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## 12 Abstract

13 Southern Africa is a biodiversity hotspot for a variety of orchids, including Habenaria. 14 However, we know very little about the orchid mycorrhizae that are associated with these 15 orchids. To bridge this gap, we compared the community of orchid mycorrhizae that are 16 associated with two indigenous Habenaria species, H. barbertoni and H. epipactidea, using a 17 high-throughput sequencing platform. We selected these two orchids because their distribution 18 zones overlap in South Africa. Furthermore, H. barbertoni is endangered, whereas H. 19 *epipactidea* is not. We hypothesised that the mycorrhizal diversity and composition linked with 20 the roots of these two orchids would overlap, but that some distinct fungal taxa would exist, 21 and these distinct fungi would include unusual taxa. Analyses of the DNA sequence data 22 revealed that the two orchids shared 35 fungal OTUs. Twenty-four and seventeen OTUs were 23 exclusively detected in the roots of *H. barbertoni* and *H. epipactidea*, respectively. Mycorrhizal

fungi from the rust lineage Atractiellales (Atractiellomycetes, Pucciniomycotina) were only detected in the roots of the endangered *H. barbertoni*, which represents the first report of these fungi associated with orchids outside of the Andean rainforest. Our findings increase knowledge of the diversity of mycorrhizae associated with indigenous orchids on the African continent.

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30 Keywords Agaricomycetes, Atractiellomycetes, *Habenaria*, orchid mycorrhizae, Pleosporales
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32 **1. Introduction** 

The Orchidaceae is one of the largest and most diverse families of flowering plants (Givnish et al. 2015; Swarts and Dixon 2009). This diversity is reflected in the ability of the plants to thrive in a wide range of habitats and climatic zones, including tropical, subtropical, and alpine environments (Fay 2010). Orchids have a variety of unusual morphological and physiological characteristics that help them adapt to different ecological environments, such as symbiotic associations with specialised pollinators and mycorrhizal fungi (Byers 2021; Chase et al. 2015; Favre-Godal et al. 2020; Štípková et al. 2020; Tremblay 1992).

40 Orchid mycorrhizae are fungi that symbiotically associate with orchid roots (Dearnaley 41 2007; Rasmussen and Rasmussen 2009). These fungi are a fundamental part of the orchid's 42 life cycle because they assist in the germination of seeds and nutrient acquisition throughout 43 the adult orchid's life (Favre-Godal et al. 2020). The vast majority of orchid mycorrhizae are 44 members of the lineage Basidiomycota, with only a few Ascomycota described so far 45 (Dearnaley 2007; Favre-Godal et al. 2020). Orchids were once thought to exclusively associate with fungi from the '*Rhizoctonia*' group (Dearnaley et al. 2012). Newer research has shown that 46 47 orchid mycorrhizae originate from diverse fungal lineages (Jacquemyn et al. 2015; Kottke et

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al. 2008; Kottke et al. 2010; Martos et al. 2009; Martos et al. 2012; McCormick et al. 2018;
Oja et al. 2015; Suárez et al. 2006; Suárez et al. 2008; Valadares et al. 2021). However, our
knowledge of the mycorrhizal diversity associated with some ubiquitous orchid genera, such
as *Habenaria*, is limited.

52 Habenaria is one of the largest genera of terrestrial orchids with 835 described species (Govaerts et al. 2021). Species from this genus are widely distributed in the tropics and 53 54 subtropics, extending into temperate areas (Pridgeon et al. 2001). Southern Africa represents one of the major centres of diversity for this genus (Kurzweil and Weber 1992). In South 55 56 Africa, 29 Habenaria species have been identified along the entire eastern coastline (Johnson 57 and Bytebier 2015). Several of these species thrive only in small refugia, such as *H. barbertoni*, 58 H. bicolor, H. humilior, H. kraenzliniana and H. mossii while others, such as H. epipactidea, 59 H. arenaria, H. clavata, H. dregeana and H. falcicornis, are widely distributed (Johnson and 60 Bytebier 2015). Echoing the global trend, several South African Habenaria species are 61 becoming rarer and are on the brink of extinction (SANBI Red List of South African Plants 62 2022, http://redlist.sanbi.org/genus.php?genus=2829)

63 In this study, we catalogued and compared the diversity of mycorrhizal fungi associated with the roots of two native Habenaria species from South Africa, H. barbertoni and H. 64 65 epipactidea (Fig. 1A, B). In South Africa, both of these orchid species have overlapping 66 distribution zones, but *H. barbertoni* is of conservation concern, whilst the *H. epipactidea* is 67 not. We hypothesized that (1) the mycorrhizal diversity and composition associated with the 68 roots of these two orchid species would be similar, but that some unique fungal taxa would 69 exist in each species; and (2) the fungi which associate with the endangered *H. barbertoni* will 70 include unusual taxa.

### 71 **2. Materials and methods**

#### 72 2.1 Collection of orchid samples

In May 2018, three samples each of *Habenaria barbertoni* and *Habenaria epipactidea*were collected from a plot (-25.912111, 28.418389) near Pretoria, South Africa (Fig 1C, D).
The two orchid populations in this plot were separated by a linear distance of less than 400 m.

After collection, roots and tubers from each plant were repeatedly rinsed with tap water followed by sterile deionised water. Roots and tuber samples were dried using paper towels and stored at -20 °C until the extraction of DNA.

79 2.2 Extraction of DNA from orchid roots

80 All frozen root samples from both orchid species were separately homogenised using 81 liquid nitrogen. Between homogenization of different plant samples, the mortar and pestle were 82 surface sterilised with a 1% (v/v) sodium hypochlorite solution, followed by repeated rinsing 83 with sterile deionized water. Total genomic DNA was extracted from 50 mg of homogenised 84 root tissues using the MoBio Dneasy Powerplant Pro DNA Isolation Kit (Qiagen, Germany) 85 according to the manufacturer's instructions. The concentration of each DNA sample was quantified using a NanoDrop<sup>™</sup> 2000 spectrophotometer. Thereafter, all DNA samples were 86 87 stored at -20 °C until PCR amplification of the internal transcribed spacer (ITS) of mycorrhizal 88 fungi.

# 89 2.3 Amplification of fungi from root DNA extracts

For each DNA sample, two sets of PCR reactions were performed targeting the complete ITS region (ITS1 spacer region -5.8S gene -ITS2 spacer region) using orchid mycorrhizae specific primers. The first set of five technical replicates per sample was amplified using the primers ITS1-OF and ITS4-OF (Taylor and McCormick 2008). The second set of five technical replicates was amplified using the primers ITS1 and ITS4-Tul (Figure S1; Taylor and
McCormick 2008; White et al. 1990). The second set of amplifications aimed to capture the
diversity of fungi from the Tulasnellaceae (Taylor and McCormick 2008).

97 PCR reactions of 25  $\mu$ l included 5  $\mu$ l of 5 × GoTaq Flexi Buffer (Promega, MI), 2.5  $\mu$ l 98 of MgCl<sub>2</sub> (Promega, MI), 0.1 µl of dNTPs (Promega, MI), 1 µl of BSA (Amresco, OH), 0.125 99 µl of GoTaq Hot Start Polymerase (Promega, MI), 0.5 µl of each primer, 2 µl (conc. 20 ng/µl) 100 of DNA extracted from roots and the final volume was made up with PCR grade water. The 101 PCR temperature cycling for each primer pair was 96 °C for 2 min, followed by 30 cycles of 102 94 °C for 30 sec, 60 °C for 40 sec (ITS1-OF + ITS4-OF) / 54 °C for 40 sec (ITS1 + ITS4-Tul), 103 72°C for 1 min, and a final elongation for 72 °C for 10 min (Taylor and McCormick 2008). 104 Positive amplification of the gene regions was verified using gel electrophoresis.

# 105 2.4 Pooling of PCR products

To determine the relative concentrations of the PCR products, the gel images were analysed using the software ImageJ v1.52q (Figure S1; Schneider et al. 2012). To standardize the DNA contribution, the PCR replicates for each DNA sample were pooled into a single unit based on the band intensity. Thereafter, 25  $\mu$ l of each pooled PCR product was purified twice using Agencourt AMPure XP PCR purification beads (Beckman Coulter Genomics, USA) and visualized using gel electrophoresis (Figure S1).

## 112 2.5 Preparation and sequencing of amplicon libraries

Purified PCR products from the pooling step were submitted to Inqaba Biotechnical Industries (Pty) Ltd, South Africa for amplicon library preparation and Illumina MiSeq sequencing. The raw Illumina MiSeq sequencing data were deposited in the NCBI Sequence 116 Read Archive (https://submit.ncbi.nlm.nih.gov/ subs/sra/) under the accession number
117 PRJNA693177.

## 118 2.6 Bioinformatics analyses of high-throughput sequence data

119 The single-end high-throughput sequencing data were demultiplexed by the sequencing 120 facility. Fungal ITS 1 data were analysed using the bioinformatics workflow provided by 121 Quantitative Insights into Microbial Ecology 2 (QIIME 2) v2020.8 (Bolyen et al. 2019). 122 Filtering, trimming, denoising, and removing singletons and chimaeras were accomplished 123 using the 'q2-dada2' plugin (Callaham et al. 2016). During this step, the filtering settings were 124 set at a Phred quality score of 30 and a sequence length cut-off of 200 bp. All sequences that 125 did not fulfil these criteria were removed from the analyses. The 'q2-vsearch' plugin (Rognes 126 et al. 2016) was used for *de novo* assembly of the reads with 98 % sequence similarity. Using the UNITE fungal ITS database v8.3 (Abarenkov et al. 2021) as a reference, the 'qiime feature-127 128 classifier' (Rognes et al. 2016) was used to assign taxonomy to the operational taxonomic units 129 (OTUs).

## 130 2.7 Mycorrhizal community diversity and composition

The mycorrhizal taxonomic composition of the two orchid species (*H. barbertoni* and *H. epipactidea*) was summarised in a taxonomic tree using the 'MetacodeR' package of the R software (Foster et al. 2016; R Core Team 2021). Moreover, a heat map depicting the abundance of taxa between the two orchid species was constructed using the plot heatmap function available through the 'phyloseq' package (McMurdie and Holmes 2013) of R software.

To analyse the mycorrhizal diversity of the two orchid species, richness, and Shannon
and Simpson diversity indices were calculated for each sample. To analyse mycorrhizal species

richness, the number of taxa per sample was calculated. The effect of the different orchid species on the richness and each diversity index was analysed using a one-way ANOVA followed by a Tukey's Honest Significant Difference (HSD) *post hoc* test to do pairwise comparisons of the means. In all cases, model validity was checked and the 'agricolae' package of the R software was used to analyse mycorrhizal diversity (de Mendiburu 2021; R Core Team 2021).

Differences among the mycorrhizal community composition of *H. barbertoni* and *H. epipactidea* were depicted using a Principal Coordinate Analysis (PCoA). PCoA was conducted on an abundance matrix using Bray-Curtis dissimilarity. To assess whether the two orchid species showed statistically different mycorrhizal community composition, we employed a permutational multivariate analysis of variance (PERMANOVA). The vegan package of the R software (Oksanen et al. 2020; R Core Team 2021) was used to analyse the community composition.

### 152 **3. Results**

## 153 3.1 Bioinformatics analyses of high-throughput sequence data

High-throughput sequencing of six root DNA samples resulted in 292,232 raw reads.
After quality filtering, 236,419 reads were used for downstream analysis. Assembling of these
filtered reads corresponded to 75 fungal OTUs from the phyla Ascomycota (61.3%),
Basidiomycota (34.6%), Mortierellomycota (2.6%), and Mucoromycota (1.3%) (Fig. 2, 3).

The fungal OTUs identified in the roots of the two orchid species overlapped but their presence differed amongst orchid species (Fig. 3). For example, fungal OTUs from the orchid mycorrhizal orders Cantharellales and Sebacinales were more abundant in the roots of *H. barbertoni* than in the roots of *H. epipactidea* (Fig. 3). Twenty-four fungal OTUs were unique to the roots of *H. barbertoni*. Some of these distinct fungal OTUs belonged to the orders
Pleosporales, Sebacinales, and Atractiellales (Fig. 3). Sixteen OTUs were unique in *H. epipactidea*, which included taxa from the Pleosporales and Sebacinales (Fig. 3).

A total of 59 fungal OTUs were detected from the roots of *H. barbertoni* (Fig. 3) of which OTUs represented Ascomycota, 17 Basidiomycota while the remaining were from Mortierellomycota and Mucoromycota (Fig. 3). Three fungal orders containing orchid mycorrhizae were detected from the Basidiomycota. These were the Cantharellales (3 OTUs), Sebacinales (1 OTU), and Atractiellales (2 OTUs) (Fig. 3).

*Habenaria epipactidea* roots harboured a total of 51 fungal OTUs (Fig. 3). Among these,
30 OTUs represented Ascomycota, 18 were from the Basidiomycota, and the rest were from
Mortierellomycota and Mucoromycota (Fig. 3). Among the Basidiomycota OTUs, two orders
were detected with previously described orchid mycorrhizae. These are the Cantharellales (3
OTUs), and Sebacinales (1 OTU) (Fig. 3).

Apart from orchid mycorrhizal fungi, other fungi were also detected in the orchid roots. These fungi were from the orders Pleosporales, Capnodiales, Chaetothyriales, Hypocreales, Sordariales, Trichosphaeriales, Xylariales, Leucosporidiales, Sporidiobolales, Tremellales, and Mortierellales (Fig. 2, 3). Both orchid species contained a diverse assemblage of fungi from the classes Microbotryomycetes and Tremellomycetes (Fig. 2, 3), including mostly yeastlike unicellular fungi, such as those from the families Leucosporidiaceae, Sporidiobolaceae, Filobasidiaceae, Trimorphomycetaceae, and a few more (Fig. 2, 3).

182 3.2 Mycorrhizal community diversity and composition

183 Mycorrhizal community diversity (richness and Shannon and Simpson indexes) did not
184 differ between *H. barbertoni* and *H. epipactidea* (P > 0.05; Fig. 4). The PCoA plot showed a

- 185 dispersed pattern in the mycorrhizal community composition between the two orchid species
- 186 (Figure 5). However, the PERMANOVA did not confirm that the orchid species explained the
- 187 variation in mycorrhizal community composition (F = 6.05,  $r^2 = 0.60$ , P = 0.1).

188 **4. Discussion** 

Using high-throughput sequencing, we compared the communities of orchid mycorrhizal fungi associated with the roots of two South African endemic orchid species, *H. barbertoni* and *H. epipactidea*. Analyses of the sequence data revealed a significant number of taxa that were shared between the two orchid species. However, twenty-four fungal OTUs were found exclusively in the roots of *H. barbertoni*, while seventeen were found only in the roots of *H. epipactidea*. The roots of the endangered orchid *H. barbertoni* contained OTUs from the orchid mycorrhizae orders Atractiellales, Cantharellales, and Sebacinales.

196 A large number of fungal OTUs were found in both orchid species. This was not 197 surprising given that these orchid species are from the same genus, Habenaria and have an 198 overlapping distribution in South Africa (Johnson and Bytebier 2015). This was evident at our 199 sampling site where the populations of both orchids were growing close to each other. A similar 200 pattern was also seen in studies comparing mycorrhizal diversity associated with orchids from 201 the same genus (Ercole et al. 2015; Waterman et al. 2011; Xing et al. 2015; Yukawa et al. 202 2009). However, despite the similarity in the general diversity and community composition, 203 the abundance of many individual shared fungal OTUs differed widely in the two orchid 204 species.

The read abundances of common mycorrhizal OTUs from the Cantharellales differed substantially between the two orchid species. It is, however, well known that read abundances between-species comparisons can be skewed (Amend et al. 2010; Johnson et al. 2021) and

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caution must be exercised when assessing hypotheses based on read abundance data (Amend et al. 2010; Anslan et al. 2018; Nilsson et al. 2019). Read counts in our study were consistent between the biological replicates of each orchid species and thus it is unlikely that the variation in abundance of mutually shared fungal OTUs stems from sequencing bias. As a result, we believe the observed variations in abundance are related to plant age and species. Both of these factors influence the structural barriers in plants, influencing the colonization by mycorrhizal fungi (Chomicki et al. 2014; Soudzilovskaia et al. 2020; Teste et al. 2020).

We detected some orchid mycorrhizal fungal OTUs that were unique in one of the orchid 215 216 species. These included fungal OTUs from the orders Atractiellales and Sebacinales. Among 217 these, two OTUs from the Atractiellales (Atractiellomycetes) were exclusively detected in the 218 roots of H. barbertoni. These included Atractiella rhizophila and an 'unidentified 219 Atractiellales'. This class is the only lineage of rust fungi (Pucciniomycotina) that form 220 symbiotic associations with orchids (Kottke et al. 2010). To date, Atractiellomycetes were 221 exclusively detected in the roots of epiphytic neotropical orchids from the northern Andean 222 mountain rainforest (Kottke et al. 2010). Finding these fungi associated with a South African 223 orchid species is particularly interesting and further research is needed.

Kottke et al. (2010) suggested that the association between Atractiellomycetes and orchids is an example of an early evolutionary event in the development of orchid mycorrhizae. This group of mycorrhizae originated during the Late Cretaceous, 100-66 mya (Brundrett and Tedersoo 2018). However, classes within Pucciniomycotina, such as the Atractiellomycetes, emerged much earlier, between 211–383 Mya (He et al. 2019; Zhao et al. 2017). As a result, following the mass extinction event during the Cretaceous-Tertiary boundary (65.5 mya), orchids most likely formed symbiotic interactions with Atractiellomycetes and other unique fungi for adapting to the changing environment (Benton et al. 2021). This and other strategies
allowed orchids to radiate rapidly during this period (Ramírez et al. 2007; Zhang et al. 2018).

233 We detected several OTUs of saprophytic and phytopathogenic fungi from the orders 234 Pleosporales, Capnodiales, Hypocreales, and Xylariales. Pleosporales have been previously 235 described as growth-promoting orchid associated fungi, but their mycorrhizal status has not 236 been confirmed yet (Jacquemyn et al., 2017). In addition, a few OTUs from unknown Fusarium 237 species were detected. This genus includes fungi that are pathogenic to several orchid species 238 (Srivastava et al. 2018). However, recent studies have indicated that *Fusarium oxysporum* can 239 also form orchid mycorrhizal associations (Jiang et al. 2019). Similar to those orchid 240 mycorrhizae identified from mycoheterotrophic orchids, some of these saprophytic or 241 pathogenic fungi detected in this study perhaps form symbiotic associations with Habenaria 242 (Johnson et al. 2021; Kottke et al. 2010; Martos et al. 2009). Infection trials would be needed 243 to confirm this hypothesis.

244 We detected an assortment of yeast-like unicellular fungi from the phyla 245 Microbotryomycetes and Tremellomycetes (Basidiomycota). So far, no yeast-like unicellular 246 fungi are known to form symbiotic relationships with plants. However, research revealed that 247 these yeast-like unicellular fungi can increase mycorrhizal colonisation leading to improved 248 nutrient absorption and enhanced stress tolerance in plants (Alonso et al. 2008; Azcón et al. 249 2013; Botha 2011; Gollner et al. 2006; Mestre and Fontenla 2021; Sampedro et al. 2004; 250 Yurkov et al. 2012). Consequently, these unicellular fungi may be endophytes of the two 251 Habernaria species (Scholtysik et al. 2013; Solis et al. 2015) which may perform similar 252 services in the orchid-mycorrhizae symbiosis.

Previously, both Waterman et al. (2011) and Makwela et al. (2022) studied the diversity
of mycorrhizae associated with endemic South African orchids. The current study and the one

255 by Makwela and co-workers were both conducted in the Gauteng Province of South Africa, 256 whereas Waterman and co-workers focussed on the orchid species from the Eastern and 257 Western Cape Provinces. The sampling sites of our study were significantly different from the 258 other study conducted in Gauteng and geographically separated by big urban developments. Nevertheless, comparing the studies from Gauteng revealed both overlapping (Cantharellales 259 260 and Sebacinales) and unique orchid mycorrhizal fungi. However, the abundance of these 261 overlapping fungal OTUs significantly differed between these studies. For example, 262 Cantharellales were more abundant among *Habernaria* species than in *Brachycorythis conica* 263 subsp. transvaalensis, while Sebacinales were present at lower frequencies. It is noteworthy 264 that fungi from the Ceratobasidiaceae were abundant among the orchids from the Cape Provinces but absent from the orchids studied in Gauteng. Based on these studies, we 265 266 hypothesise that the Ceratobasidiaceae may be widespread in the soil of coastal Southern Africa 267 but are rare inland.

## 268 **5.** Conclusions

We compared the community of mycorrhizal fungi associated with the roots of two 269 270 indigenous Habernaria species from South Africa. Our data showed that the mycorrhizal 271 community associated with these two species was similar, but each orchid also harboured a 272 few unique fungal associates. We also detected mycorrhizal fungi from the Atractiellomycetes 273 that were uniquely associated with the roots of *H. barbertoni*. Previously, these fungi were 274 exclusively reported from orchids living in Peruvian forests. At the same time, we identified 275 several fungi that potentially might fulfil mycorrhizal functions, such as the Pleosporales and 276 F. oxysporum. In future, isolations of the fungi identified in this study for use and infection study will be necessary to test their role in the orchids' life history. Overall, the findings of this 277 278 study add to our understanding of the mycorrhizal diversity associated with indigenous South

African orchids and can ultimately be utilised to help conserve endangered species such as *H*. *barbertoni*.

## 281 **Declaration of Competing Interest**

The authors state that they have no known competing financial interests or personal connections that may seem to have influenced the work described in this publication.

### **Funding**

This research was financially supported by the South African National Research Foundation (Grant number 115949) and the Max Planck Society's International Partnergroup Program, both of which were awarded to A Hammerbacher and National Research Foundation SARChI Chair in Fungal Genomics granted to BD Wingfield.

# 289 Acknowledgement

We are grateful to the South African National Research Foundation, the NRF SARChI Chair in Fungal Genomics, and the Max Planck Society's International Partnergroup Program for financing this study. We are also thankful to the University of Pretoria and several members of the Forestry and Agricultural Biotechnology Institute (FABI) for their cooperation during this study.

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### 489 **Figure legends**

490 Figure 1. Above-ground plant with inflorescence, (A) *Habenaria barbertoni* and, (B)
491 *Habenaria epipactidea*. Tuberous root system used in this study for metabarcoding orchid
492 mycorrhizal diversity (C) *Habenaria barbertoni* and, (D) *Habenaria epipactidea*.

**Figure 2.** Taxonomic composition of fungi associated with *Habenaria barbertoni* and *Habenaria epipactidea* roots. Where available, the heat tree depicts a fungal community structure as a taxonomic hierarchy up to the species level. The size of each node and edge is proportional to the number of OTUs within each taxon, and the colour indicates taxon abundance (sum of reads).

Figure 3. A heat map depicting the fungal OTUs found in the roots of *Habenaria barbertoni*and *Habenaria epipactidea*. The Venn diagram shows the shared and distinct fungal OTUs
identified in these two orchids. OTUs detected from both orchid species = black font, *Habenaria barbertoni* only = red font, and *Habenaria epipactidea* only = blue font.

Figure 4. Box plots analysing fungal diversity indices of *Habenaria barbertoni* and *Habenaria epipactidea* roots.

504 **Figure 5.** Principal Coordinate Analysis of mycorrhizal community composition associated 505 with the roots of *Habenaria barbertoni* and *Habenaria epipactidea*.

**Figure S1.** PCR product purification steps used in this study. After merging the replicates for each PCR reaction using either of the primer pairs, the products were purified with Agencourt AMPure XP PCR purification beads. Following that, the relative concentrations of the PCR products were determined using ImageJ v1.52q. To standardise the DNA contribution, the PCR products were pooled into a single unit based on band intensity. All pools were purified in between the steps.

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p-Ascomycota; c-Dothideomycetes; o-Pleosporales; f-Didymellaceae p-Ascomycota; c-Sordariomycetes; o-Hypocreales; f-Nectriaceae; g-Fusarium







