



## Ocean carbon from space: Current status and priorities for the next decade

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## ARTICLE INFO

## Keywords:

Ocean

Carbon cycle

Satellite

Remote sensing

## ABSTRACT

The ocean plays a central role in modulating the Earth's carbon cycle. Monitoring how the ocean carbon cycle is changing is fundamental to managing climate change. Satellite remote sensing is currently our best tool for viewing the ocean surface globally and systematically, at high spatial and temporal resolutions, and the past few decades have seen an exponential growth in studies utilising satellite data for ocean carbon research. Satellite-based observations must be combined with *in-situ* observations and models, to obtain a comprehensive view of ocean carbon pools and fluxes. To help prioritise future research in this area, a workshop was organised that assembled leading experts working on the topic, from around the world, including remote-sensing scientists, field scientists and modellers, with the goal to articulate a collective view of the current status of ocean carbon research, identify gaps in knowledge, and formulate a scientific roadmap for the next decade, with an emphasis on evaluating where satellite remote sensing may contribute. A total of 449 scientists and stakeholders participated (with balanced gender representation), from North and South America, Europe, Asia, Africa, and Oceania. Sessions targeted both inorganic and organic pools of carbon in the ocean, in both dissolved and particulate form, as well as major fluxes of carbon between reservoirs (e.g., primary production) and at interfaces (e.g., air-sea and land-ocean). Extreme events, blue carbon and carbon budgeting were also key topics discussed. Emerging priorities identified include: expanding the networks and quality of *in-situ* observations; improved satellite retrievals; improved uncertainty quantification; improved understanding of vertical distributions; integration with models; improved techniques to bridge spatial and temporal scales of the different data sources; and improved fundamental understanding of the ocean carbon cycle, and of the interactions among pools of carbon and light. We also report on priorities for the specific pools and fluxes studied, and highlight issues and concerns that arose during discussions, such as the need to consider the environmental impact of satellites or space activities; the role satellites can play in monitoring ocean carbon dioxide removal approaches; economic valuation of the satellite based information; to consider how satellites can contribute to monitoring cycles of other important climatically-relevant compounds and elements; to promote diversity and inclusivity in ocean carbon research; to bring together communities working on different aspects of planetary carbon; maximising use of international bodies; to follow an open science approach; to explore new and innovative ways to remotely monitor ocean carbon; and to harness quantum computing. Overall, this paper provides a comprehensive scientific roadmap for the next decade on how satellite remote sensing could help monitor the ocean carbon cycle, and its links to the other domains, such as terrestrial and atmosphere.

## 1. Introduction

The element carbon plays a fundamental role in life on Earth. Owing to its ability to bond with other atoms, carbon allows for variability in the configuration and function of biomolecules such as deoxyribonucleic acid (DNA) and ribonucleic acid (RNA) that control the growth and replication of organisms. Carbon is constantly flowing through every sphere on the planet, the geosphere, atmosphere, biosphere, cryosphere, and hydrosphere, in liquid, solid or gaseous form. This flow of carbon is referred to as the Earth's carbon cycle. It comprises of diverse chemical species, organic and inorganic, and many processes responsible for transformations and flow of carbon among the different reservoirs. Although the total amount of carbon on Earth is relatively constant over geological time, the carbon content of the component spheres and reservoirs can change, with profound consequences for the climate of the planet. Since the establishment of the industrial revolution at the start of the 19<sup>th</sup> century, humans have been increasing the carbon content of the

atmosphere through the burning of fossil fuels and land use changes, trapping outgoing long-wave radiation in the lower atmosphere and increasing the temperature of the planet.

This anthropogenic increase in atmospheric carbon (in the gaseous form of CO<sub>2</sub>) has three principal fates: it can remain in the atmosphere, be absorbed by the ocean, or be absorbed by vegetation on land. Estimates for the year 2020 suggest that just under half of the anthropogenic CO<sub>2</sub> emissions currently released ( $10.2 \pm 0.8 \text{ GtCyr}^{-1}$ ) remain in the atmosphere ( $5.0 \pm 0.2 \text{ GtCyr}^{-1}$ ), with just over a quarter being absorbed by the land ( $2.9 \pm 1.0 \text{ GtCyr}^{-1}$ ) and by the ocean ( $3.0 \pm 0.4 \text{ GtCyr}^{-1}$ ) (Hauck et al., 2020; Friedlingstein et al., 2022). Our ocean therefore plays a major role in regulating climate change. Understanding what controls the trends and variability in the ocean carbon sink is consequently a major question in Earth Sciences. Recent work from the Global Carbon project suggests estimates of this sink from models (by which we mean to be 3-D, prognostic, process-based models) are not in good agreement with observational-based evidence (Friedlingstein

et al., 2022). Never has it been so urgent to improve our understanding of the ocean carbon cycle.

Monitoring the ocean carbon cycle is key to improved understanding. Historically, ocean carbon cycle reservoirs and fluxes were monitored using *in-situ* methods, collecting data from ship-based platforms (dedicated research cruises and ships of opportunity), moorings and time-series stations (Karl and Winn, 1991; Raitos et al., 2014; Bakker et al., 2016; Olsen et al., 2016). Since the 1970's satellite observations have been used (Gordon et al., 1980; Shutler et al., 2020; Brewin et al., 2021) and recent years have seen the expansion of ocean robotic platforms for monitoring ocean carbon cycles (Williams et al., 2015; Williams et al., 2017; Gray et al., 2018; Chai et al., 2020; Claustre et al., 2020; Claustre et al., 2021), both aiding the extrapolation of local *in-situ* measurements to global scale. Each of these platforms have advantages and disadvantages, and it is commonly accepted that an approach integrating data from all platforms is required. There is also a need to use coupled physical and biogeochemical modelling, with the *in-situ* and satellite data, to estimate the pools and fluxes of carbon that are difficult to measure otherwise, at the required temporal and spatial scales.

Satellites play a major role in our global carbon monitoring system. They are the only platforms capable of viewing our entire surface ocean and the air-sea boundary layer synoptically, at high temporal resolution. Consequently, the use of satellites in ocean carbon research has been expanding exponentially over the past 50 years (Fig. 1a). However, satellite instrumentation can only view the surface of the ocean (the actual depth the signal represents varies with wavelength and water composition), are constrained to operate in certain conditions (e.g., passive visible systems are limited to cloud-free conditions and low to moderate sun-zenith angles) and at certain spatial and temporal scales, and are limited to collecting information that can be contained in electromagnetic radiation. To make full use of satellite observations for ocean carbon monitoring the remote-sensing community needs to work closely with *in-situ* data experts, physical and biogeochemical modellers, Earth system scientists, climate scientists and marine policy experts.

With this in mind, the European Space Agency (ESA) with support from the US National Aeronautics and Space Administration (NASA), organised a virtual workshop called “Ocean Carbon from Space” in February 2022, building on a successful workshop organised in 2016 (Colour and Light in the ocean from Earth Observation; Sathyendranath et al., 2017a; Martinez-Vicente et al., 2020), and findings from a wide range of international initiatives (e.g., NASA EXport Processes in the Ocean from Remote Sensing (EXPORTS), ESA's Ocean Science Cluster, Climate Change Initiative (CCI), AMT4SentinelFRM, AMT4OceanSatFlux, AMT4CO2Flux, various European Commission Carbon Initiatives (e.g. Copernicus, such as the Ocean Colour Thematic Assembly Center (OC TAC) and the Multi Observations Thematic Assembly Center (MOB TAC)), the Surface Ocean Lower Atmosphere Study (SOLAS), the Blue Carbon Initiative, the Global Carbon Project, International Carbon Observing System<sup>1</sup>). The workshop was also part of the Committee on Earth Observation Satellites (CEOS) workplan on Aquatic Carbon (CEOS, 2021). The theme of the workshop was on ocean carbon, its pools and fluxes, its variability in space and time, and the understanding of its processes and interactions with the Earth system. The goal of the workshop was to bring leading experts together, including remote-sensing scientists, field scientists and modellers, to describe the current status of the field, and identify gaps in knowledge and priorities for research. In this paper, we synthesize and consolidate these discussions and produce a scientific roadmap for the next decade, with an emphasis on evaluating where and how satellite remote sensing can contribute to

<sup>1</sup> see <https://oceanexports.org/>; <https://eo4society.esa.int/communities/scientists/esa-ocean-science-cluster/>; <https://climate.esa.int/en/>; <https://amt4sentinelfrm.org/>; <https://amt4oceansatflux.org/>; <https://www.copernicus.eu/en/>; <https://www.thebluecarboninitiative.org/>; <https://www.globalcarbonproject.org/>; <https://www.icos-cp.eu/>; <https://www.solas-int.org/about/solas.html>

the monitoring of the ocean carbon cycle. With a growing human population that is dependent on the blue economy sectors (OECD, 2016), as well as climate, we envisage this roadmap will help guide future efforts to monitor ocean carbon from space.

## 2. Workshop details and approach to capture collective view of the status of the field

### 2.1. Ocean Carbon from Space Workshop

The “Ocean Carbon from Space Workshop” (<https://oceancarbonfromspace2022.esa.int/>) was organised by a committee of 15 international scientists, led by ESA within the framework of the Biological Pump and Carbon Exchange Processes (BICEP) project (<https://bicep-project.org>) with support from NASA. In addition to this organising committee, a scientific committee of 31 international experts on the topic of ocean carbon were assembled, who helped structure the sessions and review abstracts. These committees initially proposed a series of sessions, targeting 16 themes, covering: the pools of carbon in the ocean (including particulate organic carbon, phytoplankton carbon, particulate inorganic carbon, dissolved organic carbon, and carbon chemistry, including dissolved inorganic carbon); the main processes (including marine primary production, export production, air-sea exchanges, and land-sea exchanges); and crosscutting themes (including the underwater light field, uncertainty estimates, freshwater carbon, blue carbon, extreme events, tipping points and impacts on carbon, climate variability and change, and the ocean carbon budget).

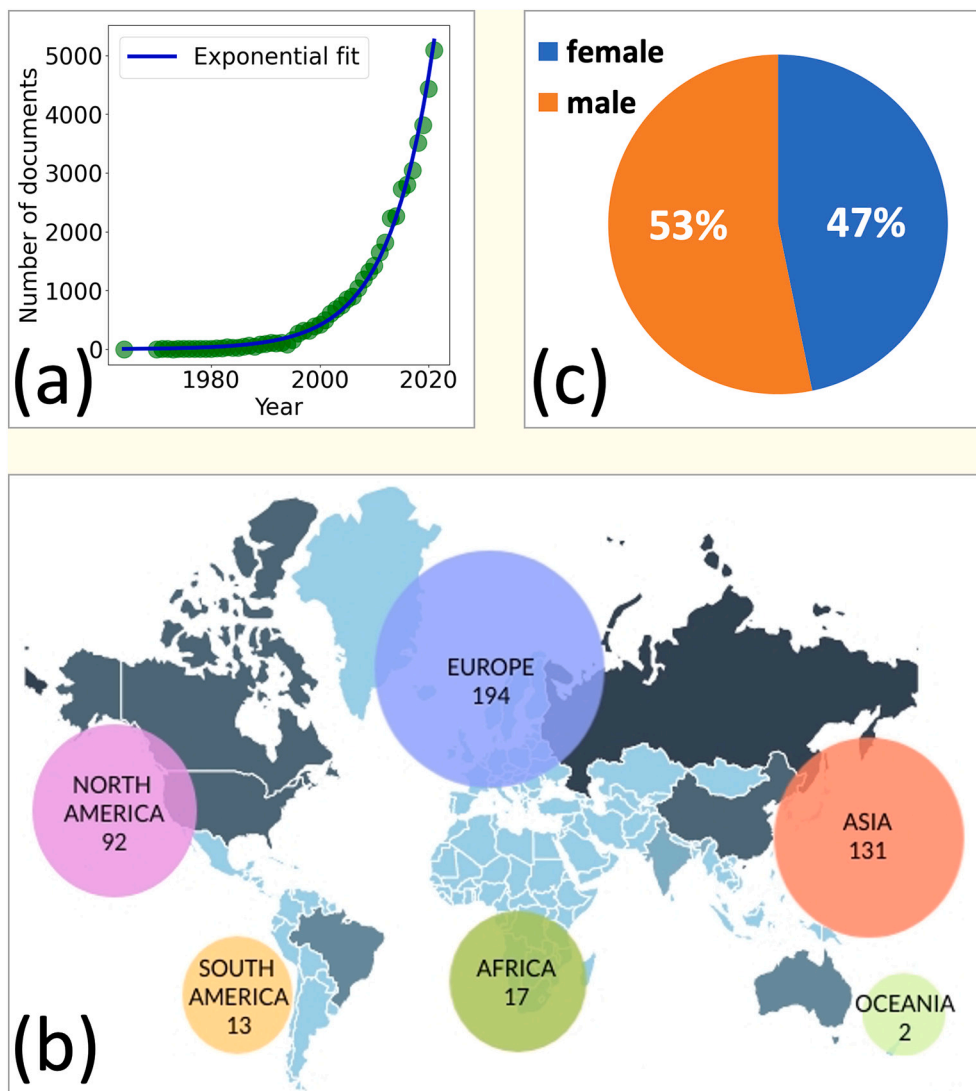
The workshop was widely advertised, through a variety of means, including: email distribution lists; through international bodies like the International Ocean Colour Coordinating Group (IOCCG) and SOLAS networks; space agencies; and through social media platforms. Scientists and stakeholders working in the field of ocean carbon were invited to submit abstracts to the 16 themes and to participate in the workshop. The organising committee also identified key experts in the field who were invited to give keynote presentations.

A total of 98 abstracts were submitted to the workshop, and based on the topics of these abstracts, the workshop was organised into six sessions combining various themes as needed, and covering:

- Primary Production (PP),
- Particulate Organic Carbon (POC),
- Phytoplankton Carbon (C-phyto),
- Dissolved Organic Carbon (DOC),
- Inorganic Carbon and fluxes at the ocean interface (IC),
- Cross-cutting themes with three sessions;
  - Blue Carbon (BC),
  - Extreme Events (EEs),
  - Carbon Budget Closure (CBC).

The organisation committee identified chairs for each session, and abstracts were reviewed by the organisation and scientific committees and assigned to oral or e-poster presentations. E-poster presentations were delivered through breakout rooms to help promote discussions. Each session included keynote speakers, oral presentations and importantly, time for discussing gaps in knowledge, priorities, and challenges. There were four poster sessions covering the six themes of the workshop. Participants were encouraged to upload their presentations or e-poster (under the form of a 1–3 slides presentation) prior to the conference start to facilitate knowledge exchange and prepare for workshop discussions.

The workshop took place from 14<sup>th</sup> to 18<sup>th</sup> February 2022, following the international day of women and girls in science. Due to COVID restrictions, an online format was preferred (using the Webex video conferencing software; <https://www.webex.com>), which resulted in a flexible schedule and programme designed to accommodate participants from different regions and time zones, and flexible working (e.g., childcare responsibilities). A total of 449 people from a wide geographical



spread (Fig. 1b) participated. Gender was not asked at registration for privacy concerns, but interpretation of registered participants suggested around 47% were female and 53% male (Fig. 1c; acknowledging not everyone identifies as female or male), reflecting an increasing participation of female scientists in ocean carbon science. Gender balance is important, as it has been shown that scientific research is more accurate when gender is considered, that research teams are more likely to come up with new ideas and perspectives, and that at present, men significantly outnumber women in the science, technology, engineering, and mathematics (STEM) workforce (Bert, 2018). Orcutt and Cetinić (2019) discuss gender balance in oceanography and provide ten useful recommendations on how we can progress towards better gender balance. More broadly, increased diversity promotes innovation, productivity, critical thinking, creativity, communication, social justice and sustainability (Phillips, 2014; Johri et al., 2021). Given the importance of improving diversity in Earth Sciences, particularly in oceanography where problems have persisted (Garza, 2021), more members of under-represented groups are needed in the study of the ocean carbon cycle. Efforts such as Unlearning Racism in Geosciences (URGE; <https://urgeo.science.medium.com/>) and public celebrations of diversity (e.g., Royal Society celebration of Black science, see <https://royalsociety.org/topics-policy/diversity-in-science/a-celebration-of-black-science/>) will help in this regard, but more effort is needed.

## 2.2. Tools and approaches to capture collective view

A series of tools and approaches were used to capture the collective view of the community and identify the major gaps, challenges, and priorities, that fed into this scientific roadmap.

Firstly, session chairs were asked to prepare statements on the main scientific challenges, gaps, and opportunities of their session theme, prior to the start of the conference. All presenters (e-poster and oral) were also asked to include one slide about knowledge gaps and priorities for next steps on their work over the next decade. These statements were then used by session chairs to help structure the discussion slot organised at the end of each session. A final discussion session was held at the end of the workshop, whereby all session chairs were asked to join a panel to identify overarching themes.

All sessions were recorded through Webex. Throughout the workshop, we used Padlet software (<https://en-gb.padlet.com>), a cloud-based, real-time collaborative web platform which allowed participants to interact and upload thoughts they had on the scientific challenges, gaps, and opportunities for each session, comment on those suggested by the chairs and other participants, all within virtual bulletin boards called "padlets". Following the closure of the workshop, session chairs were asked to provide a written synthesis of the main outcome of their sessions.

All scientific priorities, challenges, gaps and opportunities identified

and discussed during the workshop, were organised into:

- Session-specific themes,
- Common themes,
- Emerging concerns and broader thoughts.

For the reader wanting to focus on recommendations for the entire subject, we suggest you go to Section 5 and 6 of the paper. Table 1 provides an overview of the session-specific themes of the paper and a guide to navigate this scientific roadmap, and Table 2 provides a selection of recently launched and upcoming satellite missions with applications in ocean carbon research and monitoring.

### 3. Session-specific theme outcomes

In the following sections, we begin by providing a brief description of each session-specific theme, then briefly highlight the current state of the art, and finally focus on the identified priorities, scientific challenges, gaps, and opportunities, to be targeted over the next decade. We define these terms according to:

- **Priority** – Something that is considered very important and must be dealt with before other things,
- **Challenge** – Something that requires great effort to be achieved,
- **Gap** – Something lacking or missing and required to make progress,
- **Opportunity** – A situation that makes it possible to make progress.

#### 3.1. Primary production (PP)

Primary production (photosynthesis) channels energy from sunlight into ocean life, converting DIC, in the form of CO<sub>2</sub>, into phytoplankton tissue (e.g., C-phyto) that then fuels ocean food webs. In discussions about the role of phytoplankton in the carbon cycle, it is useful to consider the different components of PP. Carbon fixed through photosynthesis, before any loss terms are detected, is referred to as gross PP. When phytoplankton respiratory losses are subtracted from gross PP, we get net PP. When all the losses to PP required to meet the metabolic requirements of the entire community are taken away, then we are left with net community production. It is also common practice to partition PP into new production (i.e., PP driven by allochthonous nutrient input), and regenerated production (i.e., PP sustained by locally recycled nutrients), with the sum of the two yielding gross PP. It is often difficult, if not impossible, to match these exact theoretical and conceptual definitions with practical observations, because of the limitations of the tools available. But, when dealing with estimates of PP from carbon

incubation techniques, it is generally accepted that short incubations of about one hour are close to gross PP, whereas longer incubations of one day are close to net PP. If we adopt this operational definition, then PP calculations that are based on photosynthesis-irradiance experiments carried out over periods of one or two hours, are treated as gross PP (especially since these measurements are typically corrected for dark respiration measured during the experiment), and PP measurements that extend over a whole day (24 h) approach net PP.

On the other hand, the component of PP that can be estimated from bulk properties, such as nitrate and oxygen, is close to new production (Platt et al., 1992). It is also common in the literature to discuss export production, which is that component of PP that is transported below a particular depth horizon deep in the water column, and thereby removed from the oceanic mixed layer, and hence isolated from interactions with the atmosphere. Export production and new production are sometimes treated as being equivalent to each other, but in reality, the depth horizon used for computations of export production is relevant to discussions of time scales that are applicable, before the exported production, or the regenerated carbon and nutrients associated with that production, reappears at the surface. The deeper the depth horizon, the longer the time scale of isolation. The time scale associated with that component of export production that reaches the bottom of the water column and gets buried there, is of the order of millions of years.

Total net PP is approximately the same on land and in the ocean (~50 GtCyr<sup>-1</sup>; Longhurst et al., 1995; Field et al., 1998; Bar-On et al., 2018). By removing CO<sub>2</sub> from surrounding waters, PP lowers the ambient CO<sub>2</sub> concentration in surface waters, which can potentially lead to a drawdown of CO<sub>2</sub> from the atmosphere. In doing so, PP can influence climate. The magnitude of any climate effect of PP depends, however, on the fate of the phytoplankton produced through PP. Only when the reduction in surface ocean pCO<sub>2</sub> is maintained over time can it lead to a lasting drawdown of CO<sub>2</sub>. In practice, PP can only have a long-term impact on climate when its products are removed from surface waters through the ocean's organic carbon "pumps" (Volk and Hoffert, 1985; Boyd et al., 2019). The "biological pump", whereby organic material is transported to below the permanent thermocline is largely driven by "new" production (Dugdale and Goering, 1967), i.e., PP driven by allochthonous nutrient input (which is sensitive to stoichiometry and nutrient availability). To quantify the effect of ocean PP in global carbon cycling and, thereby, climate development, there is therefore a need to develop mechanisms to differentiate between total (gross) and new PP in the ocean (Brewin et al., 2021).

##### 3.1.1. State of the art in PP

Satellite algorithms of PP have a long-established history, dating back over 40-years, to the time when the first ocean colour satellite (the

**Table 1**  
Overview of the themes of the paper and guide to navigate the manuscript.

Theme	Acronym	Short description	Flux/Stock	Global Size/Rate	Section	Table
Primary Production	PP	Conversion of inorganic carbon (DIC) to organic carbon (POC) through the process of photosynthesis.	Flux	~50 GtCyr <sup>-1</sup>	3.1	3
Particulate Organic Carbon	POC	Organic carbon that is above >0.2µm in diameter.	Stock	2.3 ↔ 4.0 GtC	3.2	4
Phytoplankton Carbon	C-phyto	Organic carbon contained in phytoplankton	Stock	0.78 ↔ 1.0 GtC	3.3	5
Dissolved Organic Carbon	DOC	Organic carbon that is < 0.2µm in diameter.	Stock	~662 GtC	3.4	6
Inorganic carbon and fluxes at the ocean interface	IC	Consisting of dissolved inorganic carbon (DIC, IC < 0.2µm in diameter), particulate inorganic carbon (PIC, IC > 0.2µm in diameter), and air-sea flux of IC between ocean and atmosphere.	Stock (DIC,PIC), Flux (air-sea IC exchange)	DIC (~38,000 GtC), PIC (~0.03 GtC), air-to-sea net flux of anthropogenic CO <sub>2</sub> (~3.0 GtCyr <sup>-1</sup> )	3.5	7
Blue Carbon	BC	Carbon contained in tidal marshes, mangroves, macroalgae and seagrass beds.	Stock	10 ↔ 24 GtC	4.1	8
Extreme Events	EES	Events that occur in the upper or lower end of the range of historical measurements.	–	–	4.2	9
Carbon Budget Closure	CBC	How the stock of carbon in the ocean and elsewhere on the planet is partitioned.	Stock	~650,000,000 GtC (on Earth)	4.3	10

**Table 2**

A selection of recently launched or upcoming satellite missions with applications in ocean carbon research and monitoring.

Mission	Description & Reference	Pool/flux of carbon
Plankton, Aerosol, Cloud, ocean Ecosystem (PACE)	PACE will have a hyperspectral Ocean Color Instrument (OCI), measuring in the UV, visible, near infrared, and several shortwave infrared bands. It will also contain two multi-wavelength, multi-angle imaging polarimeters for improved quantification of atmospheric aerosols and ocean particles (Remer et al., 2019a; Remer et al., 2019b). PACE is scheduled to launch in 2024 ( <a href="https://pace.gsfc.nasa.gov">https://pace.gsfc.nasa.gov</a> ).	PP, POC, C-phyto, DOC, IC, BC, EEs
Geosynchronous Littoral Imaging and Monitoring Radiometer (GLIMR)	GLIMR is a geostationary and hyperspectral ocean colour satellite that will observe coastal oceans in the Gulf of Mexico, portions of the south-eastern US coastline, and the Amazon River plume. It will provide multiple observations (hourly), at around 300 m resolution across the UV-NIR range (340–1040 nm). GLIMR is expected to be launched in 2027 ( <a href="https://eosps.nasa.gov/missions/geosynchronous-littoral-imaging-and-monitoring-radiometer-evi-5">https://eosps.nasa.gov/missions/geosynchronous-littoral-imaging-and-monitoring-radiometer-evi-5</a> ).	PP, POC, C-phyto, DOC, IC, BC, EEs
Environmental Mapping and Analysis Program (EnMAP)	EnMAP is a German hyperspectral satellite mission measuring at high spatial resolution (30 m) from 420–1000 nm in the visible and near-infrared, and from 900 nm to 2450 nm in the shortwave infrared. It aims to monitor and characterise Earth's environment on a global scale. It was launched in April 2022 ( <a href="https://www.enmap.org">https://www.enmap.org</a> ).	PP, POC, C-phyto, DOC, IC, BC, EEs
Fluorescence Explorer (FLEX)	FLEX is a mission designed to accurately measure fluorescence, and provide global maps of vegetation fluorescence that reflect photosynthetic activity and plant health and stress, which is important for understanding of the global carbon cycle. FLEX is expected to be launched in 2025 ( <a href="https://earth.esa.int/eogateway/missions/flex">https://earth.esa.int/eogateway/missions/flex</a> ).	BC, EEs
Sentinel-4 (S-4)	S4 mission consists of an Ultraviolet-Visible-Near-Infrared (UVN) light imaging spectrometer instrument embarked to be onboard the Meteosat Third Generation Sounder (MTG-S) satellite. It will provide geostationary data over European waters and planned to be launched in 2023 ( <a href="https://sentinel.esa.int/web/sentinel/missions/sentinel-4">https://sentinel.esa.int/web/sentinel/missions/sentinel-4</a> ).	IC (air-sea gas interactions)
Sentinel-5 (S-5)	S5 mission consists of a hyperspectral spectrometer system operating in the UV, visible and shortwave-infrared range. Though focused	PP, POC, C-phyto, DOC, IC, EEs

**Table 2 (continued)**

Mission	Description & Reference	Pool/flux of carbon
	primarily on retrieving information on the composition of the atmosphere, it can retrieve information on ocean colour. Preliminary applications using the precursor mission (S-5p, launched in October 2017), has demonstrated retrieval of diffuse attenuation ( $K_d$ ) in the blue and UV regions. Owing to the hyperspectral nature of the instrument, it also has applications in deriving information on the composition of the phytoplankton in the ocean (e.g., Bracher et al., 2017) ( <a href="https://sentinel.esa.int/web/sentinel/missions/sentinel-5">https://sentinel.esa.int/web/sentinel/missions/sentinel-5</a> ).	
Copernicus Hyperspectral Imaging Mission for the Environment (CHIME)	CHIME will provide routine hyperspectral observations from the visible to shortwave infrared. The mission will complement Copernicus Sentinel-2 satellite for high resolution optical mapping. Planned to be launched in the second half of this decade ( <a href="https://www.esa.int/ESA_Multimedia/Images/2020/11/CHIME">https://www.esa.int/ESA_Multimedia/Images/2020/11/CHIME</a> ).	PP, POC, C-phyto, DOC, IC, BC, EEs
Earth Cloud, Aerosol and Radiation Explorer (EarthCARE)	EarthCARE will contain an atmospheric lidar, cloud profiling radar, a multi-spectral imager, and a broad-band radiometer, with the objective to allow scientists to study the relationship of clouds, aerosols, oceans and radiation. It is planned for launch in 2023 ( <a href="https://earth.esa.int/eogateway/missions/earthcare">https://earth.esa.int/eogateway/missions/earthcare</a> ).	PP, POC, C-phyto, DOC, IC, BC, EEs
Surface Water and Ocean Topography Mission (SWOT)	SWOT will contain a wide-swath altimeter that will collect data on ocean heights to study currents and eddies up to five times smaller than have been previously been detectable. It was launched on 16th December 2022 ( <a href="https://swot.jpl.nasa.gov/mission/overview/">https://swot.jpl.nasa.gov/mission/overview/</a> ).	IC, EEs
Satélite de Aplicaciones Basadas en la Información Ambiental del Mar (SABIA-Mar)	SABIA-Mar was conceived to observe water colour in the open ocean (global scenario, 800 m resolution) and coastal areas of South America (regional scenario, 200 m resolution) and provide information about primary productivity, carbon cycle, marine habitats and biodiversity, fisheries resources, water quality, coastal hazards, and land cover/land use. The satellite will carry two push-broom radiometers covering a 1496 km swath and measuring in 13 spectral bands from 412 to 1600 nm. SABIA-Mar is scheduled to be launched in 2024 ( <a href="https://www.argentina">https://www.argentina</a> ).	PP, POC, C-phyto, DOC, IC, BC, EEs

(continued on next page)

Table 2 (continued)

Mission	Description & Reference	Pool/flux of carbon
Surface Biology and Geology (SBG)	<p><a href="http://gob.ar/ciencia/conae/misioness-espaciales/sabia-mar">gob.ar/ciencia/conae/misioness-espaciales/sabia-mar</a>.            SBG is being designed to address, via visible to shortwave imaging spectroscopy, terrestrial and aquatic ecosystems and other elements of biodiversity, geology, volcanoes, the water cycle, and applied topics of social benefit. In the current architecture considered, the instrument payload will consist of a hyperspectral imager measuring at 30–45 m resolution in &gt; 200 spectral bands from 380 to 2250 nm and a thermal infrared imager measuring at 40–60 m resolution in &gt; 5 spectral bands from 3 to 5 and 8 to 12 microns, with revisit of 2–16 and 1–7 days, respectively. Launch is scheduled for 2026 (<a href="https://sbg.jpl.nasa.gov">https://sbg.jpl.nasa.gov</a>).</p>	PP, POC, C-phyto, DOC, IC, BC, EEs
MetOp-SG Multi-Viewing Multi-Channel Multi-Polarisation Imaging (3MI) instrument	<p>3MI is a passive optical radiometer with large swath (2200 km) dedicated primarily to aerosol characterization for applications in climate monitoring, atmospheric chemistry, and numerical weather prediction, but with ocean colour capability. It will provide multi-spectral (12 spectral bands from 410 to 2130 nm), multi-polarisation (+60 deg., 0 deg., and -0 deg.), and multi-angular (14 directions) views of a Earth target at 4 km resolution. The first MetOp-SG A-series satellite carrying 3MI will be launched in 2024, the second in 2031, and the third in 2038 (<a href="https://earth.esa.int/web/eoportalsatellite-missions/m/metop-sg">https://earth.esa.int/web/eoportalsatellite-missions/m/metop-sg</a>).</p>	PP, POC, C-phyto, DOC, IC, EEs

Coastal Zone Color Scanner, CZCS) became available (Smith et al., 1982; Platt and Herman, 1983). Some initial attempts were made to convert fields of chlorophyll-a directly into PP (Smith et al., 1982; Brown et al., 1985; Eppley et al., 1985; Lohrenz et al., 1988), before approaches based on first principles were established, utilising in addition to information on chlorophyll-a concentration, information on bulk and spectral light availability (now available through satellite Photosynthetically Available Radiation (PAR) products), on the response of the phytoplankton to the available light (parameters of the photosynthesis-irradiance curve), and environmental data such as day length (e.g., Platt et al., 1980; Platt and Herman, 1983; Platt et al., 1990; Platt and Sathyendranath, 1988; Sathyendranath and Platt, 1989). The first global estimates were computed in the mid-1990's (Longhurst et al., 1995; Antoine et al., 1996; Behrenfeld and Falkowski, 1997a), arriving at values of around 50 Gt C y<sup>-1</sup>, consistent with current estimates (Carr et al., 2006; Buitenhuis et al., 2013; Kulk et al., 2020; Kulk et al., 2021; Smyth et al., 2005). Whereas many of the modern techniques can differ in implementation, they have been shown to conform to the same basic formulation, with the same set of parameters (Sathyendranath and Platt, 2007), with some going beyond total PP, and partitioning it into different phytoplankton size-classes (e.g., Uitz et al., 2010; Uitz et al.,

2012; Brewin et al., 2017b). For a review of these approaches, the reader is referred to the classical works of Platt and Sathyendranath (1993), that of Behrenfeld and Falkowski (1997b), Sathyendranath and Platt (2007), Sathyendranath et al. (2020), Section 4.2.1. of Brewin et al. (2021), and the recent review of Westberry et al. (2023). For a review of operational satellite radiation products for ocean biology and biogeochemistry and a roadmap for improving existing products and developing new products, see Frouin et al. (2018). The reader is also referred to the huge efforts made by NASA over the past 20 years to evaluate and improve these satellite algorithms (Campbell et al., 2002; Carr et al., 2006; Friedrichs et al., 2009; Saba et al., 2010; Saba et al., 2011; Lee et al., 2015). The process of evaluating remote sensing algorithms with *in-situ* data is frequently referred to as “validation” in the remote sensing community. NASA PP validation activities have highlighted variations in model performance with region and season (root mean square deviations of between 0.2 to 0.5 in log<sub>10</sub> space, when compared with *in-situ* data), illustrating the importance of minimising the uncertainties in model inputs and parameters, and in knowing the uncertainties in the *in-situ* measurements used for validation.

Following presentations and discussions on PP at the workshop, five key priorities were identified. These are summarised in Table 3 and include: 1) parametrisation of satellite algorithms using *in-situ* data; 2) uncertainty estimation of satellite algorithms and validation; 3) linking surface satellite measurements to the vertical distribution; 4) trends; and 5) fundamental understanding.

### 3.1.2. PP priority 1: Parametrisation of satellite algorithms using *in-situ* data

**Challenges:** Considering that most satellite PP models conform to the same principles (Sathyendranath and Platt, 2007), a major challenge to the research community is to improve our understanding of the spatial and temporal variability in the model parameters, which will be key to improving accuracy of satellite PP models (Platt et al., 1992b). The continuation of existing sampling campaigns and expansion to under-represented regions, is subject to financial support for *in-situ* observations, particularly ship-based research cruises, considering that many PP measurements require specialised equipment, not suitable for automation. Given the declining fleet of research vessels in many regions (e.g., Kintisch, 2013), new solutions are needed, with sustained funding.

Another challenge is that *in-situ* data on PP and model parameters are often collected in a non-standardised way, with differing conversion factors and protocols, and differing ancillary measurements, with limited information on the light environment, for both the experimental set-ups as well as the *in-situ* data (Platt et al., 2017). There are many ways PP can be measured (see Sathyendranath et al., 2019b; Church et al., 2019; IOCCG Protocol Series, 2022), and to convert among methods is not straight-forward, especially considering methods measure different types of PP (gross, net and new), though some studies have shown promise in this regard (e.g., Regaudie-de Gioux et al., 2014; Kovač et al., 2016; Kovač et al., 2017; Mattei and Scardi, 2021). There is a clear challenge to develop better protocols and standards for PP data collection. Recent efforts by the IOCCG have made some progress (IOCCG Protocol Series, 2022).

A further challenge with developing and validating satellite algorithms stems from the fact that PP (a time varying rate) is estimated from an instant satellite snapshot in time. The time variability of PAR, biomass and the possible variability in photosynthetic parameters must be modelled. Meanwhile these all have diurnal variability. As a result of many of these challenges, satellite PP algorithms do not always agree with one another (Siegel et al., 2023).

**Gaps:** Although large efforts have been made in recent years to compile global *in-situ* datasets of the parameters of the photosynthesis-irradiance curve (e.g., Richardson et al., 2016; Bouman et al., 2018), relatively few measurements of photosynthesis-irradiance curve parameters exists globally, with many regions (e.g., Indian Ocean, Southern Ocean and central Pacific) being under-represented (Kulk et al.,

**Table 3**  
Priorities, challenges, gaps and opportunities for satellite estimates of primary production (PP).

Priority	Challenges	Gaps	Opportunities
(1) Parametrisation of satellite algorithms using <i>in-situ</i> data	<ul style="list-style-type: none"> <li>Representing the spatial and temporal variability of model parameters.</li> <li>Continued financial support for <i>in-situ</i> observations.</li> <li>Standard conversion factors and measurement protocols.</li> <li>Diurnal variability in parameters and variables assumed (modelled).</li> </ul>	<ul style="list-style-type: none"> <li>Spatial and temporal gaps in PP parameters.</li> <li>Lack of continuous measurements.</li> <li>Better coordination at international level required.</li> <li>Spatial biases in estuarine/coastal <i>in-situ</i> PP data.</li> </ul>	<ul style="list-style-type: none"> <li>Active fluorescence-based methods and oxygen optode sensors on novel <i>in-situ</i> platforms.</li> <li>Synergy across <i>in-situ</i> data sources (multi-platform sensors).</li> <li>Use of artificial intelligence techniques for mapping model parameters.</li> <li>Commercial partnerships and technological innovation of <i>in-situ</i> sensors and platforms.</li> <li>Exploit geostationary platforms to resolve diurnal variability in light and biomass.</li> <li>Formulate priorities for funding (long-term time series, novel measurements).</li> </ul>
(2) Uncertainty estimation and validation	<ul style="list-style-type: none"> <li>Validation of satellite-based primary production estimates is challenging.</li> </ul>	<ul style="list-style-type: none"> <li>Uncertainty estimates satellite-based products are not readily provided.</li> <li>Gaps in <i>in-situ</i> data for validation.</li> <li>Gaps in our understanding of uncertainty in key input variables and parameters.</li> <li>Data gaps in satellite observations.</li> <li>Lack of high spatial-temporal vertical <i>in-situ</i> data</li> </ul>	<ul style="list-style-type: none"> <li>Enhanced computational capacity to run models for uncertainty estimation.</li> <li>Use of emerging (hyperspectral, geostationary, lidar) sensors.</li> <li>Validation opportunities with autonomous platforms.</li> </ul>
(3) Linking surface satellite measurements to vertical distribution	<ul style="list-style-type: none"> <li>Resolving vertical structure of PP, Chl-a, and PAR.</li> </ul>	<ul style="list-style-type: none"> <li>Need for better physical products (e.g., mixed-layer depth) with uncertainties.</li> </ul>	<ul style="list-style-type: none"> <li>Improve (basic) understanding of vertical structure.</li> </ul>
(4) Trends	<ul style="list-style-type: none"> <li>Difficulty in assessing direction of change in trends of PP.</li> <li>Dealing with noise in non-linear systems.</li> </ul>	<ul style="list-style-type: none"> <li>Uncertainty estimates of satellite-based PP are not provided currently.</li> <li>Length of satellite record not sufficient for climate change studies.</li> </ul>	<ul style="list-style-type: none"> <li>Benefit from future satellite lidar systems.</li> <li>Need for consistent and continuous satellite records for climate research.</li> <li>Assimilation of satellite data into models.</li> </ul>
(5) Fundamental understanding	<ul style="list-style-type: none"> <li>Better understand relationships among PP, community structure and environment.</li> <li>Better understand feedbacks between physics and biology.</li> <li>Understand the fate of PP (i.e., secondary and export production).</li> <li>Better understand the interactions of PP in different components of the Earth System.</li> <li>Improved quantification of new production and net community production from space.</li> </ul>	<ul style="list-style-type: none"> <li>Need for higher spatial and temporal resolution products to study diurnal variability.</li> <li>Gaps in understanding of inland and coastal waters.</li> <li>Gaps in satellite information on datasets relevant to photochemical reactions.</li> <li>Better understanding of viral control on PP.</li> </ul>	<ul style="list-style-type: none"> <li>Unifying the integration of primary production across interfaces (e.g. land and ocean).</li> <li>Regional models/algorithms with aim to merge/nest models for larger scale estimates.</li> <li>Harness developments in quantum computing.</li> <li>Meet challenges of the UN Ocean Decade.</li> <li>Harness novel algorithms and satellites (hyperspectral, lidar and geostationary).</li> <li>Harness satellite instruments covering the UV spectral range for insight into photodegradation.</li> </ul>

2020), and some hard to reach (e.g., Polar seas). Challenges to *in-situ* data collection (e.g. lack of adequate funding) and compilation have meant there are very few stations with continuous *in-situ* measurements of PP and related parameters. As the ocean colour time-series approaches a length needed for climate change studies (~40 years; Henson et al., 2010; Sathyendranath et al., 2019a), this may impact our ability to verify climate trends in PP detected from space (see PP priority 5). There are gaps in coordination at the international level that if filled, would greatly benefit the systematic and sustained collection of *in-situ* measurements on PP. Many remote sensing algorithms of PP rely on a knowledge of photosynthesis-irradiance curve parameters. Consequently, the algorithms are only as accurate as the coverage (both spatial and temporal) of these *in-situ* parameters. They are also likely to be sensitive to climate change, so it is important to keep updating the *in-situ* databases. There is also a strong spatial bias (North America and Europe) in existing estuarine *in-situ* PP measurements (Cloern et al., 2014).

**Opportunities:** By capitalising on an expanding network of novel and autonomous *in-situ* platforms, there are opportunities to improve the quantity of measurements of PP, by harnessing active fluorescence-based methods (IOCCG Protocol Series, 2022), such as Fast Repetition Rate (FRR) fluorometry (Kolber and Falkowski, 1993; Kolber et al., 1998; Gorbunov et al., 2000) and Fluorescence Induction and Relaxation (FIRE) techniques (Gorbunov et al., 2020). In fact, variable fluorescence techniques are increasingly being used to assess phytoplankton photosynthesis (see Gorbunov and Falkowski, 2020). There are challenges in interpreting these data (Gorbunov and Falkowski, 2020), and differences between FRR and  $^{14}\text{C}$  PP can be large (Corno et al., 2006). However, as these are optical measurements that can be collected in real

time, they are well suited to autonomous platforms (Carvalho et al., 2020). For a recent review on the topic see Schuback et al. (2021). Dissolved oxygen measurements, derived from oxygen optode sensors on autonomous platforms, can be used to estimate and quantify photosynthesis and respiration rates (Addey, 2022), as well as to quantify gross oxygen production that can be used to constrain net PP estimates (Odum, 1956; Barone et al., 2019; Johnson and Bif, 2021). Johnson and Bif (2021) used diurnal oxygen cycles from BGC-Argo floats to estimate global net PP at  $53 \text{ Gt C yr}^{-1}$ , by assuming a fixed ratio of net to gross PP (as many net PP methods do). As highlighted by the authors, the ratio of net to gross PP, however, varies considerably, in ways that are poorly understood. The diurnal oxygen method has also seen extensive application in estuarine and other coastal waters (e.g., Caffrey, 2004). Such estimates require high temporal resolution sampling, to observe the entire daily cycle (both night and day). Open data policies are key to maximising use of these datasets.

A multi-platform approach to combining discrete *in-situ* measurements, with those from autonomous *in-situ* platforms and satellite data, could offer synergistic benefits, providing the different scales of the observations, and differences in measurement techniques can be bridged (Cronin et al., 2022). There are also opportunities to encourage and support existing time-series stations (e.g., Bermuda Atlantic Time-series Study (BATS), Hawaii Ocean Time-series (HOT), Western Channel Observatory (WCO) Station L4, Carbon Retention in a Colored Ocean Time-Series (CARIACO), Line P, Porcupine Abyssal Plain, Blanes Bay Microbial Observatory, Long Term Ecological Research (LTER) sites, and Stončica) to continue to make high-quality *in-situ* measurements of PP as well as the model parameters necessary for implementation of PP and



photoacclimation models. There are opportunities to use artificial intelligence, such as machine learning, to help in this regard (e.g., see Huang et al., 2021), which has proven useful for estimating net PP from space in estuaries (Xu et al., 2022). There are opportunities to encourage pathways to commercial partnerships and technological innovation as science questions call for operational *in-situ* sensors and platforms, to target hard to access or currently unattainable ocean carbon properties and key PP parameters.

There are opportunities to exploit the ability of geostationary platforms (e.g. Geostationary Ocean Color Imager (GOCI) and Geosynchronous Littoral Imaging and Monitoring Radiometer (GLIMR)), to resolve diurnal variability in light (PAR) and biomass. Such sensors are also able to gather considerably more data for a given region than polar orbiting satellites (Feng et al., 2017). By building on the international community engagement of the “Ocean Carbon from Space” workshop, and that of other international initiatives (e.g., IOCCG), there are opportunities to formulate priorities for funding, and to create the necessary coordinating bodies, to address the challenges and gaps identified above.

### 3.1.3. PP priority 2: Uncertainty estimation of satellite algorithms and validation

**Challenges:** Assessment of satellite-based PP estimates is currently challenging, owing to the sparsity of *in-situ* data on PP and model parameters (limited in spatial and temporal coverage and by costs), differences in the methods used for *in-situ* data collection, differences in scales of *in-situ* and satellite observations, and a lack of availability of independent *in-situ* data to those used for model tuning. Standard oceanographic cruises can be affected by extreme weather conditions, particularly during fall and winter seasons. As a result, ship-based observations are sparse and often biased towards the summer-season.

**Gaps:** Validation-based uncertainty estimates of satellite-derived PP products are often not readily provided, and it is difficult to quantify model-based error propagation methods (e.g., Brewin et al., 2017c). There are gaps in our understanding of the uncertainty in key parameters and variables used for input to PP models. Other gaps exist relating to the nature of passive ocean colour, such as data gaps in satellite observations (e.g., cloud covered pixels, and coverage in polar regions; Stock et al., 2020).

**Opportunities:** We are now at a point where the computational demand of formal error propagation methods (going from errors in top-of-atmosphere reflectance through to errors in PP model parameters) can be met, such that per-pixel uncertainty estimates in satellite PP products could be computed (McKinnin et al., 2019). There are also opportunities to constrain PP estimates and reduce uncertainties through harnessing emerging hyperspectral, lidar (with improved vertical resolution over passive ocean colour) and geostationary sensors, that may provide more information on the community composition of the phytoplankton and their diel cycles (day-night cycles, a requirement being increased temporal resolution), as well as information on the spectral attenuation of underwater light, crucial for deriving PP. The synergistic usage of multiple satellites can be an opportunity to improve input irradiance products to PP models. There are also opportunities to use satellite sensors measuring light in the ultraviolet (UV) to improve satellite PP estimates (Cullen et al., 2012; Oelker et al., 2022). For improved uncertainty estimation, continuous validation is crucial, as is quantifying uncertainties in model parameters. Autonomous platforms and active ocean colour remote sensing (lidar) may offer opportunities to help in this regard.

### 3.1.4. PP priority 3: Linking surface satellite measurements to the vertical distribution

**Challenges:** Considering passive ocean colour satellites only view a portion of the euphotic zone (the first penetration depth), resolving the vertical structure of all satellite-based carbon pools and fluxes is challenging, but none more so than that of PP. There are challenges in the

requirements to know vertical variations in the phytoplankton biomass (e.g., Chlorophyll-a, hereafter denoted Chl-a), the physiological status (e.g., photoacclimation) of the phytoplankton (e.g., through the parameters of the photosynthesis-irradiance curve), and the magnitude, angular structure, and spectral nature of the underwater light field. For example, due to wind-dependending wave-induced light focusing, there can be extreme short-term variability in PAR near the surface, with irradiance peaks > 15 times the average (Hieronymi and Macke, 2012) in visible, UV-A and UV-B spectral ranges, with implications for phytoplankton photosynthesis.

**Gaps:** Our understanding of this vertical variability is impeded by the sparsity of *in-situ* observations on vertical structure. Ideally, we require observations at the equivalent spatial and temporal scale to that of the satellite data, for successfully extrapolating the surface fields to depth. There are also gaps in vertical physical data, and in their uncertainties, at equivalent scales to the satellite observations, such as the mixed-layer depth.

**Opportunities:** There are future opportunities to improve our basic understanding of vertical structure by tapping into existing and planned arrays of autonomous *in-situ* platforms, such as the global array of Biogeochemical (BGC) - Argo floats (Johnson et al., 2009; Claustre et al., 2020; Cornec et al., 2021; Addey, 2022) and also the physical Argo array for fields of mixed-layer depth and sub-surface temperature, with the help of statistical modelling (e.g., Foster et al., 2021). Other technologies are also expected to improve understanding of vertical structure, such as moorings and ice tethered and towed undulating platforms (Laney et al., 2014; Bracher et al., 2020; Stedmon et al., 2021; Von Appen et al., 2021). These platforms may help us improve our understanding of the vertical distribution of parameters and variables relevant for PP modelling, such as chlorophyll (acknowledging potential vertical changes in fluorescence quantum yield efficiency), backscattering and light. Future satellite lidar systems will be capable of viewing the ocean surface up to three optical depths, improving the vertical resolution of ocean colour products.

### 3.1.5. PP priority 4: Trends

**Challenges:** Detecting trends in PP is a major challenge to our research community. A recent report by the Intergovernmental Panel on Climate Change (IPCC, 2019) expressed low confidence in satellite-based trends in marine PP.

**Gaps:** The reasons the IPCC report cited this low confidence were related to the fact that the length of satellite ocean colour record is not sufficient yet for climate change studies, and the lack of corroborating trends in *in-situ* data (see PP priority 1) (IPCC, 2019). Additionally, there are gaps in uncertainty estimates for satellite-based products (see PP priority 3), needed to quantify the significance of any such trends.

**Opportunities:** To meet these challenges, and fill these gaps, there has been significant work over the past decade to create consistent and continuous satellite records for climate research (e.g., Sathyendranath et al., 2019a). As we approach the point at which the length of satellite ocean colour record will be sufficient for climate change studies, we can build on this work and harness these systems that have been put in place, merging future ocean colour sensors with current and past sensors (e.g., Yang et al., 2022a). There are also opportunities to bring satellite data and models together, for example, using data assimilation, to improve our confidence and understanding of PP trends (e.g., Gregg and Rousseaux, 2019) and understand variability in PP and photoacclimation. There are also opportunities to gain insight into the impacts of climate change on PP, by studying short-term extreme events (see Section 4.2 and Grix et al., 2021).

### 3.1.6. PP priority 5: Fundamental understanding

**Challenges:** At the workshop, participants also identified some major challenges relating to our fundamental understanding of marine PP. These included: the need to understand better the relationships among PP, phytoplankton community structure and physical-chemical

environment (e.g. nutrient availability); understand better feedbacks between physics and biology and how biology affects the carbon cycle; understand better the fate of PP (e.g., secondary and export production); and understand better the interactions among the different components of the Earth System and how they influence marine primary productivity. As stated earlier, for carbon cycle studies, there is a clear requirement to go beyond PP and strive to quantify new production and net community production (e.g., Tilstone et al., 2015; Ford et al., 2021; Ford et al., 2022a; Ford et al., 2022b).

**Gaps:** There are gaps in *in-situ* observations that if filled could help meet some of these challenges (see PP priority 1). Additionally, meeting some of these challenges may require higher spatial and temporal resolution products than currently available, for example, to study diurnal variability. The need for higher spatial and temporal resolution data also limits our ability to estimate PP in coastal and inland waters, impeding our understanding of land-sea interactions (Regnier et al., 2022) (see Section 4.1 for links to Blue Carbon).

There are also gaps in satellite information on datasets relevant to photochemical reactions, mostly activated by UV light, impacting PP through photodegradation of phytoplankton and the formation of UV absorbing compounds. High spectral resolution data from satellite are also needed to improve PP modelling (Antoine and Morel, 1996). Should such datasets become available, they will require validation. Equipping autonomous platforms with hyperspectral sensors could provide help in this regard (see PP priority 3). There are gaps in our understanding of controls on PP in the ocean by viruses and other microbes (Suttle et al., 1990).

**Opportunities:** With greater emphasis placed on an Earth system approach, to meet the challenges of the United Nations (UN) Ocean Decade, there are now more opportunities for collaborative interdisciplinary research, which may help to unify the integration of PP across interfaces, bringing together PP on land and in the ocean. For example, there have been promising developments in tidal wetland gross PP algorithms (Feagin et al., 2020). With increasing computation power, there are also opportunities to merge/nest regionally tuned models for larger scale estimates of PP. A shift from high performance computing to quantum computing could lead to significant progress in this direction, as well as incorporation of input data streams from molecular biology.

There are opportunities to harness novel algorithms and satellites (e.g. Sentinel-5P, Sentinel-5, Sentinel-4, Plankton, Aerosol, Cloud, ocean Ecosystem (PACE), see Table 2) that can provide enhanced information on the spectral composition of underwater light field (e.g., for the retrieval of diffuse underwater attenuation ( $K_d$ ) of UV and short-wavelength blue light for Tropospheric Monitoring Instrument (TROPOMI) (Sentinel-5P) see Oelker et al., 2022). There is also potential to go beyond the one waveband (490 nm)  $K_d$  products, as currently provided operationally, to multi and hyperspectral  $K_d$  products, building on the capabilities of S3-OLCI next generation missions and older generation satellites like the Medium Resolution Imaging Spectrometer (MERIS), that have a suit of bands in the visible range, especially considering improved data storage and transfer capabilities. There are also opportunities to use satellite instruments covering the UV spectral range to give insight on the presence of UV absorbing pigments and types of coloured dissolved organic matter (CDOM), which may provide important information on photodegradation processes. Active-based lidar systems, capable of viewing further into the water column, at day and night and at low sun angles, and geostationary platforms, may offer opportunities to fill gaps in our understanding of PP.

### 3.2. Particulate Organic Carbon (POC)

POC can be defined functionally as the organic carbon in a water sample that is above  $0.2\mu\text{m}$  in diameter (taken as the formal boundary between dissolved and particulate substances). Globally, it is thought to be in the region of 2.3–4.0 GtC in size (Stramska, 2009; CEOS, 2014; Galí et al., 2022), with around 0.58–1.3 GtC in the upper mixed layer (Evers-

King et al., 2017; Galí et al., 2022). It is among the most dynamic pools of carbon in the ocean, and turns over at a higher rate than any organic carbon pool on Earth (Sarmiento and Gruber, 2006). It can be separated into living (e.g., phytoplankton, zooplankton, bacteria) and non-living (e.g., detritus) organic carbon material.

#### 3.2.1. State of the art in POC

Satellite remote-sensing of POC focuses typically on the use of ocean colour data, and is among the more mature satellite ocean carbon products, with the first satellite-based algorithm developed in the late 90's (Stramski et al., 1999). Current algorithms include those that are: based on empirical band ratio or band-differences in remote-sensing reflectance wavelengths; backscattering based; backscattering and chlorophyll based; based on estimates of diffuse attenuation ( $K_d$ ); and based on a two-step relationship between diffuse attenuation and beam attenuation. It is worth acknowledging the inherent optical property (IOP)-, chlorophyll-, and  $K_d$ -based algorithms involve first deriving these inputs from remote-sensing reflectance. For a recent review of these algorithms the reader is referred to Section 4.1.3.1 of Brewin et al. (2021). The empirical algorithm that links POC in the near-surface ocean to the blue-to-green reflectance band ratio described in Stramski et al. (2008) has been used by NASA to generate the standard global POC product from multiple satellite ocean colour missions, and in some ESA POC initiatives (Evers-King et al., 2017). These standard algorithms provide a tool for the estimation of global and basin-scale reservoirs of POC in the upper ocean layer (e.g., Stramska and Cieszyńska, 2015). Recently, a new suite of ocean colour sensor-specific empirical algorithms intended for global applications was proposed by Stramski et al. (2022) with a main goal to improve POC estimates compared to current standard algorithms in waters with very low POC (ultraoligotrophic environments,  $< 0.04\text{mg m}^{-3}$  Chl-a) and relatively high POC (above a few hundred  $\text{mg m}^{-3}$  POC). The validation analysis of these new algorithms is presented in a separate study (Joshi et al., 2023). Intercomparison and validation exercises have suggested the performance of satellite POC algorithms is comparable to, or even better than, satellite estimates of chlorophyll-a (Evers-King et al., 2017), among the more widely used ocean colour products. The high performance in satellite POC is perhaps related to POC representing the entire pool of organic particles (rather than just phytoplankton, as with Chl-a). However, a recent study highlighted significant inconsistencies between satellite-retrieved POC and that estimated from BGC-Argo float data at high-latitudes during the winter season (Galí et al., 2022).

Six priority areas of POC were identified, that will be discussed separately in this section, including: 1) *in-situ* measurement methodology; 2) *in-situ* data compilation; 3) satellite algorithm retrievals; 4) partitioning into size fractions; 5) vertical profiles; and 6) biogeochemical processes and the biological carbon pump. Table 4 summarises these priorities, and their challenges, gaps and opportunities.

#### 3.2.2. POC priority 1: In-situ measurement methodology

**Challenges:** The current filtration-based methodology that uses glass-fiber filters (nominal porosity typically around  $0.7\mu\text{m}$ , though the effective pore size of glass-fibre filters is thought to be substantially smaller; Sheldon, 1972) for retaining particles and measuring POC does not include all POC-bearing particles, and hence does not determine the total POC. In particular, some fraction of submicrometer POC-bearing particles is missed by this method (e.g., Nagata, 1986; Taguchi and Laws, 1988; Stramski, 1990; Lee et al., 1995), and these small-sized particles can make significant contribution to total POC (e.g., Sharp, 1973; Fuhrman et al., 1989; Cho and Azam, 1990). Glass-fibre filters are also subject to cell leakage and can cause breakage of cells due to the combined effects of pressure sample loading, and needle-like microfiber ends (IOCCG Protocol Series, 2021). Other sources of possible underestimation of total POC include the loss of POC due to the impact of pressure differential across the filters (but see Liu et al., 2005) and an underrepresentation of the contribution of relatively rare large particles

**Table 4**  
Priorities, challenges, gaps and opportunities for satellite Particulate Organic Carbon (POC) estimates.

Priority	Challenges	Gaps	Opportunities
(1) <i>In-situ</i> measurement methodology	<ul style="list-style-type: none"> <li>Inclusion of particles of all sizes to determine total POC.</li> <li>Quantifying contributions of differently-sized particles and different particle types.</li> <li>Dealing with biases due to DOC in filters.</li> </ul>	<ul style="list-style-type: none"> <li>Submicrometer and rare large particles under-represented in the standard filtration method.</li> <li>No capability to measure contributions of differently-sized particles and different particle types.</li> <li>A lack of a certified reference material for POC.</li> </ul>	<ul style="list-style-type: none"> <li>Advance and standardise methods for improved measurement of total POC.</li> <li>Develop measurement capabilities combining particle sizing, particle identification, and particle optical properties.</li> </ul>
(2) <i>In-situ</i> data compilation	<ul style="list-style-type: none"> <li>Quality control and consistency across diverse datasets.</li> <li>Limitations of satellite-<i>in-situ</i> data matchups (e.g., spatial-temporal scale mismatch, spatial biases).</li> </ul>	<ul style="list-style-type: none"> <li>Limitations in documentation of methods in historical datasets.</li> <li>Best-practice guidelines for data quality control and synthesis efforts.</li> <li>Under-sampled environments.</li> </ul>	<ul style="list-style-type: none"> <li>Improve and standardise best practices for documentation, quality control, sharing, and data submission into permanent archives.</li> <li>Collection of high-quality data along the continuum of diverse environments.</li> </ul>
(3) Satellite algorithm retrievals	<ul style="list-style-type: none"> <li>Unified algorithms for reliable retrievals from open ocean to coastal and inland water bodies.</li> <li>Global algorithms applied to environmental conditions outside the intended scope.</li> <li>Satellite inter-mission consistency.</li> <li>Atmospheric-correction tailored to new ocean colour sensors (e.g. geostationary and hyperspectral).</li> </ul>	<ul style="list-style-type: none"> <li>Mechanistically-based flags associated with optical water types to ensure appropriate application of algorithms.</li> <li>Advanced algorithms (e.g., adaptive based on mechanistic principles) to enable reliable retrievals across diverse environments.</li> </ul>	<ul style="list-style-type: none"> <li>Opportunities to harness a new suite of empirical satellite sensor-specific global POC algorithms.</li> <li>Use of satellite geostationary and hyperspectral data in combination with <i>in-situ</i> data.</li> </ul>
(4) Partitioning into size fractions	<ul style="list-style-type: none"> <li>Partitioning of POC into particle size fractions and biogeochemically important components.</li> <li>Characterise the PSD of both total bulk particle assemblages and separately the functional fractions.</li> <li>Address coastal and other optically complex water bodies that may have both autochthonous and allochthonous contributions to POC.</li> </ul>	<ul style="list-style-type: none"> <li>Ability to reliably measure <i>in situ</i> various fractions is limited, e.g., separate living vs. non-living POC.</li> <li>Insufficient global PSD measurements and global PSD data compilations.</li> <li>A dearth of concurrent data on POC, PSD and carbon data on POC components.</li> <li>Insufficient knowledge of IOPs for optics-based partitioning of POC.</li> </ul>	<ul style="list-style-type: none"> <li>Support basic research on particle sizing, particle identification, and particle optical properties including polarisation properties.</li> <li>Development of light-scattering polarisation sensors for deployment on autonomous <i>in-situ</i> platforms.</li> <li>Emerging techniques to separate living and non-living POC.</li> <li>Support PSD measurements as part of a suite of basic required measurements.</li> <li>Harness satellite-based approaches to monitoring zooplankton, for quantifying their contribution to POC.</li> </ul>
(5) Vertical profiles	<ul style="list-style-type: none"> <li>Reconstructing vertical profiles using data from space-borne, air-borne, and <i>in-situ</i> sensors.</li> <li>Determining relationships between remotely-sensed variables and characteristics of the POC vertical profile.</li> </ul>	<ul style="list-style-type: none"> <li>Relationships between optical variables and POC (e.g., from sensors on autonomous <i>in-situ</i> platforms).</li> <li>Uneven distribution of <i>in-situ</i> profiles of POC globally.</li> </ul>	<ul style="list-style-type: none"> <li>Development of POC algorithms for <i>in-situ</i> optical data (e.g., BGC-Argo) along with improvements of optical sensor technology (e.g., polarised scattering sensors for BGC-Argo).</li> <li>Use multiple data (satellite, BGC-Argo) and model streams to reconstruct 3D and 4D POC in the ocean via statistical and data assimilation techniques.</li> <li>Advance basic research to determine relationships among remote-sensing reflectance and other optical variables and vertical profiles of POC characteristics (e.g., PSD).</li> <li>Harness lidar-based remote sensing.</li> </ul>
(6) Biogeochemical processes and the carbon pump	<ul style="list-style-type: none"> <li>Quantifying the vertical flux of POC a major challenge.</li> <li>Measurements of gravitational sinking of POC are work-intensive and rely on simplified assumptions.</li> <li>Measuring the migrant and mixing pumps is demanding.</li> </ul>	<ul style="list-style-type: none"> <li>Sparsity of <i>in-situ</i> data on vertical fluxes of POC.</li> <li>Interannual variation in vertical fluxes of POC poorly known.</li> <li>Gaps in understanding of POC fluxes in shallow and shelf seas.</li> <li>Gaps in understanding on migrant and mixing pumps.</li> </ul>	<ul style="list-style-type: none"> <li>Harness autonomous sensors and emerging observation techniques (e.g., “optical sediment traps” on BGC-Argo floats).</li> <li>Harnessing new statistical approaches (e.g., machine learning).</li> <li>Constraining prognostic ocean BGC models using observations from remote and <i>in-situ</i> autonomous sensors.</li> </ul>

associated with a limited filtration volume (e.g., Goldman and Dennett, 1985; Bishop, 1999; Gardner et al., 2003; Collos et al., 2014; McDonnell et al., 2015). Thus, it is very important to report volumes filtered (as well as filter type and nominal size range of the measurement) together with POC concentrations. Differences in filter type, particle settling in bottles, and breakage or leakage of phytoplankton and other cells, are other issues that can cause errors in filtration-based methods.

Optical remote sensing (including ocean colour measurements from space) is driven by all particles suspended in water, including particles which are missed and/or underrepresented by the current filtration-based POC methodology (Stramski and Kiefer, 1991; Davies et al., 2021). Thus, there is a mismatch between *in-situ* POC measurements through filtration and optical measurements that serve as a proxy of POC. The missing portion of POC unaccounted for by the current filtration based POC methodology is important to both ocean biogeochemistry and ocean optics that underlies ocean colour measurements

from space.

While standardisation of POC methodology is generally desirable, there are important interpretive challenges that must be recognized during the standardisation process. In particular, while the recommendation to use DOC-absorption correction to the standard filtration-based method will result in correction for one known source of overestimation of the fraction of total POC that is strictly retainable on the filters (Moran et al., 1999; Gardner et al., 2003; Cetinić et al., 2012; Novak et al., 2018; IOCCG Protocol Series, 2021), the issue of known sources of underestimation of total POC remains unresolved.

The fractional contributions to POC associated with differently-sized particles and/or different types of particles (e.g., different groups or species of microorganisms) are difficult to quantify and remain poorly known for natural polydisperse and heterogenous assemblages of suspended particles.

**Gaps:** The current POC standard method does not account for both

the artificial gains and losses of POC during collection of particles by filtration (Gardner et al., 2003; Turnewitsch et al., 2007; IOCCG Protocol Series, 2021). With the exception of size-based filtration (which has known limitations), no experimental capabilities exist to partition total POC of natural particulate assemblages into contributions by different size fractions and/or different types of particles which play different roles in ocean biogeochemistry and carbon cycling. Another important gap is the lack of a certified reference material (CRM) for POC. A CRM allows to estimate the accuracy of POC estimated by different laboratories and by the same laboratory in different times and locations. Consequently, a CRM for POC, if used by the community, would allow to reduce uncertainties in POC.

**Opportunities:** There are opportunities to advance and standardise the measurement methodology of total POC to provide improved estimates. These advancements can be brought about by including the portion of POC that is unaccounted for by the current standard filtration-based method. This would likely involve developing measurement capabilities aiming at quantification of POC contributions associated with differently-sized particles and different particle types based on combination of single-particle measurement techniques for particle sizing, particle identification, and particle optical properties.

### 3.2.3. POC priority 2: *In-situ* data compilation

**Challenges:** There have been significant investments, at regional, federal and international scale, into POC data collection (see Fig. 1 of Evers-King et al. (2017) for a map of global sampling coverage of *in-situ* POC data), which has transformed our understanding of POC in the ocean. But there are challenges in using these data for POC algorithm development and validation. The field-based datasets are commonly compiled from data collected by different investigators on many oceanographic expeditions covering a long period of time. The information content available in documentation of various individual datasets is non-uniform and does not always contain sufficient details about data acquisition and processing methodology. This creates a risk that the compiled datasets are affected by methodological inconsistencies across diverse subsets of data, including the potential presence of methodological bias in some data. The presence of methodological bias is generally difficult to identify given the range of environmental variability, especially when available details on data acquisition methods are limited and/or there is a lack of replicate measurements (a CRM would help in this regard, see POC priority 1). Thus, indiscriminate use of data for algorithm development and validation analyses is not advisable. These issues pose significant challenges for assembling high-quality field datasets that meet the standards and objectives of algorithm development or validation analyses including, for example, the process of data quality control based on predefined set of inclusion and exclusion criteria and assurance of environmental representativeness of datasets assembled for the analysis of specific algorithms (e.g., global vs. regional; Stramski et al., 2022; Joshi et al., 2023). Best practices for data quality control have been improved significantly following the recent publication of the IOCCG Particulate Organic Matter (POM) protocol (IOCCG Protocol Series, 2021).

The common validation strategy that relies on comparisons of field-satellite data matchups is not by itself sufficient to ensure rigorous assessment and understanding of various sources of uncertainties in satellite-derived POC products (Joshi et al., 2023). The deviations between field and satellite data matchups can occur for various reasons such as spatial-temporal mismatch of data, uncertainties in both satellite and *in-situ* measurements, atmospheric correction, and performance skills of the in-water algorithm itself. In addition, the number of available data matchups is often limited in various environments.

**Gaps:** While the documentation of data acquisition and processing methods is often limited, especially in historical datasets, there are no standardised best-practice guidelines to ensure consistency in data quality control and synthesis efforts when larger datasets are compiled from various individual subsets of data. There are also regions within the

world's oceans, such as polar regions and the Indian Ocean, where concurrently collected field data of POC and optical properties are scarce, including the lack of temporal coverage over the entire seasonal cycle.

**Opportunities:** Further efforts related to POC algorithm development and validation can benefit from careful scrutiny of historical and future data to minimise the risk of using biased data and ensure that the analyses are conducted using data with consistently high quality and are accompanied with sufficiently detailed documentation on data acquisition and processing methods. These efforts can be facilitated through further improvements and standardisation of best practices for documentation, quality control, sharing, and submission of data into database archives. Such practices are expected to lead to better data quality, data interpretation, and uncertainty assessments (IOCCG Protocol Series, 2021).

There is a need to continue field programmes in which concurrent POC and optical data are acquired across diverse environments including those that have been severely under-sampled in the past.

### 3.2.4. POC priority 3: *Satellite algorithm retrievals*

**Challenges:** There can be a high level of complexity and variability of water optical properties and water constituent composition including POC-bearing particles, especially in coastal regions and inland waters (where non-algal particles are more prevalent), which are highly susceptible to land effects and re-suspension of sediments from shallow bottom. This makes it very difficult to develop a unified approach to provide reliable POC retrievals from optical remote sensing along the continuum of diverse optical/biogeochemical environments from open ocean to coastal and inland water bodies.

Standard global POC products are generated indiscriminately with respect to optical water types or the optical composition of water. Hence, this product is generated for a wide range of environmental situations, including the conditions outside the intended scope of global algorithms, which implies unknown and potentially large uncertainties. An inter-mission consistency of POC satellite-based products is required to support long-term climate data records. To successfully harness new satellite geostationary and hyperspectral data (e.g., GLIMR, PRecursor IperSpettrale della Missione Applicativa (PRISMA), PACE), there are challenges associated with appropriate atmospheric correction schemes, that can deal with large solar zenith and viewing angles for geostationary sensors, and spectral consistency for hyperspectral sensors.

**Gaps:** The current routine process of generating standard global POC products from global empirical algorithms either lack the mechanistically-based flags associated with ocean properties or optical water types to prevent the application of algorithms beyond their intended use, or where flags do exist, their usage is often not clarified and they are often not accurate. Clear and accurate flags are needed to guide users on product uncertainties and applications. The need for appropriate flags to prevent the use of algorithms outside their scope is broadly relevant, for example, it applies also to regional algorithms (McKinna et al., 2019).

There is a lack of advanced algorithms based on adaptive approaches that incorporate mechanistic principles on the interaction of light with water constituents and associated optical water typologies, but the workshop saw the emergence of such methods, which is a promising sign. For example, algorithms that discriminate the water bodies based on varying composition of organic and mineral particles are required to enable reliable POC retrievals across diverse environments including the optically-complex coastal water bodies (Loisel et al., 2007; Woźniak et al., 2010; Reynolds et al., 2016; Koestner et al., 2022; Stramski et al., 2023).

**Opportunities:** Recent development of a new suite of empirical satellite sensor-specific global POC algorithms provide the opportunity for further testing, validation, analysis of inter-mission consistency, and ultimately an implementation of next-generation algorithms for routine production of a refined global POC product (Stramski et al., 2022).

The analysis of POC reservoir and its spatial–temporal dynamics is expected to be enhanced by increased availability and use of geostationary and hyperspectral satellite data (e.g., GLIMR, PRISMA, PACE) along with *in-situ* data.

### 3.2.5. POC priority 4: Partitioning into size fractions

**Challenges:** The particle size distribution (PSD) is an important link between ecosystem structure and function on the one hand, and optical properties on the other, as it affects both. Phytoplankton cell size is a key trait, and size fractions are closely related to functional types (Le Quéré et al., 2005; Marañón, 2015). Monitoring the size distribution of particles in the ocean can provide information on how carbon flows through the marine food-web, and how much carbon is exported out of the euphotic zone (e.g., sinking speed depends on size, see Cael et al., 2021), both useful for carbon management strategies. One of the most challenging, yet important tasks moving forward is to develop understanding of the different functional and/or size partitions of POC. Bulk POC does not give a full picture of the ecosystem or its role in biogeochemical cycles. In addition, empirical POC satellite algorithms assume certain relationships between POC and optical properties. These relationships can change if basic characteristics of the POC change, such as its PSD or the fraction of total POC due to living phytoplankton. For example, the POC-specific backscattering coefficient can change if the PSD of POC changes, and the POC-specific absorption spectra can change if the living carbon:POC ratio changes (e.g., Stramski et al., 1999; Loisel et al., 2001; Balch et al., 2010; Woźniak et al., 2010; Cetinić et al., 2012; Reynolds et al., 2016; Kostadinov et al., 2016; Johnson et al., 2017; Koestner et al., 2021; Kostadinov et al., 2022).

Notwithstanding the operational limitations of what constitutes POC and dissolved substances within the submicrometer size range, the particle assemblages in the near surface ocean are exceedingly complex, which makes this challenge particularly difficult to address. Both forward and inverse modelling of the optical properties of the ocean entirely from first principles are not feasible currently. The range from truly dissolved substances to particles such as large zooplankton and beyond span many orders of magnitude in size and are governed by different optical regimes, which makes it difficult, for example, to identify, quantify, and separate the various sources of optical backscattering in the ocean (Stramski et al., 2004; Clavano et al., 2007; Stemmann and Boss, 2012).

In terms of functional fractions, POC can be considered to consist of phytoplankton, heterotrophic bacteria, zooplankton, and organic detritus (of marine or terrestrial origin). In terms of size fractions, ideally the PSD of POC and its various functional components should be measured *in-situ*. There are theoretical considerations indicating that the marine bulk PSD, spanning several orders of magnitude in size, can follow, to first approximation, a power-law with a certain slope (e.g., Kerr, 1974; Kiefer and Berwald, 1992; Jackson, 1995; Rinaldo et al., 2002; Brown et al., 2004; Jennings et al., 2008; Hatton et al., 2021). The power-law approximation of marine PSD was used in numerous studies involving experimental data of PSD (e.g., Bader, 1970; Sheldon et al., 1972; Jackson et al., 1997; Jonasz and Fournier, 2007; Buonassissi and Dierssen, 2010; Clements et al., 2022; Haëntjens et al., 2022) and satellite-based estimation of PSD (Kostadinov et al., 2009; Kostadinov et al., 2010; Kostadinov et al., 2016; Kostadinov et al., 2022). However, there is a challenge associated with the use of power-law approximation because marine PSDs commonly exhibit some features across different size ranges, such as distinct peaks, shoulders, valleys, and changes in slope, which can result in significant deviations of PSD from a single-slope power function. Such deviations were demonstrated in many measurements of PSD in different oceanic environments (e.g., Jonas, 1983; Risović, 1993; Bernard et al., 2007; Reynolds et al., 2010; White et al., 2015; Organelli et al., 2020; Reynolds and Stramski, 2021).

Finally, optically complex coastal waters present an additional challenge in that allochthonous and autochthonous sources of POC may be mixed, for example, due to riverine input, making the task of

separating POC by functional fractions with known or assumed optical properties or PSD more challenging.

**Gaps:** There is a dearth of concurrent data on POC, PSD and carbon data for the components that make up the POC (e.g., phytoplankton carbon). This is a major limiting factor for satellite algorithm development.

**Opportunities:** There is an opportunity to exploit upcoming hyperspectral and polarisation remote-sensing data. For example, the degree of linear polarisation may provide information on the bulk refractive index of particles or organic and mineral fractions of particulate matter (Koestner et al., 2021; Zhai and Twardowski, 2021). However, to do so requires efforts directed toward progress in basic research into how POC is partitioned into its various components. It is important to include measurements of PSD in future POC field campaigns globally, and in the compilation of global, quality-controlled datasets for algorithm development. Further studies of non-parametric descriptors of PSD are desirable because they offer superior performance compared with the power law approximation for representing the contributions of different size fractions to PSD across a wide diversity of marine environments (Reynolds and Stramski, 2021). Satellite-based approaches to monitoring zooplankton (e.g. Strömberg et al., 2009; Basedow et al., 2019; Behrenfeld et al., 2019; Druon et al., 2019) could further aid in partitioning out the contribution of zooplankton to POC. Additionally, there are opportunities to harness multi-scale observational approaches (e.g., combining satellites with ocean robotics) for improved monitoring of POC size fractions (Sauzède et al., 2015; Sauzède et al., 2016; Claustre et al., 2020).

### 3.2.6. POC priority 5: Vertical profiles

**Challenges:** Whereas vertical profiles of POC can be estimated from *in-situ* optical sensors (in particular, backscattering sensors and transmissometers) deployed on autonomous *in-situ* platforms, the performance of present optical-based POC algorithms is hampered by limited understanding and predictability of variations in the characteristics of particulate assemblages and their relationships with optical properties throughout the water column. There is a strong requirement to promote fundamental research to better quantify and understand the relationships between variable vertical profiles of POC (and characteristics of the POC such as PSD, functional and size fractions) and the optical signal detectable from satellites.

**Gaps:** One of the most frequently asked questions posed by users of ocean colour remote sensing data (e.g., modellers) is what the satellite sensor actually “sees”, in particular how deep the satellite sensor probes the water column in terms of variable near-surface vertical profiles of retrieved data products such as POC. For passive ocean colour, due to the double trip light must take through the water column between the ocean surface and a given depth (downwelling radiance and then upwelling radiance), the source of the water-leaving optical signal reaching the satellite is heavily weighted to the near-surface layers of the ocean. Early research from the 1970s demonstrated that ~90% of the water-leaving signal comes from one e-folding attenuation depth, i.e., the layer defined by  $1/K_d$ , where  $K_d$  is the wavelength-dependent diffuse attenuation coefficient for downwelling irradiance (Gordon and McCluney, 1975). There is a need to expand on this research and develop POC-specific understanding, including the effects of vertical profiles of variables going beyond just bulk POC, namely POC partitioned by functional and/or size fractions (see POC priority 4). The diurnal evolution of the characteristics of POC vertical profiles also needs careful consideration. At present, there is an uneven distribution of vertical *in-situ* profiles of POC globally, with the southern hemisphere poorly covered compared with the northern hemisphere.

**Opportunities:** There are opportunities to advance basic research into improving our understanding of the relationships between POC and optical properties, such as the particulate backscattering coefficient, that are measured on autonomous *in-situ* platforms such as BGC-Argo floats. Artificial Intelligence (AI) may help in this regard (Claustre

et al., 2020). Such research is expected to guide development of new sensors and algorithms (e.g., scattering sensors that include polarisation) which will ultimately provide more reliable estimations of POC throughout the water column from autonomous systems (Koestner et al., 2021; Koestner et al., 2022). There are opportunities for synergy among satellite, models and autonomous platforms to create 3D and 4D fields of POC (Claustre et al., 2020). Future active-based satellite lidar systems will penetrate further into the water column improving vertical resolution of variables like the backscattering coefficient, a proxy for POC (Jamet et al., 2019).

### 3.2.7. POC priority 6: Biogeochemical processes and the biological carbon pump

**Challenges:** It is estimated that around 80% of the carbon that is exported through the ocean biological carbon pump (BCP) is in the form of POC, and the remainder is transported downward as DOC via vertical mixing and advection (Passow and Carlson, 2012; Legendre et al., 2015; Boyd et al., 2019). The vertical export of POC is challenging to quantify, and believed to result from several biological and physical processes, of which gravitational POC sinking is thought to be the largest component (Boyd et al., 2019). For a fixed fluid viscosity and density, gravitational sinking speed is a function of particle size, composition, and structure (Laurenceau-Cornec et al., 2020; Cael et al., 2021). The distribution of these properties in the particle population results to a large extent from the functioning of the upper-ocean ecosystem. Therefore, overcoming the challenges related to the satellite retrieval of POC mass (POC priority 3), size distribution (POC priority 4), and vertical distribution (POC priority 5), as well as particle properties (e.g., composition), is key to improved understanding and prediction of the BCP.

Quantifying the global vertical POC export flux is a major challenge, as the range of current estimates (ca. 5–15 Gt C yr<sup>-1</sup>; Boyd et al., 2019) remains similar to the ranges quoted in the 1980's (Martin et al., 1987; Henson et al., 2022). Improved ability to estimate the concentration and fluxes of POC (gravitational sinking, but also other pathways like the migrant pumps and physical pumps) would also benefit the study of trace element cycling (Conway et al., 2021) and deep-ocean ecosystems that rely on POC export. Current methods to measure gravitational POC export are work-intensive and do not allow for high spatial-temporal coverage, nor do they cover other pathways like vertical migration of large zooplankton and fish (the migrant pump(s)) and advective and diffusive transports (the physical pump(s)), that contribute to a large portion of carbon export (Boyd et al., 2019) and change the sequestration times of exported carbon. Moreover, they often rely on simplified assumptions (steady-state vertical profiles, negligible effects of horizontal advection, to name just a few) whose validity is not always tested or subjected to sensitivity analyses (Buesseler et al., 2020). Therefore, empirical (e.g., remote-sensing based) and prognostic models of gravitational POC export rely on *in-situ* measurements that are inherently uncertain and have sparse spatial-temporal coverage.

**Gaps:** There is a sparsity of *in-situ* data on vertical fluxes of POC, meaning our understanding of the relationship between upper-ocean biogeochemical properties and vertical POC fluxes is very uncertain. This impedes our ability to represent POC flux in empirical and mechanistic models of the BCP. Large-scale estimates of vertical POC export usually focus on the average (climatological) state of the ocean, but interannual variations and their drivers (e.g., the role of physical forcing) remain poorly known (Lomas et al., 2022), and because of data sparseness there is a risk of confounding spatial and temporal variability.

Although shallow seas and continental slope areas are thought to play an important role in the global POC cycle, there are large gaps in understanding, as the sources and fate of POC in these areas remain difficult to monitor and quantify owing to the presence of optically complex environments, the higher abundance of inorganic particulate materials and the potentially larger role of lateral advection (Aristegui et al., 2020). Finally, gaps in understanding of the role of zooplankton

diel vertical migration (DVM) (e.g., Bianchi et al., 2013a; Bianchi et al., 2013b; Boyd et al., 2019) and the associated biogenic hydrodynamic transport (BHT) (e.g., Wilhelmus et al., 2019), mean these processes are rarely incorporated into ocean biogeochemical models.

**Opportunities:** Sampling from autonomous platforms (BGC-Argo, gliders, moorings, etc.) can provide the spatial-temporal resolution needed to refine our understanding of the BCP, complementing more detailed shipborne observations and the synoptic surface view obtained from satellites. For example, “optical sediment traps” mounted on BGC-Argo floats (Bishop et al., 2004; Estapa et al., 2017) can record a nearly-continuous proxy of vertical POC fluxes in the ocean interior.

Merging of these various data streams using statistical techniques (e.g., machine learning; Sauzède et al., 2020) can allow for refined estimates of the BCP, reducing the sampling bias associated with shipborne measurements. These complementary data streams can be further used to constrain mechanistic models of the BCP, for example, through data assimilation and parameter optimisation (Nowicki et al., 2022). These approaches will improve quantification of the fluxes that form the BCP, help identify knowledge gaps and eventually spur progress in process-level understanding. Ongoing efforts are aimed at improving understanding of the effects of DVM and BHT on the biological pump, through a synergy of remote-sensing (e.g., Behrenfeld et al., 2019), laboratory studies, and biogeochemical modelling.

Although the framework drafted above is conceptually valid for the study of continental shelves, these areas require higher-resolution observations and models that can resolve their larger heterogeneity and a wider array of transport and transformation processes. Therefore, such areas would benefit from dedicated regional process studies and monitoring from geostationary satellites and other airborne sensors.

### 3.3. Phytoplankton Carbon (C-phyto)

The living pool of POC can be partitioned into components associated with living phytoplankton cells and other types of carbon (e.g., zooplankton, detritus, fecal pellets). C-phyto is a particularly important pool of POC owing to its role in marine PP and providing food to the majority of the marine ecosystem. It has been estimated that the pool is around 0.78–1.0 Gt C in size (Falkowski et al., 1998; Quééré et al., 2005), but despite its small size (relative to terrestrial plants, which is in the order to 450 Gt C, see Bar-On et al., 2018) it contributes around 50 Gt C yr<sup>-1</sup> in PP (equivalent to terrestrial plants, see Section 3.1).

C-phyto is key to establishing the carbon-to-chlorophyll ratio (important for understanding phytoplankton physiology and their adaptation to light, nutrient and temperature changes), to compute PP using carbon-based models (Behrenfeld et al., 2005; Sathyendranath et al., 2009), and to assess the contribution of photophysiology to the phytoplankton seasonal cycle (Bellacchio et al., 2016). High temporal C-phyto data allows for determination of carbon-based growth and loss rates in phytoplankton (e.g., Sathyendranath et al., 2009; Zhai et al., 2010; Behrenfeld and Boss, 2014). C-phyto has also been innovatively used to assess, at the sea-air interface, the export of organic matter towards the atmosphere in the form of aerosols (O'Dowd et al., 2004; Fossum et al., 2018).

#### 3.3.1. State of the art in Phytoplankton Carbon

A number of algorithms have been developed to derive C-phyto from ocean colour observations (see Bellacchio et al. (2020) and reference therein, and Section 4.1.3.2 of Brewin et al. (2021)). The approaches used can be grouped broadly into: i) backscattering-based approaches (e.g., Behrenfeld et al., 2005; Martínez-Vicente et al., 2013; Graff et al., 2015); ii) chlorophyll-based approaches (e.g. Sathyendranath et al., 2009) some that use models of photoacclimation and physiology parameters (e.g., Jackson et al., 2017; Sathyendranath et al., 2020); and iii) size-class-based approaches (e.g., Kostadinov et al., 2016; Kostadinov et al., 2022; Roy et al., 2017). These approaches can also be grouped according to their product (PSD, size class or taxonomic class) or the

optical properties used to derive them (Chl-abundance based, backscatter, absorption, radiance) (Mouw et al., 2017). Each approach relies on the covariation between optical properties or POC, and a proxy of phytoplankton concentration such as Chl-a, phytoplankton light absorption or size distribution. Satellite environmental data, such as light or sea-surface temperature (SST), have been shown to help improve satellite retrievals of the chlorophyll-a concentration of different phytoplankton groups (Ward, 2015; Brewin et al., 2015a; Brewin et al., 2017a; Moore and Brown, 2020; Xi et al., 2021; Sun et al., 2023), and recently also for retrievals of diatom carbon concentration (Chase et al., 2022).

One of the biggest challenges in retrieving C-phyto from ocean colour observations is separating the contributions of organic detritus, or non-algal particles (NAP), and living phytoplankton cells to the optical properties, such as the particle backscattering, and to the particle size distributions, particularly in turbid or coastal waters. It is assumed that phytoplankton (and co-varying material) control the backscattering signal in the open ocean (Dall'Olmo et al., 2009; Organelli et al., 2018), an assumption used in Case-1 water models (e.g., Morel and Maritorena, 2001). However, the variation of NAP horizontally, vertically, and temporally is considerable in many parts of the ocean (Bellacicco et al., 2019; Bellacicco et al., 2020) in size and concentration (Organelli et al., 2020). Recent efforts have been made to improve C-phyto estimates from satellite-based particle backscattering by accounting for variability in NAP (e.g., Bellacicco et al., 2020).

Each of the proposed approaches have advantages and disadvantages, and can be improved with knowledge on the optics-to-carbon conversion factors (that can inform the Chl-a to C ratio), using *in-situ* C-phyto datasets (e.g., Martínez-Vicente et al., 2017), and through reduced uncertainties in satellite-derived inputs of relevant quantities (i.e., backscattering, Chl-a, and particle size distribution). Currently, no method has extended the global estimation of C-phyto to below the ocean surface where many biogeochemical interactions occur.

During the workshop, three key priority areas of C-phyto were identified, that will be discussed separately in this section, and include: 1) *in-situ* data; 2) satellite algorithm retrievals; and 3) vertical structure. Table 5 summarises these priorities, and their challenges, gaps and opportunities.

### 3.3.2. C-phyto priority 1: *In-situ* data

**Challenges:** Measuring C-phyto *in situ* is notoriously difficult and no standard method exists and any measurements are likely to have high uncertainties. A major challenge for communities working in this field is to improve *in-situ* methodologies for quantifying C-phyto and to measure or estimate photoacclimation model parameters. A couple of methods exist to directly measure C-phyto. One of them entails the separation of

living phytoplankton particles from non-living (detrital) particles and the subsequent elemental measurement of those particles (Graff et al., 2012; Graff et al., 2015). Another, older method (Redalje and Laws, 1981), requires incubation experiments in which the sample cells are labelled with  $^{14}\text{C}$ , and the specific activity of Chl-a is measured at the end of the experiment as well as the total particulate  $^{14}\text{C}$  activity. The direct measurement methodology of Graff et al. (2012, 2015) is largely biased towards nano and pico-sized phytoplankton particles detected by flow cytometry, whereas the method of Redalje and Laws (1981) depends on Chl-a being sufficiently high for the incubation experiments. It is important that these direct methods are incorporated into existing programmes. C-phyto may also be indirectly measured by applying empirical relationships that relate cell biovolume to C-phyto (Menden-Deuer and Lessard, 2000; Lomas et al., 2019). These empirical relationships are largely attributed to micro-sized phytoplankton (diatoms and dinoflagellates) and are limited to either a select number of laboratory cultures or a specific region in the global ocean. Standardization of phytoplankton carbon data submission using emerging *in-situ* techniques (such as the Imaging FlowCytobot, IFCB) is also challenging (Neeley et al., 2021).

**Gaps:** As a direct result of this challenge, one of the largest gaps for deriving C-phyto from space is the paucity of global *in-situ* C-phyto data (and C-phyto community composition), to develop and validate models and algorithms. Coincident *in-situ* observations of both phytoplankton community composition, by flow cytometry, microscopy or the more recent method of imaging-in-flow cytometry (e.g., IFCB, FlowCam) with bio-optical and radiometric measurements are critical for establishing relationships among phytoplankton type, size, pigments and optical signatures. Only limited number of field datasets (e.g., NASA's EXPORTS campaign, and the Atlantic Meridional Transect Programme (AMT)) contain these coincident measurements, leading to a lack of understanding of their temporal or spatial variability. Moreover, few measurements are taken below the surface ocean (see C-phyto priority 3).

Additionally, there are very few consistent C-phyto surface time-series datasets available. Time series datasets with clear uncertainties are critical to understanding of spatio-temporal variability in C-phyto, community composition and coincident optical properties. Existing time-series studies that include these measurements are limited (e.g., Martha's Vineyard Coastal observatory, <https://nes-lter.whoi.edu/>).

**Opportunities:** There is an opportunity to enlarge and explore data collected at so-called "*in-situ* supersites". *In-situ* supersites are sampling sites in which manual or automated, coincident measurements of bio-optical, biogeochemical, and/or biological measurements, are collected regularly as part of a time series programme. These sites are typically co-located with satellite measurements and can be used to

**Table 5**

Priorities, challenges, gaps and opportunities for satellite phytoplankton carbon (C-phyto) estimates.

Priority	Challenges	Gaps	Opportunities
(1) <i>In-situ</i> data	<ul style="list-style-type: none"> <li>Extremely difficult to measure C-phyto <i>in situ</i>.</li> <li>Challenges quantifying photoacclimation parameters and their variability at large scales.</li> <li>Challenges around standardization of phytoplankton carbon data submission using emerging <i>in-situ</i> techniques.</li> </ul>	<ul style="list-style-type: none"> <li>Gaps in accurate <i>in-situ</i> C-phyto data.</li> <li>Gaps in consistent C-phyto surface time-series datasets.</li> <li>Gaps in photo-acclimation parameters.</li> </ul>	<ul style="list-style-type: none"> <li>The enlargement and exploration of data analysis of <i>in-situ</i> supersites.</li> <li>Empower validation through autonomous mobile platforms (e.g., BGC-Argo floats and Lagrangian drifters).</li> <li>Connecting new genetic level data with phytoplankton carbon properties.</li> </ul>
(2) Satellite algorithm retrievals	<ul style="list-style-type: none"> <li>Separating the contributions of living and non-living particles to the particle backscattering coefficient.</li> <li>Understanding the influence of phytoplankton composition and photoacclimation on the relationships among Chl-a, particle backscatter and C-phyto.</li> </ul>	<ul style="list-style-type: none"> <li>A gap in our mechanistic understanding of how optical properties and particle types link to C-phyto.</li> <li>Uncertainties infrequently reported with satellite C-phyto products.</li> </ul>	<ul style="list-style-type: none"> <li>Harness long time-series satellite products.</li> <li>Explore the combined use of satellite data with ecosystem modelling.</li> <li>Combining models of photoacclimation with size-based approaches and models of PP, for consistent carbon pools and fluxes.</li> </ul>
(3) Vertical structure	<ul style="list-style-type: none"> <li>Challenging to collect, aggregate and produce an <i>in-situ</i> dataset that is representative of entire euphotic depth and at global scale.</li> </ul>	<ul style="list-style-type: none"> <li>Biases towards more <i>in-situ</i> C-phyto data collected at surface depths.</li> <li>Lack of methods for extrapolating the surface satellite C-phyto products down through the entire euphotic zone.</li> </ul>	<ul style="list-style-type: none"> <li>Use autonomous platforms such as BGC-Argo floats and moorings with satellite data and models to reconstruct the 4D views of C-phyto.</li> <li>Harness developments in quantum computing for data integration.</li> </ul>

improve and/or validate satellite algorithms. Such sites already exist and include, for example, the Martha's Vineyard Coastal Observatory (MVCO), located in Edgartown, Massachusetts, USA. At this observatory, hydrographic (salinity, temperature), meteorological and biological measurements are collected in real-time. What makes the data from this observatory particularly powerful is the inclusion of an IFCB that collects particle and plankton images approximately every 20-min. In conjunction with regular ship-based measurements through the Northeast Shelf LTER (NES-LTER) programme as well as satellite-based observations, not only are these data instrumental to advancing algorithms to retrieve phytoplankton taxonomy, but they also advance our understanding of how climate variability impacts phytoplankton communities and, ultimately the food web (Hunter-Cevera et al., 2021). Moreover, phytoplankton observations can be used to derive estimates of C-phyto, which are necessary for the development and validation of C-phyto algorithms by linking C-phyto to measured optical properties and considering the diversity and variation of phytoplankton and other optical constituents. Other sites, such as the Palmer Station Antarctic LTER and the BATS station have included regular observations of phytoplankton taxonomy and bio-optics as part of their sampling strategies and these data may also be used for C-phyto estimations and algorithm development (Casey et al., 2013; Nardelli et al., 2022). Similarly, at the Acqua Alta Oceanographic LTER site (AAOT; [www.ismar.cnr.it](http://www.ismar.cnr.it)), located in the Gulf of Venice (Mediterranean Sea), several essential ocean variables (EOVs) including phytoplankton taxonomy have been collected for decades (Acri et al., 2020) and these observations have been recently empowered with an IFCB for continuous measurements. AAOT is also an AERONET and HYPERNET site and used for CAL/VAL activities of OCR satellites (Concha et al., 2021). Moving forward, we must empower additional observatories, such as those used for water quality assessment, and expand the range of data they collect, to strive towards the collection of the entire size spectrum of phytoplankton required for satellite C-phyto algorithms (e.g., microscopy, imaging-in-flow cytometry, flow cytometry). Supersite measurements could even be complemented by dedicated mesocosm experiments that will help to improve the mechanistic understanding of the relationship between C-phyto and optical properties. In addition, these datasets can be used to derive reliable uncertainties in *in-situ* C-phyto data. A future network of these supersites could be established to be representative of global scales, and not only collect data at the surface but also throughout the euphotic zone and beyond.

Another opportunity is to improve the global distribution of optical property measurements used as input of C-phyto algorithms by empowering validation through continuous underway optical measurements (e.g. Slade et al., 2010; Brewin et al., 2016; Rasse et al., 2017; Burt et al., 2018) and autonomous mobile platforms such as BGC-Argo profiling floats and Lagrangian drifters (e.g., Abbott et al., 1990; Boss et al., 2008; Sauzède et al., 2016; Bisson et al., 2019; Xing et al., 2020). For the latter, these robotic platforms allow the acquisition of optical data with limited spatial and temporal bias, as they also collect data in remote regions, even during meteorological conditions that are unfavourable for ship-based sampling (Organelli et al., 2017). Optical data from these platforms, or similar technologies, have been used to derive bulk properties, such as diffuse attenuation ( $K_d$ ), Chl-a, CDOM and POC, and are a source of sub-surface data, complementary to the surface data from satellites. As hyperspectral data can help resolve estimates on the composition (type and size) of phytoplankton (Chase et al., 2013; Liu et al., 2019), integrating autonomous instrumentation with hyperspectral capabilities (Jemai et al., 2021; Organelli et al., 2021) can provide insight into phytoplankton composition in the illuminated part of the water column (Bracher et al., 2020). Efforts to enlarge the optical multi-platform data acquisition, and to develop protocols for the derivation of high-quality C-phyto datasets, must be taken since these have the potential to fill the gap of C-phyto information below the first optical depth and provide information on phytoplankton photoacclimation (see C-phyto priority 3). Additionally, there maybe future possibilities to

connect genetic level information, and at the particle/organismal level, with phytoplankton carbon properties (Braakman et al., 2017).

### 3.3.3. C-phyto priority 2: Satellite algorithm retrievals

**Challenges:** Backscattering is an optical property that has been linked to C-phyto. However, particle backscatter includes all particles, not just phytoplankton and it is challenging to separate phytoplankton from non-living particles, without complementary information such as microscopic or flow cytometric data. Additionally, we should strive to increase the accuracy of backscattering retrievals from space, itself a challenging task. Correcting the remote sensing reflectance for Raman scattering prior to semi-analytical retrievals has shown some promise for improving quality of backscattering retrievals (Westberry et al., 2013; Lee et al., 2013; Pitarch et al., 2019).

Chl-a, both satellite-derived and *in-situ*, is often used in models that relate particle backscatter to C-phyto through empirical relationships. However, the uncertainties within these empirical relationships are increased by the influence of phytoplankton composition and the physiological state of phytoplankton driving photoacclimation, i.e., the adjustment of Chl-a in response to light, particularly in the surface ocean, and uncertainties in Chl-a measurements. In addition, in low phytoplankton biomass regions, such as in the subtropical gyres, uncertainties in both satellite retrieved optical properties and Chl-a can be large.

**Gaps:** There is a gap in our mechanistic understanding of how optical properties link to C-phyto, considering the diversity of phytoplankton composition and their physiological state, and the other optically significant substances that can have an impact on the optical properties.

Each of the methods, models and algorithms, have uncertainties, either inherent or owing to the input data, which are infrequently reported. As such, there are gaps in our knowledge of the accuracy of our models and algorithms to derive C-phyto, which includes uncertainties associated with direct or indirect measurements of *in-situ* C-phyto.

**Opportunities:** There are opportunities to produce long time-series of C-phyto data using merged ocean colour datasets (e.g., OC-CCI (<https://www.oceancolour.org>), GlobColour (<https://www.globcolour.info>), and Copernicus Marine (<https://marine.copernicus.eu>); Maritorena et al., 2010; Sathyendranath et al., 2019a; Kostadinov et al., 2022), or by adapting algorithms to operate on different ocean colour sensors that cover different time spans (e.g., since 1979 until today; Oziel et al., 2022). These products should include pixel-by-pixel uncertainties. C-phyto satellite algorithms may be improved by using synergistic information on the abundance and composition of the different optical components (phytoplankton, NAP, CDOM), which may lower the uncertainties in C-phyto retrievals.

There are also opportunities to improve C-phyto products by exploring the combined use of satellite data with ecosystem modelling. Directly using satellite Chl-a or phytoplankton community-specific Chl-a for evaluation or assimilation into (coupled-ocean-) biogeochemical models could be a promising avenue for deriving C-phyto (IOCCG, 2020). Other exciting avenues of research include combining models of photoacclimation with size-based approaches (Sathyendranath et al., 2020), that can be reconciled with models of PP, meaning the carbon pools and fluxes are produced in a consistent manner.

### 3.3.4. C-phyto priority 3: Vertical structure

**Challenges:** Considering the difficulties in measuring C-phyto *in-situ* (see C-phyto priority 1) is it very challenging to collect, aggregate and produce an *in-situ* dataset that is representative of entire euphotic depth and beyond at global scale, required for understanding distributions in C-phyto.

**Gaps:** Since current satellite ocean colour techniques are limited to passive radiometry which only delivers information from the first optical depth, the collection of *in-situ* C-phyto data for validation of satellite products has been largely limited to discrete water sampling at surface depths. For a complete understanding of the role of C-phyto in the ocean



carbon cycle, it is imperative that we extend measurements deeper into the water column, encompassing the entire euphotic zone. Parametrisations have been developed to extrapolate the satellite ocean colour fields on the first optical depth to derive the Chl-a concentration (Morel and Berthon, 1989) or the contribution of phytoplankton size classes (Uitz et al., 2006) for the entire euphotic depth. Similarly, approximations based on *in-situ* data sampling of the vertical profile of phytoplankton carbon are needed.

**Opportunities:** There are potential opportunities to use autonomous platforms such as BGC-Argo floats (Claustre et al., 2020), undulating profilers (Bracher et al., 2020) and moorings (Von Appen et al., 2021), together with satellite passive (ocean colour) and active (lidar) remote-sensing and modelling (e.g. through data assimilation), to help reconstruct, via techniques like artificial intelligence, the 4D view of C-phyto, to better observe phytoplankton biomass dynamics below the ocean surface (e.g., Brewin et al., 2022). Quantum computing may help in this regard.

### 3.4. Dissolved Organic Carbon (DOC)

DOC is ubiquitous in the ocean and represents a considerable reservoir of carbon, at around 662 GtC, approximately the size of the atmospheric CO<sub>2</sub> pool (Hansell et al., 2009). Marine DOC is also a dynamic carbon component, that fulfills important biogeochemical and ecological functions, and connects terrestrial landscapes (Anderson et al., 2019), freshwater and marine ecosystems and the atmosphere (Carlson and Hansell, 2015; Anderson et al., 2019). Continuously and accurately quantifying DOC stocks and fluxes in the ocean is critical to our understanding of the global role of DOC and its susceptibility to

change.

#### 3.4.1. State of the art in DOC

In recent years, synoptic monitoring of DOC has been attempted using optical techniques and Earth Observation. A wide range of methods have been trailed, mainly empirical, including linear regressions, artificial neural network algorithm, random forest classification, and gradient boosting. These approaches typically estimate DOC concentration using single or multiple variables, including: remote-sensing reflectance, remotely-sensed CDOM absorption coefficients, sea-surface salinity, SST, Chl-a concentration, and modelled mixed layer depths. For an in-depth review of the status of DOC monitoring, the reader is referred Section 4.1.2 of Brewin et al. (2021) and the recent review of Fichot et al. (Under Review).

Four key priorities were identified following presentations and discussions at the workshop. These are summarised in Table 6 and include: 1) spatial and temporal coverage of the coastal ocean; 2) understanding the relationship between CDOM and DOC; 3) identification of sources and reactivity; and 4) vertical measurements.

#### 3.4.2. DOC priority 1: Spatial and temporal coverage of the coastal ocean

**Challenges:** The remote sensing of DOC in the surface ocean is facilitated by the optical detection of CDOM (the coloured component of dissolved matter), particularly in the coastal ocean, where DOC and CDOM can be tightly correlated (Ferrari et al., 1996; Vodacek et al., 1997; Bowers et al., 2004; Fichot and Benner, 2012; Tehrani et al., 2013). In such cases, the detection of DOC from space relies on the optical detection of CDOM absorption coefficients,  $a_g(\lambda)$ , from remote-sensing reflectance, followed by the estimation of DOC from  $a_g(\lambda)$ .

**Table 6**

Priorities, challenges, gaps and opportunities for satellite detection of Dissolved Organic Carbon (DOC).

Priority	Challenges	Gaps	Opportunities
(1) Spatial and temporal coverage of the coastal ocean	<ul style="list-style-type: none"> <li>Quantifying DOC stocks and fluxes in coastal waters require data with high temporal coverage.</li> <li>Atmospheric-correction of ocean colour data in coastal waters.</li> <li>Viewing high latitudes regions from space in winter months.</li> </ul>	<ul style="list-style-type: none"> <li>Estimates of DOC stocks and fluxes in coastal environments limited by the temporal coverage of existing satellites.</li> </ul>	<ul style="list-style-type: none"> <li>Geostationary ocean colour satellites, capable of imaging multiple times daily.</li> <li>Future satellite ocean colour constellations may improve temporal coverage.</li> </ul>
(2) Understanding and constraining the relationship between CDOM and DOC	<ul style="list-style-type: none"> <li>Improved performance of satellite CDOM absorption retrievals is required.</li> <li>Relationships between DOC and CDOM absorption tends to be variable seasonally and across coastal systems.</li> <li>CDOM and DOC are largely decoupled in the open ocean.</li> <li>High sensitivity to atmospheric correction (e.g., effects of Rayleigh scattering).</li> </ul>	<ul style="list-style-type: none"> <li>Gaps in our understanding of the relationship between DOC and CDOM absorption.</li> <li>There is a lack satellite UV and hyperspectral data for resolving DOC and its composition.</li> <li>Reliable atmosphere-correction is needed for UV and shortwave visible wavelengths.</li> </ul>	<ul style="list-style-type: none"> <li>Utilise the spectral slope of CDOM absorption to constrain the variability between CDOM and DOC.</li> <li>New insight on the effects of photobleaching may provide opportunities for mechanistic models of the processes regulating the relationship between CDOM and DOC.</li> <li>Harness opportunities to acquire high-quality field measurements of DOC and CDOM absorption.</li> <li>Emerging UV and hyperspectral satellites will open opportunities for CDOM and DOC retrievals.</li> <li>Harness optical water type frameworks for algorithms selection and merging for better separation of NAP-CDOM effects.</li> </ul>
(3) Identification of sources and reactivity	<ul style="list-style-type: none"> <li>Challenging to identify specific pools of DOC of different sources and reactivity.</li> </ul>	<ul style="list-style-type: none"> <li>Few studies assessing whether the DOM fluoresced signal can be detected from ocean colour.</li> </ul>	<ul style="list-style-type: none"> <li>Whether the fluorescence of DOC and CDOM can have a measurable influence on remote-sensing reflectance.</li> <li>Hyperspectral sensors will provide improved signal-to-noise ratio, atmospheric corrections, as well as enhanced spectral information in the UV-visible range</li> <li>Opportunities with active remote-sensing approaches based on laser-induced fluorescence.</li> </ul>
(4) Vertical measurements	<ul style="list-style-type: none"> <li>Remote sensing of CDOM and DOC is limited to surface measurements.</li> </ul>	<ul style="list-style-type: none"> <li>Approaches that extrapolate surface DOC and CDOM to depth require extensive <i>in-situ</i> datasets (vertical profiles). Gaps exist for many regions and seasons.</li> </ul>	<ul style="list-style-type: none"> <li>Acquiring <i>in-situ</i> measurements from autonomous platforms like BGC-Argo equipped with DOM-fluorescence sensors and radiometry.</li> <li>Opportunities with UV-lidar-based techniques to retrieve sub-surface information about CDOM.</li> <li>Opportunities to harness modelling approaches to improve estimation of DOC dynamics at depth.</li> </ul>

However, as coastal regions are highly dynamic and heterogenous, quantifying DOC stocks and fluxes require satellite optical monitoring systems with high temporal and spatial coverage, and accurate atmospheric correction (e.g., separating the contribution of Rayleigh scattering in the atmosphere is particularly important for DOC retrievals; Juhls et al., 2019), both of which are challenging. Although there has been exciting progress quantifying robust relationships between DOC and CDOM in Arctic river, coastal and offshore waters (Juhls et al., 2022; Novak et al., 2022), high latitudes, where high loads of DOC are transported from rivers into the sea (e.g., Arctic rivers, Baltic) are difficult to view using passive ocean colour satellites in winter months,

**Gaps:** At present, accurate estimates of DOC stocks and fluxes in coastal environments are severely limited by the temporal coverage of existing ocean colour satellites. Current satellites offer revisit times of about five times per week, at best (though this depends on latitude and time of year). More appropriate revisit times for nearshore coastal waters would need to be an order of magnitude higher (e.g., ideally 3–5 times per day) to adequately capture the dynamics of DOC and facilitate the accurate estimation of DOC fluxes across the boundaries of coastal systems. This is especially important for the nearshore regions of the coastal ocean which can be strongly influenced by tides, currents, and rivers.

**Opportunities:** With the advent of geostationary ocean colour satellites, such as GOCI and the upcoming hyperspectral NASA GLIMR, capable of imaging multiple times daily, there are exciting opportunities to address these challenges and gaps at regional scales (e.g., see Huang et al., 2017). NASA's GLIMR (launch expected in 2027) will help quantify DOC stocks and fluxes in coastal environments of the continental USA and in targeted regions of coastal South America (e.g., Amazon River outflow, Orinoco River Outflow) by providing multiple observations per day (hourly), at around 300 m resolution. Reflectances from GLIMR will also be hyperspectral (10 nm resolution) across the UV-NIR range (340–1040 nm) and will therefore provide the opportunity for improved accuracy of DOC concentration retrievals. We recommend continuing efforts towards deploying additional geostationary and hyperspectral satellites to improve the lack of good temporal coverage in other coastal regions around the world. High spatial resolution satellites (such as Sentinel-3 and Sentinel-2/Landsat), and potential future constellations of Cubesats (e.g., SeaHawk/HawkEye; Jeffrey et al., 2018), may also help in this regard.

### 3.4.3. DOC priority 2: Understanding and constraining the relationship between CDOM and DOC

**Challenges:** Improvements in satellite CDOM absorption retrievals are needed, with uncertainties in algorithms often higher than other IOPs derived from ocean colour data (Brewin et al., 2015b). The relationships between DOC and CDOM absorption, commonly used to quantify stocks of DOC in coastal regions, tends to be variable seasonally and across coastal systems (Mannino et al., 2008; Massicotte et al., 2017; Cao et al., 2018). Furthermore, the dynamics of CDOM and DOC are largely decoupled in the open ocean (Nelson and Siegel, 2013), making the accurate remote sensing of DOC concentration challenging in much of the open ocean.

**Gaps:** There are gaps in our understanding of the relationship between DOC and CDOM absorption coefficients that need to be addressed, for example, relationships are likely to depend on the type of river system studied, and its optical constituents. There are also gaps in our understanding of the various physical and biogeochemical processes that impact differently CDOM absorption and DOC, depending on DOC quality (e.g., Miller and Moran, 1997; Tzortziou et al., 2007; Helms et al., 2008). This will improve our understanding of regional and seasonal variability in the relationship among these variables, and consequently improve DOC estimates from space. Additionally, there is a lack satellite UV and hyperspectral data for resolving DOC and its composition.

**Opportunities:** We recommend the community work towards

improving this understanding through a combination of the following four efforts.

- Utilise the spectral slope of CDOM absorption,  $S_{275-295}$ , to constrain the variability between CDOM and DOC in the ocean and improve empirical algorithms. In river-influenced coastal systems,  $S_{275-295}$  has been shown to be a useful parameter to constrain the variability between CDOM and DOC (Fichot and Benner, 2011; Cao et al., 2018). It has also been shown that this parameter can be retrieved empirically with reasonable accuracy from ocean colour, therefore providing a means to improve DOC retrievals (Mannino et al., 2008; Fichot et al., 2013; Fichot et al., 2014; Cao et al., 2018). Future studies could look into developing similar approaches for other regions of the ocean. Retrievals of  $S_{275-295}$  requires very accurate atmospheric correction, which is challenging in coastal waters.
- Develop mechanistic models of the processes regulating the relationship between CDOM and DOC, by integrating new insight on the effects of photobleaching. Recent efforts have quantified and included in biogeochemical models (e.g., Clark et al., 2019) the effects of photobleaching on CDOM absorption coefficient spectra, which in turn, may improve our ability to constrain the relationship between CDOM and DOC (Swan et al., 2013; Zhu et al., 2020). Similar efforts should be conducted for understanding other processes such as the marine biological net production of DOC. A quantitative appreciation of these processes is also critical to understand the influence of climate-driven change on the relationship between CDOM and DOC.
- Harness opportunities to acquire high-quality field measurements of DOC and CDOM absorption across different seasons and marine environments. This could be achieved by tapping into field campaigns that collect IOPs and apparent optical properties (AOPs) for satellite validation, and perform additional concurrent sampling for DOC. Many field datasets include measurements of CDOM absorption coefficients but lack DOC measurements. It should be noted, however, that while many labs have the capability to measure CDOM, much fewer labs can measure DOC. Coordinated efforts should therefore be considered to ensure that CDOM and DOC are measured together as often as possible. This could be aided by the development of semi-automotive methods to measure DOC, that could be used alongside similar techniques for measuring CDOM absorption (e.g., Dall'Olmo et al., 2017), which could facilitate the development of improved satellite DOC algorithms.
- Harnessing new satellite sensors for CDOM and DOC retrievals. For example, consideration in the allocation and characteristics of spectral wavebands for DOC studies has also gone into the development of NASA's PACE mission (Werdell et al., 2019). Harnessing optical water type frameworks for algorithm selection, may also lead to better separation of NAP-CDOM absorption. Within the ESA project Sentinel-5-P for Ocean Colour Products (S5POC),  $K_d$  products at three wavelengths (UV-AB, UV-A and short blue) were developed (Oelker et al., 2022), which could help provide insight on the sources of CDOM. Additionally, there is potential to exploit the high spectral resolution of TROPOMI (e.g., the filling of the Fraunhofer lines by Fluorescent Dissolved Organic Matter (FDOM)) to acquire information on the sources of DOM.

### 3.4.4. DOC priority 3: Identification of source and reactivity

**Challenges:** To quantify the cycling, fate, and impacts of DOC in the ocean, requires identifying specific pools of DOC of different sources and reactivity. This is particularly true for the coastal ocean. There is likely to be large gradients in the sources and reactivity of DOC as we transition from inland waters to coasts and the open ocean.

**Gaps:** Although fluorescence excitation-emission matrix methods have been used as an *in-situ* optical indicator of dissolved organic matter (DOM) origin and reactivity (Mopper and Schultz, 1993; Kowalczyk et al., 2013), there has been few studies assessing whether the DOM

fluoresced signal can be detected from remote-sensing reflectance.

**Opportunities:** We recommend the community puts efforts towards assessing whether the fluorescence of DOC and CDOM, originating from specific sources (e.g., riverine, effluent), can have a measurable influence on remote-sensing reflectance. Recent and upcoming hyperspectral sensors (e.g., TROPOMI, GLIMR, PRISMA, PACE, see Table 2) have (or will have) improved signal-to-noise ratio, as well as enhanced spectral information in the UV–visible range, and adequate spatial resolution, that could facilitate detection of the fluorescence signature of certain pools of DOC and CDOM (Wolanin et al., 2015; Oelker et al., 2022; Harringmeyer et al., 2021). Such efforts can be facilitated with radiative transfer simulations (e.g., Hydrolight, [www.hydrolight.info](http://www.hydrolight.info), and SCIA-TRAN, <https://www.iup.uni-bremen.de/sciatran/>). However, the fluorescence signature of DOC is currently not well understood, and we require a better quantitative knowledge of the fluorescence quantum yield matrix of DOC and CDOM and how it varies with specific DOM sources (Wünsch et al., 2015).

Active remote-sensing approaches based on laser-induced fluorescence could also potentially facilitate the sourcing of DOM in the surface ocean. Airborne laser-based measurements of DOM have been used in the past, but these only used a single excitation-emission wavelength pair, and were used to specifically measure DOC (Hoge et al., 1993; Vodacek, 1989). The use of multiple, carefully chosen excitation-emission wavelength combinations could potentially help identify specific pools of DOM with unique fluorescence signatures.

#### 3.4.5. DOC priority 4: Vertical measurements

**Challenges:** The remote sensing of CDOM and DOC is limited to surface measurements. Accurately extrapolating these measurements to depth requires understanding of vertical variability. At present, depth variability is generally assumed or estimated using empirical or statistical approaches (e.g., neural networks) trained with field observations (Mannino et al., 2016).

**Gaps:** Approaches that extrapolate surface DOC and CDOM to depth require extensive *in-situ* datasets (vertical profiles) of DOC and CDOM, representative of a wide range of conditions. Though efforts have been made in this regard (Nelson and Siegel, 2013; Hansell, 2013), gaps exist for many regions and seasons.

**Opportunities:** *In-situ* measurements from autonomous platforms like BGC-Argo equipped with DOM-fluorescence sensors can provide valuable information about the depth-dependency of DOM in the ocean (Claustre et al., 2020). BGC-Argo radiometric measurements in the UV can also be used to get CDOM absorption proxies (Organelli and Claustre, 2019; Organelli et al., 2017b). Recently, projects such as AEOLUS COLOR (CDOM-proxy retrieval from aeOLus ObseRvations), have focused on developing UV-lidar-based techniques to retrieve sub-surface information about CDOM in the ocean (Dionisi et al., 2021). The ESA AEOLUS mission is a UV-lidar (355 nm) mission originally designed for the retrieval of atmospheric properties, but the UV capabilities of this active sensor provides an opportunity to retrieve in-water properties of CDOM. We recommend that the community continue to explore original ideas to improve the detection of CDOM and DOC below the surface. There are also opportunities to harness mechanistic modelling approaches (physical and biogeochemical modelling) to improve estimation of DOC dynamics at depth (Mannino et al., 2016).

### 3.5. Inorganic carbon and fluxes at the ocean interface (IC)

Unlike organic carbon, consisting primarily of organic compounds such as lipids, proteins and nucleic acids, inorganic carbon consists of simple compounds such as carbon dioxide, bicarbonate, carbonate and carbonic acid. Inorganic carbon in the ocean can be partitioned into dissolved (DIC) and particulate (PIC) form. Although these two could be treated separately in a review of this nature, they are intimately linked, considering DIC can be transferred to PIC through biological (e.g., planktonic fixation and osmoregulation) or abiotic (e.g., aragonite)

formation of calcium carbonate ( $\text{CaCO}_3$ ), and PIC to DIC through the dissolution of  $\text{CaCO}_3$ . These processes impact the  $\text{CO}_2$  concentration of the water, its alkalinity and pH.

Relative to DIC, PIC is a small pool of carbon at around 0.03 GtC (Hopkins et al., 2019), but annual production is considered highly variable and estimated to be of the order  $0.8\text{--}1.4 \text{ GtCy}^{-1}$  (Feely et al., 2004). PIC is present in the form of particulate  $\text{CaCO}_3$ , with coccolithophores, pteropods, foraminifera and PIC-containing sediments, thought to be the main sources of PIC in the ocean (Schiebel, 2002; Feely et al., 2004; Buitenhuis et al., 2019). Despite its biological growth the formation of PIC has the net-effect of shifting the carbonate chemistry towards higher  $\text{CO}_2$  in the water and decreasing its pH (Zeebe and Wolf-Gladrow, 2001; Rost and Riebesell, 2004; Zeebe, 2012). The reader is referred to the recent review of Neukermans et al. (2023), for a more detailed description of our current understanding of the influence of PIC production on carbon cycling.

In contrast, DIC constitutes the largest pool of carbon in the ocean, at around 38,000 GtC (Hedges, 1992), and connects carbon in the ocean with the atmosphere and with the land.  $\text{CO}_2$  dissolves in seawater and reacts with water to form carbonic acid ( $\text{H}_2\text{CO}_3$ ). Carbonic acid is unstable and dissociates into bicarbonate ( $\text{HCO}_3^-$ ), carbonate ( $\text{CO}_3^{2-}$ ) and protons ( $\text{H}^+$ ). The equilibrium among these forms controls ocean pH. From a biological viewpoint the gaseous quantity of  $\text{CO}_2$  in seawater,  $p\text{CO}_2$ , is modulated by photosynthesis (PP) and respiration (mineralization) which is captured within net community production estimates.

The flux or flow of  $\text{CO}_2$  and other gases between ocean and atmosphere is proportional both to an effective concentration gradient and to an exchange coefficient that depends on turbulent processes (Liss and Slater, 1974; Wanninkhof, 2014). The concentration gradient is typically described by a difference in partial pressure ( $p\text{CO}_2$ ) between lower atmosphere and upper ocean, but there are subtleties in the precise calculation related to marine temperature and salinity gradients (Woolf et al., 2016), since carbonate chemistry is very sensitive to temperature and salinity (Takahashi et al., 2009). The turbulent exchange is mostly wind driven and is often described by a “transfer velocity”,  $k$ , parameterised in terms of wind speed and temperature (Wanninkhof, 2014).

#### 3.5.1. State of the art in inorganic carbon and air-sea fluxes

Methods to remotely sense PIC have focused on individual or multi-spectral band optical detection of coccolithophores (Gordon et al., 2001; Balch et al., 2005; Mitchell et al., 2017), with some using time series to improve data consistency (Shutler et al., 2010). Due to their unique optical signature (when the plankton dies coccoliths are detached causing the water to appear spectrally white), coccolithophore blooms have been mapped via satellite ocean colour since the launch of NASA’s CZCS satellite sensor (Holligan et al., 1983; Brown and Yoder, 1994) and the Advanced Very High Resolution Radiometer (AVHRR) in 1978 (Groom and Holligan, 1987; Smyth et al., 2004; Loveday and Smyth, 2018). The challenges of detection include: detecting coccolithophores and their associated PIC at low concentrations (or prior to their coccoliths becoming detached), during bloom events, in the presence of bubbles (e.g., in the Southern Ocean; Randolph et al., 2014), and to remove the effects of suspended particulates that exhibit similar spectral properties in shelf seas (Shutler et al., 2010). Laboratory and field observations (Voss et al., 1998; Balch et al., 1999; Balch et al., 1996; Smyth et al., 2002) have informed PIC algorithm development for determining calcite concentrations by relating coccolithophore abundance and morphology to PIC concentrations. Currently NASA Ocean Biology Distributed Active Archive Centre (DAAC) distributes a PIC concentration product that merges Balch et al. (2005) and Gordon et al. (2001), and there is also a developmental PIC product available (Mitchell et al., 2017). For a recent review of the spectral PIC detection methods, see Balch and Mitchell (2023).

DIC and other key carbonate system variables (e.g., total alkalinity (TA), pH, and  $p\text{CO}_2$ ) are more challenging to determine from satellite

observations as they do not have a unique spectral signature. However, alkalinity is strongly conservative with salinity so this has led to the development of many regional relationships to predict TA from salinity (e.g., Cai et al., 2010; Lefèvre et al., 2010) and DIC from salinity and temperature (e.g. Lee et al., 2006), as well as global relationships using a suite of physical and chemical variables (e.g., Sasse et al., 2013) and their application to satellite remote sensing has been identified (Land et al., 2015). For example, total alkalinity has been estimated using the strong relation with sea surface salinity (SSS) which in the last decade has been measured by different satellites, such as ESA's Soil Moisture and Ocean Salinity satellite (SMOS; Reul et al., 2012), NASA/Comision Nacional de Actividades Espaciales (CONAE) Aquarius (Lagerloef et al., 2013), and NASA's Soil Moisture Active Passive satellite (SMAP; Tang et al., 2017). More recently, efforts to combine physical and optical satellite ocean observations with climatological and re-analysis data products has opened the door to remote estimation of the complete marine carbonate system via regional and global relationships as well as new machine learning methods and carbonate system calculation packages (e.g., Land et al., 2019; Gregor and Gruber, 2021).

Large scale air/sea flux estimates typically make use of the Surface Ocean CO<sub>2</sub> Atlas (SOCAT, <https://www.socat.info/index.php/data-access/>; Bakker et al., 2016) and/or global climatologies of surface seawater pCO<sub>2</sub> using data interpolation/extrapolation and neural network techniques (e.g., Takahashi et al., 2009; Rödenbeck et al., 2013; Landschützer et al., 2020) to produce spatially and temporally complete fields. These pCO<sub>2</sub> fields can be coupled with satellite retrievals of SST, wind speed, and other variables, to calculate the air-sea CO<sub>2</sub> flux (e.g., as

discussed with Shutler et al., 2016). A key parameter for the calculation of the air-sea CO<sub>2</sub> fluxes is the xCO<sub>2</sub> fraction in air. Global coverage of atmospheric CO<sub>2</sub> estimates is available from multiple satellite missions (e.g., Greenhouse gases Observing SATellite (GOSAT) 2009-present, Orbiting Carbon Observatory-2 (OCO-2) 2014-present, and OCO-3 2019-present). Satellite observations have been combined with model output to estimate pCO<sub>2</sub> and air-sea flux (e.g., Arrigo et al., 2010) and estimates of pCO<sub>2</sub> and air-sea flux have been achieved solely from satellite observations (e.g., Ono et al., 2004; Borges et al., 2009; Lohrenz et al., 2018). It is also possible to calculate seawater pCO<sub>2</sub> from observations of TA and DIC and using marine carbonate system calculations (e.g., Humphreys et al., 2022). For a more in-depth review of status of using satellite remote sensing for determining inorganic carbon and fluxes at the ocean interface, the reader is referred to Shutler et al. (Under Review).

Modelling studies can also help inform satellite approaches. They have been used to evaluate the drivers of the marine carbonate system (e.g., Lauderdale et al., 2016) and examine potential impacts of extreme and compound events (e.g., Salisbury and Jönsson, 2018; Burger et al., 2020; Gruber et al., 2021). Seawater pCO<sub>2</sub> and air-sea CO<sub>2</sub> fluxes can also be estimated using dynamic ocean biogeochemical models (Hauck et al., 2020) and data-assimilation-based models (e.g., Verdy and Mazloff, 2017). Estimating the Circulation and Climate of the Ocean Darwin model (ECCO-Darwin) (Carroll et al., 2020; Carroll et al., 2022) is one such example which is initialised with a suite of physical variables, biogeochemical properties and also TA and DIC from datasets such as Global Ocean Data Analysis Project (GLODAP). It assimilates a

**Table 7**

Priorities, challenges, gaps and opportunities for satellite detection of inorganic carbon (IC) and fluxes at the ocean interface.

Priority	Challenges	Gaps	Opportunities
(1) <i>In-situ</i> data	<ul style="list-style-type: none"> <li>• Strong reliance on <i>in-situ</i> data, as many components of IC are not directly observable from space.</li> <li>• <i>In-situ</i> data is of a much coarser spatial and temporal resolution when compared with satellite data.</li> <li>• <i>In-situ</i> data products are heavily extrapolated.</li> <li>• Challenging to integrate <i>in-situ</i> datasets without community consensus on best practices and reference materials.</li> </ul>	<ul style="list-style-type: none"> <li>• Better spatial and temporal coverage of field observations required throughout the water column.</li> <li>• Limited <i>in-situ</i> data time-series stations in key locations.</li> </ul>	<ul style="list-style-type: none"> <li>• Opportunities to improve the spatial and temporal resolution of <i>in-situ</i> data through autonomous platforms.</li> <li>• Opportunities to extend recent efforts to develop FRM to inorganic carbon.</li> </ul>
(2) Satellite retrievals and mapping uncertainty	<ul style="list-style-type: none"> <li>• Satellite inorganic carbon estimates in optically-complex water are challenging.</li> <li>• Challenging to retain the theoretical understanding of satellite algorithms, while harnessing new powerful statistical approaches (e.g. AI).</li> </ul>	<ul style="list-style-type: none"> <li>• Lack of pixel-by-pixel uncertainty estimates in the satellite inorganic products.</li> <li>• Lack of coincident <i>in-situ</i> observations of PIC, other highly scattering materials, and IOPs, in optically-complex waters.</li> <li>• Closer collaboration between data generators and modellers is needed.</li> </ul>	<ul style="list-style-type: none"> <li>• New satellite sensors, with improved spatial, spectral and temporal resolution, may lead to improvements in IC satellite products.</li> <li>• Opportunities to harness and build on recent techniques used to map uncertainty.</li> </ul>
(3) Models and data integration	<ul style="list-style-type: none"> <li>• Bridging the differences (e.g., scales) in data products and models.</li> <li>• <i>In-situ</i>, data-driven products are sensitive to choice of extrapolation method.</li> </ul>	<ul style="list-style-type: none"> <li>• Closer collaboration between data generators and modellers is needed.</li> </ul>	<ul style="list-style-type: none"> <li>• Opportunities to harness improved computer processing power, and new statistical tools.</li> <li>• Opportunities to improve model products by reconciling model carbon budgets with those from satellite and <i>in-situ</i> products.</li> <li>• Opportunities to harness an increasing range of data sources to improve data products, for example, data assimilation reanalysis.</li> <li>• Opportunity for routine integration of <i>in-situ</i>, model, and satellite observations to enable assessment of the surface water pCO<sub>2</sub>, air-sea exchange and the net integrated air-sea flux of carbon.</li> </ul>
(4) Mechanistic understanding of gas transfer	<ul style="list-style-type: none"> <li>• Mechanistic understanding of gas transfer is challenged by our ability to measure and quantify key processes.</li> </ul>	<ul style="list-style-type: none"> <li>• Large uncertainties surrounding the influence of near surface temperature gradients on gas transfer.</li> <li>• Large uncertainty surrounding the importance of bubbles for air-sea CO<sub>2</sub> fluxes.</li> <li>• Carbon dynamics and air-sea CO<sub>2</sub> fluxes in mixed sea ice regions are poorly understood.</li> </ul>	<ul style="list-style-type: none"> <li>• Opportunity to establish FRM status and agree best practice for eddy covariance air-sea CO<sub>2</sub> fluxes.</li> <li>• Opportunities to exploit state-of-the-art techniques on novel platforms to improve understanding of air-sea CO<sub>2</sub> fluxes in different environments such as mixed sea ice regions.</li> <li>• Opportunity to quantify the magnitude of near surface temperature gradients on air-sea CO<sub>2</sub> fluxes.</li> <li>• Opportunity to develop/improve parameterisations that use sea surface roughness to estimate air-sea CO<sub>2</sub> transfer.</li> </ul>

combination of physical and biogeochemical data to produce physically conserved properties. As such models continue to evolve, it will be increasingly possible to use them to assess regional and global scale carbon inventories as well as fluxes and evaluate them with satellite-based products.

At the workshop, four priorities were identified in relation to the detection of inorganic carbon and the air-sea flux of CO<sub>2</sub> from space (summarised in Table 7), including: 1) *in-situ* data; 2) satellite retrievals and mapping uncertainty; 3) models and data integration; and 4) mechanistic understanding of gas transfer.

### 3.5.2. IC priority 1: *In-situ* data

**Challenges:** Considering many components of inorganic carbon are not directly observable from space, there is a strong reliance on *in-situ* data. Integrating *in-situ* data products with satellite data is challenging, owing to large differences in spatial and temporal resolution. Furthermore, it can be challenging to integrate *in-situ* datasets from different sources and collaborators, without community consensus on best practices and consistent use of traceable reference materials and consistent standards.

**Gaps:** Improved spatial and temporal coverage of field observations in key regions and times, not only at the surface but also the full water column, is an essential requirement for the development, validation and use of satellite-based IC approaches. Although there are some existing programmes to monitor *p*CO<sub>2</sub> from ships (e.g., SOCAT), air-sea CO<sub>2</sub> flux assessments are spatially and temporally limited by the extent and number of the *in-situ* data that underpin them. Additionally, our understanding of long-term changes in *p*CO<sub>2</sub> and fluxes, in key ocean regions (e.g., the Southern Ocean), is limited by a lack of *in-situ* data time-series stations (Sutton et al., 2019). At present, there is no dedicated framework for sustained, long-term monitoring of seawater *p*CO<sub>2</sub> (particularly in Southern Ocean which contributes around 40% of the anthropogenic carbon uptake) which is concerning as without these satellite methods are limited, though some satellite products like wind may still reveal insights into *p*CO<sub>2</sub> dynamics.

There are also gaps in our ability to assure consistent quality of these *in-situ* observations. For example, TA and DIC observations require a CRM (Dickson, 2010), that needs to be sustained into the future (at present there is only one laboratory able to produce it). Community-wide agreement on best practices and approaches is needed for measurements that enable accurate estimation of air-sea CO<sub>2</sub> fluxes.

**Opportunities** There are opportunities to improve the spatial and temporal resolution of *in-situ* data through autonomous platforms, such as BGC-Argo floats (Williams et al., 2017; Bittig et al., 2018; Claustre et al., 2020) and autonomous surface vehicles or sail drones (Sabine et al., 2020; Chiodi et al., 2021; Sutton et al., 2021). Furthermore, as technology and instrumentation continues to advance, there are opportunities to develop and integrate new sensors on these platforms, such as exploiting polarimetry to detect PIC (Bishop et al., 2022). There may be opportunities to extend recent efforts to develop Fiducial Reference Measurements (FRM) for satellite products (e.g., Menn et al., 2019; Banks et al., 2020; Mertikas et al., 2020) to *in-situ* measurements of inorganic carbon. This could help towards generating robust, community-accepted processes and protocols, needed to satisfy issues related to integrating *in-situ* datasets from different sources.

### 3.5.3. IC priority 2: *Satellite retrievals and mapping uncertainty*

**Challenges:** Estimating some components of the inorganic carbon cycle in optically-complex water is challenging. For example, current PIC satellite products are global and are not as accurate in environments where other highly scattering materials are present (e.g., coastal shelf seas, but see Shutler et al., 2010, who used of machine learning and computer vision approaches), and can be flagged as clouds. For all inorganic products (including TA and, ΔCO<sub>2</sub>) there are also trade-offs related to retaining the use of satellite algorithms based on theoretical understanding, and harnessing new powerful empirical (black box)

approaches, such as machine learning.

**Gaps:** The lack of pixel-by-pixel uncertainty estimates in the satellite products, for all components of the inorganic carbon cycle and carbonate system, is a major gap that needs to be addressed. There is a crucial lack of coincident *in-situ* observations of PIC concentrations and other highly scattering materials, along with full spectral measurements of specific inherent optical properties for PIC, needed to improve PIC concentration estimates in optically complex water.

**Opportunities:** Plans for improved spatial, spectral and temporal resolution of satellite sensors will likely lead to improvements in IC satellite products. For example, in optically complex waters, hyperspectral satellite data may help differentiate among particles that scatter light with high efficiency, and lead to improved PIC products. Information on light polarisation (e.g. from PACE) may also be useful for improving PIC algorithms. There may be opportunities to harness and build on recent techniques used to map uncertainty in satellite organic carbon products (e.g., Evers-King et al., 2017; Martínez-Vicente et al., 2017; Brewin et al., 2017a; IOCCG, 2019) for the mapping of uncertainty in satellite inorganic carbon products and flux estimates.

### 3.5.4. IC priority 3: *Models and data integration*

**Challenges:** Bridging the differences in spatial and temporal scales in data products and models, and differences in units (e.g. what is measured versus what is represented in the models), is a major challenge in producing accurate inorganic carbon and flux products. There are also challenges in extrapolating *p*CO<sub>2</sub> observations to the surface and horizontally (see Woolf et al., 2016).

**Gaps:** Closer collaboration between data generators and modellers is required to improve the development of satellite-based inorganic carbon products for integration into Earth System Models (Cronin et al., 2022).

**Opportunities:** Enhanced computer processing power (e.g., quantum computing), and the development of new statistical tools for big data (e.g., machine learning), offer opportunities to improve model and data integration. There are opportunities to improve model products by reconciling model carbon budgets with both satellite and *in-situ* observations, for example, by constraining the different terms within the budget. Increases in the amount of data produced from a range of sources (models, satellites, ships, autonomous platforms, etc.) mean that improved links among biogeochemical, physical, optical and biological data could help improve data products (e.g., Bittig et al., 2018). Additionally, assimilation of these large dataset into models could improve reanalysis products, providing accurate, high resolution *p*CO<sub>2</sub>, DIC and TA estimations on local, regional and global scales (Verdy and Mazloff, 2017; Rosso et al., 2017; Carroll et al., 2020; Carroll et al., 2022).

There is a key opportunity to pursue a full and routine integration of *in-situ*, model, and satellite observations to enable routine assessment of the surface water *p*CO<sub>2</sub>, air-sea exchange and the net integrated air-sea flux (or ocean sink) of carbon. This has been highlighted previously and is needed to support policy decisions for reducing emissions (Shutler et al., 2020).

### 3.5.5. IC priority 4: *Mechanistic understanding of gas transfer*

**Challenges:** Air-sea gas transfer remains a controlling source of uncertainty within global assessments of the oceanic sink of CO<sub>2</sub> (Woolf et al., 2019). Despite significant progress in our ability to measure gas exchange, our mechanistic understanding of gas transfer is incomplete (see Yang et al., 2022b).

**Gaps:** There is a need to move away from wind speed as a proxy for air-sea transfer (Shutler et al., 2020) as many other processes control the transfer including wave breaking, surfactants and bubbles and new advances in understanding are now being made (e.g. Bell et al., 2017; Blomquist et al., 2017; Pereira et al., 2018). The carbon dynamics and air-sea CO<sub>2</sub> fluxes within mixed sea ice regions provides further complexities and are poorly understood (see Gupta et al., 2020; Watts et al., 2022) and these regions are expected to grow with a warming climate which illustrates a major gap in understanding.

There are large uncertainties surrounding the influence of near surface temperature gradients on air-sea CO<sub>2</sub> fluxes (see Watson et al., 2020; Dong et al., 2022), and the role of wave breaking, bubbles and turbulence (see Bell et al., 2017; Blomquist et al., 2017).

**Opportunities:** State-of-the-art flux measurement techniques, such as eddy covariance (see Dong et al., 2021), need to be established as FRM. There are opportunities to exploit these techniques on novel platforms and to use novel autonomous technologies to improve understanding of air-sea CO<sub>2</sub> fluxes. The novel tools should be applied in a range of environments (e.g., low winds, high winds, marginal ice zones) to understand specific processes. For example, the influence of near surface temperature gradients on air-sea CO<sub>2</sub> fluxes is currently only theoretical and needs to be quantified/verified by direct observations. Improvements in wind speed products could aid in better gas transfer (Taboada et al., 2019; Russell et al., 2021), although satellite-derived gas transfer estimates could also be improved if measures other than wind speed are exploited that provide more direct observations of surface structure and turbulence (e.g., sea state or sea surface roughness using radar backscattering observations, see Goddijn-Murphy et al., 2013).

#### 4. Cross-cutting activities

##### 4.1. Blue Carbon (BC)

Tidal marshes, mangroves, macroalgae and seagrass beds, collectively referred to as BC ecosystems, are some of the most carbon-dense habitats on Earth. Despite occupying only 0.2% of the ocean surface, they are thought to contribute around 50% of carbon burial in marine sediments, with a global stock size in the region of 10 to 24 GtC (Duarte et al., 2013). In addition to providing many essential services, such as coastal storm and sea level protection, water quality regulation, wildlife habitat, biodiversity, shoreline stabilization, and food security, they are highly productive ecosystems that have the capacity to sequester vast amounts of carbon and store it in their biomass and their soils (Mcleod et al., 2011). However, their carbon sequestration capacity, carbon storage, and carbon export, depend on many critical processes, including inundation dynamics, sea level rise, air- and water pollution, changes in salinity regimes, and rising temperatures. All of which are sensitive to human impacts and climate change (Macreadie et al., 2019) with coastal ecosystems being a highly active interface between human and natural infrastructures and a complex mix of natural and anthropogenic processes.

The role that blue carbon habitats play in regional and global carbon budgets and fluxes is a big focus in carbon research (Mcleod et al., 2011). One of the biggest unknowns and largest sources of uncertainty in quantifying the role these systems play in global carbon budgets and fluxes, is mapping the spatial extent of BC and how it is changing. Satellites can play a major role in this, but an important distinction compared to green carbon (carbon that is contained in living vegetation and soil of terrestrial forest ecosystems; Mackey et al., 2008), is that the carbon is primarily stored below rather than above ground.

##### 4.1.1. State of the art in Blue Carbon

Remote sensing technologies are increasingly used for studying BC ecosystems, owing to their synoptic capabilities, repeatability, accuracy and low cost (Hossain et al., 2015; Pham et al., 2019b; Campbell et al., 2022). Various techniques have been utilised for this purpose, including spectral optical imagery, synthetic aperture radar (SAR), lidar and aerial photogrammetry (Pham et al., 2019a; Lamb et al., 2021). Of these technologies, high spatial resolution, multi-spectral and hyper-spectral optical imagery are used more commonly, with the Landsat time-series thought to be the most widely-used dataset for studying changes in BC remotely over the past decade (Giri et al., 2011; Pham et al., 2019a; Yang et al., 2022c).

In recent years, there has been an increasing use of high resolution

Sentinel-2 and Landsat-8/9 imagery for mapping coastal BC, such as tidal marshes (e.g., Sun et al., 2021; Cao and Tzortziou, 2021) and mangroves (e.g., Castillo et al., 2017). High frequency and high spatial resolution commercial satellites are also increasingly being used for BC research. For example, the PlanetScope constellation, DigitalGlobe's WorldView-2, and Planet's RapidEye satellites, are offering new insights into seagrass mapping (Wicaksono and Lazuardi, 2018; Traganos and Reinartz, 2018; Coffey et al., 2020). Despite being challenged by the optical complexity of nearshore coastal waters, and accurate nearshore atmospheric correction (Ibrahim et al., 2018; Tzortziou et al., 2018), submerged aquatic vegetation habitats are now being studied remotely. For example, Huber et al. (2021) used Sentinel-2 data, together with machine learning techniques and advanced data processing, to map and monitor submerged aquatic vegetation habitats, including kelp forests, eelgrass meadows and rockweed beds, in Denmark and Sweden. Optical satellite remote sensing has been increasingly used for mapping benthic and pelagic macroalgae (e.g., Gower et al., 2006; Hu, 2009; Cavanaugh et al., 2010; Hu et al., 2017; Wang et al., 2018; Schroeder et al., 2019; Wang and Hu, 2021), and has highlighted that macroalgae blooms are increasing in severity and frequency (Gower et al., 2013; Smetacek and Zingone, 2013; Qi et al., 2016; Qi et al., 2017; Wang et al., 2019), with implications for carbon fixation and sequestration (Paraguay-Delgado et al., 2020; Hu et al., 2021).

International efforts have focused on translating science into policy, management and finance tools for conservation and restoration of blue carbon ecosystems, for example, through the Blue Carbon Initiative (<https://www.thebluecarboninitiative.org>). Large scale mapping of ecosystem extent, change, and attributes such as carbon, is essential for blue carbon prioritisation and implementation at global to local scales, and remote sensing plays a key role in this. For example, Goldberg et al. (2020) used satellite observations to help map mangrove coverage and change, and understand anthropogenic drivers of loss. The Global Mangrove Watch global mangrove forest baseline (taken as the year 2010) was recently updated (v2.5) and has resulted in an addition of 2,660 km<sup>2</sup>, yielding a revised global mangrove extent of 140,260 km<sup>2</sup> (Bunting et al., 2022). However, this needs to be built upon for BC as different species will have different below-ground biomass. Therefore, the carbon trapping efficiency and carbon uptake needs to be measured and used to calibrate maps of habitat extent. The development of similar tools and baselines for seagrass, salt marsh, and kelp ecosystems is needed. For a recent review on the topic of remote sensing of BC, the reader is referred to Pham et al. (2019a).

At the workshop, three priorities were identified in relation to the remote sensing of BC, these are summarised in Table 8 and include: 1) satellite sensors; 2) algorithms, retrievals and model integration; and 3) satellite data access and blue carbon accounting.

##### 4.1.2. BC priority 1: Satellite sensors

**Challenges:** Owing to the high temporal variability and heterogeneity of many BC ecosystems (tidal or otherwise), there is a requirement for monitoring at high temporal (hourly) and spatial (tidal) scales. This is challenging with the current fleet of Earth Observing satellites.

**Gaps:** Although Landsat has proven vital for the long-term monitoring of some BC ecosystems (e.g., Ha et al., 2021), there is a lack of long-term satellite datasets for change detection in many BC ecosystems.

**Opportunities:** New sensors and techniques are leading to significant advancements in the spatial and temporal characterization and monitoring of BC ecosystems. New hyperspectral observations (e.g., PACE, GLIMR, PRISMA, DLR Earth Sensing Imaging Spectrometer (DESI), Environmental Mapping and Analysis Program (EnMAP); NASA's Surface Biology and Geology (SBG); CHIME) at high to medium resolution and global scale, have the potential to distinguish differences among mangrove, seagrass, salt marsh species, and estimate satellite products relevant to carbon quality. High spatial resolution (3–5m) imagery from constellations of satellite sensors (e.g., PlanetScope) provides an unprecedented dataset to study vegetation characteristics in BC

ecosystems (Warwick-Champion et al., 2022). Multiple images per day from new geostationary satellite instruments (e.g., GLIMR), will allow to capture tidal dynamics in BC ecosystems, and monitor them (e.g., seagrass meadows) under optimum conditions. Additionally, there is scope to build on efforts to develop satellite climate records (e.g., through ESA's CCI) with a focus on BC, to help develop the long-term data records needed.

#### 4.1.3. BC priority 2: Algorithms, retrievals and model integration

**Challenges:** Considering many BC remote sensing approaches are regional, they are not easily applied (or have been tested) at global scale. Owing to the complexity of some of the techniques, uncertainty estimation for carbon fluxes in BC ecosystems is particularly challenging. Regarding the detection of subaquatic vegetation (and some other BC ecosystems), there are large uncertainties in the impact of the atmosphere and water depth on the signal. Considering large quantities of carbon are stored in the sediments of BC habitats, there are challenges to develop direct or indirect satellite techniques to monitor the dynamics of sediment carbon. The lack of models that link carbon storage and cycling in terrestrial and aquatic ecosystems, further challenges our understanding of carbon fluxes and stocks in BC habitats. Sub-pixel variability poses a challenge when monitoring macroalgae using coarser resolution satellite data.

**Gaps:** A major gap to improving algorithms and methods, is the limited availability of *in-situ* data for development and validation. For example, the lack of measurements on rates (e.g., *Sargassum* carbon fixation and sequestration efficiency) severely limits our ability to quantify large scale BC budgets (e.g., for pelagic macroalgae, see Hu et al., 2021). The lack of basic ecosystem mapping and change detection for seagrasses and kelp forests, limits our ability to extrapolate these measurements to large scales using remote sensing. The lack of BC ecosystem models limits our ability to quantify full BC carbon budgets (including soil) globally.

**Opportunities:** With improvements in computation power and statistical analysis of big data (e.g., techniques like machine learning) there is scope to improve satellite algorithms and methods of BC carbon quantification (e.g., Huber et al., 2021). Additionally, fusion of hyper-spectral optical and SAR data provides a promising approach for characterization of tidal wetland interfaces, including wetland vegetation characteristics, inundation regimes, and their impact on carbon fluxes. New *in-situ* monitoring techniques (e.g., drones) are becoming useful to bridge the scales between satellites and *in-situ* BC monitoring (e.g., Duffy

et al., 2018).

#### 4.1.4. BC priority 3: Satellite data access and blue carbon accounting

**Challenges:** Existing products and approaches are not easily accessible by users who have limited remote sensing expertise. With the increasing use of commercial satellites, there are challenges to ensure cost-effective monitoring using remote sensing techniques to track the progress of rehabilitation and restoration of blue carbon ecosystems.

**Gaps:** There are a lack of products suited to project development and carbon accounting. The remote-sensing science community must work directly with policymakers, conservationists, and others, to ensure advances in such products are tailored to applications and that the tools developed are available broadly and equitably. Products are also now needed on global scales, at higher spatial and temporal resolutions, and in a broader range of ecosystems, to support BC integration into national carbon accounts and to expand the application of carbon financing.

**Opportunities:** There is increasing momentum towards efforts to develop BC habitat mapping portals that are user friendly, for example, see Huber et al. (2021). These developments are needed to support blue carbon based conservation and restoration and have been instrumental in the recent development of blue carbon policy and financing by supporting prioritisation, assessment, and monitoring.

#### 4.2. Extreme Events (EEs)

EEs can be defined as events that occur in the upper or lower end of the range of historical measurements (Katz and Brown, 1992). Such events occur in the atmosphere (e.g., tropical cyclones, dust storms), ocean (e.g., marine heatwaves, tsunamis), and on land (e.g., volcanic eruptions, extreme bushfires), affecting marine carbon cycling at multiple spatial-temporal scales (Bates et al., 1998; Jickells et al., 2005; Gruber et al., 2021). With continued global warming in the coming decades, many EEs are expected to intensify, occur more frequently, last longer and extend over larger regions (Huang et al., 2015; Diffenbaugh et al., 2017; Frölicher et al., 2018). Extreme events and their effects on marine ecosystems and carbon cycling can be observed, to some extent, by various methods, including: ships, buoys, autonomous platforms and satellite sensors (e.g., Biagio et al., 2020; Hayashida et al., 2020; Grix et al., 2021; Wang et al., 2022; Tilstone et al. 2023). Here, we first provide a broad overview of the current state of the art in the topic, before highlighting the priorities identified at the workshop.

**Table 8**  
Priorities, challenges, gaps and opportunities for satellite detection of Blue Carbon (BC).

Priority	Challenges	Gaps	Opportunities
(1) Satellite sensors	<ul style="list-style-type: none"> <li>Requirement for monitoring at high temporal (hourly) and spatial (tidal) scales.</li> </ul>	<ul style="list-style-type: none"> <li>A lack of long-term satellite datasets for change detection in many BC ecosystems.</li> </ul>	<ul style="list-style-type: none"> <li>New hyperspectral observations will lead to improved BC detection.</li> <li>High spatial resolution (3–5m) imagery becoming available from a constellation of commercial satellites.</li> <li>Geostationary satellite instruments will meet the requirements for high temporal (hourly) BC monitoring.</li> <li>Scope to build on efforts to develop satellite climate records with a focus on BC.</li> </ul>
(2) Algorithms, retrievals and model integration	<ul style="list-style-type: none"> <li>Many BC approaches are regional, difficult to go to global scales.</li> <li>Uncertainty estimation for BC fluxes challenging.</li> <li>Difficult to monitor the dynamics of sediment carbon remotely.</li> <li>Dealing with sub-pixel variability of macroalgae when using coarser resolution satellite data.</li> </ul>	<ul style="list-style-type: none"> <li>Limited availability of <i>in-situ</i> data for development and validation of BC satellite algorithms.</li> <li>Lack of BC ecosystem models limits our ability to quantify full BC carbon budgets.</li> </ul>	<ul style="list-style-type: none"> <li>Harness better computational power and statistical analysis of big data.</li> <li>Fusion of hyper-spectral optical and SAR data for characterization of tidal wetlands.</li> <li>New <i>in-situ</i> monitoring techniques (e.g., drones) are useful to bridge the scales between satellites and <i>in-situ</i> observations.</li> </ul>
(3) Satellite data access and blue carbon accounting	<ul style="list-style-type: none"> <li>Existing products and approaches are not easily accessible to non-expert users.</li> <li>Challenges to ensure cost-effective monitoring using commercial satellites.</li> </ul>	<ul style="list-style-type: none"> <li>Lack of products suited to project development and carbon accounting.</li> <li>Products needed at global scales, at higher spatial and temporal resolution.</li> </ul>	<ul style="list-style-type: none"> <li>Increasing efforts to develop BC habitat mapping portals that are user friendly.</li> </ul>

#### 4.2.1. State of the art in Extreme Events

Extremely high temperatures and droughts due to global warming are expected to result in more frequent and intense wildfires and dust storm events in some regions (Huang et al., 2015; Abatzoglou et al., 2019; Harris and Lucas, 2019). Aerosols emitted from wildfire and dust storms can significantly impact marine biogeochemistry through wet and dry deposition (Gao et al., 2019), by supplying soluble nutrients (Schlosser et al., 2017; Barkley et al., 2019), especially essential trace metals such as iron (Jickells et al., 2005; Mahowald et al., 2005; Mahowald et al., 2011) which can also enhance the export of carbon from the photic zone to depth (Pabortsava et al., 2017). The record-breaking Australian wildfire that occurred between September 2019 and March 2020 was evaluated using a combination of satellite, BGC-Argo float, *in-situ* atmospheric sampling and primary productivity estimation (Li et al., 2021; Tang et al., 2021; Wang et al., 2022). The wildfire released aerosols that contained essential nutrients such as iron for growth of marine phytoplankton. These aerosols were transported by westerly winds over the South Pacific Ocean and the deposition resulted in widespread phytoplankton blooms. Severe dust storms, observable from space, in arid or semi-arid regions can also transport aerosols to coastal and open ocean waters increasing ocean primary productivity (Gabric et al., 2010; Chen et al., 2016; Yoon et al., 2017).

Volcanic eruptions can also fertilise the ocean. The solubility and bioavailability of volcanic ash is thought to be much higher than mineral dust (Achterberg et al., 2013; Lindenthal et al., 2013), and can act as the source of nutrients and/or organic carbon for microbial plankton, and influence aggregation processes (Weinbauer et al., 2017). The first multi-platform observation (using SeaWiFS images and *in-situ* data) of the impact of a volcano eruption was provided by Uematsu et al. (2004), who observed the enhancement of primary productivity caused by the additional atmospheric deposition from the Miyake-jima Volcano in the nutrient-deficient region south of the Kuroshio. Lin et al. (2011) observed abnormally high phytoplankton biomass from satellite and elevated concentrations of limiting nutrients, from laboratory experiments, caused by aerosol released by the Anatahan Volcano in 2003. The eruption of Kilauea volcano triggered a diatom-dominated phytoplankton bloom near Hawaii (Wilson et al., 2019). More recently, the eruption of Hunga Tonga–Hunga Ha’apai ejected about 400,000 tonnes of SO<sub>2</sub>, threw ash high into the stratosphere, and caused a catastrophic tsunami on Tonga’s nearby islands (Witze, 2022). Detailed observations on its biochemical effects have yet to be reported.

Using satellite data with *in-situ* observations, and profiling floats, recent research showed remarkable changes during marine heatwaves (MHWs) in the oceanic carbon system (Burger et al., 2022; Gruber et al., 2021; Long et al., 2021) and phytoplankton structures (Yang et al., 2018; Grix et al., 2021), that are linked to background nutrient concentrations (Hayashida et al., 2020). MHWs (and cold spells) are defined as prolonged periods of anomalously high (low) ocean temperatures (Hobday et al., 2016), which can have devastating impacts on marine organisms and socio-economics systems (Cavole et al., 2016; Wernberg et al., 2016; Couch et al., 2017; Frölicher and Laufkötter, 2018; Hughes et al., 2018; Smale et al., 2019; Cheung et al., 2021). MHWs and cold spells are caused by a combination of local oceanic and atmospheric processes, and modulated by large-scale climate variability and change (Holbrook et al., 2019; Vogt et al., 2022). As a consequence of long-term ocean warming, MHWs have become longer-lasting and more frequent, and have impacted increasingly large areas (Frölicher et al., 2018; Oliver et al., 2018). Satellite and autonomous platforms have been used to study MHWs in many regions, including: the Mediterranean Sea (Olita et al., 2007; Bensoussan et al., 2010), the East China Sea (Tan and Cai, 2018), NE Pacific (Bif et al., 2019), the Atlantic (Rodrigues et al., 2019), Western Australia (Pearce and Feng, 2013) and the Tasman Sea (Oliver et al., 2017; Salinger et al., 2019).

Tropical cyclones (called hurricanes or typhoons in different regions) are defined as non-frontal, synoptic scale, low-pressure systems over tropical or sub-tropical waters with organised convection (Lander and

Holland, 1993). They can bring deep nutrients up into the photic zone and lead to changes in the local carbon system by cooling the sea surface (Li et al., 2009; Chen et al., 2017; Osburn et al., 2019). Satellite data are often used for studying tropical cyclones, however, it is difficult to obtain clear images shortly after typhoons due to extensive cloud cover (Naik et al., 2008; Hung et al., 2010; Zang et al., 2020). Combining satellite observations with Argo float and biogeochemical models is increasingly being used to understand biological impacts of tropical cyclones (Shang et al., 2008; Chai et al., 2021). D’Sa et al. (2018) have reported intense changes in dissolved organic matter dynamics after Hurricane Harvey in 2017 and then reported changes in particulate and dissolved organic matter dynamics and fluxes after Hurricane Michael in 2018 (D’Sa et al., 2019), highlighting the importance of using multiple satellite data with different resolutions as well as hydrodynamic models. Using the constellation of Landsat-8 and Sentinel-2A/2B sensors, Cao and Tzortziou (2021) showed strong carbon export from the Blackwater National Wildlife Refuge marsh into the Chesapeake Bay and an increase in estuarine DOC concentrations by more than a factor of two after the passage of Hurricane Matthew compared to pre-hurricane levels under similar tidal conditions.

The impacts of marine compound events, defined as extremes in different hazards that occur simultaneously or in close spatial–temporal sequence, are being increasingly studied (Gruber et al., 2021). The dual or even triple compound extremes such as ocean warming, deoxygenation and acidification, could lead to particularly high biological and ecological impacts (Burger et al., 2022; Gruber, 2011; Le Grix et al., 2021; Zscheischler et al., 2018). The increasing prevalence of extreme Harmful Algae Blooms (HAB), eutrophication and anthropogenic pollutants have been linked with extreme events, and satellites play a major role in their monitoring and management (IOCCG, 2021). Although EEs have emerged as a topic of great interest over the past decade, our understanding of their impacts on the marine ecosystems and ocean carbon cycle remains limited.

At the workshop, three priorities (summarised in Table 9) were identified in relation to understanding impacts of EEs on the ocean carbon cycle: 1) *in-situ* data; 2) satellite sensing technology; and 3) model synergy and transdisciplinary research.

#### 4.2.2. EEs priority 1: In-situ data

**Challenges:** *In-situ* observations are essential to monitor EEs, especially considering some EEs are hard to monitor from space (e.g., clouds with tropical cyclones or volcanic eruptions) and require ground truthing, owing to challenges around satellite retrievals (e.g., atmospheric aerosols with dust events and volcanic eruptions). In some cases, EEs can be close to the valid range of measurements retrieved by satellites. Considering the temporal scales of EEs, their sporadic occurrence, and hazardous environments, they are extremely challenging and sometimes dangerous to monitor *in situ* using ship-based techniques.

**Gaps:** At present there are major gaps in the availability of *in-situ* observations of EEs. This severely limits our understanding of their impact on the ocean carbon cycle. Gaps are even greater in subsurface waters. Long time-series measurements with high frequency resolution are also essential to provide robust baselines against which extremes can be detected and attributed.

**Opportunities:** With an expanding network of autonomous *in-situ* platforms (Chai et al., 2020), we are becoming better positioned to monitor EEs. It will be important that these networks of autonomous *in-situ* platforms have fast response protocols that can be implemented soon after an extreme event takes place, so valuable data are collected and not missed. It is also essential that funding continues, at the international level, to support these expanding networks of autonomous platforms.

#### 4.2.3. EEs priority 2: Satellite sensing technology

**Challenges:** Monitoring EEs from space requires suitable temporal and spatial coverage to track the event, which varies depending on the nature and location of the event. Some events require high temporal and



spatial coverage, which challenges current remote sensing systems. Other challenges exist, for example, dealing with cloud coverage during tropical cyclones, or retrievals in the presence of complex aerosols (e.g., volcanic eruptions).

**Gaps:** High temporal and spatial resolution data are required for monitoring some EEs. There are gaps in satellite data for some EEs (e.g., clouds). Algorithms for satellite retrievals during some EEs (e.g., volcanic eruptions) require detailed knowledge on the optical properties of the aerosols present. Long time-series remote sensing data are needed for baselines against which extremes can be monitored.

**Opportunities:** Synergistic use of different long-term, high-frequency and high-resolution, remote sensing data may allow better insight into extreme events and their development. For example, by combining ocean colour products from ESA's OC-CCI (e.g., Sathyendranath et al., 2019a) and the National Oceanic and Atmospheric Administration (NOAA) Climate Data Record Programme (e.g., Bates et al., 2016). The increased spectral, spatial and temporal resolution of the satellite sensors and platforms would help to improve understanding of the response of phytoplankton community (Losa et al., 2017) and their diel cycles to extreme events, and HAB detection, for example, with NASA's PACE mission (Werdell et al., 2019) and the Korean geostationary GOCI satellite platform (Choi et al., 2012). There are opportunities to derive indicators of EEs for determining good environmental status of our seas and oceans, for example, for use in the EU Marine Strategy Framework Directive and the Oslo and Paris (OSPAR) Conventions EEs and pollution monitoring.

#### 4.2.4. EEs priority 3: Model synergy and transdisciplinary research

**Challenges:** Owing to gaps in observational platforms (both satellite and *in-situ* observations) and the transdisciplinary nature of EEs, there is a need to utilise Earth System Models (ESMs) for understanding EEs and projecting future scenarios, and to bring together communities from multiple fields.

**Gaps:** Reliable projections of extreme events require higher spatial resolution ESMs, with improved representation of marine ecosystems. ESMs ideally need to include prognostic representations of EEs processes, and improvements are needed in coupling with land via aerosol emissions and deposition due to fires or due to dust. Transdisciplinary research on the impact of extremes on marine organisms and ecosystem services is needed to close knowledge gaps.

**Opportunities:** With enhancements in computation power and improvements in ESMs and data assimilation techniques, there is likely to be an increasing use of ESMs for understanding EEs, and especially marine compound events. To promote cross-disciplinary research,

support is needed for collaborative projects and digital platforms, to make data digestible to non-experts (e.g., Giovanni (<https://giovanni.gsfc.nasa.gov/giovanni/>), MyOcean (<https://marine.copernicus.eu/access-data/myocean-viewer>)).

### 4.3. Carbon Budget Closure (CBC)

Quantifying the ocean carbon budget and understanding how it is responding to anthropogenic forcing is a major goal in climate research. It is widely accepted that the ocean has absorbed around a quarter of CO<sub>2</sub> emissions released anthropogenically, and that the ocean uptake of carbon has increased in proportion to increasing CO<sub>2</sub> emissions (Aricò et al., 2021). Yet, our understanding of the pools of carbon in the ocean, the processes that modulate them, and how they interact with the land and atmosphere, is not satisfactory enough to make confident predictions of how the ocean carbon budget is changing. Improving our understanding requires a holistic and integrated approach to ocean carbon cycle research, with monitoring systems capable of filling the gaps in our understanding (Aricò et al., 2021). Satellites can play a major role in this (Shutler et al., 2020).

#### 4.3.1. State of the art in Carbon Budget Closure

Each year, the international Global Carbon project produces a budget of the Earth's carbon cycle (<https://www.globalcarbonproject.org/ab/out/index.htm>), based on a combination of models and observations. In a recent report (Friedlingstein et al., 2022), for the year 2020, and for a total anthropogenic CO<sub>2</sub> emission of 10.2 GtCy<sup>-1</sup> (±0.8 GtCy<sup>-1</sup>), the oceans were found to absorb 3.0 GtCy<sup>-1</sup> (±0.4 GtCy<sup>-1</sup>), similar to that of the land at 2.9 GtCy<sup>-1</sup> (±1.0 GtCy<sup>-1</sup>). Building on earlier reports (e.g., Hauck et al., 2020), this latest report highlighted an increasing divergence, in the order of 1.0 GtCy<sup>-1</sup>, between different methods, on the strength of the ocean sink over the last decade (Friedlingstein et al., 2022), with models reporting a smaller sink than observation-based data-products (acknowledging that observation-based data-products are heavily extrapolated). Results from this report suggest our ability to predict the ocean sink could be deteriorating. Understanding the causes of this discrepancy is undoubtedly a major challenge. Possible causes include: uncertainty in the river flux adjustment that needs to be added to the data-products in order to account for different flux components being represented in models and data-products; data sparsity; methodological issues in the mapping of methods used in data-products; underestimation of wind speeds in the climate reanalyses (Verzemskaya et al., 2017); model physics biases; possible issues in air-sea gas exchange calculations; and underestimation of the role of biology in air-sea

**Table 9**

Priorities, challenges, gaps and opportunities for satellite detection of Extreme Events (EEs) and their impacts on the ocean carbon cycle.

Priority	Challenges	Gaps	Opportunities
(1) <i>In-situ</i> data	<ul style="list-style-type: none"> <li>Some EEs are challenging and dangerous to monitor <i>in-situ</i> using ship-based techniques.</li> </ul>	<ul style="list-style-type: none"> <li>Major gaps in availability of <i>in-situ</i> observations of EEs.</li> <li>Gaps are greater in subsurface waters.</li> <li>Long time-series <i>in-situ</i> observations needed for baselines.</li> </ul>	<ul style="list-style-type: none"> <li>To harness the expanding network of autonomous <i>in-situ</i> platforms.</li> </ul>
(2) Satellite sensing technology	<ul style="list-style-type: none"> <li>Some EEs require high temporal and spatial coverage, which challenges current remote sensing systems.</li> <li>Dealing with cloud coverage during tropical cyclones.</li> <li>Satellite retrievals in the presence of complex aerosols from volcanic eruptions.</li> </ul>	<ul style="list-style-type: none"> <li>High temporal and spatial resolution data are required for monitoring some EEs.</li> <li>Gaps in satellite data for some EEs (e.g., clouds).</li> <li>Gaps in knowledge on the optical properties of aerosols for some events.</li> <li>Long time-series remote sensing data are needed for baselines.</li> </ul>	<ul style="list-style-type: none"> <li>Synergistic use of different long-term high-frequency and high-resolution remote sensing data.</li> <li>Harness emerging sensors with increased spectral, spatial and temporal resolution.</li> <li>Opportunities to derive satellite-based indicators of EEs for determining good environmental status.</li> </ul>
(3) Model synergy and transdisciplinary research	<ul style="list-style-type: none"> <li>Need to utilise ESMs for understanding EEs and projecting future scenarios.</li> <li>Need to bring communities from multiple fields together.</li> </ul>	<ul style="list-style-type: none"> <li>Higher resolution ESMs with improved representation of marine ecosystems.</li> <li>Investment in transdisciplinary research related to EEs.</li> </ul>	<ul style="list-style-type: none"> <li>Enhancements in computation power and improvements in ESMs and data assimilation techniques.</li> <li>Remove knowledge barriers by promoting and open data approach cross-disciplinary research and data access.</li> </ul>

gas exchange. Or possibly some compound effects of these causes.

It is clear satellite data can help in addressing this issue. For example, through assimilation of physical data (temperature, salinity, altimeter) into high resolution physical models, to improve model physics (e.g., Verdy and Mazloff, 2017; Carroll et al., 2020) or ocean colour data assimilation to improve the representation of biology (e.g., Gregg, 2001; Gregg, 2008; Rousseaux and Gregg, 2015; Gregg et al., 2017; Ciavatta et al., 2018; Skákala et al., 2018). A recent budget analysis using ECCO-Darwin successfully managed to close the global carbon budget “gap” between observation-based products and biogeochemical models (see Carroll et al., 2022). Other ways satellites could help include: by improving observation-based data-products (e.g. using direct SST skin measurements Watson et al., 2020), through improved estimates or river-induced carbon outgassing and deposition in the sediments, and even through better understanding of the way ocean biology is responding to climate (Kulk et al., 2020; Li et al., 2021; Tang et al., 2021; Wang et al., 2022). On this latter point, whereas it is accepted that biology is critical to maintaining the surface to depth gradient of DIC (estimated to be responsible for around 70% of it; Sarmiento and Gruber, 2006), the role of biology in ocean anthropogenic CO<sub>2</sub> uptake has been thought to be minor, based on a lack of evidence that the biological carbon pump has changed over the recent (industrial) period, or that any change is sufficient to impact anthropogenic CO<sub>2</sub> uptake. An assumption that is now being challenged. It has been shown in ocean models that with a future reduced buffer factor, the CO<sub>2</sub> uptake may increase during the phytoplankton growth season (Hauck and Völker, 2015). This ‘seasonal ocean carbon cycle feedback’ leads to an increase of ocean carbon uptake by 8% globally in a high-emission scenario RCP8.5 by 2100 (Fassbender et al., 2022). Increasing amplitudes of the seasonal cycle of pCO<sub>2</sub> can already be determined in pCO<sub>2</sub>-based data-products (Landshützer et al., 2018).

Satellite ocean carbon products have expanded in recent years (CEOS, 2014; Brewin et al., 2021), to the point where some satellite-based carbon budgets maybe feasible in the surface mixed layer. For example, we are now in a position to use satellite data to improve our understanding of how organic carbon is partitioned into particulate carbon and dissolved carbon (DOC), how particulate carbon (PC) is partitioned into organic (POC) and inorganic (PIC) contributions (PC = PIC + POC), how POC is partitioned into algal (C-phyto) and non-algal portions, and the relationships between phytoplankton carbon (C-phyto) and PP (and net community production), which can give information on turnover times for marine phytoplankton. Considering the continuous ocean colour record started in 1997, we can begin to develop an understanding how these budgets are changing. This could be extremely useful for evaluating models.

Notwithstanding the potential and use of satellite-based carbon budgets, many carbon pools and fluxes are still not amenable from satellite remote sensing. Satellite ocean observations are limited to the surface ocean, to cloud-free conditions and low to moderate sun-zenith angles (for some systems), have difficulties in coastal regions, and in spatial and temporal resolution. Thus to quantify ocean carbon budgets, an integrated approach is required, combining satellite data with other observations (*in situ*) and with models. A nice demonstration of this is a recent study by Nowicki et al. (2022), who assimilated satellite and *in-situ* data into an ensemble numerical model of the ocean’s biological carbon pump, to quantify global and regional carbon export and sequestration, and the contributions from three key pathways to export: gravitational sinking of particles, vertical migration of organisms, and physical mixing of organic material. Their analysis demonstrated large regional variations in the export of organic carbon, the pathways that control export, and the sequestration timescales of the export. It also suggested ocean carbon storage will weaken as the oceans stratify, and the subtropical gyres expand due to anthropogenic climate change. Mechanisms thought to be understood decades ago about the ocean biological carbon pump may have already evolved with climate change.

Three priorities were identified at the workshop in relation to carbon

budget closure (CBC). These are summarised in Table 10 and include: 1) *in-situ* data; 2) satellite algorithms, budgets and uncertainties; and 3) model and satellite integration.

#### 4.3.2. CBC priority 1: *In-situ* data

**Challenges:** As emphasised throughout previous sections, *in-situ* data are central to algorithm development and validation of ocean carbon products. Some carbon pools and fluxes are easier to measure *in situ* than others. Consequently, the quality, quantity and spatial distribution of *in-situ* measurements vary depending on the pool or flux being studied. This makes it challenging for budget computations.

**Gaps:** Very few, if any, datasets exist (or are accessible) on concurrent and co-located *in-situ* measurements of all the key pools and fluxes required to evaluate satellite or model budgets. Some remote regions that are thought to play a critical role in global budgets, such as the Southern Ocean, are severely under-sampled. There are gaps in some key measurements in many regions (e.g., for organic carbon budgets, photosynthesis irradiance parameters, see Bouman et al., 2018; Sathyendranath et al., 2020).

**Opportunities:** As technology develops, improved methods are being developed to measure pools and fluxes of carbon in the ocean. Some of these methods (e.g., Williams et al., 2017; Estapa et al., 2017; Bresnahan et al., 2017; Sutton et al., 2021; Bishop et al., 2022) have the potential to be (or have already been) integrated into networks of autonomous platforms, such as gliders and BGC-Argo floats. New methods are also being developed to quantify carbon pools and fluxes from standard biogeochemical measurements on autonomous platforms (e.g., Dall’Omo et al., 2016; Claustre et al., 2020; Giering et al., 2020; Claustre et al., 2021; Johnson and Bif, 2021). As *in-situ* data grow with time, it may become feasible to quantify properties of carbon budgets from *in-situ* compilations that can be used to check and constrain satellite or model budgets. For example, empirical relationships among POC, C-phyto, and Chl-a (Sathyendranath et al., 2009), have proven useful in model evaluations of emergent carbon budgets (de Mora et al., 2016).

#### 4.3.3. CBC priority 2: *Satellite algorithms, budgets and uncertainties*

**Challenges:** When closing the ocean carbon budget, it is critical that there is coherence in the satellite data fields we input into the different satellite algorithms, and that uncertainties are available for model propagation. Additionally, and as identified in previous sections, some of the pools and fluxes of carbon require satellite data with higher spatial, temporal, and spectral resolution. There is a need for consistency in algorithms used to quantify budgets (see Sathyendranath et al., 2020), and these algorithms must respect properties of the ecosystem known from *in-situ* data.

In the context of quantifying the ocean carbon budget, the pools and fluxes have to fit together in a consistent way. Therefore, it is important to not only consider the uncertainties in individual products, but to analyse uncertainties in multiple products to identify any discrepancies. This requires that we analyse each of the products in relation to all the other products and see whether they hold together in a coherent fashion. These checks can also help to constrain those components which are impossible to observe or that are more uncertain.

**Gaps:** Many satellite carbon products lack associated estimates of uncertainty. The uncertainties for individual products are also needed when combining multiple products to assess carbon budgets. Considering the importance of model parameters in satellite algorithms, more work is needed to improve estimates of uncertainties in model parameters and look towards dynamic, rather than static, assignment of parameters in carbon algorithms. From an Earth system perspective, increasing emphasis needs to be placed on harmonising satellite carbon products across different planetary domains, and evaluating the impact of using different input climate data records.

**Opportunities:** With the development of consistent and stable climate data records, with associated estimates of uncertainty (e.g., ESA

**Table 10**  
Priorities, challenges, gaps and opportunities for using satellite data for Carbon Budget Closure (CBC).

Priority	Challenges	Gaps	Opportunities
(1) <i>In-situ</i> data	<ul style="list-style-type: none"> <li>Quality, quantity and spatial distribution of <i>in-situ</i> measurements varies depending on the pool or flux being studied and measurement platform used.</li> </ul>	<ul style="list-style-type: none"> <li>Very few datasets exist on concurrent and co-located <i>in-situ</i> measurements of all the key pools and fluxes needed to evaluate model budgets.</li> <li>Remote regions that play a key role in global budgets (e.g., Southern Ocean) are severely under-sampled.</li> <li>Gaps in key measurements in many regions (e.g., photosynthesis irradiance parameters, for organic carbon budgeting).</li> </ul>	<ul style="list-style-type: none"> <li>New <i>in-situ</i> technologies being integrated into networks of autonomous platforms, for improved carbon measurements.</li> <li>Methods being developed to quantify carbon pools and fluxes from routine optical autonomous observations.</li> <li>Properties of carbon budgets can be interrogated using <i>in-situ</i> compilations to check and constrain satellite or model budgets.</li> </ul>
(2) Satellite algorithms, budgets and uncertainties	<ul style="list-style-type: none"> <li>Coherence in the input satellite data fields for different satellite carbon algorithms needed when computing budgets.</li> <li>Some of the pools and fluxes of carbon require satellite data with higher spatial, temporal and spectral resolution.</li> <li>There needs to be consistency in algorithms used to quantify budgets, and these algorithms must respect properties of the ecosystem we know from <i>in-situ</i> data.</li> <li>Uncertainties in individual products are essential to analyse multiple products to compute the budgets.</li> <li>Products must be evaluated in relation to other products, to see whether they hold together in a coherent fashion.</li> </ul>	<ul style="list-style-type: none"> <li>Many satellite carbon products lack associated estimates of uncertainty.</li> <li>More work is needed to improve estimates of uncertainties in model parameters.</li> <li>More efforts needed towards dynamic, rather than static, assignment of parameters in carbon algorithms.</li> <li>Harmonising satellite carbon products across different planetary domains (ocean, land, ice and air) is needed.</li> </ul>	<ul style="list-style-type: none"> <li>Opportunities to harness climate data records.</li> <li>Opportunities to harness emerging sensors with increased spectral, spatial and temporal resolution.</li> <li>New approaches and statistical techniques offer potential to get at pools and fluxes of carbon not seen from space.</li> </ul>
(3) Model and satellite integration	<ul style="list-style-type: none"> <li>Challenges dealing with the contrasting spatial scales in models and satellite observations.</li> <li>Quantifying carbon budgets also requires appreciation of the different temporal scales that the pools and fluxes operate on.</li> </ul>	<ul style="list-style-type: none"> <li>Uncertainties in the satellite observations and model simulations needed.</li> <li>Greater emphasis should be placed on promoting model diversity.</li> </ul>	<ul style="list-style-type: none"> <li>New developments in data assimilation can help constrain carbon budgets, such as combined physical and biological data assimilation.</li> <li>Scope to harness developments in machine learning to help combine data and models.</li> <li>Future enhancements in computation power should lead to better representations of spatial scales in models.</li> </ul>

CCI), we are now in a good position to utilise coherent satellite data fields as input to ocean carbon algorithms. The development of new satellite sensors, with higher spatial, temporal and spectral resolution, will lead to improved satellite algorithms and more confident carbon budgets. New approaches and statistical techniques (e.g., machine learning) are becoming available, and offer potential to get at pools and fluxes of carbon from satellite that were previously not feasible to monitor from space.

#### 4.3.4. CBC priority 3: Model and satellite integration

**Challenges:** A major challenge in bringing satellite observations together with models, is dealing with the contrasting spatial scales in the two types of datasets. Quantifying carbon budgets through data integration also requires appreciation of the different temporal scales that the pools and fluxes operate on. This is particularly true from an Earth System approach, considering the timescales of carbon cycling differ among the ocean, land and atmosphere.

**Gaps:** Successful integration of satellite carbon products with models requires accurate uncertainties in the satellite observations and model simulations. These are often not available. Greater emphasis is needed on model diversity, which should help increase confidence in carbon budgets and improve understanding.

**Opportunities:** There are opportunities to harness new developments in data assimilation to help constrain carbon budgets, through the use of new satellite biological products (e.g. community structure, Ciavatta et al., 2018; Skákala et al., 2018) and advancements in optical modules for autonomous platforms (Terzić et al., 2019; Terzić et al., 2021), or through combined physical and biological data assimilation (Song et al., 2016; IOCCG, 2020). There is scope to harness developments in machine learning to help combine data and models, for example, bridging different spatial scales in the satellite and model products. Future enhancements in computation power (e.g., quantum

computing) should lead to better representations of spatial scales in models (e.g., sub-mesoscale processes), improving carbon budgets.

## 5. Common themes

Fig. 2 shows a word cloud produced using all the priorities identified across the nine themes of the workshop. It illustrates the dominant themes and subthemes emerging from all priorities identified. Commonalities among the nine themes of the workshop, include:

- In-situ* data.** It is strikingly clear from this analysis the importance of *in-situ* data, for algorithm development and validation, for extrapolation of surface satellite fields to depth, for parametrisation and validation of ESMs, and for constraining estimates of the carbon budget. It is critical that the international community continues investing in the collection of *in-situ* data, in better data protocols and standards, community-agreed upon data structure and metadata, more intercomparison and intercalibration exercises, the development of new *in-situ* methods for the measurement of carbon, and in the expanding networks of autonomous observations, that have the potential to radically improve the spatial and temporal coverage of *in-situ* data. There are clear challenges with respect to compiling large *in-situ* datasets from different sources, using different methods and protocols, for algorithm development and validation, that need to be addressed. It is important that the *in-situ*, satellite and modelling communities communicate prior to collecting data, to ensure the data collected will be useful for the entire community.
- Satellite algorithm retrievals.** For all pools and fluxes of carbon, continued development of satellite algorithms and retrieval techniques is critical to maximise the use of satellite data in carbon research. New satellites are being launched in the near future, with new capabilities and improved spatial, temporal and spectral

resolution (see Table 2). Micro- and nano-satellites (CubeSats; Schueler and Holmes, 2016; Vanhellemont, 2019) have potential to be launched cheaply into low Earth orbit, in large swarms improving spatial and temporal coverage. New advanced statistical methods are emerging (e.g., advancements in artificial intelligence). New satellite data records are appearing, that will provide the much-needed coherence for input to multiple satellite carbon algorithms for budget calculations. Over the coming decades existing missions like Sentinel-3 OLCI, Sentinel-2 MSI and VIIRS, will provide better carbon products with real operational usage. Our community needs to be positioned to harness these opportunities. Satellite retrievals of carbon products critically rely on accurate atmospheric correction, and there are challenges around developing new atmospheric correction schemes for emerging sensors (Table 2). Additionally, continued investment is required into basic and mechanistic understanding of the retrieval process, and improvements in retrievals in coastal and shelf sea environments and other optically complex waters, which is crucial for monitoring trends in satellite-based carbon products (e.g., Sathyendranath et al., 2017b).

- **Uncertainty in data.** There is a clear requirement across all themes to provide uncertainty estimates with satellite, *in-situ* and model products. Continued investment in methods to quantify uncertainty

is vital for quantifying carbon budgets and change (IOCCG, 2019; McKinna et al., 2019).

- **Vertical distributions.** One of the major limitations of satellites, is that they only view the surface layer of the ocean. Sub-surface measurements are required to extrapolate the surface fields to depth. Synergy among satellite surface passive fields, satellite active-based sensors (e.g. lidar) that can penetrate further into the water column (Jamet et al., 2019), and the expanding networks of autonomous and *in-situ* observations, that are viewing the subsurface with ever-increasing coverage, for example, the global network of BGC-Argo floats (Roemmich et al., 2019; Claustre et al., 2020) and Bio-GO-SHIP (<https://biogoship.org>), is a clear focus for future ocean carbon research.
- **Ocean models.** Many components of the ocean carbon cycle are not directly observable through satellite, and some are even inherently difficult or expensive to measure *in situ*. To target these hidden pools and fluxes we must turn to models. Models can also help tackle the low temporal and spatial resolution of *in-situ* data and issues around gaps in satellite data. Exploring synergy between satellite observations and models is a clear priority for future ocean carbon research (IOCCG, 2020). New developments in data assimilation may help (not only for satellites, but also for growing data sources from

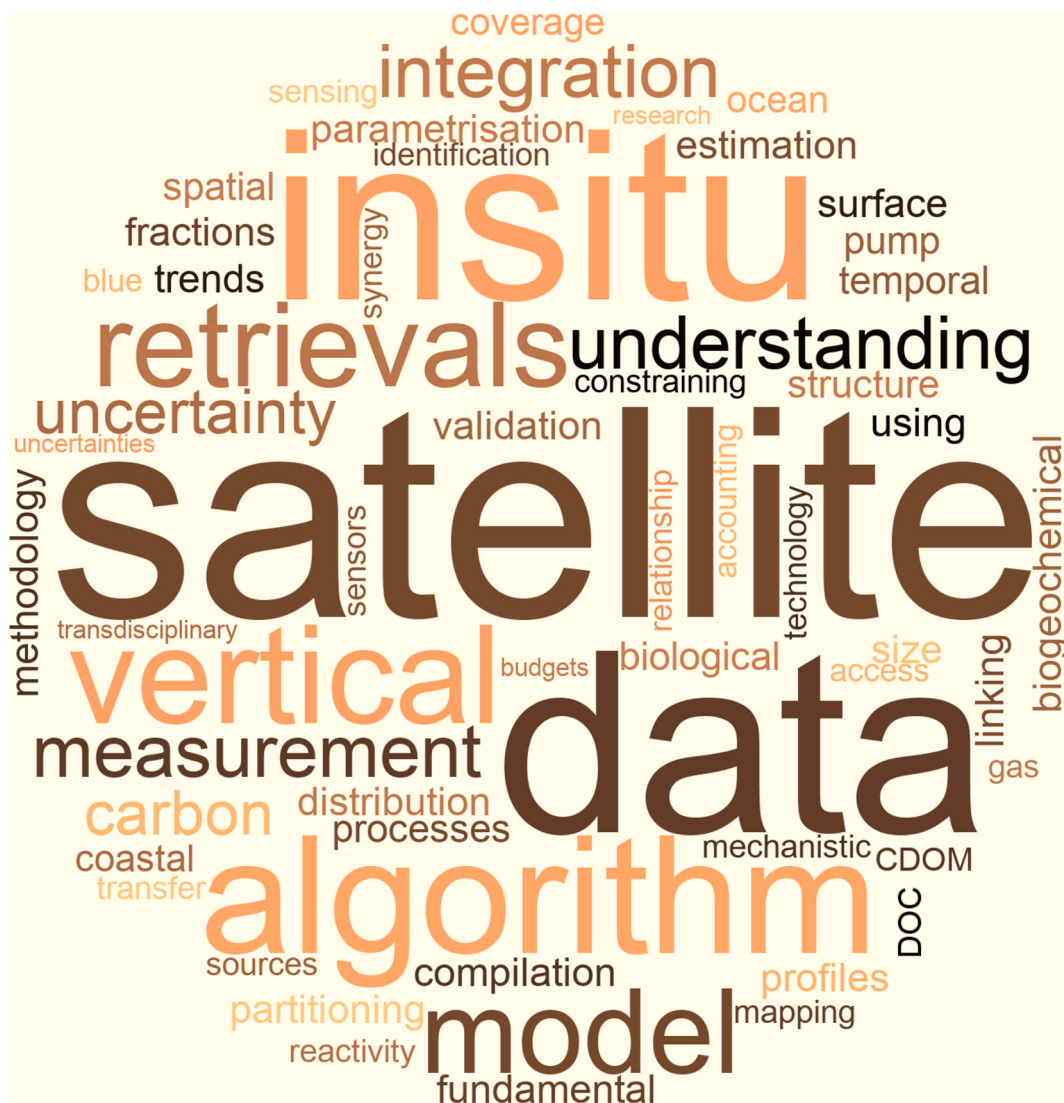


Fig. 2. A word cloud designed to show the dominant themes and subthemes emerging from all priorities identified. Created using a word cloud generator in Python ([https://github.com/amueller/word\\_cloud](https://github.com/amueller/word_cloud)).

autonomous platforms), and integration of radiative transfer into models, such that the models themselves become capable of simulating fields of electromagnetic energy (e.g., Jones et al., 2016; Gregg and Rousseaux, 2017; Dutkiewicz et al., 2018; Dutkiewicz et al., 2019; Terzić et al., 2019; Terzić et al., 2021). We must continue to identify processes poorly represented in models, that can be subsequently improved in future model design. Observing System Simulation Experiments (OSSE) can be used to evaluate the impact of undersampled observing systems on obtained results, or evaluate the value of new observing systems design for optimal sampling strategies.

- **Integration of data.** It is challenging to find an optimal way of combining satellites, models and *in-situ* observations, to produce best-quality data products. Integrated carbon products are required for near real-time forecasting of the biogeochemical ocean carbon cycle. Additionally, they are required for regional or global impact assessments, to assess the multiple stressors (e.g., temperature change, ocean acidification) acting upon the marine ecosystem, and subsequent downstream effects on the carbon cycle (e.g., natural food web, fisheries, etc.). Continued efforts are required to develop methods and strategies to bridge the spatial and temporal scales of the different datasets (Cronin et al., 2022), and statistical methods like machine learning may help in this regard.
- **Fundamental Understanding.** Continued investment is required into improving our fundamental understanding of the ocean carbon cycle, and on the interaction between pools of carbon and light. The latter is critical for the development of satellite carbon products. For example, there remains fundamental gaps in our understanding of controls on carbon cycling in the ocean by viruses and other microbes (Middelboe and Lyck, 2002; Worden et al., 2015).

## 6. Emerging concerns and broader thoughts

In addition to the common themes, during workshop discussions, other emerging concerns and broader thoughts materialised, including:

- **Bringing carbon communities together.** Considering the need to take a holistic, integrated approach to ocean carbon science (Aricò et al., 2021; Cronin et al., 2022), there is a strong requirement to bring different communities together working on different aspects of the ocean carbon cycle, that can often operate in a disparate fashion, including those working in different zones of the ocean (e.g., pelagic, mesopelagic, bathypelagic and abyssopelagic), on the inorganic and organic sides, field and laboratory scientists, remote sensing scientists and modellers. Furthermore, and taking an Earth System view, this should also be extended to those working on carbon in other planetary domains (Campbell et al., 2022). We need to improve our understanding of the connectivity between coastal and open-ocean ecosystems, for example, the potential impact of (large) rivers on oceanic carbon dynamics. A good example is the Observing Air-Sea Interactions Strategy (OASIS), a UN Ocean Decade-endorsed programme that has brought together the carbon community to consolidate three interlinked grand ideas centred around: the building of a global *in-situ* air-sea observing network; the creation of a high temporal and spatial resolution satellite network for measuring air-sea fluxes; leading to improved models and understanding of air-sea interaction processes (Cronin et al., 2022).
- **International bodies,** such as the International Ocean Colour Coordinating Group (IOCCG), and the Committee on Earth Observation Satellites (CEOS) could play an important role in addressing many of the gaps identified here, in bringing communities together, and in promoting a coordinated approach at the international level to maximise benefit from investment.
- **The need to maximise use of limited resources.** Current funding levels make it challenging to support adequate monitoring of core ocean carbon variables in addition to supporting innovative blue skies science. Increasing overall funding and separating the funding pots for the two activities could help to maximise monitoring and achieve key priorities for blue skies research.
- **Improved distribution of satellite and model carbon products.** Although satellite-based carbon products are becoming available, more emphasis is needed to integrate satellite carbon products, as well as model products, into operational satellite services to ensure end-user access, and make products more user friendly. This requires close dialogue with the user communities.
- **Working with satellite carbon experts in different planetary domains.** More emphasis should be placed on harmonising satellite carbon products across different planetary domains (ocean, land, ice and air). This involves working closer with scientific communities working in the different spheres of the planet (Earth System approach).
- **Carbon and environmental footprints of research.** Our communities need to start taking more responsibility to monitor and minimise the carbon and environmental footprints of scientific research, and improve how this is managed and controlled (e.g., Achten et al., 2013; Shutler, 2020). Greater stewardship is needed to document and track the carbon and environmental footprints of researchers, ideally within a transparent and traceable framework (e.g., Mariette et al., 2021). The benefits of the priorities identified (e.g., launching of new satellites and collection of more *in-situ* measurements etc.) need to be balanced against their environmental footprint, with a view to identify means by which it can be reduced and mitigated.
- **Carbon and environmental footprints of space technology.** There is an increasing number of satellites being launched into space. Although much of this growth is for internet services, Earth Observation satellites are also increasing in numbers, with increasing amounts of space junk. This raises questions on the environmental impacts of satellites and space technologies more generally throughout their complete lifetimes that have previously not been a concern (from construction, to rocket launch and being placed into orbit and use, de-orbiting and removal) (Shutler et al., 2022).
- **Use of satellite products for informing ocean carbon dioxide removal (CDR) studies.** Satellites will play a role in future monitoring of potential implementations of CDR, for understanding the consequences that some of these proposed mechanism would have on the marine ecosystem (Boyd et al., 2022; National Academies of Sciences, Engineering, and Medicine, 2022).
- **Economic valuation of the satellite based information.** Quantifying the value of satellite based information would be useful for a range of applications, including climate and carbon management strategies and solutions (e.g., CDR), and for understanding environmental footprints.
- **Need to consider how satellites can be used to help monitor cycles of other important climatically-relevant compounds and elements.** For example, methane (CH<sub>4</sub>) emissions have contributed almost one quarter of the cumulative radiative forcings for CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O (nitrous oxide) combined since 1750 (Etmann et al., 2016), and absorbs thermal infrared radiation much more efficiently than CO<sub>2</sub>.
- **Open Science.** It is essential that our community follows a transparent, open science approach, promoting data sharing and knowledge transfer, and committing to FAIR principles (<https://www.go-fair.org/fair-principles/>); and supports open-access repositories for publications, data and code, and openly available education resources, for the next generations of scientists.
- **Promote diversity and inclusivity.** Geosciences are one of the least diverse branches of STEM. And while it was positive to see the high gender diversity at this workshop (Fig. 1c), more is needed to promote the position of the under-represented minorities in our field. There has been a disproportionate impact of climate change on historically marginalized and under-represented community's worldwide (IOCCG, 2019). System-wide changes need to be implemented,

where diversity, inclusion, cohesion, and equality across ocean research (with special emphasis on field safety) are a priority.

- **Prioritise infrastructure in space-based assets** for improved observation of ocean carbon on multiple scales. It is critical we continue to explore new and innovative ways to remotely monitor the pools and fluxes of carbon in the ocean on multiple scales. This requires investment in basic/fundamental research on the interactions among light, water and carbon, and working with a wide network of stakeholders to target and address some of the challenges and gaps highlighted.
- **Harness the power of quantum computing.** Our community should be poised to take advantage of developments in quantum computing, which has the potential to radically change our ability to process and integrate a range of different data (models, satellite and *in situ*) not possible with high performance computing.

## 7. Summary

We organised a workshop on the topic of ocean carbon from space with the aim to produce a collective view of the status of the field and to define priorities for the next decade. Leading experts were assembled from around the world, including those working with remote-sensing data, with field data and with models. Inorganic and organic pools of carbon (in dissolved and particulate form) were targeted, as well fluxes between pools and at interfaces. Cross-cutting activities were also discussed, including blue carbon, extreme events and carbon budgets. Common priorities should focus on improvements in: *in-situ* observations, satellite algorithm retrievals, uncertainty quantification, understanding of vertical distributions, collaboration with modellers, ways to bridge spatial and temporal scales of the different data sources, fundamental understanding of the ocean carbon cycle, and on carbon and light interactions. Priorities were also reported for the specific pools and fluxes studied, and we highlight emerging concerns that arose during discussions, around the carbon footprint of research and space technology, the role of satellites in CDR approaches, the economic valuation of the satellite based information, to consider how satellites can be used to help monitor the cycles of other climatically-relevant compounds and elements, the need to promote diversity and inclusivity, bringing communities working on different aspects of ocean carbon together, making use of international bodies, open science, to explore new and innovative ways to remotely monitor ocean carbon, and harness developments in quantum computing.

## Author contributions

This paper represents a large collaborative effort. R. J. W. Brewin, S. Sathyedranath, G. Kulk, M.-H. Rio and J. A. Concha led the work. R. J. W. Brewin produced an initial draft of the paper with written input from the chairs of the workshop sessions (G. Kulk, A. Bracher, A. R. Neeley, E. Organelli, C. Fichot, D. A. Hansell, C. Mitchell, T.G. Bell, M. Galí, T. S. Kostadinov, D. Stramski, K. Richardson, C. Rousseaux, T. Frölicher, F. Shen, E. Pidgeon, M. Tzortziou, and A. Watson), following discussions at the workshop. All authors contributed to subsequent versions of the paper.

## Funding

This work was funded through a European Space Agency (ESA) project “Biological Pump and Carbon Exchange Processes (BICEP)” and by the Simons Foundation Project “Collaboration on Computational Biogeochemical Modeling of Marine Ecosystems (CBIOMES)” (549947, SS). It was also supported by the UK National Centre for Earth Observation (NCEO). Additional support from the Ocean Colour Component of the Climate Change Initiative of the European Space Agency (ESA) is gratefully acknowledged. Robert J. W. Brewin is supported by a UKRI Future Leader Fellowship (MR/V022792/1). Robert J. W. Brewin,

Giorgio Dall’Olmo and Gavin H. Tilstone were supported by the Atlantic Meridional Transect Programme. Thomas Frölicher was supported by the Swiss National Science Foundation (Grant No. PP00P2\_198897). Astrid Bracher’s contribution is funded by the ESA 656 708 S5P + Innovation Theme 7 Ocean Colour (S5POC) project (No 4000127533/19/I-NS). Jamie Shutler acknowledges support from the ESA Ocean Health Ocean Acidification project (No. AO/1-10757/21/I-DT). This work is a contribution to the Ocean Colour Radiometry Virtual Constellation (OCR-VC) of the Committee on Earth Observation Satellites (CEOS), through the International Ocean Colour Coordinating Group. This paper is also a contribution towards the preparation of the Aquatic Carbon Roadmap of CEOS over the next couple of years.

## Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Data availability

Data for Fig. 1a were generated from a free Scopus (<https://www.scopus.com/>) search of the terms “Ocean carbon satellite” (using All fields) in March 2022. Data from Fig. 1b and 1c were generated from the workshop registration and are available within the figure (participation number, geographical representation and gender split).

## Acknowledgments

We sincerely thank all those involved in the Ocean Carbon from Space workshop, held virtually in February 2022, including: all members of the organising and scientific committees; the chairs of various sessions; all speakers and e-poster presenters; participants from around the globe; and the brilliant and dedicated ESA conference support team, many of whom sacrificed holidays and sleep to participate. We thank Sabrina Lodadio with help preparing Fig. 1. We sincerely thank the guest editor Raymond G. Najjar and two anonymous reviewers for their thorough and professional review of our manuscript, and for suggestions which helped us to significantly improve it.

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