

Social and emotional loneliness among older people living in nursing homes in Spain: a cross-sectional study

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1 Title: Social and emotional loneliness among older people living in Nursing Homes in Spain: a cross-
2 sectional study

3 Título: Soledad social y emocional en personas mayores que viven en residencias geriátricas de España: un
4 estudio transversal

5

6 Abstract: Loneliness, little studied in Nursing Homes (NHs), can affect physical and mental health. We
7 aimed to analyze the factors associated with overall, social, and emotional loneliness in 65 residents of 5
8 NHs from Central Catalonia (Spain), and to verify its prevalence. The sample consisted of 81.5% women
9 with a mean age of 84 ± 7.13 years. The cross-sectional study included older adults aged 65 or over and with
10 preserved cognitive status. De Jong Gierveld Loneliness Scale was used to assess overall loneliness and their
11 subtypes; and sociodemographic and health-related variables were collected. The chi-square (or Fisher's)
12 test and logistic regression were used for bivariate and multivariate analysis respectively. Prevalence of
13 overall loneliness was 70.7% (95%CI:58.2-81.4), social loneliness 44.6% (95% CI: 33.1-56.6) and
14 emotional loneliness 46.2% (95% CI: 34.5-58.1). Overall loneliness was associated with lower perceived
15 quality of life (Odds Ratio-OR= 5.52, 95% CI:1.25-24.38) and NH with state subsidized places (OR=0.19,
16 95% CI: 0.05-0.74); social loneliness with having 0-1 children (OR=0.25, 95% CI: 0.08-0.77), and
17 emotional loneliness with depression (OR=4.54, 95% CI: 1.28-16.08) and urinary incontinence (UI)
18 (OR=4.65, 95% CI: 1.23-17.52). Loneliness was present in almost 71% of residents and was associated with
19 type of NH and poorer quality of life, the emotional with depression and UI and the social one with having
20 less than 2 children.

21

22 Resumen: La soledad, poco estudiada en las residencias de ancianos, puede afectar a la salud física y mental.
23 Nuestro objetivo es analizar los factores asociados a la soledad global, social y emocional de un total de 65
24 residentes de 5 residencias de la Cataluña Central (España), y comprobar su prevalencia. La muestra estuvo
25 formada por 81,5% mujeres con una edad media de $84 \pm 7,13$ años. El estudio transversal incluyó a adultos
26 mayores de 65 años y con estado cognitivo preservado. Se utilizó la Escala de Soledad de De Jong Gierveld
27 para evaluar la soledad general y sus subtipos; y se recogieron variables sociodemográficas y relacionadas
28 con la salud. Se utilizó la prueba de chi-cuadrado (o de Fisher) y la regresión logística para el análisis
29 bivalente y multivalente, respectivamente. La prevalencia de la soledad global fue del 70,7% (IC 95%:
30 58,2-81,4), la soledad social del 44,6% (IC 95%: 33,1-56,6) y la soledad emocional del 46,2% (IC 95%:
31 34,5-58,1). La soledad global se asoció con una menor calidad de vida percibida (Odds Ratio-OR= 5,52, IC
32 95%: 1,25-24,38) y las residencias concertadas (OR=0,19, IC 95%: 0,05-0. 74); la soledad social con tener
33 0-1 hijos (OR=0,25, IC 95%: 0,08-0,77), y la soledad emocional con la depresión (OR=4,54, IC 95%: 1,28-
34 16,08) y la incontinencia urinaria (UI) (OR=4,65, IC 95%: 1,23-17,52). La soledad estuvo presente en casi el

35 71% de los residentes y se asoció con el tipo de residencia y la peor calidad de vida, la emocional con la
36 depresión y la IU y la social con tener menos de 2 hijos.

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38 Key words: emotional loneliness; social loneliness; nursing homes; older adults; social isolation

39 Palabras clave: soledad emocional; soledad social; residencias geriátricas; adultos mayores; aislamiento
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79 **Introduction**

80 Loneliness is a subjective, unpleasant experience that implies a discrepancy between the desired
81 and actual social relationships experienced by a person (Yanguas, Pinazo-Henandis, & Tarazona-
82 Santabalbina, 2018). For some years now, it has been established as one of the psychosocial risk factors
83 for physical and psychological health (Giné-Garriga et al., 2021). Some authors have concluded that
84 loneliness can worsen quality of life, cause suffering and be considered a risk factor for health or
85 negative health outcomes including mortality (Courtin & Knapp, 2017), affecting well-being and
86 cognitive functioning of older adults (Ayalon, 2018)a. The same phenomenon has been contemplated
87 within the screening criteria for the detection of frail older adults in primary care (Lesende, Iturbe,
88 Pavón, Cortés, & Soler, 2010). It has been highlighted its two-dimensional character, understanding
89 the existence of emotional loneliness (lack of close emotional ties) and social loneliness (deficient
90 social network, lack of relevance to a group). The latter has also been defined from a humanistic and
91 social perspective as a social isolation derived from the absence of a relationship with family or friends
92 on whom to rely in case of need (Machielse, 2015).

93
94 The feeling of loneliness can appear at any stage of old age, related with various losses that can
95 occur, such as moving into a Nursing Home (NH) (Gardiner, Laud, Heaton, & Gott, 2020b). In Spain,
96 according to data from the Spanish Government portal "*Envejecimiento en red*", in 2019 322,180
97 people aged 65 years or over were living in NHs, accounting for 85% of the total number of places
98 (Abellán, A; Aceituno, M.P., Ramiro, D, 2019). This highlights the possibility of finding an over-aged
99 population in this setting with the presence of physical limitations, chronic conditions, and cognitive
100 and psychological impairments is common and the likely presence of unwanted loneliness might be
101 highly prevalent (Jaul & Barron, 2017; Semra, Fatma, & Gökhan, 2019).

102 The risk factors associated with loneliness during the aging process are diverse. The
103 sociodemographic factors of greatest risk include being a woman, advanced age, low educational level,
104 not having a partner or being widowed, not having children (Cotterell, Buffel, & Phillipson, 2018;
105 Luchetti, M., Terraciano, A., Aschwanden, D., Lee, Ji H., Stephanet, Y & Sutin A, 2020) and the
106 environment of the NH (Chen & Shea, 2004). Regarding physical and psychological health conditions,
107 the presence of comorbidity, loss of mobility, functional decline, as well as depression (Gale, C.R.,
108 Westbury, L., Cooper, C, 2018; Cohen-Mansfield, J., Hazan, H., Lerman, Y., Shalom, V, 2016)
109 represent health and psychological factors associated with higher levels of loneliness. A longitudinal
110 study on aging in the Canadian population suggested that dementia could be developed 1.6 times more
111 in people with high levels of loneliness compared to individuals with a preserved social network
112 (Gilmour & Ramage-Morin, 2020). The same phenomenon has also been associated with impaired

113 cognitive status, alterations in the immune system, increased blood pressure and mortality (Hakulinen,
114 C., Pulkki-Raback, L., Virtanen, M., et al, 2018; Muntant & Giménez-Llort, 2020). Furthermore, a
115 meta-analysis showed that the risk of mortality due to alcohol use disorder and smoking is comparable
116 to the risk of death associated with loneliness, and with more harmful effects on health than the factors
117 associated with obesity (leigh-Hunt, N., Bagguley, D., Basch, K, Turner, V., Turnbull, S., Valtorta, N.,
118 et al, 2017).

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120 Living in NHs was associated with higher degrees of loneliness and that loneliness may increase
121 the risk of admission to a NH (Hanratty, B., Stow, D., Collingridge Moore, D., K Valtorta, N &
122 Matthews, F, 2018). It has also been considered that changes in the social networks (family and/or
123 friends) of older people who move into a NH may also be involved in loneliness (Cohen-Mansfield,
124 J., et al, 2016). The residents of these institutions are often female, with low socioeconomic status and
125 have diminished cognitive status, which coincide with some of the risk factors associated with
126 loneliness (Penninkilampi, R., Casey, A-N., Fiatarone Singh, M & Brodaty, H, 2018; Von Soest, T.,
127 Luhmann, M., Hansen, T & Gerstorf, D, 2020).On the other hand, evidence indicates that frailty may
128 be a risk factor for loneliness and social isolation, due to low social connections (Cohen-Mansfield,
129 Hazan, Lerman, & Shalom, 2016; Cohen-Mansfield, J., et al., 2018).Even though it is known that
130 loneliness is associated with an increased risk of mortality a (Andreasen, J., Lund, H., Aadahl, M., E
131 Sorensen, E, 2015; Cohen-Mansfield, J., et al., 2018) interventions aimed at its prevention or reduction
132 of loneliness in NHs are remarkably scarce.

133
134 Although the phenomenon of loneliness in aging has received attention in the scientific literature,
135 most studies have focused on older people living in the community; and research in the context of NHs
136 is scarce (Gardiner et al., 2020b). There are few studies considering the risk factors associated with
137 loneliness in older NH residents and far fewer studies analyzing resident's emotional and social
138 loneliness, and possible associated physical, psychological, and social factors. Therefore, the main aim
139 of this study was to verify the associated factors (psychological, social, and physical health) with
140 overall, social, and emotional loneliness and as a specific objective to verify the prevalence of this
141 phenomenon among older adults living in NHs of the Osona region (Barcelona, Spain).

142 143 144 145 146 **Materials and Methods**

Design

This is a multicenter cross-sectional study, which was conducted in 5 NHs in the Osona region (Central Catalonia region, Barcelona province, Spain), of which three had state subsidized places and two were totally private. It is a sub-study of the OsoNaH project (Farrés-Godayol et al., 2021) registered in Clinical Trials (CNT04297904). The study followed the standards of STROBE (Strengthening Reporting of Observational studies in Epidemiology) guidelines for cross-sectional studies (von Elm et al., 2008) and met the criteria required in the Helsinki Declaration, as well as the Spanish Organic Law 3/2018 (December 5) on the Protection of Personal Data and Guarantee of Digital Rights. The project was approved by the Ethics Committee and Research (CER) of University of Vic-Central University of Catalonia (UVic-UCC) (registration number 92/2019 and 109/2020) and Clinical Research Ethics Committee of the Osona Foundation for Health research and Education (FORES) (code 2020118/PR249).

Participants

All the residents aged 65 years or over with who were living permanently in NHS were included, and those in palliative care and who refused to participate in the study were excluded. In addition, for the present loneliness sub study, participants were excluded if they had severe cognitive impairment, which prevented them from understanding and responding to the assessment questionnaires. All NHs were contacted by e-mail and telephone offering them the possibility of collaborating in the study. A document explaining the project was sent together with a formal consent document, which was signed by the director of each NH if they accepted participating in the study. The participants were selected according to the inclusion criteria, from a list of the residents which was obtained by the NH's Directors; and a simple randomization was done with the IBM SPSS Statistics software (IBM Corp. Released 2021. IBM SPSS Statistics for Windows, Version 28.0. Armonk, NY: IBM Corp). Residents were informed that their data would be treated anonymously and that they could withdraw from the study at any time without giving any explanation. Both the residents and the NHs professionals received detailed information about the project and provided informed consent of acceptance. The research team received training through standard operating procedures to ensure de reliability of the data.

Variables and measurement instruments

180 The dependent variable of the study was the presence of loneliness (yes/no), collected using
181 the 6-item De Jong Gierveld Loneliness Scale (DJGLS-6, Spanish version) (Gierveld & Van Tilburg,
182 2006). This reduced version consists of 3 items for each of the two subscales: for social loneliness,
183 referring to the feeling of missing a wider social network, and for emotional loneliness, referring to
184 missing a "more intimate relationship". There are negatively worded items, in which the neutral and
185 positive responses (plus or minus and yes) and the "no" response with 0; and in the positively worded
186 items, the neutral and negative responses (plus or minus and no) are scored with 1 and the yes response
187 with a 0. This gives a total score range of 0 to 6, which can be interpreted from the lowest to the highest
188 levels as "less alone or more alone". However, in the present study, to define the presence of loneliness
189 levels, scores between 0 and 2 were considered normal, and scores above 2 were considered as a cut-
190 off point, in line with authors of previous studies on older adults living in NHs (Jongenelis et al., 2004;
191 Lubben et al., 2006; Prieto-Flores, João Forjaz, Fernandez-Mayoralas, Rojo-Perez, 2011 & Martinez-
192 Martin, n.d.; Victor, 2012).

193
194 Several psychosocial factors were also collected from the residents, such as the number of visits
195 they received monthly from family and/or friends, and the following variables described below: the
196 reduced version of the Lubben Social Network Scale (LSNS-6) was used to measure the presence and
197 degree of social isolation, which presents 3 items regarding family ties and 3 regarding friendly
198 relationships (Lubben et al., 2006). The maximum score of the scale is 30 and 12 can be used as a cut-
199 off point to consider the presence of risk of social isolation of the participants. The lower the perceived
200 social support from family and/or friends, the higher the risk of social isolation for the person (Lubben
201 et al., 2006). The EuroQoL-5D (EQ-5D-5L), consists of a self-description of current health-related
202 quality of life (QoL) on five domains: mobility, self-care, usual activities, pain/discomfort, and
203 anxiety/depression (Ramos-Goñi, J.M., Craig, B.M., Oppe, M., Ramall-Fariña, Y., Pinto-Prades et al,
204 2018). Each dimension has five response levels: no problems, slight, moderate, severe problems,
205 unable to/extreme problems. Total of 245 combinations representing health states, which are from
206 11111 (the best health state) to 55555 (the worst health state), or it can also be converted into a single
207 index "utility" score using a scoring algorithm based on public preferences (Rabin & De Charro, 2001),
208 of a country or region. These weights lie in a range in which full health has a value of 1 and death a
209 value of 0. The cross-index values for the scores of each dimension can be calculated with the "EQ-
210 5D-5L" crossover tool (available on the EuroQol website) (Ramos-Goñi et al., 2018); which are shown
211 as the set of standard values for Spain divided by the value 0.5, corresponding to the mean of the range
212 of possible values. The reduced and Spanish version of the Yesavage Geriatric Depression Scale (GDS)
213 was used to measure the presence or absence of depressive symptoms, with scores above 5 being

214 considered as an indicator of possible depression (Ortega Orcos R, Salinero Fort MA, Kazemzadeh
215 Khajoui A, Vidal Aparicio S, 2007). The anxiety subscale of the Anxiety and Depression Scale
216 (HADS), which considers scores above 8 as possible cases of anxiety and scores above 11 as cases of
217 anxiety, was used to collect the presence of anxious symptomatology (Herrero et al., 2003). The
218 cognitive capacity of the participants was verified using the Pfeiffer SPMSQ Scale (Short portable
219 Mental State Questionnaire) which briefly evaluates functions such as orientation, memory,
220 concentration, and arithmetic, and by which the inclusion of participants was decided (people with
221 severe cognitive impairment were excluded according to the questionnaire). The instrument allows
222 classification of older adults (≥ 65 years) according to their preserved mental function, mild, moderate,
223 or severe cognitive impairment, considering the educational level of the person being evaluated
224 (Martínez De La Iglesia et al., 2001).

225
226 To record the presence of UI (yes/no), section H of the minimum data set (MDS) version 3.0 was
227 applied according to the answers given by the proxy (Klush, 2012) and the international consultation
228 on incontinence questionnaire urinary incontinence-short form (ICIQ-SF) (Espuña Pons, Rebollo
229 Álvarez, & Puig Clota, 2004) which assesses the quantity and frequency of urine leakage and the impact
230 on the individual's QoL. The responses provided by the residents were compared with those of the
231 proxy using the MDS. Functional independence was measured using the Barthel Index modified by
232 Shah et al., 1989, excluding the continence items, as covered by MDS (Espuña Pons et al., 2004; Shah,
233 Vanclay, & Cooper, 1989). The frailty status of the participants was assessed using the clinical frailty
234 scale (CFS), which places the person in one grade or another, depending on the information provided
235 by the caregiver about mobility, functionality, and cognition (Rockwood et al., 2005). Physical
236 performance was recorded using the brief physical performance battery (SPPB), measuring hand grip
237 strength with the JAMAR Plus Digital Hand dynamometer (Sipers, Verdijk, Sipers, Schols, & van
238 Loon, 2016) positioning the elbow at 90° of flexion (Guralnik et al., 1994). The result was adjusted for
239 gender and body mass index and was the highest value recorded with the dominant hand after 3
240 repetitions with both hands. To quantify mobility, the Rivermead Mobility Index (RMI) was used,
241 which presents self-reported and directly observed elements (Collen, Wade, Robb, & Bradshaw, 1991).
242 To measure the risk of sarcopenia, the SARC-F screening questionnaire was used, based on 5
243 components: strength, assistance with walking, rise from a chair, climb stairs, and falls (Sánchez-
244 Rodríguez et al., 2019). The body mass index (BMI) was measured with a Seca 213 measuring device
245 (Seca Medizinische Messsysteme und Waagen, Hamburg, Deutschland), the Tanita TBF-300
246 bioimpedance device (Tanita Institute, Tokyo, Japan). Using the Mini Nutritional Assessment (MNA)
247 screening tool, participants' nutritional status was assessed by classifying them into different categories

248 within a maximum score of 30 points: (score <17) malnourished, (17-23.5) at risk of malnutrition and
249 normal (≥ 24) nutritional status (Vellas et al., 1999). Sedentary behavior was assessed by the gold
250 standard activPAL³ activity monitor (Grant, Dall, Mitchell, & Granat, 2008) (PAL Technologies Ltd.,
251 Glasgow, UK) device worn by participants on the thigh, which distinguishes, and records time spent
252 sitting, standing, and walking during 7 consecutive days (Lyden, Keadle, Staudenmayer, & Freedson,
253 2017).

254 For each participant, information was collected on sociodemographic (age, gender, educational
255 level, marital status, number of children, type of NH and time of institutionalization), on health
256 conditions (medication intake, presence of chronic diseases, tobacco and alcohol, functional and
257 cognitive capacities) – and the collection of diagnosed diseases for residents: urinary incontinence
258 (UI), fecal incontinence, depression, renal insufficiency, chronic pain, hypertension, hypothyroidism,
259 dyslipemia, mental illness). This information was collected through the clinical history of the residents
260 and checked with a NH professional (auxiliary, nursing technician or physiotherapist).

261 262 **Procedure**

263 Data collection began in January 2020 and stopped due to the onset of the COVID-19 pandemic
264 in March 2020. The final sample consisted of 65 individuals. Considering a significance level of 0.05
265 and power of 0.80, this sample size can detect at least 6.2 points of difference of proportions between
266 cases of loneliness among independent variables (e.g., 76.2% and 70.0% cases of overall loneliness in
267 non-private and private NHs, respectively) (Lwanga, Stephen Kaggwa, Lemeshow, Stanley & World
268 Health Organization, 1991).

269 270 **Statistical analysis**

271 The statistical analysis was carried out with the program IBM SPSS Statistics software (IBC
272 Corp. Released 2021. IBM SPSS Statistics for Windows, Version 28.0. Armonk, NY: IBM Corp). First,
273 data were presented through descriptive statistics; categorical variables were shown as absolute
274 frequency and percentages. Continuous variables were presented as means and standard deviations or
275 as medians and interquartile range. To contrast the normality of the data set, the Shapiro-Wilk test was
276 used; for parametric variables the Student's T-test and for nonparametric variables the U-Mann
277 Whitney test were used. We calculated the absolute frequency and percentage of the different types of
278 loneliness variables: overall, emotional, and social loneliness, unadjusted odds ratio (OR) and their
279 95% confidence interval (CI) according to potential variables to verify the magnitude of the
280 association. Categorical data were compared using Chi Square test or Fisher's exact test. Finally,

logistic regression was used to test potential associations between residents reporting loneliness and independent variables, controlled by principal confounders: sex and age. All variables with p-value under 0.20 were tested in the multivariate analysis using the forward method. A value of $p \leq 0.05$ was considered statistically significant and CIs were at 95%.

Results

The final sample consisted of 65 residents from 5 included NHs. (See **Figure 1** at the end of the document, which shows the flowchart of the sampling process).

Of the final sample of 65 residents, 53 (81.5%) were women, with a mean age of 83.9 (SD: 7.45) and mean number of children of 1.6 (SD: 1.54); 50 (76.9%) lived in private with state-subsidized places NHs and 15(23.1%) in private NHs. (See **Table 1**).

Concerning the psychosocial variables, 46 participants (70.8%) suffered from overall loneliness, scored on the loneliness dimension, 17 (26.2%) of social loneliness, and 12 (18.3%) of emotional loneliness according to the De Jong Gierveld Loneliness Scale (DGLS-6). Regarding the participants' risk of social isolation, 25 (40.3%) were at high risk of social isolation, 13 (21%) at low risk, and 24 (38.7%) not at risk of isolation. Regarding the number of visits received per month from family and/or friends (median) was 8 (Range: 3-16). According to the GDSVE-6 questionnaire for rapid screening of depressive symptoms, 55 (84.6%) of the residents had symptoms, and 15 (23.8%) were probable cases of anxiety according to the subscale of the HADS questionnaire for assessment of anxious symptoms. In addition, the results of the EQ-5D-5L showed that the percentages of residents who did have problems or difficulties for each of the dimensions were: problems with mobility (71%), self-care (63%), usual activities (46%), pain/discomfort (58.5%) and anxiety/depression (46%).

Residents had significant co-morbidities, presenting with a mean of 5.2 (SD: 2.34) diagnosed conditions, with 41 (63.1%) having five or more. Specifically: 44 (67.7%) arterial hypertension, 28 (43.1%) heart disease, 26 (40%) dementia, 22 (33.8%) both dyslipemia and diabetes, 16 (24.6%) delirium, 14 (21.5%) lung disease, 13 (20%) strokes, 11 (16.9%) digestive, circulatory, and mental illnesses, 10 (15.4%) Parkinson's and cancer, 9 (13.8%) osteoporosis, 7 (10.8%) arthrosis and anemia, 5 (7.7%) anxiety, chronic pain, and visual deficit and 2 (3.1%) ulcers and epilepsy. In addition, 41 (65%) had UI and 11 (17%) fecal incontinence. The presence of obesity (BMI >23) was observed in 45 (69%) participants and risk of malnutrition or in malnutrition in 26 (4%) individuals of them and 8 (12.3%) presented weight loss in the last year.

With respect to the medication, the use of one or more drugs from the following groups was observed: analgesics and psycholeptics in 45 (70%), psychoanaleptics in 40 (63%), antiepileptics in

11 (17%) and antiparkinsonians in 10 (15.6%) participants. There was a mean of 8.82 (SD: 1.6) and 79.4 (SD: 15.8) for the hours and total percentage of sedentary behavior respectively. 49 (84.5%) residents were in risk of sarcopenia and 23 (45%) of them had fallen in the previous year.

Associated factors and prevalence of the main study variables (overall, emotional, and social loneliness)

Overall loneliness:

The prevalence of perceived loneliness was 70.7% (95% CI: 58.2-81.4). There was a significant difference according to the type of NH: the prevalence in private NHs was 46.6% vs. 78.0% in those with State subsidized places. The other variables that were significantly associated with loneliness were lower levels of perceived health-related QoL, and anxiety, with 100% of residents with anxious symptoms presenting feelings of loneliness. There was no significant association with the rest of the variables. (See **Table 2**). The relevant factors from the previous bivariate analysis were selected to adjust the multiple logistic regression, also adjusting for the confounding variables sex and age. The results showed that the type of NH and perceived QoL remained factors associated with overall loneliness. The goodness of fit of the logistic regression model was $p=0.861$ for the Hosmer-Lemeshow test.

Emotional loneliness:

For emotional loneliness, the diagnosis of depression was statically significant; with a prevalence of emotional loneliness of 73.6% in residents diagnosed with depression, compared to 34.7% in those without the disorder. Other conditions that were significant were: anxiety symptoms and UI, with a 58.5% of residents with anxiety compared to 18.18% in those without anxiety. There was no significant association with the remaining variables. (See **Table 3**). Depression and UI were significantly associated with emotional loneliness in the final model, with a $p=0.721$ in the Hosmer-Lemeshow test.

Social loneliness:

The prevalence of social loneliness was 44.6% (95% [CI]: 33.1 – 56.6). The bivariate analysis showed that having no children or only one child was an associated factor for social loneliness, with a prevalence in residents without children or with only one child of 60.6%. The other variable that was significant was being at risk of social isolation. (See **Table 4**). The logistic regression showed that the

condition of having only one child or not having on was an associated factor in the final model (Hosmer-Lemeshow test $p=0.959$).

Discussion

The objective of this study was to determine the associated factors with loneliness in older adults institutionalized in NHs and, in turn, to identify the prevalence of this phenomenon. The results found that the factors associated with the presence of feelings of loneliness were the type of residence and the poor perception of health-related quality of life. In addition, a diagnosis of depression and UI were associated with emotional loneliness and having a child or not having a child and being at risk of isolation were associated with social loneliness. Data collected showed high prevalence of global loneliness, as well as emotional and social loneliness (70.7%, 46.2% and 44.6% respectively).

Over the years, several investigations from different geographical areas of the world found higher rates of loneliness among older adults residing in NH (Aung, K.T., Aung, K., Said, M., Wan, N., Syakirah, N & Bukhari, 2017.; Drageset, Espehaug, & Kirkevold, 2012; Herman, A., Ciszek, P & Gortat, M., 2018). A prevalence of loneliness like ours (71.6%) was found in in people over 60 years old living in Spanish NHs (M. E. Prieto-Flores, Forjaz, Fernandez-Mayoralas, Rojo-Perez, & Martinez-Martin, 2011). A qualitative systematic review with 10 studies examining the social relationships of institutionalized older adults in NH noted a high prevalence of loneliness, specifically, emotional loneliness (Mikkelsen, Petersen, Dragsted, & Kristiansen, 2019). Another recent systematic review, considering 13 studies on the prevalence of loneliness in NH's residents, showed slightly lower rates compared to those in our study (61% and 35% of severe loneliness and moderate loneliness respectively) (Gardiner, Laud, Heaton, & Gott, 2020a). There are, however, very few studies specifically on the prevalence of emotional and/or social loneliness in NHs (Amzat & Jayawardena, 2015; Drageset, Eide, Kirkevold, & Ranhoff, 2013; M.-E. Prieto-Flores et al., 2011), compared to research of overall loneliness among older adults in the community (Ausín, Muñoz, & Castellanos, 2017).

The present study showed significant association between the presence of loneliness and the presence of poor self-reported QoL. This association has been shown before (Jansson, A.H., Muurinen, S., Savikko, N., Soini, H., Suominen, M.M., Kautiainen, H., Pitkälä, 2017). QoL is often reported as better in older people living in the community compared to those living in NHs (M.-E. Prieto-Flores et al., 2011). Other recent studies postulated that the QoL of older NH residents was lower, the greater the sense of loneliness (Trybusinska, D & Saracen, A., 2019.; Gerino, Rollè, Sechi, & Brustia, 2017). QoL can be impacted by the quality of the care received in a NH, for example, the degree of dependency of older adults, combined with

379 the staffing level, the facilities, and the typology of the center (private or NH with state subsidized places)
380 (Gardiner et al., 2020b). In addition, poorly qualified staff and many occupied beds can diminish both the care
381 received and the quality of care and QoL (Harris-Kojetin et al., 2016).

382 Some of these factors can differentiate a private NH from a public NH, or one with many States
383 subsidized places. Of course, even with the risk of loneliness in some state subsidized NHs, the wide range of
384 health care, personal care, and long-term support services they offer may also prove to be protective factors
385 for the maintenance of the health of their residents (Harris-Kojetin et al., 2016). Some institutions, prioritize
386 person-centered care by attending to psychosocial aspects and by ensuring their NH facilities, as much as
387 possible, are a home which may help improve QoL and reduce loneliness (Andrew & Meeks, 2018).
388 Encouraging this person-centered care and social relationships in institutionalized older adults are
389 fundamental from the perspective of healthy aging, because they can contribute to well-being (Tran, Nguyen,
390 Gray, & Comans, 2019) and to combat emotional and social loneliness during the stay in NH by increasing
391 their QoL (Bowling & Iliffe, 2011).

392
393 One recent study showed a significant correlation between loneliness and mental health, especially
394 with depressive symptoms. The results of our study also postulate a significant association between the
395 perception of emotional loneliness and the presence of mental health problems; specifically, with the diagnosis
396 of depression. These findings are consistent with the conclusions of previous studies (Drageset et al., 2013;
397 Peerenboom, Collard, Naarding, & Comijs, 2015), which showed a relationship between depression in older
398 adults and the presence of emotional loneliness, but not social loneliness. Unlike our study one study also
399 showed an association between loneliness and depressive symptomatology collected by the GDSVE-6
400 screening, which we also used in our study (M.-E. Prieto-Flores et al., 2011). Other recent research in
401 depressed older adults showed loneliness as a risk factor, associated with both cognitive impairment and
402 elevated mortality (Holwerda, T.J., Van Tilburg, T.G., Deeg, D.J.H., Schutter, N., Van R, Dekker, J et al,
403 2016.; Lam, Yu, & Lee, 2016).

404
405 Ausín et al., 2017, identified several variables as predictive of loneliness in Spanish adults over sixty-
406 five years of age, including functional deterioration and low satisfaction with QoL and social contacts, and
407 the presence of mental disorders, especially anxiety (Ausín et al., 2017). In our study sample, all residents
408 with anxious symptomatology also presented feelings of loneliness. These results are consistent with those
409 found in NHs in Egypt; where it was observed that recurrent feelings of loneliness could be a cause for the
410 presence of anxiety in older adults, along with other psychosocial and health factors (Barakat, M., Elattar,
411 N.F., Zaki, 2019). Overall, the risk of developing loneliness in old age has been seen to increase with diagnoses

412 of major depressive disorder, generalized anxiety disorder and social anxiety (Domènech-Abella, Mundó,
413 Haro, & Rubio-Valera, 2019; Lim, Rodebaugh, Zyphur, & Gleeson, 2016; McHugh Power et al., 2018).

414

415 One of the physical health issues collected in our study sample was the presence of UI, which presented
416 a statistically significant association with the emotional loneliness. In an Irish macro study, higher odds of
417 loneliness were found among older adults with UI, although the sample focused on community-dwelling older
418 adults (Stickley, Santini, & Koyanagi, 2017). Currently, scientific evidence linking UI to loneliness is scarce,
419 although much earlier studies already confirmed the increased risk of feeling lonely in middle-aged and older
420 incontinent adults, with respect to continent people (Fultz & Regula Herzog, 2001; Yip et al., 2013). In
421 contrast, the association of UI with anxiety disorders and depression is known (Kwak, Y.H., Kwon, H.J &
422 Kim, Y.J., 2015; (Felde, Ebbesen, & Hunskaar, 2017; Kwak, Kwon, & Kim, 2015)., 2017) which, in turn,
423 may be reciprocally associated (Felde et al., 2017; Kwak et al., 2015) to loneliness in older adults and,
424 therefore, it might be interesting to further investigate (Stickley et al., 2017).

425

426 However, recent studies have also suggested that the very act of becoming institutionalized and
427 entering a NH has an impact on loneliness (Simard & Volicer, 2020). Entering to live in a long-term care
428 facility can affect older adults due to the changes in their daily habits and customs, causing feelings of
429 loneliness, as well as depressive and/or anxiety symptoms (Vasilopoulos, A., Marinou, S., Rammou, M.,
430 Sotiropoulou, P., Roupa, Z., & Siamaga, E., 2018).For example, decreased frequency of contact with loved
431 ones and friends and the discomfort of sharing a room with others may alter their intimacy and increase the
432 perception of feeling lonely (National Academies of Sciences, 2020). Although it may appear that older adults
433 residing in NHs exhibit less social isolation due to daily contact with staff and other residents (Theurer et al.,
434 2015), the reality is that moving to a NH does not exempt them from continuing to need family and friendship
435 support. But unfortunately, not all older adults can count on external social support, and this can have effects
436 on their QoL and health (Barken, Daly, & Armstrong, 2017; Chamberlain, Baik, & Estabrooks, 2018).

437

438 In terms of social loneliness, the results of our study could suggest that a poor social network and
439 support may be a risk factor for people living in NHs. We observed that 61.3% were at risk of social isolation
440 and a diminished social network (0 to 12 monthly family and/or friend visits). One systematic review proposed
441 that the social network and social support are associated and may influence health during aging (Santini,
442 Koyanagi, Tyrovolas, Mason, & Haro, 2015). More recently, one study showed how the size of the social
443 network could influence the relationship between loneliness and depression (Domènech-Abella et al., 2017),
444 where 60.6% of residents without children, or with only one child, presented with social loneliness. A study
445 in twelve European countries revealed that in several countries, especially in the most traditionalist ones,

446 childlessness was strongly associated with loneliness in old age (Zoutewelle-Terovan & Liefbroer, 2018). This
447 is reinforced by another study in China which suggested family support is a protective factor for loneliness,
448 both for people with and without children (Cheng et al., 2015).

449
450 Our study has some limitations. The relatively small size was due to the appearance of the Covid-19
451 pandemic and the successive waves, leading to very restrictive measures regarding social contact. This meant
452 we could not continue collecting data and ceased in March 2020. Despite the small sample size, it was
453 sufficient to detect statistical differences in the different variables considered significant in other scientific
454 literature, as discussed above. Since loneliness is considered a subjective construct, we had to exclude 36% of
455 the participants from the initial sample due to the presence of severe cognitive impairment, and 13% did not
456 accept or could not answer the questionnaires for the evaluation of psychosocial variables. Dementia is more
457 common in NHs in Catalonia, (70% of cases), compared with the population residing in the community
458 (Amblàs-Novellas, Santaeugènia, Vela, Clèries, & Contel, 2020). If we had been able to examine loneliness
459 in these excluded participants, the rates of loneliness could have been higher.

460
461 The cross-sectional nature of our research could be another limiting factor, as it does not allow us to
462 draw conclusions about causal relationships between associated factors and loneliness. Furthermore, as this
463 was a descriptive study, it was not possible to collect in-depth data and valuable information such as the
464 opinion and experience of the residents themselves, which would require a qualitative methodological
465 approach. Such an approach would provide quality knowledge to rethink intervention strategies for the
466 reduction of loneliness rates in NHs.

467
468 Because of the high levels of depression and depressive symptomatology in our study, some findings
469 could be influenced by cognitive distortions (Hitchcott, Fastame, Langiu, & Penna, 2017). The sample
470 consisted of a greater number of women than men, and this prevents generalizing conclusions about the
471 existence of the difference between gender and the perception of loneliness among the older men living in
472 NHs.

473
474 The strengths of this study were the assessment of loneliness, social and emotional, at the same time
475 as QoL and other health and sociodemographic data within 5 NHs. More specifically, there is no evidence
476 collected on the prevalence rates of loneliness among NH's residents before the Covid-19 pandemic, and
477 before the confinements were imposed. Thus, the present research on loneliness in NH residents is interesting,
478 especially at the present time, when NHs have been forced to impose restrictive measures on social contact
479 and even social isolation in some of the waves of the pandemic and the need to address unwanted loneliness

480 has become more visible for institutionalized older adults, and to health professionals working to improve
481 their daily care. The wide diversity in the type of variables collected in the study (physical, psychological,
482 social and health variables) contribute to the knowledge of the general health status of the group studied. Our
483 study highlights the importance of person-centered care, considering the psychological and social dimension,
484 which may be changed with the process of institutionalization in a NH. Finally, the information gathered in
485 this study can contribute to the better planning of prevention and treatment and to improve the well-being and
486 QoL of NH residents.

487

488 Regarding practical implications, this study has revealed that the quality of life of people living in NHs
489 may be worsened by the perception of loneliness experienced by a high number of them. This suggests a
490 comprehensive geriatric assessment in NHs that contemplates psychosocial aspects, such as associated
491 depressive symptomatology and the social networks of institutionalized elderly people, reviewing daily
492 practices related especially to visits from family and/or friends and outings, which contribute to diminishing
493 the effect of perceived social isolation and loneliness.

494 At the scientific level, health professionals caring for institutionalized elderly people have the task of
495 contemplating the social support networks and the family situation and structure, in order to detect aspects
496 related to the risk of suffering from unwanted loneliness. In order to properly analyze perceived loneliness, it
497 is important to disseminate disciplinary knowledge with the aim of improving attention and care, as well as
498 research on the subject with the aim of redesigning intervention protocols aimed at detecting particularly
499 fragile elderly people in the process of institutionalization and preventing the negative effects of unwanted
500 loneliness and its consequences in the possible worsening of quality of life.

501 According to the results of the present study, interesting lines of intervention may consist of including
502 intergenerational exchange practices with the aim of actively energizing nursing homes and fostering
503 interpersonal relationships, especially in residents who do not have a family, in order to receive signs of
504 affection and support. These experiences can also be a great source for promoting the integration of nursing
505 homes into society. Another line of action would be to bring the institutionalized elderly closer to the
506 community during their stay. Through recreational, cultural and social activities and popular festivities, we
507 could promote the sense of competence, illusion, gratitude and the feeling of relevance of the group; in
508 addition to bringing older adults closer to acquaintances and/or younger relatives, strengthening their
509 emotional ties and increasing the constancy of visits, as well as improving relations between residents and
510 staff working in the residences by enhancing the self-concept of the elderly, and consequently strengthen their
511 self-esteem and improve the perception of loneliness, social isolation and increase the mood.

512

513 **Conclusions**

514 Loneliness can be a health risk factor and worsen the overall quality of life among older adults living
515 in NHs. With the recent experiences of social isolation due to the Covid-19 pandemic, loneliness has been very
516 present among institutionalized older adults. This study shows the high prevalence rate of loneliness
517 (approximately 71%) in people over 65 years old living in 5 NHs in the Osona region (Barcelona, Spain), and
518 the association of this phenomenon with a worse perception of health-related QoL. Diagnosis of UI and
519 depression were associated with emotional loneliness and a poor social network and having no or only one
520 child associated with social loneliness. These findings suggest the importance of addressing the psychosocial
521 needs of NH residents to prevent loneliness and improve their well-being and QoL. Furthermore, on the one
522 hand, more studies on factors associated with social and emotional loneliness would be desirable to develop
523 appropriate loneliness prevention strategies and improve the quality of life of NHs residents. And on the other
524 hand, studies with larger and more representative samples, as well as longitudinal studies, would allow us to
525 infer the causality of the associations between loneliness and associated psychosocial factors, in addition to
526 physical and health conditions.

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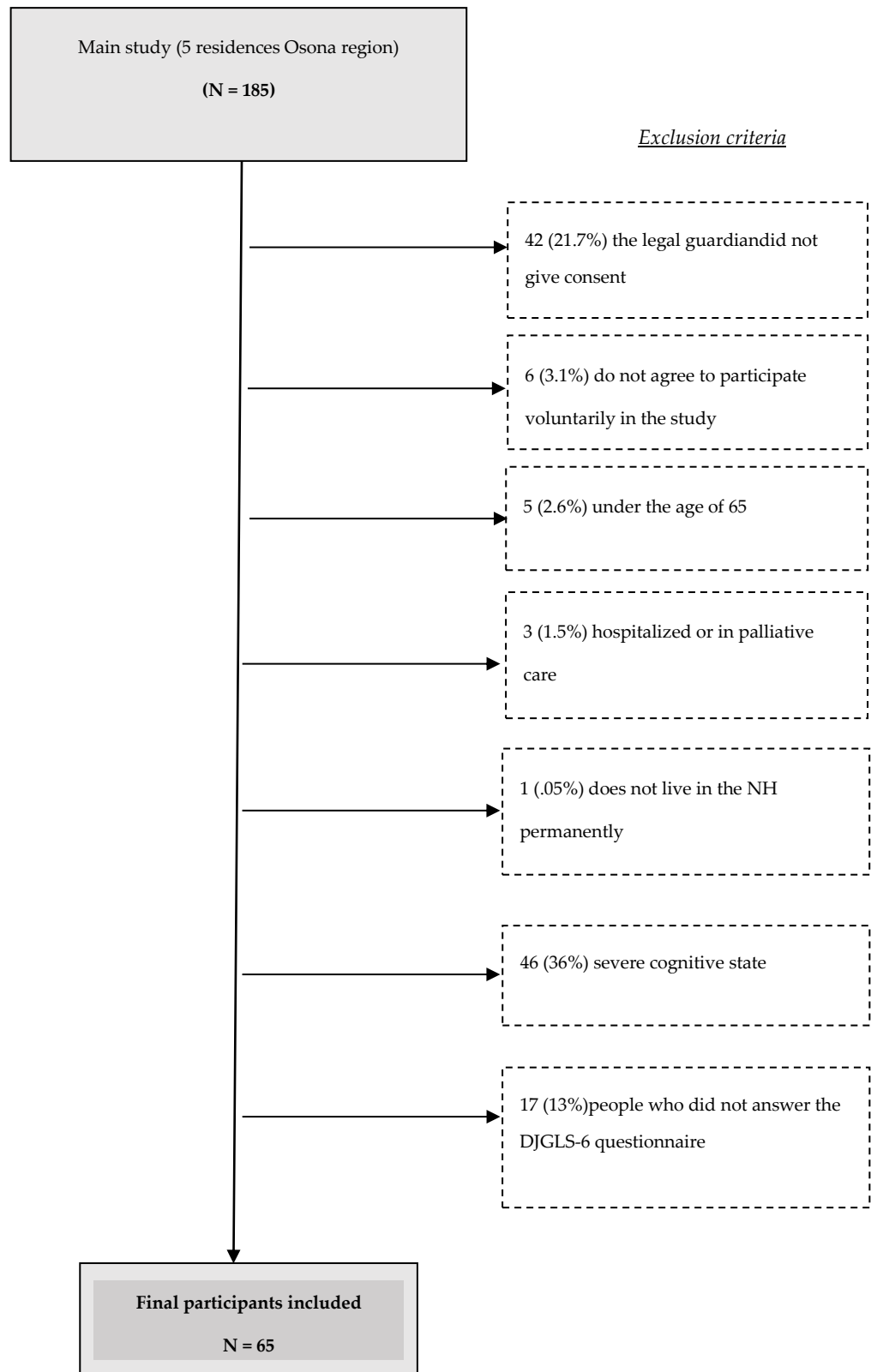
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Tables and figures:



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Figure 1. Flowchart of the cross-sectional study with the final sample according to exclusion criteria

Table 1. Descriptive analysis including sociodemographic and health-related information of institutionalized older people in Osona region (Barcelona, Spain), 2020.

Variable	n	%
Marital status		
Widowers	45	69.2
Singles	10	15.4
Married	6	9.2
With partner	1	1.5
Separated or divorced	1	1.5
Level of Education		
Illiterate	0	0.0
Primary	20	35.7
Secondary	28	50.0
High school	5	8.9
University	3	5.4
Cognitive status (Pfeiffer)		
Intact	10	15.4
Slight cognitive impairment	16	24.6
Moderate cognitive impairment	21	32.3
Severe cognitive impairment	18	27.7
Functional capacity (Barthel)		
Independent	4	8.3
Slight dependency	25	52.1
Moderate dependency	7	14.6
Severe dependency	10	20.8
Total dependence	2	4.2
Physical condition (Clinical Frailty Scale)		
Very fit	1	1.5
Well	13	20.0
Managing well	3	4.6
Vulnerable	2	3.1
Mild frail	16	24.6
Moderately frail	23	35.4
Severely frail	6	9.2
Very severely frail	1	1.5
Terminally ill	0	0.0
Physical performance (SPPB)		
Robustness	3	4.8
Prefrailty	9	14.5
Frailty	18	29.0
Disability	32	51.6
Body Mass Index (BMI)		
Underweight	10	19.2
Normal/Overweight	26	50.0
Obese	16	30.8
Nutritional state (Mini Nutritional Assessment)		
Normal nutritional status	27	46.6
At risk of malnutrition	30	51.7
Malnourished	1	1.7
Toxic habits *		
Alcoholism or ex-alcoholic	7	16.7
Smoker or ex-smoker	6	14.0

Note: Source: own elaboration

Table 2. Associations between overall Loneliness and independent variables with $p \leq 0.200$, Osona region (Barcelona, Spain), 2020 and multivariate analysis

Variable	Overall Loneliness				p	Multivariate analysis		
	Yes (n = 46)		No (n = 19)			Unadjusted OR (95% CI)	p	Adjusted OR (95% CI)
	n	%	n	%				
Anxiety symptoms (HADS)					.006 ^{a*}			
No	31	64.6	17	35.4				
Yes	15	100	0	0.0				
Type of NH					.019 [*]			
Private with State						1		
Subsidized places	39	78.0	11	22.0		0.24 (0.73-0.83)	.17	0.19 (0.05 – 0.74)
Private	7	46.7	8	53.3				
EuroQoL-5D (EQ-5D-5L)					.045 ^{a*}			
≥ 0.5	25	61.0	16	39.0		1		
< 0.5	20	87.0	3	13.0		4.26 (1.08-16.72)	.24	5.52 (1.25 – 24.38)
Gender					.080			
Men	6	50.0	6	50.0		1		
Women	40	75.5	13	24.5		3.07 (0.84-11.21)		
Age					.095			
≤85	25	80.6	6	19.4		1		
>85	21	61.8	13	38.2		0.38 (0.12-1.19)		
Hypothyroidism					.116			
No	41	74.5	14	25.5		1		
Yes	5	50.0	5	50.0		0.34 (0.08-1.35)		
Renal insufficiency					.013			
No	33	76.7	10	23.3		1		
Yes	13	59.1	9	40.9		0.43 (0.14-1.32)		
Chronic pain					.144 ^a			
No	44	73.3	16	26.7		1		
Yes	2	40.0	3	60.0		0.24 (0.03-1.58)		
Diagnosed depression					.014 ^a			
No	30	65.2	16	34.8		1		
Yes	16	84.2	3	15.8		2.84 (0.72-11.24)		
Cognitive status (Pfeiffer)					.018			
Slight/absent	16	61.5	10	38.5		1		
Moderate/severe	30	76.9	9	23.1		2.08 (0.70-6.17)		

Note: Source: own elaboration.

^aFisher's exact test.

*Statistically significant (<0.005)

Table 3. Associations between Emotional Loneliness and independent variables with $p \leq 0.200$ that were not included in the final model, Osona region (Barcelona, Spain), 2020 and multivariate analysis

Emotional Loneliness (n = 65) Multivariate analysis								
Variable	Yes (n = 30)		No (n = 35)		p	Unadjusted OR (95% CI)	p	Adjusted OR (95% CI)
	n	%	n	%				
Anxiety symptoms					<.001 ^{a*}			
No	16	33.3	32	66.7		1		
Yes	14	93.3	1	6.7		28 (3.37-232.26)		
Urinary Incontinence					.003 ^{a*}			
No	4	18.2	18	81.1		1		
Yes	24	58.5	17	41.5		6.35 (1.82-22.15)	.023	4.65 (1.23 – 17.52)
Diagnosed depression					.004*			
No	16	34.8	30	65.2		1		
Yes	14	73.7	5	26.3		5.25 (1.60-17.21)	.001	4.54 (1.28 – 16.08)
Level of studies					.107			
Illiterate/primary/secondary	25	52.1	23	47.9		1		
High school/university	5	29.4	12	70.6		0.38 (0.11-1.25)		
Total number of diseases					.113			
≤5	8	33.3	16	66.7		1		
>5	22	53.7	19	46.3		2.31 (0.81-6.60)		
Gender					.122 ^a			
Men	3	25.0	9	75.0		1		
Woman	27	50.9	26	49.1		3.12 (0.75-12.80)		
Cognitive status (Pfeiffer)					.128			
Slight/absent	9	34.6	17	65.4		1		
Moderate/severe	21	53.8	18	46.2		2.20 (0.79-6.13)		
Type of NH					.139 ^a			
Private with State-Subsidized places	26	52.0	24	48.0		1		
Private	4	26.7	11	73.3		0.34 (0.09-1.19)		
Hypertension					.152			
No	7	33.3	14	66.7		1		
Yes	23	52.3	21	47.7		2.19 (0.74-6.47)		

Note: own elaboration.

^aFisher's exact test.

*Statistically significant (<0.005)

Table 4. Associations between Social Loneliness and independent variables with $p \leq 0.200$ that were not included in the final model, Osona region (Barcelona, Spain), 2020 and multivariate analysis

Variable	Social Loneliness (n = 65)				Multivariate analysis		
	Yes (n = 29)		No (n = 36)		Unadjusted OR (95% CI)	p	Adjusted OR (95% CI)
	n	%	n	% p			
Number of children					.011 *		
≤ 1	20	60.6	13	39.4	1		
>1	9	29.0	22	71.0	0.27 (0.27-0.84)	.016	0.25 (0.08 – 0.77)
Social Network (LSNS-6)					.019 *		
No risk of social isolation	6	25.0	18	75.0	1		
Social isolation (low or high)	21	55.3	17	44.7	3.71 (0.09-0.75)		
Renal insufficiency					.138		
No	22	51.2	21	48.8	1		
Yes	7	31.8	15	68.2	0.44 (0.15-1.30)		
Type of NH					.144 ^a		
Private with State-Subsidized places	25	50.0	25	50.0	1		
Private	4	26.7	11	73.3	0.36 (0.10-1.29)		
Mental Illness					.196 ^a		
No	22	40.7	32	59.3	1		
Yes	7	63.6	4	36.4	2.54 (0.66-9.75)		
Fecal incontinence					.196 ^a		
No	22	40.7	32	59.3	1		
Yes	7	63.6	4	36.4	2.54 (0.66-9.75)		

Note: own elaboration

^aFisher's exact test.

*Statistically significant (<0.005)

Tables and figures legend:

- 1. Figure 1.** Flowchart of the cross-sectional study with the final sample according to exclusion criteria.
- 2. Table 1.** Sociodemographic characteristics of the sample and health-related information of the participants.
- 3. Table 2.** Bivariate analysis between the dependent variable and the independent variables with p under .200 that were not included in the multivariate analysis, and the multivariate analysis with independent variables included in the final model.
- 4. Table 3.** Bivariate analysis between the dependent variable (emotional loneliness) and the independent variables with p under .200 that were not included in the multivariate analysis, and the results of the multivariate analysis.
- 5. Table 4.** Bivariate analysis between the dependent variable (social loneliness) and the independent variables with p under .200 that were not included in the multivariate analysis, and the significant variables in the final model.