

REGRESSION TESTING FRAMEWORK FOR TEST CASES GENERATION AND
PRIORITIZATION

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DEDICATION

I dedicate this thesis to my beloved father, who taught me that the education is the weapon for progress and development. I also dedicate to my mother who taught me that even the largest task can be accomplished if it is done one step at a time. Finally, I dedicate to my sisters and brother for their support, encouragement, and endless affection.

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ABSTRACT

A regression test is a significant part of software testing. It is used to find the maximum number of faults in software applications. Test Case Prioritization (TCP) is an approach to prioritize and schedule test cases. It is used to detect faults in the earlier stage of testing environment. Code coverage is one of the features of a Regression Test (RT) that detects more number of faults from a software application. However, code coverage and fault detection are reducing the performance of existing test case prioritization by consuming a lot of time for scanning an entire code. The process of generating test cases plays an important role in the prioritization of test cases. The existing automated generation and prioritization techniques produces insufficient test cases that cause less fault detection rate or consumes more computation time to detect more faults. Unified Modelling Language (UML) based test case generation techniques can extract test cases from UML diagrams by covering maximum part of a module of an application. Therefore, a UML based test case generation can support a test case prioritization technique to find a greater number of faults with shorter execution time. A multi-objective optimization technique able to handle multiple objectives that supports RT to generate more number of test cases as well as increase fault detection rate and produce a better result. The aim of this research is to develop a framework to detect maximum number of faults with less execution time for improving the RT. The performance of the RT can be improved by an efficient test case generation and prioritization method based on a multi-objective optimization technique by handling both test cases and rate of fault detection. This framework consists of two important models: Test Case Generation (TCG) and TCP. The TCG model requires an UML use case diagram to extract test cases. A meta heuristic approach is employed that uses tokens for generating test cases. And, TCP receives the extracted test cases with faults as input to produce the prioritized set of test cases. The proposed research has modified the existing Hill Climbing based TCP by altering its test case swapping feature and detect faults in a reasonable execution time. The proposed framework intends to improve the performance of regression testing by generating and prioritizing test cases in order to find a greater number of faults in an application. Two case studies are conducted in the research in order to gather Test Case (TC) and faults for multiple modules. The proposed framework yielded a 92.2% of Average Percentage Fault Detection with less amount of testing time comparing to the other artificial intelligence-based TCP. The findings were proved that the proposed framework produced a sufficient amount of TC and found the maximum number of faults in less amount of time.

ABSTRAK

Ujian regresi merupakan bahagian penting dalam ujian perisian. Ia digunapakai untuk mencari bilangan kesalahan maksimum dalam aplikasi perisian. Keutamaan Kes Ujian (TCP) adalah suatu pendekatan yang memberi keutamaan dan penjadualan dalam kes ujian. Ia digunakan untuk mengesan kesalahan pada tahap awal persekitaran pengujian. Liputan kod merupakan salah satu ciri bagi ujian regresi yang dapat mengesan lebih banyak kesalahan dari aplikasi perisian. Walau bagaimanapun, liputan kod dan pengesanan kesalahan mengurangkan prestasi sedia ada keutamaan kes ujian kerana ia mengambil banyak masa untuk mengimbas keseluruhan kod. Proses penjanaan kes ujian memainkan peranan penting dalam keutamaan kes ujian. Teknik penjanaan dan keutamaan automatik sedia ada menghasilkan kes ujian yang tidak mencukupi menyebabkan kadar pengesanan kesalahan lebih sedikit atau mengambil masa pengiraan lebih banyak untuk mengesan lebih banyak kesalahan. Bahasa Pemodelan Bersatu (UML) berasaskan teknik penjanaan kes ujian boleh mengekstrak kes ujian dari gambarajah UML yang merangkumi bahagian maksimum modul aplikasi. Oleh itu, UML berasaskan penjanaan kes ujian dapat menyokong teknik keutamaan kes ujian untuk mencari bilangan kesalahan yang lebih besar dengan masa pelaksanaan yang lebih pendek. Teknik pengoptimuman pelbagai objektif dapat mengendalikan pelbagai objektif yang menyokong ujian regresi untuk menghasilkan lebih banyak kes ujian serta peningkatan kadar pengesanan kesalahan dan menghasilkan keputusan yang lebih baik. Tujuan penyelidikan ini adalah untuk mengembangkan kerangka bagi mengesan bilangan kesalahan maksimum dengan masa pelaksanaan yang lebih sedikit bagi menambahbaik ujian regresi. Prestasi ujian regresi dapat dipertingkatkan dengan kaedah penjanaan kes ujian dan keutamaan yang efisien berdasarkan teknik pengoptimuman pelbagai objektif dengan pengendalian kedua-dua kes ujian dan kadar pengesanan kesalahan. Kerangka ini terdiri daripada dua model penting: Penjanaan Kes Ujian (TCG) dan Keutamaan TCP. Model TCG memerlukan gambarajah kes kegunaan UML untuk mengekstrak kes ujian. Pendekatan meta heuristik digunakan bagi menghasilkan token untuk penjanaan kes ujian. Dan, TCP menerima kes ujian yang telah diekstrak sebagai input untuk menghasilkan set pengutamaan kes ujian. Penyelidikan yang dicadangkan telah mengubah suai Hill Climbing sedia ada yang berdasarkan TCP dengan mengubah ciri pertukaran kes ujiannya dan mengesan kesalahan dalam masa pelaksanaan yang sewajarnya. Kaedah kerangka yang telah dicadangkan ini bertujuan untuk meningkatkan prestasi pengujian regresi dengan penjanaan dan keutamaan kepada kes ujian untuk mencari lebih banyak jumlah kesalahan dalam aplikasi. Dua kajian kes telah dijalankan dalam penyelidikan ini untuk mengumpulkan Kes Ujian (TC) dan kesalahan bagi pelbagai modul. Kerangka kerja yang telah dicadangkan menghasilkan Purata Peratusan Pengesanan Kesalahan sebanyak 92.2% dengan jumlah masa yang lebih sedikit berbanding dengan TCP berasaskan kecerdasan buatan yang lain. Hasil kajian membuktikan bahawa kerangka kerja yang telah dicadangkan dapat menghasilkan keputusan TC yang mencukupi dan menjumpai bilangan kesalahan maksimum dalam jangka masa yang lebih sedikit.

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LIST OF ABBREVIATIONS

| | | |
|--------|---|---|
| AI | - | Artificial Intelligence |
| ABC | - | Artificial Bee Colony |
| APFD | - | Average Percentage Faults Detected |
| AP | - | Affinity Propagation |
| BCO | - | Bee Colony Optimization |
| C1 | - | Category 1 |
| C2 | - | Category 2 |
| C3 | - | Category 3 |
| D1 | - | Dataset 1 |
| D2 | - | Dataset 2 |
| DSP | - | Dependency Structure Prioritization |
| DOM | - | Document Object Model |
| EHCTCP | - | Enhanced Hill Climbing Test Case Prioritization |
| FV | - | Fitness Value / Function Traverse Value |
| GA | - | Genetic Algorithm |
| GUI | - | Graphical User Interface |
| HC | - | Hill Climbing |
| HPSO | - | Hybrid Particle Swarm Optimization |
| HYRTS | - | Hybrid Regression Test Selection |
| HTML | - | Hyper Text Markup Language |
| ICD | - | Inter Case Dependency |
| MH | - | MetaHeuristics |
| ML | - | Machine Learning |
| MOM | - | Multi-objective Optimization Method |
| PMI | - | Proposed Method 1 |
| PFWK | - | Proposed FrameWork |
| RSS | - | Requirement Severity Score |
| RT | - | Regression Test |
| RTS | - | Regression Test Selection |
| SDLC | - | Software Development Life Cycle |

| | | |
|-----|---|----------------------------|
| SVM | - | Support Vector Machine |
| TC | - | Test Case |
| TCP | - | Test Case Prioritization |
| TCG | - | Test Case Generation |
| TSP | - | Test Suite Minimization |
| UML | - | Unified Modelling Language |
| UCD | - | Use Case Diagram |
| XML | - | Extensible Markup Language |

CHAPTER 1

INTRODUCTION

1.1 Overview

Software testing (ST) is playing an important role in the software development industry. It is used to reduce the cost of maintenance and ensure the quality of a software application (Alkawaz & Silvarajoo, 2019; Biswas, Mall, Satpathy, & Sukumaran, 2009). Testing intends to find bugs and make sure that the software is free from errors. ST is an expensive task that requires 50% of software development resources (Biswas et al., 2009; Khanna, Chaudhary, Toofani, & Pawar, 2019). There are different types of testing techniques available in the field of software testing. Testing tools are introduced in Software Development Life Cycle (SDLC) to carry out the process of testing either manually or automatically. Testing is comprising of activities such as planning, preparation, and evaluation, to ensure the software application is built according to the user requirement (AdiSrikanth, Kulkarni, Naveen, Singh, & Srivastava, 2011; Ali et al., 2019). Automatic testing tools are used in the software industry to reduce the utilization of software resources.

Test Case (TC) is a group of data, expected results, and post conditions for the verification of specific requirements. Test case ID, Test scenario, and Test parameters are some of the typical TC parameters. TC can be generated either manually or automatically. Automatic generation of TC is becoming familiar in SDLC due its efficiency. TC can be extracted from Unified Modelling Language (UML) diagram (Sharma, Sabharwal, & Sibal, 2014). An automated generation of TCs from UML Use Case Diagram (UCD) can support development to make useful decisions and reduce the testing cost (Augusto Diniz Teixeira, Orientadora, & Braga Silva, 2017).

Regression test (RT) is used to verify the existing functionality of a software application. It will ensure that new changes in code should not have negative impact on functionalities of an application (Ismail, Ibrahim, & Ibrahim, 2007). Any new change in an existing code may adversely affect the ability of the software application. RT is an inevitable test in order to make sure that system is working fine and did not affect from recent code modifications (Augusto Diniz Teixeira et al., 2017). It is an expensive maintenance test in continuous integration development of software (Singh & Sumit Sharma, 2015). The recent studies have proved that RT, which are based on information sources and Artificial Intelligence (AI) have more efficiency than traditional RT (Ghai & Kaur, 2016). The process of identification of change impact will support management to find necessary steps with respect to change cost and avoid unnecessary resources (Bajaj & Sangwan, 2019).

Test Case Prioritization (TCP) is a technique in RT to sort TC in an order to find maximum faults in a software application. It can cover maximum possible changes that are made in existing application. TCP are based on the information related to coverage of code elements (Li, Harman, & Hierons, 2007). It is a better option for a software testing environment. A successful TCP can avoid unnecessary TCs. Prioritizing a set of effective TCs can reduce the testing cost.

1.2 Research Background

Software testing is a process of comparing the actual outcome with the expected outcome. Testing of the software will be done in order to check the correct functionality of the system or the project (Sharma et al., 2014). The improper testing may lead to catastrophic or improper results in the field. It is better to check or test the system at the initial stage to improve its performance of software.

A TC should be more effective to find faults in a system. A test suite is a collection of TC, a larger test suite needs more execution time. Automated tools for the generation of TCs from UML Use Case Diagram (UCD) can support a testing team to take decision at earlier stage. A good TC can help to find a critical fault in

software (Enoiu & Frasher, 2019; Ismail et al., 2007; Kamath, 2018; Pang, Xue, & Namin, 2017). An AI based RT is required for better efficiency and reduction of testing cost.

Ideally, RT is a testing that refers to the section of the test cycle in which programs are tested to make sure that changes do not affect features of software. It is a process of verifying the customized software in the maintenance phase. Time and budget constraints are the major disadvantages due to its complex process. It will re-execute several subsets of test that were conducted in the previous phases. The purpose of the RT is to find accidental errors in the newly built software (Azizi & Do, 2018; Rothermel, Harrold, Ostrin, & Hong, 1998). The introduction of automation tools in the field of RT is partially reduced the stress levels of testing team. Research has shown that at least 50% of the total software cost is consumed for testing activities. Companies are often experiencing lack of time and resources, which limits their ability to effectively complete the testing process (R. R. Sahoo & Ray, 2018). TCP is used to prioritize TCs to cover maximum faults in limited amount of time.

RT is used to retest the component of a system and ensures that the modifications like patches and code enhancements does not affect the functionality of the software. Automated tools are required for these types of testing (Alkawaz & Silvarajoo, 2019). The process of verifying the modified software in the maintenance phase is known as RT. Time and utilization of larger number of computer resources are its major disadvantage due to the complex process nature of RT. RT will ensure that changes are made to software, such as adding new features or modifying existing features, which may not adversely affect the features of software. It is usually performed by running some, or all, of the TCs to test the modifications in previous versions of the software.

Many techniques have been reported on how to select regression tests so that the number of TCs does not grow too large as the software evolves. The hybrid technique will combine modification, minimization, and prioritization-based selection using a list of modified source code and the execution traces from TCs run

on previous versions (Mahadik, Thakore, & Professor, 2016; Mittal & Sangwan, 2018).

The TCP is one of the techniques of RT in which, TCs are prioritized, in order to find maximum faults from the newly modified part of an application. The TC that has highest priority are executed first and so on. The priorities of the TCs are defined according to the changes made in the project. An AI based prioritization technique can detect maximum number of faults from an application, in which some changes are done, for the new version release (Li et al., 2007). Each TC has a functional importance or Function Traverse Value (FV) or Fitness Value (FV) (Kerani & Sharmila, 2018; Marchetto, Islam, Asghar, Susi, & Scanniello, 2016). The slicing is the process of extracting TC with relevant functional importance from a TC. In the existing technique, the slicing technique will be applied to detect the individual functionality of a TC. The TC that has maximum importance will be executed first, and so on. The single -objective prioritization method are focussing to increase the fault detection not on reducing TC and computation time. To increase the fault detection rate with minimum number of TC with limited computation time, an automated technique has to be applied by using multi-objective optimization method to prioritize TC according to the FV in an automated (Singh & Sumit Sharma, 2015). The multi-objective technique is based on the initial population value. The mutation value will be calculated from the best fitness value.

The research studies proposed by(Augusto Diniz Teixeira et al., 2017; Heumann, 2001; Ismail et al., 2007; Singh & Sumit Sharma, 2015; C. Wang, Pastore, Goknil, & Briand, 2020) are used to generate TC from UML diagram. These methods were extracted TCs from UML diagram. TCG is used to extract TC from UML diagram and reduce the time for the generation or selection of TCs. It will provide useful TCs for prioritization methods.

The purpose of using TC is to check successful and acceptable development of the product requirement (Heumann, 2001). The primary source for TCG is UML diagram. Generally, the system requirements are represented as a UML UCD. A scenario will be created for each UCD. The flow of events is the important part of

UCD. Basic and alternate are the two types of flow of events(Biswas, Mall, Satpathy, & Sukumaran, 2011; Hemmati, Arcuri, & Briand, 2010). TCs can be extracted from both flow of events. The UML UCD will be processed and converted into token relation to fetch TCs according to the relevant activities. A search algorithm can be used to derive TC from graphs (Augusto Diniz Teixeira et al., 2017). After parsing initial TCs from UCD, strings from a library can be used to search for specific cluster of TCs in the set of primary TCs. It will be helpful to form a TC to find a fault in a modified software(Ismail et al., 2007). The token relation and graph can be parsed by a traversing technique and generate functional TCs (Singh & Sumit Sharma, 2015). The automation of generation of TC is used to increase testing productivity and minimize labour hours. The meaningful representation of flows and branch conditions can be made from generated TCs. The automated tool can minimize the testing time with limited amount of data from UCD. A meta – heuristic technique can be used to reduce the computation time for extracting TC with relevant Value (FV) or Fitness Value FV from UCD. Therefore, the efficiency of TCG will be better than traditional methods(Augusto Diniz Teixeira et al., 2017).

A novel technique for the prioritization of TC from UML diagram was proposed by (Kerani & Sharmila, 2018). The criteria can cover whole software code in a minimal amount of time. Most of TCP techniques are based on the structural coverage and some prioritization techniques were presented with different criteria(Yan, Wu, Peng, & Nie, 2019; Shin Yoo, Harman, Tonella, & Susi, 2009). The existing TCP (Alkawaz & Silvarajoo, 2019; Azizi & Do, 2018; Butool, Nadeem, Sindhu, & Zaman, 2019; Gary & Jamie, 2010; Mahali & Acharya, 2013; S. Wang et al., 2014) were based on a machine learning (ML) technique for the prioritization of TCs. A weight is assigned for each TC and prioritized according to it. The execution of TC is based on the prioritization. The existing researches (Heumann, 2001; Li et al., 2007; Singh & Sumit Sharma, 2015) were found a TCG to generate TCs from UCD. The UCD are processed and necessary TC with relevant FV is extracted and prioritized for finding a critical fault from an application.

1.3 Research Problem

The TCP techniques are designed to execute RT effectively with less resources. The automated TCP greedily select a TC in an assumption that it finds a critical fault and covers a critical area of a modified part of an application. Some of the TCP has considered a TC based on the history of executing fault prone functions (Weixiang et al., 2019). The problem of this type of TC is the computation time. The investigation of history might take more time to find and execute a TC. The existing prioritization technique lacks in fault detection rate due to the inability of test cases. The process of generating test cases plays a vital role in finding critical faults from a software application. Many researches were developed to improve the process of prioritizing TCs for applications. However, there is a lack of TCP to attain better performance (Elbaum, Rothermel, & Penix, 2014; Miao, Qian, & Song, 2008).

RT is performed as a supportive testing task during the maintenance phase of a web-based application to ensure that the software evolution process, which is the primary characteristic of a web-based application, does not introduce new problems into the system(Nooraei Abadeh, 2021). While re-generation and re-execution of a new test suite may be unfeasible in terms of cost, time, and resource consumption, RT should ensure new test cases are progressively produced and finished the test suite to test system changes. In the web – based RT, making test cases and test data is a time-consuming and expensive process due to the nature of web application, which are constantly evolving(Zarrad, 2015). When a model contains a significant number of scalable sub-models, this method could result in a massive number of test cases, rendering these approaches ineffective. Existing technologies, such as random generators, can generate a large number of test data/test cases because of the random functions they use. The test suite may fail to identify test data to meet the requirement when using these ways because not enough information about the altered items in the test generating process(Mittal & Sangwan, 2015).

A web application's constant evolution makes it nearly hard to keep up with all of the changed paths and nodes. Uncovering hidden pathways in large, complicated Web applications takes time and effort. According to (Nooraei Abadeh,

2021), a challenge has been issued to find an unseen path based on session data. Automated RT techniques are beneficial and timeless, but any error in the development of test cases could result in the absence of some paths owing to dynamism, which would be problematic. In HTML DOM tree generation, additional paths are introduced when there are multiple pages in a Web application. In addition, fixing HTML errors might be a challenge. Another drawback is the inability to generate test cases. Many methods (Khanna, Chauhan, Sharma, & Toofani, 2017; Zarrad, 2015) necessitate the use of human intervention in the test set selection process. As a result, during the RT, not all problems could be identified.

The process of generating TCs from UML UCD will support the testing team to complete the test in small amount of time. An automated tool is required to generate TCs to improve the efficiency of TCs. The existing TCG are based on the coverage criterion and focussed to cover the complete code. They are not investigating the quality of TC that can cover maximum faults (Singh & Sumit Sharma, 2015). UML – UCD based test case generation is a model-based approach, which can cover maximum part of a newly modified software module. UCD have the capability to produce a better insight of a software application and produce more number of TC rather than other UML diagrams (Singh & Sumit Sharma, 2015), and (Augusto Diniz Teixeira et al., 2017). The existing generation technique extracts TC from UML diagrams without finding a feature of TC. The feature of TC can support TCP to understand its importance. A domain specific library can be used in the automated TCG to produce TC related to a specific problem in an application. UML based test case generation is familiar due to its ability to produce a greater number of test cases and cover maximum area of a module in large scale applications (Prasanna & Chandran, 2009).

A proper mechanism is required to arrange the generated TCs in an appropriate order, to increase the effectiveness of a system, to reach better performance and the rate of fault detection. TCP will execute the high prioritized TCs than the lower one to minimize time, cost, and effort. The performance of a testing system will be improved by this faster fault detection process. Therefore, efficiency of TCP will be increased by minimizing computation cost and time with

small amount of information. The faster feedback will allow the software tester to correct the faults at the earliest time (Dalai, Abhinna, & Prasad, 2012; Gary & Jamie, 2010).

Prioritization of TC is one of the approaches to enhance the RT and retest the software after modification. RT is a process, of retesting the modified software and it ensures that there is no error in the previously tested source code due to the modifications. It is a very expensive testing process. In order to decrease the cost of RT, the software tester, may prioritize the test case, so that the test case which are more important, are run earlier during the RT process (Shin Yoo et al., 2009). In this context, prioritization techniques can take advantage of historical information of TC to achieve a superior results (AdiSrikanth et al., 2011; Kim & Porter, 2002; Padmnav, Pahwa, Singh, & Bansal, 2019; Panda, Acharya, Bhuyan, & Mohapatra, 2017; Spieker, Gotlieb, Marijan, & Mossige, 2017).

TCP is a method to prioritize and schedule TCs in appropriate order. The important test case may be prioritized and run earlier to decrease the cost of testing. RT will use clustering technique for TCP. The technique will cluster the TCs, having common properties and the similar fault detection ability will be grouped together as a single cluster. TCP is used to improve the cost effectiveness of RT. On the one hand, the existing TCP is focussing on high fault detection rate. On the other hand, consumes more time to achieve a better fault detection rate. Existing TCP is based on single – objective function, which focus on fault detection and consumes a greater processing time and vice versa.

The TCP technique is widely used to reduce the execution cost of the TCs for fault detection in software. In the previous research work, the TCP is done based on the functional importance. The integration of TCG and TCP improves the detection of more faults from the software in the least amount of time. If TCP could not choose a TC, then fault detection and code coverage is not possible. An ineffective TCP leads to failure in the detection of a critical fault in an application (Azizi & Do, 2018; Biswas, Mall, & Satpathy, 2013; Gupta, Sharma, & Pachariya, 2019).

The existing approaches in RT requires more time to generate and prioritize TC in order to find faults. RT is used to test the recently modified module of a software or web applications. Web applications are interconnected with multiple applications. A small bug can cause more damage to a web application. The performance of existing methods is not sufficient to save time and cover maximum amount of code. Model – based TCG produces more number of TCs rather than other TCG (Augusto Diniz Teixeira, Orientadora, & Braga Silva, 2017). The emergence of Artificial Intelligence (AI) leads to automate the process of generating and prioritizing TC with respect to find critical faults in a limited amount of time (Bajaj & Sangwan, 2019; Ashima, Shaheamlung, & Rote, 2020). AI based TCG and TCP consumes less testing time comparing to the traditional TCG and TCP. However, the capability of fault detection is not effective for a web-based application. On the other hand, RT demands model based TCG to generate a greater number of TCs. An automated TCG based on the model – based approach and TCP to find bugs from the software application. The automated generation of TC supports TCP to cover the maximum part of code in a newly modified application. AI based TCP can detect a greater number of faults rather than the traditional prioritization techniques. In addition, AI techniques are employed to overcome the issues such as more testing time and less fault detection.

1.4 Research Questions

The aim of this research is to solve the above discussed problems by using an AI based framework. The main research question is formulated as follows:

How to improve the regression testing by Artificial Intelligence based framework for generating and prioritizing test cases?

Research Question 1(RQ1): How to automate a process of generating TC from a UML use-case diagram?

Research Question 2(RQ2): How to find solutions for existing problems in existing TCG?

Research Question 3(RQ3): How generation technique supports prioritization process to find a fault in an application?

Research Question 4(RQ4): How can we improve regression test using an effective TCP technique?

Research Question 5(RQ5): How can we implement and evaluate the proposed technique to ensure its fault detection rate and relevant execution time?

1.5 Research Goal and Objectives

The available techniques for the prioritization of TCs are limited and not able to cover the complete code and failed to find maximum fault detection. The reason for the limitation is that TCPs are following a method to randomly order TCs in the test suite. The random ordering could not find fault severity in an application (Huang et al., 2019). The existing process of generation of TC requires the complete code for producing Tc. It consumes a lot of time and not able to produce effective TCs. This study proposes a framework to generate TCs from UML UCD and prioritize TCs in order to find critical faults from a newly changed module of an application. The framework is based on Meta Heuristics (MH) demands metadata to generate an optimal output by using less number of inputs. It is widely used in AI based approaches. The efficiency of a method is improved by reducing the computation time. The computation time can be reduced by providing correct information to a method for the production of better results. The overall aim of this research is to improve the performance of prioritization of TCs and increase the rate of fault detection.

To achieve the research goal, the following objectives are considered:

- 1) To design a method based on Artificial Intelligence technique for generating test cases from UML use case diagram using Meta Heuristic (MH) technique to cover maximum amount of code.
- 2) To prioritize the test cases using a Multi objective Optimization Method (MOM) in order to find the critical faults from a newly modified software application.
- 3) To evaluate and compare the performance of test case generation and prioritization techniques in terms of accuracy and average percentage of fault detection with respective computation time, respectively.

1.6 Research Justification

The performance of TCG is limited for dynamic web application. A dynamic web application is interconnected with multiple independent applications. The existing TCG lacks the ability for identifying and allot the critical demands of domain in the process of generating TC. It fails to develop a minimum group of TC with maximal ability to find faults. The introduction of model based approach solves the code coverage problem (Pinkal & Niggemann, 2017; Pretschner & Philipps, 2005). This approach has overcome previous methodologies in recent years. One concern remains, however, that modelling of large and complex systems will take a tremendous amount of time and effort. A modularization of the model is one alternative to solve this issue (Pinkal & Niggemann, 2017; Pretschner & Philipps, 2005). The reuse of standard automation system component models and the possibility of maintaining the libraries of these standard components is the significant advantage of this approach. Nevertheless, the processing of models of an application is difficult. TCP is introduced in order to detect failures in the primary stage of RT. However, it is not evident that a particular TC finds a critical fault from an application. The popularity of Machine Learning (ML) and Artificial Intelligence (AI) leads to AI based TCP (Alkawaz & Silvarajoo, 2019; Ashima, Shaheamlung, & Rote, 2020). In recent years, AI based TCP is becoming familiar in the field of software testing. The vital parameters of TCP are fault detection rate, code coverage,

and time (Bajaj & Sangwan, 2019). The existing AI based TCP lacks either fault detection rate or code coverage.

Implementing an AI based RT will reduce the testing time and achieve maximum fault detection. TCP is a technique, which is used in RT to prioritize the TCs according to the changes made in the developed project. The research work is based on automated and manual TCP techniques. The AI based TCP will prioritize TCs according to the faults that are extracted from the library. A TCP can prioritize TCs to cover maximum part of a software module with a greater number of faults. To support TCP, an automated TC generation is proposed with an evidence to prove its efficiency. The generation of TCs from UML diagram will be combined with prioritization technique to detect a critical fault in the earlier stage of testing process. A TCG, which is based on a MH technique, require a metadata and fetches TC with relevant fault (Pinkal & Niggemann, 2017) It will add features of TC as meta data so that TCP can use those features for the prioritization process. It will reduce the time to explore the huge number of TCs.

A MH method is an optimization technique and used to automate software testing task. A reliable and efficient TC can be produced by this type of techniques with effort and time (Roongruangsuwan & Daengdej, 2010b, 2010a). The efficiency means that the ability of an application to produce better results within less amount of data and time (Sarma, Kundu, & Mall, 2007). The web application is also called a time critical application that is used to solve complex and vague problems (Allworth & Zobel, 1987). It must be responsive in its environment. It will be modified frequently according to the business requirement (Stankovic & Ramamritham, 1990). Some of the online applications are mobile applications, web - based applications, and trading websites. The UML UCD of case studies can be utilized for the purpose of extraction and prioritization of TCs. TCP based on AI technique must be trained to understand the environment of online applications to produce a reliable result.

In the existing technique, TCP considers number of times function encountered and number of functions associated with the function for the prioritization of TCs. The calculations of FV to prioritize each function are

performed based on parameters of test suite (Miao et al., 2008). The process involved in the existing TCP is complex and consumes more time. An Automated TCP is being implemented in the research to increase the rate of fault detection with less amount of time. An automated TCG can be used to extract TC from UML UCD. A clustering technique will be applied to cluster TCs with relevant faults. The cluster or fault matrix will be processed by an automated TCP to find a fault in a module of an application. The prioritization technique takes test case and faults as input and prioritize these test cases based on the faults. The proposed research applies AI based technique to carry out the prioritizing process of TC.

In this research work, a novel method is proposed to generate TC with its functional importance from UML diagram and integrate with a multi – objective optimization method-based prioritization technique to find maximum number of faults in a limited computation time.

1.7 Scope

In this section, the scope of the proposed research will be discussed in detail. This research is comprising of two important models: The first model is used to generate TC and integrated with a second model, an AI based TCP to improve the efficiency of RT and increase the rate of fault detection.

I. Generation of TC from UCD

The research is using a generating technique to produce TCs from UML UCD. The criterion such as accuracy and execution time are considered for the evaluation of proposed methods. A MH is a familiar AI technique that requires fewer data to produce a valuable result. It can be used for generating TC from UCD.

II. RT for Case – study applications

The research is using different metrics to measure the performance of RT. The better RT can find maximum errors in a newly modified application. The

familiar metric Average Percentage of Faults Detected (APFD) is used to inform testers about the capability of RT. The research is based on AI. Therefore, execution time is also an important criterion.

III. Test case Prioritization

The proposed TCP is used to prioritize TCs in an order according to the functional importance. The functional importance will be used as a key by the prioritization technique to prioritize TCs.

IV. Soft Computing Techniques

The soft computing techniques can be used to improve the efficiency of RT by increasing the rate of APFD. The approaches of soft computing such as clustering, and optimization are employed in this study.

1.8 Thesis Outline

The thesis is organized in seven chapters. The chapters are structured as follows:

Chapter 1 – *Introduction*: This chapter provides an overview of the research. The details of the proposed research are discussed and explained. The goal and objective of the research are also presented in detail.

Chapter 2- *Review of literature*: This chapter will provide information about test cases and prioritization. It will discuss the advantages and disadvantages of literatures related to test case extraction, prioritizing test cases, regression testing and clustering techniques.

Chapter 3- *Research methodology*: This chapter presents idea of the research to find the solution for research questions. It will provide information on datasets of UML diagrams. The framework of the research will be discussed in this chapter.

Chapter 4 - *An effective method to generate test cases from UML Diagram (UML) from UML Diagram (UML)*: The chapter will discuss the proposed method for the generation of test cases. It will provide solution for the issues related to the process of extraction of test cases.

Chapter 5 – *Test case prioritization using optimization method*: This chapter will discuss the proposed method for the prioritization of test cases. It will present graphical representations of proposed methods.

Chapter 6 – *Results and discussions*: This chapter discuss the performance of the proposed framework in detail. It also discusses the threats to the validity of the research.

Chapter 7 – *Conclusion and future work*: This chapter will provide summary of whole thesis. It will present the achievements of proposed methods. The future of the research and its direction will be discussed in this chapter.

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LIST OF PUBLICATIONS

Indexed Journal (SCOPUS)

1. **Eglal Mohamed Khalifa**, D. Jawawi, and H. A. Jamil, “An efficient method to generate test cases from UML-use case diagram,” *International Journal of Engineering Research and Technology*. ISSN 0974-3154, Volume 12, Number 7 (2019), pp. 1138-1145.