# Journal of Hydrology A Bimodal Extension of the ARYA&PARIS Approach for Predicting Hydraulic Properties of Structured Soils --Manuscript Draft--

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Abstract:	The main purpose of this paper is to develop a bimodal pedotransfer function to obtain soil water retention (WRC) and hydraulic conductivity (HCC) curves. The proposed pedo-transfer function (PTF) extends the Arya and Paris (AP) approach, which is based on particle size distribution (PSD), by incorporating aggregate-size distribution (ASD) into the PTF to obtain the bimodal WRC. A bimodal porosity approach was developed to quantify the fraction of each of the porous systems (matrix and macropores) in overall soil porosity. Saturated hydraulic conductivity, K 0 , was obtained from WRC using the Kozeny-Carman equation, whose parameters were inferred from the behaviour of the bimodal WRC close to saturation. Finally, the Mualem model was applied to obtain the HCC. In order to calibrate the PTF, measured soil physical and hydraulic properties data were used, coming from field infiltration experiments from an irrigation sector of 140 ha area in the "Sinistra Ofanto" irrigation system in Apulia, southern Italy. The infiltration data were fitted by using both bimodal and unimodal hydraulic properties by an inverse solution of the Richards equation. The bimodal "measured" hydraulic properties were then used to calibrate the scaling parameter ( $\alpha$ AP ) of the proposed bimodal AP ( unimAP ) PTF. Compared to the unimAP PTF, the proposed bimAP significantly improves the predictions of the mean WRC parameters and K 0 , as well as the prediction of the shape of the whole HCC. Moreover, compared to the unimodal approach, it also allows keeping the hydraulic parameter's spatial variability observed in the calibration dataset. Multiple linear regression (MLR) was also applied to analyse the sensitivity of the bimodal $\alpha$ AP parameter to textural and structural features, confirming significant predictive effects of soil structure.			
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#### 1 A BIMODAL EXTENSION OF THE ARYA&PARIS APPROACH FOR PREDICTING HYDRAULIC PROPERTIES OF 2 **STRUCTURED SOILS** Shawkat B.M. Hassan<sup>a\*</sup>, Giovanna Dragonetti<sup>b</sup>, Alessandro Comegna<sup>a</sup>, Asma Sengouga<sup>a</sup>, Nicola 3 4 Lamaddalena<sup>b</sup>, Antonio Coppola<sup>a</sup> <sup>a</sup> School of Agricultural, Forestry, Food and Environmental Sciences (SAFE), University of Basilicata, 5 Viale dell'Ateneo Lucano, 10, 85100 Potenza PZ, Italy 6 7 <sup>b</sup> Mediterranean Agronomic Institute, Land and Water Division, IAMB, 70010 Valenzano BA, Italy 8 \* Correspondence: Shawkat.hassan@unibas.it; Tel.: +39 348 253 1082 9 **Abstract:** The main purpose of this paper is to develop a bimodal pedotransfer function to obtain 10 soil water retention (WRC) and hydraulic conductivity (HCC) curves. The proposed pedo-transfer 11 function (PTF) extends the Arya and Paris (AP) approach, which is based on particle size 12 13 distribution (PSD), by incorporating aggregate-size distribution (ASD) into the PTF to obtain the 14 bimodal WRC. A bimodal porosity approach was developed to quantify the fraction of each of the 15 porous systems (matrix and macropores) in overall soil porosity. Saturated hydraulic conductivity, $K_0$ , was obtained from WRC using the Kozeny-Carman equation, whose parameters were inferred 16 17 from the behaviour of the bimodal WRC close to saturation. Finally, the Mualem model was applied to obtain the HCC. In order to calibrate the PTF, measured soil physical and hydraulic properties 18 data were used, coming from field infiltration experiments from an irrigation sector of 140 ha area 19 in the "Sinistra Ofanto" irrigation system in Apulia, southern Italy. The infiltration data were fitted 20 21 by using both bimodal and unimodal hydraulic properties by an inverse solution of the Richards 22 equation. The bimodal "measured" hydraulic properties were then used to calibrate the scaling 23 parameter ( $\alpha_{AP}$ ) of the proposed bimodal AP (*bimAP*) PTF. Similarly, for the sake of comparison with the bimodal results, the unimodal hydraulic properties were used to calibrate the $\alpha_{AP}$ of the 24 classical unimodal AP (unimAP) PTF. Compared to the unimAP PTF, the proposed bimAP 25

Abbreviations: AP, Arya and Paris model; *bimAP*, bimodal Arya and Paris model; *unimAP*, unimodal Arya and Paris model \* Corresponding author. *E-mail address*: Shawkat.hassan@unibas.it significantly improves the predictions of the mean WRC parameters and K<sub>0</sub>, as well as the
prediction of the shape of the whole HCC. Moreover, compared to the unimodal approach, it also
allows keeping the hydraulic parameters' spatial variability observed in the calibration dataset.
Multiple linear regression (MLR) was also applied to analyse the sensitivity of the bimodal α<sub>AP</sub>
parameter to textural and structural features, confirming significant predictive effects of soil
structure.

Keywords: Pedotransfer functions, bimodal hydraulic properties, soil structure, hydraulic
 properties variability, soil water retention, soil hydraulic conductivity

34

#### 35 1. Introduction

The basis for understanding and solving agro-environmental problems increasingly lies in the use 36 37 of agro-hydrological models. Such models frequently rely on mechanistic descriptions of fundamental processes involved in water and solute transport in soils (Abrahamsen and Hansen, 38 2000; Coppola et al., 2019; Šimůnek et al., 2008; Van Dam et al., 1997). Richards' equation (RE) and 39 40 the Advection-Dispersion equation (ADE) are generally used for water flow and solute transport, respectively. Solving RE requires that soil water-pressure head,  $\theta(h)$ , and hydraulic conductivity-41 water content,  $K(\theta)$ , functions be specified at the space scale of concern. For large-scale 42 43 applications, large hydraulic properties datasets are required to characterize the high spatial (and temporal) variability of soil hydraulic properties naturally found in extensive areas (Coppola et al., 44 45 2009a; Sposito, 1998). This is one of the more frustrating problems for soil scientists and 46 hydrologists, because direct measurements are cumbersome and expensive, and may represent the 47 main limit to using mechanistic models for large scale applications. This is also the chief 48 justification for the use of simpler approaches (bucket approach, for example) than the RE. 49 In attempts to overcome this problem, in recent decades great efforts have been made to develop 50 methods to estimate soil hydraulic properties from simpler data in the case of extensive direct

51 characterizations. Since hydraulic properties are affected by other physical, chemical and biological 52 properties, which are considered easier and cheaper to measure, empirical relations to predict them have been proposed. Most of these expressions can be classified as pedotransfer functions 53 (PTFs, after Bouma, 1987) as they translate "readily" available information into the properties 54 55 needed to solve RE. Continuous PTFs (Rawls and Brakensiek, 1985; Minasny et al., 1999) that 56 calculate hydraulic properties from particle size distribution and additional soil variables such as 57 bulk density via a mathematical relationship are being constantly improved. Neural network analysis has also been used to generate empirical PTFs (Schaap and Bouten, 1996). Leij et al. (2004) 58 59 extended the use of neural networks by introducing terrain attributes. Overviews of the current 60 status of PTF approaches are given by Basile et al. (2019) and Pachepsky et al. (2004). Particle size distribution (PSD) data have also been used as a basis for estimating soil water 61 retention using semi-physical PTFs (Haverkamp and Parlange, 1986). Arya and Paris (1981) 62 63 significantly contributed to the expansion and spread of the approach. Their physico-empirical 64 approach is mainly based on the similarity between shapes of the cumulative PSD and  $\theta(h)$  curves. 65 The model originally developed was refined (Arya et al., 1999a), also after later investigations (e.g., Basile and D'Urso, 1997), suggesting improvements pertaining to the limited flexibility of the 66 67 formulation. Arya et al. (1999b) also derived an expression to compute  $K(\theta)$  directly from PSD, based on the same soil structure model leading to the  $\theta(h)$  relationship (Arya et al., 1999a; Arya and 68 Paris, 1981). Hereafter, such an approach will be referred to as an AP approach. 69 Although the performance of PTFs for the retention curve has continuously improved, due also to 70 increasing database size, they have still to be much improved on at least two interrelated issues: 1) 71 ability to accurately predict saturated/unsaturated hydraulic conductivity; 2) ability to predict the 72 73 spatial variability naturally found in measured soil hydraulic properties. 74 1) As for the issue of saturated hydraulic conductivity,  $K_0$ , Loague (1992) used textural-based  $K_0$ 

estimates in a rainfall-runoff model to be applied in a small catchment and concluded that texture

76 was not a substitute for actual  $K_0$  field data. Sobieraj et al. (2001) compared the performance of nine PTFs for estimating *K*<sup>0</sup> in modelling the storm flow generated in a rainforest catchment. They 77 concluded that the PTFs used generally underestimated measured  $K_0$ , thus inadequately predicting 78 79 hydrograph attributes, and grossly overestimating total runoff and peak runoff for almost all the 80 events they examined. Tietje and Hennings (1996) tested six different PTFs and found different 81 accuracy in the results between soils from the US and soils from Germany. Their study also showed 82 that the prediction of  $K_0$  using PTFs is inaccurate including the mean values of  $K_0$  and their 83 geometric standard deviation, especially for clay and silt soils. Vereecken et al. (2010) studied the 84 use of PTFs to estimate van Genuchten and Mualem parameters. Their results showed inaccuracy in 85 estimating hydraulic conductivity parameters using texture-based PTFs. A likely reason for this failure is that saturated hydraulic conductivity is largely dependent on soil structure and that 86 currently used PTFs do not adequately (or at all) account for macroporosity in soils. The 87 characteristics of macropores (mostly interaggregate pores) are not related to soil texture, such 88 89 that soils with similar texture may have completely different saturated hydraulic conductivity 90 (Coppola et al., 2009b; Pachepsky et al., 2004; Vereecken et al., 2010). Besides on  $K_0$ , excluding the macropore information in a PTF may have an impact even on the shape 91 92 of the whole HCC, especially when models based on the Hagen-Poiseuille, such as Mualem's 93 conductivity model (Mualem, 1976), are used to predict hydraulic conductivity starting from the 94 WRC. Actually, almost all of the existing PTFs assume pore systems with unimodal pore size 95 distributions. This is justified by the fact that these PTFs have been calibrated by using datasets with either limited or no measurements at all close to saturation, which hold the information on the 96 97 soil structure. The van Genuchten (1980) model is widely adopted to parametrize the unimodal 98 WRC. Using the van Genuchten parameters in the Mualem model, estimation of the hydraulic conductivity is obtained by using the measured  $K_0$  as matching factor. By contrast, when data close 99 100 to saturation are available, a macropore portion of the water retention curve becomes frequently

101 evident, which may require a bimodal model to be correctly described (Coppola, 2000; Coppola et 102 al., 2009a, 2009b; Durner, 1994; Othmer et al., 1991; Ross and Smettem, 1993; Wilson et al., 1992). In all of the above papers, it has been shown that using either a unimodal or a bimodal model to 103 104 describe WRC may induce significant changes in the prediction of the whole HCC. This is because, as 105 discussed by Durner (1994) and shown experimentally by Coppola (2000), the formulation itself of 106 Mualem's conductivity model makes the model particularly sensitive to the slope of the retention 107 curve near saturation. This subject has received considerable theoretical and experimental 108 treatment which shows that relatively small variations in water content close to saturation may be 109 amplified by the algorithm for determining hydraulic conductivity (Coppola, 2000; Van Genuchten 110 and Nielsen, 1985; Vogel and Cislerova, 1988).

111 2) As for the issue of spatial variability, which is strictly related to the issue described above, most of the studies around PTFs have focused more on the predictive capability of the mean values of 112 113 hydraulic parameters than on their spatial variability. Much rarer are the attempts to evaluate the 114 ability of PTFs to describe the spatial variability of soil hydraulic properties (Espino et al., 1996; 115 Romano and Santini, 1997; Leij et al., 2004). Coppola et al. (2013) found that Rosetta PTF-based 116 hydraulic parameters resulted in very low variability compared to the measured hydraulic 117 parameters (see graphs 5 and 6 and tables 1 and 2 in their paper). This was especially true for the  $\alpha$ 118 and  $K_0$  parameters of the van Genuchten-Mualem model (van Genuchten, 1980), which are known 119 to be the parameters mainly related to the soil structure. The authors ascribed this behaviour to the fact that even in a quite homogeneous soil from a textural perspective, the structure may induce a 120 variability in the soil hydraulic parameters which cannot be reproduced by PTFs not including 121 122 explicitly structural information. Additionally, for the reasons already discussed above, the use of a 123 unimodal model to describe bimodal porous media may also contribute to flatten the variability 124 observed in the measurements.

125 Earlier efforts to properly account for macroporosity were mainly oriented to introducing

additional information in PTFs explicitly considering macropore sizes and counts (McKeague et al.,
1982; Nimmo et al., 2007). As also argued by Pachepsky and Rawls (2003), these studies showed
that there is a potential usefulness in using aggregate size distribution in PTFs to improve water
retention and hydraulic conductivity estimates.

130 Based on these premises, the aim of this study was to propose a bimodal extension of the AP 131 approach (hereafter *bimAP*), which incorporates information on aggregate size distribution, to 132 improve the prediction of the hydraulic properties and their spatial variability for structured soils. A large dataset of in situ infiltration measurements was used to establish the bimodal nature of 133 134 hydraulic properties. Compared to the original AP model (hereafter unimAP), the bimAP model 135 requires additional measurements of aggregate size distribution (ASD) and single-aggregate bulk density. Also, the *bimAP* water retention estimates require fitting by a bimodal water retention 136 137 model to obtain the *bimAP* scaling parameter. A multiple linear regression was applied to analyse 138 the degree of dependence of this scaling parameter on the textural and structural information. All 139 the estimates from the *bimAP* were compared to those from the *unimAP*, to show the effects of not 140 considering the effects of the structure on the predictions of the hydraulic properties and their 141 spatial variability.

#### 142 **2. Materials and Methods**

143 2.1. Hydraulic property models

In this paper, we use water retention models assuming pore systems with either unimodal or
bimodal pore-size distributions. The van Genuchten (van Genuchten, 1980) model for unimodal
porous systems is as follows:

$$S_e = \frac{\theta - \theta_r}{\theta_0 - \theta_r} = [1 + |\alpha_{VG}h|^n]^{-m} \qquad h<0$$
(1)

$$\theta = \theta_s \qquad \qquad h \ge 0$$

where *h* is the pressure head ( $h \le 0$ ), S<sub>e</sub> is effective saturation and  $\theta$  is the water content ( $\theta_s$  and  $\theta_r$ are the water content at h=0 and for  $h \to \infty$ , respectively).  $\alpha_{VG}$  (cm<sup>-1</sup>), *n* and m=1-1/n are shape parameters. The effective saturation, S<sub>e</sub>, may be considered a cumulative distribution function of pore size with a density function f(h) which may be expressed by:

$$f(h) = \frac{dSe}{dh} \tag{2}$$

151 The presence of aggregates frequently results in a retention function curve with at least two 152 inflection points. To represent such behaviour, a double porosity approach can be used which 153 assumes that the pore space from  $\theta_r$  to  $\theta_s$  consists of two pore-size distributions, each occupying a 154 fraction  $W_i$  of that pore space (Coppola, 2000; Durner, 1994). The model proposed by Durner 155 (1994) is as follows:

$$S_{e} = \sum \beta_{i} \left[ \frac{1}{1 + (\alpha_{VG,i}h)^{n_{i}}} \right]^{m_{i}} \quad 0 < \beta_{i} < 1 \text{ and } \sum \beta_{i} = 1 \quad i = 1,2$$
(3)

156 in which  $\beta_1$  and  $\beta_2$  are the weighting of the total pore space fraction to be attributed respectively to 157 inter-aggregate pores (the macropores) and intra-aggregate pores (the micropores or matrix 158 pores), and  $\alpha_{VG,i}$ ,  $n_i$  and  $m_i$  still represent the fitting parameters for each of the partial curves. 159 The unsaturated hydraulic conductivity function is described by using the Mualem model (Mualem, 160 1976). It is based on the capillary bundle theory and relates relative hydraulic conductivity,  $K_r$ , to 161 the pore-size distribution function f(h) with the equation:

$$K_{r}(h) = \frac{K(h)}{K_{0}} = S_{e}^{\tau} [\eta(h)/\eta(0)]^{2}$$

$$\eta(h) = \int_{-\infty}^{\infty} h^{-1} f(h) dh$$
(4)

162 in which  $\tau$  is a parameter accounting for the dependence of the tortuosity and the correlation 163 factors on the water content.  $\tau$  was fixed at a value of 0.5. The relative hydraulic conductivity is thus 164 scaled using the saturated hydraulic conductivity,  $K_0$  (hydraulic conductivity at h=0), as matching 165 factor.

166 In the case of the unimodal van Genuchten model and assuming m=1-1/n,  $K_r$  becomes

$$K_r(S_e) = \frac{K(S_e)}{K_0} = S_e^{\tau} \left[ 1 - \left( 1 - S_e^{1/m} \right)^m \right]^2$$
(5)

In the case of bimodal Durner water retention, equation (5) becomes equation (6) (Priesack andDurner, 2006).

$$K_{r}(S_{e}) = \frac{K(S_{e})}{K_{0}} = \left(\sum_{i=1}^{2} \beta_{i} S_{e,i}\right)^{\tau} \left\{ \frac{\sum_{i=1}^{2} \beta_{i} \alpha_{i} \left[1 - \left(1 - S_{e,i}^{1/m_{i}}\right)^{m_{i}}\right]}{\sum_{i=1}^{2} \beta_{i} \alpha_{i}} \right\}^{2}$$
(6)

169 2.2. Developing the Bimodal Arya and Paris approach for soil water retention

This section is devoted to describing in detail the *bimAP* approach. For the *unimAP* approach, the sequence of steps to obtain water retention curves by applying its classical concepts may be found in Arya and Paris (1981). We only recall here that it assumes cylindrical pores which are built by overlying the single particles (coming from the PSD) in a given size range.

174 In developing the *bimAP* approach, the main assumption is that the *unimAP* approach may be

extended also to the ASD. Specifically, in the *bimAP* case, the total porous system is assumed to be

176 partitioned into structural pore space (macropores, arising from particle aggregation) and matrix

pore space (micropores, the only pores classically considered by the *unimAP*). Similar to matrix,

178 which in the *unimAP* are ideally cylindrical and come from the overlying of the single particles of

the PSD, the pores of the structural part of the porous system are also assumed to be cylindrical and

simply built by overlying the aggregates in a given size range.

181 We will consider two cases: 1) the whole sample only consists of an ensemble of aggregates,

182 without particle inclusions in the interspace among aggregates (see Figure 1a); 2) the whole sample

183 consists of an ensemble of aggregates with particle inclusions in the interspace among aggregates

184 (see Figure 1b).

185 Figure 1

186

187 In the *bimAP* approach, the porosity of the soil sample was divided into two porosities: macropore

- 188 porosity (or structural porosity) which occupies the space between the aggregates, and matrix
- 189 porosity which occupies the space between soil particles.
- 190 Below we give definitions and calculations, first for the single aggregate and then for the whole soil
- sample, which will be used in the *bimAP* approach applied to both the PSD and ASD.
- 192

*i) Single aggregate* 

194 Let us start from the calculations for a single aggregate (one of the red clods in figure 1a and 1b).

195 The aggregate will consist of solid particles and matrix pores (intra-aggregate pores). Below, the

196 label *ag* is used for single aggregates.

197 The volume of solid particles in a single aggregate, assuming that the particle density  $\rho_s$ =2.65

198 g/cm<sup>3</sup>, is:

$$v_{ag,prt} = \frac{w_{ag,prt}}{2.65} \tag{7}$$

- 199 where  $w_{ag,prt}$  = dry weight of the aggregate = dry weight of the particles in the aggregate
- 200 The total volume of the aggregate is:

$$v_{ag,t} = v_{ag,prt} + v_{ag,p} \tag{8}$$

201

where  $v_{aq,p}$  = volume of pores in the aggregate (determined by the ethyl alcohol method, see

- section 2.4).
- 204 The bulk density of the aggregate, which will be used in the calculations for the whole sample, is:

$$\frac{w_{ag,prt}}{v_{ag,t}} = \rho_{b,ag} \tag{9}$$

206 Finally, the porosity of the aggregate is:

$$\frac{v_{ag,p}}{v_{ag,t}} = \varphi_{ag} \tag{10}$$

207

208 *ii)* Sample (ensemble of aggregates, without particle inclusions in the interspace among aggregates)

- 209 *(figure 1a)*
- 210 In this case, it is assumed that all the sample weight consists of aggregates (no single particles
- leaving the finest sieve for aggregates). In the following, label *S* stands for sample.
- 212 The bulk density,  $\rho_{b,S}$  and total porosity,  $\Phi_{S,T}$ , of the sample are:

$$\rho_{b,S} = \frac{W_{S,T}}{V_{S,T}}$$

$$\Phi_{S,T} = 1 - \frac{\rho_{b,S}}{2.65}$$

213

where  $W_{S,T}$  is the total dry weight of particles in the sample and  $V_{S,T}$  the total volume of the soil

215 sample.

216 The volume occupied by the aggregates,  $V_{S,AG}$ , and by the inter-aggregate pores, in the soil sample

217 (respectively the red and blue parts in figure 1) is:

$$V_{S,AG} = \frac{W_{S,T}}{\rho_{b,ag}}$$

(12)

(11)

$$V_{S,MCp} = V_{S,T-V_{S,AG}}$$

In equation (12), note that we use the bulk density of the aggregates determined on the single aggregate. We assume that  $W_{S,T}$  is also the weight of the aggregates in the soil sample (no particle inclusion). 221 The inter-aggregate porosity,  $\Phi_{S,MCp}$ , and the intra-aggregate or matrix porosity,  $\Phi_{S,MXp}$ , are:

 $\Phi_{S,MXp} = \Phi_{S,T} - \Phi_{S,MCp}$ 

$$\Phi_{S,MCp} = \frac{V_{S,MCp}}{V_{S,T}}$$
(13)

222

in the soil sample are:

$$V_{S,MXp} = \Phi_{S,T}V_{S,T} - V_{S,MCp}$$

$$V_{S,prt} = V_{S,T} - V_{S,MCp} - V_{S,MXp}$$
(14)

(15)

229

230 The matrix particle density,  $\rho_{S,prt}$ , and the aggregate density,  $\rho_{S,AG}$ , are respectively:

$$\rho_{S,prt} = \frac{W_{S,T}}{V_{S,prt}}$$

$$\rho_{S,AG} = \rho_{b,ag} = \frac{W_{S,T}}{V_{S,AG}} = \frac{W_{S,T}}{V_{S,prt} + V_{S,MXp}}$$

- Note that in equation (15),  $\rho_{S,AG}$  corresponds to the density  $\rho_{b,ag}$  determined on the single
- aggregates.
- Finally, from equations (14), one can define the void index of the whole sample, e<sub>s</sub>, the void index

coming from the pores in the aggregates (intra-aggregate pores = micro-pores),  $e_{SMX}$ , and the void index from the pores among the aggregates (inter-aggregate pores = macro-pores),  $e_{MC}$ :

$$e_{S} = \frac{V_{S,MCp} + V_{S,MXp}}{V_{S,prt}}$$
$$e_{MX} = \frac{V_{S,MXp}}{V_{S,prt}}$$
(16)

$$e_{MC} = \frac{V_{S,MCp}}{V_{S,prt}}$$

237

238 iii. Sample (ensemble of aggregates with particle inclusions in the interspace between aggregates)239 (figure 1b)

240 In this case, we assume that the inclusions (the orange particles) in the inter-aggregate space

consist of single particles just occupying a part of this but without an inner porosity. The total

242 weight of the sample does not correspond to the total weight of the aggregates. The latter

243 corresponds to a fraction  $\varepsilon$  of the total weight. The inclusions occupy a space, V<sub>S,MCprt</sub>, which is the

volume of solid particles included in the inter-aggregate space.

In this case, the part of the total volume occupied by the aggregates in the soil sample (the red partin figure 1b) is:

$$V_{S,AG} = \frac{\varepsilon W_{S,T}}{\rho_{b,ag}} \tag{17}$$

247

Note that, as in equation (12), we use the bulk density of the aggregates determined on the single aggregate. We assume that  $\varepsilon W_{S,T}$  is the weight of the aggregates in the soil sample.

250 Now, the total volume of inter-aggregate pores is:

$$V_{S,MCp} = V_{S,T} - V_{S,AG} - V_{S,MCprt}$$
<sup>(18)</sup>

252 where  $V_{S,MCprt} = \frac{W_{S,T} - \varepsilon W_{S,T}}{2.65}$  = volume of the inclusions in inter-aggregate space. The remaining

- calculations for  $\Phi_{S,MCp}$  and  $\Phi_{S,MXp}$  are as for the no-inclusions case.
- 254 *iv. bimAP approach for calculating pore spaces, capillary radius, pressure head and water contents for*
- 255 each class of particles or aggregates
- As for the matrix pores, also the pores of the structural part of the porous system are assumed to be
- cylindrical and simply built by overlying the aggregates in a given size range.
- All the calculations require that PSD and ASD (both expressed as percentages) be divided into a
- 259 number of *N* radius classes.
- 260 Should only a negligible fraction of the air-dried soil sample be left in the smaller sieve for the
- 261 aggregates, the case *ii. Sample (ensemble of aggregates, without particle inclusions in the interspace*
- 262 *among aggregates)* will apply (this is our case in this paper). Of course, if this fraction were to be
- 263 more significant, the approach would be simply extended by including its weight  $(1-\varepsilon)$  in the
- 264 calculations (see case *iii. Sample (ensemble of aggregates with particle inclusions in the interspace*
- 265 <u>among aggregates).</u>
- In case *ii.*, the dry weight of the total number of single particles in the sample coincides with that ofthe total number of aggregates:
- 268

$$W_{S,T} = \sum_{i=1}^{N_{PSD}} W_{S,T,i_{R<1}} = \sum_{i=1}^{N_{ASD}} W_{S,T,i_{R>1}}$$
(19)

269

where  $W_{S,T,i_{R<1}}$  is the dry weight of the particles in the i<sup>th</sup> class of particles of radius R<1mm,  $W_{S,T,i_{R>1}}$  is the dry weight of the aggregates in the i<sup>th</sup> class of aggregates of radius R>1mm, and N<sub>PSD</sub> and N<sub>ASD</sub> are the number of classes into which the PSD and ASD distribution are divided. The volume of pores from the i<sup>th</sup> class of particles of radius R<1mm may be obtained as

$$V_{S,P,i_{R<1}} = \frac{W_{S,T,i_{R<1}}e_{MX}}{\rho_{S,prt}} = \frac{W_{S,T,i_{R<1}}e_{MX}V_{S,prt}}{W_{S,T}}$$
(20)

275

where  $\frac{W_{S,T,i_{R<1}}}{W_{S,T}}$  is the solid mass per unit sample mass in the i<sup>th</sup> particle-size range. It is obtained by taking the differences in cumulative percentages corresponding to successive particle sizes divided by 100, such that the sum of  $\frac{W_{S,T,i_{R<1}}}{W_{S,T}}$  for all the *n* classes is unity.

279 Similarly, the volume of pores from the i<sup>th</sup> class of aggregates of radius R>1mm is:

$$V_{S,P,i_{R>1}} = \frac{W_{S,T,i_{R>1}}e_{AG}}{\rho_{S,AG}} = \frac{W_{S,T,i_{R>1}}e_{AG}V_{S,AG}}{W_{S,T}}$$
(21)

280

281 where  $\frac{W_{S,T,i_{R>1}}}{W_{S,T}}$  is the solid mass per unit sample mass in the ith aggregate-size range. Again, it is 282 obtained by taking the differences in cumulative percentages corresponding to successive 283 aggregate sizes divided by 100, such that the sum of the  $\frac{W_{S,T,i_{R>1}}}{W_{S,T}}$  for all the *n* classes is unity. 284 By using equations (20) and (21), it is possible to calculate the water content in the matrix pores, 285  $\theta_{S,i_{R<1}}$ , and that in the macropores,  $\theta_{S,i_{R>1}}$ , as follows:

$$\theta_{S,i_{R<1}} = \frac{\sum_{j=1}^{l} V_{S,P,i_{R<1}}}{V_{S,T}}$$

(22)

$$\theta_{S,i_{R>1}} = \frac{\sum_{j=1}^{i} V_{S,P,i_{R>1}}}{V_{S,T}}$$

286

which are obtained respectively by progressively filling matrix (and macropore) volumes with water up to the selected i<sup>th</sup>  $V_{S,P,i_{R<1}}$  volume (i<sup>th</sup>  $V_{S,P,i_{R>1}}$  volume). Now, the number of particles in the i<sup>th</sup> class of particles of radius  $R_i < 1mm$  (all the particles in the range are assumed to form a single cylindrical pore of volume  $V_{S,P,i_{R<1}}$ ) may be obtained as follows:

$$n_{i,prt} = \frac{3V_{S,P,i_{R<1}}}{4\pi R_i^3}$$
(23)

292

Similarly, the number of aggregates in the i<sup>th</sup> class of aggregates of radius  $R_i > 1$ mm (all the aggregates in the range are assumed to form a single cylindrical pore of volume  $V_{S,P,i_{R>1}}$ ) are calculated as:

296

$$n_{i,AG} = \frac{3V_{S,P,i_{R>1}}}{4\pi R_i^3}$$
(24)

297

298

299 The radius of the pores in the i<sup>th</sup> class of particles of radius  $R_i < 1mm$ ,  $r_{i,MX}$ , and the radius of the

300 pores in the i<sup>th</sup> class of aggregates of radius  $R_i > 1$ mm,  $r_{i,AG}$ , are:

$$r_{i,MX} = R_i \frac{\left[4e_{MX}n_{i,prt}^{(1-\alpha_{MX})}\right]^{0.5}}{6}$$

$$r_{i,AG} = R_i \frac{\left[4e_{MC}n_{i,AG}^{(1-\alpha_{MC})}\right]^{0.5}}{6}$$
(25)

301

302 from which the pressure head for the radius of the pores in the i<sup>th</sup> class of particles of radius

R<sub>i</sub><1mm,  $h_{i,MX}$ , and that corresponding to the radius of the pores in the i<sup>th</sup> class of aggregates of

radius R<sub>i</sub>>1mm,  $h_{i,MC}$ , may be calculated as follows:

$$h_{i,MX} = \frac{2\sigma \cos\theta}{\rho_w g r_{i,MX}}$$
(26)

$$h_{i,MC} = \frac{2\sigma \cos\theta}{\rho_w g r_{i,MC}}$$

306 where  $\sigma$  is water-air surface tension,  $\vartheta$  is contact angle,  $\rho_w$  is density of water, and g is gravity 307 acceleration.

 $\alpha_{MX}$  and  $\alpha_{MC}$  are scaling parameters for pore length, accounting for the fact that the actual soil

309 particles and aggregates are not spherical. In their classical *unimAP*, Arya and Paris (1981) assumed

310 the parameter  $\alpha_{MX}$  to be >1 under the hypothesis that each particle contributes a length greater

than the diameter of an equivalent sphere. As a first approximation, in our *bimAP* approach, we will

assume that this hypothesis extends to  $\alpha_{MC}$  and that the two parameters have the same value, such

313 that  $\alpha_{MX} = \alpha_{MC} = \alpha_{AP}$ . Parameter  $\alpha_{AP}$  has to be estimated by fitting the AP estimates to measured

314 water retention curves for both the *unimAP* and *bimAP* cases (see section 2.7 below. *Fitting the AP* 

estimates to the measured hydraulic properties to calibrate the  $\alpha_{AP}$  parameter).

The matrix and the macropore parts of the water retention are simply obtained by combining pairs

317 of  $\theta_{S,i_{R<1}}$  -  $h_{i,MX}$  and  $\theta_{S,i_{R>1}}$  -  $h_{i,MC}$ . Total water retention is obtained by summing up the two partial

- contributions (see the symbols in Figure 2).
- 319

320 Figure 2

321

322 v. Using the information from bimAP to estimate K<sub>0</sub>

323 Saturated hydraulic conductivity, *K*<sub>0</sub>, was obtained by using the following Kozeny-Carman equation

324 (Kozeny, 1927; Carman, 1937):

$$K_0 = \eta \phi_e^{\gamma} \tag{27}$$

326

where  $\phi_e$  is the effective porosity, which is the difference between the saturated water content and the water content at field capacity (330 cm matric suction), and  $\eta$  and  $\gamma$  are constants. All these parameters were estimated from the curve obtained by fitting the water retention model (whether unimodal or bimodal) to the AP estimates. Obviously, all the parameters in the Kozeny-Carman equation will change depending on the approach used (*unimAP* or *bimAP*). In order to estimate the other parameters in equation (27), in our approach we used the version of

the Kozeny-Carman equation proposed by Timlin et al. (1999):

334

$$K_{0} = 0.0131 \left(\frac{F}{l}\right)^{0.5} \varphi_{e}^{2.5}$$

$$F = 0.148/h_{b}$$

$$l = 1.86(2 - \lambda)^{5.34}$$
(28)

335

where *F* and *l* are parameters related to the fractal dimensions of porosity (Rawls et al., 1993),  $\lambda$  is the pore size distribution index, and  $h_b$  is the air-entry potential in the Brooks and Corey water retention model (BC model) (Brooks and Corey, 1964):

$$S_e = (\theta - \theta_r) / (\theta_s - \theta_r) = \left(\frac{h}{h_b}\right)^{\lambda}$$
<sup>(29)</sup>

339

In the case of bimAP, since  $K_0$  is related to the water retention characteristics near saturation,  $\lambda$  and  $h_b$  were obtained by fitting the BC model to the upper part of the *bimAP* WRC. In the case of the *unimAP* approach,  $\lambda$  and  $h_b$  were estimated by fitting the BC model to the whole water retention curve.

345	2.3. The study area
346	The study area is sector 6 of irrigation district 10 in the "Sinistra Ofanto" irrigation system, which is
347	located on the left bank of the Ofanto river in Puglia, Southeast Italy (see figure 3). The study sector
348	covers an area of 140 hectares of agricultural land and is irrigated with an on-demand pressurized
349	network. The whole district covers 22,500 hectares of agricultural land.
350	
351	Figure 3
352	
353	2.4. Measurement of soil physical parameters
354	The approach proposed in this paper assumes the bimodality of soil porosity. It requires
355	measurement of the size distribution of the single particles (PSD), as well as the size distribution of
356	the aggregates (ASD) present in an undisturbed soil sample.
357	Undisturbed soil samples were collected using steel cylinders 7 cm in diameter and 7 cm high in 90
358	sites selected in the study area (about 140 hectares). Additionally, some disturbed soil was also
359	sampled to determine the average bulk density of single aggregates.
360	After measuring the sample volume, the undisturbed soil was removed from the sampler and air-
361	dried for at least one week. Sieve analysis was carried out on each sample to obtain the ASD curve
362	according to the dry-sieving method proposed by Nimmo & Perkins (2002). The sieve sizes used in
363	this analysis were: 40, 31.5, 25, 20, 16, 10, 8, 5, 2 and 1 mm. All the soil remaining in a sieve was
364	considered to consist of aggregates of a radius exceeding the sieve size. All the soil passing the
365	narrowest sieve was kept. All the soil initially contained in a sampler was then oven-dried at 105 $^{\circ} ext{C}$
366	for 24 hours to determine bulk density, $ ho_b$ , and thus PSD. Total porosity was calculated from the
367	measured bulk density assuming that particle density was 2.65 g/cm <sup>3</sup> . PSD curves were obtained by
368	using the hydrometer method combined with sieve analysis to characterize the range of particle

369 diameter from 2 up to 2000 µm (Gee and Or, 2002). The dry soil was lightly crushed on a tray using a rolling pin to break up clods until the soil passed through a 2 mm sieve. Fifty grams of the sieved 370 371 soil were pre-treated with 30% (w/v) hydrogen peroxide until no reaction was revealed to remove 372 organic matter. After washing and air-drying of residual soil, chemical dispersion of soil particles was achieved by mixing the soil sample with a 5 g L-1 sodium-hexametaphosphate (HMP) solution 373 374 adjusted to pH 8.5, allowing the soil to soak overnight. Physical dispersion was obtained with 375 mechanical mixing with an electric stirrer working at 10000 rpm. Then soil samples were transferred to 1000-mL sedimentation cylinders. After thorough mixing of soil suspension, the 376 377 suspension density was measured and recorded after 3, 10, 30, 60, 210, 1440 minutes with an 378 ASTM 152H. The hydrometer readings were also made at the same times on a blank solution to correct for the density of HMP solution. At the end of readings, the contents of the cylinder were 379 380 poured out through a 45-µm sieve to retain coarser particles. The retained material was oven-dried 381 for 24 h at 105 °C and sieved with a nest of sieves of 1000, 500, 250, 106, 53 μm. The portion of 382 sand retained on each sieve was weighed and annotated. Following the above procedure, we determined a particle size distribution curve composed by 11 experimental points for all of the soil 383 samples. Sand, silt and clay contents were expressed as percentages by mass of the fine-earth 384 385 fraction (<2 mm). According to the USDA soil classification, the texture of the soil samples in the 386 examined dataset ranged from silty-clay-loam to sandy-loam (see figure 4). Overall, the above methods allowed 20 points to be obtained for PSDs and 10 for ASDs. 387

388

389 Figure 4

390

Both PSD and ASD curves were described by a parametric (van Genuchten-type) equation:

$$P = 100 + (T_s - 100)(1 + (T_{\alpha}D)^{T_n})^{-T_m}$$
(30)

392 where *P* is the percentage of the particle or aggregate passing from a sieve size; *D* is the sieve size;

393  $T_{s}$ ,  $T_{a}$ ,  $T_{n}$  and  $T_{m}$  are parameters similar to those of van Genuchten (1980) model, and  $T_{m}$ =1-1/ $T_{n}$ . 394 The parameters were obtained by fitting equation (1) to the measured PSDs and ASDs (Figure 5). 395

396 Figure 5

397

398 The average bulk density of the single aggregates was determined using the disturbed soil samples. 399 As with undisturbed soil samples, the soil was left to air-dry for a week. Aggregates of different 400 sizes were then selected, whose porosity was determined by using the ethyl alcohol method 401 proposed by Moret-Fernandez and Lopez (2019). First, the dry aggregate was weighed. Then it was 402 immersed in ethanol in a beaker, which was covered well with biofilm to avoid ethanol evaporation. The bubbling was observed for at least 20 minutes until it stopped, indicating aggregate saturation 403 with ethanol. After saturation, the aggregate was carefully taken from ethanol and placed on a 404 paper filter for less than 10 seconds before measuring its new weight. At the same time, the 405 406 temperature of the alcohol was measured using a mercury thermometer in order to determine the 407 alcohol density. This process was done for several aggregates from different locations and with different sizes at room temperature set to be less than 25 °C. Thus, the volume of the pores,  $V_p$ , was 408 calculated as: 409

$$V_p = \frac{W_{agg-al} - W_{agg}}{\rho_{al}} \tag{31}$$

410 where  $W_{agg-al}$  is the weight of the aggregate after saturation with alcohol,  $W_{agg}$  is the dry weight of 411 the aggregate, and  $\rho_{al}$  is the alcohol density. The volume of the solid phase,  $V_s$ , in the aggregate was 412 then calculated as:

$$V_{\rm s} = \frac{W_{agg}}{\rho_{\rm s}} \tag{32}$$

413 where  $\rho_s$  is the solid particle density, which can be assumed to be 2.65 g/cm<sup>3</sup>. Finally, the 414 aggregate bulk density was calculated as follows:

$$\rho_{b,ag} = W_{agg} / (V_s + V_p) \tag{33}$$

416 2.5. Direct measurement of soil hydraulic parameters 417 Soil hydraulic parameters at each of the 90 studied sites were obtained using tension infiltrometers 418 (Ankeny et al., 1988; Coppola et al., 2011). First, the soil surface was levelled. Then a ring was 419 placed on the surface and a thin layer of homogeneous fossil sand was added to the soil surface to ensure good contact with the infiltrometer disc. At each site, infiltration experiments were carried 420 out at four sequential water pressure head values (-15, -10, -5 and -1 cm). Water pressure was 421 422 controlled by raising or lowering the tube in the bubble tower. A soil sample was taken before and 423 after the infiltration process to measure the initial and final water content. 424 The cumulative infiltration data were used as input in an inverse solution of the 3D Richards 425 equation to obtain both the unimodal and bimodal hydraulic property parameters by a parameter 426 estimation procedure. The van Genuchten-Mualem and Durner-Mualem models were used to 427 describe unimodal and bimodal hydraulic properties, respectively. As for the unimodal properties, they were estimated by using DISC software (Šimůnek and van Genuchten, 1996). Inverse solution 428 429 using bimodal properties was carried out by using the software HYDRUS 2D/3D (Rassam et al., 430 2003; Šimůnek *et al.*, 2008). In both cases, the saturated water content for each site was fixed at the 431 total porosity, residual water content was fixed as zero,  $\tau$  was fixed as 0.5, in order to minimize the 432 number of variables to be optimized. Parameter *m* was assumed to be m=1-1/n for both the unimodal and the bimodal descriptions. The weight  $\beta_1$  in the Durner model was assumed to be 433 equal to the fraction of macroporosity to total porosity  $\Phi_{S,MCp}/\Phi_{S,T}$ . Eventually, the inverse 434 435 solution would estimate the three parameters involved for the unimodal scenario (namely,  $\alpha$ , *n*, and 436  $K_0$ ) and five parameters for the bimodal scenario (namely:  $\alpha_1$ ,  $n_1$ ,  $\alpha_1$ ,  $n_1$ , and  $K_0$ ). 437

438 2.6. Akaike Information Criterion (AIC) to test the bimodality of the porous medium

The goodness of fit from the inverse solution for both the unimodal and bimodal scenarios was compared in order to test the bimodality of soil pores. The root-mean-square error (*RMSE*) was used as a measure of the distance between the predicted and the measured infiltrated depths (equation 35). Unimodal and bimodal scenarios involve a different number of parameters. The Akaike Information Criterion (AIC) was used to balance the goodness of fit and the number of parameters involved:

445

$$AIC = N_o \ln\left(\frac{RMSE}{N_o}\right) + 2k \tag{34}$$

$$RMSE = \sqrt{\frac{\sum_{i=1}^{N_o} (x_i - \hat{x})^2}{N_o}}$$
(35)

446

447 where  $N_0$  is the number of observations, k = the number of parameters + 1,  $x_i$  is the variable 448 obtained from field measurements and  $\hat{x}_i$  is the variable estimated from *unimAP* or *bimAP*. The 449 lower the AIC, the better the fit.

450

451 2.7. Fitting AP estimates to the measured hydraulic properties to calibrate parameter  $\alpha_{AP}$ 

452 The proposed model is physically-based with one unknown parameter (both for *unimAP* and

453 *bimAP*), which is the scaling parameter ( $\alpha_{AP}$ ). This parameter was estimated by fitting the *unimAP* 

and *bimAP* estimates to respectively the unimodal and bimodal measured water retention curves

455 (see again the graph in figure 2).

456

457 2.8. Evaluating the dependence of parameter  $\alpha_{AP}$  on textural and structural physical properties

458 Multiple linear regression (MLR) was applied to relate the scaling parameter  $\alpha_{AP}$  to texture and

459 aggregate properties with a view to predicting  $\alpha_{AP}$  with only physical properties available and with

- 460 no prior knowledge of the hydraulic parameters. The regression analysis included: 1) the
- 461 parameters of the equations used to interpolate PSD and ASD (namely,  $T_s$ ,  $T_n$  and  $T_\alpha$ ); 2) soil bulk

462 density  $(\rho_b)$ ; 3) the fraction of the macropores from total porosity  $(\beta_1)$ . The regression model was

- 463 developed by using the hydraulic parameters obtained from the field measurements.
- 464
- 465 2.9. Schematic view of the approach used in the paper

For easier interpretation of the results of the *bimAP* application, Figure 6 summarizes the steps 466 followed in this paper to test the *bimAP* PTF and to compare it to the *unimAP* approach. On the one 467 468 hand, inverse solution of tension infiltration experiments was used twice to obtain the unimodal 469 van Genuchten (van Genuchten, 1980) and the bimodal Durner (Durner, 1994) hydraulic properties. Hereafter, they will be called the measured hydraulic properties. The Akaike 470 Information Criterion (AIC) was used to establish the bimodality of the hydraulic properties. On the 471 472 other, both the unimodal and bimodal AP approaches were used to obtain, respectively, *unimAP* 473 and *bimAP* estimates of the WRC. These were fitted respectively to the unimodal and bimodal 474 measured WRC to obtain the scaling parameter ( $\alpha_{AP}$ ) for both the *unimAP* and *bimAP* approaches. 475 Saturated hydraulic conductivity ( $K_0$ ) was then estimated from both *unimAP* and *bimAP* WRCs using 476 the Kozeny-Carman equation (Carman, 1937; Kozeny, 1927), and was subsequently used to obtain 477 *K*(*h*) curves (HCCs) using the Mualem model (Mualem, 1976; Priesack and Durner, 2006). Finally, 478 multiple linear regression (MLR) was used to analyse the dependence of the scaling parameter,  $\alpha_{AP}$ , 479 on soil physical parameters. 480

481 Figure 6

482

483 3. Results and Discussion

484 3.1. Testing the bimodality of the measured hydraulic property dataset

485	Some results of the inverse solutions for three locations in the study area are shown in figure 7. The
486	symbols represent the observed infiltration depths, while the solid lines represent the infiltration
487	depths obtained from the inverse solution. Each plot on the left represents a unimodal inverse
488	solution, whereas the corresponding right-hand plot represents the bimodal inverse solution for
489	the same location. Figure 8 shows the results of AIC values for unimodal and bimodal inverse
490	solutions for all 90 sites investigated.
491	
492	Figure 7
493	
494	
495	Figure 8
496	
497	Looking at both the graphs in figure 7 and the AIC values in figure 8, fitting infiltrated depths by
498	using the bimodal model frequently gives better results than the unimodal model. AIC analysis
499	shows that the bimodal model provides better estimates in almost 73% of the locations in the study
500	area. These results allowed us to conclude that the hydraulic property dataset is mostly bimodal
501	and is thus appropriate for calibrating the proposed <i>bimAP</i> PTF.
502	The first two columns in Table 1 show the average and the standard deviation of the parameters of
503	the unimodal and bimodal hydraulic properties obtained from the measurements (subscript <i>meas</i> ).
504	It is worth noting that in the unimodal case the parameter $K_0$ is on average higher than the bimodal
505	$K_0$ , with also a much higher standard deviation. Moreover, the relatively high unimodal water
506	retention parameter $\alpha$ would also indicate a quite low (in absolute value) air-entry pressure head,
507	which is typical of sandy soils (which is not the case of the investigated soils). This behaviour may
508	be ascribed to a fast infiltration rate observed during the infiltration experiments, which the
509	unimodal model tries to capture by using relatively high values of $\alpha$ and $K_0$ . The variability of the

510 infiltration rate thus induces a high variability of the unimodal K<sub>0</sub>. By contrast, the bimodal model 511 explicitly includes additional parameters ( $\alpha_1$  and  $n_1$ ), which allow rapid infiltration to be described as a swift emptying of the structural pores without the need to increase the saturated hydraulic 512 513 conductivity excessively. Actually, in the bimodal case the variability observed in the infiltration 514 rate is now fulfilled by the relatively high standard deviation of  $\alpha_1$  and  $n_1$ , whereas the variability of 515 the bimodal  $K_0$  remains quite limited. This should open a discussion on the real meaning of the high coefficient of variations generally found in the saturated hydraulic conductivity, especially when 516 arising from inversion procedures, which could come partly from the inadequate model used for 517 518 describing hydraulic properties in the presence of soil structure.

519

520 3.2. Comparing measured hydraulic properties and *unimAP* and *bimAP* estimates

The graphs in Figure 9 compare the measured WRC (solid lines - coming from the inversion of infiltration experiments) to those obtained by both the *unimAP* and *bimAP* (dashed lines) for three of the sites investigated. Figure 10 compares the corresponding HCCs. In both figures, the graphs on the left side show the comparison of unimodal measured and estimated curves, whereas those on the right compare the bimodal measured and estimated curves. All comparisons were carried out in terms of root-mean square error (RMSE).

527 We recall that the AP estimates are obtained from the optimization of a single parameter, namely 528 the  $\alpha_{AP}$  scaling parameter. Graphical results show that introducing the aggregate information in the *bimAP* significantly improves the ability of the approach to estimate soil water retention (with 529 average RMSE values of 0.43 and 0.11 for *unimAP* and *bimAP*, respectively). Even more importantly, 530 531 the WRC parameters obtained under the *bimAP* (the Durner parameters) and  $K_0$  from the Kozeny-532 Carman model significantly improve hydraulic conductivity (with average RMSE values of 0.315 and 0.28 cm/min for *unimAP* and *bimAP*, respectively) predicted by applying the Mualem model. 533 The substantial enhancement of the *K*<sup>0</sup> estimates is apparent in Figure 11, showing a comparison of 534

saturated hydraulic conductivity as measured and obtained by *unimAP* (empty triangles) and *bimAP* (solid triangles), with much smaller RMSE and scattering around the 1:1 line for the *bimAP*case (RMSE = 0.747) compared to *unimAP* (RMSE = 12.580).

The third and fourth columns in Table 1 show the average and the standard deviation of the
hydraulic property parameters obtained from the AP PTF estimates (subscript <i>PTF</i> ). It is worth
noting in the table that the <i>unimAP</i> is unable to obtain either the average value of the saturated
hydraulic conductivity, or its variability. As discussed by Coppola et al. (2009a, 2009b), PTFs tend
to flatten the variability generally found in measured hydraulic properties. This is mostly due to the
information on the structure being overlooked, as well as using unimodal models to describe the
hydraulic properties of structured soils, when developing PTFs. This is clearly demonstrated by the
parameter values for the <i>bimAP</i> approach given in the table, showing that accounting explicitly for
the structure in developing PTFs allows much better estimates of $K_0$ and, importantly, its variability.
The same may be said for all the parameters describing both the textural and structural parts of the
water retention curve (see the average and standard deviations for $n_1$ , $\alpha_2$ and $n_2$ in table 1).
Figure 9
Figure 10
Figure 11

- 558 3.3. Relationship between the scaling parameter,  $\alpha_{AP}$ , and soil physical properties
- 559 Multiple linear regression was used to evaluate the degree of dependence of the scaling factor  $\alpha_{AP}$

560 on soil physical parameters. This is especially important in view of using the *bimAP* PTF in soils 561 where no references to measured hydraulic properties are available. Table 2 summarizes the 562 coefficients and the intercepts of soil physical parameters to predict  $\alpha_{AP}$  using MLR. The physical 563 parameters used in the regression are: 1) the parameters of the PSD and ASD curves (see section 564 *2.4. Measurements of soil physical parameters*), 2) soil bulk density, and 3) macropore fraction in the 565 sample's overall porosity ( $\beta_1$ ).

The values in the table show a relatively strong correlation of the scaling parameter with bulk density and the slope of the PSD curve (both in *unimAP* and *bimAP*). However, when the structure is explicitly taken into account (the *bimAP* case), a clear correlation emerges between  $\alpha_{AP}$  and the aggregate parameters, namely  $T_{\alpha,ASD}$  and the fraction of aggregate porosity to total porosity,  $\beta_1$ ,

570 which cannot be detected when a unimodal approach without structure is considered.

571 Improvement in the correlation with soil structural properties of *bimAP* is also apparent when

plotting the  $\alpha_{AP}$  values obtained by the MLR against the original values of  $\alpha_{AP}$  for both the *unimAP* 

573 (white symbols) and *bimAP* (black symbols) approaches (see Figure 12). The RMSE is 0.418 and

574 0.227 for *unimAP* and *bimAP*, respectively. That said, regardless of the better overall description of

575 the curves, *bimAP* always appropriately captures the behaviour close to saturation, which is crucial

576 for predicting hydraulic conductivity and hydraulic property variability.

577

578 Figure 12

579

#### 580 **Conclusions**

581 The main purpose of this paper was to develop a bimodal physically-based PTF to estimate soil

582 hydraulic parameters. The proposed PTF (*bimAP*) is based on the principles of the Arya and Paris

583 (1981) PTF, incorporating aggregate-size distribution to obtain bimodal soil hydraulic parameters.

584 The proposed approach provides bimodal WRCs and HCCs starting from soil physical parameters:

585 PSD, ASD, sample bulk density, and single-aggregate bulk density.

586 Overall, *bimAP* provides better estimates of soil hydraulic parameters and their variability 587 compared to the *unimAP* PTF.  $K_0$ , the whole shape of the HCC, as well as their variability, are better 588 predicted by accounting for soil structure and bimodal porosity in the development of the PTF. In 589 general, the *bimAP* approach produces hydraulic parameter estimates remaining within a more 590 physically plausible region than in the *unimAP* approach. It also enhances the ability of MLR to 591 predict the scaling parameter,  $\alpha_{AP}$ .

Our results confirm that, in the perspective of PTF calibration for estimating  $K_0$  and, more generally, 592 593 the hydraulic conductivity function, the relevant information on the bimodal character of the 594 porous medium included in the soil water retention near saturation must be described in detail. Unfortunately, unimodal hydraulic functions are unable to describe the transition between pore 595 systems frequently indicated by the retention data in aggregated soils. Consequently, if a unimodal 596 597 water retention function is used to fit measured retention data with a bimodal behaviour and then 598 to calibrate PTF parameters, a poor performance of the PTFs is expected when used to estimate 599 hydraulic conductivities.

From our data set, it may be observed that by introducing bimodality excellent AP estimates can be obtained for aggregated soils. Owing to the flexibility arising from the structural-matrix partition specifically built into the modified AP retention model, the *bimAP* estimates keep the fundamental information on soil aggregation in the measured soil water retention within the range of soil water potential near saturation, thus providing accurate predictions of pore size distribution and hence of the hydraulic conductivity curve.

Of course, to be effectively and reliably applied the bimodal approaches always require that the
predominant effects of the soil hydrological behaviour near saturation be supported by accurate
and detailed experimental descriptions of the retention curve and hydraulic conductivity for high
water contents, which would allow less uncertain identification of the processes and related

610 parameters involved.

611

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615

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### 749 Tables

Table 1. Mean ( $\mu$ ) and standard deviations ( $\sigma$ ) of measured (subscrip *meas*) and AP PTF (subscript

751 *PTF*) hydraulic parameters obtained from unimodal and bimodal inverse models. The scaling

752 parameter,  $\alpha_{AP}$ , is also reported only for the PTF case

Parameter	$\mu_{meas}$	σ <sub>meas</sub>	$\mu_{PTF}$	$\sigma_{PTF}$	Scenario
α	0.137	0.129	0.192	0.439	
n	1.476	0.431	1.273	0.187	Unimodal
$K_0$ (cm/min)	2.367	15.062	0.171	0.193	
$lpha_{AP}$	-	-	1.269	0.264	
$lpha_1$	0.590	1.055	2.249	2.204	
<i>n</i> <sub>1</sub>	2.569	2.160	3.127	2.522	
$\alpha_2$	0.049	0.042	0.042	0.126	Bimodal
<i>n</i> <sub>2</sub>	1.496	0.423	1.539	0.126	
$K_0$ (cm/min)	0.266	0.915	0.206	0.434	
$\alpha_{AP}$	-	-	1.156	0.311	

753

755	Table 2: Results of M	ALR application to	predict the $\alpha_{AP}$	parameter from soil	physical	parameters.
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MLR Parameter	Coefficients	Lower 95%	Upper 95%	Scenario
Intercept	9.939	3.031	16.847	
$ ho_{b}$ (g/cm <sup>3</sup> )	0.480	-0.104	1.063	
T <sub>s,PSD</sub>	0.007	-0.043	0.057	Unimodal
$T_{\alpha,PSD}$	0.002	-0.003	0.007	
T <sub>n,PSD</sub>	-11.835	-20.893	-2.777	
Intercept	-5.398	-15.139	3.105	
$\rho_b \left(g/cm^3\right)$	0.684	-0.056	1.424	
T <sub>s,PSD</sub>	-0.028	-0.107	0.051	
$T_{\alpha,PSD}$	0.000	-0.006	0.007	
T <sub>n,PSD</sub>	9.902	-0.751	20.554	Bimodal
T <sub>s,ASD</sub>	-0.009	-0.034	0.016	
$T_{\alpha,ASD}$	0.934	-1.430	3.298	
T <sub>n,ASD</sub>	0.006	-0.025	0.037	
$eta_1$	-0.619	-1.552	2.790	

**Abstract:** The main purpose of this paper is to develop a bimodal pedotransfer function to obtain soil water retention (WRC) and hydraulic conductivity (HCC) curves. The proposed pedo-transfer function (PTF) extends the Arya and Paris (AP) approach, which is based on particle size distribution (PSD), by incorporating aggregate-size distribution (ASD) into the PTF to obtain the bimodal WRC. A bimodal porosity approach was developed to quantify the fraction of each of the porous systems (matrix and macropores) in overall soil porosity. Saturated hydraulic conductivity,  $K_0$ , was obtained from WRC using the Kozeny-Carman equation, whose parameters were inferred from the behaviour of the bimodal WRC close to saturation. Finally, the Mualem model was applied to obtain the HCC. In order to calibrate the PTF, measured soil physical and hydraulic properties data were used, coming from field infiltration experiments from an irrigation sector of 140 ha area in the "Sinistra Ofanto" irrigation system in Apulia, southern Italy. The infiltration data were fitted by using both bimodal and unimodal hydraulic properties by an inverse solution of the Richards equation. The bimodal "measured" hydraulic properties were then used to calibrate the scaling parameter ( $\alpha_{AP}$ ) of the proposed bimodal AP (*bimAP*) PTF. Similarly, for the sake of comparison with the bimodal results, the unimodal hydraulic properties were used to calibrate the  $\alpha_{AP}$  of the classical unimodal AP (unimAP) PTF. Compared to the unimAP PTF, the proposed bimAP significantly improves the predictions of the mean WRC parameters and *K*<sub>0</sub>, as well as the prediction of the shape of the whole HCC. Moreover, compared to the unimodal approach, it also allows keeping the hydraulic parameters' spatial variability observed in the calibration dataset. Multiple linear regression (MLR) was also applied to analyse the sensitivity of the bimodal  $\alpha_{AP}$ parameter to textural and structural features, confirming significant predictive effects of soil structure.

## Highlights

- Bimodal Arya&Paris hydraulic properties were developed based on soil structure
- The bimodal PTF provides better prediction of un/saturated hydraulic conductivity
- The bimodal PTF keeps the spatial variability of the original hydraulic properties

#### **Declaration of interests**

⊠The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:





Durner WRC Fitted to *bimAP* Model
 bimAP WRC













Time (minutes)







0.000



h (cm of water column)





BimAP







K (cm/min)

1.E+00

1.E-02

1.E-04

1.E-06

1.E-08











Figure 1. Schematic view of an undisturbed sample consisting: a) only of aggregates, without particle inclusions in the interspace among the aggregates; b) of aggregates with particle inclusions (orange circles) in the interspace among the aggregates

Figure 2. The bimAP WRC (symbols) and the measured bimodal WRC (solid line). The structural and textural parts of the WRC are clearly visible in both the curves. The two horizontal dashed lines indicate the porosity of the structural and textural regions of the bimAP WRC

Figure 3. The study area, the sector 6 of the district 10 in "Sinistra Ofanto" irrigation system

Figure 4. USDA textures of the 90 soil samples considered in this paper

Figure 5. Measured and fitted PSDs and ASDs for 7 of the samples used in the study. The divide between PSDs and ASDs is at 1 mm size. The symbols represent the measured data while the curves represent the curves fitted to equation (30).

Figure 6. Schematic view of steps followed to develop *bimAP* WRCs, HCCs, and predict *bimAP* scaling parameters ( $\alpha_{AP}$ )

Figure 7. Inverse solution results for three 3 locations in the study area. The symbols and solid lines represent the observed infiltration depths and the infiltration depths obtained from the inverse solution using DISC for the unimodal case (plots on the left) and HYDRUS 3D for the bimodal case (plots on the rigth)

Figure 8. Akaike's Information Criterion (AIC) value resulting from fitting measured infiltrated depths to Richard's infiltration model using the unimodal van Genuchten model (blank bars) and the bimodal Durner model (black bars) in all the ninety sites.

Figure 9. Comparison of the measured WRCs (solid lines - coming from the inversion of infiltration

experiments) to those obtained by both the *unimAP* and the *bimAP* (dashed lines) for three of the sites investigated. The graphs on the left side compare unimodal measured and estimated WRCs, those on the right side compare bimodal measured and estimated WRCs.

Figure 10. Comparison of the measured HCCs (solid lines - coming from the inversion of infiltration experiments) to those obtained by both the *unimAP* and the *bimAP* (dashed lines) for three of the sites investigated. The graphs on the left side compare unimodal measured and estimated HCCs, those on the right side compare bimodal measured and estimated HCCs.

Figure 11.  $K_0$  obtained from *bimAP* and *unimAP* plotted against  $K_0$  obtained from bimodal and unimodal inverse solutions respectively. The empty triangles represent the unimodal scenario and solid triangles represent the bimodal scenario. The solid line is a 1:1 line.

Figure 12. The  $\alpha_{AP}$  values obtained by the MLR against the original values of  $\alpha_{AP}$  for both the *unimAP* (white symbols) and *bimAP* (black symbols) approaches.

Table 1. Mean ( $\mu$ ) and standard deviations ( $\sigma$ ) of measured (subscrip *meas*) and AP PTF (subscript *PTF*) hydraulic parameters obtained from unimodal and bimodal inverse models. The scaling parameter,  $\alpha_{AP}$ , is also reported only for the PTF case

Parameter	μ <sub>meas</sub>	σ <sub>meas</sub>	$\mu_{PTF}$	<b>σ</b> <sub>PTF</sub>	Scenario
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$\alpha_{AP}$	-	-	1.156	0.311	

**MLR Parameter** Coefficients Lower 95% Upper 95% Scenario 9.939 3.031 16.847 Intercept  $\rho_{\rm b}$  (g/cm<sup>3</sup>) 0.480 -0.104 1.063 0.057  $T_{s,PSD}$ 0.007 -0.043 Unimodal  $T_{\alpha,PSD}$ 0.002 -0.003 0.007  $T_{n,PSD}$ -11.835 -20.893 -2.777 -15.139 3.105 Intercept -5.398  $\rho_b (g/cm^3)$ 0.684 -0.056 1.424  $T_{s,PSD}$ -0.028 -0.107 0.051  $T_{\alpha,PSD}$ 0.007 0.000 -0.006 20.554  $T_{n,PSD}$ 9.902 -0.751 Bimodal  $T_{s,ASD}$ -0.009 0.016 -0.034  $T_{\alpha,ASD}$ 0.934 -1.430 3.298  $T_{n,ASD}$ 0.006 -0.025 0.037  $\beta_1$ 2.790 -0.619 -1.552

Table 1: Results of MLR application to predict the  $\alpha_{AP}$  parameter from soil physical parameters. Subscript PSD and ASD stand for particle and aggregate size distribution, respectively