

1 **Association between Antidepressants with Pneumonia and Exacerbation in Patients with**
2 **COPD: A Self-Controlled Case Series (SCCS).**

3 RA Siraj^{1, 2, 3}, CE Bolton¹, TM McKeever^{1, 2}

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5 **Authors affiliations:**

6 ¹ NIHR Nottingham Biomedical Research Centre Respiratory theme, Translational Medical
7 Sciences, School of Medicine, University of Nottingham, City Hospital NUH Trust site,
8 Nottingham, UK;

9 ² Division of Epidemiology and Public Health, School of Medicine, University of Nottingham,
10 Nottingham, UK.

11 ³ Department of Therapy Care, King Faisal University, Al-Ahsa, Saudi Arabia

12

13 **Correspondence to:**

14 Professor T.M McKeever,

15 Division of Epidemiology and Public Health NIHR Nottingham Biomedical Research Centre,

16 School of Medicine, University of Nottingham,

17 City Hospital Campus,

18 Hucknall Road, Nottingham,

19 NG5 1PB. UK

20 Email: Tricia.mckeever@nottingham.ac.uk

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Abstract

Objective: To assess whether antidepressant prescriptions are associated with an increased risk of pneumonia and COPD exacerbation.

Methods: A self-controlled case series was performed to investigate the rates of pneumonia and COPD exacerbation during periods of being exposed to antidepressants compared to non-exposed periods. Patients with COPD with pneumonia or COPD exacerbation and at least one prescription of antidepressant were ascertained from The Health Improvement Network in the UK. Incidence rate ratios (IRR) and 95% CI were calculated for both outcomes.

Results: Of 31,253 patients with COPD with at least one antidepressant prescription, 1,969 patients had pneumonia, and 18,483 had a COPD exacerbation. The 90-day risk period following antidepressant prescription was associated with a 79% increased risk of pneumonia (age-adjusted IRR 1.79, 95% CI: 1.54 to 2.07). These associations then disappeared once antidepressants were discontinued . There was a 16% (age-adjusted IRR= 1.16, 95% CI: 1.13 to 1.20) increased risk of COPD exacerbation within the 90 days following antidepressant prescription. This risk persisted and slightly increased in the remainder period ((age-adjusted IRR= 1.38, 95% CI: 1.34 to 1.41), but diminished after patients discontinued the treatment.

Conclusion: Antidepressants were associated with an increased risk of both pneumonia and exacerbation in patients with COPD, with the risks diminished upon stopping the treatment. These findings suggest a close monitoring of antidepressant prescription side-effects and consideration of non-pharmacological interventions .

52 **Key messages**

53

54 • **What is already known on this topic?**

55 A previous study suggests that antidepressants are associated with respiratory-related
56 morbidities in patients with COPD.

57 Potential confounders and bias may impair the interpretation of the association, which
58 has been previously observed.

59 • **What this study adds?**

60 Using a self-controlled case series design, this study shows that antidepressant
61 prescription with increased the risk of pneumonia and COPD exacerbation in patients with
62 COPD. These risks diminished once the treatment has stopped.

63 • **How this study might affect research, practice, or policy?**

64 These findings support the monitoring of side effects associated with antidepressants and
65 that non-pharmacological therapies should be considered.

66

67 **INTRODUCTION**

68 Poor mental health is common among patients with chronic obstructive pulmonary disease
69 (COPD) and has a significant impact on health and prognosis. It is imperative to identify and
70 treat with both non-pharmacological interventions including counselling as well as
71 pharmacological therapies such as antidepressants where appropriate [1].

72 Antidepressants, however, are not without their side effects, some non-specific but some reports
73 of respiratory harm in patients with COPD, even with selective serotonin reuptake inhibitors
74 (SSRIs) or serotonin–noradrenaline reuptake inhibitors (SNRIs), which exert weak
75 anticholinergic effects, and have better overall safety and acceptability records compared to
76 tricyclic antidepressants (TCAs) [2, 3]. Current evidence shows that the anticholinergic
77 property, strongest in TCAs, is associated with dry mouth [4], which may potentially lead to an
78 increased risk of pneumonia amongst the elderly [5]. Up to 30% of antidepressant recipients
79 (SSRs/SNRIs) experience vomiting and nausea [6, 7], which has the potential to contribute to
80 micro-aspiration. In addition, some SSRI/SNRI agents may have immunosuppressant effects
81 (by reducing the immune cell quantity and function), lowering the threshold of infection [8, 9].
82 There is also a possibility that some antidepressant agents suppress the clearance of apoptotic
83 cells in the airways, eventually leading to airway plugging.

84 A previous population-based study examined the association between a new antidepressant
85 prescription (SSRI/SNRI) and adverse respiratory events in individuals with COPD [2]. In that
86 observational analysis, new users of SSRIs and/or SNRIs with COPD had increased risks of
87 hospitalisation, emergency visits, pneumonia and mortality compared to non-users [2]. Whether
88 these findings reflect the causal effects of antidepressants or have been influenced by the
89 unmeasured differences between the exposed and control groups are to be ascertained.

90 Therefore, this study aims to use analyses to overcome the limitation of the previous research
91 in order to investigate whether antidepressants are associated with an increased risk of
92 pneumonia and COPD exacerbation, using primary care electronic health records within the
93 UK.

94 **METHODS**

95 Study Design

96 A self-controlled case series (SCCS) study design was used to examine the association between
97 antidepressant prescription and both (but separately) incident pneumonia and incident COPD
98 exacerbation in patients with a diagnosis of COPD. This method anchors patient observation
99 time to the date of a given exposure (index date), and then examines the timing of events in
100 relation to that exposure within a defined observation period. This method has the advantage of
101 eliminating confounding between subjects as each participant acts as their own control (10, 19).
102 The SCCS estimates the relative incidence of an outcome in the exposure risk periods (exposed
103 periods), with incidence during other baseline times (unexposed) within a person [10].

104 Data source and study population

105 The participants' information was obtained using The Health Improvement Network (THIN), a
106 large representative UK database, which contains longitudinal, fully anonymised patients'
107 electronic health records (>12 million people) from over 550 general practices (GPs) and
108 covering more than 6% of the UK population 20 [11]. The study identified all individuals aged
109 ≥ 40 years with a new READ-coded COPD diagnosis between 1/01/2004 and 31/12/2015, who
110 have at least one year of data prior to their COPD diagnosis [12] and have at least one record
111 of anti-depressant prescription/dispensing. The index date was defined as the date patients with
112 COPD were prescribed their first antidepressant prescription. From those with antidepressant
113 prescription(s), we included all individuals (cases) with the outcomes of interest (pneumonia or
114 COPD exacerbation in the SCCS analyses.

115 Diagnosis for COPD was solely based on READ codes, standard terminologies, maintained by
116 the UK National Health Service Centre for Coding and Classification [12]. COPD can be
117 identified in UK electronic primary care database using only read codes. Each healthcare
118 professionals' diagnosis of COPD was according to their view and decision. Ethical approval
119 for this study was provided by an independent Scientific Review Committee (SRC), reference
120 number - 18THIN098

121 Exposure definition

122 Antidepressant prescriptions were determined and further divided into four classes: SSRIs,
123 SNRIs, TCAs, Monoamine oxidase inhibitors (MAOIs), as well as collectively all together.

124 Detailed recordings of the length of prescriptions are not always found in THIN. In practice,
125 patients are unlikely to collect the subsequent medication prescribed on exactly the day after
126 the last day of the previous dispensing. Rather, they may collect it earlier (overlap between two
127 prescriptions) or later (time gap between two prescriptions). To account for these irregularities,
128 it is advised to allow for a certain number of days between prescriptions. Therefore, to constitute
129 a new episode of antidepressants, a 90-day interval between prescriptions was used, as it has
130 consistently been used in primary care studies, and also according to the standard practice in
131 the UK [13, 14]. Thus, this study made a conservative assumption, in which prescriptions were
132 part of the same episode if they were dated within 90 days of the previous prescription.

133 Exposed and unexposed periods definitions

134 The follow-up was defined as finishing when patients left the GP practice, date of death, or end
135 of the study period. The outcomes for each case were estimated during 7 different periods
136 (**Error! Reference source not found.**). Following a previous study [2], the decision was made
137 to include a 90-day “hypothesised risk window” following the day of the first prescription. The
138 selection of the 90-day risk period was made because this study intended to assess the acute
139 effects of antidepressant related adverse events, and since it is acknowledged that antidepressant
140 may take several weeks before it reaches its full effects.

141 In addition to assessing the 90-day risk window following the first prescription date, the
142 temporal changes associated with antidepressant prescription was also investigated. This was
143 done by dividing the 90-day window into 3 segments of 30 days each, where the risk of each
144 period was assessed individually. A period of a variable-length was also included to cover the
145 remainder period of that episode, followed by a 90-day washout period after the end of the
146 antidepressant episode/course. In a situation where a new episode of antidepressant was started
147 within these last two periods, the exposure statuses associated with that episode had taken over.

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150 **Figure 1. Diagram representing the study design**

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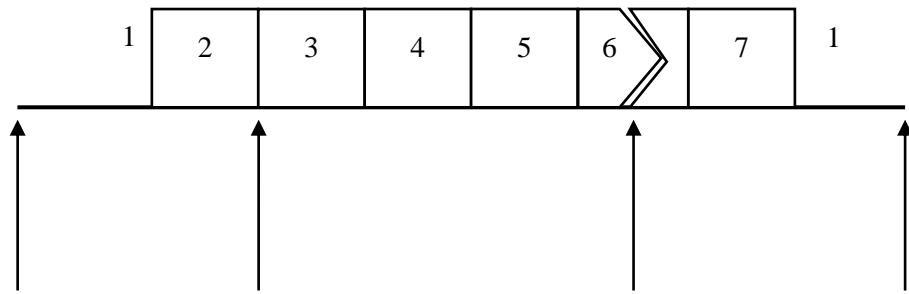
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Beginning of
the observation
period

Date of first
prescription

End of last
prescription within
the same episode

End of observation
period

162

1- The period when cases are not exposed to antidepressants (baseline).

163

2- A 30-day pre-exposure period up to and including the first prescription date. ,

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3- A 30-day period following the 1st prescription date (from day 1 to day 30 on treatment).

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4- A 30-day period (from day 31 to day 60 on treatment).

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5- A 30-day period (from day 61 to day 90 on treatment).

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6- The remainder of that course to cover the entire exposure period (from day 91 until the end of that course).

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7- A 90-day washout period following the end of that course.

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173 Outcome definitions

174 The first outcome was READ-coded pneumonia and the all events of pneumonia were
175 considered. A new event was considered as such if at least 90 days had elapsed from the
176 previous incidence of pneumonia, based on the current literature [15, 16]. Pneumonia diagnosis
177 using Read codes in primary care has been examined and validated [17, 18]. Secondly, we
178 assessed the association between antidepressants and COPD exacerbation. Incidents of COPD
179 exacerbation were defined based on algorithms constructed from multiple READ and drug
180 codes as follows: “1) a medical diagnosis of lower respiratory tract infection (LRTI) or acute
181 exacerbation of COPD (AECOPD), or 2) a prescription of COPD-specific antibiotic combined
182 with oral corticosteroids (OCS) for 5–14 days, or 3) a record of two or more respiratory
183 symptoms of AECOPD along with a prescription of COPD-specific antibiotics and/or OCS on
184 the same day” [19]. A new COPD exacerbation episode was considered as such if at least 8
185 weeks (56 days) had elapsed from the previous coded exacerbation [20].

186 Covariates

187 A number of covariates were determined at the time of COPD diagnosis, including age, gender
188 and Townsend social deprivation score (with quintile 1 being the least deprived and quintile 5
189 being the most deprived) [21]. Smoking status and the Medical Research Council (MRC)
190 dyspnoea scale were recorded closest to the index date (whether prior to, or after the index date).
191 Body mass index (BMI) was determined within 2 years (before and after) index date. Charlson
192 Comorbidity Index (CCI) was determined before or at index date.

193 Statistical analysis

194 Baseline characteristics and demographics were summarised as relative frequencies for
195 categorical data and mean (SD) for normally distributed continuous variables as appropriate.
196 The incidence rate ratio (IRR) for the outcomes (pneumonia and COPD exacerbation) were
197 calculated using fixed-effects Poisson regression by comparing the incidence ratio during each
198 exposure period with the incidence when the same individual was unexposed (baseline), with
199 an adjustment for age (3-year bands) [22]. The age-adjusted IRR for each antidepressant class
200 was calculated individually, as well as when all antidepressants were collectively combined for
201 each outcome. STATA 15.0 software was used for data management and statistical analyses.

202 Assumptions and sensitivity analyses

203 The SCCS relies on three main assumptions as follows:

- 204 1- The occurrence of pneumonia or COPD exacerbation must not alter the probability of
205 subsequent exposure. As both pneumonia and COPD exacerbations are associated with
206 depression and anxiety [23, 24], which, might increase the probability of antidepressants
207 prescriptions, there is a potential short-term dependency that may lead to a change in
208 prescription. To account for this, we created a 30-day pre-exposure period in line with
209 previous studies [15, 25-27].
- 210 2- The second assumption is that an event should not alter the probability of a subsequent
211 event (occurrence of outcomes is independent), especially for modelling multiple
212 events. As a COPD exacerbation and pneumonia can increase the risk of a future event,
213 sensitivity analyses restricting to the first event were conducted.
- 214 3- An outcome event should not increase the probability of observation censoring (does
215 not lead to an increased risk of death). As both outcomes (pneumonia and COPD
216 exacerbation are linked to increased risk of death, an the this study opted to carry out a
217 secondary analysis wherein patients who died following an event were excluded (6 and
218 12 months following the outcome event), similar to previous studies [15, 27-30].

219

220 Since the SCCS does not control for time-varying confounders (e.g. season), and
221 weather may be associated with increased risks of pneumonia and/or COPD
222 exacerbations, the model was examined for the potential effect of season (adjusted for
223 seasons) by splitting the year into two parts: 1) October to March (colder months) and
224 2) April to September (warmer months), in concordant to previous analyses [28, 31, 32].

225 **RESULTS**

226 Of the 31,253 patients with COPD with at least one record of antidepressant prescription during
227 the study period, there were 1,969 individuals who had a coded pneumonia event and 18,483
228 individuals with a COPD exacerbation who were included in the SCCS analyses, Figure 2. Six-
229 hundred and thirteen patients with COPD were presented with both codes; and thus were
230 included in both analyses The median numbers for pneumonia and COPD exacerbation were 1
231 (IQR: 1-2) and 3 (IQR; 2-6), respectively, events per patient. The mean (SD) age of the 31,253
232 patients was 65 (11) years. The baseline characteristics of the study participants are summarised
233 in

234 Table Error! No text of specified style in document.1.

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236 **Figure 2. Flow chart to the study**

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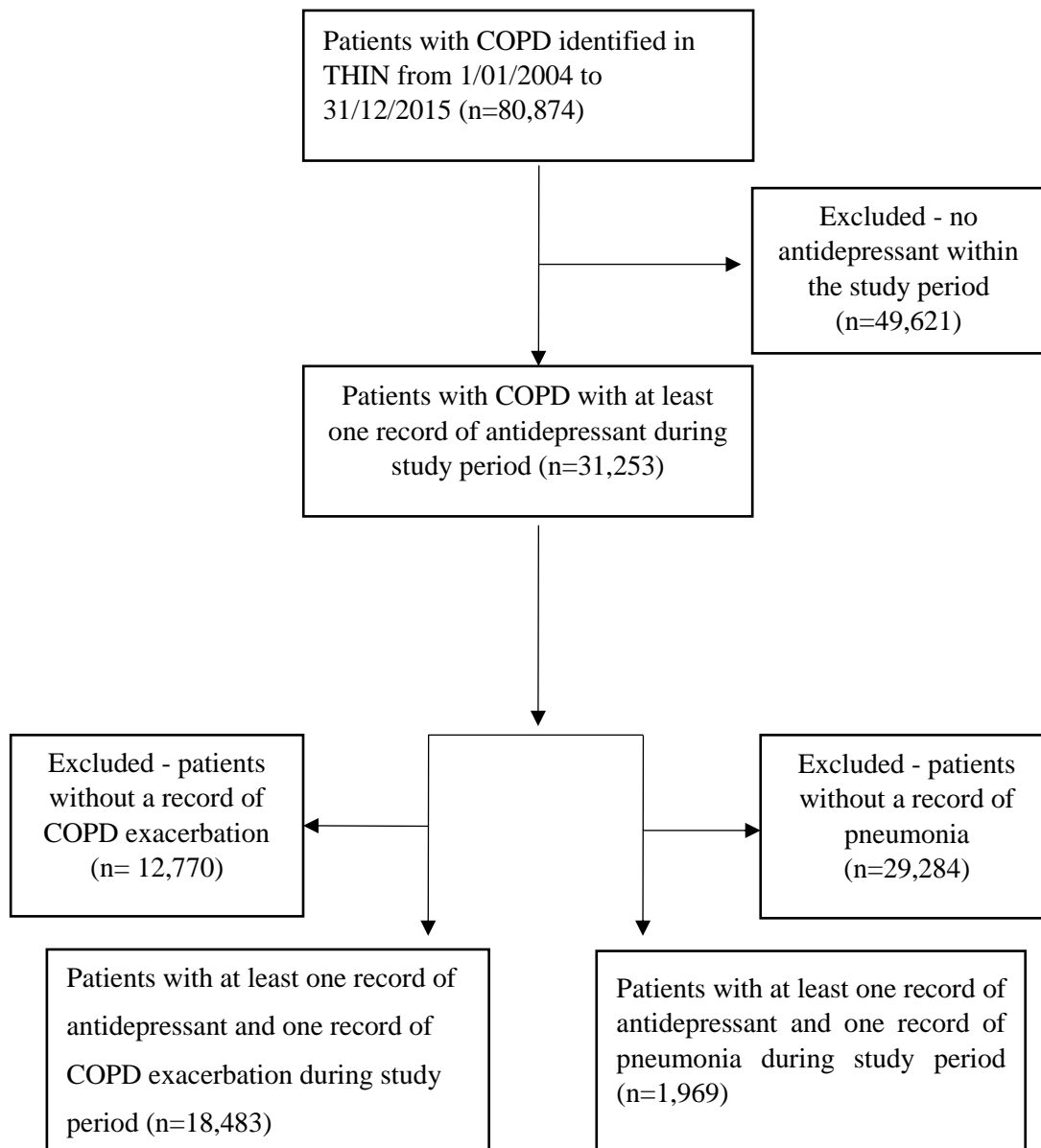
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256 **Table Error! No text of specified style in document.1. Baseline characteristics for patients with**
 257 **COPD with a record of antidepressant prescription (n=31,253) with pneumonia (n=1,969) or**
 258 **COPD exacerbation (n=18,483) during the study period**

Characteristics	Overall (n=31,253)	Pneumonia (n=1,969)	COPD exacerbation (n=18,483)
Mean Age at COPD diagnosis (years, SD)	65.1 (11.2)	71.8 (10.8)	67.7 (10.9)
Gender			
Male	13,283 (44%)	950 (48%)	7,407 (41%)
Female	17,970 (56%)	1,019 (52%)	11,076 (59%)
Follow-up (years, median, IQR)	5.9 (3.3-8.7)	7.7 (5.5-9.8)	6.7 (4.2-9.1)
Townsend score (prior or at index date)			
1 least deprived	4,300 (14%)	252 (13%)	2,458 (14%)
2	4,927 (16.2%)	300 (15%)	2,884 (16%)
3	6,240 (20%)	386 (20%)	3,694 (20%)
4	7,541 (24%)	513 (26%)	4,494 (24%)
5 most deprived	6,902 (21.3%)	443 (22%)	4,217 (21.6%)
No records	1,343 (4.5%)	75 (4%)	736 (4.4%)
BMI (kg/m²) (2 years either side of index date)			
underweight (<18)	13,790 (44%)	863 (43%)	13,854 (44%)
normal (18-24.99)	9,758 (31%)	623 (32%)	10,098 (32%)
Overweight (25-29.99)	4,942 (16%)	289 (15%)	4,971 (16%)
Obese (>30)	2,567 (6%)	314 (6%)	1,736 (5%)
No records	952 (3%)	75 (4%)	543 (3%)
MRC dyspnoea score (most recent record to index date)			
1	2,821 (10.3%)	94 (5%)	1,364 (7%)
2	6,856 (23.6%)	285 (14%)	3,744 (20%)
3	4,372 (14%)	231 (12%)	2,634 (14%)
4-5	2,419 (7.4%)	174 (9%)	1,463 (8%)
No records	14,785 (44.7%)	1,185 (60%)	9,278 (45.5%)
Smoking status (most recent record to COPD diagnosis)			
Never smoked	2,754 (9%)	164 (8.3%)	1,551 (8%)
EX-smoker	13,608 (44%)	959 (48.7%)	8,092 (44%)
Current smoker	14,480 (45%)	823 (42%)	8,667 (47%)
Unknown	411 (2%)	23 (1%)	173 (1%)
CCI (prior to or at index date)			
0-1	15,141 (48%)	790 (40%)	9,197 (50%)
2	5,230 (16.5%)	358 (18%)	3,004 (16%)
3	5,397 (17.5%)	368 (19%)	3,270 (18%)
≥ 4	5,485 (18%)	453 (23%)	3,012 (16%)

Results are presented as frequency and percentage unless stated otherwise

Abbreviations: BMI: Body Mass Index; CCI, Charlson comorbidity index; MRC: Medical Research Council.

260 Association with pneumonia

261 Compared to an unexposed period, collective antidepressant, SSRI/SNRI, and TCA
262 prescriptions showed marked associations with pneumonia throughout all risk periods (Table
263 2). These associations were then diminished after withdrawal from the treatment. The 90-day
264 period following any antidepressant prescription was associated with a 79% increased risk of
265 pneumonia (age-adjusted IRR 1.79, 95% CI: 1.54 to 2.07). The risk also persisted throughout
266 the remainder period (age-adjusted IRR 1.88, 95% CI: 1.68 to 2.11). The initiation of
267 SSRI/SNRI and TCAs, separately, were also associated with an increased risk of pneumonia
268 that extended to the remainder period.

269 Restricting the primary analysis to only the first event of pneumonia was associated with an
270 increased risk of pneumonia in the 90-day after antidepressant prescription and the remainder
271 period, despite slightly lower in magnitude (online supplemental appendix results E1).
272 Following pneumonia, there were 295 and 388 patients censored within 6 and 12 months,
273 respectively. In those who were not censored within 6 and 12 months after the incident
274 pneumonia, there was a 48% (1.26 to 1.75) and 43% (1.21 to 1.70) increased risk of pneumonia
275 in the 90 days following the prescription of any antidepressant (online supplemental appendices
276 results E2 & E3).

277 **Table 2. Age-adjusted incidence rate ratio of pneumonia (multiple events) in exposure periods after antidepressant prescription relative to**
 278 **unexposed periods in patients with COPD, calculated by case series analytical technique, UK, 2004-2015**

Antidepressants	No. of exposed cases with Pneumonia	Day 1-30 IRR (95%CI)	Day 31-60 IRR (95% CI)	Day 61-90 IRR (95% CI)	Day 1-90 IRR (95% CI)	Remainder period IRR (95% CI)	90 days washout IRR (95% CI)
Collective	1,969	1.68	1.83	1.89	1.79	1.88	1.03
Antidepressants		(1.33 to 2.13)	(1.48 to 2.27)	(1.43 to 2.49)	(1.54 to 2.07)	(1.68 to 2.11)	(0.76 to 1.40)
SSRI or SNRI	1,218	1.75	1.62	2.56	1.76	1.83	1.02
		(1.29 to 2.38)	(1.22 to 2.15)	(1.88 to 3.50)	(1.46 to 2.12)	(1.58 to 2.12)	(0.69 to 1.50)
SSRI	1,143	1.73	1.53	2.65	1.86	1.79	0.95
		(1.62 to 2.39)	(1.13 to 2.07)	(1.94 to 3.62)	(1.54 to 2.4)	(1.54 to 2.09)	(0.64 to 1.42)
SNRI	168	1.69	1.23	1.61	1.48	1.91	1.29
		(0.73 to 3.91)	(0.53 to 2.86)	(0.59 to 4.36)	(0.86 to 2.55)	(1.31 to 2.79)	(0.52 to 3.2)
TCA	1,318	1.51	1.92	1.40	1.64	1.78	1.29
		(1.10 to 2.03)	(1.46 to 2.52)	(0.95 to 2.07)	(1.35 to 1.98)	(1.54 to 2.07)	(0.93 to 1.79)
MAOI	50	0.82	0.93	—	0.62	2.44	1.38
		(0.07 to 7.43)	(0.10 to 8.14)		(0.13 to 2.90)	(0.95 to 5.7)	(0.33 to 5.77)

279 The “Collective Antidepressants” does not equate to the total of all individual classes as some subjects received more than one antidepressant in each class

280 **Exposure time periods:**

- 281 1- Day 1-30: A 30-day risk period starting from the day after the date of the prescription (segment 1).
 282 2- Day 31-60: A 30-day risk period starting from day 31 after the date of the prescription (segment 2).
 283 3- Day 61-90: A 30-day risk period starting from day 61 after the date of prescription (segment 3).
 284 4- Day 1-90: A 90-day risk period accounts for the entire risk window (day 1 to 90).
 285 5- Remainder period: A period starts 90 days after the date of the prescription until the end of the course (from day 91 after date of the prescription until the end of the course).
 286 6- 90 days washout: A 90-day period starting the day after the end of the course and continuing for 90 days (day 1 after the end of the course until day 90 after the end of the course). Abbreviations: SSRI: Selective Serotonin Reuptake Inhibitor; SNRI: serotonin-norepinephrine reuptake Inhibitor; TCA: Tricyclic antidepressants; MAOI: Monoamine oxidase inhibitors.
 287
 288

289 Association with COPD exacerbation

290 Compared to a period when patients were not exposed to antidepressant, there was a 16%
291 increased risk of COPD exacerbation (age-adjusted IRR= 1.16, 95% CI: 1.13 to 1.20) in the
292 first 90 days following any antidepressant prescription that slightly increased in the remainder
293 period (age-adjusted IRR= 1.38, 95% CI: 1.34 to 1.41), but the association was diminished after
294 90 days from stopping the treatment. Similar trends were observed in SSRI/SNRI and TCAs
295 (Table 3).

296 The sensitivity analyses found that antidepressant prescription were associated with a greater
297 risk of the first event of COPD exacerbation (age-adjusted IRR= 1.41, 95% CI: 1.34 to 1.49;
298 online supplemental appendix results E4). The risk as also extended throughout the remainder
299 period, but then diminished in the washout period. In addition, there were 1331 and 2,078
300 patients censored within 6 and 12 months, respectively, following a COPD exacerbation. There
301 was 12% increased risk of COPD exacerbation in the 90 days following any antidepressants in
302 those whose observations were not censored within 6 and 12 months after COPD exacerbation,
303 compared to unexposed periods (online supplemental appendices results E5 & E6).

304 When the season was included in the analyses, it yielded similar results; therefore, there were
305 no obvious confounding seasonal effects on the associations of antidepressants and pneumonia
306 or COPD exacerbation events (Table E7 and E8).

307 **Table 3. Age-adjusted incidence rate ratio of COPD exacerbation (multiple events) in exposure periods after antidepressant prescription**
 308 **relative to unexposed periods in patients with COPD, calculated by case series analytical technique, UK, 2004-2015**

Antidepressants	No. of exposed cases with exacerbation	Day 1-30 IRR (95%CI)	Day 31-60 IRR (95%CI)	Day 61-90 IRR (95%CI)	Day 1-90 IRR (95%CI)	Remainder period IRR (95% CI)	90 days washout IRR (95% CI)
Collective antidepressant	18,483	1.11 (1.06 to 1.17)	1.18 (1.12 to 1.23)	1.23 (1.15 to 1.31)	1.16 (1.13 to 1.20)	1.38 (1.34 to 1.41)	0.98 (0.92 to 1.05)
SSRI or SNRI	11,770	1.10 (1.03 to 1.17)	1.16 (1.09 to 1.23)	1.22 (1.13 to 1.33)	1.15 (1.11 to 1.20)	1.39 (1.35 to 1.43)	0.99 (0.93 to 1.10)
SSRI	10,919	1.07 (1.01 to 1.45)	1.13 (1.06 to 1.21)	1.29 (1.10 to 1.30)	1.12 (1.08 to 1.17)	1.36 (1.32 to 1.41)	1.02 (0.94 to 1.11)
SNRI	1,753	1.14 (0.94 to 1.40)	1.29 (1.10 to 1.52)	1.34 (1.09 to 1.65)	1.23 (1.10 to 1.38)	1.36 (1.26 to 1.47)	1.06 (0.87 to 1.33)
TCA	11,936	1.09 (1.02 to 1.16)	1.16 (1.10 to 1.23)	1.26 (1.17 to 1.37)	1.16 (1.11 to 1.21)	1.27 (1.23 to 1.32)	1.02 (0.93 to 1.09)
MAOI	416	1.14 (0.77 to 1.68)	1.57 (1.11 to 2.23)	1.17 (0.71 to 1.92)	1.33 (1.07 to 1.66)	1.15 (0.89 to 1.50)	0.76 (0.49 to 1.18)

309 The "Collective Antidepressants" does not equate to the total of all individual classes as some subjects received more than one antidepressant in each class

310 **Exposure time periods:**

- 311 1- Day 1-30: A 30-day risk period starting from the day after the date of the prescription (segment 1).
 312 2- Day 31-60: A 30-day risk period starting from day 31 after the date of the prescription (segment 2).
 313 3- Day 61-90: A 30-day risk period starting from day 61 after the date of prescription (segment 3).
 314 4- Day 1-90: A 90-day risk period accounts for the entire risk window (day 1 to 90).
 315 5- Remainder period: A period starts 90 days after the date of the prescription until the end of the course (from day 91 after date of the prescription until the end of the
 316 course).
 317 6- 90 days washout: A 90-day period starting the day after the end of the course and continuing for 90 days (day 1 after the end of the course until day 90 after the end of
 318 the course). Abbreviations: SSRI: Selective Serotonin Reuptake Inhibitor; SNRI: serotonin-norepinephrine reuptake Inhibitor; TCA: Tricyclic antidepressants; MAOI:
 319 Monoamine oxidase inhibitors.

320 **DISCUSSION**

321 In this self-controlled case series study utilising primary care data, there were increased
322 risks of both pneumonia and COPD exacerbations in the 90 days following the use of
323 antidepressants among patients with COPD. The increased risk remained even when the
324 analyses were restricted to the first event. The risk of pneumonia and COPD exacerbation
325 both diminished once antidepressants were discontinued.

326 There has been growing evidence that antidepressants may lead to respiratory-related
327 adverse events in patients with COPD. Several mechanisms have been suggested in the
328 literature. Such includes the anticholinergic component in TCAs, which is associated with
329 dry mouth, leading to increased risks of pneumonia [4]. Further, the common side effects,
330 such as vomiting and nausea, associated with some SSRIs and SNRIs, could also contribute
331 to aspiration and eventually pneumonia [6, 7]. Other antidepressants also have
332 immunosuppressant effects, potentially lowering the threshold of infection [8, 9], and
333 consequently exacerbation.

334 The current study found that there is an increased risk of pneumonia and COPD
335 exacerbation in the 90-day following antidepressant prescription. The risks gradually
336 increased after initiation and peaked at extended use. Although this study further supports
337 findings from a previous study that SSRI or SNRI users with COPD have an increased risk
338 of pneumonia compared to non-users [2], the risk of pneumonia reported in this study was
339 of a greater magnitude and longer period.

340 It is important to mention the study conducted by Vozoris et al. demonstrated that new
341 users (patients with COPD) of antidepressants (SSRI/SNRI) were at lower risk of COPD
342 exacerbation (in outpatient exacerbations) compared to non-users in the 90-day following
343 antidepressant, owing this to increased and competing risk of other respiratory events and
344 death (2). However, more severe COPD exacerbations associated with hospitalisation and
345 emergency visits were significantly increased among the SSRI/SNRI users (2). The present
346 study also explored these associations in other antidepressant classes and identified the
347 precise timing and duration of the amplified risk; something that has not been investigated
348 before.

349 The causal link between antidepressants and the development of pneumonia has not been
350 established. However, there is evidence to suggest that depression and anxiety (which are
351 both highly prevalent in COPD [33, 34], leading to antidepressant use) is independently
352 associated with an increased risk of respiratory infection and pneumonia [35]. A previous
353 study reported a 3-fold increased risk of pneumonia in the 90-day period after
354 hospitalisation for depression [36], highlighting the possibility that antidepressants
355 contribute to the increased risk. Indeed, Hennessy et al. reported an association between
356 antidepressants and increased risk of pneumonia among elderly, although the association
357 was nullified upon further adjustments [37]. There is also a possibility that the
358 pharmacological side effects may contribute to an increased risk [6, 7, 38].

359 Each class of antidepressants contributes to increased risk of pneumonia and exacerbation
360 by their own adverse effects. For instance, the anticholinergic property in TCAs has been
361 associated with dry mouth [4], which may potentially lead to an increased risk of
362 pneumonia [5]. Some antidepressants have antihistaminergic effects, which causes
363 sedation, while others may cause sedation by the inhibition of the monoamine oxidase
364 enzyme. Moreover, some SSRI/SNRI agents may have immunosuppressant effects
365 lowering the threshold of infection [8, 9].

366 This study found an increased risk of COPD exacerbation in the 90-day period following
367 antidepressant prescriptions, which has also extended during the time when patients were
368 on continuous antidepressant. In contrast, a previous study has shown that new users
369 (patients with COPD) of antidepressants (SSRI/SNRI) were at lower risk of COPD
370 exacerbation compared to non-users in the 90-day following antidepressant, owing this to
371 increased and competing risk of other respiratory events and death [2]. Crucially, the
372 current study compared the incidence relative risk of COPD exacerbation during
373 antidepressant exposure periods with the patients' own stable period, not the risk of COPD
374 exacerbation between individuals. Although having a history of COPD exacerbation is the
375 greatest risk factor for future exacerbations [39], this study found a similar relationship —
376 there is an increased risk of COPD exacerbation following antidepressant prescriptions —
377 when the analysis was restricted to the first COPD exacerbation event, highlighting a
378 potential risk associated with the side effects of antidepressant [8, 9].

379 Strengths and limitations of the study

380 This study has several strengths. First, the primary care database is large and provides a
381 representative sample of patients with COPD within the UK [11]. Second, the use of
382 within-individual comparison has controlled for time-independent confounders such as
383 sex, socioeconomic status, and genetics; thus, providing a robust estimate. In addition, this
384 study has used recommended approaches to fulfil the assumptions of the SCCS analyses,
385 such as 1) including a pre-exposure period, 2) studying the first event, and 3) excluding
386 those whose observations were censored because of death. Another strength is that this
387 study used validated definitions for COPD exacerbation in electronic health records [19].

388 However, this study has some limitations. One limitation is that some lifestyle exposures
389 are not regularly updated, making it difficult to exclude confounding factors that are known
390 to accompany the issue of antidepressant prescription. For instance, smoking consumption
391 may become more frequent during depression or anxiety episodes (and hence
392 antidepressant prescription), which could consequently confound the observed
393 relationship. Investigation to the dose-response association could not be considered, as a
394 significant proportion of THIN prescription records do not contain usable dosage
395 information. Further, indications for antidepressants (reasons for prescription) are not
396 recorded in THIN, and we cannot exclude that some patients may have sought treatment
397 for other illnesses for which antidepressant were eventually prescribed; and therefore, may
398 contribute to increased risk. Further, severity of airways obstruction of COPD was lacking.
399 Although THIN lacks maintenance COPD therapies such as supplemental oxygen and
400 positive airway pressure, implementing the SCCS would overcome differences between
401 exposed and unexposed periods, as each participant acts as his/her control. In addition, it
402 was difficult to determine whether patients collected and/or adhered to their antidepressants
403 as prescribed. Further, it was also difficult to determine whether patients were receiving
404 palliative care or whether patients on antidepressants were at advanced stage of the disease.
405 However, it is less likely that those patients would explain the findings of this study.
406 Although we have split time up into 3-year age bands to account for time-varying
407 confounders, our study is still susceptible to time varying confounders if these correlated
408 closely in time with antidepressant prescription, such as psychotropic drugs, which are

409 known to have an impact on respiratory morbidities and are likely to be prescribed along
410 with antidepressant.

411 Although the 30-day pre-exposure period was designed to account for any pneumonia or
412 COPD exacerbation that might lead to prescriptions of antidepressants, there is still a
413 possibility that this strategy might not fully circumvent this issue. This is because both
414 subsequent outcomes (pneumonia and COPD exacerbation) during exposure period might
415 increase the probability of antidepressant prescriptions. However, this strategy has been
416 widely used in the literature [15, 25-27]. Moreover, the current analysis only studied
417 pneumonia and COPD exacerbation events reported in general practice but did not
418 necessarily comprehensively capture events diagnosed at hospital admission and needing
419 subsequent coding in primary care. Therefore, these findings should be interpreted with
420 cautious.

421 Conclusion

422 Antidepressants are associated with an increased risk of both pneumonia and COPD
423 exacerbation in the 90 days following a prescription of antidepressant. Although casual
424 relationships cannot be established from this observational study, the findings should raise
425 awareness of if any side effects that may be particularly problematic for the individual. It
426 is also important to consider non-pharmacological therapies that have been shown to
427 improve mental health disorders, such as psychological support.

428

429 **Figure 1. Diagram representing the study design.**

430 **Figure 2. Flow chart to the study**

431 **Contributorship statement**

432 Conceptualisation; RS, CB and TM: data curation; RS: formal analysis; RS: investigation;
433 RS, CB and TM: methodology; RS, CB and TM: project administration; RS, CB and TM:
434 resources; RS, CB and TM: supervision; CB and TM: validation; RS, CB and TM: writing
435 of the original draft; all authors contributed to the writing, review and editing.

436

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439

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445 Chiesi, outside the submitted work; and no financial relationship with any organisation that
446 might have an interest in the submitted work in the previous three years, no other
447 relationship or activity that could appear to have influenced the submitted work.

448

449 **Ethical approval statement**

450 Ethical approval for this study was provided by an independent Scientific Review
451 Committee (SRC), reference number - 18THIN098

452

453 **Data Sharing**

454 All data relevant to the study are included in the article or uploaded as supplementary
455 information.

456

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555

556 **Table E1. Age-adjusted incidence rate ratio of pneumonia (first event) in exposure periods after antidepressant prescription relative to**
 557 **unexposed periods in patients with COPD, calculated by case series analytical technique, UK, 2004-2015**

Antidepressants	No. of exposed cases with Pneumonia	Day 1-30 IRR (95%CI)	Day 31-60 IRR (95% CI)	Day 61-90 IRR (95% CI)	Day 1-90 IRR (95% CI)	Remainder period IRR (95% CI)	90 days washout IRR (95% CI)
Collective Antidepressants	1,969	1.54 (1.13 to 2.10)	1.57 (1.18 to 2.08)	1.59 (1.08 to 2.34)	1.51 (1.45 to 2.17)	2.15 (1.86 to 2.48)	0.92 (0.62 to 1.38)
SSRI or NRI	1,218	1.69 (1.21 to 2.35)	1.55 (1.15 to 2.10)	2.51 (1.80 to 3.15)	1.79 (1.46 to 2.18)	1.91 (1.64 to 2.23)	1.02 (0.68 to 1.54)
SSRI	1,143	1.71 (1.21 to 2.41)	1.45 (1.05 to 2.01)	2.60 (1.85 to 3.66)	1.70 (1.38 to 2.08)	1.89 (1.61 to 2.22)	0.95 (0.61 to 1.47)
SNRI	168	1.99 (0.85 to 4.69)	1.42 (0.60 to 2.38)	1.47 (0.46 to 4.66)	1.59 (0.90 to 2.80)	2.20 (1.35 to 3.02)	2.23 (0.103 to 4.84)
TCA	1,318	1.61 (1.17 to 2.21)	1.75 (1.29 to 2.38)	1.28 (0.83 to 1.98)	1.55 (1.26 to 1.90)	1.77 (1.51 to 2.08)	1.28 (0.90 to 1.81)
MAOI	50	1.02 (0.09 to 11.2)	1.22 (0.10 to 12.3)	—	0.95 (0.18 to 4.81)	2.03 (0.66 to 6.21)	1.06 (0.14 to 8.18)

558 The “Collective Antidepressants” does not equate to the total of all individual class as some subjects received more than one antidepressant in each class

559 **Exposure time periods:**

- 560 1- Day 1-30: A 30-day risk period starting from the day after the date of prescription.
 561 2- Day 31-60: A 30-day risk period starting from day 31 after the date of prescription.
 562 3- Day 61-90: A 30-day risk period starting from day 61 after the date of prescription.
 563 4- Day 1-90: A 90-day risk period accounts for the entire risk window (day 1 to 90).
 564 5- Remainder period: A period starts 90 days after the date of the prescription until the end of the course (from day 91 after date of prescription until the end of the course).
 565 6- 90 days washout: A 90-day period starting the day after the end of the course and continuing for 90 days (day 1 after the end of the course until day 90 after the end of
 566 the course). Abbreviations: SSRI: Selective Serotonin Reuptake Inhibitor; SNRI: serotonin-norepinephrine reuptake Inhibitor; TCA: Tricyclic antidepressants; MAOI:
 567 Monoamine oxidase inhibitors.
 568

569 **Table E2. Age-adjusted incidence rate ratio of pneumonia (excluding cases who died within 6 months following the date of pneumonia**
570 **diagnosis) in exposure periods after antidepressant prescription relative to unexposed periods in patients with COPD, calculated by case**
571 **series analytical technique, UK, 2004-2015**

Antidepressants	No. of exposed cases with Pneumonia	Day 1-30 IRR (95%CI)	Day 31-60 IRR (95% CI)	Day 61-90 IRR (95% CI)	Day 1-90 IRR (95% CI)	Remainder period IRR (95% CI)	90 days washout IRR (95% CI)
Collective	1,674	1.33 (1.12 to 1.66)	1.64 (1.30 to 2.07)	1.44 (1.04 to 2.02)	1.48 (1.26 to 1.75)	1.56 (1.37 to 1.77)	0.85 (0.59 to 1.23)
SSRI or SNRI	1,048	1.32 (0.92 to 1.89)	1.44 (1.05 to 1.97)	2.03 (1.41 to 2.91)	1.50 (1.22 to 1.84)	1.51 (1.28 to 1.78)	0.86 (0.55 to 1.34)
SSRI	978	1.26 (0.86 to 1.85)	1.41 (1.02 to 1.95)	2.15 (1.49 to 3.1)	1.55 (1.26 to 1.91)	1.48 (1.26 to 1.75)	0.83 (0.52 to 1.33)
SNRI	157	0.89 (0.30 to 2.70)	1.15 (0.46 to 2.84)	1.72 (0.63 to 4.67)	1.17 (0.64 to 2.15)	1.81 (1.22 to 2.70)	2.03 (0.93 to 4.39)
TCA	1,121	1.24 (0.88 to 1.74)	1.71 (1.27 to 2.30)	1.21 (0.77 to 1.88)	1.42 (1.15 to 1.74)	1.56 (1.32 to 1.83)	1.04 (0.71 to 1.54)
MAOI	45	0.83 (0.08 to 7.86)	0.91 (0.10 to 8.46)	—	0.62 (0.13 to 3.02)	1.70 (0.58 to 4.94)	1.47 (0.36 to 6.14)

The “Collective Antidepressants” does not equate to the total of all individual class as some subjects received more than one antidepressant in each class

Exposure time periods:

- 1- Day 1-30: A 30-day risk period starting from the day after the date of prescription.
 - 2- Day 31-60: A 30-day risk period starting from day 31 after the date of prescription.
 - 3- Day 61-90: A 30-day risk period starting from day 61 after the date of prescription.
 - 4- Day 1-90: A 90-day risk period accounts for the entire risk window (day 1 to 90).
 - 5- Remainder period: A period starts 90 days after the date of the prescription until the end of the course (from day 91 after date of prescription until the end of the course)
 - 6- 90 days washout: A 90-day period starting the day after the end of the course and continuing for 90 days (day 1 after the end of the course until day 90 after the end of the course).
- Abbreviations: SSRI: Selective Serotonin Reuptake Inhibitor; SNRI: serotonin-norepinephrine reuptake Inhibitor; TCA: Tricyclic antidepressants; MAOI: Monoamine oxidase inhibitors.

581 **Table E3. Age-adjusted incidence rate ratio of pneumonia (excluding cases who died within 12 months following the date of pneumonia**
582 **diagnosis) in exposure periods after antidepressant prescription relative to unexposed periods in patients with COPD, calculated by case**
583 **series analytical technique, UK, 2004-2015**

Antidepressants	No. of exposed cases with Pneumonia	Day 1-30 IRR (95%CI)	Day 31-60 IRR (95% CI)	Day 61-90 IRR (95% CI)	Day 1-90 IRR (95% CI)	Remainder period IRR (95% CI)	90 days washout IRR (95% CI)
Collective	1,581	1.32 (1.02 to 1.75)	1.51 (1.18 to 1.92)	1.46 (1.04 to 2.05)	1.43 (1.21 to 1.70)	1.48 (1.30 to 1.68)	0.79 (0.53 to 1.17)
Antidepressants							
SSRI or SNRI	989	1.33 (0.92 to 1.91)	1.38 (1.01 to 1.89)	1.97 (1.36 to 2.87)	1.48 (1.19 to 1.83)	1.42 (1.20 to 1.68)	0.87 (0.57 to 1.39)
SSRI	922	1.24 (0.85 to 1.84)	1.23 (0.87 to 1.74)	2.02 (1.38 to 2.69)	1.51 (1.22 to 1.86)	1.34 (1.12 to 1.60)	0.86 (0.54 to 1.38)
SNRI	153	0.91 (0.30 to 2.76)	1.16 (0.47 to 2.90)	1.77 (0.65 to 4.82)	1.19 (0.65 to 2.19)	1.75 (1.61 to 2.63)	2.09 (0.97 to 4.54)
TCA	1,065	1.25 (0.88 to 1.76)	1.58 (1.16 to 2.15)	1.19 (0.75 to 1.88)	1.40 (1.13 to 1.72)	1.50 (1.27 to 1.78)	0.95 (0.62 to 1.44)
MAOI	44	0.85 (0.09 to 8.05)	0.93 (0.10 to 8.64)	—	0.64 (0.13 to 3.10)	1.76 (0.60 to 5.16)	1.50 (0.36 to 6.32)

584 The “Collective Antidepressants” does not equate to the total of all individual class as some subjects received more than one antidepressant in each class

585 **Exposure time periods:**

- 586 1- Day 1-30: A 30-day risk period starting from the day after the date of prescription.
587 2- Day 31-60: A 30-day risk period starting from day 31 after the date of prescription.
588 3- Day 61-90: A 30-day risk period starting from day 61 after the date of prescription.
589 4- Day 1-90: A 90-day risk period accounts for the entire risk window (day 1 to 90).
590 5- Remainder period: A period starts 90 days after the date of the prescription until the end of the course (from day 91 after date of prescription until the end of the course)
591 6- 90 days washout: A 90-day period starting the day after the end of the course and continuing for 90 days (day 1 after the end of the course until day 90 after the end of the course).
592 Abbreviations: SSRI: Selective Serotonin Reuptake Inhibitor; SNRI: serotonin-norepinephrine reuptake Inhibitor; TCA: Tricyclic antidepressants; MAOI: Monoamine oxidase inhibitors.

593 **Table E4. Age-adjusted incidence rate ratio of COPD exacerbation (first event) in exposure periods after antidepressant prescription**
 594 **relative to unexposed periods in patients with COPD, calculated by case series analytical technique, UK, 2004-2015**

Antidepressant	No. of exposed cases with exacerbation	Day 1-30 IRR (95% CI)	Day 31-60 IRR (95% CI)	Day 61-90 IRR (95% CI)	Day 1-90 IRR (95% CI)	Remainder period IRR (95% CI)	90 days washout IRR (95% CI)
Collective	18483	1.41 (1.30 to 1.52)	1.21 (1.12 to 1.31)	1.73 (1.59 to 1.89)	1.41 (1.34 to 1.49)	1.34 (1.28 to 1.39)	0.97 (0.85 to 1.10)
Antidepressants							
SSRI or SNRI	11,770	1.48 (1.34 to 1.64)	1.19 (1.08 to 1.32)	1.73 (1.54 to 1.93)	1.43 (1.34 to 1.53)	1.39 (1.32 to 1.45)	1.12 (0.98 to 1.27)
SSRI	20,885	1.45 (1.30 to 1.61)	1.18 (1.06 to 1.31)	1.62 (1.44 to 1.83)	1.39 (1.30 to 1.49)	1.37 (1.30 to 1.44)	0.97 (0.85 to 1.10)
SNRI	4,128	1.29 (0.97 to 1.72)	1.56 (1.17 to 2.07)	2.24 (1.71 to 2.92)	1.64 (1.38 to 1.95)	1.32 (1.16 to 1.50)	1.26 (0.88 to 1.78)
TCA	23,786	1.36 (1.22 to 1.51)	1.22 (1.10 to 1.35)	1.70 (1.52 to 1.91)	1.23 (1.16 to 1.30)	1.23 (1.17 to 1.31)	0.98 (0.86 to 1.07)
MAOI	416	0.98 (0.48 to 2.00)	1.18 (0.67 to 2.09)	1.62 (0.83 to 13.1)	1.23 (0.83 to 1.81)	1.15 (0.78 to 1.70)	1.07 (0.54 to 2.10)

595 The "Collective Antidepressants" does not equate to the total of all individual class as some subjects received more than one antidepressant in each class

596 **Exposure time periods:**

- 597 1- Day 1-30: A 30-day risk period starting from the day after the date of prescription.
 598 2- Day 31-60: A 30-day risk period starting from day 31 after the date of prescription.
 599 3- Day 61-90: A 30-day risk period starting from day 61 after the date of prescription.
 600 4- Day 1-90: A 90-day risk period accounts for the entire risk window (day 1 to 90)
 601 5- Remainder period: A period starts 90 days after the date of the prescription until the end of the course (from day 91 after date of prescription until the end of the course)
 602 6- 90 days washout: A 90-day period starting the day after the end of the course and continuing for 90 days (day 1 after the end of the course until day 90 after the end of the course).
 603 Abbreviations: SSRI: Selective Serotonin Reuptake Inhibitor; SNRI: serotonin-norepinephrine reuptake Inhibitor; TCA: Tricyclic antidepressants; MAOI: Monoamine oxidase inhibitors.
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605 **Table E5. Age-adjusted incidence rate ratio of COPD exacerbation (excluding cases who died within 6 months following the date of COPD**
606 **exacerbation) in exposure periods after antidepressant prescription relative to unexposed periods in patients with COPD, calculated by case**
607 **series analytical technique, UK, 2004-2015**

Antidepressant	No. of exposed cases with exacerbation	Day 1-30 IRR (95% CI)	Day 31-60 IRR (95% CI)	Day 61-90 IRR (95% CI)	Day 1-90 IRR (95% CI)	Remainder period IRR (95% CI)	90 days washout IRR (95% CI)
Collective	17,152	1.07 (1.02 to 1.13)	1.12 (1.07 to 1.18)	1.18 (1.11 to 1.26)	1.12 (1.08 to 1.15)	1.30 (1.27 to 1.33)	0.99 (0.93 to 1.07)
Antidepressants							
SSRI or SNRI	10,938	1.07 (1.01 to 1.15)	1.13 (1.06 to 1.20)	1.18 (1.08 to 1.28)	1.12 (1.07 to 1.17)	1.32 (1.28 to 1.36)	1.01 (0.92 to 1.08)
SSRI	10,133	1.05 (0.97 to 1.12)	1.10 (1.03 to 1.18)	1.16 (1.06 to 1.27)	1.09 (1.05 to 1.14)	1.30 (1.25 to 1.34)	1.02 (0.94 to 1.11)
SNRI	1,666	1.11 (0.90 to 1.36)	1.24 (1.05 to 1.47)	1.27 (1.02 to 1.58)	1.19 (1.06 to 1.33)	1.32 (1.23 to 1.43)	1.08 (0.87 to 1.34)
TCA	11,114	1.05 (0.98 to 1.12)	1.12 (1.05 to 1.19)	1.22 (1.12 to 1.32)	1.11 (1.07 to 1.16)	1.21 (1.17 to 1.25)	1.02 (0.95 to 1.11)
MAOI	394	1.10 (0.74 to 1.65)	1.61 (1.16 to 2.23)	1.22 (0.74 to 1.95)	1.37 (1.10 to 1.71)	1.19 (0.93 to 1.52)	0.95 (0.62 to 1.45)

608 The “Collective Antidepressants” does not equate to the total of all individual class as some subjects received more than one antidepressant in each class

609 **Exposure time periods:**

- 610 1- Day 1-30: A 30-day risk period starting from the day after the date of prescription.
611 2- Day 31-60: A 30-day risk period starting from day 31 after the date of prescription.
612 3- Day 61-90: A 30-day risk period starting from day 61 after the date of prescription.
613 4- Day 1-90: A 90-day risk period accounts for the entire risk window (day 1 to 90).
614 5- Remainder period: A period starts 90 days after the date of the prescription until the end of the course (from day 91 after date of prescription until the end of the course)
615 6- 90 days washout: A 90-day period starting the day after the end of the course and continuing for 90 days (day 1 after the end of the course until day 90 after the end of the course).
616 Abbreviations: SSRI: Selective Serotonin Reuptake Inhibitor; SNRI: serotonin-norepinephrine reuptake Inhibitor; TCA: Tricyclic antidepressants; MAOI: Monoamine oxidase inhibitors.

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618 **Table E6. Age-adjusted incidence rate ratio of COPD exacerbation (excluding cases who died within 12 months following the date of**
619 **COPD exacerbation) in exposure periods after antidepressant prescription relative to unexposed periods in patients with COPD,**
620 **calculated by case series analytical technique, UK, 2004-2015**

Antidepressant	No. of exposed cases with exacerbation	Day 1-30 IRR (95% CI)	Day 31-60 IRR (95% CI)	Day 61-90 IRR (95% CI)	Day 1-90 IRR (95% CI)	Remainder period IRR (95% CI)	90 days washout IRR (95% CI)
Collective	16,405	1.07 (1.02 to 1.13)	1.11 (1.05 to 1.16)	1.17 (1.10 to 1.26)	1.12 (1.07 to 1.15)	1.27 (1.24 to 1.30)	0.99 (0.93 to 1.07)
Antidepressants							
SSRI or SNRI	10,472	1.06 (0.99 to 1.14)	1.11 (1.04 to 1.18)	1.19 (1.09 to 1.30)	1.11 (1.06 to 1.16)	1.29 (1.25 to 1.33)	1.01 (0.92 to 1.09)
SSRI	9,696	1.04 (0.96 to 1.11)	1.09 (1.02 to 1.16)	1.17 (1.07 to 1.28)	1.09 (1.04 to 1.14)	1.26 (1.22 to 1.31)	1.06 (0.94 to 1.12)
SNRI	1,609	1.10 (0.89 to 1.36)	1.22 (1.03 to 1.44)	1.24 (0.99 to 1.55)	1.17 (1.04 to 1.31)	1.29 (1.20 to 1.40)	1.08 (0.87 to 1.35)
TCA	10,638	1.05 (0.97 to 1.12)	1.10 (1.03 to 1.17)	1.21 (1.11 to 1.31)	1.10 (1.06 to 1.15)	1.18 (1.13 to 1.22)	1.02 (0.96 to 1.11)
MAOI	381	1.11 (0.75 to 1.63)	1.61 (1.16 to 2.24)	1.27 (0.79 to 1.02)	1.39 (1.11 to 1.74)	1.24 (0.97 to 1.59)	0.96 (0.62 to 1.49)

621 The “Collective Antidepressants” does not equate to the total of all individual class as some subjects received more than one antidepressant in each class

622 **Exposure time periods:**

- 623 1- Day 1-30: A 30-day risk period starting from the day after the date of prescription.
624 2- Day 31-60: A 30-day risk period starting from day 31 after the date of prescription.
625 3- Day 61-90: A 30-day risk period starting from day 61 after the date of prescription.
626 4- Day 1-90: A 90-day risk period accounts for the entire risk window (day 1 to 90).
627 5- Remainder period: A period starts 90 days after the date of the prescription until the end of the course (from day 91 after date of prescription until the end of the course)
628 6- 90 days washout: A 90-day period starting the day after the end of the course and continuing for 90 days (day 1 after the end of the course until day 90 after the end of the course).
629 Abbreviations: SSRI: Selective Serotonin Reuptake Inhibitor; SNRI: serotonin-norepinephrine reuptake Inhibitor; TCA: Tricyclic antidepressants; MAOI: Monoamine oxidase inhibitors.

630 **Table E7. The incidence rate ratio of pneumonia (multiple events) after antidepressant prescription relative to unexposed periods in patients**
 631 **with COPD adjusted for age and seasons, calculated by case series analytical technique, UK, 2004-2015**

Antidepressants	No. of exposed cases with Pneumonia	Day 1-30 IRR (95% CI)	Day 31-60 IRR (95% CI)	Day 61-90 IRR (95% CI)	Day 1-90 IRR (95% CI)	Remainder period IRR (95% CI)	90 days washout IRR (95% CI)
Collective	1,969	1.79 (1.31 to 2.25)	1.91 (1.43 to 2.55)	2.53 (1.82 to 2.86)	2.10 (1.66 to 2.44)	2.21 (1.90 to 2.57)	1.18 (0.84 to 1.65)
Antidepressants							
SSRI or SNRI	1,218	1.87 (1.31 to 2.66)	2.21 (1.58 to 3.20)	3.22 (2.26 to 3.81)	2.43 (1.95 to 2.99)	2.06 (1.72 to 2.43)	1.05 (0.70 to 1.59)
SSRI	1,143	1.87 (1.30 to 2.69)	2.32 (1.63 to 3.31)	3.44 (2.43 to 4.86)	1.86 (1.54 to 2.4)	1.97 (1.64 to 2.36)	1.30 (0.89 to 1.88)
SNRI	168	1.30 (0.43 to 3.98)	1.32 (0.43 to 4.01)	1.75 (0.55 to 5.53)	1.20 (0.51 to 2.22)	2.1 (1.35 to 3.18)	1.55 (0.68 to 3.55)
TCA	1,318	1.53 (1.04 to 2.24)	1.99 (1.41 to 2.80)	1.99 (1.66 to 2.38)	1.75 (1.39 to 2.21)	1.97 (1.64 to 2.37)	1.32 (0.96 to 1.83)
MAOI	50	0.99 (0.11 to 9.07)	1.10 (0.12 to 10.07)	—	0.79 (0.17 to 3.66)	2.30 (0.82 to 6.40)	2.35 (0.72 to 7.66)

The "Collective Antidepressants" does not equate to the total of all individual classes as some subjects received more than one antidepressant in each class

Exposure time periods:

- 1- Day 1-30: A 30-day risk period starting from the day after the date of the prescription (segment 1).
 - 2- Day 31-60: A 30-day risk period starting from day 31 after the date of the prescription (segment 2).
 - 3- Day 61-90: A 30-day risk period starting from day 61 after the date of prescription (segment 3).
 - 4- Day 1-90: A 90-day risk period accounts for the entire risk window (day 1 to 90).
 - 5- Remainder period: A period starts 90 days after the date of the prescription until the end of the course (from day 91 after date of the prescription until the end of the course).
 - 6- 90 days washout: A 90-day period starting the day after the end of the course and continuing for 90 days (day 1 after the end of the course until day 90 after the end of the course).
- Abbreviations: SSRI: Selective Serotonin Reuptake Inhibitor; SNRI: serotonin-norepinephrine reuptake Inhibitor; TCA: Tricyclic antidepressants; MAOI: Monoamine oxidase inhibitors.

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642 **Table E8. The incidence rate ratio of COPD exacerbation (multiple events) in exposure periods after antidepressant prescription relative**
 643 **to unexposed periods in patients with COPD adjusted for age and seasons, calculated by case series analytical technique, UK, 2004-2015**

Antidepressants	No. of exposed cases with exacerbation	Day 1-30 IRR (95% CI)	Day 31-60 IRR (95% CI)	Day 61-90 IRR (95% CI)	Day 1-90 IRR (95% CI)	Remainder period IRR (95% CI)	90 days washout IRR (95% CI)
Collective antidepressant	18,483	1.19 (1.06 to 1.32)	1.21 (1.04 to 1.40)	1.29 (1.16 to 1.43)	1.24 (1.17 to 1.34)	1.47 (1.39 to 1.55)	0.99 (0.87 to 1.11)
SSRI or SNRI	11,770	1.03 (0.94 to 1.13)	1.18 (1.10 to 1.28)	1.25 (1.15 to 1.35)	1.15 (1.11 to 1.20)	1.17 (1.09 to 1.22)	1.04 (0.95 to 1.13)
SSRI	10,919	1.01 (0.91 to 1.31)	1.14 (1.01 to 1.27)	1.21 (1.11 to 1.31)	1.13 (1.07 to 1.20)	1.40 (1.35 to 1.46)	1.04 (0.96 to 1.13)
SNRI	1,753	1.13 (0.85 to 1.48)	1.17 (0.95 to 1.49)	1.45 (1.19 to 1.76)	1.28 (1.11 to 1.46)	1.42 (1.30 to 1.56)	1.05 (0.84 to 1.30)
TCA	11,936	1.14 (1.05 to 1.24)	1.18 (1.09 to 1.27)	1.30 (1.24 to 1.36)	1.16 (1.11 to 1.21)	1.19 (1.12 to 1.24)	1.01 (0.93 to 1.10)
MAOI	416	1.17 (0.79 to 1.72)	1.55 (1.10 to 2.20)	1.20 (0.73 to 1.96)	1.32 (1.04 to 1.67)	1.22 (0.94 to 1.58)	0.78 (0.48 to 1.19)

644 The “Collective Antidepressants” does not equate to the total of all individual classes as some subjects received more than one antidepressant in each class

645 Exposure time periods:

- 646 1- Day 1-30: A 30-day risk period starting from the day after the date of the prescription (segment 1).
 647 2- Day 31-60: A 30-day risk period starting from day 31 after the date of the prescription (segment 2).
 648 3- Day 61-90: A 30-day risk period starting from day 61 after the date of prescription (segment 3).
 649 4- Day 1-90: A 90-day risk period accounts for the entire risk window (day 1 to 90).
 650 5- Remainder period: A period starts 90 days after the date of the prescription until the end of the course (from day 91 after date of the prescription until the end of the course 90 days washout:
 651 6- A 90-day period starting the day after the end of the course and continuing for 90 days (day 1 after the end of the course until day 90 after the end of the course). Abbreviations: SSRI:
 652 Selective Serotonin Reuptake Inhibitor; SNRI: serotonin-norepinephrine reuptake Inhibitor; TCA: Tricyclic antidepressants; MAOI: Monoamine oxidase inhibitors.