

ENHANCED NON-PARAMETRIC SEQUENCE LEARNING SCHEME FOR  
INTERNET OF THINGS SENSORY DATA IN CLOUD INFRASTRUCTURE

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## **DEDICATION**

This thesis is dedicated to Father who taught me that the best kind of knowledge to have is that which is learned for its own sake. It is also dedicated to my Mother, who taught me that even the largest task can be accomplished if it is done one step at a time.

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## ABSTRACT

The Internet of Things (IoT) Cloud is an emerging technology that enables machine-to-machine, human-to-machine and human-to-human interaction through the Internet. IoT sensor devices tend to generate sensory data known for their dynamic and heterogeneous nature. Hence, it makes it elusive to be managed by the sensor devices due to their limited computation power and storage space. However, the Cloud Infrastructure as a Service (IaaS) leverages the limitations of the IoT devices by making its computation power and storage resources available to execute IoT sensory data. In IoT-Cloud IaaS, resource allocation is the process of distributing optimal resources to execute data request tasks that comprise data filtering operations. Recently, machine learning, non-heuristics, multi-objective and hybrid algorithms have been applied for efficient resource allocation to execute IoT sensory data filtering request tasks in IoT-enabled Cloud IaaS. However, the filtering task is still prone to some challenges. These challenges include global search entrapment of event and error outlier detection as the dimension of the dataset increases in size, the inability of missing data recovery for effective redundant data elimination and local search entrapment that leads to unbalanced workloads on available resources required for task execution. In this thesis, the enhancement of Non-Parametric Sequence Learning (N-PSL), Perceptually Important Point (PIP) and Efficient Energy Resource Ranking-Virtual Machine Selection (ERVS) algorithms were proposed. The Non-Parametric Sequence-based Agglomerative Gaussian Mixture Model (NPSAGMM) technique was initially utilized to improve the detection of event and error outliers in the global space as the dimension of the dataset increases in size. Then, Perceptually Important Points K-means-enabled Cosine and Manhattan (PIP-KCM) technique was employed to recover missing data to improve the elimination of duplicate sensed data records. Finally, an Efficient Resource Balance Ranking- based Glow-warm Swarm Optimization (ERBV-GSO) technique was used to resolve the local search entrapment for near-optimal solutions and to reduce workload imbalance on available resources for task execution in the IoT-Cloud IaaS platform. Experiments were carried out using the NetworkX simulator and the results of N-PSAGMM, PIP-KCM and ERBV-GSO techniques with N-PSL, PIP, ERVS and Resource Fragmentation Aware (RF-Aware) algorithms were compared. The experimental results showed that the proposed N-PSAGMM, PIP-KCM, and ERBV-GSO techniques produced a tremendous performance improvement rate based on 3.602%/6.74% Precision, 9.724%/8.77% Recall, 5.350%/4.42% Area under Curve for the detection of event and error outliers. Furthermore, the results indicated an improvement rate of 94.273% F1-score, 0.143 Reduction Ratio, and with minimum 0.149% Root Mean Squared Error for redundant data elimination as well as the minimum number of 608 Virtual Machine migrations, 47.62% Resource Utilization and 41.13% load balancing degree for the allocation of desired resources deployed to execute sensory data filtering tasks respectively. Therefore, the proposed techniques have proven to be effective for improving the load balancing of allocating the desired resources to execute efficient outlier (Event and Error) detection and eliminate redundant data records in the IoT-based Cloud IaaS Infrastructure

## ABSTRAK

Awan Internet-Benda (IoT-Cloud) adalah teknologi yang muncul yang membolehkan interaksi mesin-ke-mesin, manusia-ke-mesin dan manusia-ke-manusia melalui Internet. Peranti deria IoT cenderung menghasilkan data deria yang dikenali dengan sifatnya yang dinamik dan heterogen. Oleh itu, sukar untuk dikendalikan oleh peranti deria kerana kuasa dan ruang penyimpanan yang terhad. Walau bagaimanapun, Awan Infrastruktur-sebagai-Perkhidmatan (IaaS-Cloud) memanfaatkan batasan peranti IoT dengan menjadikan kuasa komputeran dan sumber penyimpanannya tersedia untuk melaksanakan data deria IoT. Dalam IaaS IoT-Cloud, peruntukan sumber adalah proses pengagihan sumber yang optimum untuk melaksanakan tugas permintaan data yang terdiri daripada operasi penyaringan data. Baru-baru ini, algoritma pembelajaran mesin, bukan-heuristik, pelbagaiobjektif dan hibrid telah digunakan untuk peruntukan sumber yang cekap untuk melaksanakan tugas permintaan penyaringan data sensori IoT dalam IaaS-Cloud Terpasang-IoT. Walau bagaimanapun tugas penyaringan masih tertakluk kepada beberapa cabaran. Cabaran ini merangkumi perangkap carian global untuk pengesanan pengganjil peristiwa dan kesalahan kerana dimensi set data meningkat dalam ukuran, ketidakupayaan pemulihan data yang hilang untuk penghapusan data bertindih yang berkesan dan perangkap carian tempatan yang membawa kepada beban kerja yang tidak seimbang pada sumber daya yang sedia ada yang diperlukan untuk pelaksanaan tugas. Dalam tesis ini, penambahbaikan algoritma Pembelajaran Jujukan Bukan-Parametrik (N-PSL), Titik Penting Persepsi (PIP) dan Pemeringkatan Sumber Tenaga Efisien–Pemilihan Mesin Maya (ERVS) dicadangkan. Teknik Model Campuran Gaussian Agglomeratif berasaskan-Jujukan Bukan-Parametrik (NPSAGMM) pada awalnya digunakan untuk meningkatkan pengesanan pengganjil peristiwa dan ralat di ruang global apabila dimensi set data meningkat. Setelah itu, teknik Titik Penting Persepsi Terpasang-K-means Cosine dan Manhattan (PIP-KCM) digunakan untuk mengembalikan data yang hilang untuk memperbaiki penghapusan data bertindih yang dikesan. Akhirnya, teknik Baki Sumber yang Cekap Berasaskan Kedudukan Berasaskan Teknik Pengoptimuman Swarm (ERBV-GSO) digunakan untuk menyelesaikan perangkap carian tempatan untuk penyelesaian hampir optimum dan untuk mengurangkan ketidakseimbangan beban kerja pada sumber yang ada untuk pelaksanaan tugas dalam platform Awan-IoT *IaaS*. Eksperimen dijalankan menggunakan simulator NetworkX dan hasil perbandingan teknik N-PSAGMM, PIP-KCM dan ERBV-GSO dengan algoritma NPSL, PIP, ERVS dan Pemecahan sumber sedar (RF-Aware). Hasil eksperimen menunjukkan bahawa teknik N-PSAGMM, PIP-KCM, dan ERBV-GSO yang dicadangkan menghasilkan kadar peningkatan prestasi yang cemerlang berdasarkan kepada ketepatan 3.602%/6.74%, KETAHUI 9.724%/8.77%, Kawasan di bawah Lengkung 5.350%/4.42% untuk mengesan pengganjil peristiwa dan kesalahan. Selanjutnya, hasil menunjukkan kadar peningkatan 94.273% skor F1, Nisbah Pengurangan 0.143 dengan minimum 0.149% Ralat Root Ralat Squared untuk penghapusan data bertindih serta bilangan minimum 608 perpindahan Mesin Maya, 47.62% Penggunaan Sumber dan 41.13% tahap pengimbangan beban untuk peruntukan sumber yang diinginkan digunakan untuk melaksanakan tugas penyaringan data sensori masing-masing. Oleh itu, teknik yang dicadangkan telah terbukti berkesan untuk meningkatkan keseimbangan beban memperuntukkan sumber yang diinginkan untuk pelaksanaan pengesanan pengganjil (Peristiwa dan Kesalahan) yang efisien dan penghapusan catatan data bertindih dalam Infrastruktur Awan *IaaS* yang berasaskan IoT.

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## LIST OF ABBREVIATIONS

ACOCS	-	Ant Colony Optimization Cuckko Search
ACO-WSE	-	Ant Colony Web Service Composite
ACS-AR	-	Adaptive Compressive Sensing-based Autoregressive
AFF-WRR	-	Adaptive Firefly-enabled Weighted Round Robin
AKC	-	Adaptive K-means Clustering
AMCO	-	Advance Micro-Cluster-based Continuous Outlier
BBN	-	Bayesian Belief Network
BEATS	-	Block of Eigen Value Algorithm for Time Series Segmentation
BPL	-	Back Propagation Learning
CDCNN	-	Cascading Deep Convolution Neural Network
CEM-KM	-	Cobweb Expectation Maximization K-means
CFSH	-	Correlation Feature Selection-based Heuristic
CNN	-	Convolution Neural Network
COFFGA	-	Combinatorial Ordering First-Fit Genetic Algorithm
CPBO	-	CherryPick-based Bayesian Optimization
CRPCA	-	Clustering-based Recursive Principal Component Analysis
CSLGBP	-	Central-Symmetric Local Gabor Binary Pattern
CSS	-	Chaotic Social Spider
DCNN	-	Deep Convolution Neural Network
DDSS	-	Dynamic Dedication Server Scheduling
DPLB	-	Dynamic Priority Load Balancing
D-SAX	-	Dynamic Symbolic Aggregation Approximation
EH-OFCM	-	Efficient High-order Tensor Fuzzy C-means
EHO-LKSV	-	Elephant Herd Optimization-Linear Kernel Support Vector
EKGC	-	Enhanced Knowledge Granule Clustering
ENOF	-	Ellipsoidal Neighbourhood Outlier Factor



EODSP	-	Entropy Outlier Detection Semi-supervised
ERBV-GSO	-	Efficient Resource Balance Virtual Machine-Glowworm Swarm Optimization
ERVS	-	Energy Efficient Resource Ranking Virtual Machine Selection
FCBF	-	Fast Correlation-Based Filter
FEM	-	Fair Energy-efficient Virtual Machine Placement
FFNN	-	Feed-forward Neural Networks
GACO	-	Genetic Ant Colony Optimization
GA-OCSTuM	-	Genetic-based One-class Support Tucker Machine
GSO	-	Glowworm Swarm Optimization
GVN	-	Genetic Variable Neighbourhood
HDBN	-	Hybrid Deep Belief Network
HMLT	-	Homoscedasticity Measurement Leven's Test
IaaS	-	Infrastructure as a Service
IEDCA-IRE	-	Intra-class Extra-class Discriminative Correlation Analysis
IFSC-KM	-	Incremental Fast Searching Clustering-based K-mediods
IoT	-	Internet of Things
IPCA	-	Iterative Principal Component Analysis
LDA	-	Linear Discriminant Analysis
L-HOSVD	-	Lanczos-based High Order Singular Value Decomposition
LPT	-	Laplace Pyramid Transform
LRP	-	Logistic Regression-based Prediction
MGPCA	-	Multivariate Gaussian-based Principal Component Analysis
MLPC	-	Multilayer Perceptron Classifier
MMAS	-	Max-Min Ant System
MWPCA	-	Moving Window Principal Component Analysis
N-PSAGMM	-	Non-Parametric Sequence Learning Agglomerative Gaussian Mixture Model
OSTM	-	One-class Tensor Machine

OSVM	-	One-class Support Vector Machine
PAA	-	Piecewise Aggregation Approximation
PaaS	-	Platform as a Service
PCA	-	Principal Component Analysis
PIP	-	Perceptually Important Points
PIP-KCM	-	Perceptually Important K-means Cosine and Manhattan
PPSO	-	Parallel Particle Swarm Optimization
PSO	-	Particle Swarm Optimization
RF-A	-	Resource Fragmentation- Aware
RIPCA	-	Robust Incremental Principal Component Analysis
ROF	-	Resolution Outlier Factor
SaaS	-	Software as a Service
SIFT	-	Scale Invariant Feature Transform
SLB	-	Stochastic Load Balancing
STDD-KSTDD	-	Support High-order Tensor Data Description-based Kernel Support High-order Tensor Data Description
SVDD	-	Support Vector Data Description
SVM	-	Support Vector Machine
SVMP	-	Service-oriented Virtual Machine Placement
SVMRFE+CBR	-	Support Vector Machine Recursive Function Elimination-based Correlation Bias Reduction
TKMC	-	Two-step K-means Clustering
WA-VMC	-	Workload Aware-Virtual Machine Consolidation
WHAR	-	Weighted Component Human Activity Recognition
WSN	-	Wireless Sensor Network

## LIST OF SYMBOLS

$Rel_s$	-	Relative Sequences
$Refs$	-	Reference Sequences
$\Theta$	-	Sequence Operator
$\beta$	-	Influence Relative Grade Point
RMF	-	Relative Mass Factor
$\mu$	-	Median
$z$	-	Data Points
$D$	-	Dimension
$F$	-	Probability
$Q$	-	Reverse Parameters
$MR$	-	Missing Data
$\emptyset_i$	-	Unknown Data Records
$F_m$	-	Number of Features
$KIC$	-	K-means Imputation Clustering
$W_r$	-	Weighted Distance
$\mathcal{E}_g$	-	Energy Usage
$\mathcal{T}$	-	Task processing Time
$IDT_g$	-	Idle State
$\mathcal{U}$	-	Resource Utilization Rate
$\mathcal{U}_c$	-	CPU Utilization
$\mathcal{U}_m$	-	Memory Utilization
$lf_p$	-	Luciferin Value
$ss$	-	Size Moving Steps
$d$	-	Luciferin Decay Coefficient
$\emptyset$	-	Luciferin Improvement Coefficient
$R_v$	-	Neighbourhood Range
$R_s$	-	Sensor Range
$n_r$	-	Number of Suitable Neighbours
$PB$	-	Probability of predicted movement direction to neighbour

## LIST OF APPENDICES

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# CHAPTER 1

## INTRODUCTION

### 1.1 Overview

The integration of the Internet of Things (IoT)-based Cloud computing is an emerging ubiquitous network infrastructure, offering diverse distributed and transparent services in most computing applications. Smart devices such as sensors, micro-controllers, mobile phones, servers designated at local sites, and the cloud can interact with one another due to this new integrated technology. As the popularity and extensive use of IoT-cloud increases over the years, IoT sensory data are generated, and various IoT-cloud applications are implemented to deliver desired services to end-users across the globe. IoT sensors can sense, pre-process, store and transmit sensed data directly to the Internet without any human intervention. IoT sensor nodes do not interact with each other or Internetnetwork to transmit their sensed data to a connected sink node, unlike Wireless Sensor Network (WSN) nodes. However, IoT sensors do not have the computation power and storage required to process and store IoT sensing data characterized by dynamicity and heterogeneity. This challenge is resolved with the support of the cloud`s heterogeneous processing power and storage resources, which led to integrating IoT and Cloud computing.

Cloud resources can be accessed at anytime, anywhere for the storing and processing of IoT sensed IoT sensed data and applications over the Internet. Three service models are accessible on the cloud, namely, Infrastructure-as-a-Service (IaaS), Software-as-a-Service (SaaS) and Platform-as-a-Service (PaaS). The IaaS is made of enormous computing resources managed by IaaS providers that allocate resources to clients on a pay-as-you-go basis. IoT sensing data management, application systems to high-performance computing applications. Resources such as Servers, Virtual Machine (VM), CPU, networks, and storage systems (hard disks and memory) are available and accessible on IaaS to manage workloads, ranging from IoT sensing data

management to application systems high-performance computing applications. Figure 1.1 illustrates the architecture of IoT-based Cloud computing infrastructure. It comprises three layers beginning from the IoT sensor device layer that generates various sensor data to be transmitted directly to the cloud. The network layer devices are introduced to minimize the occurrences of latency delay during data transmission from IoT sensors to the cloud data-center. The upper layer consists of the cloud platform where sensed data retrieved are stored and processed.

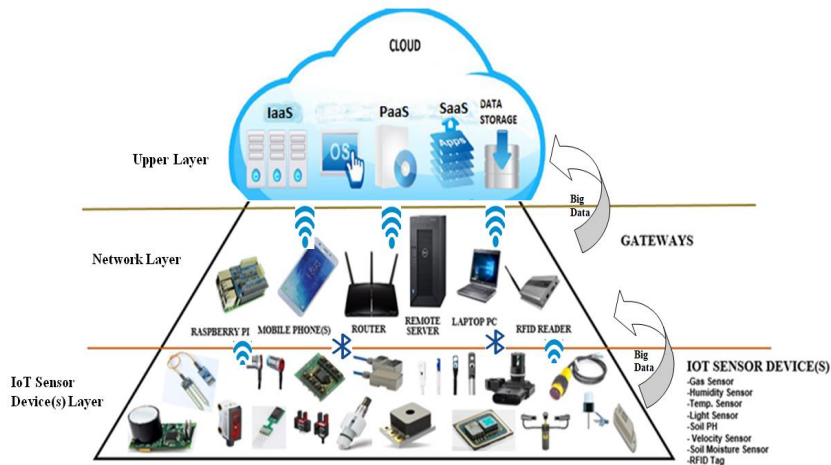


Figure 1.1 IoT-based Cloud Computing Architecture

The allocation of resources to execute IoT sensory data's filtering process is a critical challenge for Infrastructure-as-a-Service (IaaS) in cloud computing. Also, the filtering processes of IoT sensed data entails the utilization of algorithms. Implementing an algorithm required for practical IoT sensed data filtering is of great importance. Efficient resource allocation is the "optimal selection of desired resources (e.g., Servers and Virtual Machines) from the resource pool, required to execute the data filtering or application request tasks/cloudlets on the IaaS cloud platform.

Data filtering is known as the process of defining, detecting and correcting or eliminating errors or duplicates in raw data samples to reduce the impact on succeeding analysis. Filtering algorithms are the techniques and procedures to tackle the filtering issues in applications to obtain its effectiveness, although it might not always be

attainable. In the last few decades, machine and deep learning algorithms are highly recognized algorithms for effective filtering of IoT sensed data in various areas of engineering, health, computing, geo-informatics, bio-informatics and signal processing. Furthermore, an efficient resource allocation algorithm is required to select optimal resources in IaaS cloud to execute the data filtering requests tasks for better performance.

Non-parametric Sequence Learning (N-PSL) and Perceptually Important Point (PIP) algorithms are unsupervised machine learning techniques capable of data filtering. Existing studies confirmed that N-PSL and PIP are reliable and performs better in terms of outlier detection and elimination of data redundancy than current machine learning algorithms, including the Linear Discriminate Analysis (LDA), Support Vector Machine (SVM), Wavelet Transform (WT) and Principal Component Analysis (PCA). Also, N-PSL is deployed in multi-discipline researches such as Zhou *et al.*,(2018), Mallor *et al.*,(2017), Andersson *et al.*, (2016), Neely *et al.*,(2012), Timm and Barth (2011) and Chen *et al.*, (2010). On the other hand, the Energy-efficient Resource Ranking-based Virtual Machine Selection (ERVS) algorithm is adapted to allocate resources to execute tasks. Its adaption is attributed to its ability to balance workloads on running physical machines (PMs). Thus, VMs (tasks) can be migrated from overloaded PMs to under-loaded PMs to minimize the workload imbalance on running PMs.

## **1.2 Problem Background**

Sensed data is mainly generated by multiple IoT sensor devices embedded in the environment which they sense. IoT sensory data's main characteristic is inaccurate sensing due to several limitations such as unreliable reading that leads to data anomalies or outliers. Also, the data's dynamic nature is a result of a large amount of data generated from multiple sensors in real-time, which leads to redundant data records. Conversely, the increase in demand for IaaS resources in the cloud leads to load balancing challenges due to inefficient resource allocation to execute IoT sensed data filtering or application request tasks, both in static and real-time.

Data outliers are mainly of two types, namely event and error outliers, which are common in sensing data readings. An event outlier is when there is a sudden change in data readings triggered by unexpected circumstances. On the other hand, an error outlier is regarded as the data point that diverges significantly away from other data points caused by faulty sensor readings, as depicted in Figure 1.2.

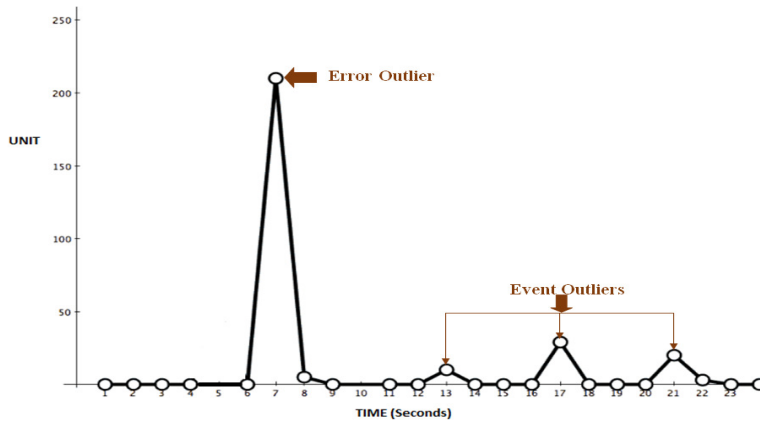


Figure 1.2 Example of Event and Error Outliers

An example of an event outlier can be discovering sudden gas leakage from a cylinder container, the discovery of unauthorized persons gaining access to prohibited company's files, and the detection of abnormality in a patient's health status. Therefore, event outliers are very important in all areas of research field due to its capability to identify unforeseen events that may be disastrous to human existence on earth. There are several filtering techniques deployed for detecting outliers in sensory data, generated by IoT sensor devices for IaaS cloud computing infrastructure. These techniques range from machine learning to deep learning algorithms, implemented in the cloud to identify outliers in vast amounts of sensed datasets.

The detection of event and error outliers is a challenging process due to sensory data characteristic (Souza and Amazonas, 2015; Santos et al., 2018). Most of these algorithms mainly focus on the generality of outlier detection without considering either event or error type of outliers. However, some of the algorithms implemented are able to detect both error and event outliers in a reasonable amount of data sample such as the research work of Zhang *et al.* (2013), Kamal *et al.* (2015), Yu *et al.* (2017),



Santamaria *et al.* (2018) and Nesa *et al.* (2018), without considering the heterogeneity and dimensionality size of the sensory datasets. Hence, further research is required to develop an effective and reliable algorithm for discovering event and error outliers in IoT sensing datasets.

The redundant sensed data records are prevalent in IoT sensory dataset need to be filtered out or eliminated, to obtain the actual data required for onward processing. The process of eliminating redundant data records from a given data sample involves removing all but one of the replica records in the data sample. Figure 1.3 depicts a typical redundant data records scenario for more insight.

Report Number	Report Time	Outside Air Temp	Fuel Consumption	Id. Number	Course	Speed	Latitude	Longitude	Create Time
2523464	16:03:57	6,2500	16000,0000	467190001004975	17,0000	91,7500	57,5403	12,5322	12:14:12
2523513	16:03:57	6,2500	16000,0000	467190001004975	17,0000	91,7500	57,5403	12,5322	12:14:13
2523873	16:03:57	6,2500	16000,0000	467190001004975	17,0000	91,7500	57,5403	12,5322	12:14:19
2523399	16:04:27	6,2500	49600,0000	467190001004975	353,0000	66,7500	57,5456	12,5344	12:14:08
2523425	16:04:27	6,2500	49600,0000	467190001004975	353,0000	66,7500	57,5456	12,5344	12:14:08
2523427	16:04:27	6,2500	49600,0000	467190001004975	353,0000	66,7500	57,5456	12,5344	12:14:10
2523507	16:04:27	6,2500	49600,0000	467190001004975	353,0000	66,7500	57,5456	12,5344	12:14:13
2523572	16:04:27	6,2500	49600,0000	467190001004975	353,0000	66,7500	57,5456	12,5344	12:14:14
2523870	16:04:27	6,2500	49600,0000	467190001004975	353,0000	66,7500	57,5456	12,5344	12:14:19
2523378	16:04:27	6,2500	49600,0000	467190001004975	353,0000	66,7500	57,5456	12,5344	12:14:07
2523416	16:04:27	6,2500	49600,0000	467190001004975	353,0000	66,7500	57,5456	12,5344	12:14:09
2523456	16:04:27	6,2500	49600,0000	467190001004975	353,0000	66,7500	57,5456	12,5344	12:14:12
2523470	16:04:27	6,2500	49600,0000	467190001004975	353,0000	66,7500	57,5456	12,5344	12:14:12
2523478	16:04:27	6,2500	49600,0000	467190001004975	353,0000	66,7500	57,5456	12,5344	12:14:13
2523580	16:04:27	6,2500	49600,0000	467190001004975	353,0000	66,7500	57,5456	12,5344	12:14:14
2523376	16:04:57	6,2500	10000,0000	467190001004975	329,0000	74,2500	57,5504	12,5304	12:14:07
2523400	16:04:57	6,2500	10000,0000	467190001004975	329,0000	74,2500	57,5504	12,5304	12:14:08
2523421	16:04:57	6,2500	10000,0000	467190001004975	329,0000	74,2500	57,5504	12,5304	12:14:08

Figure 1.3 Examples of Duplicate Records in dataset

A critical observation of the dataset records indicates that the dataset is retrieved from a single-car due to the ID Number column (i.e., the fifth column highlighted). The Report Time column indicates the time at which the contents of the report were obtained. Furthermore, the rows marked with yellow have the same Report Time and the records appeared to be identical. Hence, records in the yellow background are regarded as redundant or duplicate records. The solution is to eliminate all but leaving one of the records with a similar report time.

An effective filtering algorithm is required to eliminate the redundant sensed data records with optimality solutions. Most of the algorithms developed by existing researchers mainly focus on discovering redundant data records by comparing the

features in a dataset. Redundant data is identified when features with similar records are discovered. Therefore, identical features are eliminated from the dataset. This type of procedure is not very effective in IoT sensing-based IaaS Cloud computing environment due to the unstructured pattern of sensed data generated by IoT sensors on real-time basis (Xie and Chen 2017; Doghman *et al.*, 2017). Therefore, the filtering process that considers eliminating identical sensed data records or points from a given dataset is required for onward research.

Due to the high demand of IaaS resource usage and challenges of allocating them in cloud data-center, scheduling of resources for the execution of IoT sensed data filtering operations in IoT-based cloud computing has attracted researchers' attention in recent times. Load balancing is one of the significant issues in IoT-based IaaS Cloud computing infrastructure (Ghomi *et al.*, 2017). It is the process of allocating and reallocating the workload among available resources to maximize throughput while minimizing the cost and response time, improving performance and resource utilization as well as the reduction of energy consumption (Priyanka *et al.*, 2016; Goyal *et al.*, 2016; Ghomi *et al.*, 2017). Figure 1.4 illustrates a general picture of the loading balancing procedure in the cloud data center.

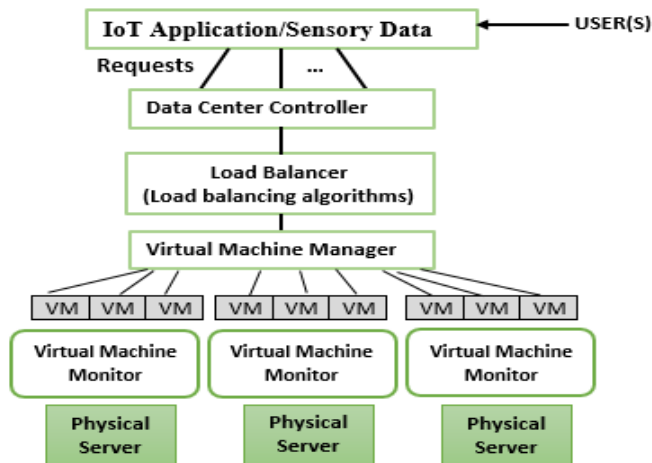


Figure 1.4 An Example of Load Balancing Model

Various Non-heuristics, hybrid and multi-objective algorithms have been deployed to resolve the load balancing problem, which produces optimal solutions for large size problems in the research work of Abed and Yunis (2019), Muhiudin and

Almogren (2019), Makala and Viswanathan (2019), Xavier and Annadura (2018), Jing *et al.*, (2018), Hallawi *et al.*, (2017), Chen and Chen (2017) and Yu *et al.* (2016). However, the performance level of algorithms reduces as the problem size increases. Therefore, further research is required to improve the performance of existing algorithms for optimal solutions for large size problems due to local space entrapment in IoT-based Cloud IaaS environment.

In IoT-based Cloud IaaS computing, effective and efficient data filtering with the support of optimized resource allocation algorithms is necessary to retrieve useful information with minimum resource utilization and computation time. Ways to filter sensing data during acquisition depend on various aspects such as the dynamicity of data, the volume of data generated over time, the issues related to the filtering process, the availability of storage and computation resources to store and execute the data filtering operation. Other issues are either the communication distance between the IoT sensing environmental coverage and the cloud IaaS location or cloud resource demand variation by end-users.

The problem leading to the research, as demonstrated in Figure 1.5, highlights the significant requirements that should be given thorough attention. The existing algorithms used in IoT-based IaaS Cloud computing infrastructure cannot guarantee the global search of detecting event and error outlier as the dimension of the sensory dataset increases in size, not considering the missing data effect that impedes the elimination of redundant sensory data records to obtain relevant data ones. They also suffer from inefficient load balancing of available resource allocation due to local entrapment. These challenges affect the users' expectations in terms of recall, precision and area under curve for event/error outlier predictions, retrieval of useful data that is free from redundancies that ensure improved reduction ratio, f1-score with minimum root mean squared error. Also, satisfying the cloud service provider's objectives, such as optimal workload balancing of active resources with a minimum number of virtual machine migration and resource utilization.

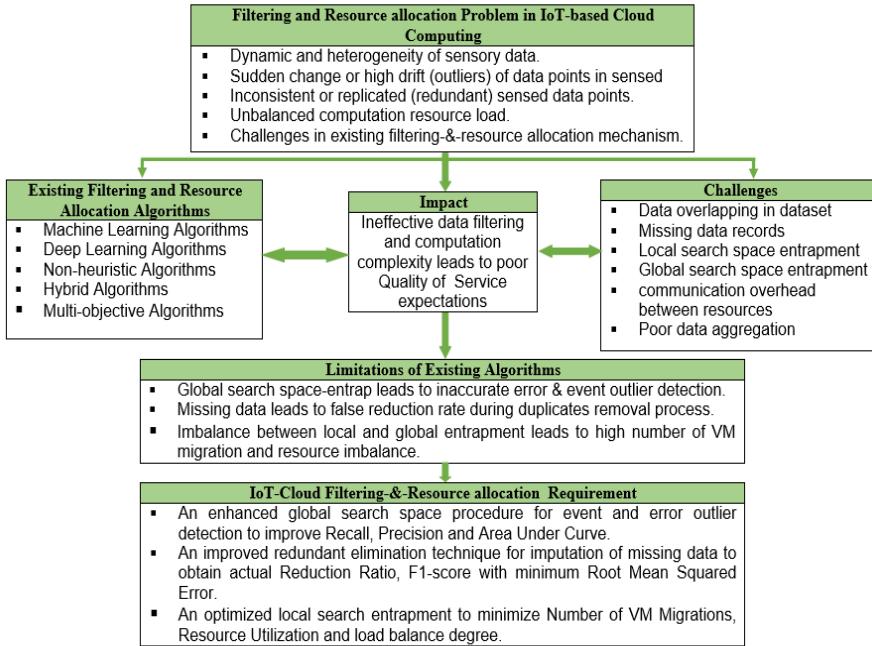


Figure 1.5 Problem Leading to Research

Global search is the ability to explore the search to cover as much distance as possible to obtain new or best solutions within the search space. Finding the efficient algorithm with high scalability to converge in the discovering of both event and error outliers in IoT sensed datasets is challenging. Existing researches in this field are yet to address the challenge of global entrapment that often leads to ineffective outliers (event/error) detection in IoT sensed datasets. The unsupervised clustering and outlier factor algorithms can help resolve this challenge and provide better performance at the global optimal IoT sensed dataset region.

In IoT-based cloud computing, redundant data records in IoT sensed datasets are mostly considered irrelevant data that utilizes huge storage space. Consequently, “without the recovery of missing data records in the datasets before embarking on redundant records elimination leads to removing some relevant data together with redundant records” (Gong et al., 2016; Feng et al., 2017). Missing data causes similarity data record mismatched subsequences during the redundant identification process. Therefore, a technique that can recover missing data before the elimination of

redundant data records is required. The unsupervised imputation algorithm can be deployed for missing data recovery to eliminate redundant data records.

Local search space is searching for a neighborhood solution, continuously finding it challenging to obtain a near-optimal solution and deciding to attain its iteration limits. Local search often leads to poor convergence with high computation time. Therefore, a meta-heuristic algorithm that can optimize the local search for a near-optimal solution is highly needed for better resource load balancing performance on the cloud datacenter.

### **1.3 Problem Statement**

IoT Sensory data filtering processes with the support of resource allocation strategy for IaaS in cloud computing infrastructure is considered a critical challenge. The filtering process is composed of two scenarios known as outlier detection and elimination of redundant data. Outliers (event and error) and redundant data records are usually classified as unwanted data. However, outliers are often predicted due to their usefulness, whereas redundant ones are eliminated out rightly from a given dataset. The detection of outliers (event and error) and eliminating redundant data are addressed by utilizing suitable algorithms, including machine and deep learning algorithms. Also, suitable algorithms are required to address the unbalanced workload of IaaS in the cloud, which includes non-heuristics and meta-heuristic algorithms. The results generated by the filtering and resource allocation algorithms depict the computation complexities of the input size objective functions. Therefore, effective filtering and efficient resource allocation techniques are necessary to resolve the abovementioned challenges in IoT-based Cloud IaaS infrastructure.

Some of the existing researches consider the detection of error and event outliers in a given dataset instead of the majority of them that mainly focus on the generality of outlier detection. The Non-parametric Sequence Learning (N-PSL) algorithm is deployed for detection event and error outliers with some significant performance improvement rate in terms of Accuracy, Specificity, Recall, Precision,

False Positive Rate and Area under Curve. However, as the dimension of the dataset increases in size (i.e. 16 attributes and 51,500 objects), the performance rate of N-PSL algorithm degrades or becomes sub-optimal based on outlier (event/error) detection with the output of 69.849%/78.719% Recall, 57.590%/75.895% Precision and 81.633%/89.082% Area under Curve. This is due to its weaknesses in global space search for event and error outlier detection as the dimension of the dataset increases with numerous data irregularities. Therefore, a machine learning technique is critically needed to resolve the above-mentioned challenges to enhance the N-PSL algorithm's performance rate based on Recall, Precision and Area under Curve.

It is imperative to establish the correlation between sensed data records according to their timestamp to eliminate redundant ones from the whole dataset effectively. Due to the unstructured nature of IoT sensing data records generated overtime. The Perceptually Important Points (PIP) algorithm considers the similarity between data records and produces substantial performance results before and after eliminating redundant sensed data records. Nevertheless, as a weakness of eliminating relevant or useful data points together with redundant ones due to missing data in a given dataset. This leads to its sub-optimal performance rate based on F1-score, Reduction ratio and Root mean squared error. Therefore, an imputation technique is highly needed to handle missing data to improve the PIP algorithm's performance rate in terms F1-score, Reduction ratio Root mean squared error.

Conversely, the computation complexity of IoT sensed data filtering operations increases exponentially as their workload size increases. However, the existing non-heuristic, hybrid and multi-objective algorithms have produced better performance in exploring global and local search space in the available IaaS computation resources. However, the Energy-efficient Resource Ranking- based Virtual Machine Selection (ERVS) algorithm is still caught up in local search entrapment resulting in sub-optimal performance results. Therefore, the technique that can improve the local search space is highly needed to enhance the performance rate of ERVS algorithm in terms of Resource utilization, VM migration and Load balancing degree.

## **1.4 Research Questions**

In view of the above discussion, the following research questions are presented towards attaining the research objectives of this research work:

- i. How to avoid global optima for the performance improvement rates of event and error outlier detection as the dimension of sensed dataset increases in size?
- ii. How to recover missing data before and after elimination of redundant sensed data records for optimal solutions?
- iii. How to avoid the local optima to minimize workload imbalance of resources and enhance optimality solutions for resource allocation optimization?

## **1.5 Research Goal**

This research aims to achieve optimal sensed data filtering-enabled resource allocation techniques that are efficient to explore global search for the detection of error and event outliers, recovery of missing data for redundant sensed data record elimination, and avoid local optima for optimal resource solution.

## **1.6 Research Objectives**

This study mainly focuses on implementing IoT sensed data filtering and resource allocation techniques for IaaS Cloud computing infrastructure. Three objectives are established as:

- i. To develop a Non-parametric Sequence Agglomerative Gaussian Mixture Model (N-PSAGMM) technique to minimize global search for improving event and outlier detection based on precision, recall and area under curve.

- ii. To develop a Perceptually Important Point-enabled K-means Imputation (PIP-KCM) technique to recover missing data for improving the elimination of redundant data records in terms of reduction ratio, f1-score with minimum root mean squared error.
- iii. To develop an Efficient Resource Balanced Ranking Virtual Machine Selection-based Glowworm Swarm Optimization (ERBV-GSO) technique for avoiding local optima to minimize the unbalanced workload, number of VM migration and resource utilization of available IaaS resources.

## **1.7 Research Scope**

The scope of this research work is as follows:

- i. This research considers the performance improvement rates based on precision, recall and area under curve to detect event and error outliers. Also, the performance improvement rates in terms of f1-score, reduction ratio with minimum root mean squared error for effective elimination of redundant sensory data records as well as load balancing degree, number of virtual machine migrations and resource utilization for optimal workload balancing on available resources for the execution tasks in IoT sensing-based IaaS Cloud computing infrastructure.
- ii. All benchmarks and datasets are generated from the University of California (UCI) machine learning repository, except one that was retrieved from a laboratory.
- iii. It also considers dependent and independent workload using a data-center Aware Round Robin load balancing Policy for resource allocation.



The physical and virtualization of IoT sensor devices, gateways and communication network bandwidth, Software-as-a-Service (SaaS) and Platform-as-a-Service (PaaS) issue are out of the scope of this research.

## **1.8 Thesis Organization**

The structure of the remaining thesis is outlined as follows:

Chapter 2 presents a detailed description of previous and related research works. It explicitly shows the position of the research from previous literature. This chapter also briefly discusses the literature that has deployed the Non-parametric Sequence Learning (N-PSL) algorithm in resolving several challenges in other research fields. Chapter 3 describes the research methodology of the work. It is categorized into three research phases. The first phase comprise of the research background, preparation and planning. The second phase presents the design and development of effective filtering and efficient resource allocation techniques according to the set objectives of this research work. The third phase is made up of testing, performance evaluation and validation of the proposed techniques of this research. Chapter 4 presents the implementation of the filtering techniques, namely N-PSAGMM and PIP-KCM. The proposed filtering techniques are formulated based on the Non-parametric Sequence Learning (N-PSL) and Perceptually Important Points algorithms. Also, experimentation and analysis of results for the proposed techniques and comparing them with related existing filtering techniques. Chapter 5 presents the implementation of the resource allocation technique namely ERVB-GSO. A detailed description of how the proposed technique is designed and developed is depicted in this chapter. Experimentation and discussion of results, as well as comparing its performance with other existing resource allocation algorithms, are also presented. Chapter 6 presents the conclusion, a brief discussion of this research's contributions and suggests future directions. It also presents the achievements of the set objectives and the comparative performance evaluati

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