

# Lower Bounds of Fučik Eigenvalues of the Weighted One Dimensional $p$ -Laplacian

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**SUMMARY.** - *In this paper we obtain a family of curves bounding the region which contains all the non trivial Fučik eigenvalues of the weighted one dimensional  $p$  laplacian with Neumann boundary conditions. We obtain different proofs of the isolation result of the trivial lines, and the existence of a gap at infinity between the first curve and the trivial lines. We also give a lower bound for the eigenvalues of the  $p$ -Laplacian with Neumann boundary conditions.*

## 1. Introduction

In this work we study the following weighted Fučik eigenvalue problem in a bounded interval  $(a, b)$ ,

$$\begin{cases} -(|u'(x)|^{p-2}u'(x))' = r(x)|u(x)|^{p-2}[\alpha u^+(x) - \beta u^-(x)] \\ u'(a) = u'(b) = 0 \end{cases} \quad (1)$$

where  $1 < p < \infty$ ,  $r(x) \in L^\infty$  is allowed to change sign,  $u^+ = \max(u, 0)$ ,  $u^- = \max(-u, 0)$ , and  $\alpha, \beta \in \mathbb{R}$ . A pair  $(\alpha, \beta) \in \mathbb{R}^2$  is called a Fučik eigenvalue if Problem (1) has a nontrivial solution

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$u \in H^1(a, b)$ , and  $u$  is called a Fučik eigenfunction. We call  $\Sigma$  the set of Fučik eigenvalues.

We also consider the related eigenvalue problem,

$$\begin{cases} -(|u'(x)|^{p-2}u'(x))' = \mu r(x)|u(x)|^{p-2}u(x) \\ u'(a) = u'(b) = 0 \end{cases} \quad (2)$$

For positive and continuous weights  $r(x)$ , all eigenvalues form a countable set  $\{\mu_k\}_{k \in \mathbb{N}}$ , and the eigenfunction  $u_k$  corresponding to  $\mu_k$  has exactly  $k - 1$  zeros, see [10, 15]. When the weight takes both positives and negatives values, there exist two sequences of positive and negative variational eigenvalues,

$$\sigma^+ = \{0 \leq \mu_1^+ < \mu_2^+ < \dots\} \quad \sigma^- = \{0 \geq \mu_1^- > \mu_2^- > \dots\},$$

which are obtained by the Ljusternik Schnirelmann theory, see [5, 13]. However, it is not known if the totality of eigenvalues consists of these two sequences.

We consider first  $r(x) > 0$  which implies  $\sigma^- = \emptyset$ ,  $\mu_1 = 0$ . Let  $\mu$  be any eigenvalue of Problem (2). It is clear that  $(\mu, \mu)$ ,  $0 \times \mathbb{R}$  and  $\mathbb{R} \times 0$  belongs to  $\Sigma$ . The lines  $0 \times \mathbb{R}$  and  $\mathbb{R} \times 0$  are called the trivial curves, and we call  $\Sigma^* = \Sigma \setminus \{0 \times \mathbb{R} \cup \mathbb{R} \times 0\}$ . When  $r(x) \equiv 1$ , it was proved in [7] that the two lines  $0 \times \mathbb{R}$  and  $\mathbb{R} \times 0$  are isolated in  $\Sigma$ . Also, the authors prove that the first curve  $\Gamma_1$  is not asymptotic to zero, and remains bounded below by the first Dirichlet eigenvalue of an interval of length  $2(b - a)$ . The first non trivial curve  $\Gamma_1$  is obtained as the first intersection point of  $\Sigma^*$  with a line parallel to the diagonal and passing through  $(s, 0)$  for each  $s \in \mathbb{R}$ , this construction was introduced in [8]. The problem with indefinite weights was considered in [1, 2]. We give here a different proof of the isolation result of the trivial lines and the existence of a gap at infinity between  $\Gamma_1$  and the trivial lines. Our main result is the following:

**THEOREM 1.1.** *Let  $\int_a^b r(x) = m$ . There exists an hyperbolic type curve  $y = f(x)$  such that  $\beta \geq f(\alpha)$  for every Fučik eigenvalue  $(\alpha, \beta) \in \Gamma_1$  of Problem (1). Moreover,*

$$\lim_{\alpha \rightarrow \infty} f(\alpha) = \frac{1}{m(b-a)^{p-1}}.$$

In certain sense, it is a lower bound for the Fučík eigenvalues. We also analyze the optimality of this curve, and we extend it to problems with indefinite weights. The proof is based on a Lyapunov type inequality for the Neumann boundary condition. This inequality was proved in [12] for  $p = 2$  by using Ricatti equation techniques, we also give a different proof.

We will prove the isolation of the first positive eigenvalue of problem (2), which enable us to define the second positive Neumann eigenvalue  $\mu_2$ . Following the ideas of [3, 4], we will show that  $\mu_2 = \mu_2^+$ , and an associated eigenfunction has only one zero in  $(a, b)$ . Hence, the Lyapunov inequality enable us to obtain a sharp lower bound for  $\mu_2$ . We have the following theorem:

**THEOREM 1.2.** *Let  $\mu_2$  be the second eigenvalue of Problem (2). Then,*

$$\frac{2^p}{(b-a)^{p-1} \int_a^b r^+(x) dx} \leq \mu_2, \tag{3}$$

where  $r^+(x) = \max\{r(x), 0\}$ .

We also prove the optimality of this bound:

**THEOREM 1.3.** *Let  $\varepsilon \in \mathbb{R}$  be a positive number. There exist a family of weight functions  $r_\varepsilon \in L^\infty(a, b)$  satisfying  $\int_a^b r_\varepsilon(x) dx = m$  such that*

$$\lim_{\varepsilon \rightarrow 0^+} \mu_{2,\varepsilon} = \frac{2^p}{(b-a)^{p-1} m}$$

where  $\mu_{2,\varepsilon}$  is the second eigenvalue of

$$\begin{cases} -(|u'|^{p-2} u')' = \mu r_\varepsilon |u|^{p-2} u \\ u'(a) = u'(b) = 0. \end{cases} \tag{4}$$

The paper is organized as follows: Section 2 is devoted to the Lyapunov inequality, and the Neumann eigenvalue problem with indefinite weights, we prove Theorems 1.2 and 1.3, and we also consider the higher eigenvalues. In Section 3 we prove Theorem 1.1 and we extend it to indefinite weights.

## 2. A Lyapunov type Inequality and Neumann Eigenvalues

Let us consider the following quasilinear boundary value problem:

$$\begin{cases} -(|u'|^{p-2}u')' = s|u|^{p-2}u \\ u(a) = u'(b) = 0, \end{cases} \quad (5)$$

where  $s \in L^\infty(a, b)$  is an integrable function, and  $1 < p < \infty$ . By a solution of problem (5) we understand a real valued function  $u \in W^{1,p}(a, b)$ ,  $u(a) = 0$  such that

$$\int_a^b |u'|^{p-2}u'v' = \int_a^b s|u|^{p-2}uv \quad (6)$$

for each  $v \in W^{1,p}(a, b)$ ,  $v(a) = 0$ .

We have the following Lyapunov type inequality:

**THEOREM 2.1.** *Assume that the problem (5) has a positive solution. Then, the following inequality holds:*

$$\left(\frac{1}{b-a}\right)^{p-1} \leq \int_a^b s^+(x)dx.$$

*Proof.* Let  $c$  be a point in  $(a, b)$  where  $u(x)$  is maximized. Clearly, by using Holder's inequality and the variational formulation (6),

$$\begin{aligned} u(c) &= \int_a^c u'(x)dx \\ &\leq (c-a)^{1/q} \left( \int_a^c |u'(x)|^p dx \right)^{1/p} \\ &\leq (b-a)^{1/q} \left( \int_a^b |u'(x)|^p dx \right)^{1/p} \\ &= (b-a)^{1/q} \left( \int_a^b s(x)|u(x)|^p dx \right)^{1/p} \\ &\leq (b-a)^{1/q} u(c) \left( \int_a^b s^+(x)dx \right)^{1/p}, \end{aligned}$$

and the result follows after cancelling  $u(c)$  in both sides.  $\square$

As a corollary, we have the following inequality for solutions of the Neumann boundary value problem with indefinite weights:

COROLLARY 2.2. *Let  $s \in L^\infty(a, b)$  be an integrable function. Let us suppose that the problem*

$$-(|u'|^{p-2}u')' = s|u|^{p-2}u \quad u'(a) = u'(b) = 0$$

*has a solution which changes sign in  $(a, b)$  once. Then*

$$\frac{2^p}{(b-a)^{p-1}} \leq \int_a^b s^+(x)dx. \tag{7}$$

*Proof.* Let  $c$  be the zero of  $u$  in  $(a, b)$ . Applying Theorem 2.1 in  $(a, c)$  and  $(c, b)$ , we have:

$$\left(\frac{1}{c-a}\right)^{p-1} + \left(\frac{1}{b-c}\right)^{p-1} \leq \int_a^b s^+(x)dx.$$

Now, the sum of the left hand side is minimized when both terms are equal, which gives

$$2\left(\frac{2}{b-a}\right)^{p-1} \leq \int_a^b s^+(x)dx. \quad \square$$

Let us consider now the eigenvalue problem (2):

$$\begin{cases} -(|u'|^{p-2}u')' = \mu r|u|^{p-2}u \\ u'(a) = u'(b) = 0, \end{cases}$$

where  $r \in L^\infty(a, b)$  is an integrable function with

$$meas\{x \in (a, b) : r(x) > 0\} \neq 0, \quad meas\{x \in (a, b) : r(x) < 0\} \neq 0.$$

The eigenvalues  $\mu_k^+$  are obtained by the Ljusternik Schnirelmann theory:

$$\mu_k^+ = \inf_{F \in C_k^{(a,b)}} \sup_{u \in F} \int_a^b |u'|^p \tag{8}$$

where

$$\begin{aligned} C_k^{(a,b)} &= \{C \subset M : C \text{ compact, } C = -C, \gamma(C) \geq k\}, \\ M &= \{u \in W^{1,p}(a, b) : \int_a^b r|u|^p = 1\}, \end{aligned}$$

and  $\gamma : \Sigma \rightarrow \mathbb{N} \cup \{\infty\}$  is the Krasnoselskii genus. The negatives eigenvalues  $\sigma^-$  coincides with the positive eigenvalues of the weight  $-r$ . The regularity results of [6, 14] imply that the solutions  $u$  are at least of class  $C_{loc}^{1,\alpha}$ , and satisfy the differential equation almost everywhere in  $(a, b)$ .

We collect first some results related to the eigenvalues of problem (2) which can be found in [5, 11, 13]. However, the proof of the isolation of the first eigenvalue seems difficult to find, and we will prove it below.

LEMMA 2.3. *If  $\int_a^b r < 0$ , then the eigenvalue of problem (2) admits a positive eigenvalue  $\mu_1^+$  with a positive eigenfunction,  $\mu_1^+$  is unique and simple, and the interval  $(0, \mu_1^+)$  does not contain any eigenvalue. If  $\int_a^b r < 0$ , then  $\mu_1^+ = 0$ . If  $\int_a^b r = 0$ , then  $\mu_1^+ = 0 = \mu_1^-$  is the unique eigenvalue with a positive eigenfunction.*

REMARK 2.4. *We will prove now the isolation of the first eigenvalue for indefinite weights. For the Dirichlet boundary condition, this result can be found in [4]. However, the proof follows by using an estimate of the measure of the nodal domains of an eigenfunction  $u$ , a connected component of  $(a, b) \setminus \{x \in (a, b) : u(x) = 0\}$ . If  $N$  is a nodal domain of a Dirichlet eigenfunction with eigenvalue  $\lambda$ , then*

$$|N| \geq (C\lambda\|r\|_s)^{-\gamma} \quad (9)$$

where  $C, s, \gamma$  are positive constants depending only on  $p$ . Equation (9) was obtained by using the Sobolev immersion theorem. For the Neumann problem we cannot apply it on the nodal domains which reaches the boundary.

LEMMA 2.5. *The eigenvalue  $\mu_1^+$  is isolated, that is, there exists  $\delta > 0$  such that in the interval  $(\mu_1^+; \mu_1^+ + \delta)$  there are no other eigenvalues of problem (2).*

*Proof.* Let us assume by contradiction that there exists a sequence of eigenvalues of (2),  $\mu^n \rightarrow \mu_1^+$ , and let  $u^n$  be an eigenfunction of  $\mu^n$  satisfying  $\int_a^b r|u^n|^p = 1$ . Now, since

$$0 < \int_a^b |(u^n)'|^p = \mu^n \int_a^b r|u^n|^p,$$

the set  $\{u^n\}_n$  is bounded in  $W^{1,p}(a, b)$ , and there exist a subsequence (still denoted  $\{u^n\}_n$ ) and  $u \in W^{1,p}(a, b)$  such that  $u^n \rightarrow u$  weakly in  $W^{1,p}(a, b)$ , and  $\int_a^b r|u|^p = 1$ . Hence,

$$\int_a^b |u'|^p \leq \liminf_{n \rightarrow \infty} \mu^n \int_a^b r|u^n|^p = \mu_1^+.$$

We conclude that  $u$  is an eigenfunction associated to  $\mu_1^+$ , and we can assume that  $u > 0$  (the case  $u < 0$  is similar). Since  $u^n \rightarrow u$  in measure, and  $u^n$  changes sign, we have

$$|\Omega_n^-| \rightarrow 0,$$

where  $\Omega_n^- = \{x \in (a, b) : u^n(x) < 0\}$ . From equation (9),  $u^n$  cannot have interior nodal domains, since the measure of the interior nodal domains is bounded by below. Hence,  $\Omega_n^-$  contains at least one boundary point. Let us assume that  $a \in \Omega_n^-$  (the case  $b \in \Omega_n^-$  is similar). We have  $(a, c_n) \subset \Omega_n^-$ , where  $c_n$  is the first zero of  $u^n$ , and Theorem 2.1 gives

$$\frac{1}{(c_n - a)^{p-1} \int_a^{c_n} r^+(x) dx} \leq \mu^n.$$

Clearly, if  $|\Omega_n^-| \rightarrow 0$ , then  $\mu^n$  goes to infinity, which contradicts the fact that  $\mu^n \rightarrow \mu_1^+$ .  $\square$

Since  $\mu_1^+$  is isolated and there exist other eigenvalues, it makes sense to define the second eigenvalue  $\mu_2$  of problem (2) as:

$$\mu_2 = \min\{\mu \in \mathbb{R} : \mu > \mu_1^+ \text{ and } \mu \text{ is an eigenvalue}\}.$$

**PROPOSITION 2.6.** *The eigenvalue  $\mu_2$  coincides with the second variational eigenvalue  $\mu_2^+$  given by the Lyusternik Schnirelman theory.*

*Proof.* Let  $u$  be an eigenfunction of  $\mu_2$ . Since  $u$  changes sign, let us define  $w_1 = k.u^+$  and  $w_2 = h.u^-$ , where we choose  $k, h \in \mathbb{R}$  such that  $\int_a^c r|w_1|^p = \int_c^b r|w_2|^p = 1$ . The set

$$F_2 = \{s.w_1 + t.w_2 : s, t \in \mathbb{R}, \int_a^b r|s.w_1 + t.w_2|^p = 1\}$$

satisfy  $\gamma(F_2) \geq 2$ , since they are linearly independent, and is an admissible set in the variational characterization of  $\mu_2^\dagger$ . Moreover,

$$\int_a^b r|s.w_1 + t.w_2|^p = s^p \int_a^b r|w_1|^p + t^p \int_a^b r|w_2|^p,$$

which gives  $|s|^p + |t|^p = 1$ .

Hence, from (8), we have

$$\mu_2^\dagger = \inf_{F \in C_2^{(a,b)}} \sup_{u \in F} \int_a^b |u'|^p \leq \sup_{u \in F} \int_a^b |u'|^p \leq (|s|^p + |t|^p)\mu_2 = \mu_2$$

and the other inequality follows from the definition of  $\mu_2$ .  $\square$

**PROPOSITION 2.7.** *Any eigenfunction corresponding to  $\mu_2$  has only one zero.*

*Proof.* Let  $c$  be the first zero in  $(a, b)$  of an eigenfunction  $u_2$  corresponding to  $\mu_2$ . The first eigenfunction  $v$  of problem

$$\begin{cases} -(|v'|^{p-2}v')' = \lambda r|v|^{p-2}v \\ v(c) = v'(b) = 0, \end{cases}$$

is of one sign and is simple (the proof follows by using the Picone's identity, as in [4]). Since the restriction of  $u_2$  to  $(c, b)$  is a solution with  $\lambda = \mu_2$ , the first eigenvalue  $\lambda_1$  satisfies  $\lambda_1 \leq \mu_2$ . If  $\lambda_1 = \mu_2$ , then  $v = u_2$ , and in this case,  $u_2$  has no zeros in  $(c, b)$ .

We define  $w_1 = k.u_2$  in  $(a, c)$  and zero in  $(c, b)$ , and  $w_2 = h.v$  in  $(c, b)$  and zero in  $(a, c)$ , with  $k, h \in \mathbb{R}$  such that  $\int_a^c r|w_1|^p = \int_c^b r|w_2|^p = 1$ . As before, the set

$$F_2 = \{s.w_1 + t.w_2 : s, t \in \mathbb{R}, |s|^p + |t|^p = 1\}$$

satisfies  $\gamma(F_2) \geq 2$ , and is an admissible set in the variational characterization of  $\mu_2$ . Hence, from (8), we have

$$\begin{aligned} \mu_2 &= \inf_{F \in C_2^{(a,b)}} \sup_{u \in F} \int_a^b |u'|^p \\ &\leq \sup_{u \in F} \int_a^c |u'|^p + \sup_{u \in F} \int_c^b |u'|^p \\ &\leq s^p \mu_2 + t^p \lambda \end{aligned}$$



Now, if  $u_2$  has another zero,  $\lambda < \mu_2$ , which gives the contradiction:

$$\mu_2 \leq s^p \mu_2 + t^p \lambda < (s^p + t^p) \mu_2 = \mu_2,$$

and the proof is finished. □

We prove now Theorem 1.2.

*Proof of Theorem 1.2:* Let  $u_2$  be an eigenfunction of  $\mu_2$ , which has only one zero in  $(a, b)$ . The Lyapunov inequality of Corollary (2.2) with  $s(x) = \mu_2 r(x)$  gives the desired result. □

In order to prove the optimality of the lower bound (3), we need the following results for the one dimensional Steklov eigenvalue problem:

LEMMA 2.8. *Let  $\tau_1 = 0$  be the first eigenvalue of the Steklov problem*

$$\begin{cases} -(|v'(x)|^{p-2}v'(x))' &= 0 \\ -|v'(a)|^{p-2}v'(a) &= \tau|v(a)|^{p-2}v(a) \\ |v'(b)|^{p-2}v'(b) &= \tau|v(b)|^{p-2}v(b) \end{cases} \quad (10)$$

*Then,  $\tau_1 = 0$  is the unique eigenvalue with a positive associated eigenfunction, which is simple and isolated. The second eigenvalue and the corresponding eigenfunction are given by*

$$\tau_2 = \frac{2^{p-1}}{(b-a)^{p-1}}, \quad \text{and} \quad v_2 = x - \frac{b+a}{2}. \quad (11)$$

The one dimensional case could be solved explicitly by integrating equation (10). The general case for the Steklov eigenvalue problem in  $\Omega \subset \mathbb{R}^n$ ,

$$\begin{cases} -div(|\nabla u|^{p-2}\nabla u) &= 0 & \text{in } \Omega \\ |\nabla u|^{p-2}\frac{\partial u}{\partial \eta} &= \tau V(x)|u|^{p-2}u & \text{on } \partial\Omega \end{cases}$$

was considered in [9], where the proof of the simplicity and isolation of  $\tau_1$  could be found. The eigenvalues are characterized variationally as

$$\tau_k(\Omega) = \inf_{F \in C_k^\Omega} \sup_{u \in F} \int_{\Omega} |u'|^p \quad (12)$$

where

$$\begin{aligned} C_k^\Omega &= \{C \subset M^\Omega : C \text{ compact}, C = -C, \gamma(C) \geq k\}, \\ M^\Omega &= \{u \in W^{1,p}(\Omega) : \int_{\partial\Omega} V|u|^p = 1\}. \end{aligned}$$

For the one dimensional case, we have  $M^{(a,b)} = \{u \in W^{1,p}(a,b) : |u(a)|^p + |u(b)|^p = 1\}$ .

We prove now the optimality the lower bound (3).

*Proof of Theorem 1.3:* Let  $\varepsilon > 0$ . We introduce the weights functions  $r_\varepsilon$ ,

$$r_\varepsilon = \begin{cases} \frac{m}{2\varepsilon} & \text{for } x \in [a, a + \varepsilon] \\ 0 & \text{for } x \in [a + \varepsilon, b - \varepsilon] \\ \frac{m}{2\varepsilon} & \text{for } x \in [b - \varepsilon, b] \end{cases}$$

and we consider the set  $C_2 = \text{span}\{w_1, w_2\} \cap \{u \in W^{1,p}(a,b) : \int_a^b r_\varepsilon |u|^p = 1\}$ , where

$$w_1 = \begin{cases} x - \frac{b+a}{2} & x \in [a, \frac{b+a}{2}] \\ 0 & x \in [\frac{b+a}{2}, b] \end{cases}, \quad w_2 = \begin{cases} 0 & x \in [a, \frac{b+a}{2}] \\ x - \frac{b+a}{2} & x \in [\frac{b+a}{2}, b] \end{cases}$$

which is admissible in the characterization (8) of the second variational Neumann eigenvalue. Let  $w = sw_1 + tw_2 \in C_2$ . We have:

$$1 = s^p \int_a^\varepsilon \frac{m}{2\varepsilon} |w_1|^p + t^p \int_\varepsilon^b \frac{m}{2\varepsilon} |w_2|^p = \frac{s^p + t^p}{2} \int_a^b r_\varepsilon \left| x - \frac{b+a}{2} \right|^p,$$

since  $\int_a^\varepsilon |w_1|^p = \int_\varepsilon^b |w_2|^p$ . Now,

$$\int_a^b |w'|^p = s^p \int_a^{\frac{b+a}{2}} |w_1'|^p + t^p \int_{\frac{b+a}{2}}^b |w_2'|^p = \frac{b-a}{2} (s^p + t^p),$$

and replacing  $s^p + t^p$ , we obtain

$$\int_a^b |w'|^p = \frac{(b-a)}{\int_a^b r_\varepsilon \left| x - \frac{b+a}{2} \right|^p} = \frac{(b-a)}{\int_a^b r_\varepsilon |v_2|^p},$$

where  $v_2 = x - \frac{b+a}{2}$  is the second Steklov eigenfunction. Hence,

$$\mu_{2,\varepsilon} = \inf_{F \in C_2^{(a,b)}} \sup_{u \in F} \int_a^b |u'|^p \leq \frac{(b-a)}{\int_a^b r_\varepsilon |v_2|^p} \quad (13)$$

Let  $\delta_c(x)$  be the delta function at  $c$ . We have

$$\int_a^b r_\varepsilon(x)|v_2|^p \rightarrow \frac{m}{2} \int_a^b (\delta_a(x) + \delta_b(x))|v_2|^p dx$$

as  $\varepsilon \rightarrow 0^+$ . Here,

$$\int_a^b (\delta_a(x) + \delta_b(x))|v_2|^p dx = |v_2(a)|^p + |v_2(b)|^p = 2 \left( \frac{b-a}{2} \right)^p.$$

Now, since Theorem 1.2 gives  $\frac{2^p}{m(b-a)^{p-1}} \leq \mu_{2,\varepsilon}$ , we obtain as  $\varepsilon \rightarrow 0^+$  in equation (13),

$$\mu_{2,\varepsilon} \rightarrow \frac{2^p}{m(b-a)^{p-1}},$$

which gives the optimality of the lower bound. □

REMARK 2.9. *Let us note that the characterizations of the second Neumann and Steklov variational eigenvalues (8) and (12) coincides for the singular weight  $r$  in  $[a, b]$  given by*

$$r = \frac{m}{2}\delta_a(x) + \frac{m}{2}\delta_b(x),$$

since replacing  $v$  by  $u_2$  in equation (6) we obtain

$$\begin{aligned} \int_a^b |u_2'|^p &= \mu_2^+ \int_a^b \frac{m}{2}(\delta_a(x) + \delta_b(x))|u_2|^p \\ &= \mu_2^+ \frac{m}{2}(|u_2(a)|^p + |u_2(b)|^p) \end{aligned}$$

Finally, we consider the higher Neumann eigenvalues, obtained by the Lyusternik Schnirelman method or not. We have:

THEOREM 2.10. *Let  $\tilde{\mu}_k$  be a positive eigenvalue of Problem (2) such that the associate eigenfunction has  $k - 1$  zeros in  $(a, b)$ . Then,*

$$\frac{2^p(k-1)^p}{(b-a)^{p-1} \int_a^b r(x)dx} \leq \tilde{\mu}_k.$$

*Proof.* Let  $u_k$  be an associate eigenfunction to  $\tilde{\mu}_k$ . Let  $x_1 < x_2 < \dots < x_{k-1}$  be the zeros of  $u_k$  in  $(a, b)$ . Let  $c_j$  be a maximum of  $|u_k(x)|$  in  $(x_j, x_{j+1})$ ,  $c_0 = a$  and  $c_{k-1} = b$ . We apply the Lyapunov inequality in each interval  $(c_{j-1}, c_j)$ ,  $1 \leq j \leq k-1$ , which gives

$$\sum_{j=1}^{k-1} \frac{2^p}{(c_j - c_{j-1})^{p-1}} \leq \tilde{\mu}_k \sum_{j=1}^{k-1} \left( \int_{c_{j-1}}^{c_j} r^+(x) dx \right) \leq \tilde{\mu}_k \int_a^b r^+(x) dx.$$

Now, the sum on the left hand side is minimized when all the summands coincides, which gives the lower bound,

$$2^p(k-1) \left( \frac{k-1}{b-a} \right)^{p-1} \leq \tilde{\mu}_k \int_a^b r^+(x) dx,$$

which completes the proof.  $\square$

### 3. The Fučík eigenvalues

In this section we consider the Fučík eigenvalue problem (1),

$$\begin{cases} -(|u'(x)|^{p-2}u'(x))' = r(x)(\alpha|u(x)|^{p-2}u^+(x) - \beta|u(x)|^{p-2}u^-(x)) \\ u'(a) = u'(b) = 0 \end{cases}$$

where  $r(x) \in L^\infty$  is a positive integrable function. Let us recall Theorem 1.1:

**THEOREM 1.1.** *Let  $\int_a^b r(x) = m$ . There exist an hyperbolic type curve  $y = f(x)$  such that  $\beta \geq f(\alpha)$  for every Fučík eigenvalue  $(\alpha, \beta) \in \Gamma_1$  of Problem (1). Moreover,*

$$\lim_{\alpha \rightarrow \infty} f(\alpha) = \frac{1}{m(b-a)^{p-1}}.$$

*Proof.* Let us suppose that  $(\alpha, \beta)$  is a Fučík eigenvalue of Problem (1) such that the associate eigenfunction  $u$  has only one zero  $c$  in  $(a, b)$ . Hence, by using Theorem 2.1, we have:

$$\alpha \geq \frac{1}{(c-a)^{p-1} \int_a^c r(x) dx} \quad (14)$$

$$\beta \geq \frac{1}{(b-c)^{p-1} \int_c^b r(x) dx} \tag{15}$$

From Equation (14), we have

$$\int_c^b r(x) dx = m - \int_a^c r(x) dx \leq m - \frac{1}{\alpha(c-a)^{p-1}}$$

and replacing in Equation (15) gives

$$\beta \geq \frac{1}{m(b-c)^{p-1}} \frac{\alpha(c-a)^{p-1}}{\alpha(c-a)^{p-1} - 1/m}.$$

Equivalently,

$$\beta \geq \frac{1}{m(b-c)^{p-1}} \left( 1 + \frac{1}{m\alpha(c-a)^{p-1} - 1} \right). \tag{16}$$

We define the function

$$f(x) = \frac{1}{m(b-a)^{p-1}} \left( 1 + \frac{1}{m(b-a)^{p-1}x - 1} \right)$$

and the theorem is proved. □

**COROLLARY 3.1.** *All the non-trivial Fučik eigenvalues for the Neumann boundary condition are contained in the region:*

$$\left\{ (\alpha, \beta) \in \mathbb{R}^2 : \beta \geq \frac{1}{m(b-a)^{p-1}} \left( 1 + \frac{1}{m(b-a)^{p-1}\alpha - 1} \right) \right\} \tag{17}$$

**REMARK 3.2.** *Let us note that Equation (16) gives also a lower bound for the location of the zero  $c$ , for a given Fučik eigenvalue  $(\alpha, \beta)$ . In fact, the inequality shows that  $c$  cannot be too close to  $a$  or  $b$ . We have*

$$\lim_{c \rightarrow a^+} \left[ \frac{1}{m(b-c)^{p-1}} \left( 1 + \frac{1}{m\alpha(c-a)^{p-1} - 1} \right) \right] = \infty$$

*and the same is valid when  $c \rightarrow b^-$ .*

Our next result shows that Inequalities (14) and (15) are sharp.

**THEOREM 3.3.** *Let  $c \in (a, b)$  be fixed. Let  $\varepsilon > 0$ . Then, there exist a weight  $r_{\alpha\beta,\varepsilon}(x)$  with  $\int_a^b r_{\alpha\beta,\varepsilon}(x) = m$  such that  $(\alpha, \beta) \in \Sigma^*$  and*

$$\alpha - \frac{1}{(c-a)^{p-1} \int_a^c r_{\alpha\beta,\varepsilon}(x) dx} \leq \varepsilon \quad (18)$$

$$\beta - \frac{1}{(b-c)^{p-1} \int_c^b r_{\alpha\beta,\varepsilon}(x) dx} \leq \varepsilon. \quad (19)$$

Also, the region (17) cannot be improved when  $\alpha \rightarrow \infty$ .

We omit the proof, which is similar to the one given in Theorem 1.3. Indeed, from suitable approximations of

$$r_{\alpha\beta}(x) = t\delta_a(x) + (m-t)\delta_b(x),$$

with  $0 < t < m$ , we obtain the optimality of Inequalities (14), (15).

**REMARK 3.4.** *Corollary 3.1 gives the isolation of the trivial lines, and an uniform estimate of the gap at infinity for positive weights with fixed integral  $m$ .*

Let us note that the inequality

$$\beta \geq \frac{1}{m(b-a)^{p-1}}$$

obtained when  $\alpha \rightarrow \infty$  cannot be improved, being the optimal lower bound of the first eigenvalue of the mixed problem

$$\begin{cases} -(|u|^{p-2}u)' = \mu r |u|^{p-2}u \\ u(a) = u(b) = 0 \end{cases}$$

which follows immediately from Lemma 2.1.

We consider the extension of Theorem 1.1 to indefinite weights. When  $r(x)$  changes sign, the Fučík eigenvalue problem for the  $p$ -laplacian was considered in [1, 2]. In the quadrants  $\mathbb{R}^+ \times \mathbb{R}^+$  and  $\mathbb{R}^- \times \mathbb{R}^-$  there exist two families of curves in  $\Sigma$ . In the quadrants  $\mathbb{R}^+ \times \mathbb{R}^-$  and  $\mathbb{R}^- \times \mathbb{R}^+$ , the number of curves can be finite, and depends on the number of sign changes of  $r(x)$ .

Let  $\int_a^b r^+(x) = m^+$ ,  $\int_a^b r^-(x) = m^-$  and  $\int_a^b |r(x)| = m$ . We are thus led to the following strengthening of Theorem 1.1:

**THEOREM 3.5.** *Let  $(\alpha, \beta) \in \Sigma^*$ ,  $m^+ > 0, m^- > 0$ , and  $\alpha > 0$ .  
 (i) If  $\beta > 0$ , then there exists a curve  $y = f^+(x)$ ,*

$$f^+(x) = \frac{1}{m^+(b-a)^{p-1}} \left( 1 + \frac{1}{m^+(b-a)^{p-1}x + 1} \right),$$

*such that  $\beta \geq f^+(\alpha)$ .*

*(ii) If  $\beta < 0$ , then there exists a curve  $y = f^\pm(x)$ ,*

$$f^\pm(x) = \frac{-1}{m(b-a)^{p-1}} \left( 1 + \frac{1}{m(b-a)^{p-1}x - 1} \right),$$

*such that  $\beta \leq f^\pm(\alpha)$ .*

*Proof.* The proof of Theorem 1.1 could be easily adapted to this case, taking  $r^+(x)$  for part (i).

For the part (ii), in quadrant  $\mathbb{R}^+ \times \mathbb{R}^-$  we obtain it from the inequalities given by Theorem 2.1, replacing the intervals of positivity and negativity by  $(a, b)$ :

$$\alpha \geq \frac{1}{(b-a)^{p-1}m^+} \quad \text{and} \quad \beta \leq \frac{-1}{(b-a)^{p-1}m^-},$$

since

$$\frac{1}{(b-a)^{p-1}|\beta|} \leq m^- = m - m^+ \leq m - \frac{1}{(b-a)^{p-1}\alpha},$$

which gives,

$$\beta \leq \frac{-\alpha}{m(b-a)^{p-1}\alpha - 1} = \frac{-1}{m(b-a)^{p-1}} \left( 1 + \frac{1}{m(b-a)^{p-1}\alpha - 1} \right),$$

and the proof is finished.  $\square$

**REMARK 3.6.** *In much the same way, for  $\alpha < 0$  we deduce the existence of two curves  $f^-(x), f^\mp(x)$  by considering the weight  $-r(x)$ .*

**REMARK 3.7.** *It is possible to obtain curves defining regions which contains the eigenvalues corresponding to eigenfunctions with a prescribed number of nodal domains, by using the lower bounds in Theorem 2.10.*

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