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Density and production of NH and NH₂ in an Ar–NH₃ expanding plasma jet

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The densities of NH and NH₂ radicals in an Ar–NH₃ plasma jet created by the expanding thermal plasma source were investigated for various source-operating conditions such as plasma current and NH₃ flow. The radicals were measured by cavity ringdown absorption spectroscopy using the (0,0) band of the $A^3\Pi \leftarrow X^3\Sigma^-$ transition for NH and the (0,9,0)–(0,0,0) band of the $\tilde{A}^2A_1 \leftarrow \tilde{X}^2B_1$ transition for NH₂. For NH, a kinetic gas temperature and rotational temperature of 1750 ± 100 and 1920 ± 100 K were found, respectively. The measurements revealed typical densities of 2.5×10^{12} cm⁻³ for the NH radical and 3.5×10^{12} cm⁻³ for the NH₂ radical. From the combination of the data with ion density and NH₃ consumption measurements in the plasma as well as from a simple one-dimensional plug down model, the key production reactions for NH and NH₂ are discussed. © 2005 American Institute of Physics. [DOI: 10.1063/1.2123371]

I. INTRODUCTION

Ammonia (NH₃) plasmas have widespread applications in research and industry for the (surface) treatment of materials. NH₃ plasmas are, for example, used for modifying the wettability and biocompatibility of polymers,^{1–3} for improving the gas permeability of membranes,⁴ for inducing surface passivation of electronic devices,^{5,6} and for nitridation of dielectric and metallic materials.^{7,8} Ammonia is also admixed in plasmas used for the synthesis of carbon nanotubes^{9,10} and for ligand abstraction and nitridation during atomic layer deposition of metal nitrides.^{11–13} One of the most important applications of NH₃-based plasmas in industry to date is, however, the deposition of silicon nitride films. These films—generally deposited from ammonia-silane plasmas—have numerous applications, ranging from dielectric materials in the microelectronics industry to antireflection coatings for crystalline silicon-based photovoltaics^{14,15} and encapsulation films for organic light-emitting diodes.¹⁶

Despite their widespread application, only few experimental studies on the plasma chemistry in NH₃-based plasmas have been reported. Miller and Baird have addressed the plasma decomposition of NH₃ in radio-frequency plasmas,¹⁷ while Pringle *et al.* have addressed the ion chemistry in a helical resonator.¹⁸ These studies have not addressed the chemistry involved in ammonia radical production in detail. These radical species, however, are very likely most important during surface treatment. The interaction of NH and NH₂ plasma radicals with the surface of different materials has been addressed by Fisher and co-workers, using a molecular plasma beam in combination with laser-induced fluorescence for radical imaging.^{19–21} Laser-induced fluorescence has also been used for determining the density of NH and NH₂ produced by NH₃ decomposition on a heated tungsten

filament.²² For depositing systems, few studies of the NH₃ plasma chemistry using mass spectrometry have been reported, for example, for the ammonia-silane chemistry^{23,24} and recently also for the ammonia-acetylene chemistry.²⁵ In both pure NH₃ plasmas and depositing plasmas, the chemistry is very complex and much remains unknown about the NH₃ dissociation and its products.

To obtain more insight into the chemical reactions involved in the dissociation of NH₃ in plasmas, we have investigated the NH₃ dissociation process with respect to NH and NH₂ radical production. These studies were carried out using the expanding thermal plasma (ETP) technique, whose remote operation leads to a relatively simple chemistry, which facilitates plasma chemical investigations. Besides the technological relevance for NH₃ plasma surface treatment, the investigations can also be of astrophysical relevance.^{26,27} Our particular interest lies, however, primarily in gaining a better understanding of the ultrahigh-rate deposition process of silicon nitride films by the expanding thermal plasma,^{15,28} in which silane is cracked by reactive ion and radical species (such as NH and NH₂) in the Ar–NH₃ plasma jet.

After presenting the basics of the ETP technique in Sec. II, we present the experimental details of the cavity ringdown measurements carried out to determine the NH and NH₂ radical densities (Sec. III). In Sec. IV, we present the measured NH and NH₂ radical densities as a function of NH₃ flow and current in the plasma source. Supported by data on the ion density and NH₃ consumption, we argue that NH₃ dissociation in the ETP technique is governed by Ar⁺ ions emanating from the plasma source. For NH, the key chemical reactions are charge transfer of NH₃ with Ar⁺ and dissociative recombination with electrons, while the NH₂ production appears to involve more reactions such as ion-molecule and H-abstraction reactions. This basic reaction mechanism is supported by a one-dimensional plug down model. The conclusions are presented in Sec. V.

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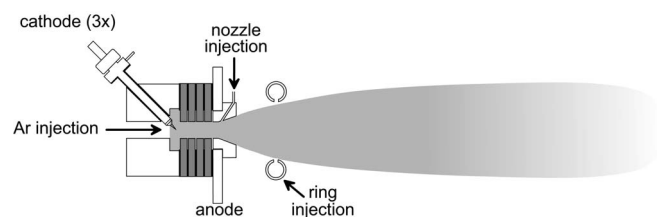


FIG. 1. Schematic representation of the expanding Ar–NH₃ plasma jet.

II. EXPANDING THERMAL PLASMA SETUP

The Ar–NH₃ plasma jet is produced using the ETP technique described extensively in the literature (see, e.g., Refs. 29 and 30). As shown in Fig. 1, this technique uses a cascaded arc plasma source to produce an Ar plasma at subatmospheric pressure (typically 400 kPa). In the cascaded arc, a dc current is drawn between three cathodes and one common grounded anode through a narrow (4 mm diameter) channel filled with argon gas. The cathode region and anode are separated by several “cascaded” plates, which are at floating potential. The plasma expands supersonically through a conically shaped nozzle into a low-pressure reactor (typical pressure is 20 Pa), and after a stationary shock at approximately 5 cm from the nozzle, the expansion continues subsonically. When going from supersonic to subsonic expansion, the directed velocity of the plasma changes from ~ 1800 to ~ 600 m/s. In the downstream region the electron temperature (~ 0.3 eV) is low, which can be attributed to the expansion and to the fact that no electrical power is coupled into the plasma in that region. Precursor gases can be injected into the Ar plasma jet, either through a slit in the nozzle or through an injection ring situated downstream in the jet.

In the present experiment, the plasma source is operated on pure Ar with a flow of 55 standard cm³ s⁻¹ (sccs), and the current through the arc channel is controlled between 30 and 70 A with a typical voltage of 40 V. The expanding Ar plasma was characterized in detail in our previous work and a brief description of some of the key findings is given here. In the downstream region, the Ar⁺ ion and electron density are in the order of 10¹³ cm⁻³. The metastable argon density Ar^m is directly linked to the ion density but is typically lower by a factor of 10.³¹ From the combination of radial ion density measurements and the forward velocity in the expansion, the equivalent flow of Ar⁺ ions emanating from the source is estimated. For a current of 45 A, we found an “Ar⁺ ion flow” of 2–3 sccs, corresponding to an average Ar ionization degree of $\sim 5\%$ in the plasma source. Furthermore, the Ar⁺ ion flow is found to increase linearly with the plasma current for a constant Ar flow through the source.^{29,30}

Two injection locations of NH₃ molecules are used: NH₃ is either injected in the nozzle before the expansion or ~ 7.5 cm downstream the expansion via a stainless-steel injection ring. The NH₃ flow range used is 0.5–17 sccs, and the downstream pressure is kept constant at 20 Pa by adjusting the gate valve to the pump. A substrate holder is positioned downstream at ~ 39 cm from the source. Furthermore, besides the cavity ringdown system that will be discussed in detail in the next section, the setup is also equipped with a

Langmuir probe,²⁹ that can measure ion and electron densities at ~ 36 cm downstream from the source, and a residual gas analyzer for basic mass spectrometry measurements, which is situated at ~ 56 cm from the source in the plasma beam.

III. CAVITY RINGDOWN SPECTROSCOPY ON NH AND NH₂

Cavity ringdown spectroscopy³² (CRDS) was used to measure the density of the NH and NH₂ radicals in the downstream plasma at a position of 36 cm from the source. Briefly, CRDS is based on the injection of a laser pulse in a stable optical resonator (cavity) that consists of two high reflectivity mirrors. The decay rate of the light inside the resonator is detected through one of the mirrors. Cavity ringdown prevents the most common limitation of absorption measurements as the decay rate is independent of the intensity of the light pulse and is therefore not affected by pulse-to-pulse energy fluctuations of the laser system. For an “empty” cavity, this decay rate is simply determined by the reflectivity of the mirrors and the cavity length, but the decay rate becomes faster when absorbing (or scattering) species are present in the cavity. From the difference in decay rate between these two conditions, the absorption due to the species can be determined. Consequently, the number density of the species can be calculated when information on the cross section and absorption path length is available.

The cavity used in the present work consisted of plano-concave mirrors with a 100 cm radius of curvature and 2.5 cm diameter, placed 112 cm apart on flexible bellows. In front of the mirrors an Ar flow was injected to protect the mirrors from reactive plasma species. The NH radical was detected using high-reflectivity mirrors with a center-optimum reflectivity at 340 nm (Laser-Optik, $R = \sim 0.998$), while for the NH₂ radical detection the center-optimum reflectivity was at 560 nm (Laser-Optik, $R = \sim 0.999$). Laser light pulses were generated by a Sirah PrecisionScan-D dye laser pumped by the second harmonic of a Spectra-Physics GCR-4 Nd:yttrium aluminum garnet (YAG) laser working at a repetition rate of 10 Hz. For NH detection, Pyridine 1 laser dye was used to create ~ 680 nm light, which was subsequently doubled to ~ 340 nm by a potassium dihydrogen phosphate (KDP) crystal. For NH₂ detection, the ~ 600 nm output of the dye laser operated on Rhodamine B laser dye was directly used. To avoid saturation of the transition of interest the amplifier stage of the dye laser was not used in the latter case. The laser intensity was further reduced by a set of filters while a UV attenuator was used for the 340 nm laser light. The typical pulse energy in front of the cavity was 100 μ J/pulse. Detection took place by means of a photomultiplier tube (Hamamatsu R928) at the other cavity mirror through a narrow bandpass filter, which blocked the plasma light. For every laser shot the photomultiplier signal was processed separately by a 100 MHz, 12 bits data-acquisition system [TU/eDACS (Ref. 33)]. All decay rates were single exponential and were analyzed by a weighted least-squares fit of the logarithmic of the transient data. To improve the signal-to-noise ratio an average of 20 ringdown times was

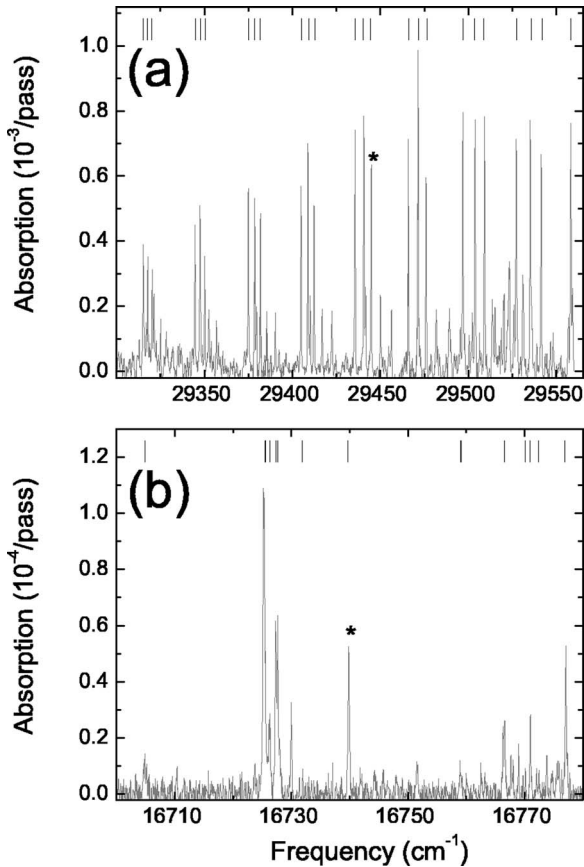


FIG. 2. Spectra of (a) NH and (b) NH₂ as measured with cavity ringdown spectroscopy. A plasma source current of 45 A and an NH₃ flow of 5 sccs are used for the measurements of the spectra. The absorption line positions reported in the literature are indicated in the upper part of the graphs, as are the absorption lines used for the density measurements (marked by an asterisk).

taken for all measurements. The absorption loss by the radicals was determined from the difference in decay rate in a pure Ar plasma and an Ar–NH₃ plasma. If necessary, a minor base line correction was carried out to account for small changes in mirror reflectivity. For the NH₂ measurements an oscillating behavior of the base line signal was observed [which is common for mirrors in the wavelength range around 600 nm (Ref. 34)] that hampered the base line correction procedure and resulted in a slightly lower experimental accuracy compared to the NH measurements.

The NH radical was detected on the (0,0) band of the $A^3\Pi \leftarrow X^3\Sigma^-$ transition around 340 nm, as shown in Fig. 2(a).^{35,36} Apart from transitions of the (0,0) band, this spectrum also shows some hot band transitions of the (1,1) band. The rotational temperature of NH was extracted from the Boltzmann plot shown in Fig. 3(a), in which the statistically weighted integrated absorptions $A_{\text{int}}(K)/(2K+1)$ obtained from the spectrum are plotted as a function of the rotational energy. In this expression, K is the rotational quantum number. This procedure yields a NH rotational temperature of 1920 ± 100 K. For the density measurements, the “isolated” $P_{33}(9)$ absorption line at 339.62 nm ($29\,444.28\text{ cm}^{-1}$) was used, as indicated in the spectrum in Fig. 2(a). The density information is determined by scanning the laser over the absorption line and using the integrated absorption cross sec-

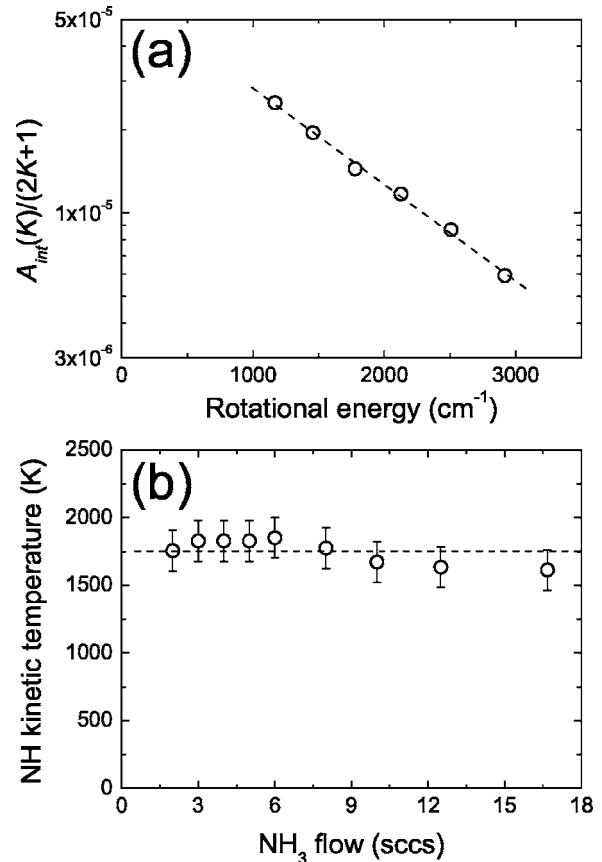


FIG. 3. (a) The statistically weighted integrated absorptions $A_{\text{int}}(K)/(2K+1)$ for several rotational lines (P_{33} branch) of the (0,0) band of the $A^3\Pi \leftarrow X^3\Sigma^-$ transition of NH as a function of the rotational energy. The rotational temperature was determined from the slope of the linear fit (dashed line). (b) The kinetic temperature of the NH radical determined from the Doppler broadening of the $P_{33}(9)$ absorption line on the (0,0) band of the $A^3\Pi \leftarrow X^3\Sigma^-$ transition as a function of the injected NH₃ flow. The dashed line represents the average value.

tion, which is calculated from the oscillator strength reported in literature.³⁶ For the integrated absorption cross section a value of $8.3 \times 10^{-21}\text{ m}^2\text{ cm}^{-1}$ was found for the absorption line under investigation (see Appendix A). This method is insensitive to the laser linewidth and the Doppler broadening effect. Doppler broadening was used to determine the kinetic gas temperature of the NH radicals by deconvoluting the experimental absorption lines into a Lorentzian laser linewidth and a Gaussian Doppler contribution. This procedure, carried out on a set of distinct measurements, yielded a laser linewidth of $0.11 \pm 0.01\text{ cm}^{-1}$ at a wavelength of $29\,444\text{ cm}^{-1}$ and a NH kinetic gas temperature of 1750 ± 90 K with no clear dependence on the NH₃ flow, as can be seen in Fig. 3(b). The laser linewidth is also consistent with the manufacturer’s specifications³⁷ and with earlier data obtained for this laser system.³³ The NH kinetic gas temperature is in fair agreement with the rotational temperature and it reflects the gas temperature in the plasma expansion as thermalization occurs quickly under the conditions used. The gas temperature is also in good agreement with previous measurements in plasmas of different gas mixtures.³³ The NH₂ radical was detected at the (0,9,0)-(0,0,0) band of the $\tilde{A}^2A_1 \leftarrow \tilde{X}^2B_1$ transition at $\sim 597\text{ nm}$ (Refs. 38 and 39) as shown in Fig. 2(b)

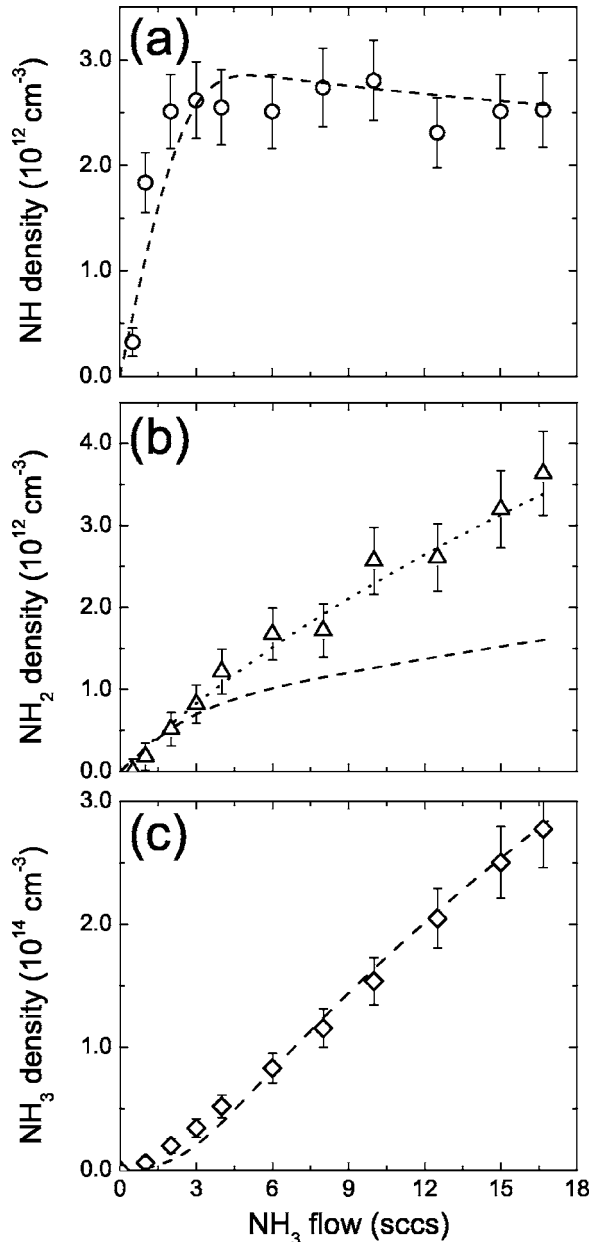


FIG. 4. (a) The NH, (b) NH_2 , and (c) NH_3 density as a function of the injected NH_3 flow. The NH and NH_2 density have been measured by cavity ringdown spectroscopy, while the NH_3 density has been calculated from the partial NH_3 density taking into account its consumption as measured by mass spectrometry. The dashed lines are simulation results from a simple one-dimensional plug down model using literature values for the reaction rates. The dotted line shows the modeled NH_2 density when the rate of reaction R4 ($\text{NH}_3 + \text{H} \rightarrow \text{NH}_2 + \text{H}_2$) is set to a value that is a factor of 7.5 higher than reported in the literature. For this higher rate, no change in the modeled NH density is observed, while the change in the modeled NH_3 density is negligible.

and the isolated $\Sigma^P Q_{1,7}$ absorption line at 597.97 nm ($16\,739.90 \text{ cm}^{-1}$) (Ref. 40) was used for the density measurements using a procedure similar to the one for NH. From the oscillator strength³⁹ an integrated absorption cross section of $7.4 \times 10^{-21} \text{ m}^2 \text{ cm}^{-1}$ was deduced for this particular absorption line.

The total NH and NH_2 densities were calculated by taking into account the densities in all possible states assuming a Boltzmann rotational distribution and a rotational tempera-

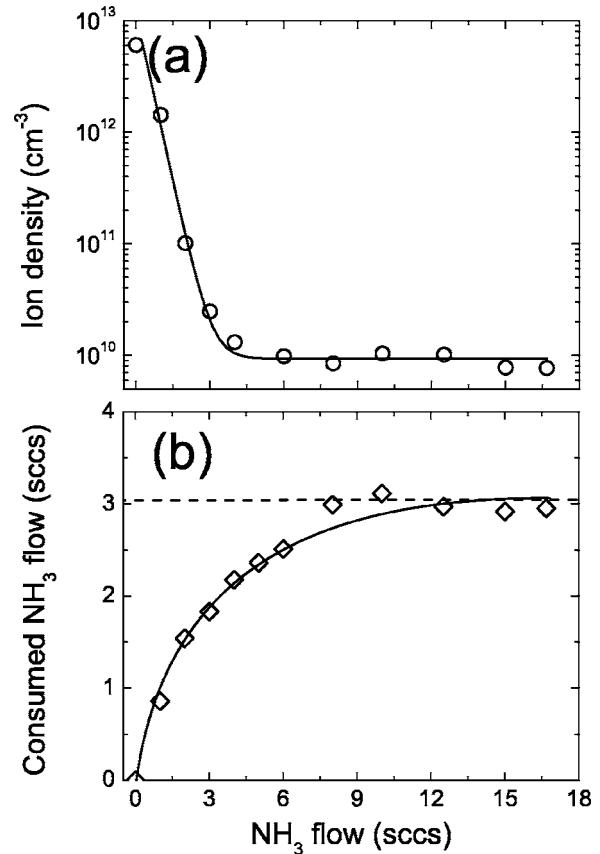


FIG. 5. (a) The ion density and (b) the equivalent flow of consumed NH_3 as a function of the NH_3 flow. The ion density was measured by means of Langmuir probe measurements and the consumed NH_3 flow was determined by means of mass spectrometry experiments. The lines serve as a guide to the eye.

ture of 1750 K. For NH and NH_2 , the density in the hot bands was also taken into account by assuming equal temperatures for rotation and vibration and using the vibrational energies reported in the literature.^{35,41} The density in the hot bands is approximately 8% of the total density for NH and approximately 39% for NH_2 . In Appendixes A and B the derivation of the integrated absorption cross sections and the calculation of the corresponding total densities of NH and NH_2 are given. The largest uncertainty in the absolute values of the densities reported is generated by the assumption about the absorption path length for the radicals in the plasma, which is estimated to be 30 cm.⁴² This error can be as large as a factor of 3 for the local absolute densities. Finally, it is important to note that optical saturation can be neglected for both NH and NH_2 as was verified by measuring the absorption line intensities for both radicals as a function of the laser energy coupled into the cavity.

IV. NH AND NH_2 DENSITIES AND PLASMA CHEMISTRY

Figure 4 shows the absolute densities of the NH and NH_2 radicals and the NH_3 molecules in the expanding Ar- NH_3 plasma as a function of the NH_3 flow injected through the injection ring. The argon flow used is 55 sccs, the arc current is 45 A, and the downstream pressure is 20 Pa. The radicals were measured at 36 cm from the plasma source whereas the NH_3 density is calculated from the partial

TABLE I. Reactions and rate constants.

		Reaction	Branching	Rate constant (cm ³ s ⁻¹)	Reference
R1	Ar ⁺ +NH ₃	→ Ar+NH ₃ ⁺	87%	1.84 × 10 ⁻⁹	44
		→ Ar+NH ₂ ⁺ +H	7%		
		→ ArH ⁺ +NH ₂	6%		
R2	NH ₃ ⁺ +e	→ NH ₂ +H	...	1.8 × 10 ^{-7a}	
		→ NH+pH ₂ +(2-2p)H	(p=0,1)		
		→ N+qH ₂ +(3-2q)H	(q=0,1)		
R3	NH ₃ ⁺ +NH ₃	→ NH ₄ ⁺ +NH ₂		1.7 × 10 ⁻⁹	50
R4	NH ₃ +H	→ NH ₂ +H ₂		4 × 10 ⁻¹² (3 × 10 ⁻¹¹) ^b	49
R5	NH ₄ ⁺ +e	→ NH ₃ +H	85% (69%) ^c	1.8 × 10 ^{-7a}	51 and 54
		→ NH ₂ +H+H	13% (21%) ^c		
		→ NH ₂ +H ₂	2% (10%) ^c		
R6	NH ₂ ⁺ +e	→ NH+H	34%	1.8 × 10 ^{-7a}	54
		→ N+H+H	66%		
		→ N+H ₂	0%		
R7	NH ⁺ +e	→ N+H		1.8 × 10 ^{-7a}	
R8	ArH ⁺ +e	→ Ar+H		1.8 × 10 ⁻⁷	55

^aEstimated rate constant for dissociative recombination reactions.

^bThis value is used in the model calculations shown by the dotted line in Fig. 4(b).

^cIn the model, the branching ratios reported in Ref. 51 were used. These are in fair agreement with earlier results reported in Ref. 54 that are denoted between brackets.

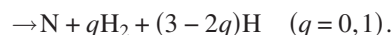
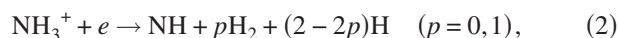
NH₃ density taking into account its consumption as measured by mass spectrometry at 56 cm from the plasma source. The NH density increases rapidly with increasing NH₃ flow and saturates at a level of $\sim 2.5 \times 10^{12}$ cm⁻³ for NH₃ flows greater than 3 sccs. Initially, the NH₂ density also increases fast with the NH₃ flow, but for flows higher than 3 sccs the NH₂ density increases more gradually. The NH₂ density shows no saturation and reaches a maximum level of $\sim 3.5 \times 10^{12}$ cm⁻³ for the experimental conditions used. The NH₃ density increases almost linearly with the NH₃ flow showing a deviation at low NH₃ flows, where the consumption of NH₃ in the plasma is significant [see Fig. 5(b)]. The maximum NH₃ density is approximately 3×10^{14} cm⁻³, which is substantially higher than the NH and NH₂ densities. Within the experimental accuracy, we obtained similar results for both ring injection and nozzle injection of NH₃.

The dissociation of NH₃ is ruled by reactive species emanating from the plasma source, such as Ar⁺, metastable argon atoms, Ar^m, and electrons. In the following, we show that argon ions most likely govern the dissociation of NH₃, as is the case in most plasma processes using the ETP source operated on argon. Electron-induced dissociation and ionization of NH₃ are not very important due to the low electron temperatures (~ 0.3 eV) in the expanding thermal plasma. Figure 5(a) shows the ion density determined by Langmuir probe measurements for an arc current of 45 A. The ion density (and electron density) in a pure Ar plasma is very high⁴³ and corresponds to an Ar⁺ flow emanating from the source of 2–3 sccs.^{29,30} When NH₃ is injected downstream in the plasma, the ion density decreases drastically until it has decreased about three orders of magnitude for NH₃ flows >3 sccs. This drastic decrease of the ion density can be attributed mainly to the reaction mechanism, in which Ar⁺ ions

undergo charge-transfer reactions with NH₃ producing predominantly NH₃⁺ (for other branching products see Table I),



with a reaction rate constant of 1.84×10^{-9} cm³ s⁻¹.⁴⁴ Subsequently, the molecular ions produced recombine dissociatively with electrons,



These dissociative recombination reactions typically have a rate constant of $1 \times 10^{-7} \hat{T}_e^{1/2}$ cm³ s⁻¹,⁴⁵ which leads to a rate of 1.8×10^{-7} cm³ s⁻¹ for an electron temperature \hat{T}_e of 0.3 eV. The combination of these two reactions with their high reaction rates explains the very fast decrease in ion density when going from a pure Ar plasma to an Ar–NH₃ plasma. Penning ionization of NH₃ by argon metastables is not important because the Ar^m density in the expanding thermal plasma is typically more than a factor of 10 lower than the density of Ar⁺ ions,^{31,46} and the reaction rate [4.2×10^{-11} cm³ s⁻¹ (Ref. 47)] is also considerably smaller than the one of reaction (1).

More evidence for the fact that the argon ions dominate the NH₃ dissociation is obtained by considering the consumed NH₃ flow, which is calculated by multiplying the relative NH₃ consumption with the injected NH₃ flow. Figure 5(b) shows that the consumed NH₃ flow saturates at ~ 3 sccs, which is, within the experimental accuracy, slightly higher or equivalent to the Ar⁺ ion flow emanating from the plasma source (see Sec. II). The NH₃ consumption saturates relatively slow (for an NH₃ flow of ~ 7 sccs), which might

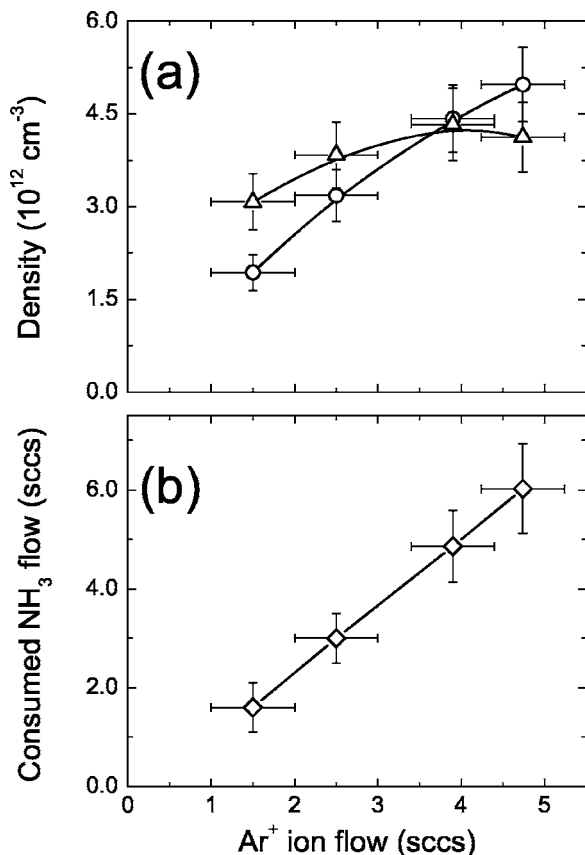
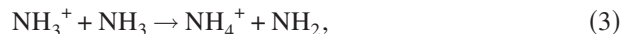


FIG. 6. (a) The NH (circles) and NH₂ (triangles) density and (b) the consumed NH₃ flow as a function of the Ar⁺ ion flow emanating from the plasma source. The lines serve as a guide to the eye.

indicate that a small amount of the NH₃ is dissociated by radicals produced by the initial NH₃ dissociation reactions. For completeness, we also mention that pyrolysis of NH₃ molecules due to the relatively high plasma temperatures can be neglected as this reaction has a rate constant below $1 \times 10^{-18} \text{ cm}^3 \text{ s}^{-1}$ for a gas temperature of 1750 K.⁴⁸ For this gas temperature, also the dissociation of NH₃ by hydrogen atoms, which are produced by other NH₃ dissociation reactions, does not seem to play a significant role based on its relatively low reaction rate of $\sim 4 \times 10^{-12} \text{ cm}^3 \text{ s}^{-1}$,⁴⁹ but this reaction will be reconsidered below.

To further test our hypothesis that the NH₃ dissociation is dominated by Ar⁺ ions, we measured the NH and NH₂ densities for varying Ar⁺ ion flow from the ETP source by changing the plasma current. The argon-ion flow is approximately linear with the plasma current and ranges from ~ 1.6 sccs at a current of 30 A to ~ 5 sccs at a current of 70 A. Figure 6(a) shows the NH and NH₂ densities as a function of the plasma current for high NH₃ flows (such that NH₃ is abundantly present and therefore not a limiting factor), and Fig. 6(b) shows the consumed NH₃ flow calculated from mass spectrometry data. Taking into account the experimental uncertainty in the measured Ar⁺ flow, both the NH density and the consumed NH₃ flow increase linearly with the Ar⁺ flow reaching a density of $\sim 5 \times 10^{12} \text{ cm}^{-3}$ and a consumed flow of ~ 6 sccs, respectively. The NH₂ density, however, increases initially and subsequently remains constant at $\sim 4 \times 10^{12} \text{ cm}^{-3}$.

The NH density shows a first-order dependence on the Ar⁺ flow. This behavior can be explained by NH production via the reaction sequence given by reactions (1) and (2). Furthermore, the NH radicals are not lost in gas phase reactions with NH₃ as can be deduced from the saturation of the NH density as a function of the NH₃ flow in Fig. 4. The density of the NH₂ radical on the other hand shows a less pronounced dependence on the Ar⁺ flow. This suggests that the generation of NH₂ radicals is controlled by a different reaction mechanism than the production of NH radicals. A reaction that can play a significant role in the production of NH₂ is the reaction between ammonia ions and molecules,



which has a reaction rate of $1.7 \times 10^{-9} \text{ cm}^3 \text{ s}^{-1}$.⁵⁰ For high NH₃ flows, when the ion and electron densities are significantly reduced, this reaction becomes competitive with the dissociative recombination reaction of NH₃⁺. On the basis of the reaction rates, it can be deduced that this will happen when the ratio of the electron density over the NH₃ density is below ~ 0.01 (i.e., for an NH₃ flow of $> \sim 3$ sccs). This reaction might therefore explain the gradual increase in the NH₂ density for higher NH₃ flows, which is not observed for the NH density. The NH₄⁺ ion formed in this reaction can also recombine dissociatively with electrons, mainly leading to NH₃+H and to a somewhat lesser extent to NH₂ and 2H.⁵¹

The feasibility of the proposed reaction pathway is investigated by a one-dimensional plug down model. For the type of plasma expansions addressed in this study, such plug down models have been very valuable in gaining insight into the plasma chemistry.^{52,53} In the one-dimensional model, the species in the expansion region are produced and consumed by reactions in a forward chemistry as a function of the axial position from the plasma source. The initial conditions are set in terms of the initial ion and NH₃ flows, and it is assumed that the forward velocity and beam diameter are constant. Furthermore, we want to stress that no recirculation of background gas species is included, while also no reactions of species at the reactor walls are explicitly taken into account. Table I shows the reactions that are included in the model with the corresponding reaction rates taken from the literature. For the dissociative recombination reactions, a rate of $1.8 \times 10^{-7} \text{ cm}^3 \text{ s}^{-1}$ has been assumed as discussed earlier. The model results are shown by the dashed lines in Fig. 4 and the trends for NH and NH₃ show a good agreement with the experimental data. For NH₂, however, the model predicts significantly lower NH₂ densities at high NH₃ flows compared to experimental results.

In the model, the branching ratio of the dissociative recombination reaction of NH₃⁺ [reaction (2)], which is not known in the literature, was set to 80% NH production and 20% NH₂ production, neglecting the channel resulting in N radicals. This ratio was primarily chosen to reproduce the experimental NH density. Setting the branching ratio to a different value cannot account for the observed NH₂ increase for high NH₃ flows. Also reaction (3) was taken into account in the model, but it cannot fully explain the observed increase of the NH₂ density for high NH₃ flows whereas changes in the rate of this reaction will immediately affect

the modeled NH density. Therefore, another reaction seems to play an important role in the NH₂ production for high NH₃ flows. Although several reactions might contribute to the NH₂ density, we have focused on the reaction of NH₃ with atomic H,



which has a rather low rate constant of $4.0 \times 10^{-12} \text{ cm}^3 \text{ s}^{-1}$ (Ref. 49) for ground-state reactants. However, this rate, might be substantially higher in the presence of energetic atomic H and rovibrationally excited NH₃^{rv}. In our case, energetic atomic H is primarily produced as a product of the exoergic dissociative recombination reactions in the NH₃ dissociation process, while dissociative recombination of NH₄⁺ probably yields NH₃^{rv}.⁵¹ Therefore, reaction (4) is expected to be a good candidate to explain the discrepancy between the modeled and experimental NH₂ densities. When reaction (4) is implemented in the model, good agreement with the experimental data can be achieved for a reaction rate that is a factor of 7.5 higher than the literature value (see Fig. 4). Such an enhancement in reaction rate seems not inconceivable. Reaction (4), as a reaction between NH₃ and atomic H produced from other NH₃ dissociation reactions, might also explain the fact that the consumed NH₃ flow appears to be slightly higher than the Ar⁺ ion flow from the plasma source [see Fig. 6(b)] as well as the slow saturation of the consumed NH₃ flow [see Fig. 5(b)].

From the above, it can be concluded that good agreement between the experimental data and the results of a simple plug down model can be obtained by including the reactions in Table I and the corresponding rates reported in the literature. Only to explain the production of NH₂ a higher rate constant for reaction (4) was used. However, we stress that in the model not all possible reactions have been considered. For example, the reactions of NH and NH₂ with NH₃, with themselves, and with other plasma radicals have not been addressed. This seems to be justified on the basis of the reaction rates reported⁴⁷ and the (expected) radical densities. Also the surface loss of radicals has not been addressed, as no data are available for the surface reactivity of NH and NH₂ on the stainless-steel reactor walls. However, from the data of Fisher and co-workers^{19–21} it seems that the radicals are not very reactive with the surface. Finally, from the radical densities and NH₃ consumption measured, it can be inferred that a significant amount of the consumed NH₃ flow has to be converted into other stable species in the plasma, such as N₂ and H₂ molecules. These and other aspects will be addressed in future studies, while the NH and NH₂ density will also be investigated for the ammonia-silane plasma to address the importance of NH and NH₂ for silicon nitride film growth.

V. CONCLUSIONS

The dissociation of NH₃ in an expanding Ar–NH₃ plasma jet created by the ETP technique was investigated with respect to NH and NH₂ radical production. The NH and NH₂ radicals were measured by cavity ringdown spectroscopy for different NH₃ flows and plasma source currents. For

NH, densities up to $\sim 5 \times 10^{12} \text{ cm}^{-3}$ were found when using a high NH₃ flow and plasma source current. The maximum density of NH₂ is $\sim 4 \times 10^{12} \text{ cm}^{-3}$. By combining the NH and NH₂ density information with ion density and NH₃ consumption measurements, it was concluded that NH is directly produced through Ar⁺ ions from the source in a charge-transfer and dissociative recombination reaction. The situation for NH₂ is more complex and appears to involve ion-molecule reactions between NH₃⁺ and NH₃ and possibly H abstraction of NH₃ by atomic H. The results were based on and corroborated by a simple one-dimensional plug down model, which captures the key plasma chemical reactions in terms of NH and NH₂ production in the plasma. Furthermore, from the cavity ringdown measurements a kinetic gas temperature and rotational temperature of 1750 ± 100 and 1920 ± 100 K were found for NH, respectively.

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APPENDIX A: CROSS SECTION OF THE A³Π(*v*'=0) ← X³Σ⁻(*v*''=0)P₃₃(9) TRANSITION OF NH AT 29 444.277 CM⁻¹

For NH the integrated cross section can be calculated from the Einstein coefficient for spontaneous emission on the basis of the information reported by Lents³⁶ and Schadee.⁵⁶ The integrated absorption cross section of a molecule σ_{int} can be directly related to the Einstein coefficient for spontaneous emission A_{ki} (Ref. 57),

$$\sigma_{\text{int}} = \int \sigma_{ik}(\nu) d\nu = \frac{g_k}{g_i} \frac{c^2}{8\pi\nu^2} A_{ki}, \quad (A1)$$

where c is the speed of light, g_i and g_k are the degeneracies of the lower i and upper level k , and ν is the transition frequency. Subsequently, the Einstein coefficient for emission A_{ki} can be related to the electronic-vibrational transition probability A_{00} by the following expression (Ref. 36):

$$A_{ki} = A_{00} \frac{P_{33}(J)}{g'_e [2(J-1) + 1] (2S' + 1)}, \quad (A2)$$

where $P_{33}(J)$ is the Hönl-London factor for lines in the P_{33} branch of the A³Π–X³Σ⁻ transition, g'_e is the electron degeneracy of the upper level, S' is the total spin of the upper state, and J is the quantum number of the total angular momentum of the lower level. In the subsequent derivation, singly primed coefficients pertain to the upper Π state and doubly primed quantities to the lower Σ state. The Hönl-London factor $P_{33}(J)$ is given by Schadee,⁵⁶

$$P_{33}(J) = \frac{(J-1)(J+1)[(J-2)u'_{3+}(J-1)g''(J) + 4(J-1)\sqrt{J(J+1)}s''(J) + Ju'_{3-}(J-1)g''(J)]^2}{8JC'_3(J-1)}, \quad (\text{A3})$$

with the following coefficients:

$$g(J) = \left[\frac{F_2(J) - F_1(J)}{F_3(J) - F_1(J)} \right]^{1/2}, \quad (\text{A4})$$

$$s(J) = \left[\frac{F_3(J) - F_2(J)}{F_3(J) - F_1(J)} \right]^{1/2}, \quad (\text{A5})$$

$$u_{3+}(J) = [(Y-2)^2 + 4J(J+2)]^{1/2} + (Y-2), \quad (\text{A6})$$

$$u_{3-}(J) = [(Y-2)^2 + 4J(J+2)]^{1/2} - (Y-2), \quad (\text{A7})$$

$$C_3(J) = Y(Y-4)(J-1)(J+2) + 2(2J+1)J(J+1)(J+2) - (Y-2)[(Y-2)^2 + 4J(J+2)]^{1/2} + Y(Y-4) - 4(J+1), \quad (\text{A8})$$

$$F_2(J) - F_1(J) = \{[B_\nu - (1/2)\gamma - \lambda]^2 + 4J(J+1) \times [B_\nu - (1/2)\gamma]^2\}^{1/2} - [B_\nu - (1/2)\gamma - \lambda], \quad (\text{A9})$$

$$F_3(J) - F_2(J) = \{[B_\nu - (1/2)\gamma - \lambda]^2 + 4J(J+1) \times [B_\nu - (1/2)\gamma]^2\}^{1/2} + [B_\nu - (1/2)\gamma - \lambda], \quad (\text{A10})$$

$$F_3(J) - F_1(J) = 2\{[B_\nu - (1/2)\gamma - \lambda]^2 + 4J(J+1) \times [B_\nu - (1/2)\gamma]^2\}^{1/2}. \quad (\text{A11})$$

The molecular constants γ represents the spin-rotation interaction, λ is the splitting constant, B_ν the rotational constant, and Y represents the coupling between the nuclear spin S and the orbital angular momentum Λ . The electron degeneracies of the Σ and Π states are $(2S+1)$ and $2(2S+1)$, respectively.⁵⁸ Using the molecular constants of the electronic states ($A^3\Pi$ and $X^3\Sigma^-$) of the NH radical reported by Brazier *et al.*³⁵ and the electronic-vibrational transition probability A_{00} reported by Lents,³⁶ we can calculate the Einstein coefficient for emission of the $P_{33}(J=9)$ line,

$$A_{ki} = 6.07 \times 10^4 \text{ s}^{-1}. \quad (\text{A12})$$

Using Eq. (A1) and $g_k=2(J-1)+1$ and $g_i=2J+1$ with $J=9$ we find for the integrated absorption cross section,

$$\sigma_{\text{int}} = 2.49 \times 10^{-10} \text{ m}^2 \text{ Hz}. \quad (\text{A13})$$

The peak absorption cross section for a gas temperature T can now be calculated by incorporating the Gaussian line-shape function $\Phi(0,a)$ that depends on the pressure-broadening parameter a ,^{39,48}

$$\sigma_{\text{peak}} = \sigma_{\text{int}} \Phi(0,a). \quad (\text{A14})$$

For the low working pressures in our experimental conditions, pressure broadening is negligible⁵⁹ and $\Phi(0,a)$ can be written as a function of the Doppler width $\Delta\nu_D$,

$$\Phi(0,a) = \sqrt{\frac{4 \ln 2}{\pi}} \frac{1}{\Delta\nu_D}. \quad (\text{A15})$$

$\Delta\nu_D$ is the full width at half maximum of the Doppler-broadened line profile and can be written as

$$\Delta\nu_D = \frac{\nu}{c} \sqrt{\frac{8kT \ln 2}{m}} = 7.16 \times 10^{-7} \nu \sqrt{\frac{T}{M}}, \quad (\text{A16})$$

with k as Boltzmann's constant, m the mass of the NH molecule, T the gas temperature (in Kelvin), and M the molar mass of NH. This formula results in a peak absorption cross section of the $A^3\Pi(\nu'=0) \leftarrow X^3\Sigma^-(\nu''=0)P_{33}(9)$ line at $T = 1750$ K of

$$\sigma_{\text{peak}} = 3.43 \times 10^{-20} \text{ m}^2. \quad (\text{A17})$$

The density of NH in the $A^3\Pi(\nu'=0) \leftarrow X^3\Sigma^-(\nu''=0)P_{33}(9)$ transition can be calculated from measured integrated absorption A_{int} ,

$$n_{\text{NH},J=9} = \frac{A_{\text{int}}}{\sigma_{\text{int}} l}, \quad (\text{A18})$$

where l is the length of the absorption path in the cavity (30 cm). Assuming a Maxwell-Boltzmann distribution for densities in the rotational and vibrational states, we can calculate the total NH density,

$$n_{\text{NH}} = \frac{n_{\text{NH},J=9}}{f_b}, \quad (\text{A19})$$

where the f_b is the Boltzmann fraction which is taken from Herzberg,⁶⁰

$$f_b = \frac{2J+1}{Q_r Q_v} \exp\left[-\frac{E_{\text{rot}}(K)}{kT_{\text{rot}}}\right] \exp\left[-\frac{E_{\text{vib}}(n)}{kT_{\text{vib}}}\right]. \quad (\text{A20})$$

Q_r and Q_v are the rotational and vibrational partition functions, respectively, and $E_{\text{vib}}(n)$ and $E_{\text{rot}}(K)$ the vibrational energy and the rotational energy,

$$E_{\text{vib}}(n) = hc\omega_1(n+1/2), \quad (\text{A21})$$

$$E_{\text{rot}}(K) = hcB_r K(K+1). \quad (\text{A22})$$

h is Planck's constant, ω_1 the vibrational constant, n is the vibrational quantum number, and K is the rotational quantum number of the lower level apart from spin. The vibrational partition function including the zero-point energy is given by Herzberg,⁶⁰

$$Q_v = \frac{\exp\left[-\frac{hc\omega_1}{2kT_{\text{vib}}}\right]}{1 - \exp\left[-\frac{hc\omega_1}{kT_{\text{vib}}}\right]}. \quad (\text{A23})$$

For the diatomic NH, the rotational partition function can be approximated by the classical expression,⁶⁰

$$Q_r = \frac{kT}{hcB_v}. \quad (\text{A24})$$

Using molecular constants reported by Brazier *et al.*³⁵ and assuming the rotational and vibrational temperatures to be equal to the gas temperature ($T=1750$ K in the present work), the total NH density (m^{-3}) can be calculated as a function of the measured integrated absorption $A_{\text{int}}(\text{cm}^{-1})$,

$$n_{\text{NH}} = \frac{n_{\text{NH},J=9}}{f_b} = 7.39 \times 10^{21} A_{\text{int}}. \quad (\text{A25})$$

APPENDIX B: CROSS SECTION OF THE $\tilde{A}^2 A_1(0,9,0) \leftarrow \tilde{X}^2 B_1(0,0,0) \Sigma^P Q_{1,7}$ TRANSITION OF NH_2 AT $16\,739.90\text{ CM}^{-1}$

For NH_2 , the integrated cross section can be calculated from information on the oscillator strength reported by Votsmeier *et al.*³⁹ and the molecular constants reported by Green and Miller.⁴¹ The relation between the integrated absorption cross section of a molecule σ_{int} and the oscillator strength f_{ik} of the transition is given by⁵⁷

$$\sigma_{\text{int}} = \frac{e^2}{4\epsilon_0 m_e c} f_{ik}. \quad (\text{B1})$$

Using the fundamental physical constants for the electron mass m_e elementary charge e , the dielectric constant ϵ_0 , the speed of light c , and the oscillator strength $f_{ik}=8.36 \times 10^{-5}$ reported by Votsmeier *et al.*,³⁹ we find the following value for the integrated absorption cross section:

$$\sigma_{\text{int}} = 2.21 \times 10^{-10} \text{ m}^2 \text{ Hz}. \quad (\text{B2})$$

Using a similar procedure as for the NH radical in the previous section [Eqs. (A14)–(A16)], one can calculate the peak absorption cross section σ_{peak} for the $\tilde{A}^2 A_1(0,9,0) \leftarrow \tilde{X}^2 B_1(0,0,0) \Sigma^P Q_{1,7}$ transition of NH_2 by incorporating the line-shape function $\Phi(0,a)$ for a gas temperature of 1750 K [Eq. (A15)],

$$\sigma_{\text{peak}} = 5.53 \times 10^{-20} \text{ m}^2. \quad (\text{B3})$$

To obtain the total density of NH_2 , we adapt a similar procedure as for the NH radical [Eqs. (A18) and (A19)]. The Boltzmann fraction f_b for NH_2 is given by Green and Miller⁴¹ and Kohse-Höinghaus *et al.*,⁶¹

$$f_b = \frac{3(2J''+1)}{4g''Q_rQ_v} \exp\left[-\frac{E_{\text{rot}}}{kT_{\text{rot}}}\right] \exp\left[-\frac{E_{\text{vib}}}{kT_{\text{vib}}}\right]. \quad (\text{B4})$$

Q_r and Q_v are the rotational and vibrational partition functions and J'' and g'' are the total rotational quantum numbers including spin and the electron-spin degeneracy of the lower state. The factor $3/4$ occurs due to the nuclear spin of the H

atoms. The vibrational energy E_{vib} for the NH_2 molecule is given by⁶⁰

$$E_{\text{vib}} = hc\omega_1(n_1 + 1/2) + hc\omega_2(n_2 + 1/2) + hc\omega_3(n_3 + 1/2), \quad (\text{B5})$$

with ω_1 , ω_2 , and ω_3 the vibrational constants and n_1 , n_2 , and n_3 the vibrational quantum numbers of the lower state. The rotational energy is given by the following formula:

$$E_{\text{rot}} = hcF(J), \quad (\text{B6})$$

where $F(J)$ is the experimentally determined rotational term value reported by Ross *et al.*³⁸ The vibrational partition function including the zero-point energy of the vibration is given by Green and Miller,⁴¹

$$Q_v = \frac{\exp\left[-\frac{hc\omega_1}{2kT}\right] \exp\left[-\frac{hc\omega_2}{2kT}\right] \exp\left[-\frac{hc\omega_3}{2kT}\right]}{1 - \exp\left[-\frac{hc\omega_1}{kT}\right] 1 - \exp\left[-\frac{hc\omega_2}{kT}\right] 1 - \exp\left[-\frac{hc\omega_3}{kT}\right]}. \quad (\text{B7})$$

For NH_2 , which is an asymmetric top molecule, the rotational partition function Q_r can be estimated by^{41,60}

$$Q_r = \frac{\sqrt{\pi}}{\sigma} \sqrt{\frac{8\pi^2 I_A kT}{h^2}} \sqrt{\frac{8\pi^2 I_B kT}{h^2}} \sqrt{\frac{8\pi^2 I_C kT}{h^2}}. \quad (\text{B8})$$

In this equation, σ is the symmetry number and I_A , I_B , and I_C are the moments of inertia of the NH_2 molecule. The symmetry number σ is equal to 2 and the electron spin degeneracy g'' is equal to 1, because the measured line is in fact a spin-split doublet, which is not resolved by the measurement. Using the moments of inertia and the vibrational frequencies reported by Green and Miller⁴¹ and assuming equal temperatures for rotation and vibration (1750 K), we can calculate the total NH_2 density (m^{-3}) as a function of the measured integrated absorption $A_{\text{int}}(\text{cm}^{-1})$,

$$n_{\text{NH}_2} = \frac{A_{\text{int}}}{\sigma_{\text{int}} l f_b} = 4.95 \times 10^{22} A_{\text{int}}. \quad (\text{B9})$$

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