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Citation for final published version:

Lynham, Amy , Jones, Ian and Walters, James 2023. Cardiff ONline Cognitive Assessment (CONCA): Results from a web-based national population cohort. Journal of Medical Internet Research

Publishers page:

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Abstract Word Count: 289

Word Count: 5568

Title:

Cardiff ONline Cognitive Assessment (CONCA): Results from a web-based national population cohort

Running Title: Cardiff ONline Cognitive Assessment (CONCA)

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Abstract

<u>Background</u>: Psychiatric disorders are associated with cognitive impairment. We have developed a web-based, 9-task cognitive battery to measure the core domains affected in people with psychiatric disorders. To date, this assessment has been used to collect data on a clinical sample of participants with psychiatric disorders.

<u>Objectives</u>: The aims of this study were: (1) to establish a briefer version of the battery (called the Cardiff ONline Cognitive Assessment, CONCA) that can give a valid measure of cognitive ability ('g'), and (2) to collect normative data and demonstrate CONCA's application in a health population sample.

<u>Methods</u>: Based on six criteria and data from our previous study, we selected 5 out of the original 9 tasks to include in CONCA. These included 3 core tasks that were sufficient to derive a measure of 'g' and 2 optional tasks. Participants from a webbased national cohort study (HealthWise Wales) were invited to complete CONCA. Completion rates, sample characteristics, performance distributions and associations between cognitive performance and demographic characteristics and mental health measures were examined.

<u>Results</u>: A total of 3679 completed at least one CONCA task, of which, 3135 participants completed all three core CONCA tasks. Performance on CONCA was associated with age (B=-0.05, SE=0.002, *P*<.001), device (tablet computer: B=-0.26, SE=0.05, *P*<.001; smartphone: B=-0.46, SE=0.05, *P*<.001), education (degree: B=1.68, SE=0.14, *P*<.001), depression symptoms (B=-0.04, SE=0.01, *P*<.001) and anxiety symptoms (B=-0.04, SE=0.01, *P*<.001).

<u>Conclusions</u>: CONCA provides a valid measure of 'g', which can be derived using as few as 3 tasks that take no more than 15 minutes. Performance on CONCA showed associations with demographic characteristics in the expected direction and was associated with current depression and anxiety symptoms. The effect of device on cognitive performance is an important consideration for research utilising webbased assessments.

<u>Keywords</u>: Cognition, mental health, online, digital assessment, normative data, mobile phone

1 Introduction

2 Background

3	Cognitive function has been shown to be associated with health, such that those
4	who perform better on cognitive assessments have better health outcomes including
5	decreased mortality risk, on average, than those with lower cognitive function [1-4].
6	A number of mental and physical conditions are associated with cognitive
7	impairments, including common conditions such as depression [5], anxiety [6],
8	hypertension [7] and diabetes [8]. More pronounced cognitive impairments are seen
9	in those with a diagnosis of a severe mental disorder, such as schizophrenia [9] or
10	bipolar disorder [10]. The severity of these impairments is an important predictor of
11	occupational and social functioning in participants diagnosed with these disorders
12	[11, 12].
13	Existing cognitive research is limited by sample size, as collecting cognitive data
14	traditionally involves a face-to-face assessment and can be labour intensive.
15	However, the rise in internet use over the past few decades and the development of
16	digital assessments has presented researchers with new opportunities to collect
17	large datasets [13]. At the MRC Centre for Neuropsychiatric Genetics and Genomics,
18	we have developed and used a web-based cognitive assessment to collect data on
19	over 1000 participants diagnosed with a range of psychiatric disorders [14]. To date,
20	we have: (1) established validity against a gold standard measure of cognition in
21	psychiatric research (MATRICS Consensus Cognitive Battery, MCCB); (2) reported an
22	association between performance on the battery and functioning in a cohort of
23	participants with psychiatric disorders; and (3) demonstrated that performance on

24 the battery discriminates between controls and participants with schizophrenia, 25 bipolar disorder, and major depressive disorder. However, we have not reported 26 normative data for the battery, measured the distribution of scores or examined 27 associations between performance on the battery and demographic factors in a 28 population sample. Although normative data for some of the individual tasks already 29 exist, it is crucial that normative data for web-based tasks are collected on the web 30 using the same platform [13]. In addition, the original battery consisted of nine tasks 31 with an administration time of up to 50 minutes. However, given that some of the 32 correlations between the web-based tasks and the MCCB were small and there were 33 concerns about the length of the battery, we have developed a briefer version of the 34 battery with an improved user-friendly interface (Cardiff ONline Cognitive 35 Assessment, CONCA). This new version of the battery was specifically designed to 36 provide a brief, valid measure of general cognitive function ('g'). A measure of 37 general cognition ('g') was considered appropriate given the literature showing that 38 cognitive impairment in psychiatric disorders (particularly schizophrenia) is 39 characterised by widespread, global impairment rather than specific localised 40 dysfunction and this global impairment is predictive of poor community functioning 41 [15].

42 HealthWise Wales

In addition to cognitive assessments, web-based technologies have provided the
opportunity to recruit population cohorts for epidemiological research. One such
cohort is HealthWise Wales, a Welsh Government-funded digital health project that
has recruited a web-based cohort of people living or receiving healthcare in Wales
[16]. The aim of HealthWise Wales is to understand factors that impact health and

48	wellbeing, including social inequalities, environment, and health behaviours, through
49	web-based data collection and linkage to routine healthcare records. This cohort
50	provides an opportunity to examine cognitive performance in the general
51	population.
52	Study Aims
52 53	Study Aims This study had two aims. First, we established a core battery (CONCA) that can

- our previous study [14] to evaluate the original nine cognitive tasks against set
- 56 criteria. Second, we aimed to derive normative data for CONCA and demonstrate its
- 57 application in a health population sample by collecting cognitive data from
- 58 HealthWise Wales. This study is presented in two parts to reflect these aims.

59 Methods

60 Part 1: Establishing the Cardiff ONline Cognitive Assessment (CONCA)

61 Participants

- 62 Full details of the original study have been previously published [14]. Briefly,
- 63 participants were recruited from the databases of two existing studies of psychiatric
- 64 disorders within the MRC Centre for Neuropsychiatric Genetics and Genomics:
- 65 Cognition in Mood, Psychosis and Schizophrenia Study (CoMPaSS, [17]) and National
- 66 Centre for Mental Health (NCMH, [18]). For the purposes of this study, we included
- 67 only participants with data on the full nine tasks (N=841).

68 <u>Measures</u>

- 69 The Cardiff ONline Cognitive Assessment (CONCA) was developed to assess cognitive
- 70 function in individuals with a history of mental illness. All tasks (including source

71 code) were developed by The Many Brains Project, a not-for-profit organisation that 72 develops open-source web-based tools to assess cognitive function [19, 20]. We 73 selected 9 tasks to assess, as closely as possible, the domains outlined by the 74 National Institute for Mental Health's Measurement and Treatment Research to 75 Improve Cognition in Schizophrenia (MATRICS) initiative [21]. To improve the 76 battery, we aimed to reduce the length to 5 tasks with a maximum administration time of 30 minutes that would provide a brief, valid measure of 'g'. 77 78 We selected the MATRICS Consensus Cognitive Battery (MCCB) as our comparison 79 measure to validate CONCA due to the rigorous selection procedure employed in its 80 development and its widespread adoption in mental health research. The MCCB 81 consists of 10 pen and paper tasks assessing the 7 domains outlined by the MATRICS 82 initiative [21]. It was developed using expert panels, consultations with scientists, 83 evaluations of psychometric properties and assessments of tolerability and 84 practicality, with the explicit aim of creating a gold standard battery for use in 85 schizophrenia research [22]. 86 Participants also completed the 12-item version of the World Health Organisation 87 Disability Assessment Schedule (WHODAS, [23]), which assesses six domains of 88 functional impairment: understanding and communicating, mobility, self-care, social 89 interactions, life activities and participation in the community.

90 Study Design

The study design was cross-sectional. Selection of tasks for the new CONCA battery
was guided by the findings in our previous study [14] and we additionally conducted
some new analyses. This study design has been previously described [14] but briefly,

participants who had consented to be contacted about follow-up studies were
invited via email or letter to complete the original 9-task battery. A subset of
participants (N=65) additionally completed the MCCB as a gold standard comparison
measure.

98	Following discussions within our research team and consultation with our health
99	professional and patient representatives, we outlined 6 criteria to be used to guide
100	task selection. To be considered for inclusion, we sought to demonstrate that each
101	task was: (1) correlated with its equivalent task in the MCCB, (2) correlated with
102	general cognitive function 'g' derived using the MCCB, (3) associated with
103	functioning as measured by the WHODAS [23], (4) loaded onto a measure of 'g'
104	derived from the 9-task battery using factor analysis, (5) considered acceptable
105	based on participant feedback with no insurmountable technical issues reported,
106	and (6) translatable into other languages to support our international collaborations.
107	Tasks were considered "translatable" if it would be possible to translate the
108	instructions and materials without fundamentally changing the measurement
109	properties of the task (e.g., tasks with non-verbal stimuli). Correlations between the
110	CONCA tasks and the MCCB (criteria 1 and 2), associations with functional outcomes
111	(criterion 3) and technical issues and participant feedback (criterion 5) have been
112	previously published in Lynham et al. [14] (a summary of these results can be found
113	in Table S1 in Multimedia Appendix 1). We conducted further analyses (see next
114	section) to determine whether tasks met criterion 4 and to evaluate the validity of
115	the new battery. As far as possible, we selected tasks that were representative of
116	different domains as opposed to similar tasks to ensure CONCA was a well-rounded
117	measure of global cognitive function.

118 Analysis

- 119 The structure of the 9-task web-based cognitive battery was examined using
- 120 exploratory factor analysis. The number of factors was identified using scree plots
- and parallel analysis. Principal axis factoring with oblique rotation (direct oblimin)
- 122 was conducted to identify the factors.
- 123 To evaluate the validity of 'g' derived using the new CONCA battery, we examined
- 124 correlations between 'g' derived using the MCCB, and 'g' derived using the new
- 125 CONCA battery. This analysis was conducted on the subset of participants with
- 126 MCCB data available (n=65). 'g' was derived using multidimensional scaling [24],
- 127 which is an approach analogous to principal component analysis, with the first
- 128 component extracted as 'g'.

129 Part 2: Assessing Cognition in HealthWise Wales

130 Participants

131 Participants were recruited from HealthWise Wales, a web-based national

- population cohort [16]. Adults aged 16 and above who live or receive their
- 133 healthcare in Wales are eligible for inclusion in HealthWise Wales. Participants
- 134 consent to be contacted for follow-up data collection with new questionnaires
- added to the website and advertised via email invitations every six months. Ethical
- approval for HealthWise Wales was obtained from Wales Research Ethics Committee
- 137 3 (reference: 15/WA/0076). HealthWise Wales data is collected and stored in the
- 138 Secure Access Portal and Protected HWW Information Repository (SAPPHIRe), which
- is powered by the UK Secure e-Research Platform (UKSeRP) [25]. The Cardiff ONline
- 140 Cognitive Assessment (CONCA) was added as a module on the HealthWise Wales
- 141 website in January 2020 and email invitations sent to all participants in the cohort

- 142 (N=29,492). Ethical approval for CONCA was granted by Cardiff University's School of
- 143 Medicine Research Ethics Committee (reference: 15/64).

144 Measures

- 145 Participants completed CONCA, the WHODAS, the Hospital Anxiety and Depression
- 146 Scale (HADS, [26]), as well as providing basic demographic information (age, gender,
- 147 education, and device used). The data collected was also linked with existing data
- 148 from HealthWise Wales to determine whether participants had ever been diagnosed
- 149 or treated for a mental health problem [16].

150 Study Design

- 151 The study design was cross-sectional. Participants completed the study by either
- 152 clicking on the link in their email invitation or clicking on the module on the
- 153 HealthWise Wales home screen. This took participants to the CONCA webpage,
- 154 where they could read the information sheet, provide informed consent, and
- 155 complete all the measures.

156 Analysis

- 157 All analyses were conducted using R version 3.6.1. For each task, z scores were
- derived using the mean and standard deviation of the sample. Two measures of 'g'
- were derived using multidimensional scaling (MDS) [24]: (1) using the scores on
- 160 three core CONCA tasks only (Core 'g'), (2) using scores on the complete (Full 'g').
- 161 These two measures of 'g' were highly correlated (r=.93).
- 162 Completion rates for each task were calculated. To examine predictors of completing
- 163 the optional tasks, we performed a logistic regression to test the association
- 164 between completion of at least one optional task and the following variables:

165 cognitive performance on the core tasks (Core 'g'), age, gender, education, device

and ever received diagnosis and/or treatment for a mental health problem.

- 167 We performed multiple linear regression to test the association between cognitive
- 168 performance ('g') and the following demographic variables in a single model: age,
- 169 gender, education, and device. We repeated this analysis for each cognitive task. P
- 170 values were corrected using the false discovery rate (FDR) method.
- 171 As CONCA was developed as a tool for mental health research, we evaluated
- 172 whether performance on CONCA was associated with two measures of mental
- 173 health: (1) whether participants had ever been diagnosed or treated for a mental
- 174 health problem, (2) scores on the HADS subscales, depression and anxiety. Each
- 175 mental health variable (ever diagnosed, HADS depression, HADS anxiety) were
- 176 entered as predictors into separate linear regressions with 'g' as the outcome and
- age, gender, education, and device as covariates.

178 Statement of Ethical Approval

- 179 Ethical approval for HealthWise Wales was obtained from Wales Research Ethics
- 180 Committee 3 (reference: 15/WA/0076). Ethical approval for CONCA was granted by
- 181 Cardiff University's School of Medicine Research Ethics Committee (reference:
- 182 15/64). All participants indicated their informed consent by selecting "yes" in
- 183 response to the statement, "I agree to take part in this study and know that I am free
- to leave the study at any point" at the start of the study. No personal identifiers
- 185 were collected as part of the study, as all data was linked to an ID number.
- 186 Participants did not receive compensation for their time.

187 **Results**

188 Part 1: Establishing CONCA

189 Factor Loadings

- 190 Examination of the scree plot and parallel analysis indicated 2 factors with
- 191 eigenvalues above 1. All the measures except Vocabulary and Balloon Analogue Risk
- 192 Task loaded onto the first factor (Table 1). Only Vocabulary had a high loading on the

193 second factor.

194 Table 1 Factor loadings of the web-based tasks

Task	Factor 1	Factor 2
Matrix Reasoning	.56	.29
Multiple Object Tracking	.7	.04
Balloon Analogue Risk Task	.18	.27
Backward Digit Span	.43	.29
Verbal Paired Associates Test	.4	.24
Digit Symbol Coding	.81	11
Morphed Emotion Identification	.56	.07
Vocabulary	07	.66
Hartshorne Visual Working Memory	.66	16
Proportion of variance explained	.76	.24

195

196 Selection of the final CONCA battery

- 197 The final battery consisted of 3 core tasks with an administration time of 15 minutes
- and 2 optional tasks (total administration time of 30 minutes). Once the final tasks
- 199 were selected, we consulted with patient representatives to design a new user-
- 200 friendly website for CONCA [27].

201 Task 1: Digit Symbol Coding

- 202 This task is an adapted web-based version of the well-validated measure of
- 203 processing speed [28]. Performance on the task was correlated with its MCCB

204 equivalent (r=.73) and 'g' (r=.74), had the strongest association with functional

205 outcome, a high factor loading (.81) and is easily translatable.

206 Task 2: Backward Digit Span

207 This task is a web-based version of the well-validated measure of working memory

- 208 [29]. Performance on the task was correlated with its MCCB equivalent (*r*=.34), was
- strongly associated with functional outcome, and had a short administration time (3

210 minutes).

211 Task 3: Vocabulary

- 212 Participants are shown a target word and asked to select which of four words is
- 213 closest in meaning to the target word [28]. This task was included as a measure of

214 crystallised intelligence based on its correlation with the National Adult Reading Test

215 (r=.64) [30]. Performance on the task did not load onto the web-based 'g' in the 9-

task factor analysis but was correlated with MCCB 'g' (r=.36), associated with

217 functioning and was the only well-tolerated verbal task.

218 <u>Task 4: Morphed Emotion Identification (Optional Task)</u>

219 Participants are presented with a face and must decide whether the face looks

angry, fearful, happy, or disgusted [31, 32]. Faces are morphed between a neutral

- face and each emotion at varying intensities. The correlation between this task and
- its MCCB equivalent was low (r=.26), likely reflecting the different methodologies of
- the tasks. However, the task was correlated with 'g' (r=.58), strongly associated with
- functional outcome, and captured social cognition.

225 <u>Task 5: Matrix Reasoning (Optional Task)</u>

226 This task is based on the well-validated Matrix Reasoning test used in the Wechsler

227 Abbreviated Scale of Intelligence II [28, 33]. This task was correlated with both its

- 228 MCCB equivalent (r=.53) and 'g' (r=.59), was associated with functional outcome and
- had a high factor loading (.56). However, it was included as an optional task due to
- its long administration time (up to 15 minutes if all trials are completed).

231 Excluded Tasks

232 Hartshorne Visual Working Memory and Balloon Analogue Risk Task were excluded

233 due to low correlations with 'g' (0.3 and 0.11 respectively). Verbal Paired Associates

- was poorly tolerated by participants who voted it "worst task" in their feedback and
- 235 could not be easily translated. Multiple Object Tracking met all inclusion criteria, but
- 236 participants reported difficulties completing it on smaller touchscreen devices, which
- 237 could not be easily resolved.

238 Validity of CONCA-derived 'g'

- 239 We calculated correlations to compare MCCB 'g' with three measures of 'g' from the
- 240 web-based batteries: (1) original 9-task battery, (2) CONCA 5-task battery and (3)
- 241 CONCA 3-task battery. Correlations were similar between MCCB 'g' and 'g' from all
- three versions (original 9-task battery: *r*=.78, 95% CIs: .66-.86; CONCA 5-task battery:
- 243 *r*=.78, 95% CIs: .67-.86; CONCA 3-task core battery: *r*=.71, 95% CIs: .57-.81). Finally,
- the factor analysis was repeated including only the final selection of CONCA tasks
- and indicated that all tasks contributed to 'g' with factor loadings between 0.51 and
- 246 0.66 (see Supplementary Table S2, Multimedia Appendix 1 for full results).

247 Part 2: Assessing Cognition in HealthWise Wales

248 Completion rates

- A total of 3889 participants from HealthWise Wales consented to the study
- 250 (response rate = 3889/29,492, 13.19%). Of these, 3679 participants completed at
- least one cognitive task (3679/3889, 94.6%). Completion of the core battery was
- high (3135/3889, 80.61%), including 2048 who completed the core battery and both
- 253 optional tasks (2048/3889, 52.66%, Table 2). After FDR correction, participants with
- 254 higher scores on the core tasks were more likely to complete at least one optional
- task (OR=1.4, 95% CIs: 1.26-1.55, P<.001). None of the other variables significantly
- 256 predicted completion of the optional tasks (see Table 3).

257 Table 2 Task completion rates and summary statistics

Task	Scoring	Ν	Mean	SD	Median	IQR
Digit Symbol	Correct responses in	3679	41.71	10.72	41	15
Coding	90 seconds					
Backwards Digit	Longest correctly	3199	4.44	1.62	4	2
Span	recalled digit span					
Vocabulary	Correct responses	3135	16.77	3.17	17	4
	(Max.=20)					
Emotion	Correct responses	2319	34.92	6.54	35	10
Identification	(Max.=60)					
Matrix Reasoning	Correct responses	2444	24.08	5.74	25	7
-	(Max.=35)					

258 SD: Standard Deviation; IQR: Interquartile Range

259 Table 3 Predictors of optional task completion

	OR	95% Cls	Р	
Core 'g'	1.4	1.26-1.55	<.001	
Age	1.01	1-1.02	.14	
Gender (reference: women)	0.95	0.74-1.23	.79	
Education (reference: none)				
GCSE / O-levels	0.75	0.45-1.23	.44	
A-levels	0.76	0.46-1.22	.44	
Degree	0.79	0.48-1.27	.49	
Post-graduate degree	0.74	0.44-1.21	.44	
Device (reference:				
desktop/laptop)				
Smartphone	0.95	0.71-1.27	.79	
Tablet	1.03	0.77-1.38	.84	

Ever diagnosed with or treated1.381.07-1.76.07for a mental health problem (reference: none).07
Results of a logistic regression where outcome is completion of at least one optional task (1 – completed, 0 – no
completed). OR: Odds Ratios; CIs: Confidence Intervals
Sample characteristics
Sample characteristics were examined including all participants who had completed
at least one cognitive task (N=3679, see Table 4). Most participants were women
(69.55%) and had a mean age of 55.86 years (SD=15.05, range=16-93). Participants
reported high levels of education; 1095/3557 (30.78%) reported an undergraduate
degree as their highest level of education and 732/3557 (20.58%) reported a post-
graduate degree as their highest level of education. Just under half of participants
used a laptop or desktop computer to complete the study (1781/3672, 48.5%),
whilst 803/3672 (21.87%) used a tablet device and 1088/3672 (29.63%) used a
smartphone. The number of participants who reported a previous diagnosis of or
treatment for a mental health condition was 1212 out of 3309 (36.63%).

273 Table 4 Sample characteristics

Sample Characteristics	N	% of available data	Data available (N)	HealthWise Wales: Whole Sample (%) ¹	Population Data for Wales (%)
Gender (Women)	2551	69.55	3668	72	50.69 ²
Highest education level			3557		
No GCSEs	259	7.28			7.3 ³
GCSE or equivalent	524	14.73			30.3 ³
A-level or equivalent	947	26.62			21.3 ³
Undergraduate degree	1095	30.78			29.2 ³
Postgraduate degree	732	20.58		N/A	11.9 ³
Device used			3672	N/A	N/A
Laptop / desktop	1781	48.5			

Tablet	803	21.87			
Smartphone	1088	29.63			
Ever diagnosed with or					
treated for a mental	1212	36.63	3309	32	11 ⁴
health problem					
45 years or older	2802	76.16	3679	60	47.25 ²
			Data		
			Data		
	Median	IQR	Data available	1	
	Median	IQR			
Age	Median 59	IQR 21	available	N/A	42.4 ⁵
Age WHODAS Total		-	available (N)		42.4 ⁵ N/A
	59	21	available (N) 3679	N/A	

274 Information on population data was obtained from sources dated as close to the point of CONCA data collection

as possible (January 2020). N/A – Data not available or not applicable. ¹Published data from HealthWise Wales

276 [16]; ²Office for National Statistics' national level population estimates for Wales in 2020 (note: sex not gender

was recorded) [34]; ³Office for National Statistics' highest qualification data in 2020 [35] (note: these education

278 categories have been mapped as closely as possible to the study data); ⁴National Survey for Wales 2019-2020

[36]; ⁵Office for National Statistics population estimates for the UK and its constituent countries in 2020 [34]

280 Cognitive performance and demographic variables

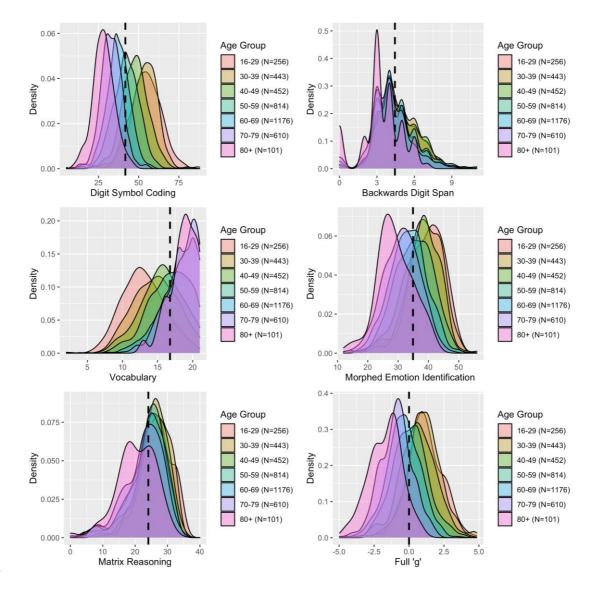
281 There was evidence of a ceiling effect on Vocabulary amongst those aged 60 years

and older, as 13.3% (251/1887 participants) achieved the maximum score (see Figure

- 1). Summary statistics for each of the tasks are presented by gender and age group
- in Supplementary Table S3 and by educational attainment in Supplementary Table
- 285 S4 (Multimedia Appendix 1). These summary statistics can be used to generate age-
- and gender-adjusted z-scores using the formula:

$$Z = \frac{X_{ti} - M_{tga}}{SD_{tga}}$$

where X_{ti} is the score for individual *i* on test *t* and M_{tga} and SD_{tga} represent the mean and standard deviation for test *t* for that individual's corresponding age group *a* and gender *g*.



291

292 Figure 1 Distributions of performance on tasks by age group

From top left to bottom right, density plots stratified by age group for Digit Symbol Coding, Backwards Digit Span,
 Vocabulary, Morphed Emotion Identification, Matrix Reasoning, and 'g'. Dashed line indicates mean performance
 for each task.

296 Cognitive performance (Full 'g') was associated with age (B=-0.05, SE=0.002, P<.001),

297 device (tablet computer: B=-0.27, SE=0.06, P<.001; smartphone: B=-0.45, SE=0.05,

- 298 P<.001) and education (degree: B=1.68, SE=0.14, P<.001; see Table 5), such that
- older age, use of a tablet computer or smartphone rather than a laptop or desktop
- 300 and lower educational attainment were associated with lower cognitive
- 301 performance (results for individual tasks can be found in Supplementary Table S5 in

- 302 Multimedia Appendix 1). Gender was not associated with 'g' (B=-0.002, SE=0.05,
- 303 *P*=0.97) but was associated with performance on three tasks; men performed better

304 on Vocabulary (B=0.1, SE=0.03, P=0.004) and Matrix Reasoning (B=0.2, SE=0.04,

- 305 P<.001), whilst women performed better on Morphed Emotion Identification (B=-
- 306 0.24, SE=0.05, *P*<.001). The proportion of variance in full 'g' and core 'g' explained by
- demographic variables were .34 and .36 respectively (adjusted R^2).

308 Table 5 Associations between demographic variables and cognitive performance

	В	SE	Р
Full 'g'			
Age	-0.05	0.002	<.001
Gender (reference: women)	-0.002	0.05	.97
Education (reference: no qualifications)			
GCSE or equivalent	1.15	0.14	<.001
A-levels or equivalent	1.39	0.14	<.001
Undergraduate degree	1.68	0.14	<.001
Post-graduate degree	1.87	0.14	<.001
Device (reference: desktop/laptop)			
Smartphone	-0.45	0.05	<.001
Tablet	-0.27	0.06	<.001
Core 'g'			
Age	-0.04	0.001	<.001
Gender (reference: women)	-0.02	0.04	.69
Education (reference: no qualifications)			
GCSE or equivalent	0.64	0.11	<.001
A-levels or equivalent	0.72	0.11	<.001
Undergraduate degree	0.88	0.11	<.001
Post-graduate degree	1.03	0.11	<.001
Device (reference: desktop/laptop)			
Smartphone	-0.18	0.04	<.001
Tablet	-0.13	0.04	.003

309 B: Linear regression coefficients; SE: Standard Error

310 Cognitive performance and mental health

- 311 Lower scores on the HADS depression subscale were associated with higher general
- 312 cognitive ability 'g' (Full 'g': B=-0.04, SE=0.01, P<.001; Core 'g': B=-0.03, SE=0.01,
- 313 *P*<.001). Lower scores on the HADS anxiety subscale were also associated with
- 314 higher 'g' scores (Full 'g': B=-0.04, SE=0.01, P<.001; Core 'g': B=-0.03, SE=0.01,

- 315 *P*<.001). Self-report of any mental health problem was associated with lower
- 316 performance on the core CONCA tasks (Core 'g': B=-0.11, SE=0.04, P=.01) but this
- association was not found for Full 'g' (B=-0.09, SE=0.05, P=.07).

318 Technical Issues

319 Technical issues were reported by 52 participants (52/3679, 1.4%) and 17 unique 320 problems were identified. Three of these problems were determined as bugs in the 321 website coding and were resolved. Where the problems were the result of bugs in 322 the assessment and participants were unable to view the stimuli, participants were 323 given the opportunity to complete the task once the issue was resolved. Five were 324 identified as issues that were specific to those users' devices and further technical 325 support was provided by our team to support each participant to complete the tasks 326 if possible. For the remaining 9 issues, insufficient information was provided and 327 attempts to contact the participants for further information were unsuccessful.

328 **Discussion**

329 **Principal Findings**

- 330 The aims of this study were to further develop CONCA to provide a brief measure of
- 331 'g', to recruit from a large web-based population study and demonstrate CONCA's
- application in a health population sample. Results from each aim are outlined in the
- 333 sections below.

334 Part 1: Establishing CONCA

- The number of tasks in CONCA was reduced from 9 to 3 core tasks and 2 optional
- tasks. All these tasks loaded onto a single factor, 'g', which supported our decision to
- reduce the number of tasks in the battery for the purpose of creating a brief

assessment that provides a measure of 'g'. The measure of 'g' obtained using the
tasks from the core CONCA battery was correlated with 'g' derived from the MCCB,
which indicates that the three tasks are sufficient to obtain a valid measure of 'g'.
This correlation increased when the two optional tasks were included, suggesting
that whilst the optional tasks are not essential to derive a measure of 'g', they do
have added value.

344 **Part 2: Assessing Cognition in HealthWise Wales**

345 To demonstrate CONCA's application in a health population sample, we examined 346 completion rates, technical issues, and performance distributions. This enabled us to determine whether the tasks were sufficiently engaging and challenging for a 347 348 general population sample. Completion rates for the core CONCA tasks were high 349 indicating acceptable levels of tolerability and engagement. These rates were similar 350 to those reported in our previous study [14]. Over half the sample completed both 351 additional optional tasks (2048/3679 participants, 52.66%), which suggests that 352 participants were sufficiently engaged with the core tasks and our research to be motivated to complete additional measures. It should be highlighted that 353 354 participants with higher scores on the core tasks were more likely to complete the 355 optional tasks. This suggests that those who find the tasks more difficult may be 356 demotivated and choose not to complete the optional tasks leading to a less 357 representative sample for these tasks. The number of technical issues reported was 358 low with only 52 of 3679 participants (1.41%) reporting a problem. Combined with 359 the high completion rates, this suggests that most participants were able to 360 complete the tasks without a problem. The distributions of scores for most of the 361 tasks were relatively normal, except for Vocabulary where there was evidence of a

362 potential ceiling effect, particularly amongst older participants. This ceiling effect

363 among older people has been identified in a previous report examining the

364 psychometric properties of Vocabulary [28].

365 The relationship between performance on the tasks and age, gender and education

366 were in the expected direction. Older age and lower education levels were

367 associated with lower scores on all tasks and measures of 'g', except for Vocabulary

368 where older participants performed better. Men performed better on Vocabulary

and Matrix Reasoning than women, whilst women had higher scores on Morphed

370 Emotion Identification. This is consistent with previous studies assessing emotion

recognition [37, 38] and matrix reasoning [28]. In contrast, a previous report

372 assessing the psychometric properties of the Vocabulary task showed marginally

better performance in women [28].

We found lower performance amongst those using touchscreen devices (tabletcomputer or smartphone) compared to those using a laptop or desktop computer,

376 which is consistent with two other studies using these tasks [28, 39]. This effect was

377 seen across all the tasks suggesting that it cannot be explained by response times

alone, as some tasks such as Vocabulary do not have a timed component. The lower

379 performance may be partly explained by screen size, particularly as lower

380 performance was found amongst participants using smartphones compared to those

using tablet computers. This is supported by the findings of Passell et al. [39] who

382 demonstrated that performance on Digit Symbol Coding and Vocabulary was

impacted by screen size, input type and the type of internet browser used. Device

use has been associated with age, gender, and education [39], all of which were

385 controlled for in the current study but there may be other factors that were not 386 measured in this study. Smartphones and tablet computers may be cheaper and 387 more accessible, as they do not rely on a home broadband connection and have 388 relatively straightforward interfaces compared to traditional computers. Therefore, 389 their use may be influenced by socioeconomic factors or computer literacy, which 390 may also be associated with performance on the tasks. Consistent with this, a report by the UK's communications regulator, Ofcom, found that people in manual 391 392 occupations, unemployed or considered financially vulnerable were most likely to 393 use a smartphone exclusively to access the internet [40]. The portable nature of 394 touchscreen devices means that participants may be more likely to complete the 395 tasks in locations outside the home or whilst conducting other activities and 396 therefore may be subject to more distractions. These results highlight the 397 importance of controlling for device effects when analysing cognitive data from web-398 based studies. 399 CONCA was designed to be a measure of cognition in psychiatric populations. 400 Therefore, we evaluated whether the mental health measures collected were 401 associated with performance on CONCA. We found that higher levels of depression

402 and anxiety symptoms, and self-reported history of diagnosis or treatment for a

403 mental health problem were associated with lower overall performance on the core

404 CONCA tasks. This suggests that CONCA is sufficiently sensitive to the cognitive

405 differences associated with mental health disorders. This is also a novel finding of

406 the study, as to our knowledge, few studies have examined the relationship between

407 depression and anxiety symptoms and cognition in a general population sample.

408 Sample Representativeness

409 The response rate of 13.9% raises the issue of participation bias. There was evidence 410 of bias in the demographic distributions of the CONCA sample. Compared to 411 population estimates for Wales, the sample was older, more educated, and 412 predominantly women. We did not stratify the data by ethnicity as 99% of 413 participants reported their ethnicity as White, which was a consequence of 414 recruiting from the wider HealthWise Wales sample (98% White). The bias reported 415 in this study is in part a reflection of the original HealthWise Wales sample, which 416 has a higher proportion of women, older people and White people [16]. However, 417 even amongst the least represented groups (e.g. men aged 16-40), the number of 418 participants in our sample exceeds the amount of normative data collected for other 419 mental health cognitive batteries, such as the Brief Assessment for Cognition in 420 Schizophrenia [41] and the MATRICS Consensus Cognitive Battery [42]. Whilst the 421 sample did contain a higher number of participants with postgraduate degrees than 422 expected, it is important to note that the representation across the education 423 groups was satisfactory with at least 200 participants in each group. The proportion 424 of participants reporting no qualifications was also comparable to estimates for the 425 Welsh population, which alleviates concerns that the sample may be under-426 represented by those with lower educational attainment. We are currently 427 undertaking targeted recruitment to collect data on younger people with a particular 428 focus on recruiting more men into the sample.

429 Strengths and Limitations

We have collected a large cognitive dataset on a population sample that spans a
wide range of ages and enabled us to derive age-, gender- and education-based

432 norm scores for CONCA. However, results should be interpreted with the 433 consideration of the potential biases in the sample, as detailed below. CONCA has 434 several advantages over existing assessments (such as BACS [43] or CANTAB [44]) 435 including a user-friendly website designed with input from patient representatives 436 and health professionals, a large normative dataset collected online, and it can be 437 completed on the participants' own devices (including touchscreen tablets and 438 smartphones) rather than relying on specific hardware or software that can be 439 required for similar assessments.

440 Sample representativeness is a clear limitation of this study, as highlighted in the

441 previous section. In addition, participants with high scores in the core tasks were

442 more likely to complete the optional tasks. This needs to be considered when

443 interpreting results using the Matrix Reasoning and Morphed Emotion Identification

tasks and is another source of bias. It should also be noted that the response rate for

this study was 13.9%. Recruitment for this study commenced in January 2020 and

446 overlapped with the initial months of the COVID-19 pandemic and UK lockdown.

447 There is evidence that the pandemic negatively impacted research participation,

448 with current research participants less able and/or willing to participate in ongoing

research [45]. The main limitations of CONCA include a lack of verbal or episodic

450 memory tasks, and a lack of evidence for its use as a longitudinal assessment,

451 although some data on practice effects have been previously published [28].

452 **Conclusions**

453 CONCA provides a valid measure of 'g', which can be derived using as few as 3 tasks

454 that take no more than 15 minutes. We have demonstrated that the battery is

455 sufficiently engaging and challenging for use in a general population sample with the 456 potential exception of Vocabulary in older adults. Based on our findings, we 457 recommend that CONCA is suitable for use in general population samples and may 458 be particularly useful for studies of the relationship between cognition and mental 459 health, but caution is advised for the use of Vocabulary in older adults (60 years and older) given the potential for ceiling effects. Factors that impacted performance on 460 461 CONCA included age, gender, education, and type of device and these should be 462 controlled for in analyses as appropriate. The primary purpose of this study was to 463 introduce the new CONCA battery, provide normative data and demonstrate the associations between CONCA and demographic variables. The recruitment of a web-464 465 based normative sample is an important step forward in the development of CONCA, 466 although more work is needed to ensure the data is representative of the 467 population, particularly in terms of education levels. However, we have also 468 reported some novel findings, namely that symptoms of depression and anxiety are 469 associated with cognitive function in a general population sample, as well as 470 demonstrating the effect of device when measuring cognition. Now that we have 471 established normative performance on CONCA, we intend to investigate the clinical 472 utility of CONCA, including the development of new features to support health 473 professionals in interpreting their patient's performance on the battery when administered in a clinical setting. 474

Declarations

Acknowledgements

<u>Authors' contributions:</u> AJL is the lead author and was involved in all aspects of the study, including designing the assessment tools and study methodology, overseeing recruitment of participants, conducting analyses and interpretation of the data, and drafting and redrafting the manuscript. JTRW is the senior author, the principal investigator of CoMPaSS and was involved in all aspects of the paper. IRJ is the chief investigator and Director of the NCMH and advised on the methodology and interpretation of the results. All authors critically revised the paper and approved the final version to be submitted.

<u>Funding</u>: This work was supported by a Medical Research Council (MRC) PhD studentship to A.L and by the National Centre for Mental Health (NCMH). The NCMH is a collaboration between Cardiff, Swansea and Bangor Universities and is funded by Welsh Government through Health and Care Research Wales. The NCMH provided resources and staff to assist with the development of the battery. The further development of the battery has been supported by two MRC grants, MRC Mental Health Data Pathfinder award (MC_PC_17212) and MRC Confidence in Concept award (MC_PC_17170). The MRC Pathfinder award (MC_PC_17212) and NCMH funded A.L's postdoctoral position. The MRC Confidence in Concept award (MC_PC_17170) is a proof-of-concept grant, which funded the further development of CONCA, including creating a user-friendly interface and supporting public involvement. The work at Cardiff University was funded by Medical Research Council (MRC) Centre (MR/L010305/1) and Program Grant (G0500509). This study was facilitated by HealthWise Wales, the Health and Care Research Wales initiative, which is led by Cardiff University in collaboration with SAIL, Swansea University. Responsibility for the interpretation of the information provided in this manuscript is the authors' alone. We thank all the participants from HealthWise Wales who contributed their data to the CONCA study.

This research was conducted with the support of The Many Brains Project, Inc. The web-based tasks remain the intellectual property of The Many Brains Project, which retains the copyright for these tasks and the source code. The authors thank Dr Laura Germine for her support in developing this battery.

We thank the members of NCMH-PAR and the patients and clinicians from Headroom Cardiff and Vale UHB Youth Psychosis Service for contributing to the development of CONCA.

Conflicts of Interest

All authors were involved in the design of CONCA, the cognitive assessment described in the study methods. Professor Walters has received grant funding from Takeda Pharmaceuticals for research unrelated to this manuscript.

Data Availability

The datasets generated and analysed during the current study are available through HealthWise Wales on reasonable request

(https://www.healthwisewales.gov.wales/for-researchers/).

Multimedia Appendix

Multimedia Appendix 1: "Lynham Walters CONCA – Supplementary.docx". This file contains additional information on the task selection process and supplementary results tables.

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