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1	Anisotropic optical and magnetic response in self-assembled TiN-CoFe2 nanocomposites
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16	Abstract:
17	Transition metal nitrides (e.g., TiN) have shown tremendous promise in optical metamaterials
18	for nanophotonic devices due to their plasmonic properties comparable to noble metals and
19	superior high temperature stability. Vertically aligned nanocomposites (VANs) offer a great
20	platform for combining two dissimilar functional materials with a one-step deposition
21	technique toward multifunctionality integration and strong structural/property anisotropy. Here
22	we report a two-phase nanocomposite design combining ferromagnetic CoFe2 nanosheets in
23	the plasmonic TiN matrix as a new hybrid plasmonic metamaterial. The hybrid metamaterials
24	exhibit obvious anisotropic optical and magnetic responses, as well as a pronounced magneto-
25	optical coupling response evidenced by MOKE measurement, owing to the novel vertically
26	aligned structure. This work demonstrates a new TiN-based metamaterial with anisotropic
27	properties and multi-functionality towards optical switchable spintronics, magnetic sensors and
28	integrated optics.

30	Key Words: Vertically aligned nanocomposites; Tunable microstructure; Hyperbolic
31	metamaterial; Magnetic anisotropy; Magneto-optical coupling
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55 Introduction

56 Optical metamaterials consist of artificially designed nanostructured materials which generate unique optical properties that are not exhibited in natural materials. These novel 57 58 optical properties, including negative refractive index[1,2], near-zero-index[3], and hyperbolic 59 dispersion[4,5], originate from the confinement of light propagation in nanostructured 60 materials. Noble metals (e.g., Au and Ag) are widely used in forming nanostructured plasmonic 61 metamaterials due to their strong surface plasmon resonance. However, plasmonic metals 62 exhibit high optical loss in the visible to near-infrared (vis-NIR) region due to inter-band and 63 intra-band transitions[6]. In addition, other challenges in terms of fabrication and integration, 64 as well as permittivity tuning of plasmonic metamaterials, greatly hinder their performances 65 and applications[7]. Therefore, seeking alternative plasmonic materials is necessary to 66 overcome these drawbacks. Transition metal nitrides (e.g. TiN, TaN, ZrN, etc) are promising 67 candidates for plasmonic applications because of their metallic response in most optical wavelengths and high structural stability. Tailoring stoichiometry in nitrides allows tuning of 68 69 optical properties easily[8]. Considering the fabrication and integration challenges of metals, 70 transition metal nitrides are compatible with the complementary metal-oxide-semiconductor 71 (CMOS) process and can be easily integrated on various substrates (e.g., MgO, STO, Si, sapphire)[9,10]. Besides, the high mechanical strength and high melting temperature enable 72 73 them to be promising materials for future high temperature plasmonic applications[11,12].

With the development of nanofabrication techniques, various nanostructured nitrides have been demonstrated for plasmonic applications, e.g., periodic array of TiN nanoparticles[13], nanoantennas[14], nanotrenchs[15], and nanorings[16]. The integration of TiN with dielectric materials (e.g., AlN, MgO) into a multilayer structure has been explored exhibiting hyperbolic dispersion[17,18]. However, most of these TiN-based metamaterials rely on high-cost fabrication techniques, including e-beam lithography and focused ion-beam 80 process. Compared to the conventional fabrication methods, a cost-effective self-assembly approach to growing hybrid materials into a vertically aligned nanocomposite (VANs) form 81 82 has recently attracted tremendous interest. Multiple two-phase nitride-metal 83 nanocomposites[19-21], such as TaN-Au, TiN-Au, and TiN-Ag, have been successfully 84 demonstrated in VANs with enhanced surface plasmon resonance and nonlinear optical responses. Taking advantage of these simple self-assembly VAN platforms, other nitride-based 85 86 nanostructures can be further derived by additional processes. For example, TiN nanohole arrays have been achieved by selectively etching away the Au nanopillars from TiN-Au 87 88 VANs[22], and a 3D plasmonic framework is formed by alternating TiN-Au and TaN-Au layers[23]. Most of the prior demonstrations have been focusing on nitride-metal[19–21] or 89 90 oxide-metal[24-29] systems as hybrid metamaterials.

91 Another opportunity brought by the designs of VANs is integrating different 92 functionalities in one material system. Functional materials are a large material family with a 93 wide selection of properties, such as plasmonic (e.g., Au[7]), superconductivity (e.g., 94 ferromagnetic (e.g., $YBa_2Cu_3O_{7-\delta}[30]),$ $CoFe_2O_4[31],$ $MnFe_2O_4[32]$, CoFe₂[33]), 95 BaTiO₃[34]), and multiferroicity (e.g., ferroelectricity (e.g., $BiFeO_3[35]$) etc. Multifunctionality, as well as coupling effects, have been demonstrated in oxide-based VANs, 96 97 such as magneto-electric coupling [36–38] and magneto-optic coupling effects [25,39,40]. On 98 the other hand, most of the previous nitride-based VANs focus on incorporating plasmonic 99 metal nanopillars towards optical tunability. Therefore, incorporating a secondary functional 100 phase into the nitride matrix could enormously broaden the nitride VAN designs with other 101 functionality. However, such nitride-based nanocomposite demonstration is very limited due 102 to the challenges in material design incompatibility and differences in growth parameters 103 required for the different phases.

104 In this work, we report a new hybrid metamaterial system of TiN-based nanocomposite with ferromagnetic CoFe₂ in the VAN form with strong anisotropic optical and magnetic 105 106 properties. Here, ferromagnetic CoFe₂ nanopillars were formed by reducing CoFe₂O₄ in the 107 target material during the high vacuum condition required by TiN growth. The TiN-CoFe₂ 108 VANs are expected to exhibit optical and magnetic anisotropy as well as potential magneto-109 optical coupling. Laser frequency is varied to be 2 Hz and 10 Hz to effectively tune the 110 dimension of CoFe₂ pillars. This demonstration shows the potential of designing new nitridebased VAN systems with multifunctionalities, property coupling, as well as effective property 111 112 tunability.

113

114 **Results and Discussion**

115 The microstructure of TiN-CoFe₂ nanocomposites thin films was first characterized by 116 XRD analysis using θ -2 θ scans as shown in Fig. S1. An obvious out-of-plane of TiN (002), 117 and MgO (002) can be clearly observed in the 2 Hz and 10 Hz deposited samples. The peak at the right side of the substrate MgO peak (2θ =43.254°) can be identified as CoFe₂ (011). The 118 out-of-plane d-spacing of CoFe2 (011) was determined by 2.09 Å which is in 3.5% strain 119 compared to its bulk value 2.02 Å. To reveal the detailed chemical distribution and 2-phase 120 121 morphology in the system, a scanning transmission electron microscopy (STEM) analysis 122 along with energy-dispersive X-ray spectroscopy (EDS) mapping was conducted on the samples in both cross-section and plan-view directions. As shown in the cross-sectional (Fig. 123 124 1b) and plan-view (Fig. 1d) STEM images, the two-phase nanocomposites exhibit a nanosheetin-matrix structure. In the STEM images taken using the high angle annular dark field 125 126 (HAADF) detector, the contrast is proportional to the atomic number (i.e., $\sim Z^{1.7}$) [41–43]. 127 Therefore, the brighter nanosheet region is related to the higher atomic number of Co (Z = 26) and Fe (Z = 27), compared to TiN (averaged Z = 14.5). The EDS maps in Fig. 1e further 128

129 confirm the formation of ultrathin nanosheets made of Co and Fe, perpendicularly embedded 130 in the TiN matrix. Furthermore, two high-resolution STEM images with higher magnifications 131 from one of the nanosheet regions from the cross-sectional and plan-view images are shown in 132 Fig. 1c and Fig. 1f respectively. Based on the lattices measured and symmetry identified, it 133 determines that the nanosheets in the view area are metallic $CoFe_2$ with a body-center-cubic 134 (bcc) structure and a lattice constant of 2.86 Å, and furthermore form an epitaxy with the TiN 135 matrix.

136 Of additional interest is that the "pillars" shape is rectangular in-plane (shown in plan-137 view images Fig 1d-f), i.e., thin sheet-like nanopillars, which is very different from the circular 138 shape in the previously reported TiN-based VAN structures [20,21,44,45]. To understand the 139 mechanism behind the nanosheet formation, we also investigated the stain states around the 140 nanosheet using geometric phase analysis (GPA) based on a high-resolution STEM (HRSTEM) image. The color maps, ε_{yy} (Fig. 1g) and ε_{xx} (Fig. 1f), are generated with x 141 142 direction perpendicular to the plate, and y direction parallel to the plate The strain maps are 143 calculated with respect to the lattice plane spacings in the TiN matrix, with a displayed strain 144 ranging from -30% to +30%. A high compressive strain exists in the nanosheet area (i.e., white 145 contrast area) in the ε_{xx} mapping, but a relatively neutral strain (i.e., green contrast) in the nanosheet area in the ε_{yy} . This suggests the higher strain in the x-direction (normal to plate of 146 the pillars) than that in the y-direction (parallel to plate of the pillars). This anisotropic in-plane 147 148 strain can also explain the anisotropic rectangular shapes of the nanosheets observed which is 149 to minimize the overall strain energy in the entire system, i.e., the longer edges present minimal 150 strain (lattice-matched with the TiN matrix), while the shorter edges present large compressive 151 strain to the TiN matrix. The anisotropic in-plane strain can also be confirmed by the intensity 152 line profiles (Fig. S2 b1-b4) extracted from the plan-view HRSTEM image (Fig. 1f). Along the x-direction, the d-spacing of TiN and CoFe₂ phase are 2.12 Å and 1.43 Å separately which 153

154 corresponds with a relatively large lattice strain of 32%. The lattice strain is relatively small 155 along the y-direction because the two phases have comparable d-spacing (2.12 Å for TiN and 156 2.02 Å for CoFe₂). The in-plane orientation relationships can be identified as 157 $CoFe_2[100]//TiN[200]$ and $CoFe_2[011]//TiN[020]$.

158 To further investigate the chemical compositions of the TiN-CoFe₂ nanocomposites, 159 we conducted X-ray photoelectron spectroscopy (XPS) depth-profiling measurements by 160 alternately sputtering material off the surface and measuring the freshly exposed surface with 161 XPS. Before any sputtering, the surface shows that Fe, Ti, and Co are mostly in the oxide phase, 162 which is expected for films having been exposed to air. It is interesting to note that, as shown in Fig. S3 c-d, after sputtering off the adventitious carbon and oxygen the metallic CoFe₂ is 163 164 revealed to be the dominant species. However, it is noted that the small oxygen peaks remain 165 below the top surface of the nanocomposite sample, which suggests the Co-O and Fe-O bonds 166 remain in the nanocomposites. This is likely due to Co and Fe bonding to oxygen atoms at the 167 nanosheet/matrix interfaces. The XPS shows the co-exitance of TiO_xN_y and TiN peaks in Ti 168 2p spectra in the matrix, indicating minor oxidation of TiN.

The microstructure tunability was realized by tuning laser frequency from 2 Hz to 10 169 170 Hz as shown in Fig. 2b and Fig. 2d. Since the CoFe₂ nanosheets have a rectangular-shape cross area, we analyzed the length distribution of the short edge and long edge respectively and 171 172 plotted them in Fig. 2c and Fig. 2f. As laser frequency increases, the dimension of CoFe₂ 173 nanosheets decreases significantly. The average dimension of CoFe₂ sheets in the 2 Hz sample 174 is $7.39nm \times 30.61nm$, while it decreases to $3.14nm \times 16.73nm$ by increasing the laser frequency to 10 Hz. The tunability in dimension can be explained by the shorter adatoms 175 176 diffusion time during the deposition process with higher laser frequency. Besides laser 177 frequency, the temperature-dependent study provides another approach to effectively tune the 178 microstructure of TiN-CoFe₂ thin films (Fig. S4). Clearly, as the temperature increases from 179 500 °C to 700 °C, the CoFe₂ nanosheet dimensions increase from $1.45nm \times 4.69nm$ to 180 $7.39nm \times 30.61nm$.

181

182 The optical properties of the two-phase TiN-CoFe₂ nanocomposites were characterized 183 by spectroscopic ellipsometry. The ellipsometry data ψ and Δ were collected on both 2 Hz and 184 10 Hz TiN-CoFe₂ films, and compared with two reference samples, i.e., a pure TiN film and a 185 pure CoFe₂O₄ film. It is noted the reference single phase CoFe₂O₄ film was grown under 186 vacuum condition, which may also have partial reduction and form CoFe₂ phase. To retrieve 187 the dielectric permittivity, the data were fitted by applying general oscillator models. The real part of the dielectric permittivity (Fig. 3a) confirms pure TiN is metallic ($\epsilon' < 0$) and pure 188 $CoFe_2O_4$ film is dielectric ($\epsilon' > 0$) for most wavelengths. Due to the anisotropic nature of two-189 phase VAN films, the permittivity of VAN films is fitted and plotted along out-of-plane (z $_{\perp}$) 190 191 in solid lines and in-plane ($\epsilon_{||}$) in dashed lines, respectively. It is clear that the out-of-plane real permittivity(ϵ_{\perp}) of both 2 Hz and 10 Hz samples is positive but very close to 0 throughout all 192 the measured wavelengths, while in-plane real permittivity $(\epsilon_{||})$ exhibits negative values 193 194 throughout. The opposite sign in optical permittivity demonstrates a large Type-II hyperbolic dispersion regime (i.e., $\epsilon > 0$ and $\epsilon_{||} < 0$) as the shaded area in Fig. 3a). Considering the 195 196 metallic CoFe₂, it is possible that the CoFe₂ phase could also contribute to the overall negative 197 permittivity in-plane. The remaining small amount of oxygen containing phase at the surface and partial oxidation of TiN matrix near interface could both contribute to the overall positive 198 permittivity out-of-plane. Note that the 10 Hz sample shows a larger negative value of $\epsilon_{||}$ 199 compared to the 2 Hz one, suggesting that higher density of the nanopillars and more vertical 200 201 interfaces present stronger optical anisotropy in the system. Fig. 3b plots the imaginary 202 permittivity as a function of wavelength. Both the 2 Hz and 10 Hz samples show small optical 203 losses along the out-of-plane direction because of their more dielectric nature compared to the204 TiN alone.

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206 To further study the magnetic behavior of TiN-CoFe₂ nanocomposites, magnetization 207 (M) vs. magnetic field (H) was measured in in-plane and out-of-plane directions at room 208 temperature using Quantum Design SQUID-VSM. As shown in Fig. 4a, the out-of-plane hysteresis loops of 2Hz sample exhibits a saturation magnetization $M_{s\perp} = 329 \ emu/cm^3$, 209 and coercivity $H_{c_{\perp}} = 1235 \, Oe$, while the magnetization and coercivity along in-plane 210 direction are only $M_{s||} = 257 \ emu/cm^3$ and $H_{c||} = 708 \ Oe$ respectively. The wider out-of-211 212 plane loop suggests a strong magnetic anisotropy with an out-of-plane easy axis, which result 213 from the perpendicular elongated shape of CoFe₂(O) nanosheets. The magnetic behavior of 10Hz sample shows a similar trend, with smaller coercivity $H_{c_{\perp}} = 771 \ Oe$ and $H_{c_{\parallel}} = 367 \ Oe$ 214 (Fig. 4b). This can be explained by the smaller dimension of CoFe₂ nanosheets. Considering 215 216 the possibility of coupling effect between plasmonic TiN and ferromagnetic CoFe₂, it is worth 217 to study their magneto-optical (MO) coupling properties. Magneto Optic Kerr Effect (MOKE) 218 measurements were conducted on both 2Hz and 10Hz samples under room temperature and 219 the results are plotted in Fig. 4c-f. Polar MOKE was measured with a normal incident laser (220 $\lambda = 632.8 \text{ nm}$) and out-of-plane magnetic field, while a 30° laser and in-plane magnetic field 221 were induced in longitudinal MOKE configuration. The obtained MOKE M-H loops indicate 222 that a magneto-optical (MO) coupling effect presents in both two samples. The results show a similar trend with that characterized by VSM, i.e., very strong perpendicular magnetic 223 224 anisotropy and tunable coercivity comparing the 2Hz and 10Hz samples. Furthermore, the 225 10Hz sample shows a larger Kerr rotation signal compared to the 2Hz sample, in both out-of-226 plane and in-plane directions. This can be explained by the enhanced coupling introduced by

the higher density of TiN-CoFe₂ interfaces in the 10Hz sample compared to that in the 2Hz
sample.

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230 The overall characteristics of the new TiN-CoFe₂ hybrid metamaterial are the 231 anisotropic CoFe₂ nanosheets formed in the TiN matrix, high epitaxial film quality, anisotropic 232 optical and magnetic properties, as well as unique magneto-optical coupling properties. 233 Compared to the TiN-Au, TiN-Ag, and TaN-Au systems previously demonstrated[19-21], 234 TiN-CoFe₂ opens up the potential of highly anisotropic metamaterials designs, i.e., nonmagnetic vs. strong magnetic. The unique magneto-optical coupling demonstrated at the TiN 235 236 and CoFe₂ interfaces also presents opportunities in future designs of optical switchable 237 spintronic devices and magnetic data storage devices. One of the major challenges is the 238 interdiffusion between the oxide and the nitride in the system and the resultant reduction of the 239 oxide phase. Selecting more stable oxides and minimizing interdiffusion by controlling the 240 growth conditions will be critical for future exploration of nitride-oxide hybrid systems. 241 Additional tunability can be achieved by other material combinations, novel three-phase 242 system designs, and multilayer stacks of nitride-oxide VAN with other systems for integrated 243 functionalities.

244

245 Conclusion

In summary, we have demonstrated self-assembled $TiN-CoFe_2$ nanocomposite thin films by using the one-step PLD technique. Microstructure characterizations by STEM and XPS show ultrathin nanosheets with metallic $CoFe_2$ phase growing vertically aligned in the TiN matrix. Both the deposition frequency study (2Hz, 10Hz) and temperature-dependent studies suggest effective tunability in the microstructure of the secondary phase as well as the resultant properties. Ellipsometry measurements reveal that the samples exhibit a Type-II 252 hyperbolic dispersion in most optical wavelengths considering the highly anisotropic 253 morphology of the TiN vertically aligned matrix. 254 oxides between the TiN matrix and the CoFe₂ nanosheets. The magnetic hysteresis loops reveal obvious magnetic anisotropy owing to the highly aligned CoFe₂ nanosheets. The well-255 256 integrated plasmonic and ferromagnetic materials in the hybrid system enable a strong 257 magneto-optical coupling effect at the pillar-matrix interfaces. This new nitride-based 258 metamaterial design demonstrated in this study may provide new routes for processing of 259 nitride-metal based nanocomposites with enhanced anisotropic structural and physical 260 properties, towards future photonic devices and optical switchable spintronic devices.

262 Acknowledgement

This work was supported by the U.S. National Science Foundation DMR-2016453 and DMR-263 264 1565822 (VAN thin film growth and High-resolution STEM work, respectively). The work at University of Nebraska was supported by the U.S. National Science Foundation through 265 266 EPSCoR RII Track-1: Emergent Quantum Materials and Technologies (EQUATE), Award No. 267 OIA-2044049. The work at Los Alamos National Laboratory was supported by the NNSA's 268 Laboratory Directed Research and Development Program and was performed, in part, at the 269 Center for Integrated Nanotechnologies, an Office of Science User Facility operated for the 270 U.S. Department of Energy Office of Science. Los Alamos National Laboratory, an affirmative 271 action equal opportunity employer, is managed by Triad National Security, LLC for the U.S. 272 Department of Energy's NNSA, under contract 89233218CNA000001. The microscopy work 273 was partially supported by the Laboratory Directed Research and Development program at 274 Sandia National Laboratories. Sandia National Laboratories is a multi-mission laboratory managed and operated by National Technology and Engineering Solutions of Sandia, LLC., a 275 276 wholly owned subsidiary of Honeywell International, Inc., for the U.S. Department of Energy's

National Nuclear Security Administration under Contract No. DE-NA0003525. This paper
describes objective technical results and analysis. Any subjective views or opinions that might
be expressed in the paper do not necessarily represent the views of the U.S. Department of
Energy or the United States Government.

281

282 Methods

283

284 **Thin film growth**

The TiN-CoFe₂O₄ target consists of pie-shape CoFe₂O₄ and TiN targets. The nanocomposite thin films were deposited on MgO (001) substrates in Neocera pulsed laser deposition chamber with a KrF excimer laser (Lambda Physik Compex Pro 205, $\lambda = 248$ nm). The substrates were heated and maintained at 700 °C during deposition and a high vacuum condition (2.0 × 10⁻⁶ mbar) was kept during deposition and cooling process.

290 Structural characterization

291 X-ray Diffraction

292 The $\theta/2\theta$ XRD scans were measured by a Panalytical X'Pert X-ray diffractometer with 293 a Cu K α l radiation source ($\lambda = 0.15406$ nm).

294 Transmission Electron Microscopy

Transmission electron microscopy (TEM) and scanning transmission electron microscopy (STEM) (under a high angle annular dark field mode (HAADF)) were taken on a Thermo Fisher Scientific (FEI) Talos F200X system with a point-to-point resolution of 1.6 Å. High-resolution STEM images were collected on an aberration-corrected FEI Titan microscope equipped with a high-brightness Schottky field emission electron source operated at 300 kV. A FEI TitanTM G2 80-200 STEM with a Cs probe corrector and ChemiSTEMTM technology (X-FEGTM and SuperXTM EDS with four windowless silicon drift detectors) operated at 200

kV was used for HAADF-STEM imaging and EDS analysis. A Digital Micrograph plug-in
(DM 1.8.3 package, HRTEM Research Inc.) was used for geometric phase analysis (GPA).
The cross-sectional TEM samples were prepared by a standard procedure, including manual
grinding, polishing, dimpling and a final ion milling step (PIPS 695 precision ion polishing
system, Gatan Inc.).

307 X-ray Photoelectron Spectroscopy

XPS was performed using a Kratos Axis Supra XPS system with a monochromatic Al 308 309 Kα X-ray source. An initial spectrum was taken of the surface of every sample before a depth 310 profile for 40 steps was performed with a gas cluster ion sputtering system at 10 keV 1000 311 clusters for 60 seconds with an incidence angle of 40° with respect to the horizontal direction. 312 The size of the analyzed area was 110 µm x 110 µm while the sputtered size was 2 mm x 2 mm (rastered). Base pressure during analysis was 1.2×10^{-9} torr and the electron emission angle was 313 at 54.7° with an active charge neutralizer. XPS data was analyzed using CasaXPS software 314 315 with the Kratos relative sensitivity factors set to F 1s at 1. The O 1s signal at 530.6 eV (MgO) 316 was used as an internal reference for peak positions for all samples.

317 **Optical characterization**

318 Spectroscopic ellipsometry measurements were carried out with a spectroscopic 319 elliposometer (J.A. Woollam RC₂) with variable angles (55°, 65°, and 75°) and spectrum range 320 from 210 nm to 2500 nm. The real and imaginary part of permittivity were obtained by fitting 321 ellipsometer parameters psi (Ψ) and Delta(Δ) with Gen-Osc model in CompleteEASE software. 322 Transmittance spectra were measured on UV–vis-NIR absorption spectrophotometer (Perkin 323 Elmer Lambda 1050).

324 Magnetic Characterization

The magnetic hysteresis loops were measured by a Quantum Design MPMS-3 SQUID Magnetometer with vibrating sample magnetometer (VSM) mode. The magneto-optic Kerr 327 effect (MOKE) measurements were carried out by a home-built system equipped with a 328 photoelastic modulator with two polar (P) and longitudinal (L) configurations. A laser with a 329 wavelength of 632 nm was applied as a source light and the magnetic field was in the range of 330 -3500 Oe to 3500 Oe for P-MOKE and -7000 Oe to 7000 Oe for L-MOKE separately.



Fig. 1 | Microstructure of TiN-CoFe₂ nanocomposite thin film. (a) Schematic drawing of TiN-CoFe₂ microstructure; (b) Low magnification and (c) high magnification cross-sectional STEM images; (d) Plan-view STEM images with (e) corresponding EDS mapping of Co, Fe, Ti, N; (f) High-resolution STEM images of one nanosheet region with ε_{yy} strain mapping (g) and ε_{xx} strain mapping (h).





Fig. 2 | Microstructure turnability of TiN-CoFe₂ nanocomposite thin films. (a) Schematic
drawing of 2Hz TiN-CoFe₂ VAN; (b) Plan-view STEM images of 2Hz TiN-CoFe₂ VAN; (c)
Dimension distribution (short edge width and long edge length) of CoFe₂ nanosheets in 2Hz
sample; (d) Schematic drawing of 10Hz TiN-CoFe₂ VAN; (e) Plan-view STEM images of
10Hz TiN-CoFe₂ VAN; (f) Dimension distribution of CoFe₂ nanosheets in 10Hz sample.



Fig. 3 | Optical properties of TiN-CoFe₂ nanocomposite thin films. (a) Real part and (b)
imaginary part of permittivity of 2Hz TiN-CoFe₂, 10Hz TiN-CoFe₂, pure TiN, and pure

- 351 $CoFe_2O_4$ sample.
- 352



Fig. 4 | Magnetic properties and magneto-optical coupling effect in TiN-CoFe₂ nanocomposite thin films. (a) Comparison of in-plane and out-of-plane M-H hysteresis loops of 2Hz TiN-CoFe₂ nanocomposites; (b) Comparison of in-plane and out-of-plane M-H hysteresis loops of 10Hz TiN-CoFe₂ nanocomposites; (c) Polar and (e) longitudinal Kerr rotation of 2Hz TiN-CoFe₂ nanocomposites; (d) Polar and (f) longitudinal Kerr rotation of 10Hz TiN-CoFe₂ nanocomposites.

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