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## NEW ALGORITHM FOR DENSE SUBSET-SUM PROBLEM

by

Mark Chaimovich

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**Abstract.** — A new algorithm for the dense subset-sum problem is derived by using the structural characterization of the set of subset-sums obtained by analytical methods of additive number theory. The algorithm works for a large number of summands ( $m$ ) with values that are bounded from above. The boundary ( $\ell$ ) moderately depends on  $m$ . The new algorithm has  $O(m^{7/4}/\log^{3/4} m)$  time boundary that is faster than the previously known algorithms the best of which yields  $O(m^2/\log^2 m)$ .

### 1. Introduction

Consider the following subset-sum problem (see [13]). Let  $A = \{a_1, \dots, a_m\}$ ,  $a_i \in \mathbb{N}$ . For  $B \subseteq A$ , let  $S_B = \sum_{a_i \in B} a_i$  and let  $A^* = \{S_B \mid B \subseteq A\}$ . The problem is to find the maximal subset-sum  $S^* \in A^*$  satisfying  $S^* \leq M$  for a given target number  $M \in \mathbb{N}$ .

Although the problem is NP-hard (the partition problem is easily reduced to the SSP), its restriction can be solved in polynomial time. Denote  $\ell = \max\{a_i \mid a_i \in A\}$ . Introducing restriction  $\ell \leq m^\alpha$  where  $\alpha$  is some positive real number (or equivalently  $m \geq \ell^{1/\alpha}$ ), one can easily solve problems from this restricted class in  $O(m^2\ell)$  time using dynamic programming.

This work belongs to the school of thought that applies analytical methods of number theory to integer programming (see [8], [2]). It continues the application of a new approach, the main idea of which is as follows: analytical methods enable us to effectively characterize the set  $A^*$  of subset-sums as a collection of arithmetic progressions with a common difference (see [7], [12], [1], [10]). Once this characterization is obtained, it is quite easy to find the largest element of  $A^*$  that is not greater than the given  $M$ .

Efficient algorithms have recently been derived using the new approach. In almost linear time (with respect to the number  $m$  of summands) they solve the following class

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of SSP: the target number  $M$  is within a wide range of the mid-point of the interval  $[0, S_A]$  and  $m > c\ell^{2/3} \log^{1/3} \ell$ ,  $\ell > \ell_0$  when  $A$  is a set of distinct summands ([9], [4], [6], [11]) or  $m > 6\ell \log \ell$  when  $A$  is an arbitrary multi-set without any limitation on the number of distinct summands ([5]). Here and further on  $\ell_0, c, c_1, c_2, \dots$  denote some absolute positive constants.

The latest analytical result ([10]) allows one to apply the algorithm from [9] to problems with density  $m > c_1(\ell \log \ell)^{1/2}$ . The algorithm from [11] works for density  $m > c_2\ell^{1/2} \log \ell$  which is almost the same as in [10]. For  $m < \ell^{2/3}$ , the time boundary for both algorithms is estimated as  $O((\frac{\ell}{m})^2)$ , i.e.,  $O(\frac{m^2}{\log^2 m})$  for the lowest density ( $m \sim (\ell \log \ell)^{1/2}$ ).

This work refines the structural characterization of the set of subset-sums which allows us to use more efficient conditions in the process of determining the structure. These refinements are discussed in Section 2. They lead to the development of a new algorithm which is described in Section 3. It works in  $O(m \log m + \min\{\frac{\ell^{5/4} \log^{1/2} \ell}{m^{3/4}}, (\frac{\ell}{m})^2\})$  time which improves [9] and [11] for  $m \leq \frac{\ell^{3/5}}{\log^{2/5} \ell}$  and yields  $O(m^{7/4} / \log^{3/4} m)$  time for  $m \sim (\ell \log \ell)^{1/2}$ .

## 2. Refinement of the structural characterization of the set $A^*$ of subset-sums

The following Theorem 2.1 [10] determines the structure of the set  $A^*$  of subset-sums for  $m > c_1(\ell \log \ell)^{1/2}$  as a long segment of an arithmetic progression.

**Theorem 2.1 (G. Freiman).** — *Let  $A = \{a_1, \dots, a_m\}$  be a set of  $m$  integers taken from the segment  $[1, \ell]$ . Assume that  $m > c_1(\ell \log \ell)^{1/2}$  and  $\ell > \ell_0$ .*

(i) *There is an integer  $d$ ,  $1 \leq d \leq \frac{3\ell}{m}$ , such that*

$$(1) \quad |A(0, d)| > m - d$$

and

$$\{M : M \equiv 0 \pmod{d}, |M - \frac{1}{2}S_{A(0,d)}| \leq c_2 dm^2\} \subseteq A^*(0, d),$$

where  $A(s, t) = \{a : a \equiv s \pmod{t}, a \in A\}$ .

(ii) *If for all prime numbers  $p$ ,  $2 \leq p \leq \frac{3\ell}{m}$ ,*

$$(2) \quad |A(0, p)| \leq m - \frac{3\ell}{m},$$

then the assertion (i) of the Theorem holds true with  $d = 1$ .

Simple consideration shows that verification of condition (2) is crucial for the structural characterization of a set  $A^*$  of subset-sums. Algorithms from [9] and [11] use this condition directly ([9]) or indirectly ([11]). Our intention is to replace condition (2) by a condition (or a set of conditions), verification of which is easier in the sense that the number of required operations is smaller. To do this we introduce the notion of  $d$ -full set. We say that set  $A$  is  $d$ -full if  $A^*$  contains all classes of residues modulo  $d$ , i.e., in other words,  $A^*(\text{mod } d) = \{0, 1, \dots, d - 1\}$ .

Let us study some properties of  $d$ -full sets.

Define  $S_{r(\text{mod } d)} = \min\{s \in A^*, s \equiv r(\text{mod } d)\}$ .

**Lemma 2.2.** — *Let  $A$  be a set of integers taken from the segment  $[1, \ell]$ . Suppose that  $A$  is  $d$ -full. Then for each  $r$ ,  $0 < r < d$ ,*

$$(3) \quad S_{r(\text{mod } d)} \leq d\ell.$$

*Proof.* — Assume that for some  $r$  condition (3) is not true, i.e.,  $S_{r(\text{mod } d)} > d\ell$ . This means that  $S_{r(\text{mod } d)} = a_{i_1} + a_{i_2} + \dots + a_{i_k}$  for some  $k > d$ . Consider the sequence of subset-sums  $T_s = \sum_{j=1}^s a_{i_j}$ ,  $1 \leq s \leq k$ . Obviously, at least two of these sums (assume  $T_s$  and  $T_q$ ,  $s < q$ ) belong to the same residue class modulo  $d$  (since  $k > d$ ). Then  $T_q - T_s \equiv 0(\text{mod } d)$  and subset-sum  $T_k - (T_q - T_s) = a_{i_1} + \dots + a_{i_s} + a_{i_{q+1}} + \dots + a_{i_k} \equiv r(\text{mod } d)$  and this subset-sum is smaller than  $S_{r(\text{mod } d)}$ . This fact contradicts the minimality of  $S_{r(\text{mod } d)}$ . □

**Lemma 2.3.** — *Suppose that the set  $A$  is  $d$ -full. Then there is a  $d$ -full subset of  $A$  with cardinality less than  $d$ .*

*Proof.* — Let us assume that contrary to the Lemma the smallest  $d$ -full subset of  $A$  has more than  $d - 1$  elements. Denote this subset by  $A' = \{a_1, \dots, a_k\}$ . In fact,  $d \nmid a_i$  for all  $i$ 's.

Let  $B$  be the multi-set of non-zero residues modulo  $d$  in  $A'$ , that is  $B$  is composed with  $|A'(i, d)|$  times  $i$  for any  $1 \leq i < d$ . Naturally one has  $B^* = (A')^*(\text{mod } d)$ . Then, as a multi-set,  $|B| = \sum_{i=1}^{d-1} |A'(i, d)| \geq d$ , by the assumption.

Define a sequence of multi-sets  $B_0, B_1, \dots, B_k$  as follows:  $B_0$  is an empty set and  $B_i = \{b_1, \dots, b_i\}$  for  $i > 0$ . Note that  $0 \in B_i^*$  (since it is the sum of an empty subset), and that

$$(4) \quad B_i^* = B_{i-1}^* + \{0, b_i\} = B_{i-1}^* \cup (B_{i-1}^* + b_i), 1 \leq i \leq k.$$

Thus, obviously,  $|B_{i-1}^*| \leq |B_i^*|$ .

Taking into account that  $|B_0^*| = 1$  and that  $|B| = k \geq d$ , for some  $i$  we have  $|B_{i-1}^*| = |B_i^*|$  implying that residue  $b_i$  (and element  $a_i$  respectively) does not add new residue classes, i.e.,  $(B \setminus b_i)^* = B^*$ . Therefore,  $A' \setminus a_i$  is  $d$ -full as well as  $A'$ . This fact contradicts the assumption that  $A'$  is the smallest  $d$ -full subset of  $A$  and proves the Lemma. □

The next lemma refines the second assertion (ii) of Theorem 2.1.

**Lemma 2.4.** — *Let  $A$  be a set of integers taken from the segment  $[1, \ell]$ . Assume that  $|A| = m > c_1(\ell \log \ell)^{1/2}$ ,  $\ell > \ell_0$ , and suppose that  $A$  is  $q$ -full for each  $q$ ,  $2 \leq q \leq \frac{3\ell}{m}$ . Then the assertion (i) of Theorem 2.1 holds with  $d = 1$ .*

*Proof.* — Assume that  $d > 1$  in Theorem 2.1. By the theorem, a long segment of an arithmetic progression belongs to  $A^*(0, d)$ . On the other hand,  $A$  is  $d$ -full (since  $d \leq \frac{3\ell}{m}$ ) and subset-sum  $S_{r(\text{mod } d)}$  exists for each  $r$ ,  $1 \leq r < d$ . Combine a long segment of an arithmetic progression (with difference  $d$ ) in interval

$$\left[ \frac{1}{2}S_{A(0,d)} - c_2dm^2, \frac{1}{2}S_{A(0,d)} + c_2dm^2 \right]$$

(belonging to  $A^*(0, d)$ ) with subset-sums  $S_{1(\bmod d)}, S_{2(\bmod d)}, \dots, S_{d-1(\bmod d)}$  (these subset-sums are obtained without using elements of  $A(0, d)$ ). Thus we obtain an interval

$$\left[ \frac{1}{2}S_{A(0,d)} - c_2dm^2 + \max\{S_{r(\bmod d)} : 1 \leq r < d\}, \frac{1}{2}S_{A(0,d)} + c_2dm^2 \right],$$

all integers of which belong to  $A^*$ . In fact, if the length of this new interval is sufficiently large ( $O(m^2)$ , for example), we will obtain the result of Theorem 2.1 with  $d' = 1$ . Actually, since we are interested only in the case  $d > 1$  and since  $\max\{S_{r(\bmod d)} : 1 \leq r < d\} < d\ell = O(dm^2 / \log m)$ , the length of the obtained interval is

$$O(dm^2 - \max\{S_{r(\bmod d)} : 1 \leq r < d\}) = O\left(dm^2 - \frac{dm^2}{\log m}\right) = O(dm^2)$$

which completes the proof. □

The latest property (Lemma 2.4) shows that in order to obtain a structural characterization of  $A^*$ , it is sufficient to verify that set  $A$  is  $q$ -full for all  $q$ 's,  $2 \leq q \leq \frac{3\ell}{m}$ . Clearly, the new condition is weaker than (2):  $A$  can be  $q$ -full even if  $|A(0, q)| > m - \frac{3\ell}{m}$ . However, from an algorithmic point of view this new condition is difficult to verify. To correct this we have to use some lemmas which determine different sufficient conditions implying that set  $A$  is  $q$ -full. We will also show that it is sufficient to verify the prime numbers only.

**Lemma 2.5 ([3]).** — *If  $p$  is prime and*

$$(5) \quad \sum_{i=1}^{p-1} |A(i, p)| \geq p - 1$$

*then  $A$  is  $p$ -full.*

The proof of this lemma is presented here because of the difficulty in accessing of reference [3].

*Proof.* — Using the fact that all elements of  $A(i, p), i \neq 0$ , are relatively prime to  $p$ , introduce ring  $\mathbb{Z}_p$  of residues mod  $p$ . In the following reasoning it is implied that all arithmetic operations, including the operations for computing subset-sums, are operations modulo  $p$  in  $\mathbb{Z}_p$ .

Put, as in the proof of Lemma 2.3,  $B = \{b_1, b_2, \dots, b_k\}$  for the multi-set of non-zero residues modulo  $p$  in  $A$  and define the sequence of multi-sets  $B_0, B_1, \dots, B_k$  where  $B_0$  is an empty set and  $B_i = \{b_1, \dots, b_i\}$  for  $i > 0$ .

By the hypothesis,  $|B| = \sum_{i=1}^{p-1} |A(i, p)| \geq p - 1$ . If for all  $i \leq p - 1, |B_{i-1}^*| < |B_i^*|$ , then  $|B_i^*| \geq |B_{i-1}^*| + 1 \geq |B_0^*| + i = i + 1$ , i.e.,  $|B_{p-1}^*| \geq p$ , which concludes the proof, since we are dealing with residues modulo  $p$ .

Otherwise, the fact that  $|B_{i-1}^*| = |B_i^*|$  for some  $i < p - 1$  implies that for any  $c \in B_{i-1}^*, c + b_i$  also belongs to  $B_{i-1}^*$ . Continuing this reasoning we obtain  $c + rb_i \in B_{i-1}^* \subseteq B^*$  for any  $r$ . Recalling that all operations are modulo  $p$  and that  $\gcd(b_i, p) = 1$ , one obtains that all residues modulo  $p$  are in  $B^*$ , i.e.,  $A$  is  $p$ -full. □

**Lemma 2.6 (Olson [14]).** — *If  $p$  is prime and*

$$(6) \quad |\{i : |A(i, p)| \neq 0, 1 \leq i < p\}| > 2p^{1/2}$$

*then  $A$  is  $p$ -full.*

**Lemma 2.7 (Theorem 7, Sárközy [15]).** — *If  $p$  is prime and*

$$(7) \quad \left(\sum_{i=1}^{p-1} |A(i, p)|\right)^3 \geq c_5 p \log p \sum_{i=1}^{p-1} |A(i, p)|^2$$

*where  $c_5 = 4 \cdot 10^6$ , then  $A$  is  $p$ -full.*

Note that condition (7) implies  $\sum_{i=1}^{p-1} |A(i, p)| \geq (c_5 p \log p)^{1/2}$  in view of

$$\sum_{i=1}^{p-1} |A(i, p)| \leq \sum_{i=1}^{p-1} |A(i, p)|^2.$$

The next two lemmas show that it is sufficient to verify the prime numbers only.

**Lemma 2.8.** — *If for prime numbers  $p$ ,  $2 \leq p \leq Q^{1/2}$ ,*

$$(8) \quad |A(0, p)| \leq m - Q,$$

*and for prime numbers  $p$ ,  $Q^{1/2} < p \leq Q$ , the set  $A$  is  $p$ -full, then the set  $A$  is  $t$ -full for all integers  $t$ ,  $2 \leq t \leq Q$ .*

*Proof.* — The proof employs induction for the total number of prime divisors of  $t$ .

1.  $t$  is prime. Condition (8) ensures that Lemma 2.5 can be applied to all prime numbers  $t \leq Q^{1/2}$ . For prime numbers  $t > Q^{1/2}$ , the set  $A$  is  $t$ -full by definition.
2. For  $n > 1$ , assume that the Lemma is true for each number whose total number of prime divisors is less than  $n$ . Now we are going to prove the Lemma for any integer  $t$  having  $n$  prime divisors.

Let  $t = p_1 \cdots p_n$  where  $p_1 \leq p_2 \leq \cdots \leq p_n$  are the prime divisors of  $t$ . One has  $p_1 \leq t^{1/2} \leq Q^{1/2}$  and, in view of (8),  $|B| = |A \setminus A(0, t)| \geq |A \setminus A(0, p_1)| \geq Q \geq t$ .

Denote  $s = t/p_1$ . This integer  $s$  has  $n - 1$  prime divisors. By the induction hypothesis,  $A$  is  $s$ -full. Thus, according to Lemma 2.3, there is  $A' \subseteq A$  such that  $A'$  is  $s$ -full and  $|A'| < s$ . Put, as in the proof of Lemma 2.5,  $B = \{b_1, b_2, \dots, b_k\}$  for the multi-set of non-zero residues modulo  $t$  in  $A$  and define  $B_i = \{b_1, \dots, b_i\}$ . Without losing generality, assume that the first residues in  $B$  corresponds to elements of  $A'$ . Thus,  $B_{|A'|}^*$  contains all classes of residue modulo  $s$  implying  $|B_{|A'|}^*| \geq s$ . Continue with the same reasoning as in Lemma 2.5.

Again, if for all  $i$ ,  $|A'| < i \leq t - 1$ ,  $|B_{i-1}^*| < |B_i^*|$ , then  $|B_i^*| \geq |B_{i-1}^*| + 1 \geq |B_{|A'|}^*| + (i - |A'|) \geq i + 1$ , i.e.,  $|B_{t-1}^*| \geq t$ , which concludes the proof, since we are dealing with residues modulo  $t$ .

Otherwise, the fact that  $|B_{i-1}^*| = |B_i^*|$  for some  $i$ ,  $|A'| < i \leq t - 1$  implies that for any  $c \in B_{i-1}^*$ ,  $c + b_i \in B_{i-1}^*$ . Continuing this reasoning we obtain  $c + rb_i \in B_{i-1}^* \subseteq B^*$  for any  $r$ . Recalling that  $B_{|A'|}^*$  contains  $c_1, \dots, c_s$  - different residues modulo  $s$  - we generate  $s$  disjoint sequences  $c_j + rb_i$ . Since

each sequence has  $r = \frac{t}{s}$  elements modulo  $t$ , all sequences together cover the entire set of residues modulo  $t$ , i.e.,  $A$  is  $t$ -full.

This concludes the proof that the set  $A$  is  $t$ -full for all  $t \leq Q$ . □

Now we can formulate a sufficient condition for a long interval to exist in the set  $A^*$  of subset-sums:

**Corollary 2.9.** — *Let  $A$  be a set of integers taken from the segment  $[1, \ell]$ . Assume that  $|A| = m > c_1(\ell \log \ell)^{1/2}$ ,  $\ell > \ell_0$ , and suppose that for all primes  $p$ ,  $2 \leq p \leq (\frac{3\ell}{m})^{1/2}$ , condition (2) holds and for all primes  $p$ ,  $(\frac{3\ell}{m})^{1/2} < p \leq \frac{3\ell}{m}$ , at least one of the conditions (5), (6) or (7) is satisfied. Then  $A^*$  contains a long interval: a segment of an arithmetic progression with difference 1 and length  $O(m^2)$ .*

*Proof.* — The corollary follows from previously mentioned Lemmas 2.4, 2.5, 2.6, 2.7 and 2.8. □

### 3. Algorithm

In the previous section we determined a sufficient condition, ensuring the existence of a long interval contained in  $A^*$ . In the case where this condition is not satisfied, namely, if for some  $p_1$  either condition (2) (if  $p_1$  is small) or conditions (5), (6) and (7) (if  $p_1$  is large) fail, the process similar to the process described in [9] may be applied. This process finds a number  $d$  such that an arithmetic progression with difference  $d$  belongs to the set of subset-sums. It is implemented in the first step of the algorithm. The second step of the algorithm finds all non-zero residues modulo this  $d$  in  $A^*$  by using a modification of dynamic programming approach modulo  $d$ .

Now we are ready to describe the algorithm.

*Notation.* —  $n_p(i)$ ,  $0 \leq i < p$ : the counter of summands belonging to residue class  $i \pmod p$  (when all summands of  $A$  are verified  $n_p(i) = |A(i, p)|$ );

$r_p = |\{i \mid 1 \leq i < p, n_p(i) \neq 0\}|$ : the counter of different non-zero residues modulo  $p$ ;

$R_p = \sum_{i=1}^{p-1} n_p(i)$ ;  $R'_p = R_p + n_p(0)$ ;  $S_p = \sum_{i=1}^{p-1} n_p^2(i)$ ;

$\frac{A(0,p)}{p} = \{a \mid ap \in A(0, p)\}$ ;

$prevpr(x)$ : the prime number preceding  $x$ ;

$nextpr(x)$ : the prime number following  $x$ ;

In this notation conditions (5), (6) and (7) will take form  $R_p \geq p - 1$ ,  $r_p > 2p^{1/2}$  and  $R_p^3 \geq (c_5 p \log p) S_p$ , respectively.

#### Algorithm 1.

##### 1. Finding $d$

(a) Initialization:  $d \leftarrow 1$ ,  $p \leftarrow 2$ ,  $Q \leftarrow \lfloor \frac{3\ell}{m} \rfloor$ .

(b)  $R_p \leftarrow 0$ .

For each  $a \in A$  where  $a \equiv 0 \pmod d$ , compute  $s = \frac{a}{d} - \lfloor \frac{a}{dp} \rfloor p$  and if  $s \neq 0$  then advance the counter  $R_p \leftarrow R_p + 1$ ;

Continue this process until  $R_p \geq Q$  or all elements are processed.

If  $R_p \geq Q$  then set  $p \leftarrow \text{nextpr}(p)$ ;  
 otherwise set  $d \leftarrow dp$ ,  $Q \leftarrow \lfloor \frac{3\ell}{d|A(0,d)|} \rfloor$  and  $p \leftarrow 2$ .

If  $p \leq Q^{1/2}$  return to 1(b);  
 otherwise set  $p \leftarrow \text{prevpr}(Q)$  and go to 1(c).

(c)  $n_p(i) \leftarrow 0$  ( $0 \leq i < p$ ),  $R_p \leftarrow 0$ ,  $S_p \leftarrow 0$ ,  $R'_p \leftarrow 0$ ,  $r_p \leftarrow 0$ .

For each  $a \in A$  for which  $a \equiv 0 \pmod{d}$  compute  $s = \frac{a}{d} - \lfloor \frac{a}{dp} \rfloor p$  and advance the counters:

$n_p(s) \leftarrow n_p(s) + 1$ ,  $R'_p \leftarrow R'_p + 1$ ;

if  $s \neq 0$  then ( $R_p \leftarrow R_p + 1$ ,  $S_p \leftarrow S_p + 2n_p(s) - 1$ ;

if  $n_p(s) = 1$  then  $r_p \leftarrow r_p + 1$ );

Continue this process until one of the following inequalities is true:

$$(9) \quad r_p > 2p^{1/2}, \quad R_p \geq p - 1, \quad R_p^3 \geq (c_5 p \log p) S_p,$$

or all elements are processed.

If all elements are processed ( $n_p(0) > |A(0,d)| - p$ ) then  $d \leftarrow dp$ .

If  $R'_p \geq (\frac{16c_5 r_p \ell \log \ell}{p})^{1/2}$  then  $p \leftarrow \text{prevpr}(\min\{p - 1, \frac{4r_p \ell}{pR'_p}\})$ ;

otherwise  $p \leftarrow \text{prevpr}(p - 1)$ .

If  $p \geq Q^{1/2}$  return to 1(c); otherwise go to 1(d).

(d) Find  $n_d(i)$ ,  $1 \leq i < d$ , and  $r_d$  for the set  $A$ .

2. Finding  $C$  – the set of all non-zero residues modulo  $d$  in  $A^*$ .

Define the sequence of sets  $C_0, C_1, \dots, C_{d-1}$  in the following way:  $C_0 = \{0\}$  and, for  $i > 0$ ,  $C_i = C_{i-1} + \{0, i, \dots, n_d(i)i\} \pmod{d}$  if  $n_d(i) \neq 0$  or  $C_i = C_{i-1}$  if  $n_d(i) = 0$ . Clearly,  $C_{d-1} = C$ .

Let  $v$  be a vector with  $d$  coordinates (numbered from 0 to  $d - 1$ ) which represents  $C_i$  in the way that if  $j \in C_i$  then  $v(j) = i$  and if  $j \notin C_i$  then  $v(j) = -1$ .

(a) Initialization:  $v \leftarrow (0, -1, \dots, -1)$ .

(b) For all  $i$ ,  $1 \leq i < d$ , for which  $n_d(i) \neq 0$  do

for all  $j$ ,  $1 \leq j < d$ , for which  $0 \leq v(j) < i$  do

$v(j) \leftarrow i$  and

for  $s$  running from 1 to  $n_d(i)$  while  $v(j + si \pmod{d}) = -1$

$v(j + si \pmod{d}) \leftarrow i$ .

3. Finding  $S^*$ . Define  $s \equiv M \pmod{d}$ ,  $0 \leq s < d$ .

Find  $S^* = M - s + s_0$ , where  $s_0 = \max\{s_i \mid s_i \in C, s_i \leq s\}$ .

To prove the validity of the algorithm we need to ensure that its step 1 finds a proper number  $d$  such that a set  $\frac{A(0,d)}{d}$  satisfies all the conditions of Corollary 2.9. Indeed, sub-steps 1(b) and 1(c) use the conditions of the corollary. Therefore, the only thing that needs to be proved is the validity of the condition in sub-step 1(c)

$\left( R'_p \geq \left( \frac{16c_5 r_p \ell \log \ell}{p} \right)^{1/2} \right)$  which allows us to skip verification of some  $p$ 's.

Recall that  $R'_p$  is the counter of elements of the set that have been checked for divisibility by  $p$  and that we stop the verification process for a particular prime number  $p$  once one of the conditions in (9) is satisfied. Therefore, the number of elements that have been checked for a particular  $p$  may be small (if many different non-zero



residues are found in the beginning of the process) but this value may also be quite large. However, the fact that many elements have been checked for some  $p' > Q^{1/2}$  ensures that  $A$  is  $p$ -full for many  $p$ 's, namely, for  $p > \frac{4r_{p'}\ell}{p'R_{p'}}$ . This is proved in the following lemma.

**Lemma 3.1.** — *Let  $B$  be a set of integers taken from the segment  $[1, \ell]$ . Assume that there is a prime  $p' < \ell^{1/2}$  which satisfies the inequality*

$$(10) \quad |B| \geq \left( \frac{16c_5 r_{p'} \ell \log \ell}{p'} \right)^{1/2},$$

where  $r_{p'} = |\{i : |B(i, p')| \neq 0, 0 \leq i < p'\}|$  and  $c_5$  is the constant from Lemma 2.7. Then, for prime numbers  $p, \frac{4r_{p'}\ell}{p'|B|} < p < \ell^{1/2}, p \neq p'$ , the set  $B$  is  $p$ -full.

*Proof.* — We are going to show that condition (7) of Lemma 2.7 is satisfied for all  $p$ 's from the required interval. From this point on, for convenience we will use  $r$  without a subscript to denote  $r_{p'}$ .

Let  $\{b_1, \dots, b_r\}$  be the set of all classes of residues modulo  $p'$  of the set  $B$  and let  $t_i, 1 \leq i \leq r$ , be the number of occurrences of residues from class  $b_i$  in the set  $B$ . Without losing generality, assume that  $t_1 \geq t_2 \geq \dots \geq t_r$ . Among the  $t_i$  elements which are in the class of  $b_i$  modulo  $p'$ , only  $\lceil \frac{\ell}{pp'} \rceil < \frac{2\ell}{pp'}$  elements can belong to the same class of residues modulo  $p, p \neq p'$ . Therefore, these  $t_i$  elements of  $B$  belong to at least  $\lceil \frac{t_i pp'}{2\ell} \rceil$  different classes of residues modulo  $p$ .

To estimate from above the value of  $\sum_{i=1}^{p-1} |B(i, p)|^2$  in the left-hand side in (7) we have taken the worst case scenario where the number of different classes of residues modulo  $p$  is the smallest possible. For a given  $|B|$ , this case occurs when each class of residues contains the maximum possible number of elements. Thus, the number of classes is at least  $\lceil \frac{t_1 pp'}{2\ell} \rceil$  and each class can include the following number of elements of  $B$ : less than  $\frac{2\ell r}{pp'}$  elements in  $\lceil \frac{t_r pp'}{2\ell} \rceil$  classes,  $\frac{2\ell(r-1)}{pp'}$  elements in  $\lceil \frac{t_{r-1} pp'}{2\ell} \rceil - \lceil \frac{t_r pp'}{2\ell} \rceil$  classes,  $\dots$ , and  $\frac{2\ell}{pp'}$  elements in  $\lceil \frac{t_1 pp'}{2\ell} \rceil - \lceil \frac{t_2 pp'}{2\ell} \rceil$  classes. (Recall that  $|B| = \sum_{i=1}^r t_i$  is being given.) Using these values we can estimate

$$\begin{aligned} \sum_{i=1}^{p-1} |B(i, p)|^2 &\leq \left( \frac{2\ell r}{pp'} \right)^2 \left\lceil \frac{t_r pp'}{2\ell} \right\rceil + \left( \frac{2\ell(r-1)}{pp'} \right)^2 \left( \left\lceil \frac{t_{r-1} pp'}{2\ell} \right\rceil - \left\lceil \frac{t_r pp'}{2\ell} \right\rceil \right) \\ &\quad + \dots + \left( \frac{2\ell}{pp'} \right)^2 \left( \left\lceil \frac{t_1 pp'}{2\ell} \right\rceil - \left\lceil \frac{t_2 pp'}{2\ell} \right\rceil \right) - |B(0, p)|^2 \\ &= \left( \frac{2\ell}{pp'} \right)^2 \left( \left\lceil \frac{t_r pp'}{2\ell} \right\rceil (2r-1) + \left\lceil \frac{t_{r-1} pp'}{2\ell} \right\rceil (2r-3) \right. \\ &\quad \left. + \dots + \left\lceil \frac{t_1 pp'}{2\ell} \right\rceil \cdot 1 \right) - |B(0, p)|^2 \end{aligned}$$

$$\begin{aligned}
 &\leq \left(\frac{2\ell}{pp'}\right)^2 \left(\frac{t_r pp'}{2\ell}(2r-1) + \frac{t_{r-1} pp'}{2\ell}(2r-3) \right. \\
 &\quad \left. + \dots + \frac{t_1 pp'}{2\ell} + r^2\right) - |B(0, p)|^2 \\
 &\leq \left(\frac{2\ell r}{pp'}\right)^2 \cdot \frac{|B|}{r} \cdot \frac{pp'}{2\ell} + \left(\frac{2\ell r}{pp'}\right)^2 - |B(0, p)|^2 \\
 &= \frac{2\ell r |B|}{pp'} \left(1 + \frac{2\ell r}{|B| pp'} - \frac{pp' |B(0, p)|^2}{2\ell r |B|}\right)
 \end{aligned}$$

and, taking into account (10) and that  $|B| > \frac{4r\ell}{pp'}$ , we continue

$$\begin{aligned}
 \sum_{i=1}^{p-1} |B(i, p)|^2 &\leq \frac{|B|^3}{8c_5 p \log \ell} \left(1 + \frac{1}{2} - \frac{2|B(0, p)|^2}{|B|^2}\right) \\
 &= \frac{(\sum_{i=1}^{p-1} |B(i, p)|)^3}{8c_5 p \log \ell} \cdot \frac{\frac{3}{2} - 2\alpha^2}{(1 - \alpha)^3},
 \end{aligned}$$

where  $\alpha = \frac{|B(0, p)|}{|B|}$ . To prove now the validity of (7) for  $p$  it is sufficient to show that  $\frac{\frac{3}{2} - 2\alpha^2}{(1 - \alpha)^3} \leq 8$ . It is easy to see that the function in the left-hand side of this inequality increases with  $\alpha$  for  $\alpha < \frac{2}{3}$  and, therefore, the inequality holds true for  $\alpha \leq \frac{1}{2}$ . Indeed, since the number of elements in one class of residues modulo  $p$  cannot exceed  $\frac{2\ell r}{pp'}$  and  $|B| > \frac{4\ell r}{pp'}$ ,  $\alpha = \frac{|B(0, p)|}{|B|} \leq \frac{1}{2}$  that concludes the proof.  $\square$

*The complexity.* — Step 1 checks the divisibility of elements  $a_i$  by different prime numbers  $p$ . Since  $a_i \leq \ell$ , the number of prime divisors of  $a_i$  cannot be more than  $\log_2 \ell$ . Therefore, the overall number of occurrences where some  $p$  divides some element of  $A$  is  $O(m \log m)$ . In order to estimate the number of occurrences where some  $p$  does not divide some element of  $A$  we need to investigate each part of Step 1 separately.

In Step 1(b), in the worst case, we may find  $Q$  elements not divisible by  $p$  while verifying this number  $p$ . Since this part of Step 1 deals with prime numbers less than  $Q^{1/2}$ , the number of operations in Step 1(b) where some  $p$  does not divide some element of  $A$  is  $O(Q^{3/2}) = O((\frac{\ell}{m})^{3/2})$ . (Recall that  $Q \sim \frac{\ell}{m}$ .)

In step 1(c), again, no more than  $p$  elements not divisible by  $p$  may be found. Thus, the number of operations in Step 1(c) where some  $p$  does not divide some element of  $A$  is limited by  $O(Q^2) = O((\frac{\ell}{m})^2)$ . In fact, for  $m \leq \frac{\ell^{3/5}}{\log^{2/5} \ell}$  this estimate can be improved.

If the number of verified elements is sufficiently large ( $R'_p \geq (\frac{16c_5 r_p \ell \log \ell}{p})^{1/2}$ ) for some  $p$ , we are able to skip verification of some numbers according to Lemma 3.1. (The above "skipping" condition supersedes condition  $R'_p > \frac{4r_p \ell}{p^2}$  for  $p > \ell^{2/5}$  which ensures that the next number to be verified is less than  $p$ .)

Let us analyze this situation. The worst scenario (from a complexity point of view) occurs when we do not reach the "skipping" condition during verification. Thus, the number of operations in Step 1(c) where some  $p$  does not divide some element of  $A$

is limited by

$$\sum_{p=\lceil Q^{1/2} \rceil}^{\lfloor \ell^{2/5} \rfloor} p + \sum_{p=\lfloor \ell^{2/5} \rfloor + 1}^{\lfloor Q \rfloor} \left( \frac{16c_5 r_p \ell \log \ell}{p} \right)^{1/2} = O \left( \int_{Q^{1/2}}^{\ell^{2/5}} x dx + \int_{\ell^{2/5}}^Q \frac{(\ell \log \ell)^{1/2}}{x^{1/4}} dx \right).$$

Here we took into consideration the first condition in (9) which implies  $r_p \leq 2p^{1/2}$ . By keeping after integration only the most significant term in each integral, we obtain complexity

$$(11) \quad O(\ell^{1/2} Q^{3/4} \log^{1/2} \ell) = O \left( \frac{\ell^{5/4} \log^{1/2} \ell}{m^{3/4}} \right).$$

This estimate is obtained assuming  $p > \ell^{2/5}$ . Observe that  $p$  can be greater than  $\ell^{2/5}$  only for  $m \leq \ell^{3/5}$  since  $p \leq Q \sim \frac{\ell}{m}$ . Comparing (11) with the first estimate  $- O((\frac{\ell}{m})^2)$  - one can see that (11) improves it for  $m \leq \frac{\ell^{3/5}}{\log^{2/5} \ell}$ .

Combining the results for sub-steps 1(b) and 1(c), one can get the overall complexity of the process that verifies divisibility of elements of  $A$ :

$$(12) \quad O \left( m \log m + \min \left\{ \left( \frac{\ell}{m} \right)^2, \frac{\ell^{5/4} \log^{1/2} \ell}{m^{3/4}} \right\} \right).$$

This estimate also holds true for the overall complexity of the algorithm, since in the worst scenario both steps 1(d) and 2 have complexity  $O(m)$ .

In conclusion, the only thing that remains is to analyze the above expression (12). The second term dominates for  $m \leq \ell^{2/3} \log^{1/3} \ell$ . It is equal to  $O(\frac{\ell^{5/4} \log^{1/2} \ell}{m^{3/4}})$  for  $m \leq \frac{\ell^{3/5}}{\log^{2/5} \ell}$  and  $O((\frac{\ell}{m})^2)$  otherwise. This improves the algorithms from [9] and [11] for low density ( $m \leq \frac{\ell^{3/5}}{\log^{2/5} \ell}$ ). In the worst case ( $m \sim (\ell \log \ell)^{1/2}$ ) time is  $O(m^{7/4} / \log^{3/4} m)$ .

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