , whereas  $D_C$  and  $hD_H$  are lower than when using a hemoglobin solution of the same value of  $h$  but  $S_{Hi} = 0$ ; this consequently leads to increased values of  $\tau_{l,n}$ .

### 6.2.2 Oxygenation of single cells

Thews (1959) studied the oxygen uptake of mono-erythrocytic layers. The deoxygenated layer was suddenly exposed to a gas of known  $PO_2$  corresponding to  $P_j$  values ranging from 8 to 150 mm Hg. The smallest dimension of the human red cell is about 1.6  $\mu m$ , the largest about 7  $\mu m$ . Assuming that the cells lie flat in a monolayer, they have a plasma



boundary layer of approximately 1.5  $\mu\text{m}$  thickness on both sides. When applying a  $\text{PO}_2$  of 100 mm Hg, 80% oxygen saturation was obtained within 80 msec, whereas Kutchai (1970) and Moll (1968) calculated a time of approximately 34 ms for a cell without boundary layer. Thews (1959) applied the advancing front equation extended for the diffusion resistance of the plasma layer to this experiment ( $\text{P}_{50}$  of the blood was 8 mm Hg). The agreement between calculation and experiment was satisfactory.

Other experiments on the oxygen uptake by red cells were performed using the rapid-reaction technique. The interpretation of the experimental results is quite complex and hence is often oversimplified, certainly in the case of the "stopped-flow" technique (Holland and Forster 1966, Holland 1970). In these experiments two liquids, one containing a small amount of deoxygenated red cells and the other equilibrated with a known  $\text{PO}_2$ , are rapidly (within 1 msec) mixed in a mixing chamber. Subsequently the liquid is stopped in an observation tube, and the oxygenation of the red cells is recorded colorimetrically. The models used to describe these experiments, however, are far from adequate. For example it is assumed that the oxygen concentration of the cell-liquid interface is constant and equal to the bulk oxygen concentration without accounting for the oxygen binding to hemoglobin. However, assuming the red cell to be a sphere, the total amount of oxygen taken up by one red cell during a 10% saturation increase equals the total amount of oxygen ( $\text{P}_i = 100$  mm Hg) present in a shell around the cell with a thickness of 1 to 2 times the radius of the cell. Hence these experiments might be explained by a "sink" model applied to absorption from an infinite medium rather than by a red cell oxygenation model.

Mochizuki (1970) performed similar experiments with a rapid reaction apparatus. Here the liquid remains in motion during the observation. These experiments clearly demonstrated an effect of the flow on the oxygenation rate of the red cells. The author refers to Mochizuki and Fukuoka (1958) to explain the flow dependency of the oxygen uptake by the presence of a plasma boundary layer around the cell, the thickness of the layer depending on flow rate. Comparison of their results (their fig. 1) with the oxygenation models of Kutchai (1970) and Moll (1968) is not possible because of the differences in the boundary conditions applied.

### 6.3 The solubility of oxygen in hemoglobin solutions

As shown in chapter 3 the solubility need not be known accurately for the determination of  $\alpha D_C$  and  $D_H$ . However, for the determination of  $D_C$  the solubility obviously has to be known with an accuracy at least comparable to the determination of the permeability  $\alpha D_C$ . As shown by Sendroy, Dillon and van Slyke (1934), the solubility of oxygen in water ( $\alpha_{H_2O}$ ) is decreased by the presence of dissolved salts but increased by dissolved proteins. The same authors showed that the law of Henry is valid for blood within the range of  $O_2$  pressures of interest. There are some uncertainties in the literature concerning the value of the oxygen solubility of hemoglobin solutions ( $\alpha_{Hb}$ ). Sendroy et al. (1934) are the only authors reporting on the oxygen solubility of hemoglobin solutions. They found no difference in solubility increase per g Hb between stroma-free hemoglobin solutions and suspensions of red cells in saline at  $38^\circ C$ . However, the oxygen solubility of blood ( $\alpha_B$ ) found by Sendroy et al. is 4% higher at  $37^\circ C$  and 20% higher at  $20^\circ C$  than that found more recently by Christoforides and Hedley-Whyte (1969). Hedley-Whyte and Laver (1964) measured the temperature coefficient of the oxygen solubility and showed that the ratio  $\alpha_B/\alpha_{H_2O}$  is independent of temperature. Although this ratio does not linearly depend on hemoglobin concentration their results can be approximated to within 1.5% by

$$\alpha_B/\alpha_{H_2O} = 0.9 + 0.00312 [\text{Hb}] \quad (6.6)$$

where  $[\text{Hb}]$  is in units of g%.

The oxygen solubility of the hemoglobin solutions used in the present study was calculated from  $\alpha_{H_2O}$  given by the Handbook of Physics and Chemistry (1952) ( $\alpha_{H_2O} = 1.69 \cdot 10^{-6}$  at  $25^\circ C$ ), taking into account the decrease of solubility due to dissolved salts by the factors given by Sendroy et al. ( $\Delta\alpha = 4.3 \cdot 10^{-7}$  per mol NaCl,  $[\text{NaCl}]$  approximately equals 0.07 mol/l) and the increase of solubility due to hemoglobin as found from formula 6.6 leading to

$$\alpha_{Hb} = \alpha_{H_2O} (1 + 0.00312 [\text{Hb}]) - \Delta\alpha [\text{NaCl}] \quad (6.7)$$

### 6.4 The diffusion coefficient of oxygen in hemoglobin solutions

The diffusion coefficient of oxygen in hemoglobin solutions was

studied experimentally by several authors. Comparison of their results is meaningful only in terms of the ratio of  $D_C$  in hemoglobin solution to that in saline as reported by the same author. Commonly a value of  $2.07 \cdot 10^{-5} \text{ cm}^2/\text{s}$ , as published by Goldstick and Fatt (1970) is accepted as a standard value for  $D_C$  in saline ( $= D_{C,s}$ ). A compilation of the available data on  $D_C$ , corrected to  $D_{C,s} = 2.07 \cdot 10^{-5} \text{ cm}^2/\text{s}$ , was provided by Kreuzer (1970). This compilation is presented in fig. 6.5. In fig. 5.3 diffusion coefficients as calculated from the permeabilities ( $\alpha D_C$ ) found in the present investigation are compared with the regression

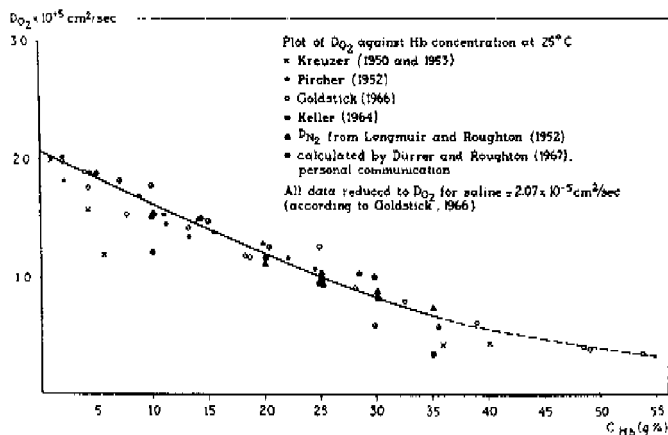


Fig. 6.5. Compilation by Kreuzer (1970) of the data available for the diffusion coefficient of oxygen in hemoglobin solution, normalized to a value of  $D_C$  in saline ( $= D_{C,s}$ ) of  $2.07 \cdot 10^{-5} \text{ cm}^2/\text{s}$  according to Goldstick (1966).  $C_{Hb}$  = hemoglobin concentration.

curve of fig. 6.5. The present values of  $D_C$  fit quite well within the range of experimental error. A small deviation may be explained by an error in  $D_{C,s}$  as found by Goldstick and Fatt (1970) or by an error in the values of the solubility used here.

Goldstick and Fatt (1970) compiled the data available in the literature on the diffusion coefficient of oxygen in water. These values scatter between  $1.87 \cdot 10^{-5}$  and  $2.44 \cdot 10^{-5} \text{ cm}^2/\text{s}$ . In the opinion of Goldstick and Fatt (1970) the large scatter of these values is due to differences in the methods used, most of them requiring specific "empirical constants, fluid flow characterization and/or chemical analysis".

The diffusion coefficient of oxygen at the red cell hemoglobin concentration (35 g%) is about one third of the value in saline. This decrease can be explained by reduction of the diffusion area available for the oxygen molecules. Stroeve (1974) compared the results of Goldstick and Fatt (1970) on  $D_C$  for bovine serum albumine and hemoglobin solution with a Maxwell model for stationary diffusion in a heterogeneous medium, assuming the protein molecules to be immobile with respect to the oxygen molecules. This comparison appeared to be quite satisfactory when assuming a bimolecular water layer surrounding the protein molecule.

## 6.5 The diffusion coefficient of hemoglobin

### 6.5.1 Agreement between mutual and tracer diffusion coefficients of hemoglobin

The diffusion coefficient of hemoglobin has been measured by applying a known gradient in the hemoglobin concentration or by applying a known gradient of labeled hemoglobin with no gradient in the total (labeled plus unlabeled) hemoglobin concentration. The diffusion coefficient estimated by the first method is commonly referred to as "mutual diffusion coefficient" whereas that estimated by the latter method as "tracer diffusion coefficient". Theoretically the values of the two diffusion coefficients may be different as a result of the interaction between labeled and unlabeled solute. Keller et al. (1971) studied experimentally both the tracer and mutual diffusion coefficient of hemoglobin and found no significant difference. This result is of practical importance for the present study where in fact the oxyhemoglobin molecules are considered as labeled hemoglobin molecules. For a more detailed theoretical consideration of the diffusion coefficient of hemoglobin the reader is referred to Keller et al. (1971), and for several definitions of the diffusion coefficient to Crank (1975).

### 6.5.2 Compilation of the published values of the diffusion coefficient of hemoglobin

In 1970 Kreuzer compiled the published values of  $D_H$  at temperatures between 20 and 25°C as a function of hemoglobin concentration. This compilation is shown in fig. 6.6 together with the curve chosen by Kreuzer to be a compromise.

As already shown in fig. 5.4 our data presently found are high above this compromise curve. Moreover, the values of  $D_H$  as reported by Keller

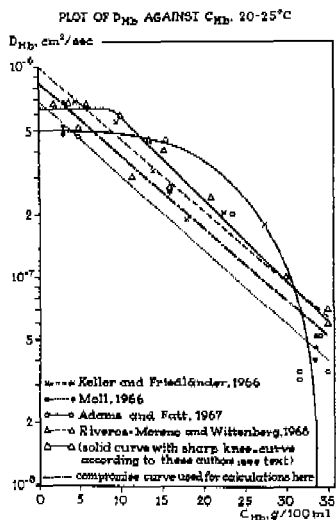


Fig. 6.6. Compilation by Kreuzer (1970) of the data available for the diffusion coefficient of hemoglobin in the temperature range of 20 to 25 °C as a function of hemoglobin concentration ( $C_{Hb}$ ).

et al. (1971) were not yet available to Kreuzer. Because of the large scatter in the data, the publications dealing with the diffusion coefficient of hemoglobin have been reconsidered, correcting and completing the original data as follows (for details see section 6.5.3):

- 1) All  $D_H$  values found at temperatures between 20 and 25°C were recalculated for 25°C.
- 2) The values of  $D_H$  found by Adams and Fatt (1967) are not shown since, as will be discussed below, they deviate too much from those reported by the other authors.
- 3) The results of Moll (1966) were corrected for tortuosity.
- 4) The results of Keller et al. (1971) replace the results of Keller and Friedlander (1966).
- 5) The results of the present study are added.

These revised data are plotted in fig. 6.7. Here also the original compromise curve of Kreuzer (1970) from fig. 6.6 is included to demonstrate the effect of these corrections. However, this compromise curve now should also be adjusted accordingly since it had been constructed from the original data of the literature.

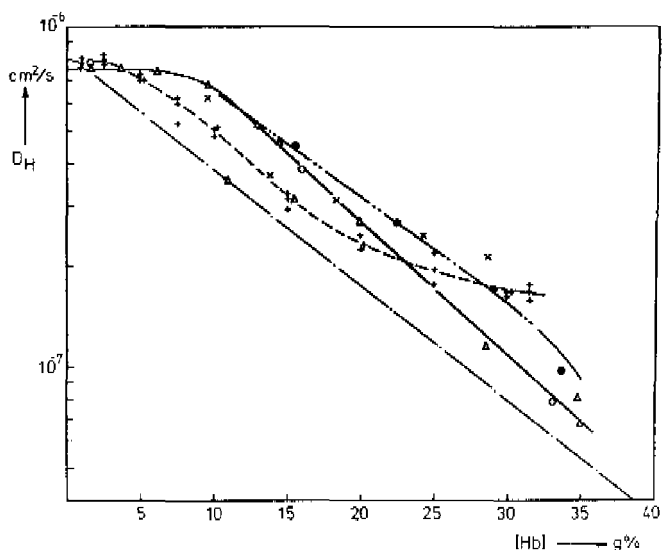


Fig. 6.7. New compilation of data available for the diffusion coefficient of hemoglobin. Now the data of Moll (1966) and Riveros-Moreno and Wittenberg (1972) are corrected for temperature (35 °C), and for the filters applied (Moll).

- final experiments
- × earlier experiments
- + Keller et al. (1971)
- △ Riveros-Moreno and Wittenberg (1972)
- Moll (1966)

### 6.5.3 Discussion of the corrections applied to the results of different authors

The effect of temperature on  $D_H$  was studied by Keller et al. (1971). They reported a temperature coefficient between 3.2 and 3.4%/°C for Hb concentrations between 1 and 35 g% and temperatures between 25 and 37°C, but a value of 2.3%/°C for a  $[Hb] = 2.5$  g% and temperatures between 15 to 25°C. Hence quite arbitrarily a value of 3%/°C has been used in the present study to correct the data reported by Riveros-Moreno et al. (1972) and by Moll (1966). At low hemoglobin concentrations the values of  $D_H$  at 25°C found by Riveros-Moreno et al. (1972) and Keller et al. (1971) coincide.

Moll (1966) determined  $D_H$  (tracer method) for hemoglobin solutions free of stroma or containing stroma. Only the values found for stroma-free hemoglobin solutions are considered here. Moll used two different methods for measuring  $D_H$  although both followed the same principle. Hemoglobin solutions were arranged in four layers of equal thickness. The hemoglobin of one layer, which had been labeled, diffused into the other layers. After a suitable time the layers were separated. The distribution of the labeled hemoglobin was determined and yielded a value of the diffusion coefficient of hemoglobin. The difference of the two methods lies in the manner the layers were produced:

- 1) by a set of chambers formed by boreholes (diameter 1 cm) in discs (thickness 1 or 2 mm) piled up around a central bar, or
- 2) by millipore filters (HAWPO 4 74 SO, thickness when wet 160  $\mu\text{m}$ ).

The first method only was applied by Moll to stroma-free hemoglobin solutions with a hemoglobin concentration of 3 g%. The second method was applied to solutions having a hemoglobin concentration of 2 to 4 g%, 16 g% and 33 g%. As is well known (see also below) the pores within these filters are not quite straight and parallel as Moll also recognized. However, a correction factor for the filter may be found by assuming that  $D_H$  determined by both methods at  $[\text{Hb}] = 3 \text{ g\%}$  has to be the same. This assumption results in a virtual increase of the diffusion path length by 17%. Hence, the values for  $D_H$  found by Moll at hemoglobin concentrations of 16 g% and 33 g% have to be multiplied by a factor of 1.37. So, after temperature correction and filter correction (tortuosity), the results of Moll are in fair agreement with those of Riveros-Moreno et al. (1972).

#### 6.5.4 Review of the experimental methods using stirred reservoirs

Porous materials for maintaining a well-defined layer of hemoglobin solution were applied by Adams and Fatt (1966) (sintered glass 22 mm thick, 2 cm diameter, Pyrex Grade Fina, 5  $\mu\text{m}$  pore spaces), Keller and Friedlander (1966) (RA Millipore filter, 150  $\mu\text{m}$  thick, porosity 82%), Keller et al. (1971) (WS Millipore filter, 150  $\mu\text{m}$  thick, porosity 45%, pore diameter 3  $\mu\text{m}$ ) and Riveros-Moreno and Wittenberg (1971) (HA Millipore filter, 150 and 300  $\mu\text{m}$  thick, porosity 79%, pore size 0.45  $\mu\text{m}$ ).

Adams and Fatt studied the hemoglobin release from the hemoglobin solution contained within the sintered glass disc into a well-stirred reservoir containing a protein solution of equal concentration. The

protein had a molecular weight and dimensions within five percent of those of hemoglobin. In fact this study is of quasitracer nature.

The other authors applied the principle of the diaphragm cell method. Basically the apparatus consists of two well-stirred reservoirs separated by a porous diaphragm. The concentration of the diffusing species (Hb or labeled Hb) differs in the two reservoirs and the diffusion coefficient is determined by measuring the respective concentration changes with time.

The experiments described above may be criticized since notwithstanding the application of stirring a diffusion boundary layer may arise at the boundaries of the disc. The thickness of this boundary layer depends on the one hand on the flow pattern at the disc surfaces, and on the other hand on the diffusion coefficient of the diffusing species. Certainly this effect is important because the diffusion apparatus mostly are calibrated with a NaCl or KCl solution having diffusion coefficients approximately 20 to 200 times larger than those at hemoglobin concentrations of 3 and 35 g% respectively. It is not clear from the cited papers, whether the effect of stirring was thoroughly studied for solutions of different hemoglobin concentration.

#### 6.5.5 Discussion of the published data on the diffusion coefficient of hemoglobin

At a hemoglobin concentration of 2.5 g% and lower there is good agreement between four experimental studies, the three shown in fig. 6.7 and the study of Lamm and Polson (1936) providing a value of  $6.8 \cdot 10^{-7} \text{ cm}^2/\text{s}$  at  $20^\circ\text{C}$  or, after temperature correction,  $7.8 \cdot 10^{-7} \text{ cm}^2/\text{s}$  at  $25^\circ\text{C}$ . The value of  $D_H$  found by Adams and Fatt (1967) at low hemoglobin concentration ( $5 \cdot 10^{-7} \text{ cm}^2/\text{s}$ ) is significantly lower than that found by the other authors ( $7.8 \cdot 10^{-7} \text{ cm}^2/\text{s}$ ). At a hemoglobin concentration of 31 to 35 g% the values of  $D_H$  found by Adams and Fatt again are much lower than those found by 3 other authors. Hence, it seems justified to not longer consider the values of  $D_H$  found by Adams and Fatt (1967). Rejecting the measurements of Adams and Fatt (1967), reconsidering the measurements of Moll (1966), and adding the recent results of Keller et al. (1971) and those of the present study provide a picture completely different from that reported by Kreuzer (1970) (fig. 6.6). Nevertheless, essential features of the various measurements still differ. The shape of the curve drawn through the data points of Keller et al. (1971) is quite



different from the curve drawn through the data points of Riveros-Moreno and Wittenberg (1972); the data of Moll (1966) are similar to those of the latter. It should be noted here that Moll's data are based on 8 or 9 single observations showing a standard deviation of approximately 10%. An explanation of the divergent results has to be sought in the different experimental techniques applied, in the difference in temperature at which the experiments were performed, or in a combination of both. The  $D_H$  values from the present investigation differ significantly from the results of Keller et al. (1971) around 10% [Hb] but agree at 30 g% Hb. The reverse is true with the results of Riveros-Moreno and Wittenberg (1972). An explanation of these differences would be purely speculative and will therefore not be attempted here.

#### 6.6 Concluding discussion

In the present investigation the nonsteady-state oxygen uptake of layers of hemoglobin solution has been studied theoretically and experimentally. The theoretical models are based on the application of Fick's first and second law to the diffusion of oxygen as well as of hemoglobin. An extended parameter analysis has been performed to obtain general insight into the problem of facilitated diffusion and to define the range of parameter values experimentally applicable in order to estimate values of the permeability of oxygen and of the effect of hemoglobin-mediated oxygen transfer. An experimental method has been developed and instrumentation has been designed and developed to measure normalized oxygenation times ( $t_1/d^2$ ) as a function of the reciprocal boundary oxygen partial pressure. The experimental technique appeared to be applicable to hemoglobin solutions with hemoglobin concentrations between 10 and 34 g%, layer thicknesses between 50 and 220  $\mu\text{m}$ , and boundary  $\text{PO}_2$  values ( $P_1$ ) between 700 and 140 mm Hg. The experimental data of the normalized oxygenation time versus the reciprocal boundary oxygen pressure were fitted to the theoretical diffusion model using the polygonal approximation. The estimated parameters were  $\alpha D_C$  and  $D_H$ , the first parameter with an accuracy between 3.5% and 10%, the second parameter with an accuracy between 7 and 15%. The final experiments proved to be more accurate than the earlier experiments. The values found for  $\alpha D_C$  as a function of hemoglobin concentration agree fairly well with those found by Stroeve (1973) using a completely different

method. Reliable data for the oxygen solubility in hemoglobin solutions are not available in the literature. However, values of this solubility were estimated from solubility measurements in water, saline and whole blood. The values of the diffusion coefficient of oxygen calculated from the measured permeabilities by using the estimated values of the solubility agree quite well with the data from the literature as compiled by Kreuzer (1970).

Considerable discrepancy exists between the diffusion coefficients of hemoglobin as obtained in different studies. The dependence of  $D_H$  on hemoglobin concentration found here is similar to that found by Riveros-Moreno and Wittenberg (1972). The results of Keller et al. (1971), show a completely different picture. The present study fails to present sufficient and accurate data of  $D_H$  at very high hemoglobin concentration. However, it is quite difficult to obtain accurate data at a high hemoglobin concentration. The hemoglobin concentration of a highly concentrated solution easily changes due to crystallization of Hb. The same must hold for the experiments performed by other authors. Moreover, when highly concentrated hemoglobin solutions are not very stable even in bulk solution, how the stability will be within a filter during experiments lasting many hours (30 hours in the Riveros-Moreno and Wittenberg experiments)?

With regard to the facilitated diffusion within the red cell the shape of the curve in fig. 3.8 is of particular importance. The relative influence of a reduction in oxygenation time due to facilitated diffusion is highest at low values of  $D^*$ . Applying the following data to the interior of the red cells,  $[Hb] = 34.5 \text{ g\%}$ ,  $D_H = 7.5 \cdot 10^{-8} \text{ cm}^2/\text{s}$ ,  $D_C = 0.8 \cdot 10^{-5} \text{ cm}^2/\text{s} = 1.7 \cdot 10^{-6} \text{ mol/l/mm Hg}$ ,  $(S_1 - S_2)/(P_1 - P_2) = 0.015 \text{ l/mm Hg}$ , provides a value of 1.74 for  $D^*$ . Consequently under normal physiological circumstances the oxygenation time according to the half-infinite equilibrium model is reduced by more than 50% as a result of hemoglobin-mediated diffusion. The influence of carrier facilitation may become even larger when the oxygen is exchanged in the steeper part of the saturation curve.

## Appendix A

### Numerical method applied to the finite layer diffusion model

The numerical method applied is based on the finite difference scheme of Crank-Nicholson as dealt with in several textbooks, e.g. Lapidus (1962) and Crank (1975). The differential equation (eq. 3.11) which has to be solved can be written as

$$\frac{\partial F}{\partial t} = \frac{\partial^2 G}{\partial x^2} \quad (\text{A.1})$$

$$\text{where } F = \phi + H\psi \quad (\text{A.2})$$

$$G = \phi + D\psi \quad (\text{A.3})$$

For simplicity the dimensionless time and dimensionless space variables now will not be marked by an asterisk. Approximate values of  $F$  and  $G$  are calculated at  $n+1$  equidistant points at time intervals of  $\Delta t$  as illustrated in fig. A.1. The Crank-Nicholson method essentially is

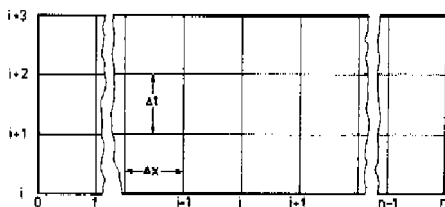


Fig. A.1. Illustration of the Crank-Nicholson method. The hemoglobin layer is divided into  $n + 1$  equidistant points numbered by  $0 \leq j \leq n$ ; at these points approximations of a continuous function are calculated at time intervals  $\Delta t$  numbered by  $i$ .

based on series expansion of  $F$  and  $G$  around the points  $i+\frac{1}{2}$ ,  $j$  and results in the following difference equation

$$\frac{F_{i+1,j} - F_{i,j}}{\Delta t} = \frac{1}{2} \left\{ \frac{G_{i+1,j-1} - 2 G_{i+1,j} + G_{i+1,j+1}}{\Delta x^2} + \frac{G_{i,j-1} - 2 G_{i,j} + G_{i,j+1}}{\Delta x^2} \right\} \quad (\text{A.4})$$

Eq. A.4 holds in the points where  $1 \leq j \leq n-1$ . For  $2 \leq j \leq n-1$  all values of F and G with index i are known whereas with index  $i+1$  are unknown. For  $j=1$  the values of  $G_{i,0}$  as known from the boundary condition at  $x=0$  are used in eq. A.4. For  $j=n$  the value  $G_{i,n+1}$  appears in eq. A.4. However, since the boundary condition at  $x=1$  requires  $\frac{\partial G}{\partial x} = 0$  and moreover the problem may be considered symmetrical around  $x=1$  one may write

$$G_{i,n+1} = G_{i,n-1} \quad (\text{A.6})$$

Hence n equations are obtained having 2 n unknowns being  $G_{i+1,j}$  and  $F_{i+1,j}$  with  $1 \leq j \leq n$ . The terms  $G_{i+1,j}$  and  $F_{i+1,j}$  are connected by the dimensionless saturation curve and thus also 2 n equations become available, though not being linear. To overcome this difficulty only  $\phi$  is considered to be unknown at the points  $i+1$ , and an estimate is made for  $\psi_{i+1,j}$  according to

$$\psi_{i+1,j} - \psi_{i,j} = \left( \frac{d\psi}{d\phi} \right)_{i,j} (\phi_{i+1,j} - \phi_{i,j}) \quad (\text{A.7})$$

The difference equation to be applied now can be deduced from eq. A.4 to be

$$\begin{aligned} & \frac{1}{\Delta t} \left\{ (1+H) \left( \frac{d\psi}{d\phi} \right)_{i,j} \phi_{i+1,j} - (1+H) \left( \frac{d\psi}{d\phi} \right)_{i,j} \phi_{i,j} - H \psi_{i,j} \right\} = \\ & \frac{1}{2(\Delta x)^2} \left\{ (1+D^*) \left( \frac{d\psi}{d\phi} \right)_{i,j-1} \phi_{i+1,j-1} + (1-D^*) \left( \frac{d\psi}{d\phi} \right)_{i,j-1} \phi_{i,j-1} + D^* \phi_{i,j} \right. \\ & - 2(1+D^*) \left( \frac{d\psi}{d\phi} \right)_{i,j} \phi_{i+1,j} - 2(1-D^*) \left( \frac{d\psi}{d\phi} \right)_{i,j} \phi_{i,j} - 2 D^* \psi_{i,j} \\ & \left. + (1+D^*) \left( \frac{d\psi}{d\phi} \right)_{i,j+1} \phi_{i+1,j+1} + (1-D^*) \left( \frac{d\psi}{d\phi} \right)_{i,j+1} \phi_{i,j+1} + D^* \psi_{i,j+1} \right\} \end{aligned} \quad (\text{A.8})$$

In this way a number of n linear equations are obtained with n unknowns which are easily solved by the method of elimination.

In deriving the finite difference equations the higher-order terms in the series expansions are neglected, resulting in a truncation error.

This truncation error depends on the one hand on the values chosen for  $\Delta x$  and  $\Delta t$ , and on the other hand on the higher-order derivatives. The higher-order derivatives become smaller when time increases and hence  $\Delta t$  may be chosen to increase whilst the truncation error remains of the same order of magnitude at every time step. The quantity of main interest is  $\bar{\psi}$  which increases with  $\sqrt{t}$  over a wide range. Hence it was decided to change  $\Delta t$  according to

$$\Delta t_i = \sqrt{\Delta t_1} \sqrt{t_i}$$

where  $\Delta t_i$  = time interval at step  $i$

$\Delta t_1$  = time interval chosen for the first step

$$t_i = \sum_{j=1}^i \Delta t_j = \text{the actual time after step } i.$$

Convergence of the numerical procedure was studied by doubling the number of intervals and halving  $\Delta t_1$ . The order of convergence appeared to be approximately 1.6.

## Appendix B

### Numerical method applied to the half-infinite layer model

As mentioned in section 3.3.1 the equation to be solved numerically is

$$\frac{d^2\phi}{d\eta^2} = -\frac{1}{A} \frac{d\phi}{d\eta} \left\{ \frac{1}{2} \eta B + D^* \frac{d^2\psi}{d\phi^2} \frac{d\phi}{d\eta} \right\} \quad (\text{B.1})$$

$$\text{where } A = 1 + D^* \left( \frac{d\psi}{d\phi} \right) \quad (\text{B.2})$$

$$B = 1 + H \left( \frac{d\psi}{d\phi} \right) \quad (\text{B.3})$$

with the physical boundary conditions

$$\eta = 0 ; \phi = 1 \quad (\text{B.4})$$

$$\eta \rightarrow \infty ; \phi \rightarrow 0 \quad (\text{B.5})$$

In the computer library a numerical integration routine is at disposal requiring two boundary conditions at  $\eta = 0$ . Hence boundary condition eq. B.5 has to be replaced by:

$$\eta = 0 ; \frac{\partial\phi}{\partial\eta} = \chi_0 \quad (\text{B.6})$$

where the value of  $\chi_0$  has to be found such that  $\phi = 0$  for  $\eta \rightarrow \infty$ . In case  $d\psi/d\phi$  remains positive and  $\chi_0$  is given a negative value the relationship between  $\phi$  and  $\eta$  will follow a curve like the one shown in fig. B.1:  $\phi$  decreases as a function of  $\eta$  and approaches to a limit value  $\phi^\infty$  for  $\eta \rightarrow \infty$ . Consequently a criterion is needed to decide that the upper integration boundary  $\eta_{\ell_\infty}$  is large enough to make  $\phi_\ell (= \phi \text{ at } \eta = \eta_\ell)$  sufficiently close to  $\phi^\infty$ .

The quantity of interest is the dimensionless oxygenation time  $t_1^*$ , hence according to eq. 3.23

$$\int_0^\infty \psi_\infty(\eta) d\eta \quad (\text{B.7})$$

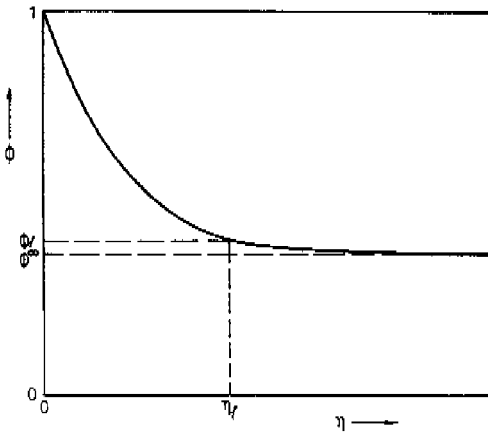


Fig. B.1. Typical shape of a  $\phi$  versus  $\eta$  curve as calculated by the Runge-Kutta method with an arbitrary value of  $(d\phi/d\eta)_{\eta=0}$ .  $\phi_2$  is the approximation of  $\phi^\infty$  when truncating the integration at  $\eta = \eta_2$ .  $\phi$  = dimensionless oxygen concentration;  $\eta = x^*/\sqrt{t^*}$ .

When truncating the integration at  $\eta = \eta_2$  and in case  $\phi^\infty = 0$  the relative error in  $\sqrt{t_1^*}$  can be written as

$$\beta = \frac{\int_{\eta_2}^{\infty} \psi_\infty(\eta) d\eta}{\int_0^{\infty} \psi_\infty(\eta) d\eta} \quad (\text{B.8})$$

An approximation of the numerator in eq. B.8 may be found by assuming that  $\eta_2$  is so large that  $\phi_2$  is already close to  $\phi^\infty$ . In this case the relationship between  $\psi$  and  $\phi$  will be linear for  $\eta > \eta_2$  and thus in this region eq. B.1 reduces to eq. 3.25 with the general solution

$$\phi = E \operatorname{erf}\left(\frac{\eta_2 \sqrt{B}}{2\sqrt{A}}\right) + K \quad (\text{B.9})$$

$$\text{where } A = 1 + D^* \left(\frac{d\psi}{d\phi}\right)_{\eta=\eta_2}; \quad B = 1 + H \left(\frac{d\psi}{d\phi}\right)_{\eta=\eta_2} \quad (\text{B.10})$$

Eq. B.9 equals eq. 3.27, however, the boundary conditions differ:

$$\eta \rightarrow \infty ; \phi \rightarrow 0 \quad (\text{B.11})$$

$$\eta = \eta_{\ell} ; \left( \frac{d\phi}{d\eta} \right)_{\eta=\eta_{\ell}} = \chi_{\ell} \quad (\text{B.12})$$

Using these boundary conditions and applying some mathematical properties holding for the error functions (see Appendix C) it can be shown that

$$\int_{\eta_{\ell}}^{\infty} \psi d\eta \approx \left( \frac{d\psi}{d\phi} \right)_{\eta=\eta_{\ell}} \chi_{\ell} \frac{A^2}{B^2 \eta_{\ell}^2} \quad (\text{B.13})$$

An expression for the denominator of eq. B.8 can be found by writing

$$\int_{x=0}^{\infty} h (S(x,t) - S_1) dx = \int_0^t (D_C \left( \frac{\partial C}{\partial x} \right)_{x=0} + h D_H \left( \frac{\partial S}{\partial x} \right)_{x=0}) dt \quad (\text{B.14})$$

Eq. B.14 forms a mass balance between the oxygen taken up by the hemoglobin layer at time  $t$  (when neglecting the physically dissolved oxygen) and all the oxygen that has passed the layer surface at time  $t$ . Eq. B.14 may be rewritten to

$$H \int_{\eta=0}^{\infty} \psi d\eta = \left( \frac{d\phi}{d\eta} \right)_{\eta=0} + D^* \left( \frac{d\psi}{d\eta} \right)_{\eta=0} \quad (\text{B.15})$$

and thus

$$\beta = \frac{\chi_{\ell}}{\chi_0} \left( \frac{A}{B^2} \frac{H}{\eta_{\ell}^2} \left( \frac{d\psi}{d\phi} \right)_{\eta=\eta_{\ell}} \right) \quad (\text{B.16})$$

Within the range of parameters as mentioned in chapter 3 the term between parentheses in eq. B.16 is in the order of magnitude of 0.1. The ratio  $\chi_{\ell}/\chi_0 = 5 \cdot 10^{-5}$  was taken as the truncation criterion for the integration process. Although derived in case  $\phi^{\infty} = 0$  the truncation criterion of eq. B.16 also holds if  $\phi^{\infty} \neq 0$ .



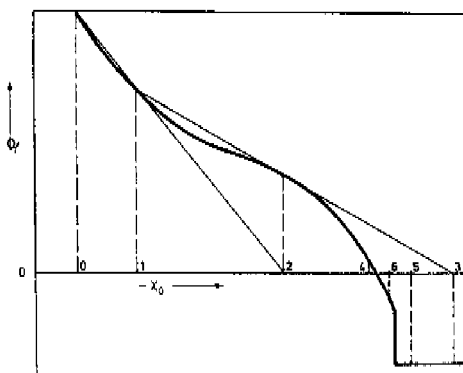


Fig. B.2. Illustration of the shape of the  $\phi_2$  versus  $\chi_0$  curve and the procedure to find the root of this relationship. The inversion point of this curve is a result of the sigmoid shape of the saturation curve. The discontinuity in this curve for negative values of  $\phi_2$ , is artificial and has to be introduced because the Adair equation shows a minimum for the saturation  $S$  at a " $P_{O_2}$ "  $\approx -4$  mm Hg. The values of  $\chi_0$  at points 0 and 1 form the starting points of the root-search procedure. Points 2 and 3 are both found by the interpolation method of Aitken and define the interval in which the root is present. Points 4, 5 and 6 are found by halving the interval. Points 4 and 6 define an interval in which the root is present and the relationship between  $\phi_2$  and  $\chi_0$  is continuous. Finally the root is found by applying the procedure Regula Falsi.

The truncation criterion being known,  $\phi_2$  can be calculated as a function of  $\chi_0$ . In principle, from a mathematical point of view, there is no objection against negative values of  $\phi_2$  as long as  $d\psi/d\phi$  remains positive. When using the Adair scheme for describing the saturation curve  $d\psi/d\phi$  changes in sign at a " $P_{O_2}$ "  $\approx -4$  mm Hg. Hence the integration always was stopped at this point and  $\phi_2$  was given the value of  $-8/P_1$ . The relationship between  $\phi_2$  and  $\chi_0$  has the shape shown in fig. B.2. Because of the bending point in this curve and the discontinuity of the curve at  $\phi = -4/P_1$ , a combination of three different techniques was applied to find the value of  $\chi_0$  where  $\phi_2 = 0$ :

- 1) The extrapolation method according to Aitken on condition that  $\phi_2$  is in the range where its second derivative to  $\chi_0$  is positive. Because of

the bending point in the curve of B.2 an interval will be found in which the point searched for is present. However, then the upper interval limit for  $\chi_0$  will be on the horizontal part of the  $\phi_\lambda$  versus  $\chi_0$  curve.

- 2) Next the method of halving the interval was applied to find an interval containing the root of the  $\phi_\lambda$  versus  $\chi_0$  relationship and in which this relationship is continuous.
- 3) Finally the method "Regula falsi" was applied for estimating the value of  $\chi_0$  where  $|\phi_\lambda| < 10^{-4}$ .

With this strategy the root of the  $\phi_\lambda$  versus  $\chi_0$  relationship was found after 4 to 10 iterations.

## Appendix C

### Mathematical equations relevant to the polygonal approximation

Properties of the error function are

$$\operatorname{erf}(x) = \frac{2}{\sqrt{\pi}} \int_0^x \exp(-y^2) dy \quad (\text{C.1})$$

$$\frac{d \operatorname{erf}(x)}{dx} = \frac{2}{\sqrt{\pi}} \exp(-x^2) \quad (\text{C.2})$$

$$\int_0^x \operatorname{erf}(y) dy = x \operatorname{erf}(x) + \frac{1}{\sqrt{\pi}} (\exp(-x^2) - 1) \quad (\text{C.3})$$

$$\int_x^\infty (1 - \operatorname{erf}(y)) dy = x(\operatorname{erf}(x) - 1) + \frac{1}{\sqrt{\pi}} \exp(-x^2) \quad (\text{C.4})$$

$$\int_0^\eta \exp\left(-\frac{B}{4A} y^2\right) dy = \sqrt{\frac{\pi A}{B}} \operatorname{erf}\left(\eta \sqrt{\frac{B}{4A}}\right) \quad (\text{C.5})$$

$$\int_0^{\eta_c} \operatorname{erf}\left(\eta \sqrt{\frac{B}{4A}}\right) d\eta = \eta_c \operatorname{erf}\left(\eta_c \sqrt{\frac{B}{4A}}\right) + \sqrt{\frac{4A}{\pi B}} \left(\exp\left(-\frac{B}{4A} \eta_c^2\right) - 1\right) \quad (\text{C.6})$$

$$\int_{\eta_c}^\infty (1 - \operatorname{erf}\left(\eta \sqrt{\frac{B}{4A}}\right)) d\eta = \eta_c (\operatorname{erf}\left(\eta_c \sqrt{\frac{B}{4A}}\right) - 1) + \sqrt{\frac{4A}{\pi B}} \exp\left(-\frac{B}{4A} \eta_c^2\right) \quad (\text{C.7})$$

The following approximations are relevant to the polygonal approximation as well as to the contents of Appendix B (for large  $x$ )

$$1 - \operatorname{erf}(x) \approx \frac{2}{\sqrt{\pi}} \frac{\exp(-x^2)}{2x} \left\{ 1 - \frac{1}{2x^2} \right\} \quad (\text{C.8})$$

$$\int_x^{\infty} (1 - \operatorname{erf}(y)) dy \approx \frac{\exp(-x^2)}{2x^2\sqrt{\pi}} \quad (\text{C.9})$$

$$1 - \operatorname{erf}\left(\eta\sqrt{\frac{B}{2A}}\right) \approx \sqrt{\frac{4A}{\pi B}} \frac{\exp\left(-\eta^2\frac{B}{4A}\right)}{\eta} \left| 1 - \frac{A}{2\eta B} \right| \quad (\text{C.10})$$

$$\int_{\eta_c}^{\infty} (1 - \operatorname{erf}\left(\eta\sqrt{\frac{B}{4A}}\right)) d\eta \approx \sqrt{\frac{A}{\pi B}} \left( \frac{A}{B\eta_c^2} \right) \quad (\text{C.11})$$

In calculating the dimensionless oxygenation time an expression for  $\int_0^{\infty} \psi d\eta$  is needed. According to the polygonal approximation it holds

$$\begin{aligned} \int_0^{\infty} \psi d\eta &= (1 - \psi_c) \sqrt{\left[ \frac{4A_1}{\pi B_1} \right]} \frac{1 - \exp\left(-\frac{B_1}{4A_1} \eta_c^2\right)}{\operatorname{erf}\left(\eta_c \sqrt{\frac{B_1}{4A_1}}\right)} \\ &+ \psi_c \sqrt{\left[ \frac{4A_2}{\pi B_2} \right]} \frac{\exp\left(-\frac{B_2}{4A_2} \eta_c^2\right)}{(1 - \operatorname{erf}\left(\eta_c \sqrt{\frac{B_2}{4A_2}}\right))} \end{aligned} \quad (\text{C.12})$$

Next only these cases will be considered where  $\psi_c = 1$ . Hence, the first term on the right-hand side of eq. C.12 is ruled out whilst  $B_1 = A_1 = 1$  as a result of  $d\psi/d\phi = 0$  in region I.

A special case arises if  $\phi_c \rightarrow 0$  because then in region II  $d\psi/d\phi = \psi_c/\phi_c \rightarrow \infty$ . This problem can be solved by writing

$$\frac{B_2}{A_2} = \frac{\phi_c + H\psi_c}{\phi_c + D^*\psi_c} \quad (\text{C.13})$$

and

$$\frac{\phi_c}{1 - \phi_c} \sqrt{\left[ \frac{A_2 B_2}{A_1 B_1} \right]} = \sqrt{(\phi_c + H\psi_c)(\phi_c + D\psi_c)} \quad (\text{C.14})$$

The latter equation is a condition for eq. 3.31 to remain real.

A more specific problem appears when both  $\phi_c$  and  $D$  approach zero, since in this case the ratio  $B_2/A_2$  approaches infinity. To solve this problem we consider

$$\lim_{D^*, \phi_c \rightarrow 0} \frac{1 - \operatorname{erf}(\eta_c \sqrt{\frac{B_2}{4A_2}})}{\sqrt{\phi_c + D^*} \exp(-\eta_c^2 \frac{B_2}{4A_2})} = \frac{2}{\eta_c \sqrt{\pi} \sqrt{H}} \quad (\text{C.15})$$

Because of eq. C.15 eq. 3.31 changes to

$$H \frac{\eta_c}{2} \sqrt{\pi} = \frac{\exp(-\eta_c^2/4)}{\operatorname{erf}(\eta_c/2)} \quad (\text{C.16})$$

and eq. C.12 changes to

$$\int_0^{\infty} \psi d\eta = \eta_c \quad (\text{C.17})$$

## Appendix D

### Derivation of the correction equation applied to the regression curves of Klug et al. (1956)

As dealt with in section 6.2.1, in the Fribourg experiments the light intensity was recorded relative to the intensity at  $S = 0$ . In order to calculate the value  $S$  corresponding to the reading of the oximeter it is defined that

$$\text{at } S = 0 ; I = I_0 \quad (D.1)$$

$$\text{at } S = 1 ; I = I_1 \quad (D.2)$$

and

$$\Delta I = I_0 - I_1 \quad (D.3)$$

so

$$\text{at any } S ; I = I_0 + \gamma \Delta I \quad (D.4)$$

where  $\gamma$  changes with time and is related to the change in oxygen saturation. According to eq. 4.1 one may write

$$I_0 = I_A 10^{-\epsilon_0 bd} \quad (D.5)$$

and

$$I = I_0 + \gamma \Delta I = I_A 10^{-(\epsilon_0 + (\epsilon_1 - \epsilon_0)S)bd} \quad (D.6)$$

Substituting  $I_A$  according to eq. D.5 into eq. D.6 results in

$$I_0 + \gamma \Delta I = I_0 10^{-(\epsilon_1 - \epsilon_0)S bd} \quad (D.7)$$

and consequently

$$S = \frac{10 \log \left(1 + \gamma \frac{\Delta I}{I_0}\right)}{(\epsilon_0 - \epsilon_1)bd} \quad (D.8)$$

Substitution of  $\Delta I/I_0$  according to eq. D.7 in case  $S = 1$  into eq. D.8 results in

$$S = \frac{(1 - \gamma(1 - 10^{(\epsilon_0 - \epsilon_1)bd}))}{(\epsilon_0 - \epsilon_1)bd} \quad (D.9)$$

Eq. D.9 relates  $S$  to  $\gamma$  when the values of  $\epsilon_0, \epsilon_1, b$  and  $d$  are known.

## References

- Adair, G.S.: The hemoglobin system. VI. The oxygen dissociation curve of hemoglobin. *J. Biol. Chem.* 63, 529-545 (1925)
- Adams, L.R., and Fatt, I.: The diffusion coefficient of human hemoglobin at high concentrations. *Respir. Physiol.* 2, 293-301 (1967)
- Assendelft, O.W. van: Spectrophotometry of haemoglobin derivatives. Van Gorcum, Ltd., Assen, The Netherlands (1970)
- Barnikol, W.K.R., and Thews, G.: Zur Interpretation der  $O_2$ -Bindungskurve des Human-Hämoglobins. *Pflügers Arch.* 309, 232-249 (1969)
- Barnikol, W.K.R., and Thews, G.: Zur Dissociation des Human-Hämoglobins. *Pflügers Archiv.* 309, 224-231 (1969)
- Benesch, R., and Benesch, R.E.: The effect of organic phosphates from the human erythrocyte on the allosteric properties of hemoglobin. *Biochem. Biophys. Res. Commun.* 26, 162 - 167 (1967).
- Bert, Paul: Recherches expérimentales sur l'influence que les changements dans la pression barométrique exercent sur les phénomènes de la vie. *Compt. Rend. Acad. Sci. Paris* 75, 88-92, and 543-547 (1872)
- Bird, R.B., Steward, W.E., and Lightfoot, E.N.: *Transport Phenomena.* Wiley, New York (1966)
- Briehl, R.W.: Relation between aggregation and oxygen equilibrium in human and lamprey hemoglobin. In: *Structure and Activity of Enzymes*, Academic Press, New York, 171-178 (1964)
- Briehl, R.W.: Relation between aggregation of subunits and the oxygen equilibrium of human hemoglobin. *J. Biol. Chem.* 245, 538-543 (1970)
- Borne, F. van de: Inleidende zuurstofverzadigingsmetingen aan stromende hemoglobineoplossingfilmen. *Afstudeerverslag*, Eindhoven University of Technology (1972)
- Buckles, R.G.: An analysis of gas exchange in a membrane oxygenator. Ph.D. Thesis. M.I.T., Cambridge (1966)
- Christoforides, C., and Hedley-Whyte, J.: Effect of temperature and hemoglobin concentration on solubility of  $O_2$  in blood. *J. Appl. Physiol.* 27, 592-596 (1969)
- Crank, J.: *The Mathematics of Diffusion.* 2nd edition, Clarendon Press, Oxford (1975)
- Curl, R.L., and Schultz, J.S.: A polygonal approximation for unsteady state diffusion of oxygen into hemoglobin solutions. In: *Oxygen Transport to Tissue. Pharmacology, Mathematical Studies, and*



- Neonatology. Eds. D.F. Bruley and H.I. Bicher. *Advances in Experimental Medicine and Biology* Vol. 37B, Plenum Press, New York, 929-935 (1973)
- Danköhler, G.: Einfluss von Diffusion, Strömung und Wärmetransport auf die Ausbeute bei chemisch-technischen Reaktionen. *Der Chemie-Ingenieur* Bd. III, 359-485 (1937)
- Danckwerts, P.V.: Unsteady-state diffusion or heat conduction with moving boundary. *Trans. Faraday Soc.* 46, 701-712 (1950)
- Dindorf, J.A., Lightfoot, E.N., and Solen, K.A.: Prediction of blood oxygenation rates. *Chem. Eng. Symp. Ser.* 67, 75-87 (1971)
- Dijkhuizen, P., Buursma, A., Fongers, T., Oeseburg, B., and Zijlstra, W.G.: Oxygen binding capacity of haemoglobin. *Digest 16th Dutch Fed. Meeting*, 121 (1975)
- Forbes, W.H., and Roughton, F.J.W.: The equilibrium between oxygen and haemoglobin. I. The oxygen dissociation curve of dilute blood solutions. *J. Physiol.* 71, 229-260 (1931)
- Garred, L.J.: Aspects of mass transport in biological systems. Ph.D. Thesis University of Minnesota (1975)
- Gibbon, Jr., J.H.: Extracorporeal maintenance of cardiopulmonary function. *Harvey Lectures* 53, 186-224 (1959)
- Goldstick, T.K., and Fatt, I.: Diffusion of oxygen in solutions of blood proteins. *Chem. Eng. Symp. Ser.* 66, 101-113 (1970)
- Hartridge, H., and Roughton, F.J.W.: The kinetics of haemoglobin. III. The velocity with which oxygen combines with reduced haemoglobin. *Proc. Roy. Soc. A* 107, 654-683 (1925)
- Hedley-Whyte, J., and Laver, M.B.:  $O_2$  solubility in blood and temperature correction factors for  $PO_2$ . *J. Appl. Physiol.* 19, 901-906 (1964)
- Hill, A.V.: The diffusion of oxygen and lactic acid through tissues. *Proc. Roy. Soc. B* 104, 39-96 (1928/1929)
- Holland, R.A.B., and Forster, R.E.: The effect of size of red cells on the kinetics of their oxygen uptake. *J. Gen. Physiol.* 49, 727-742 (1966)
- Holland, R.A.B.: Factors determining the velocity of gas uptake by intracellular hemoglobin. In: *Blood Oxygenation*. Ed. D. Hershey, Plenum Press, New York, 1-23 (1970)
- Hüfner, G.: Neue Versuche zur Bestimmung der Sauerstoffcapacität des Blutfarbstoffes. *Arch. Anat. Physiol., Physiol. Abt.*, 130 (1894)
- Keller, K.H., and Friedlander, S.K.: Diffusivity measurements of human methemoglobin. *J. Gen. Physiol.* 49, 681-687 (1966)

- Keller, K.H., Canales, E.R., and Yum, S.I.: Tracer and mutual diffusion coefficients of proteins. *J. Phys. Chem.* 75, 379-387 (1971)
- Keller, K.H.: Effect of fluid shear on mass transport in flowing blood. *Fed. Proc.* 30, 1591-1599 (1971)
- Kellett, G.L., and Schachman, H.K.: Dissociation of hemoglobin into subunits. *J. Mol. Biol.* 59, 387-399 (1971)
- Klug, A., Kreuzer, F., and Roughton, F.J.W.: Simultaneous diffusion and chemical reaction in thin layers of haemoglobin solution. *Proc. Roy. Soc. B* 145, 452-472 (1956a)
- Klug, A., Kreuzer, F., and Roughton, F.J.W.: The diffusion of oxygen in concentrated haemoglobin solutions. *Helv. Physiol. Pharm. Acta* 14, 121-128 (1956b)
- Koshland, D.E., Némethy, G., and Filmer, D.: Comparison of experimental and theoretical models in proteins containing subunits. *Biochemistry* 5, 363-385 (1966)
- Kreuzer, F.: Über die Gültigkeit des Fickschen Gesetzes bei der Diffusion des Sauerstoffs in dünne Schichten hochkonzentrierter Hämoglobinlösungen. Thesis, Zürich-Fribourg (1950)
- Kreuzer, F., and Betticher, A.: Eine Apparatur hoher Empfindlichkeit und Stabilität zur Messung der Oxydationszeiten des Hämoglobins. *Helv. Physiol. Pharm. Acta* 9, 224-253 (1951)
- Kreuzer, F.: Modellversuche zum Problem der Sauerstoffdiffusion in den Lungen. *Helv. Physiol. Pharm. Acta* 11, Suppl 9. (1953)
- Kreuzer, F.: Facilitated diffusion of oxygen and its possible significance; a review. *Respir. Physiol.* 9, 1-30 (1970)
- Kreuzer, F., and Hoofd, L.J.C.: Facilitated diffusion of oxygen in the presence of hemoglobin. *Respir. Physiol.* 8, 280-302 (1970)
- Kutchai, H.: Numerical study of oxygen uptake by layers of hemoglobin solution. *Respir. Physiol.* 10, 273-284 (1970)
- Kutchai, H.: O<sub>2</sub> uptake by 100  $\mu$  layers of hemoglobin solution: theory vs experiment. *Respir. Physiol.* 11, 378-383 (1971)
- Laidler, K.G.: *Chemical Kinetics*. 2nd edition. McGraw-Hill, New York (1965)
- Lamm, O., and Polson, A.: The determination of diffusion constants of proteins by a refractometric method. *Biochem. J.* 30, 528-541 (1936)
- Lapidus, L.: *Digital computation for chemical engineers*. McGraw-Hill, New York (1962)
- Laszt, L.: Modelversuche mit Hämoglobinlösungen zur Frage des Gasaus-

- tauches in den Lungen. *Helv. Physiol. Pharm. Acta* 3, 291-328 (1945)
- Leeuw, R.J.M., de: De glycolyse in menselijk bloed. Thesis, R.U. Utrecht (1971)
- Longmuir, I.S., and Roughton, F.J.W.: The diffusion coefficients of carbon monoxide and nitrogen in haemoglobin solutions. *J. Physiol.* 118, 264-275 (1952)
- Margarita, R.A.: A mathematical treatment of the blood dissociation curve for oxygen. *Clin. Chem.* 9, 745-761 (1963)
- Marx, T.I., Snijder, W.E., John, A.D.St., and Moeller, C.E.: Diffusion of oxygen into a film of whole blood. *J. Appl. Physiol.* 15, 1123-1129 (1960)
- Mochizuki, M., and Fukuoka, J.: The diffusion of oxygen inside the red cell. *Jap. J. Physiol.* 8, 206-223 (1958)
- Mochizuki, M.: Oxygenation velocity of the red cell and pulmonary diffusing capacity. In: *Blood oxygenation*. Ed. D. Hershey, Plenum Press, New York, 24-61 (1970)
- Monod, J., Wyman, J., and Changeux, J.P.: On the nature of allosteric transitions: a plausible model. *J. Mol. Biol.* 12, 88-118 (1965)
- Moll, W.: The influence of hemoglobin diffusion on oxygen uptake and release by red cells. *Respir. Physiol.* 6, 1-34 (1968)
- Müller, A.: Bemerkungen zum Gasaustausch in den Lungen. *Helv. Physiol. Pharm. Acta* 3, 203-213 (1945)
- Nicholson, P., and Roughton, F.J.W.: A theoretical study of the influence of diffusion and chemical reaction velocity on the rate of exchange of carbon monoxide and oxygen between the red blood corpuscle and the surrounding fluid. *Proc. Roy. Soc. B* 138, 241-264 (1951)
- Oomens, J.M.M., Spaan, J.A.E., and Donders, A.F.P.: Annular membrane oxygenator with tangential flow/Oxygen transfer analysis and scaling rules. In: *Physiological and Clinical Aspects of Oxygenator Design* Eds. S. Dawids and H.C. Engel ASP. Biological and Medical Press, Amsterdam (in press).
- Overcash, M.R.: Couette Oxygenator. Ph.D. Thesis, University of Minnesota (1972)
- Pauling, L.: The oxygen equilibrium of hemoglobin and its structural interpretation. *Proc. Nat. Acad. Sci.* 21, 186-191 (1935)
- Perutz, M.F.: The haemoglobin molecule. *Proc. Roy. Soc. B* 173, 113-140 (1969)
- Perutz, M.F.: Haemoglobin: The molecular lung. *New Scientist* and

Science Journal 17, 676-679 (1971)

- Pircher, L.: Über die Diffusion des Sauerstoffs in dünne Schichten von Wasser und Hämoglobinlösungen. Thesis, Fribourg (1951)
- Riveros-Moreno, V., and Wittenberg, J.B.: The self-diffusion coefficients of myoglobin and hemoglobin in concentrated solutions. *J. Biol. Chem.* 247, 895-901 (1972)
- Roughton, F.J.W.: Diffusion and chemical reaction velocity as joint factors in determining the rate of uptake of oxygen and carbon monoxide by the red blood corpuscle. *Proc. Roy. Soc. B* 111, 1-36 (1932)
- Roughton, F.J.W.: Diffusion and chemical reaction velocity in cylindrical and spherical systems of physiological interest. *Proc. Roy. Soc. B* 140, 203-229 (1952)
- Roughton, F.J.W.: Diffusion and simultaneous chemical reaction velocity in haemoglobin solutions and red cell suspensions. *Progr. in Biophysics and Biophysical Chemistry* 9, 55-104 (1959)
- Roughton, F.J.W.: Transport of oxygen and carbon dioxide. *Handbook of Physiology, Section 3: Respiration, vol. 1*, 767-825 (1964)
- Roughton, F.J.W.: The oxygen equilibrium of mammalian hemoglobin. *J. Gen. Physiol.* 49, 1, Part 2, 105-124 (1965)
- Roughton, F.J.W., DeLand, E.C., Kernohan, I.C., and Severinghaus, J.W.: Some recent studies of the oxyhaemoglobin dissociation curve of human blood under physiological conditions and the fitting of the Adair equation to the standard curve. In: *Alfred Benzon Symposium IV: Oxygen Affinity of Hemoglobin and Red Cell Acid Base Status*. Eds. M. Rorth and P. Astrup, Munksgaard, Copenhagen, 73-83 (1972)
- Schachman, H.K., and Edelstein, S.J.: Ultracentrifuge studies with absorption optics. IV. Molecular weight determinations at microgram level. *Biochemistry* 5, 2681 (1966)
- Sendroy, J., Dillon, R.T., and van Slyke, D.D.: Studies of gas and electrolyte equilibria in blood. *J. Biol. Chem.* 105, 591-632 (1934)
- Spaan, J.A.E.: Transfer of oxygen into haemoglobin solution. *Pflügers Arch.* 342, 289-306 (1973)
- Spaan, J.A.E., Hoofd, L.J.C., and Kreuzer, F.: The facilitation of nonstationary transfer of oxygen into thin layers of hemoglobin solution. *Digest 15th Dutch Fed. Meeting*, 337 (1974)
- Stroeve, P.: Diffusion with reversible chemical reaction in heterogenous media. Thesis, M.I.T., Cambridge, Mass. (1973)

- Stroeve, P.: On the diffusion of gases in protein solutions. I. and EC. Fundamentals 14, 140-141 (1975)
- Thews, G.: Ein Verfahren zur Berechnung des  $O_2$ -Diffusionskoeffizienten aus Messungen der Sauerstoffdiffusion in Hämoglobin- and Myoglobin-Lösungen. Pflügers Arch. 265, 138-153 (1957)
- Thews, G., and Niesel, W.: Zur Theorie der Sauerstoffdiffusion im Erythrocyten. Pflügers Arch. 268, 318-333 (1959)
- Thews, G.: Untersuchung der Sauerstoffaufnahme und -abgabe sehr dünner Blutlamellen. Pflügers Arch. 268, 308-317 (1959)
- Thiele, E.W.: Relation between catalytic activity and size of particle. Industrial and Engineering Chemistry 31, 916-920 (1939)
- Thompson, C.J.: Models for hemoglobin and allosteric enzymes. Biopolymers 6, 1101-1118 (1968)
- Turek, Z., Kreuzer, F., and Hoofd, L.J.C.: Advantage of disadvantage of a decrease of blood oxygen affinity for tissue oxygen supply at hypoxia. A theoretical study comparing man and rat. Pflügers Arch. 342, 185-197 (1973)
- Weigelt, C.: Mikrokryphotometrische Messungen zur Untersuchung des erleichterten Sauerstofftransports in Gegenwart von Hämoglobin. Thesis, Bochum, Germany (1975)
- Zilvermit, D.B.: Oxygen-hemoglobin systems: a model for facilitated membranous transport. Science 149, 874-876 (1965)

## Summary

This thesis deals with theoretical and experimental aspects of the nonsteady-state uptake of oxygen by completely deoxygenated layers of hemoglobin solutions spread on a glass plate. At its free boundary the layer of hemoglobin solution is exposed to a gas atmosphere which initially does not contain any oxygen. Then a sudden change in oxygen partial pressure from zero to a certain value  $P_1$  is induced. Oxygen diffuses from the gas phase into the hemoglobin solution and reacts with hemoglobin to form oxyhemoglobin. Oxygen transfer within the hemoglobin solution occurs as a result of the diffusion of both dissolved oxygen and oxyhemoglobin.

Theoretically this process has been studied by solving the diffusion-reaction equation based on Fick's law for the diffusion of both oxygen and hemoglobin and on the assumption of chemical equilibrium between oxygen and hemoglobin. A dimensionless analysis has been performed: the dependent variable is  $\bar{\psi} = \bar{S}/\bar{S}_1$  where  $\bar{S}$  is the average oxygen saturation of the layer and  $\bar{S}_1$  is the saturation of the layer in equilibrium with  $P_1$ ; the independent variable is  $t^* = t D_C/d^2$  where  $t$  is the lapse of time after the start of oxygenation,  $D_C$  is the diffusion coefficient of oxygen and  $d$  is the layer thickness; the dimensionless parameters applied are:

- 1)  $H = h S_1/\alpha P_1$  where  $h$  is the oxygen binding capacity of the solution and  $\alpha$  is the oxygen solubility,
- 2)  $D^* = H D_H/D_C$  where  $D_H$  is the diffusion coefficient of hemoglobin,
- 3)  $\phi_{50} = P_{50}/P_1$  where  $P_{50} = P_{O_2}$  at which  $\bar{S} = 0.5$  according to the saturation curve.

The results of a finite layer model are compared with the results of a half-infinite layer model in terms of the relationship between  $\bar{\psi}$  and  $t^*$ . According to the half-infinite layer model  $\bar{\psi}$  is proportional to  $\sqrt{t^*}$  until  $\bar{\psi}$  equals unity. The course of oxygenation predicted by the finite layer model initially coincides with the predictions of the half-infinite layer model, but later  $\bar{\psi}$  approaches unity asymptotically. The range of coincidence depends on the values of  $\phi_{50}$  and  $D^*$ . For low values of  $\phi_{50}$  ( $< 0.02$ ) and  $D^*$  ( $< 0.2$ ) the range of coincidence extends  $\bar{\psi}$  almost to  $\bar{\psi} = 1$ . According to the half-infinite model,  $\bar{\psi} = \sqrt{t^*/t_1^*}$  where  $t_1^*$  is a constant referred to as dimensionless oxygenation time. The influence of  $H$ ,  $D^*$  and  $\phi_{50}$  on  $t_1^*$  has been studied in terms of  $t_1^*/t_1^{*,0}$  where  $t_1^{*,0}$  is the dimensionless oxygenation time obtained when  $\phi_{50} = 0$ . The ratio

$t_1^*/t_1^{*0}$  appears to linearly depend on  $H$  with a proportionality factor  $f_2$ , being approximately a hyperbolic function of  $D^*$  ( $f_2 \approx 0.74/(1.48+D^*)$ ). The influence of  $\phi_{50}$  on  $t_1^*$  depends on the values of  $H$  and  $D^*$ .

The reaction of oxygen with hemoglobin causes a change in the light absorption of the solution and hence provides a tool for studying the oxygenation of hemoglobin layers. Applying this method the relationship between  $\bar{\psi}$  and  $t/d^2$  was measured colorimetrically at a wavelength of 670 nm.

The conclusions from the theoretical comparison of the finite layer model and half-infinite layer model were confirmed. For several solutions having a hemoglobin concentration between 10 and 34 g%,  $t_1/d^2$  ( $t_1$  is defined analogous to  $t_1^*$ ) was determined as a function of  $1/P_1$  (with  $P_1$  between 140 and 700 mm Hg). These experiments were fitted to the half-infinite layer model using the method of least squares. The oxygen permeability  $\alpha D_C$  and the diffusion coefficient of hemoglobin  $D_H$  could be estimated from this procedure. The values found for  $\alpha D_C$  agree quite well with those found in the literature, but the values found for  $D_H$  are higher than those found by authors using tracer techniques for the estimation of  $D_H$ .

## Samenvatting

Dit proefschrift behandelt theoretische en experimentele aspecten van de instationaire zuurstofopname van volledig gedeoxygeneerde lagen hemoglobine oplossingen, uitgestreken over een glazen plaat. Aan het oppervlak is de hemoglobine laag in contact met een gasatmosfeer die aanvankelijk geen zuurstof bevat. Plotseling wordt de partiële zuurstof druk in het gas op de waarde  $P_1$  gebracht. Zuurstof diffundeert dan vanuit de gasfase de hemoglobine laag in en reageert met hemoglobine tot oxyhemoglobine. In de hemoglobine oplossing wordt zuurstof getransporteerd zowel door diffusie van opgeloste zuurstof als door diffusie van oxyhemoglobine.

Dit proces is theoretisch bestudeerd door uitgaande van de diffusie wet van Fick, toegepast op de diffusie van zuurstof en hemoglobine, en onder aanname van chemisch evenwicht tussen zuurstof en hemoglobine, de diffusie-reaktievergelijking te formuleren en op te lossen. Daartoe is een dimensieloze analyse uitgevoerd. Hierbij is de afhankelijke variabele  $\bar{\psi} = \bar{S}/\bar{S}_1$ , waarin  $\bar{S}_1$  de gemiddelde zuurstof verzadiging van de laag is en  $\bar{S}_1$  de verzadiging in de laag wanneer die in evenwicht is met  $P_1$ ; de onafhankelijke variabele is  $t^* = t D_C/d^2$  waarin  $t$  de tijd is die is verstreken vanaf het begin van oxygenatie,  $D_C$  de diffusie coëfficiënt van zuurstof en  $d$  de laagdikte.

De dimensieloze parameters zijn:

- 1)  $H = h S_1/\alpha P_1$  met  $h$  = de zuurstofbindingscapaciteit van de oplossingen en  $\alpha$  = de oplosbaarheid van  $O_2$ ,
- 2)  $D^* = H D_H/D_C$  met  $D_H$  = de diffusie coëfficiënt van hemoglobine,
- 3)  $\phi_{50} = P_{50}/P_1$  met  $P_{50} = P_{O_2}$  waarvoor  $S = 0.5$  overeenkomstig de saturatieve curve.

De resultaten van het model waarin een eindige laag wordt aangenomen zijn vergeleken met de resultaten van een model dat uitgaat van een half-oneindige laag. Volgens het tweede model is  $\bar{\psi}$  evenredig met  $\sqrt{t^*}$ , totdat  $\psi = 1$ . Het eerste model resulteert in een oxygenatieverloop dat aanvankelijk gelijk is aan dat voorspeld door het tweede model, maar nu nadert  $\bar{\psi}$  asymptotisch naar één. De grootte van het gebied waarin beide curves samenvallen hangt af van de waarden van  $\phi_{50}$  en  $D^*$ . Voor lage waarden van  $\phi_{50}$  ( $< 0.02$ ) en  $D^*$  ( $< 0.02$ ) vallen de curves vrijwel geheel samen tot aan  $\psi = 1$ . Volgens het half-oneindige laag model geldt dat  $\bar{\psi} = \sqrt{t^*/t_1^*}$  waarin  $t_1^*$  een constante is. Deze grootte wordt aangeduid als de



dimensieloze oxygenatietijd. De invloed van  $H$ ,  $D^*$  en  $\phi_{50}$  op  $t_1^*$  is nagegaan door variatie van  $t_1^*/t_1^{*,0}$ , waarin  $t_1^{*,0}$  de dimensieloze oxygenatietijd is berekend voor  $\phi_{50} = 0$ . Het quotiënt  $t_1^*/t_1^{*,0}$  blijkt lineair afhankelijk te zijn van  $H$  met een evenredigheidsconstante  $f_2$ , die zelf een hyperbolische functie is van  $D^*$  ( $f_2 \approx 0,74/(1,48+D^*)$ ). De invloed van  $\phi_{50}$  op  $t_1^*$  is afhankelijk van de waarden van  $H$  en  $D^*$ .

Als gevolg van de reactie van zuurstof met hemoglobine verandert de lichtabsorptie van de oplossing, hetgeen een mogelijkheid biedt om de oxygenatie van hemoglobine lagen te bestuderen. Met deze methode is de relatie tussen  $\bar{\psi}$  en  $t/d^2$  colorimetrisch gemeten bij een golflengte van 670 nm.

De conclusie van de theoretische vergelijking van het eindige laag model en het half-oneindige laag model werd experimenteel bevestigd. Voor verschillende oplossingen met een hemoglobine concentratie tussen 10 en 34 g% werd  $t_1/d^2$  ( $t_1$  is analoog aan  $t_1^*$  gedefinieerd) als functie van  $1/P_1$  gemeten (met  $P_1$  tussen 140 en 700 mm Hg). Volgens het criterium van de kleinste kwadraten werd een half-oneindig laag model aangepast aan de experimenteel gevonden relatie tussen  $t_1/d^2$  en  $1/P_1$ . Op deze manier konden waarden worden geschat voor de zuurstof permeabiliteit  $\alpha D_C$  en de diffusie coëfficiënt van hemoglobine  $D_H$ . De waarden die werden gevonden voor  $\alpha D_C$  komen goed overeen met die in de literatuur; de waarden voor  $D_H$  echter zijn hoger dan die bepaald door andere auteurs met behulp van tracer technieken.

## Acknowledgements

The present work was performed within the workgroup for bio-medical engineering under the supervision of Prof.dr. P.C. Veenstra. This workgroup had a really interdisciplinary set-up which was of great help in solving the numerous problems encountered in the present work.

I wish to thank several persons individually. With Theo Arts I had numerous scientific discussions, and he contributed many advices on the development of the electronic instrumentation. Jan Oomens and Theo van Duppen assisted me frequently. Nico Touwen helped me in many of my computer programming problems and in carefully reading the manuscript.

I will gratefully remember the craftsmanship of Jack Couwenberg and the cooperation of Frans Karel van Wely in setting up and performing the experiments, sometimes until black birds started singing again. Diana Garred worked with our workgroup for half a year in the early phase of the project and taught us much of the analytical chemical techniques. The discussions with Diana's husband Laury were helpful in the initial phase of the project. I wish to thank Mr. Severs for the design and construction of the oximeter, and Louis Hoofd for very valuable discussions on the theoretical models of this thesis. There are many others who have contributed incidentally to my work and are not mentioned here; I would like to thank all of them too. I never will forget Jan Smit who contributed considerably to my personal and scientific development during the final year of my engineering training and the first years thereafter. This thesis would never have been finished in due time without the invaluable help of Mieke Wouterse and Elly Langstadt in preparing the manuscript, of Toon Manders for preparing the figures, of Harry Sonnemans for photographing them, and of Heit van der Wal for gluing all the corrections.

Apart from the individual contributions mentioned above, the atmosphere of friendship and congenial companionship experienced during the past five years in particular will always be remembered with gratitude.

Often the writing of a thesis is an attempt at private life. Thanks to Sytske we have survived. The contribution of Mem van der Wal to our family's life has been very important by creating the conditions to finish this work.

Finally, I affectionately remember my late parents who unfortunately were not to live to see the day of the approval of my thesis.

## Levensbericht

- 20-2-1945 : Geboren te Breda.
- 1957-1963 : HBS-b gevolgd aan het Mgr. Frencken College te Oosterhout (N.Br.).
- 1963-1970 : Technische Natuurkunde gestudeerd aan de Technische Hogeschool te Eindhoven.
- 1-4-1970 : In dienst getreden als wetenschappelijk medewerker van de afdeling der Werktuigbouwkunde, Technische Hogeschool Eindhoven.
- 1-9-1976 : In dienst getreden als wetenschappelijk medewerker, bij het het laboratorium voor Fysiologie van de Rijksuniversiteit te Leiden.

## Stellingen

1. De toepassing van de plasticiteitsmechanica in de analyse van de mechanische productieprocessen heeft zijn grootste waarde in de uitspraken die daarop kunnen worden gedaan ten aanzien van ontwerp en constructie van de gereedschappen waarmee die processen worden uitgevoerd.
2. Wanneer de prognoses ontleend aan een theoretisch model overeenstemmen met de resultaten van een experiment houdt dit noch een bevestiging in van het model noch van de juistheid van de uitvoering van het experiment.
3. The rapidity of diffusion attainable in systems of small dimensions is the basis of the capillary circulation, and herewith of the whole design of the larger animals.  
A.V. Hill: The diffusion of oxygen and lactic acid through tissues.  
Proc. Roy. Soc. B 104, 39-96 (1928/1929).
4. De trage  $O_2$ -opname van gedeoxygeneerde rode cellen na plotselinge menging met een zuurstofhoudende waterige oplossing moet worden verklaard vanuit de lage oplosbaarheid van  $O_2$  in de oplossing vergeleken met de hoge  $O_2$  bindingscapaciteit van de rode celinhoud. Roughton's stelling dat deze trage  $O_2$  opname wordt veroorzaakt door de diffusie weerstand van het rode celmembraan is dan ook niet juist.  
F.J.W. Roughton: Diffusion and simultaneous chemical reaction velocity in haemoglobin solutions and red cell suspensions.  
Progr. in Biophysics and Biophys. Chem. 9, 55-106 (1959).
5. Na de ontdekking van de invloed van 2,3 DPG op de ligging van de zuurstof-dissociatie curve, moet in feite aan de standaard condities voor de bepaling van deze dissociatie curve de 2,3 DPG concentratie worden toegevoegd.
6. Het succes van gecompliceerde operatieve ingrepen is voor een belangrijk deel te danken aan het adapterend vermogen van het fysiologisch regelsysteem.

7. De verklaring voor het verschijnsel dat de aortaklep sluit gedurende de vertragingfase van de systole, is in de eerste plaats gelegen in de aanwezigheid van holtes achter de klepvliezen.

A.A. van Steenhoven: Een hydrodynamische analyse als basis voor de constructie van vliesklepprothesen.

Afstudeerverslag T.H.E. (1975).

8. De typische mannelijke en vrouwelijke rolpatronen in onze cultuur verhinderen een volledige en gelijkwaardige ontwikkeling van algemeen menselijke eigenschappen door beide sexen.

Ann Oakley: Op gelijke voet; Vermeende verschillen tussen man en vrouw.

H. Nelissen B.V., Bloemendaal (1975).

9. Het instellen van een vakgroep heeft geen zin indien bij de betrokkenen aanleg en bereidheid tot samenwerking niet aanwezig is.
10. Het door wetenschapbeoefenaars vaak gebruikte argument dat internationale studiereizen het inzicht in het vakgebied verdiepen en daarom budgetair verantwoord zijn, heeft kortgeleden nog een extra accent gekregen door de studiereis van de staatssecretaris van volksgezondheid naar de Verenigde Staten van Noord-Amerika in verband met de situatie van de hartchirurgie in Nederland.

2 november 1976,

J.A.E. Spaan