1 Dual Antiplatelet Therapy vs Alteplase for Patients with Minor Nondisabling

2 Acute Ischemic Stroke: The ARAMIS Randomized Clinical Trial

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47 Key Points

- 48 Question Is dual antiplatelet therapy noninferior to intravenous thrombolysis in patients with minor
- 49 nondisabling acute ischemic stroke?
- 50 Findings In this noninferiority randomized clinical trial that included 760 participants, excellent
- 51 neurologic function at 90 days (modified Rankin Scale score of 0 or 1) occurred in 93.8% of those
- 52 randomized to dual antiplatelet therapy and 91.4% of those randomized to receive intravenous alteplase,
- a difference that met the prespecified noninferiority margin of -4.5 percentage points.
- 54 Meaning Among patients with minor nondisabling acute ischemic stroke, dual antiplatelet therapy,
- 55 compared with intravenous alteplase, met the criteria for noninferiority with regard to excellent
- 56 functional outcome at 90 days.
- 57

58 Abstract

59 IMPORTANCE Intravenous thrombolysis is increasingly used in minor stroke patients, but its benefit

60 in patients with minor nondisabling stroke is unknown.

61 **OBJECTIVE** To investigate whether dual antiplatelet therapy (DAPT) is noninferior to intravenous

62 thrombolysis among patients with minor nondisabling acute ischemic stroke.

63 **DESIGN, SETTING, PARTICIPANTS** This multicenter, open-label, blinded-endpoint, 64 noninferiority randomized clinical trial included 760 patients with acute minor nondisabling stroke 65 (National Institute of Health stroke scale (NIHSS) \leq 5, with \leq 1 point on the NIHSS in several key 66 single item scores). The trial was conducted at 38 hospitals in China from October 2018, through April 67 2022. The final follow-up was July 18, 2022.

INTERVENTIONS Eligible patients were randomly assigned within 4.5 hours of onset into DAPT group (n=393): clopidogrel: 300 mg on the first day, followed by 75 mg daily for 12±2 days, aspirin: 100 mg on the first day, followed by 100 mg daily for 12±2 days, afterwards, guideline-based antiplatelet treatment until 90 days, or alteplase group (n=367): intravenous alteplase (0.9 mg/kg; maximum dose 90 mg) followed by guideline-based antiplatelet treatment beginning 24 hours after alteplase.

MAIN OUTCOMES AND MEASURES The primary endpoint was excellent functional outcome, defined as a modified Rankin scale score of 0 or 1 at 90 days. The noninferiority of DAPT to alteplase was defined on the basis of a lower boundary of the one-sided 97.5% confidence interval of the risk difference equal to or larger than -4.5 percentage points (noninferiority margin), based on a full analysis set, which included all randomized participants with at least one efficacy evaluation regardless of treatment allocation. The 90-day endpoints were assessed in a blinded manner. A safety endpoint was symptomatic intracerebral hemorrhage up to 90 days.

RESULTS Among 760 eligible randomized patients (median [IQR] age, 64 [57-71] years; 223 women
[31.0%]; median [IQR] NIHSS score, 2 [1-3]), 719 (94.6%) completed the trial. At 90 days, 93.8%
(346/369) in the DAPT group and 91.4% (320/350) in the alteplase group had an excellent functional
outcome (risk difference, 2.4% [95% CI, -1.5%-6.2%]; crude relative risk, 1.38 [95% CI, 0.81-2.32].
The unadjusted lower limit of the one-sided 97.5% confidence interval was -1.5%, larger than the -4.5%
noninferiority margin (*P*=.0002 for noninferiority test). Symptomatic intracerebral hemorrhage at 90

- 87 days occurred in 0.3% (1/371) in the DAPT group and 0.9% (3/351) in the alteplase group.
- 88 CONCLUSIONS AND RELEVANCE Among patients with minor nondisabling stroke presenting
- 89 within 4.5 hours of symptom onset, dual antiplatelet treatment was noninferior to intravenous alteplase
- 90 with regard to excellent functional outcome at 90 days.
- 91 TRIAL REGISTRATION Clinical Trials.gov Identifier: NCT03661411.
- 92

93 Introduction

Current guidelines recommend intravenous alteplase for patients with acute ischemic stroke (AIS) 94 presenting within 4.5 hours of symptom onset.¹⁻³ Minor stroke, defined as a National Institutes of 95 96 Health Stroke Scale (NIHSS) score ≤ 5 , accounted for about half of AIS patients in 2016 (50.0%)⁴ and 2019 (46.9%).⁵ but the evidence in support of intravenous thrombolysis for these patients has 97 98 remained inconclusive.^{6,7} The Effect of Alteplase vs Aspirin on Functional Outcome for Patients With 99 Acute Ischemic Stroke and Minor Nondisabling Neurologic Deficits (PRISMS) study compared 100 intravenous alteplase versus aspirin alone in patients with minor nondisabling deficits.⁷ The results 101 showed no significant difference in the 90-day functional outcomes between groups, but a higher rate 102 of symptomatic intracerebral hemorrhage (sICH) in the alteplase group.

The Clopidogrel and Aspirin in Acute Ischemic Stroke and High-Risk TIA (POINT) and Clopidogrel with Aspirin in Acute Minor Stroke or Transient Ischemic Attack (CHANCE) studies confirmed the efficacy and safety of dual antiplatelet treatment (DAPT) in patients presenting with minor stroke within 12 or 24 hours of symptom onset, respectively.^{8,9} The CHANCE study indicated that the benefit of reducing recurrent stroke with DAPT would be most effective within the first 2 weeks.¹⁰

108 In this context, it is possible that a 2-week course of DAPT could have a similar efficacy to 109 intravenous alteplase on 90-day functional outcomes in patients presenting with minor nondisabling 110 stroke. We conducted the Antiplatelet vs. R-tPA for Acute Mild Ischemic Stroke (ARAMIS) study to 111 determine whether DAPT would be noninferior to intravenous alteplase with respect to efficacy and 112 have less hemorrhagic events in AIS patients presenting with nondisabling deficits within 4.5 hours of 113 symptom onset.

114

115 Methods

116 Study Design

We conducted a multicenter, randomized, open-label, blinded-endpoint assessment, noninferiority trial
to assess the efficacy and safety of DAPT compared with intravenous alteplase in patients presenting
with minor stroke and nondisabling deficits within 4.5 hours of symptom onset.

120 The protocol, which has been published¹¹ and available in the Supplement 1, was approved by the 121 ethics committees of all participating sites. Both the final protocol and statistical analysis plan 122 (Supplement 2) were completed on May 6, 2020. Signed informed consent was obtained from patients 123 or their authorized representatives. The investigators vouch for the completeness and accuracy of the 124 data, for the adherence to the trial protocol, and for the accurate reporting of adverse events.

125 The trial was conducted at 38 hospitals (Supplement 3 eAppendix 1) in China. On-site and online 126 training were provided before and during the study to ensure protocol compliance. A Steering 127 Committee met monthly to oversee the trial. An independent Data Monitoring Committee (DMC) 128 regularly reviewed safety data (Supplement 3 eAppendix 2). An independent clinical research 129 organization (Liaoning Zhongshuang Medical Technology Co., Ltd.) monitored the trial for quality 130 control.

131 **Participants**

132 Patients were eligible if they were 18 years of age or older; had an acute ischemic stroke with a NIHSS 133 (range 0 to 42, with higher scores indicating greater stroke severity) \leq 5, with \leq 1 point on the NIHSS 134 in single item scores such as vision, language, neglect, or single limb weakness and a score of 0 in the 135 consciousness item at the time of randomization; computed tomography or magnetic resonance 136 imaging was performed on admission to identify patients with ischemic stroke; study treatment could 137 be started within 4.5 hours of stroke symptoms. Exclusion criteria were pre-stroke disability (modified 138 Rankin Scale [mRS] scores ≥ 2 ; range 0 [no symptoms] to 6 [death]), history of intracerebral 139 hemorrhage, or definite indication for anticoagulation. All investigators were trained with regards to 140 adjudicating a pre-stroke deficit as non-disabling by consultation with patients and their available 141 family members based on the patient's career and hobbies, to adjudicate whether the neurologic deficit 142 would affect his/her activities of daily living and work. Detailed inclusion and exclusion criteria are in 143 Supplement 3 eMethods.

144

Randomization and Masking

145 Eligible patients were randomly assigned in a 1:1 ratio to receive DAPT or intravenous alteplase, with 146 the simple randomization method without blocking schema through a computer-generated random 147 sequence via a central web-based program at http://aramis.medsci.cn (Shanghai Meisi Medical 148 Technology Co., Ltd.). The study team members were unblinded to the treatment allocation. Trained 149 assessors, who determined 90-day outcomes, were unaware of the treatment group assignments.

150 Procedures Patients were randomly assigned to the alteplase group (according to guidelines¹⁻³: 0.9 mg/kg [10% as a bolus, 90% infused over 1 hour] to a maximum of 90 mg, followed by guideline-based antiplatelet treatment beginning 24 hours after intravenous thrombolysis) or DAPT group (clopidogrel: a loading dose of 300 mg on the first day, followed by 75 mg per day for 12±2 days; aspirin: 100 mg on the first day, followed by 100 mg daily for 12±2 days; afterwards, single or dual antiplatelet based on guidelines until 90 days).

157 Outcomes

158 The primary outcome was an excellent functional outcome at 90 days, defined as mRS score of 0 to 1.

The secondary outcomes were favorable functional outcome (mRS 0 to 2) at 90 days, change in NIHSS at 24 hours, early neurological improvement at 24 hours defined as a decrease of 2 or more points in NIHSS, early neurological deterioration at 24 hours defined as an increase of 2 or more points in the NIHSS but not as a result of cerebral hemorrhage, new stroke or other vascular events at 90 days, 90-day all-cause mortality, and ordinal shift of the mRS score at 90 days.

164 The safety outcomes were sICH and any bleeding event during the study. sICH was defined as 165 evidence of bleeding on head CT associated with neurological deterioration (NIHSS \geq 4 point 166 increase).

167 Clinical assessments were performed at baseline, 24 hours, 7 days, 12 days (or hospital discharge if 168 earlier), and at 90 days after randomization. The baseline and follow-up NIHSS were evaluated by the 169 same neurologist. Follow-up at 90 days was done in person or by telephone (if in person was not 170 possible), by a certified staff member in each center who was unaware of the treatment assignment. To 171 ensure validity and reproducibility of the evaluation, a training course was held for all investigators. 172 Central adjudication of clinical outcomes and adverse events was done by trained physicians unaware 173 of patient treatment assignment (Supplement 3 eMethods).

174 Sample Size Calculation

Power calculations were based on the estimated treatment effects of a binary assessment of excellent functional outcomes at 90 days. Sample size assumptions were amended in May 2020 based on new registry information regarding the expected excellent functional outcome rate in the thrombolytic group and on recognition that the initial sample size calculations had inadvertently been based on a superiority design. In the Intravenous Thrombolysis Registry for Chinese Ischemic Stroke within 4.5 180 hours of Onset (INTRECIS) study,¹² the proportion of patients with excellent functional outcomes in 181 minor acute stroke treated with alteplase was estimated to be 87%. Based on the PRISMS trial,⁷ we 182 assumed that the proportion of patients with excellent functional outcomes was 89.5% in the DAPT 183 group. We estimated that a sample size of 666 would provide 80% power (at a one-sided alpha level of 184 (0.025) to test the hypothesis that the proportion of patients with excellent functional outcomes in the 185 DAPT group would be noninferior to the alteplase group with a lower boundary of the one-sided 97.5% 186 confidence interval of the risk difference equal to or larger than -4.5 percentage points. The choice of 187 the noninferiority margin of -4.5 percentage points was based on the Third International Stroke Trial 188 (IST-3), where subgroup analysis showed a 9% absolute difference in the proportion of favorable 189 outcome in patients with minor stroke who were treated with intravenous alteplase compared to 190 standard medical treatment.¹³ We contended that preserving at least 50% of the alteplase treatment 191 effect observed in the IST-3 trial would be clinically meaningful considering the convenience, cost and 192 safety of DAPT vs alteplase. Therefore, -4.5 percentage points was used as noninferiority margin in 193 this trial. Assuming a 12% attrition rate, the sample size was 757, and rounded to 760 participants.

194 Statistical analysis

195 Statistical analyses were performed on a full analysis set, which included all randomized participants 196 with at least one efficacy evaluation according to the group they were originally assigned. A 197 generalized linear model (GLM) with binomial distribution and identity link function was performed 198 for the primary outcome, generating a risk difference between DAPT or intravenous alteplase treatment 199 with the two-sided 95% CI (equivalent to the one-sided 97.5% CI). A risk ratio with their 95% CI was 200 also calculated using GLMs. In sensitivity analyses, missing values in the primary outcome were 201 imputed using the last observation carried forward, the worst-case scenario, and best-case scenario 202 approaches. An interim analysis was planned after 50% of patients had completed follow-up, but was 203 not performed due to no safety concerns after discussion of the steering committee with the DMC 204 (Supplement 2). Other secondary outcomes were analyzed similarly.

The 90-day mRS score was compared using ordinal logistic regression via GLM with treatment effect presented as OR with 95% CI. A GLM was also used to compare changes in log (NIHSS score+1) between admission and 24 hours, and a geometric mean ratio with 95% CI was calculated between the DAPT and alteplase groups. Time-to-event outcomes of stroke and other vascular events were 209 compared using Cox regression models, and the corresponding treatment effects are presented as 210 hazard ratios (HR) with 95% CI. The proportionality assumption was tested by including a 211 time-treatment interaction in the Cox model.

The primary analyses of the primary and secondary outcomes were unadjusted. A death event was equivalent to a mRS score of 6. Covariate adjusted GLM analyses were performed for all outcomes, adjusting for seven prespecified factors: age, sex, diabetes, baseline NIHSS, time from symptom onset to treatment, location of responsible vessel, and stroke etiology. The degree of vascular stenosis was not included as an adjustment covariate as originally prespecified because missingness exceeded 30%. In addition, for sensitivity analysis of the primary outcome, prespecified factors plus crossover as a post hoc covariate adjusted analysis was performed with the same method.

219 Subgroup analysis of the primary outcome was performed using GLM with identity link function on 220 eight prespecified subgroups (age [<65 years or ≥ 65 years], history of diabetes [present or not present], 221 time from symptom onset to treatment [≤ 2 hours or >2 hours], location of index vessel [anterior 222 circulation or posterior circulation], sex [female or male], NIHSS score at randomization [0 to 3 or 4 to 223 5], and stroke etiology (large-artery atherosclerosis, cardioembolic, small-artery occlusion, other 224 determined cause, and undetermined cause) and degree of vascular stenosis (<50% vs. ≥50%). Detailed 225 statistical analyses are described in the statistical analysis plan (Supplement 2). In addition, large artery 226 occlusion [yes or no]) as a post hoc subgroup analysis was also performed with the same method. 227 Assessment of the homogeneity of treatment effect by a subgroup variable was conducted by a GLM 228 model with the treatment, subgroup variable, and their interaction term as independent variables, and 229 the *P* value was presented for the interaction term.

230 The primary analysis was based on a full analysis set population, defined as all patients with valid 231 informed consent regardless of whether they prematurely discontinue treatment or are otherwise 232 protocol violators, which did not include patients lost to follow-up or withdrawn. Per-protocol (PP) and 233 as treated (AT) analyses for the primary and secondary outcomes were performed with same methods. 234 The safety population, which consisted of all randomized patients who received at least one dose of the 235 study drug and didn't withdraw consents was used for the analysis of adverse events. Complete 236 definitions of all analytic populations are shown in Supplement 2. For the secondary outcomes, a 237 2-sided P value of less than .05 was considered statistically significant. Because of the potential for inflating the type I error due to multiple comparisons, the findings from subgroup and secondary
outcome analyses should be interpreted as exploratory. SAS (version 9.4; SAS Institute), SPSS (version
23; IBM Corporation), and R (version 4.1.0; R Development Core Team, www.r-project.org) software
were used for the statistical analyses.

242

243 **Results**

244 Trial Population

245 Between October 1, 2018, and April 18, 2022, 835 patients were screened, and 760 were randomly 246 assigned to the DAPT (393 patients) or alteplase (367 patients) group after excluding 75 patients (60 247 ineligible by inclusion criteria and 15 excluded due to no randomization outcome). After 37 (5.0%) 248 patients were further excluded (20 withdrew consent due to patient decision, and 17 patients were 249 withdrawn due to clinical reasons), the full analysis set population included 719 patients (369 in the 250 DAPT group, 350 in the alteplase group, Figure 1). Due to a total of 147 patients who had a protocol 251 violation, which involved 87 patients in the DAPT group crossing over to the alteplase group, and 60 252 patients in the alteplase group crossing over to the DAPT group, 574 patients in the PP population (283 253 in DAPT group, 291 in alteplase group) and 723 in the AT population (344 in DAPT group, 379 in 254 alteplase group) were included (Figure 1 and Supplement 3 eFigure 1). The trial was completed in July 255 2022.

The treatment groups were well balanced with respect to baseline patient characteristics in the full analysis set (Table 1), PP (Supplement 3 eTable 1) and AT (Supplement 3 eTable 2) populations. The median age of the patients was 64 years (interquartile range, IQR, 57 to 71), and 223 patients (31.0%) were women. The median NIHSS (IQR) was 2 (1 to 3). The median time (IQR) from stroke onset to treatment was 182 minutes (133 to 230) in the DAPT group and 180 minutes (126 to 225) in the alteplase group. There were 241 (33.7%) patients with missing vessel imaging data. The detailed antiplatelet treatment after hospital discharge was shown in eTable 3 in Supplement 3.

263 Primary Outcome

For the primary outcome, the proportion of patients with mRS scores of 0 or 1 at 90 days was 93.8% (346/369) in the DAPT group and 91.4% (320/350) in the alteplase group. In the full analysis set, the risk difference of having an excellent outcome at 90 days was 2.3% (unadjusted 95% CI -1.5% to 6.2%;

267 P=0.0002 for noninferiority; adjusted 95% CI -1.6% to 6.1%; Table 2, Figure 2). The PP analysis 268 (Supplement 3 eFigure 2 and eTable 4) and AT analysis (Supplement 3 eFigure 3 and eTable 5) yielded 269 similar results. Similar RD results were observed in the last observation carried forward, worst-case 270 scenario, and best-case scenario sensitivity analyses (Supplement 3 eTable 6). DAPT was shown 271 noninferior to intravenous alteplase because the lower boundary of the two-sided 95% (one-sided 272 97.5%) confidence interval was greater than the prespecified value of -4.5% (Supplement 3 eTable 7). 273 Furthermore, there was no effect of crossovers on the noninferiority result in the primary outcome 274 (Supplement 3 eTable 8). Subgroup analysis in the full analysis set, PP, and AT analysis are presented 275 in Figure 3 and Supplement 3 eFigure 4 and eFigure 5, respectively. There was no treatment 276 heterogeneity in the absolute risk of having a primary outcome across these subgroups.

277 Secondary Outcomes

For secondary outcomes, the results in both the unadjusted and adjusted full analysis set populations are shown in Table 2. In the full analysis set, no significant differences between groups were found in secondary outcomes, except that less patients had early neurological deterioration at 24 hours in the DAPT group (unadjusted RD -4.5%, 95% CI -8.2% to -0.8%; adjusted RD -4.6%, 95% CI -8.3% to -0.9%; Table 2). In the PP and AT analysis, similar results were obtained, but a lower risk of early neurological improvement was observed in the DAPT group (Supplement 3 eTable 4 and eTable 5).

284 Adverse Events

Analyses of adverse events were based on the safety population. One patient experienced sICH and six patients experienced other bleeding events in the DAPT group, while three patients experienced sICH and nineteen patients experienced other bleeding events in the alteplase group (Table 2, Supplement 3 eTable 4 and eTable 5). The detailed intracerebral hemorrhage data were shown in eTable 9 in Supplement3.

290

291 Discussion

This randomized trial showed that among patients with nondisabling minor acute ischemic stroke, DAPT was noninferior to intravenous alteplase when administered within 4.5 hours of stroke onset for the primary outcome of excellent functional outcome at 90 days. More early neurological deterioration and bleeding events occurred in the alteplase group. There were no significant differences between the two groups regarding other secondary outcomes and subgroup analysis.

297 The PRISMS study was the first randomized, multicenter trial to investigate the effect of intravenous 298 alteplase versus single antiplatelet in patients presenting with acute minor ischemic stroke, 7 but the trial 299 was inconclusive due to early study termination. Based on this result, intravenous alteplase is not 300 recommended for minor nondisabling stroke according to current guidelines.^{1,2} However, subgroup 301 analysis of patients with minor ischemic stroke showed the superiority of intravenous alteplase 302 compared to standard medical treatment in the IST-3 randomized trial.¹³ Furthermore, there was an 303 increasing proportion of these patients receiving thrombolytic therapy in routine clinical practice,^{14,15} 304 although the ratio of minor nondisabling vs disabling stroke was uncertain. As it can be challenging for 305 stroke physicians to decide whether to give intravenous alteplase in patients with minor nondisabling 306 stroke, it was important to investigate whether intravenous alteplase should be administered for minor 307 nondisabling stroke.

308 The ARAMIS study was the first study to attempt to address this issue with a strategy different from 309 PRISMS.⁷ A combination of aspirin plus clopidogrel (a loading dose of 300 mg) was administered for 310 12 ± 2 days, followed by guideline-based antiplatelet treatment until 90 days in our trial, whereas 311 aspirin 325 mg alone was used for 90 days in the PRISMS study. The choice of DAPT was based on 312 the CHANCE⁸ and POINT⁹ studies, which demonstrated the superiority of DAPT to aspirin alone in 313 acute minor stroke. The 12 ± 2 days of DAPT was based on the CHANCE trial suggesting that the benefit of DAPT was offset by the potential risk of bleeding events approximately at the 10th day.¹⁰ 314 315 Collectively, this trial demonstrated that short-term DAPT (12 ± 2 days) initiated in patients presenting 316 within 4.5 hours of a nondisabling minor stroke, had noninferior efficacy to intravenous alteplase on 317 90-day functional outcomes with less bleeding risk. In this trial, the proportion of patients with 318 excellent functional outcome (91.5% vs 93.7%) was higher than that achieved in PRISMS (78.2% vs 319 81.5%),⁷ which may partially be attributed to the different proportion of Asian patients (100% vs 0.3%, 320 respectively), differing comorbidities or vascular risk factor profile. Moreover, two studies reported a 321 comparable proportion of excellent outcome among Chinese patients with minor stroke (87%-89.4%).^{12,16} In addition, in the subgroup with NIHSS >3, the point estimate for the primary 322 323 outcome excellent functional outcome favored the alteplase group over the DAPT group, although this 324 was not statistically significant. The potential benefit of alteplase in this population warrants further 325 investigation.

326 In the secondary outcomes, compared with DAPT, there was more early neurological deterioration 327 (9.1%) in patients receiving alteplase, which was comparable to a recent study that reported 13.3% 328 early neurological deterioration in Chinese patients with mild stroke after intravenous alteplase.¹⁷ This 329 could be related to thrombus progression or stroke reoccurrence due to the lack of an antithrombotic 330 treatment effect within 24 hours after alteplase, considering its short half-life. In contrast, greater early 331 neurologic recovery was found in the alteplase vs DAPT group in the per-protocol analysis and as 332 treated analyses, but this effect was lost in the full analysis set. The lost effect may be due to more 333 DAPT crossover patients in the alteplase group vs alteplase crossover patients to the DAPT group in 334 the full analysis set, which may have weakened the potential benefit of alteplase on early neurological 335 improvement. Collectively, these results may suggest the possible benefit of alteplase on early 336 neurological improvement. There were no significant differences between groups in the other 337 secondary outcomes such as recurrent stroke. Given the benefit of DAPT in minor stroke, ^{8,9} we 338 contend that the lack of effect on recurrent stroke may be attributed to the relatively small sample and 339 low rate of recurrent stroke in this population. The lack of an a priori plan for multiple comparisons of 340 secondary outcomes precludes firm conclusions and these findings should be interpreted with caution. 341 For the safety outcomes, compared with the DAPT group, there were numerically more sICH and

significantly more bleeding events in the alteplase group, which was expected given the known higher
rate of hemorrhage with alteplase. The 0.9% rate of sICH with alteplase in this study was comparable
to other studies of Chinese patients with minor stroke who were treated with alteplase (0-1.0%).^{18,19}

The strengths of this randomized trial were its large sample size, multicenter recruitment, and dual antiplatelet strategy, which enhances the generalizability of the results. Age, sex, medical history, onset of symptom to treatment time and presumed stroke cause in the trial were similar to routine clinical practice.¹² The results were confirmed in various sensitivity analyses. This finding, along with better safety outcomes, provides robust evidence for the effectiveness of DAPT being noninferior to intravenous alteplase in patients with minor nondisabling acute ischemic stroke.

351 Limitations

This study had several limitations. First, the non-inferiority design of the trial may be a main limitation due to DAPT as a standard treatment in this target population according to the current

354 guidelines^{1,2} which were published after patient enrollment began for this trial. The 2018 AHA/ASA 355 guideline and the 2020 Chinese Stroke Association Guidelines stated that in patients with mild 356 non-disabling AIS within 3 hours of symptom onset, intravenous alteplase may be considered.^{3,20} 357 However, in this target population of patients with minor non-disabling stroke, the uncertain benefit of 358 DAPT on 90-day mRS.^{8,9} inconclusive evidence of intravenous alteplase⁷ and increasing proportion of 359 patients receiving alteplase^{14,15} render the current non-inferiority design important to inform the best 360 treatment. Second, there was a high crossover rate (20.4%) in this trial, which may have compromised 361 the integrity of the recruitment and consent process, and clinical equipoise. However, the 362 demonstration of the noninferiority of DAPT to intravenous alteplase was robust given the concordance 363 of findings by the full analysis set, PP and AT analyses, and various sensitivity analyses. Third, the lack 364 of vessel imaging data in some patients makes the subgroup analysis of etiology (large artery 365 atherosclerosis vs small artery occlusion) and large artery occlusion (yes vs no) less powerful, because 366 prior studies showed the possible benefit of alteplase or tenecteplase in mild stroke patients with large 367 artery atherosclerosis or large artery occlusion,²¹⁻²³ which will be further assessed in the TEMPO-2 trial 368 (NCT02398656) comparing tenecteplase vs standard of care in minor stroke patients with a confirmed 369 large vessel occlusion. Fourth, this trial was an open-label design; blinded endpoint evaluations were 370 used to reduce bias in the assessment of the primary endpoint. For secondary endpoints, the neurologist 371 who was unblinded to the treatment assessment conducted the early neurological assessment, which 372 may have led to assessment bias for the early neurological outcomes. Fifth, patients with possible 373 cardioembolism were excluded and a lower proportion of women were enrolled in this trial, which may 374 affect the generalizability of the findings from this study. Sixth, high rates of the primary endpoint in 375 the DAPT and alteplase groups may have created a ceiling effect that limited the opportunity for either 376 agent to show superiority to the other one. Seventh, further confirmation of the findings outside China 377 may be needed, given the differences in etiology of ischemic stroke in other populations.

378 Conclusions

Among patients presenting with minor nondisabling acute ischemic stroke within 4.5 hours of
 symptom onset, dual antiplatelet treatment was noninferior to intravenous alteplase with regard to
 excellent functional outcome at 90 days.

383 Author Contributions

- 384 HSC had full access to all of the data in the study and takes responsibility for the integrity of the data
- and the accuracy of the data analysis.
- 386 Concept and design: HSC.
- 387 Acquisition, analysis, or interpretation of data: All authors.
- 388 Drafting of the manuscript: All authors.
- 389 Critical revision of the manuscript for important intellectual content: HSC.
- 390 Statistical analysis: YC and DLW.
- 391 Administrative, technical, or material support: All authors.
- 392 Supervision: HSC.
- 393
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- 402

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408	from the national insurance system. The funders of the study had no role in the study design and
409	conduct of the study; collection; management, analysis, and interpretation of the data; preparation,
410	review, or approval of the manuscript; and decision to submit the manuscript for publication. The
411	corresponding author had access to all data in the study and had overall responsibility for the decision
412	to submit for publication.
413	
414	Group Information
415	The ARAMIS Trial members from each participating center are listed in eAppendix 3 in Supplement 3
416	and the principal investigators are listed in Supplement 4.
417	
418	Data Sharing
419	See Supplement 5.
420	
421	Acknowledgments
422	We thank the investigators and research staff at the participating sites, members of the executive
423	committee, clinical research organization, trial steering and data monitoring committee (Supplement 3
424	eAppendix 2). We also thank the participants, their families, and their friends.
425	

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499 Figure Legends

Figure 1 Recruitment, Randomization, and Patient Flow in the ARAMIS Randomized Clinical Trial

502 This figure shows the overall patient flow in the trial, including the full analysis set population, the
503 per-protocol population, and as-treated population. APTT = Abnormal activated partial thromboplastin
504 time; MRI = Magnetic Resonance Imaging; NIHSS = National Institutes of Health Stroke Scale; SBP =
505 Systolic blood pressure.

^a Eligibility was assessed according to inclusion criteria by local trained neurologists. ^b The high crossover rate was attributed to consent misunderstanding or fluctuation of neurological deficit, which resulted in the crossover requested by patients or their authorized representatives, or as decided by investigators. The baseline characteristics in patients with crossover are shown in Supplement 3 eTable 10.

511

512 Figure 2 Distribution of Modified Rankin Scale Scores at 90 Days in the Full Analysis Set

The raw distribution of scores is shown. Scores ranged from 0 to 6. 0 = no symptoms, 1 = symptoms
without clinically significant disability, 2 = slight disability, 3 = moderate disability, 4 = moderately
severe disability, 5 = severe disability, and 6 = death. DAPT = dual antiplatelet.

516

517 Figure 3 Primary Outcome by Prespecified Subgroups in the Full Analysis Set

The primary outcome was a modified Rankin Scale score of 0 to 1 at 90 days. For subcategories, black squares represent point estimates (with the area of the square proportional to the number of events) and horizontal lines represent the 95% CI. NIHSS scores range from 0 to 42, with higher scores indicating more severe neurological deficits. CI = confidence interval; DAPT = dual antiplatelet; mRS = modified Rankin Scale; NIHSS = National Institutes of Health Stroke Scale.

Baseline characteristics	Dual Antiplatelet	Alteplase	
	Treatment	(N=350)	
	(N=369)		
Age, years	65 (57-71)	64 (56-71)	
Sex			
Male	256 (69.5%)	240 (68.6%)	
Female	113 (30.6%)	110 (31.4%)	
Current smoker ^a	122 (33.1%)	118 (33.7%)	
Current drinker ^a	59 (16.0%)	56 (16.0%)	
Medical history			
Hypertension	211 (57.2%)	169 (48.3%)	
Diabetes mellitus	101 (27.4%)	86 (24.6%)	
Prior ischemic stroke ^b	82 (22.2%)	77 (22.0%)	
Prior transient ischemic attack	4 (1.1%)	2 (0.6%)	
Time from onset of symptom to assigned treatment, min	182 (134-230)	180 (127-225)	
Time from onset to hospital discharge, day	8 (6-11)	8 (6-10)	
Median INR at randomization	1.00 (0.94-1.05)	0.98 (0.93-1.04)	
>1.2	5/358 (1.4)	4/344 (1.2)	
Median APTT at randomization, second	31.8 (27.2-36.3)	31.9 (27.4-35.7)	
>43.5	15 (4.1)	13 (3.7)	
Median systolic blood pressure at randomization, mm Hg	150 (137-166)	151 (139-162)	
>140	245 (66.4)	242 (69.1)	
Median diastolic blood pressure at randomization, mm Hg	88 (81-95)	88 (80-95)	
>90	142 (38.5)	132 (37.7)	
Median blood glucose level at randomization, mmol/liter	6.3 (5.4-8.3)	6.4 (5.4-8.1)	
>7.0	112/316 (35.4)	121/314 (38.5)	
NIHSS score at randomization ^c	2 (1-3)	2 (1-3)	

524 Table 1. Baseline Characteristics in the Full Analysis Set.

NIHSS score of 0 at randomization	27 (7%)	29 (8%)
Estimated pre-stroke function (mRS)		
No symptoms (score 0)	275 (74.5%)	256 (73.1%)
Symptoms without any disability (score 1)	94 (25.5%)	94 (26.9%)
Presumed stroke cause ^d		
Undetermined cause	225 (61.0%)	221 (63.1%)
Small-artery occlusion	87 (23.6%)	79 (22.6%)
Large-artery atherosclerosis	54 (14.6%)	46 (13.1%)
Other determined cause	2 (0.5%)	3 (0.9%)
Cardioembolic	1 (0.3%)	1 (0.3%)
Location of responsible vessel ^e		
Anterior circulation	283 (76.7%)	279 (79.7%)
Posterior circulation	83 (22.5%)	70 (20.0%)
Anterior and posterior circulation	3 (0.8%)	1 (0.3%)
Degree of responsible vessel stenosis ^f		
Mild (< 50%)	191/246 (77.6%)	185/232 (79.7%)
Moderate (50%-69%)	21/246 (8.5%)	15/232 (6.5%)
Severe (70%-99%)	14/246 (5.7%)	16/232 (6.9%)
Occlusion (100%)	20/246 (8.1%)	16/232 (6.9%)

525 Data are n/N (%) or median (IQR). APTT = activated partial thromboplastin time. DAPT = dual

526 antiplatelet treatment. INR = international normalized ratio. IQR = interquartile range. NIHSS =

527 National Institutes of Health Stroke Scale. mRS = modified Rankin Scale.

528 ^aCurrent smokers smoke at least 1 cigarette per day within one year before the onset of the disease.

529 Current drinkers consume alcohol at least once a week within one year before the onset of the disease

and consume alcohol continuously for more than one year.

531 ^b Referring only to patients with premorbid mRS ≤ 1 .

532 °Patients with NIHSS scores less than or equal to 5 were eligible for this study; NIHSS scores range

from 0 to 42, with higher scores indicating more severe neurological deficit.

^d The presumed stroke cause was classified according to the "Trial of Org 10172 in the Acute Stroke

- 535 Treatment (TOAST)" classification system.
- ^e The classification was defined according to the anatomical location of responsible vessel based on the
- 537 patient's clinical presentation and neuroimaging, which refers to the clinical features of the
- 538 "Oxfordshire Community Stroke Project (OCSP)" classification system.
- 539 ^fThe degree of stenosis was determined by cerebral vessel examination. The diagnosis was based on
- 540 the clinician's interpretation of the clinical presentation and results of the investigations at the time of
- 541 hospital discharge.

542	Table 2. Trial Outcomes in the Full Analysis Set and Safety Population.	

	Dual Antiplatelet			Unadjusted		Adjusted ^a	
Outcome	Treatment	(N=350)	Treatment	Treatment	P Value	Treatment	P Value
	(N=369)		Effect Metric	Difference (95% CI)		Difference (95% CI)	
Primary outcome (full ana	lysis set)						
mRS ^b score 0-1 within 90		222 (21 (21)	RD ^{c,d}	2.3% (-1.5% to 6.2%)	<.001	2.3% (-1.6% to 6.1%)	<.001
days	346 (93.8%)	320 (91.4%)	RR °	1.38 (0.81 to 2.32)	.23	1.36 (0.80 to 2.30)	.22
Secondary outcomes (full a	nalysis set)						
mRS ^b score 0-2 within 90	254 (25 22()	334 (95.4%)	RD °	0.5% (-2.5% to 3.5%)	.74	0.5% (-3.5% to 2.5%)	.83
days	lays 354 (95.9%)		RR °	1.12 (0.56 to 2.24)	.74	1.12 (0.56 to 2.25)	.64
mRS ^b score distribution			OR °	1.16 (0.83 to 1.61)	.39	1.11 (0.80 to 1.55)	.51
within 90 days			OK	1.10 (0.85 to 1.01)	.39	1.11 (0.80 to 1.55)	.91
Early neurological			RD °	-4.1% (-9.8% to 1.7%)	.16	-3.1% (-8.7% to 2.4%)	.27
improvement within 24	62 (16.8%)	74 (21.1%) RR °	DD ¢	0.05 (0.80 + 1.02)	17	0.84 (0.62 + 1.14)	27
hours ^e			KK	0.95 (0.89 to 1.02)	.17	0.84 (0.62 to 1.14)	.27
Early neurological	17 (4 (0/)	22 (0.10/)	RD °	-4.5% (-8.2% to -0.8%)	.02	-4.6% (-8.3% to -0.9%)	.02
deterioration within 24	17 (4.6%)	32 (9.1%)	RR °	0.50 (0.29 to 0.89)	.02	0.50 (0.28 to 0.89)	.02

hours ^f							
Median change in NIHSS							
score at 24 hours from	0 (-0.41 to 0)	0 (-0.69 to 0)	GMR ^c	0.03 (-0.05 to 0.11)	.51	0.01 (-0.07 to 0.09)	.68
baseline ^g							
Stroke or other vascular	1 (0.3%)	2 (0.6%)	HR ^h	0.47 (0.04 to 5.20)	.54	0.46 (0.04 to 5.17)	.45
events within 90 days	1 (0.370)	2 (0.070)	IIK	0.47 (0.04 to 5.20)	.54	0.40 (0.04 to 5.17)	.43
Death at 90 days	2 (0.5%)	3 (0.9%)	RD °	-0.3% (-1.5% to 0.9%)	.61	-0.3% (-1.5% to 0.9%)	.49
Death at 90 days	2 (0.370)	5 (0.970)	RR °	0.63 (0.11 to 3.76)	.61	0.58 (0.10 to 3.51)	.49
Safety outcomes (safety po	pulation)						
Symptomatic intracerebral	1/271 (0.20/)	2/252 (0.00/)	RD °	-0.6 % (-1.7% to 0.5%)	.30	-2.4 % (-12.1% to 7.3%)	.63
hemorrhage ⁱ	1/371 (0.3%)	3/352 (0.9%)	RR °	0.32 (0.03 to 3.02)	.32	0.31 (0.03 to 2.99)	.36
A Li Li Li		10/252 (5.40/)	RD °	-3.8% (-6.5% to -1.1%)	.006	-3.6% (-6.4% to -0.7%)	.01
Any bleeding events ^j	6/371 (1.6%)	19/352 (5.4%)	RR °	0.30 (0.12 to 0.74)	.009	0.31 (0.12 to 0.76)	.01

543 Data are n/N (%) or median (IQR). CI = confidence interval; DAPT = dual antiplatelet treatment; GMR = geometric mean ratio; RR = risk ratio; RD = risk difference; OR =

odds ratio; HR= hazard ratio; mRS = modified Rankin Scale; NIHSS, National Institutes of Health Stroke Scale; IQR = interquartile range.

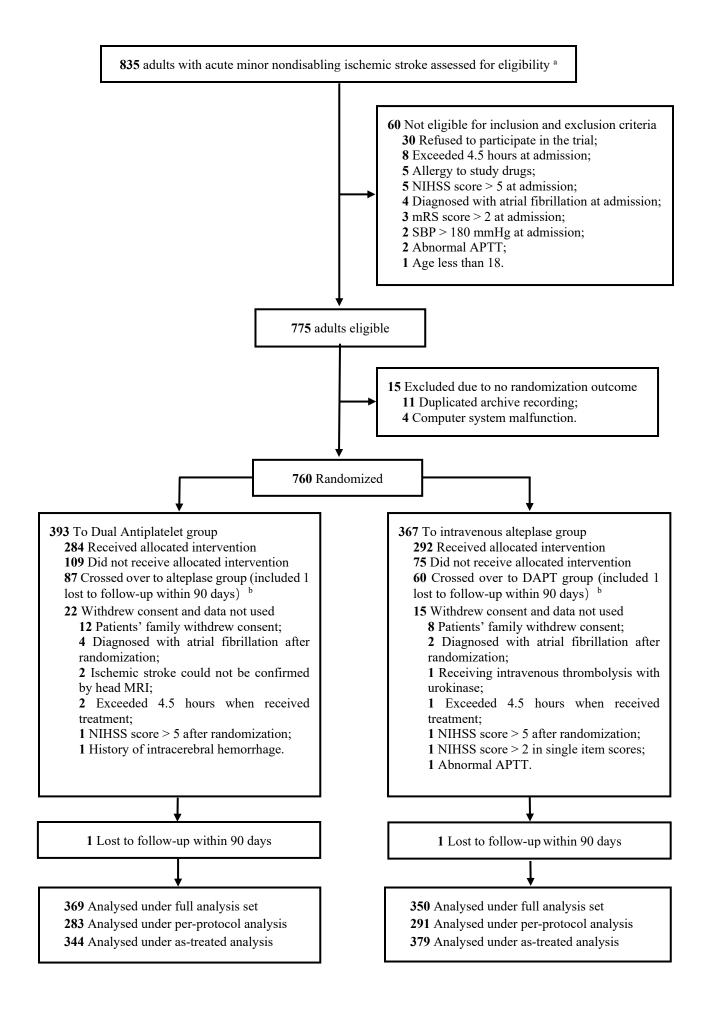
545 ^a Adjusted for pre-specified prognostic variables (age, sex, history of diabetes mellitus, NIHSS score at randomization, time from symptom onset to receive assigned

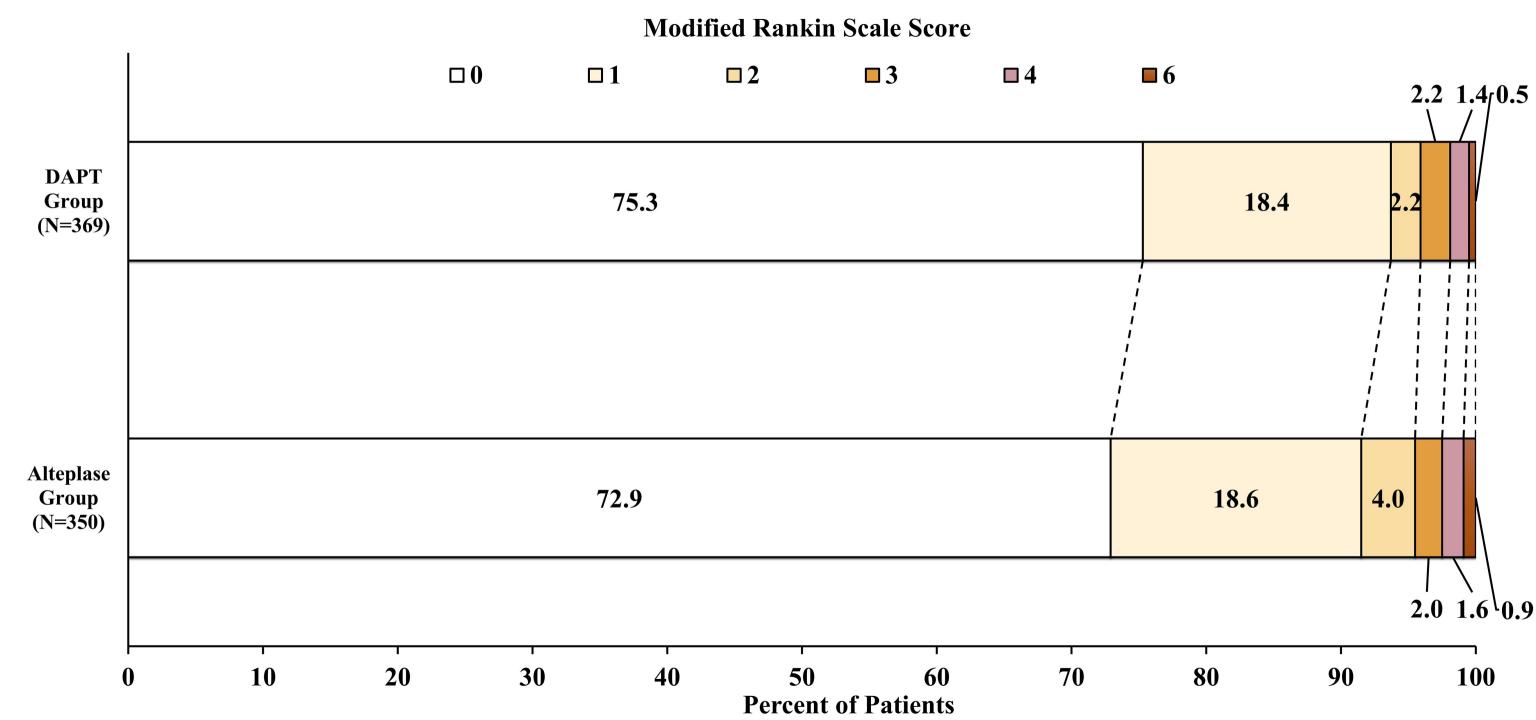
546 treatment, location of responsible vessel, and stroke etiology). The degree of vascular stenosis was planned in the covariate adjusted analyses but was excluded due to a large

547 proportion of missing values (see the Supplement 2).

^bmRS scores range from 0 to 6: 0, no symptoms, 1 = symptoms without clinically significant disability, 2 = slight disability, 3 = moderate disability, 4 = moderately severe

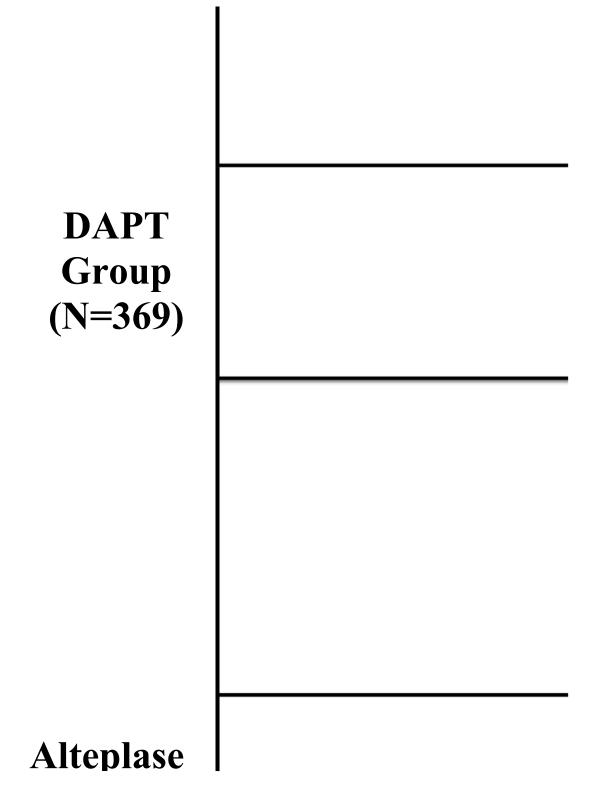
- 549 disability, 5 = severe disability; and 6 = death.
- ^c Calculated using a generalized linear model.
- 551 ^d Non-inferiority will be claimed if the lower limit of the one-sided 97.5% (two-sided 95%) confidence interval for the risk difference is above -4.5%. P values for
- noninferiority of the crude and adjusted analysis were presented, respectively.
- ^e Early neurological improvement was defined as a decrease between baseline and 24 hours score of \geq 2 on the NIHSS.
- ^f Early neurological deterioration was defined as an increase between baseline and 24 hours of ≥ 2 on the NIHSS, but not as a result of cerebral hemorrhage.
- ^g NIHSS scores range 0–42, with higher scores indicating greater stroke severity. The log (NIHSS+1) was analyzed using a generalized linear model.
- ^b Calculated using Cox regression model. No violation of hazard proportionality assumption was found and the P value for the interaction was 0.36.
- 557 ⁱ Symptomatic intracerebral hemorrhage was defined as any evidence of bleeding on the head CT scan associated with clinically significant neurological deterioration
- 558 (NIHSS score \geq 4 points increase).
- 559 ^j There were 1 patient with symptomatic intracerebral hemorrhage and 5 patients with gingival bleeding in the DAPT group. There were 1 patient with epistaxis, 1 patient
- 560 with asymptomatic intracerebral hemorrhage, 3 patients with symptomatic intracerebral hemorrhage and 14 patients with gingival bleeding in the alteplase group.

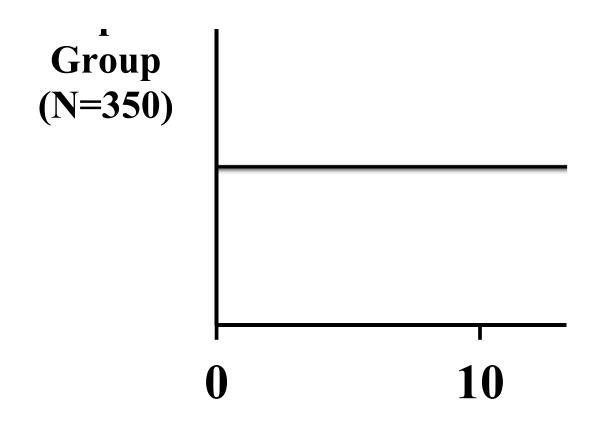




Alteplase Group (N=350)	72.9	18.6	4.0	2.0	1.6	0.9
DAPT Group (N=369)	75.3	18.4	2.2	2.2	1.4	0.5

0 1 2 3 4 6







[



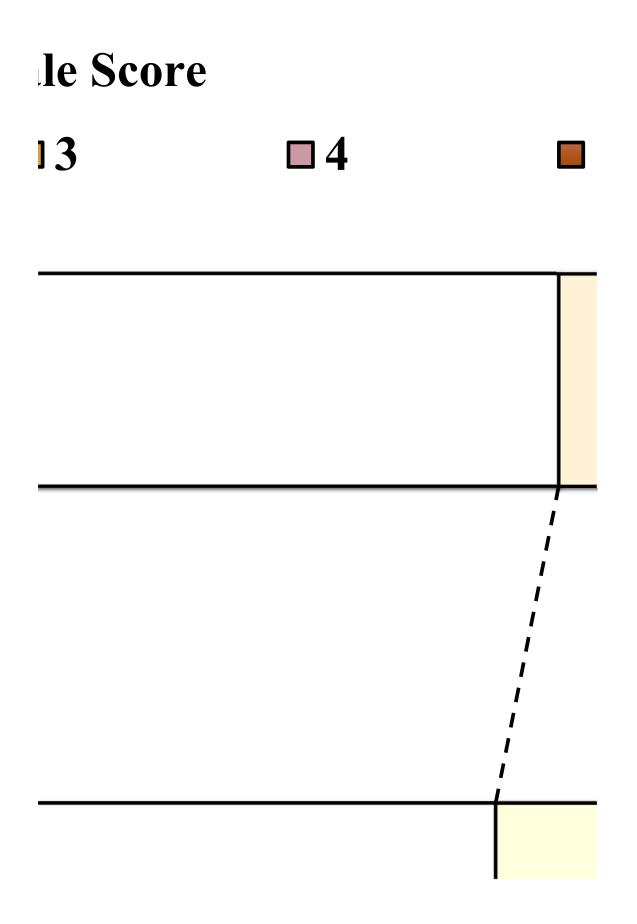
Modified Rankin Sca



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]1

40 50 Percent of P:

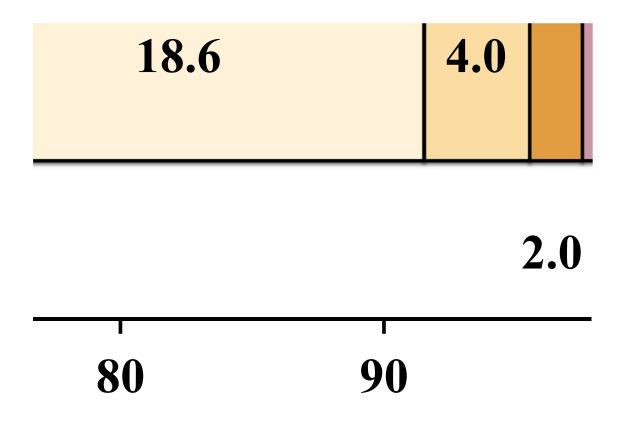






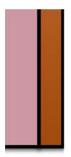
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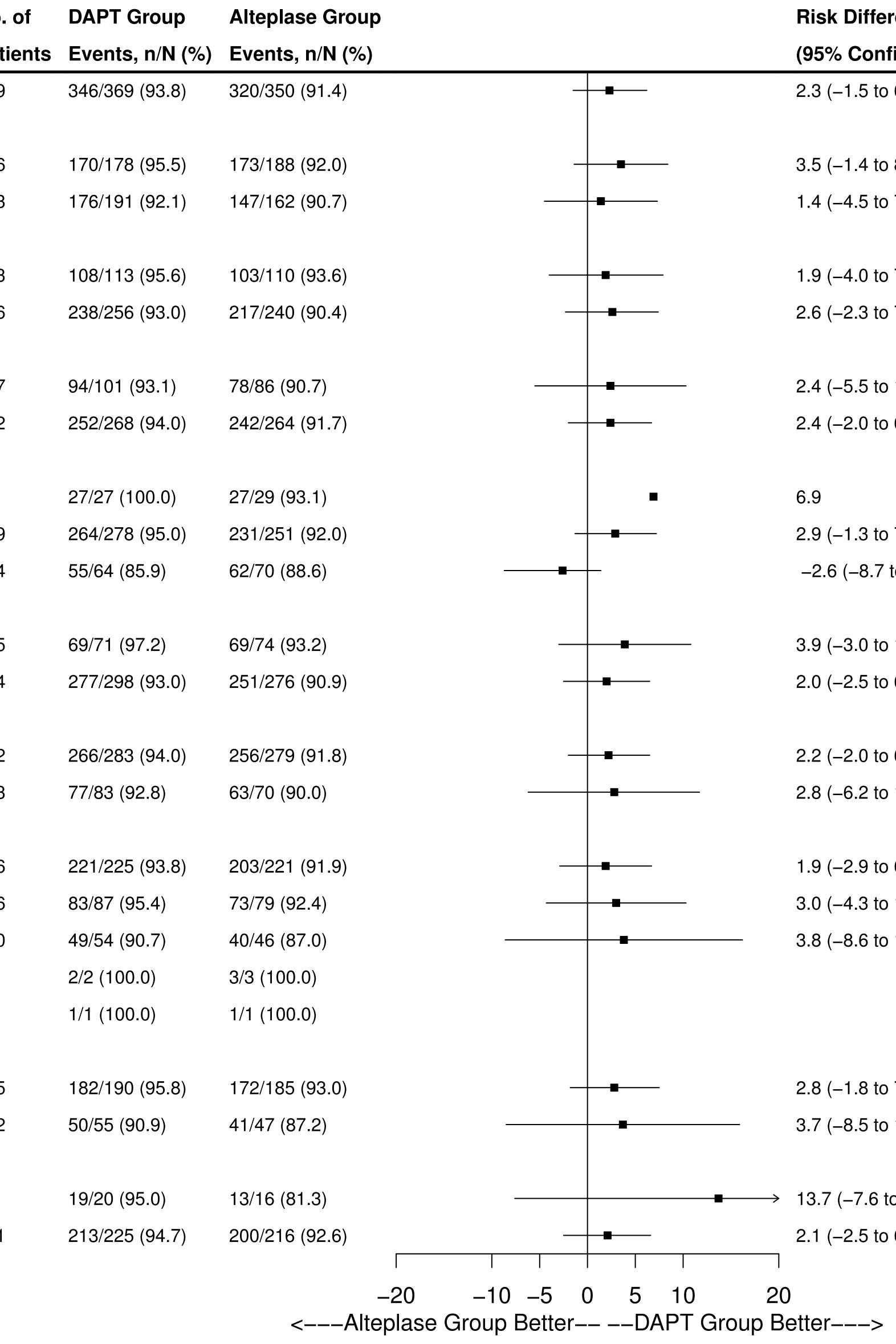




Subgroup	No. of	DAPT Group	Alteplase Group		Risk Difference	P Value
	Patients	Events, n/N (%)	Events, n/N (%)		(95% Confidence Interval)	for Interaction
Overall	719	346/369 (93.8)	320/350 (91.4)		2.3 (-1.5 to 6.2)	
Age (years)						.60
<65	366	170/178 (95.5)	173/188 (92.0)		3.5 (-1.4 to 8.4)	
≥65	353	176/191 (92.1)	147/162 (90.7)		1.4 (-4.5 to 7.3)	
Sex						.88
Female	223	108/113 (95.6)	103/110 (93.6)	_	1.9 (-4.0 to 7.9)	
Male	496	238/256 (93.0)	217/240 (90.4)		2.6 (-2.3 to 7.4)	
History of diabetes mellitus						.99
Yes	187	94/101 (93.1)	78/86 (90.7)	_	2.4 (-5.5 to 10.3)	
No	532	252/268 (94.0)	242/264 (91.7)	—	2.4 (-2.0 to 6.7)	
NIHSS score at admission						.33
0	56	27/27 (100.0)	27/29 (93.1)	-	6.9	
1-3	529	264/278 (95.0)	231/251 (92.0)		2.9 (-1.3 to 7.2)	
4-5	134	55/64 (85.9)	62/70 (88.6)	e	-2.6 (-8.7 to 1.4)	
Time from the onset of symptom to treatment (hours)						.65
≤2	145	69/71 (97.2)	69/74 (93.2)		3.9 (-3.0 to 10.8)	
>2	574	277/298 (93.0)	251/276 (90.9)		2.0 (-2.5 to 6.5)	
Location of responsible vessel						.92
Anterior circulation stroke	562	266/283 (94.0)	256/279 (91.8)		2.2 (-2.0 to 6.5)	
Posterior circulation stroke	153	77/83 (92.8)	63/70 (90.0)		2.8 (-6.2 to 11.7)	
Stroke etiology						.76
Undetermined cause	446	221/225 (93.8)	203/221 (91.9)		1.9 (-2.9 to 6.7)	
Small artery occlusion	166	83/87 (95.4)	73/79 (92.4)		3.0 (-4.3 to 10.3)	
Large artery arteriosclerosis	100	49/54 (90.7)	40/46 (87.0)		3.8 (-8.6 to 16.2)	
Other determined cause	5	2/2 (100.0)	3/3 (100.0)			
Cardioembolic	2	1/1 (100.0)	1/1 (100.0)			
Degree of reponsible vessel stenosis						.90
≤50%	375	182/190 (95.8)	172/185 (93.0)		2.8 (-1.8 to 7.5)	
>50%	102	50/55 (90.9)	41/47 (87.2)		3.7 (-8.5 to 15.9)	
Large artery occlusion						.30
Yes	36	19/20 (95.0)	13/16 (81.3)	_	13.7 (-7.6 to 35.1)	
No	441	213/225 (94.7)	200/216 (92.6)	_	2.1 (-2.5 to 6.6)	
					_	
			-20	-10 -5 0 5 10 2 Group BetterDAPT Group Be		

<---Alteplase Group Better-- --DAPT Group Better--->

Subgroup	
	Pat
Overall	719
Age (years)	
<65	366
=65	353
Sex	
Female	223
Male	496
History of diabetes mellitus	
Yes	187
No	532
NIHSS score at admission	
0	56
1–3	529
4–5	134
Time from the onset of symptom to treatment (hours)	
=2	145
>2	574
Location of responsible vessel	
Anterior circulation stroke	562
Posterior circulation stroke	153
Stroke etiology	
Undetermined cause	446
Small artery occlusion	166
Large artery arteriosclerosis	100
Other determined cause	5
Cardioembolic	2
Degree of reponsible vessel stenosis	
=50%	375
>50%	102
Large artery occlusion	
Yes	36
No	441



Risk Difference	e F	P Value
(95% Confiden	ce Interval) f	or Interaction
2.3 (–1.5 to 6.2)		
	_(60
3.5 (–1.4 to 8.4)		
1.4 (-4.5 to 7.3)		
	_	88
1.9 (-4.0 to 7.9)		
2.6 (-2.3 to 7.4)		
		99
2.4 (-5.5 to 10.3)		
2.4 (-2.0 to 6.7)		
	-	33
6.9		
2.9 (-1.3 to 7.2)		
-2.6 (-8.7 to 1.4)	
	_(65
3.9 (-3.0 to 10.8)		
2.0 (-2.5 to 6.5)		
		92
2.2 (–2.0 to 6.5)		
2.8 (-6.2 to 11.7)		
	-	76
1.9 (-2.9 to 6.7)		
3.0 (-4.3 to 10.3)		
3.8 (-8.6 to 16.2)		
	-	90
2.8 (–1.8 to 7.5)		
3.7 (-8.5 to 15.9)		••
		30
\rightarrow 13.7 (-7.6 to 35.1)	
2.1 (–2.5 to 6.6)		