

# A Boyer-Moore type algorithm for regular expression pattern matching

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A Boyer-Moore type algorithm for regular expression pattern matching

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# A Boyer-Moore type algorithm for regular expression pattern matching

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#### Abstract

This paper presents a Boyer-Moore type algorithm for regular expression pattern matching, answering an open problem posed by A. V. Aho in 1980 [Aho80, p. 342]. The new algorithm handles patterns specified by regular expressions — a generalization of the Boyer-Moore and Commentz-Walter algorithms (which deal with patterns that are single keywords and finite sets of keywords, respectively).

Like the Boyer-Moore and Commentz-Walter algorithms, the new algorithm makes use of shift functions which can be precomputed and tabulated. The precomputation algorithms are derived, and it is shown that the required shift functions can be precomputed from Commentz-Walter's shift functions known as  $d_1$  and  $d_2$ .

In certain cases, the Boyer-Moore (Commentz-Walter) algorithm has greatly outperformed the Knuth-Morris-Pratt (Aho-Corasick) algorithm. In testing, the algorithm presented in this paper also frequently outperforms the regular expression generalization of the Aho-Corasick algorithm.

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# 1 Introduction

The pattern matching problem is: given a non-empty language L (over an alphabet<sup>1</sup> V) and an input string S (also over alphabet V), find all substrings of S that are in L. Several restricted forms of this problem have been solved (all of which are discussed in detail in [Aho90] and [WZ92]):

- The Knuth-Morris-Pratt [KMP77] and Boyer-Moore [BM77] algorithms solve the problem when L consists of a single word (the single keyword pattern matching problem).
- The Aho-Corasick [AC75] and Commentz-Walter [Com79a, Com79b] algorithms solve the problem when L is a finite set of (key)words (the multiple keyword pattern matching problem). The Aho-Corasick and Commentz-Walter algorithms are generalizations of the Knuth-Morris-Pratt and Boyer-Moore algorithms respectively.
- The case where L is a regular language (the regular expression pattern matching problem) can be solved as follows: a finite automaton is constructed for the language  $V^*L$ ; each time the automaton enters a final state (while processing the input string S) a matching substring has been found. This algorithm is detailed in [Aho90]. It is a generalization of the Knuth-Morris-Pratt and Aho-Corasick algorithms. Most practical algorithms solving the regular expression pattern matching problem are variants of this  $(V^*L)$  algorithm.

Although the Knuth-Morris-Pratt and Aho-Corasick algorithms have better worst-case running time than the Boyer-Moore and Commentz-Walter algorithms (respectively), the latter two algorithms are known to be extremely efficient in practice [HS91, Wat94]. The single and multiple keyword pattern matching algorithms are derived (with proofs) in [WZ92]. Interestingly, to date no generalization (to the case where L is a regular language) of the Boyer-Moore and Commentz-Walter algorithms has been discovered. In [Aho80, p. 342], A.V. Aho states the following open problem:

"It would also be interesting to know whether there exists a Boyer-Moore type algorithm for regular expression pattern matching."

In this paper, we present such an algorithm. As with the Boyer-Moore and Commentz-Walter algorithms, the new algorithm requires shift tables. The precomputation of these shift table is discussed, and shown to be related to the shift tables used by the Commentz-Walter algorithm. Finally, the new algorithm is specialized to obtain the Boyer-Moore (single keyword) algorithm — showing that it is indeed a generalization of the Boyer-Moore algorithm. The algorithm has been implemented, and in practice it frequently displays better performance than the traditional ( $V^*L$  finite automaton) algorithm.

This paper is structured as follows:

- Section 2 presents the mathematical definitions and properties required for reading this paper.
- Section 3 gives the problem specification, and a simple first algorithm.

<sup>&</sup>lt;sup>1</sup>An alphabet is a finite, non-empty set of symbols. Throughout this paper we assume a fixed alphabet V.

- Section 4 presents the essential idea of greater shift distances while processing the input text, as in the Boyer-Moore algorithm.
- Section 5 derives algorithms required for the precomputation of the shift functions used in the pattern matching algorithm.
- Section 6 specializes the new pattern matching algorithm to obtain the Boyer-Moore algorithm.
- Section 7 provides some data on the performance of the new algorithm versus the generalization of the Aho-Corasick algorithm.
- Section 8 presents the conclusions of this paper.

All of the algorithms are presented in the guarded command language of Dijkstra [Dij76], using Dijkstra's style of proof and program derivation.

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# 2 Mathematical preliminaries

We now present some required definitions (most of which are taken from [WZ92]). For any set G,  $\mathcal{P}(G)$  denotes the set of all subsets of G. For  $n \geq 0$ ,  $V^n$  denotes the set of all words over V of length n. We have the property that  $V^0 = \{\epsilon\}$ , where  $\epsilon$  denotes the empty word. Define  $V^* = (\bigcup i : 0 \leq i : V^i)$  ( $V^*$  denotes the set of all words over alphabet V, including  $\epsilon$ ). For any  $w \in V^*$ , define |w| to be the length of w.

The remaining definitions and properties are divided into five groups: prefixes and suffixes of strings, language theoretic properties, string and language reversal, operators for manipulating substrings, and properties of **min** and **max**. In the following definitions assume that  $A, B \subseteq V^*$  are languages over alphabet V.

1. Define functions pref, suff :  $\mathcal{P}(V^*) \longrightarrow \mathcal{P}(V^*)$  as:

$$pref(A) = \{x : (\exists y : y \in V^* : xy \in A)\} \\ suff(A) = \{y : (\exists x : x \in V^* : xy \in A)\}\$$

That is,  $\operatorname{pref}(A)$  is the set of all prefixes of words in A, while  $\operatorname{suff}(A)$  is the set of all suffixes of words in A. When  $w \in V^*$ , we will take  $\operatorname{pref}(w)$  to mean  $\operatorname{pref}(\{w\})$  and  $\operatorname{suff}(w)$  to mean  $\operatorname{suff}(\{w\})$ . If  $A \neq \emptyset$  we have  $\epsilon \in \operatorname{pref}(A) \land \epsilon \in \operatorname{suff}(A)$ . Note that  $A \subseteq \operatorname{pref}(A), A \subseteq \operatorname{suff}(A)$ ,  $\operatorname{pref}(\operatorname{pref}(A)) = \operatorname{pref}(A)$  and  $\operatorname{suff}(\operatorname{suff}(A)) = \operatorname{suff}(A)$  (i.e. pref and suff are idempotent). We also define  $\leq_p$  to be a partial order on strings (known as the prefix order) as  $u \leq_p v \equiv u \in \operatorname{pref}(v)$ . Function suff has the property that:

$$\mathbf{suff}(A) \cap B \neq \emptyset \equiv A \cap V^*B \neq \emptyset \tag{1}$$

2. The following language-theoretic property will be used in the algorithm derivation:

$$(V^*A \cap V^*B \neq \emptyset) \equiv (V^*A \cap B \neq \emptyset) \lor (A \cap V^*B \neq \emptyset)$$
<sup>(2)</sup>

3. We use post-fix (superscript) operator R to denote the reversal of words and languages. Note the following properties of reversal:

$$(A^R)^R = A \tag{3}$$

$$A \subseteq B \equiv A^R \subseteq B^R \tag{4}$$

$$\operatorname{pref}(A)^{R} = \operatorname{suff}(A^{R}) \tag{5}$$

$$\mathbf{suff}(A)^R = \mathbf{pref}(A^R) \tag{6}$$

- 4. Since we wish to operate on strings at a high level (without resorting to indexing the individual symbols in strings), we define some operators on strings. For any string  $w \in V^*$ , we define:
  - $w \nmid k$  to be the  $k \min |w|$  leftmost symbols of w;
  - $w \restriction k$  to be the  $k \min |w|$  rightmost symbols of w;
  - $w \downarrow k$  to be the  $(|w| k) \max 0$  rightmost symbols of w;
  - $w \mid k$  to be the  $(|w| k) \max 0$  leftmost symbols of w.

(The four operators 1, 1, 1, 1 are pronounced "left take," "right take," "left drop," and "right drop" respectively.) For example (baab)13 = baa, (baab)5 = baab, (baab)11 = aab, and  $(baab)10 = \epsilon$ .

5. Given that universal quantification over a finite domain is shorthand for conjunction, and existential quantification over a finite domain is shorthand for disjunction, we have the following general properties (where P is some range predicate, f is some function, and the  $\forall$  and  $\exists$  quantified j is over a finite domain):

$$(\mathbf{MIN}\ i: (\forall\ j:: P(i,j)): f(i)) \geq (\mathbf{MAX}\ j:: (\mathbf{MIN}\ i: P(i,j): f(i)))$$
(7)

$$(\mathbf{MIN}\ i: (\exists\ j:: P(i,j)): f(i)) = (\mathbf{MIN}\ j:: (\mathbf{MIN}\ i: P(i,j): f(i)))$$
(8)

# 3 Problem specification and a simple first algorithm

Formally, the regular expression pattern matching problem is: given a regular expression E (the pattern expression), regular language  $L \subseteq V^*$  (the pattern language denoted by E)<sup>2</sup>, and input string  $S \in V^*$ , establish postcondition R:

$$O = \{(l, v, r) : lvr = S \land v \in L\}$$

When R holds, variable O is a set of triples (decompositions of input string S), each denoting a pattern occurrence and its left and right context within S. An equivalent specification of the postcondition is:

$$O = (\cup l, v, r : lvr = S : \{l\} \times (\{v\} \cap L) \times \{r\})$$

<sup>&</sup>lt;sup>2</sup>In the remainder of this paper, we will use language L instead of regular expression E in order to make the algorithm derivation more readable.

This will prove to be more useful in the algorithm derivation.

To create a practical first algorithm, the postcondition R can be rewritten as:

 $O = (\cup u, r : ur = S : (\cup l, v : lv = u : \{l\} \times (\{v\} \cap L) \times \{r\}))$ 

We can now give a first algorithm, in which the prefixes (u) of S and the suffixes (v) of u are considered in order of increasing length<sup>3</sup> (where **cand** is conditional conjunction):

#### Algorithm 3.1:

```
\begin{array}{l} u,r,O:=\epsilon,S,\{\epsilon\}\times(\{\epsilon\}\cap L)\times\{S\};\\ \mathbf{do}\ r\neq\epsilon\longrightarrow\\ u,r:=u(r|1),r|1;\\ l,v:=u,\epsilon;\\ O:=O\cup(\{l\}\times(\{v\}\cap L)\times\{r\});\\ \mathbf{do}\ l\neq\epsilon\ \mathbf{cand}\ (l|1)v\in\mathbf{suff}(L)\longrightarrow\\ l,v:=l|1,(l|1)v;\\ O:=O\cup(\{l\}\times(\{v\}\cap L)\times\{r\})\\ \mathbf{od}\\ \mathbf{od}\ \{R\}\end{array}
```

This algorithm is taken from [WZ92, Algorithm 2.5]. The number of iterations of the inner repetition is  $\mathcal{O}(|S| \cdot ((\mathbf{MAX} \ w : w \in L : |w|) \min |S|))$ . (This is not the same as the running time, as we have not taken the cost of operations such as  $\{v\} \cap L$  into account.) The implementation of guard  $(l \upharpoonright 1) v \in \mathbf{suff}(L)$  and expression  $\{v\} \cap L$  (in the update of variable O) remain unspecified. In order to make the algorithm more practical, we introduce a finite automaton.

### 3.1 A more practical algorithm using a finite automaton

Since L is a regular language, we construct (from E) a (possibly non-deterministic)  $\epsilon$ -transitionfree finite automaton  $M = (Q, V, \delta, I, F)$  accepting  $L^R$  (the reverse language<sup>4</sup> of L), where:

- Q is the set of states of M.
- V is our fixed alphabet.
- δ : P(Q) × V → P(Q) is the transition function. (In the case of a deterministic automaton, the (possibly partial) transition function would be δ : Q × V → Q.) Function δ<sup>\*</sup> : P(Q) × V<sup>\*</sup> → P(Q) is the usual Kleene closure of function δ, defined inductively as δ<sup>\*</sup>(H, ε) = H and (for a ∈ V, w ∈ V<sup>\*</sup>) δ<sup>\*</sup>(H, aw) = δ<sup>\*</sup>(δ(H, a), w). (The signatures of transition functions δ and δ<sup>\*</sup> are slightly different from the ones usually found in textbooks. The signatures used in this paper are notational conveniences which shorten some of the derivations, and simplify the extension of function δ to function δ<sup>\*</sup>.)

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<sup>&</sup>lt;sup>3</sup>Other orders of evaluation can also be used. This order is only chosen so as to arrive at an algorithm generally resembling the Boyer-Moore algorithm.

<sup>&</sup>lt;sup>4</sup>The reverse is used, since we will be using automaton M to consider the symbols of substring v in right-to-left order instead of left-to-right order.

- $I \subseteq Q$  is the set of initial (i.e. start) states.
- $F \subseteq Q$  is the set of final states.

(Such an automaton would normally be constructed from regular expression E; algorithms doing this are, for instance, presented in [Wat93a, Constrs. 4.32, 4.39, 4.45, 4.50, 5.34, 5.69, 5.75, 5.82].) Since M is  $\epsilon$ -transition-free, we have the property that  $\epsilon \in L \equiv I \cap F \neq \emptyset$ . Finite automata with  $\epsilon$ -transitions could have been used; they are only excluded in order to simplify the definitions given here.

To give the invariant in the new algorithm, we define a function  $\mathcal{L}: Q \longrightarrow \mathcal{P}(V^*)$  as

$$\mathcal{L}(q) = \{ w : w \in V^* \land q \in \delta^*(I, w) \}$$

Function  $\mathcal{L}$  maps each state q to the set of all words (in  $V^*$ ) taking M from an initial state to the state q. (Note, by definition:  $L^R = (\bigcup f : f \in F : \mathcal{L}(f))$ .) For each state q, we also define constant  $m_q$  to be the length of a shortest word in  $\mathcal{L}(q)$ . Define m to be the length of a shortest word in L.

The new algorithm using finite automaton M is (C is a new variable ranging over  $\mathcal{P}(Q)$ ):

#### Algorithm 3.2:

```
\begin{array}{l} u,r,O:=\epsilon,S, \mbox{if }I\cap F\neq \emptyset \mbox{ then } \{(\epsilon,\epsilon,S)\} \mbox{ else } \emptyset \mbox{ fi};\\ \mbox{do }r\neq\epsilon\longrightarrow\\ u,r:=u(r\restriction 1),r \restriction 1;\\ l,v,C:=u,\epsilon,I;\\ O:=O\cup \mbox{if }C\cap F\neq \emptyset \mbox{ then } \{(l,v,r)\} \mbox{ else } \emptyset \mbox{ fi};\\ \{\mbox{invariant: }C=\{q:q\in Q\wedge v^R\in \mathcal{L}(q)\}\wedge u=lv\}\\ \mbox{ do }l\neq\epsilon \mbox{ cand } \delta(C,l\restriction 1)\neq \emptyset\longrightarrow\\ l,v,C:=l\restriction 1,(l\restriction 1)v,\delta(C,l\restriction 1);\\ \{C\cap F\neq \emptyset\equiv v\in L\}\\ O:=O\cup \mbox{ if }C\cap F\neq \emptyset \mbox{ then } \{(l,v,r)\} \mbox{ else } \emptyset \mbox{ fi}\\ \mbox{ od }\{R\}\end{array}
```

String v is reversed in the inner repetition invariant conjunct  $C = \{q : q \in Q \land v^R \in \mathcal{L}(q)\}$ since v is processed in reverse. Given this conjunct, the conditional conjunct of the inner repetition guard is now  $\delta(C, l|1) \neq \emptyset$ , since  $\delta(C, l|1) \neq \emptyset \equiv (l|1)v \in \mathbf{suff}(L)$ .

There are a number of choices in the implementation of the finite automaton M. In particular, if a deterministic finite automaton is used then the algorithm variable C would always be a singleton set (and the algorithm would be modified so that C ranges over Q instead of  $\mathcal{P}(Q)$ ). The use of a deterministic automaton requires more costly precomputation (of the automaton), but enables the algorithm to process input string S faster. A non-deterministic automaton would involve cheaper precomputation, but the input string would be processed more slowly as all paths in the automaton are simulated. A hybrid solution is to begin with a non-deterministic automaton, and then construct (and tabulate) a deterministic automaton on-the-fly, as the non-deterministic automaton is simulated. In this paper, we continue to use a possibly non-deterministic finite automaton.

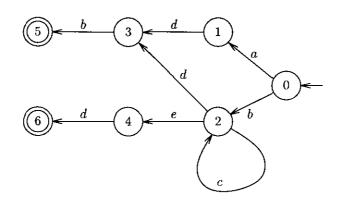


Figure 1: A finite automaton accepting the regular language  $L^R = \{b\}\{c\}^*\{db, ed\} \cup \{adb\}$ . The states are shown as circles (final states are depicted as concentric circles, and the single initial state is the rightmost state).

**Example:** As an example of a regular language pattern, and a corresponding finite automaton, consider the language  $L = \{bd, de\}\{c\}^*\{b\} \cup \{bda\}$  (over alphabet  $V = \{a, b, c, d, e\}$ ). In this case, the automaton M (which is shown in Figure 1) accepts the language  $L^R = \{b\}\{c\}^*\{db, ed\} \cup \{adb\}$ . Coincidentally, automaton M is deterministic. Within examples, we will use names (such as L, V, and M) to refer to the concrete objects defined above, as opposed to the abstract objects used elsewhere in the paper. The languages of each of the states (for the automaton in Figure 1) are as follows:

$\mathcal{L}(0)$	=	$\{\epsilon\}$
$\mathcal{L}(1)$	=	$\{a\}$
$\mathcal{L}(2)$	=	$\{b\}\{c\}^*$
$\mathcal{L}(3)$	=	$\{ad\} \cup \{b\}\{c\}^*\{d\}$
$\mathcal{L}(4)$	=	$\{b\}\{c\}^*\{e\}$
$\mathcal{L}(5)$	=	$\{adb\} \cup \{b\} \{c\}^* \{db\}$
$\mathcal{L}(6)$	=	$\{b\}\{c\}^*\{ed\}$

Additionally, m = 3,  $m_0 = 0$ ,  $m_1 = m_2 = 1$ ,  $m_3 = m_4 = 2$ , and  $m_5 = m_6 = 3$ . Language L and automaton M will be used as our running example throughout the paper. (End of example.)

# 4 Greater shift distances

Upon termination of the inner repetition, we know (by the invariant of the inner repetition) that  $C = \{q : q \in Q \land v^R \in \mathcal{L}(q)\}$ . This implies  $(\forall q : q \in C : v^R \in \mathcal{L}(q))$ , and equivalently

$$(\forall q: q \in C: v \in \mathcal{L}(q)^R)$$

In a manner analogous to the Boyer-Moore algorithm, this information can be used on a subsequent iteration of the outer repetition to make a shift of more than one symbol in the

#### 4.1 A more efficient algorithm by computing a greater shift

assignment:

u,r := u(r | 1), r | 1

In order to make use of this information (which relates v and C) on the first iteration of the outer repetition, we make the invariant of the inner repetition an invariant of the outer repetition as well, by adding the (redundant) initialization  $l, v, C := u, \epsilon, I$  before the outer repetition<sup>5</sup>:

#### Algorithm 4.1:

```
\begin{array}{l} u,r,O:=\epsilon,S, \mbox{if }I\cap F\neq \emptyset \ \mbox{then } \{(\epsilon,\epsilon,S)\} \ \mbox{else } \emptyset \ \mbox{fi};\\ l,v,C:=u,\epsilon,I;\\ \{\mbox{invariant: }C=\{q:q\in Q\wedge v^R\in \mathcal{L}(q)\}\wedge u=lv\}\\ \mbox{do }r\neq\epsilon\longrightarrow\\ u,r:=u(r|1),r|1;\\ l,v,C:=u,\epsilon,I;\\ O:=O\cup \mbox{if }C\cap F\neq \emptyset \ \mbox{then } \{(l,v,r)\} \ \mbox{else } \emptyset \ \mbox{fi};\\ \{\mbox{invariant: }C=\{q:q\in Q\wedge v^R\in \mathcal{L}(q)\}\wedge u=lv\}\\ \mbox{do }l\neq\epsilon \ \mbox{cand } \delta(C,l|1)\neq\emptyset\longrightarrow\\ l,v,C:=l|1,(l|1)v,\delta(C,l|1);\\ \{C\cap F\neq\emptyset\equiv v\in L\}\\ O:=O\cup \mbox{if }C\cap F\neq\emptyset \ \mbox{then } \{(l,v,r)\} \ \mbox{else } \emptyset \ \mbox{fi}\\ \mbox{od } \{R\}\end{array}
```

#### 4.1 A more efficient algorithm by computing a greater shift

We wish to use a greater shift distance in the assignment u, r := u(r|1), r|1. Ideally, we require the shift distance to the nearest match to the right (in input string S). Formally, this distance is given by: (MIN  $n: 1 \le n \le |r| \land \text{suff}(u(r|n)) \cap L \ne \emptyset: n)$ . Computing this shift is as difficult as the problem that we are trying to solve. Fortunately, we can settle for any shift approximation k satisfying

$$1 \le k \le (\mathbf{MIN} \ n: 1 \le n \le |r| \land \mathbf{suff}(u(r|n)) \cap L \ne \emptyset: n)$$

(Note that a **MIN** quantification with an empty range has the value  $+\infty$ .) The assignment of u, r then becomes  $u, r := u(r|k), r \downarrow k$ . Consider the range predicate of the **MIN** quantification (the ideal shift); any weakening of the range predicate's second conjunct,  $\operatorname{suff}(u(r|n)) \cap L \neq \emptyset$ , will give a valid approximation. (Note that, by using the weakest predicate (true) we trivially obtain the algorithm above, with a constant shift distance of 1.) We begin by finding a more effective weakening; later we will show that it is practical to precompute the resulting approximation.

Assuming  $1 \le n \le |r|$  and the (implied) invariant  $(\forall q : q \in C : v \in \mathcal{L}(q)^R) \land u = lv$ , we begin with the range predicate:

<sup>&</sup>lt;sup>5</sup>This does not change the nature of the algorithm, other than creating a new outer repetition invariant.

#### 4 GREATER SHIFT DISTANCES

$$\begin{aligned}
\mathbf{suff}(u(r|n)) \cap L \neq \emptyset \\
\equiv & \{ \text{invariant: } u = lv \} \\
\mathbf{suff}(lv(r|n)) \cap L \neq \emptyset \\
\Rightarrow & \{ \text{domain of } l, r \text{ and } n: l \in V^* \text{ and } n \leq |r|, \text{ so } (r|n) \in V^n \} \\
\mathbf{suff}(V^*vV^n) \cap L \neq \emptyset \\
\equiv & \{ \text{property } (1) \text{ of suff} \} \\
V^*vV^n \cap V^*L \neq \emptyset \\
\Rightarrow & \{ \text{invariant: } (\forall q : q \in C : v \in \mathcal{L}(q)^R) \} \\
(\forall q : q \in C : V^*\mathcal{L}(q)^RV^n \cap V^*L \neq \emptyset)
\end{aligned}$$
(9)

The predicate is now free of l, v, r and S and depends only on state set C, automaton M, and language L. We will continue this derivation from the last line.

The fact that the language L and the languages  $\mathcal{L}(q)$  can be infinite (for a given  $q \in Q$ ) makes evaluation of this predicate difficult. In the following subsection, we derive a more practical range predicate.

# 4.2 Deriving a practical range predicate

We aim at a finite language  $L_q$  (corresponding to  $q \in Q$ ) such that  $V^*\mathcal{L}(q)^R \subseteq V^*L_q$  and a finite language L' such that  $V^*L \subseteq V^*L'$ .

Possible definitions of such languages are:

$$\begin{array}{lll} L_q &=& \operatorname{suff}(\mathcal{L}(q)^R) \cap V^{(m_q \min m)} \\ L' &=& \operatorname{suff}(L) \cap V^m \end{array}$$

(The definitions given here were chosen for their simplicity; other definitions are possible, but these particular ones lead to a generalization of the Boyer-Moore algorithm.) In the following intermezzo, we show that these definitions of  $L_q$  and L' satisfy the required properties:

We can see that the definition of  $L_q$  satisfies the required property by considering a particular word w:

$$w \in \mathcal{L}(q)^{R}$$

$$\Rightarrow \quad \{ \text{definition of } m_{q} \colon |w| \ge m_{q} \ge m_{q} \min m \}$$

$$(\exists x, y : w = xy : y \in \text{suff}(\mathcal{L}(q)^{R}) \land |y| = m_{q} \min m)$$

$$\equiv \quad \{ \text{definitions of concatenation and intersection of languages} \}$$

$$w \in V^{*}(\text{suff}(\mathcal{L}(q)^{R}) \cap V^{(m_{q} \min m)})$$

$$\equiv \quad \{ \text{definition of } L_{q} \}$$

$$w \in V^{*}L_{q}$$

We conclude that  $\mathcal{L}(q)^R \subseteq V^*L_q$ . It follows that  $V^*\mathcal{L}(q)^R \subseteq V^*V^*L_q$ , and (since  $V^*V^* = V^*$ )  $V^*\mathcal{L}(q)^R \subseteq V^*L_q$ . A similar proof applies to the L, L' case.

**Example:** Given our running example, we can see that  $L' = \{bda, bdb, dcb, dcb, ecb, ccb\}$  and (for all states  $0, \ldots, 6$  in finite automaton M):

$$L_0 = \{\epsilon\}$$

 $\begin{array}{rcl} L_1 &=& \{a\} \\ L_2 &=& \{b\} \\ L_3 &=& \{da, db, cb\} \\ L_4 &=& \{eb, cb\} \\ L_5 &=& \{bda, bdb, dcb, ccb\} \\ L_6 &=& \{deb, ecb, ccb\} \end{array}$ 

(End of example.)

We can continue our previous derivation of a useable range predicate, from (9):

 $\begin{array}{l} (\forall \ q: q \in C: V^* \mathcal{L}(q)^R V^n \cap V^* L \neq \emptyset) \\ \Rightarrow \qquad \{ \text{ property: } V^* \mathcal{L}(q)^R \subseteq V^* L_q; \ V^* L \subseteq V^* L' \} \\ (\forall \ q: q \in C: V^* L_q V^n \cap V^* L' \neq \emptyset) \\ \equiv \qquad \{ \text{ existentially quantify over all } w \in L_q \} \\ (\forall \ q: q \in C: (\exists \ w: w \in L_q: V^* w V^n \cap V^* L' \neq \emptyset)) \end{array}$ 

We now have a weakening of the range predicate of the ideal shift distance.

Recalling the properties of **MIN** quantification given in (7) and (8), we can now proceed with our derivation (of an approximation), beginning with the ideal shift distance:

$$\begin{array}{l} (\mathbf{MIN}\ n: 1 \leq n \leq |r| \land \mathbf{suff}(u(r|n)) \cap L \neq \emptyset:n) \\ \geq & \{ \text{ weakening of range predicate (see derivation above) } \} \\ (\mathbf{MIN}\ n: 1 \leq n \land (\forall\ q: q \in C: (\exists\ w: w \in L_q: V^*wV^n \cap V^*L' \neq \emptyset)):n) \\ \geq & \{ \text{ conjunctive } (\forall) \ \mathbf{MIN} \ \text{ range predicate } -- \text{ property } (7); \ |C| \ \text{ is finite } \} \\ (\mathbf{MAX}\ q: q \in C: (\mathbf{MIN}\ n: 1 \leq n \land (\exists\ w: w \in L_q: V^*wV^n \cap V^*L' \neq \emptyset):n)) \\ = & \{ \text{ disjunctive } (\exists) \ \mathbf{MIN} \ \text{ range predicate } -- \text{ property } (8); \ |L_q| \ \text{ is finite } \} \\ (\mathbf{MAX}\ q: q \in C: (\mathbf{MIN}\ w: w \in L_q: (\mathbf{MIN}\ n: 1 \leq n \land V^*wV^n \cap V^*L' \neq \emptyset:n))) \end{array}$$

Recall property (2); this property is also used in the derivation of the Commentz-Walter algorithm [WZ92]. We define two auxiliary functions  $d_1, d_2: V^* \longrightarrow \mathbb{N}$  as:

$$d_1(x) = (\mathbf{MIN} \ n : 1 \le n \land V^* x V^n \cap L' \ne \emptyset : n)$$
  
$$d_2(x) = (\mathbf{MIN} \ n : 1 \le n \land x V^n \cap V^* L' \ne \emptyset : n)$$

We can now rewrite the inner MIN quantification of our shift distance:

$$\begin{array}{l} \left( \mathbf{MIN} \; n: 1 \leq n \wedge V^* w V^n \cap V^* L' \neq \emptyset : n \right) \\ = & \left\{ \text{ property } (2) \right\} \\ \left( \mathbf{MIN} \; n: 1 \leq n \wedge (V^* w V^n \cap L' \neq \emptyset \lor w V^n \cap V^* L' \neq \emptyset) : n \right) \\ = & \left\{ \text{ disjunctive range predicate } \right\} \\ \left( \mathbf{MIN} \; n: 1 \leq n \wedge V^* w V^n \cap L' \neq \emptyset : n \right) \min(\mathbf{MIN} \; n: 1 \leq n \wedge w V^n \cap V^* L' \neq \emptyset : n) \\ = & \left\{ \text{ definitions of } d_1, d_2 \right\} \\ d_1(w) \min d_2(w) \end{array}$$

The approximation of the ideal shift distance is:

$$(\mathbf{MAX} \ q: q \in C: (\mathbf{MIN} \ w: w \in L_q: d_1(w) \min d_2(w)))$$

For readability, we define auxiliary function  $t: Q \longrightarrow \mathbb{N}$  as

 $t(q) = (\mathbf{MIN} \ w : w \in L_q : d_1(w) \min d_2(w))$ 

Functions  $d_1, d_2$  and t are easily precomputed as discussed in Section 5. The final algorithm (using function t and introducing variable *distance* for readability) is:

Algorithm 4.2:

```
\begin{array}{l} u,r,O:=\epsilon,S, \mbox{if } I\cap F\neq \emptyset \ \mbox{then } \{(\epsilon,\epsilon,S)\} \ \mbox{else } \emptyset \ \mbox{fi};\\ l,v,C:=u,\epsilon,I;\\ \{\mbox{invariant: } C=\{q:q\in Q\wedge v^R\in \mathcal{L}(q)\}\wedge u=lv\}\\ \mbox{do } r\neq\epsilon\longrightarrow\\ distance:=(\mathbf{MAX}\ q:q\in C:t(q));\\ u,r:=u(r|\mbox{distance}),r|\mbox{distance};\\ l,v,C:=u,\epsilon,I;\\ O:=O\cup \mbox{if } C\cap F\neq \emptyset \ \mbox{then } \{(l,v,r)\} \ \mbox{else } \emptyset \ \mbox{fi};\\ \{\mbox{invariant: } C=\{q:q\in Q\wedge v^R\in \mathcal{L}(q)\}\wedge u=lv\}\\ \mbox{do } l\neq\epsilon \ \mbox{cand } \delta(C,l|1)\neq\emptyset\longrightarrow\\ l,v,C:=l|1,(l|1)v,\delta(C,l|1);\\ \{C\cap F\neq\emptyset\equiv v\in L\}\\ O:=O\cup \mbox{if } C\cap F\neq\emptyset \ \mbox{then } \{(l,v,r)\} \ \mbox{else } \emptyset \ \mbox{fi}\\ \mbox{od } \{R\}\end{array}
```

# 5 Precomputation

In this section, we consider the precomputation of languages  $L_q$  and L', and functions  $d_1$ ,  $d_2$ , and t. The precomputation is presented as a series of small algorithms — each easier to understand than a single monolithic one. All algorithms are presented and derived in the reverse order of their application. In practice they would be combined into one algorithm, as is shown in Section 5.9.

#### 5.1 Characterizing the domains of functions $d_1$ and $d_2$

Since functions  $d_1, d_2$  are only applied to elements of  $L_q$  (for all  $q \in Q$ ), their signatures can be taken as  $d_1, d_2 : (\cup q : q \in Q : L_q) \longrightarrow N$ . In order to make the precomputation of the functions easier, we need a different characterization of their domains. To do this in a simple manner, we require the automaton M to have a simple structural property:

For all states  $q \in Q$ , there exists a path (in the transition graph induced by function  $\delta$ ) from an initial state to a final state, such that the path passes through q. (This means that there are no useless states in M.)

The property implies that (for all  $q \in Q$ )  $\mathcal{L}(q) \subseteq \operatorname{pref}((\cup f : f \in F : \mathcal{L}(f))) = \operatorname{pref}(L^R)$ . In [Wat93a], several general finite automata construction algorithms are given; many of those algorithms construct automata with this property.

From the implication of the property above, and the domain of  $d_1, d_2$ , we can restrict the domains of  $d_1$  and  $d_2$  as follows (for all  $q \in Q$ ):

 $L_q$ 

=

 $\{ \text{ definition of } L_q \}$ 

 $\operatorname{suff}(\mathcal{L}(q)^R) \cap V^{m_q \min m}$ 

 $\subseteq \{ \text{ assumption (structural property) } \mathcal{L}(q) \subseteq \mathbf{pref}(L^R); \text{ property (4); monotonicity of suff} \}$ suff(pref( $L^R$ )<sup>R</sup>)  $\cap V^{m_q \min m}$ 

 $= \{ \text{ property (5); function } R \text{ is its own inverse} - \text{ property (3)} \} \\ \mathbf{suff}(\mathbf{suff}(L)) \cap V^{m_q \min m}$ 

 $= \{ \text{ idempotency of suff} \} \\ \text{suff}(L) \cap V^{m_q \min m}$ 

 $\subseteq \{ m_q \min m \le m \text{ and } (\forall u : u \in \mathbf{suff}(L) \cap V^{m_q \min m} : (\exists v : v \in V^* : vu \in \mathbf{suff}(L) \cap V^m)) \}$ suff(suff(L) \cap V^m)

$$= \{ \text{ definition of } L' \} \\ \mathbf{suff}(L')$$

Given this property (of each  $L_q$ ), we can restrict the domain of functions  $d_1$  and  $d_2$  so that  $d_1, d_2 : suff(L') \longrightarrow N$ . Since |L'| is finite, then |suff(L')| is finite as well.

**Example:** In our running example, where  $L' = \{bda, bdb, deb, dcb, ecb, ccb\}$ , we have

 $\mathbf{suff}(L') = \{\epsilon, a, b, da, db, eb, cb, bda, bdb, deb, dcb, ecb, ccb\}$ 

Given the definitions of  $d_1, d_2$ , we can compute the two functions by hand from their definitions:

w	$\epsilon$	a	b	da	db	eb	cb	bda	bdb	deb	dcb	ecb	ccb
$d_1(w)$	1	$+\infty$	2	+∞	$+\infty$	+∞	$+\infty$						
$d_2(w)$	3	3	2	3	2	2	2	3	2	2	2	2	2

(End of example.)

Before precomputing  $d_1, d_2$ , we concentrate on the precomputation of function t.

#### 5.2 Precomputing function t

Assuming that functions  $d_1, d_2$  and sets  $L_q$  (for all  $q \in Q$ ) have been precomputed, we can compute function t as follows (variable *tee* is used to accumulate shift function t):

#### Algorithm 5.1:

```
\begin{array}{l} \text{for } q: q \in Q \text{ do} \\ tee(q) := +\infty \\ \text{rof;} \\ \text{for } q, u: q \in Q \land u \in \text{suff}(L') \land u \in L_q \text{ do} \\ tee(q) := tee(q) \min d_1(u) \min d_2(u) \\ \text{rof} \\ \{tee = t\} \end{array}
```

Notice that we impose no unnecessary order of evaluation in either of the two repetitions. An implementor of this algorithm is free to choose an order of evaluation which is most efficient for the encoding used in the implementation.

**Example:** In our running example, we obtain the following values for function t (given the values of  $L_q$  for all states q, and functions  $d_1, d_2$ ): t(0) = 1, t(1) = 3, t(2) = 2, t(3) = 2, t(4) = 2, t(5) = 2, t(6) = 2. (End of example.)

#### **5.3** Precomputing functions $d_1$ and $d_2$

With the domain of functions  $d_1$  and  $d_2$  restricted to  $\operatorname{suff}(L')$ , functions  $d_1$  and  $d_2$  are the Commentz-Walter precomputed functions for (finite) keyword set L' [Com79a].

We now present two algorithms, computing  $d_1$  and  $d_2$  respectively. The algorithms are fully derived in [WZ94], and are given here without proofs of correctness. The two precomputation algorithms presented below depend upon a function  $f_r : \operatorname{suff}(L') \setminus \{\epsilon\} \longrightarrow \operatorname{suff}(L')$ (called the *reverse failure function* corresponding to keyword set L') which is defined as:

 $f_r(u) = (\mathbf{MAX}_{\leq_p} w : w \in \mathbf{pref}(u) \setminus \{u\} \cap \mathbf{suff}(L') : w)$ 

In the following two algorithms, we assume that function  $f_r$  is precomputed:

```
Algorithm 5.2:
```

```
for u : u \in suff(L') do

dee1(u) := +\infty

rof;

for u : u \in suff(L') \setminus \{\epsilon\} do

dee1(f_r(u)) := dee1(f_r(u)) \min(|u| - |f_r(u)|)

rof

\{dee1 = d_1\}
```

Again, notice that we impose no unnecessary order of evaluation in either of the two repetitions.

#### Algorithm 5.3:

```
for u: u \in suff(L') do
       dee2(u) := +\infty
rof;
for u: u \in L' do
       v := u;
       do v \neq \epsilon \longrightarrow
              v := f_r(v);
              if |u| - |v| < dee2(v) \longrightarrow dee2(v) := |u| - |v|
              ||u| - |v| \ge dee_2(v) \longrightarrow v := \epsilon
              fi
       od
rof;
n := 1;
do \operatorname{suff}(L') \cap V^n \neq \emptyset \longrightarrow
       for u: u \in \text{suff}(L') \cap V^n do
              dee2(u) := dee2(u) \min dee2(u \downarrow 1)
       rof;
       n := n + 1
od
\{dee2 = d_2\}
```

Notice that the third (un-nested) repetition is a breadth-first traversal of the set  $\operatorname{suff}(L')$ , and the second (un-nested) repetition requires that function  $f_r$  is precomputed. By the definition of language L', the depth of the traversal is m. Precomputation using these algorithms has been found to be cheap in practice [Wat94].

### 5.4 Precomputing function $f_r$

The following algorithm (taken largely from [WZ92, Section 6, pg. 33]) computes function  $f_r$ :

#### Algorithm 5.4:

```
for a : a \in V do

if a \in suff(L') \longrightarrow fr(a) := \epsilon

|| a \notin suff(L') \longrightarrow skip

fi

rof;

n := 1;

{invariant: (\forall u : u \in suff(L') \land |u| \le n : fr(u) = f_r(u))}

do suff(L') \cap V^n \ne \emptyset \longrightarrow

for u, a : u \in suff(L') \cap V^n \land a \in V do

if au \in suff(L') \longrightarrow

u' := fr(u);

do u' \ne \epsilon \land au' \notin suff(L') \longrightarrow

u' := fr(u')
```

```
\begin{array}{c} \operatorname{od};\\ \operatorname{if} u' = \epsilon \wedge a \not\in \operatorname{suff}(L') \longrightarrow fr(au) := \epsilon\\ \parallel u' \neq \epsilon \lor a \in \operatorname{suff}(L') \longrightarrow fr(au) := au'\\ \operatorname{fi}\\ \parallel au \notin \operatorname{suff}(L') \longrightarrow \operatorname{skip}\\ \operatorname{fi}\\ \operatorname{rof};\\ n := n + 1\\ \operatorname{od}\\ \{n > m\}\\ \{fr = f_r\}\end{array}
```

This algorithm also makes use of a breadth-first traversal (of depth m) of the set suff(L').

Example:	Consider the	function $f_r$ for	or our running exam	ple:
----------	--------------	--------------------	---------------------	------

$w: w \in \mathbf{suff}(L') \setminus \{\epsilon\}$	a	b	da	db	eb	cb	bda	bdb	deb	dcb	ecb	ccb
$f_r(w)$	e	ε	e	$\epsilon$	$\epsilon$	ε	b	b	e	ε	e	ε

(End of example.)

#### 5.5 Precomputing sets $L_q$

The languages  $L_q$  can be precomputed using an auxiliary relation and two auxiliary functions. The auxiliary functions are  $st : suff(L') \longrightarrow \mathcal{P}(Q)$  and  $emm : Q \longrightarrow [0, m]$  defined as:

$$st(u) = \{q : q \in Q \land u^R \in \mathcal{L}(q)\}$$

$$emm(q) = m_q \min m$$

The required relation, X, is a binary relation on states (called the *reachability relation*), defined as (for any two state p, q):

 $(p,q) \in X \equiv (\exists a : a \in V : q \in \delta(\{p\}, a))$ 

A useful property (of any finite automaton) is that (for all states  $q \in Q$ ):

$$\operatorname{pref}(\mathcal{L}(q)) = (\cup \ p : p \in Q \land (p,q) \in X^* : \mathcal{L}(p))$$
(10)

This property is given, in a slightly simpler form, in [Wat93b, Property 3.2].

Given relation X and functions emm and st, we can derive an expression for  $L_q$  that is easier to compute (than the definition):

$$L_q$$

$$= \{ \text{ definition of } L_q \}$$

$$\text{suff}(\mathcal{L}(q)^R) \cap V^{m_q \min m}$$

$$= \{ \text{ property } (5) \}$$

$$\text{pref}(\mathcal{L}(q))^R \cap V^{m_q \min m}$$

$$= \{ \text{ property } (10) \}$$

$$\begin{array}{l} (\cup \ p: p \in Q \land (p,q) \in X^* : \mathcal{L}(p))^R \cap V^{m_q \min m} \\ = & \{ \cap \text{ and } R \text{ distribute over } \cup \} \\ (\cup \ p: p \in Q \land (p,q) \in X^* : \mathcal{L}(p)^R \cap V^{m_q \min m}) \\ = & \{ \text{ quantify over all words } w: w \in \mathcal{L}(p)^R \cap V^{m_q \min m} \} \\ (\cup \ w, p: p \in Q \land (p,q) \in X^* \land w \in V^{m_q \min m} \land w \in \mathcal{L}(p)^R : \{w\}) \\ = & \{ w \in V^{m_q \min m} \equiv |w| = m_q \min m \equiv |w| = emm(q) \} \\ (\cup \ w, p: p \in Q \land (p,q) \in X^* \land w \in \text{suff}(L') \land |w| = emm(q) \land w \in \mathcal{L}(p)^R : \{w\}) \\ = & \{ w \in \mathcal{L}(p)^R \equiv w^R \in \mathcal{L}(p) \equiv p \in st(w) \} \\ (\cup \ w, p: p \in Q \land (p,q) \in X^* \land w \in \text{suff}(L') \land |w| = emm(q) \land p \in st(w) : \{w\}) \end{array}$$

Assuming that relation X and auxiliary functions emm and st are precomputed, we can now present an algorithm computing  $L_q$  (for all  $q \in Q$ ):

# Algorithm 5.5:

 $\begin{array}{l} \text{for } q: q \in Q \text{ do} \\ ell(q) := \emptyset \\ \text{rof;} \\ \text{for } p, q, w: (p,q) \in X^* \land w \in \text{suff}(L') \land |w| = emm(q) \land p \in st(w) \text{ do} \\ ell(q) := ell(q) \cup \{w\} \\ \text{rof} \\ \{(\forall q: q \in Q: ell(q) = L_q)\} \end{array}$ 

#### 5.6 Precomputing function emm

Assuming that function st had already been computed, the following algorithm computes function emm using a breadth-first traversal of suff(L'):

# Algorithm 5.6:

```
for q: q \in Q do

if q \in I \longrightarrow emm(q) := 0

|| q \notin I \longrightarrow emm(q) := m

fi

rof;

n := 1;

do suff(L') \cap V^n \neq \emptyset \longrightarrow

for u: u \in suff(L') \cap V^n do

for q: q \in st(u) do

emm(q) := emm(q) \min n

rof

rof

od

\{(\forall q: q \in Q : emm(q) = m_q \min m)\}
```

#### 5.7 Precomputing function st and languages L' and suff(L')

The following algorithm makes a breadth-first traversal (of depth m) of the transition graph of finite automaton M. It simultaneously computes function st, languages L' and suff(L'), and m (the length of a shortest word in language L).

Languages L' and  $\operatorname{suff}(L')$  are used in most of the precomputation algorithms already presented. While the following algorithm computes language  $\operatorname{suff}(L')$ , it is also an example of a breadth-first traversal of  $\operatorname{suff}(L')$  without having to explicitly compute and store the language  $\operatorname{suff}(L')$ ; instead, the algorithm traverses the transition graph of finite automaton M and implicitly performs a breadth-first traversal of  $\operatorname{suff}(L')$ .

Algorithm 5.7:

```
st(\epsilon), current, SLprime, n, final := I, \{\epsilon\}, \{\epsilon\}, 0, (I \cap F = \emptyset);
{invariant:
       current = \mathbf{suff}(L') \cap V^n
        \wedge SLprime = (\cup i : i \le n : \mathbf{suff}(L') \cap V^i)
        \wedge \, 0 \leq n \leq m
        \wedge (final \equiv n = m)
        \wedge (\forall \ u : u \in \mathbf{suff}(L') \land |u| \le n : st(u) = \{q : u^R \in \mathcal{L}(q)\})\}
do \neg final \longrightarrow
       current' := \emptyset;
       n := n + 1;
       for u, a : u \in current \land a \in V do
               if \delta(st(u), a) \neq \emptyset \longrightarrow
                       \{au \in \mathbf{suff}(L') \cap V^n\}
                       st(au) := \delta(st(u), a);
                       \{ (\forall q : q \in st(au) : au \in \mathcal{L}(q)^R) \}
                       current' := current' \cup \{au\};
                       final := final \lor (st(au) \cap F \neq \emptyset)
               \int \delta(st(u), a) = \emptyset \longrightarrow \mathbf{skip}
               fi
       rof;
       current := current';
       SLprime := SLprime \cup current
od
\{n = m\}
\{current = \mathbf{suff}(L') \cap V^m = L'\}
\{SLprime = suff(L')\}
\{ (\forall \ u : u \in \mathbf{suff}(L') : st(u) = \{q : u^R \in \mathcal{L}(q)\}) \}
```

#### 5.8 Precomputing relation X

Relation X can be precomputed using a reachability algorithm which traverses the transition graph of automaton M. Relation  $X^*$  can then be precomputed by a reflexive and transitive closure algorithm. The two algorithms are combined into one below:

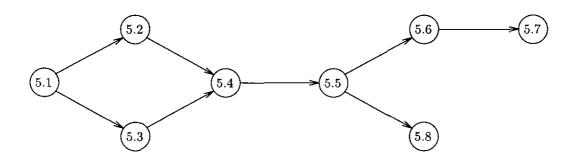


Figure 2: The dependency graph of the precomputation algorithms. An arrow from algorithm a to algorithm b indicates that algorithm b must be applied before algorithm a.

#### Algorithm 5.8:

```
\begin{split} Exstar &:= \emptyset;\\ \text{for } q, a : a \in Q \land a \in V \text{ do}\\ Exstar &:= Exstar \cup \{(q,q)\} \cup (\{q\} \times \delta(\{q\},a));\\ \text{rof};\\ \{Exstar &= X^0 \cup X^1\}\\ change &:= true;\\ \text{do } change &\longrightarrow\\ change &:= false;\\ \text{for } p, q, r : (p,q) \in Exstar \land (q,r) \in Exstar \text{ do}\\ change &:= change \lor (p,r) \notin Exstar;\\ Exstar &:= Exstar \cup \{(p,r)\}\\ \text{rof}\\ \text{od}\\ \{Exstar &= X^*\} \end{split}
```

### 5.9 Combining the precomputation algorithms

The precomputation algorithms can be combined into a single monolithic algorithm. Such an algorithm is essentially the sequential concatenation of the separate precomputation algorithms. The order in which the algorithms are applied is determined by their dependency graph, which is shown in Figure 2. A possible order of execution is obtained by reversing a topological sort of the dependency graph. One such order is: (Algorithms) 5.8, 5.7, 5.6, 5.5, 5.4, 5.2, 5.3, 5.1.

# 6 Specializing the pattern matching algorithm

By restricting the form of the regular expression patterns, we can specialize the pattern matching algorithm to obtain the Boyer-Moore and the Commentz-Walter algorithms. In this section, we specialize to obtain the Boyer-Moore algorithm that does not use a lookahead symbol.

To obtain the single-keyword pattern matching problem, we require that L be a singleton set; that is  $L = \{p\}$ , a language consisting of a single keyword.

We define deterministic finite automaton  $M = (\operatorname{suff}(p), V, \gamma, \{\epsilon\}, \{p\})$ . The states are elements of  $\operatorname{suff}(p)$ . We define deterministic transition function  $\gamma : \operatorname{suff}(p) \times V \longrightarrow \operatorname{suff}(p) \cup \{\bot\}$  (the special value  $\bot$  denotes an undefined transition) as:

$$\gamma(w,a) = \begin{cases} aw & \text{if } aw \in \mathbf{suff}(p) \\ \bot & \text{otherwise} \end{cases}$$

Automaton M satisfies the structural property on page 12. Given function  $\gamma$ , we have (for every state  $w \in \text{suff}(p)$ ):

$$\mathcal{L}(w) = \{w^R\}$$

Automaton M is deterministic, and the current state-set variable (C in the algorithm) is always a singleton set; call it state  $w \in \text{suff}(p)$ . Since  $\mathcal{L}(w)$  is a singleton set and  $|w| \leq |p|$ , we have  $m_w = |w|$  and  $L_w = \mathcal{L}(w)^R = \{w\}$ . Additionally, since m = |p|,  $L' = L = \{p\}$ . Clearly, we have  $L_w \subseteq \text{suff}(L') = \text{suff}(p)$ . Function t is defined as  $t(w) = d_1(w) \min d_2(w)$ . The shift distance will then be  $d_1(w) \min d_2(w)$  in the update of variables u, r. Elements of suff(p) (in particular, current state variable w) can be encoded as integer indices (into string p) in the range [0, |p|]. By making use of this encoding, and changing the domain of the variables u, r and functions  $d_1, d_2$  to make use of indexing in input string S, we obtain the Boyer-Moore algorithm. The Commentz-Walter algorithm can similarly be obtained as a specialization.

# 7 Performance of the algorithm

Empirical performance data was gathered by implementing this algorithm in a grep style pattern matching tool, running under UNIX (on a Sun SPARC Station 1+) and DOS (on a 20 Mhz 386).

On each run, the new algorithm was used in addition to the old (generalized Aho-Corasick) algorithm which constructs a finite automaton accepting the language  $V^*L$ . (For both the old and the new algorithms, only deterministic finite automata were used. The time required for precomputation was not measured, but for both algorithms it appeared to be negligible compared to the time required to process the input string.) In the cases where  $m \ge 6$  (the length of the shortest word in L is at least 6), and  $|L'| \le 18$ , this new algorithm outperforms the other algorithm. These conditions held on approximately 35% of our user-entered regular expression patterns.

In the cases where the new algorithm outperformed the traditional one, the differences in execution speed varied from a 5% improvement to a 150% improvement. In the cases where the new algorithm was outperformed, its execution speed was never less than 30% of the execution speed of the traditional algorithm.

The conditions for obtaining high performance from the new algorithm  $(m \ge 6 \land |L'| \le 18)$  can easily be determined from automaton M. In a grep style pattern matching tool, the automaton M can be constructed for language  $L^R$ . If the required conditions are met, the Boyer-Moore type pattern matcher is used. If the conditions are not met, M can be reversed (so that it accepts language L), and converted to an automaton accepting  $V^*L$ . The traditional algorithm can then be used.

# 8 Conclusions

We have achieved our aim of deriving an efficient generalized Boyer-Moore type pattern matching algorithm for regular languages. The stepwise derivation began with a simple, intuitive first algorithm; a finite automaton was introduced to make the implementation practical. The idea of shift distances greater than one symbol (as in the Boyer-Moore and Commentz-Walter algorithms) was introduced. The use of predicate weakening was instrumental in deriving a practical approximation to the ideal shift distance.

Using a structural property of finite automata, the approximation was shown to be the composition of several functions, all but two of which are easily computed. The remaining two functions are the Commentz-Walter shift functions; an algorithm computing these functions has previously been derived with correctness arguments in [WZ92].

The Boyer-Moore algorithm was derived as a special case of our algorithm, showing our algorithm to be a truly generalized pattern matching algorithm.

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