

Update on the Corneal Dystrophies-Genetic Testing and Therapy

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58 <u>Abstract</u>

59	One major purpose of the IC3D Corneal Dystrophy Nomenclature Revision was to include genetic
60	information with a goal of facilitating investigation into the pathogenesis, treatment, and perhaps
61	even prevention of the corneal dystrophies an ambitious goal. Over a decade has passed since the
62	first publication of the IC3D corneal dystrophy nomenclature revision. Gene therapy is available for
63	an early-onset form of inherited retinal degeneration called Leber's congenital amaurosis, but not
64	yet for corneal degenerations. We review the current state of affairs regarding our original
65	ambitious goal. We discuss genetic testing, gene therapy (RNA interference: RNAi; genome editing),
66	and ocular delivery of corneal gene therapy for the corneal dystrophies. Why have gene therapy
67	techniques not yet been introduced for the corneal dystrophies?
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79 Introduction

80 In the 19th century, Bücklers presented the first classification system of three forms of corneal 81 dystrophy. In the early 20th century, introduction of the slit lamp biomicroscope allowed for more 82 detailed examination leading to the identification and classification of distinct corneal dystrophies 83 based on their unique phenotype and histopathology. By the late 20th and early 21st century, the 84 advent of genetic linkage analysis allowed for the identification of the associated gene loci, and 85 eventual localization of causative genes and pathogenic variants. International Committee of 86 Corneal Dystrophy Nomenclature Revisions in 2008 and 2015 for the first time, included genetic 87 information within the corneal dystrophy classification system.^{1,2} The goal was "to provide(s) a 88 better understanding of the mechanism of the disorder...and present some therapeutic 89 possibilities." In the 21st century, we had advanced beyond phenotype and histopathologic 90 description to also include genotypic information.

91 At the same time, surgical therapeutic options for opacified corneas expanded from the replacement 92 of the entire cornea thickness to excision and replacement of the involved layer. In addition to 93 penetrating keratoplasty, focused replacement includes deep anterior lamellar keratoplasty (DALK) 94 for deeper stromal dystrophies and Descemet stripping automated endothelial keratoplasty (DSAEK) 95 or Descemet membrane endothelial keratoplasty (DMEK) for endothelial dystrophies (Figure 1). 96 Over a decade ago, at the May 2009 ARVO/Pfizer Institute on Corneal Dystrophies: Molecular 97 Genetics to Therapeutic Intervention,³ we discussed that the IC3D classification "was the first step" to "understanding the genetic basis of each disease". The goal to discover corneal dystrophy 98 99 pathogenesis would "allow the development of nonsurgical therapeutic interventions to prevent 100 visual loss," including gene therapy. Our retina colleagues have expanded the treatment possibilities 101 for inherited retinal dystrophies to include gene therapy, but this has yet to occur for corneal 102 dystrophies. In the field of hereditary corneal diseases, we may have become a victim of our success. 103 The surgical interventions for corneal dystrophies have proven to be so successful, it is easier to wait

for vision loss with subsequent surgical intervention than to take risks associated with any new
 therapy, such as gene therapy.⁴

106 Genetic disease burdens both affected individuals and their close relatives, including their children 107 and their children's children. When examining families with Schnyder corneal dystrophy, one of the 108 authors (JSW) was always struck by the relative hopelessness of the early diagnosis of Schnyder 109 corneal dystrophy in a child (Figure 2). Parents could only be told the course of visual loss with age 110 rather than potential early interventions to prevent the visual loss. Beyond treating affected 111 individuals, "Research is critically important to those who have the corneal dystrophies...because it 112 offers the hope....of changing the future of innocent offspring by developing improved treatments, or even prevention".3 113

114 We review the current state of genetic testing and gene therapy in corneal diseases.

115 Differentiating the hereditary corneal dystrophy from systemic diseases with corneal involvement

Hereditary corneal disease can be an isolated phenomenon confined to the cornea or be associated with abnormalities in other parts of the eye or the body. Consequently, the evaluation of suspected genetic corneal disease must include careful examination of the entire eye. In addition, because corneal phenotypes can be part of systemic diseases, it is important to determine if there are other symptoms or signs of systemic disorders.

The ophthalmologist can give important corneal hints with regard to diagnosis of a systemic disorder
 in very young patients: cornea verticillata in Fabry's disease; peripheral brownish corneal band in
 Wilson's disease, punctiform corneal crystals in cystinosis; haze and peripheral ring in lecithin
 cholesterol acyltransferase (LCAT) deficiency; diffuse corneal haze in the different forms of
 mucopolysaccharidosis; pseudo-dendriform lesions in tyrosinemia II and many others.⁵

126 It is important to diagnose systemic disorders promptly, as the introduction of systemic enzymatic
 127 therapies for mucopolysaccharidosis has broadened the therapeutic armamentarium for the current

128 standard of care. Furthermore, in tyrosinemia II a lifelong dietary restriction of tyrosine and 129 phenylalanine amino acids is necessary immediately. One example of a systemic disease with ocular 130 findings resembling a corneal dystrophy is familial amyloidosis, Finnish type (also known as Meretoja 131 syndrome or hereditary gelsolin amyloidosis), caused by the pathogenic variants in the gelsolin gene.^{6,7} These individuals exhibit a lax, mask-like facies due to neuropathy of the facial nerve. The 132 133 corneas demonstrate lattice like opacities resembling classic lattice corneal dystrophy (LCD1), which 134 in an advanced state leads to corneal epithelial erosions and neurotrophic keratitis. However, unlike 135 the lattice lines in LCD1, the lattice lines in familial amyloidosis are less numerous, start peripherally 136 and spread centrally (Figure 3). Familial amyloidosis, Finnish type is important to recognize because 137 the penetrating keratoplasty has a high risk of failure, and the patient should be well informed when considering surgery.⁸ 138

Another example of systemic disease associated with corneal changes is monoclonal gammopathy of undetermined significance (MGUS) which can cause paraproteinemic keratopathy.⁹ The corneal involvement is typically bilateral with heterogeneous appearance, from lattice lines (Figure 4) to different patterns of stromal opacities. These corneal findings can lead to misdiagnosis of corneal dystrophy.⁹ However, unlike most corneal dystrophies, there is absence of both family history and onset at a young age. The diagnosis of MGUS is confirmed by serum protein electrophoresis.

145 **Other genetic eye diseases with corneal involvement and distinct phenotypes**

The genetic disorders affecting the development of the eye can produce corneal anomalies. Aniridia has a very diverse phenotypic variability from a total absence of iris to small anomalies in the anterior chamber angle leading to juvenile glaucoma. Individuals with a pathogenic variant in the *PAX6* gene have often very severe corneal abnormalities.¹⁰ Axenfeld—Rieger syndrome, Peters anomaly, Marfan syndrome, microphthalmia, and megalocornea exhibit characteristic corneal phenotypes that should be recognized.

152 Corneal dystrophies

153 Patient examination and genetic testing

154 In order to determine if a patient has a true corneal dystrophy, it is essential to perform a detailed 155 ocular checkup including examination the anterior chamber angle, lens, and posterior parts of the 156 eye. Comprehensive imaging with photography, red-reflex photos, anterior optical coherence 157 tomography, specular microscopy, and *in vivo* corneal confocal microscopy can lead to the correct 158 diagnosis. Moreover, the systemic signs and symptoms should be noted.

159 While the family history and corneal examination are usually the mainstay of diagnosis, genetic 160 testing provides definitive confirmation. This requires sample collection from blood or buccal swab, 161 and subsequent DNA extraction. Prior to performing genetic testing, it is very helpful to record the 162 family history and to know both the prevalence and penetrance of the disease in the population in 163 relation of the existing disease-causing variants. Genetic counselling should be provided as the 164 dystrophy could affect other family members of the patient. Although the diagnosis of a cornea 165 dystrophy is often made purely on family history and clinical examination, it is important to consider 166 genetic testing because only this provides a precise diagnosis, inheritance pattern, guides the 167 treatment choice, and in the future may offer more sophisticated intervention.

168 If the clinical diagnosis is relatively certain, even one variant or gene could be sequenced; this is 169 often the case with TGFBI-related dystrophies. Currently, the easiest way to perform genetic testing 170 is to order the gene panel that includes the genes linked to corneal dystrophies. These panels are 171 technically performed with exome sequencing (ES, i.e., sequencing nearly all coding regions of the 172 genes), but only genes related to corneal disorders are analyzed, thus coincidental findings are 173 avoided (such as cancer-predisposing variants). ES is not a reliable method to recognize larger copy-174 number variations, thus molecular karyotyping is sometimes needed. Posterior amorphous corneal 175 dystrophy is caused by a heterozygous deletion of chromosome 12q21.33 covering four small

leucine-rich proteoglycan genes.¹¹ If the panel remains negative, ES can be performed including
other family members to find new causative genes. The ES does not cover regulatory and intronic
regions; therefore, whole-genome sequencing could be an alternative approach but is not yet
standard clinical genetic testing.

180 The success rate of the gene panel testing in corneal dystrophies is relatively high, with 71% of 181 positive results according to some authors,¹² reflecting the accuracy of clinical diagnosis and 182 knowledge of the genetic background of these diseases.

183 Corneal Gene Therapy in the Corneal Dystrophies

184 The anatomical position and structure of the cornea make it an attractive target for gene therapy 185 approaches^{13,14}. The cornea is easily accessible and allows observation of the phenotypic effects of 186 gene therapy approaches in vivo through high resolution imaging due to its optical properties and 187 avascularity ^{14,15}. The cornea also shows immune privilege, which facilitates gene delivery as a therapeutic option. Gene therapy for corneal dystrophies offers benefit in the clinical management of 188 189 affected individuals. The hope for gene therapy in the future is that it could be offered to younger 190 individuals with good visual acuity and early signs of corneal dystrophy to prevent progression and 191 visual loss. Furthermore, although surgical management to rehabilitate vision is mainstream, there is 192 a worldwide shortage of donor corneas, all surgeries have associated risks and the underlying genetic 193 disease can recur following surgical interventions. To develop gene-based therapies for corneal 194 dystrophies, scientists have hijacked physiological molecular biology processes to develop therapeutic 195 manipulations. The foundation of gene therapy is the central dogma of molecular biology in which 196 biological or genetic information moves from DNA in the nucleus of the cell, to messenger RNA 197 (mRNA), which is translated into protein in the cell cytoplasm. Knowledge of the pathobiological 198 mechanism underlying the identified genetic cause of the disease is critical to establish the basis of 199 corneal gene therapy. These methodologies must be specifically targeted to a gene and/or mutation, 200 which means that personalized treatments will be ultimately necessary for each patient. In some

cases, concrete causative mutations have been reported with common ancestral origins or high
 prevalence, such as Leu132Pro and Arg135Thr in the keratin 12 gene (*KRT12*) for Meesmann epithelial
 corneal dystrophy (MECD), or CTG18.1 in *TCF4* for Fuchs endothelial corneal dystrophy (FECD).^{16,17}
 These relatively frequent pathogenic variations have been the focus of several studies to develop
 effective gene therapy strategies, although most of these studies are still restricted to *in vitro* and *ex vivo* approaches, or *in vivo* assays in animal models.

207 RNA interference (RNAi) gene therapy in corneal dystrophies.

208 RNA interference (RNAi) can be achieved with small interfering RNA (siRNA) or antisense 209 oligonucleotides (ASOs). The siRNA is designed to specifically target the mutant allele or mutation in an allele-specific manner (ASP-RNAi) (Figure 5). ASP-RNAi have been used in MECD¹⁶ and the 210 211 epithelial–stromal *TGFBI* dystrophies.¹⁸ ASOs have been developed to treat the genetic mechanism underlying Fuchs endothelial corneal dystrophy (FECD), associated with a CTG trinucleotide repeat 212 expansion (CTG18.1) in the noncoding region of the TCF4 gene 1^{7} . ASOs have been used in vitro as a 213 214 functional rescue of the molecular changes in FECD and could be introduced early in the disease process to prevent progression to corneal surgery.¹⁷ 215

216 However, RNAi approaches present some limitations, as ASP-RNAi assays are restrictively designed in 217 cases with dominant negative mutations, in which the expression of the non-mutated allele is 218 sufficient to recover the correct function of the gene, whereas it will be inadequate in recessive 219 disorders or dominant cases showing haploinsufficiency. Other limitations of these strategies are the 220 potential off-target alterations, as it is difficult to fully understand the true endogenous function of 221 the molecule *in vivo*, and the low efficacy of the system, partly due to its incomplete and transient 222 inhibitory effect. This variable and partial silencing knockdown is in part a consequence of the RNAi 223 molecule sensitivity to nuclease degradation, which might involve a short half-life and high dose or a 224 frequently repeated treatment regimen. In this regard, molecule stabilization with chemical

225 modifications is suggested to enhance intracellular availability and silencing persistence. Finally,
226 effective and appropriate delivery system into corneal cells *in vivo* need to be designed.

227 Genome editing in corneal dystrophies.

228 Genome editing technologies, developed from bacterial molecular biology processes functioning as 229 an immune system to deal with foreign genetic sequences, are being employed to develop gene therapy solutions in ocular and non-ocular genetic disorders.¹³ The identification of the CRISPR-Cas9 230 231 genome editing technique resulted in the Nobel Prize in Chemistry in 2020, being awarded to 232 Emmanuelle Charpentier and Jennifer Doudna. Cas9 (CRISPR-associated protein 9) is a RNA guided endonuclease enzyme that uses CRISPR (clustered regularly interspersed palindromic repeats) 233 234 sequences as a guide to identify and cut specific strands of DNA that are complementary to the CRISPR sequence (Figure 6).¹³ Allele-specific genome-editing of the Leu132Pro KRT12 mutation was 235 demonstrated in vitro and in a humanized MECD mouse model using CRISPR-Cas9 delivered by intra-236 237 stromal injection.¹⁹ The editing efficiency was 38.5% and increasing targeting efficiency is required to further develop this approach.¹⁹ CRISPR/Cas9-induced homology-directed repair has been employed 238 239 in primary corneal keratocytes derived from a patient with granular corneal dystrophy type 2 (GCD2) resulting from a Arg124His mutation in TGFBI.²⁰ The efficiency of in vitro genome editing was 240 approximately 60% for the TGFBI Arg124His mutant allele.²⁰ The best characterized CRISPR/Cas9 241 242 genome editing system is derived from Streptococcus pyogenes (SpCas9) and less than 30% of over 60 mutations in *TGFBI* can be targeted by this type of genome editing.²¹ Using natural genetic variation 243 in the CRISPR-Cas9 target regions on the same DNA strand as the TGFBI mutation supports the 244 development of mutation independent genome.²² Genome editing may also have a therapeutic role 245 in FECD.²³ CRISPR/Cas9 system has some important limitations that need to be overcome before its 246 transition towards the clinic as a therapeutic alternative, since, unlike the transient effect of RNAi 247 methodologies, programmable nucleases introduce permanent changes in the genome.¹³ In this 248 249 sense, one of the major concerns is off-target effects, which refer to binding or cleavage by Cas9 at a

site other than the target site. These alterations, which have been observed at a high frequency, can disrupt the function of unexpected genes and may result in genome instability.²⁴ Thus, researchers need to ensure no unwanted effect is being induced, by engineering Cas9 variants and optimizing guide designs, as precise genome editing is essential for CRISPR gene therapy in patients.²⁵

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Ocular Delivery of Corneal Gene Therapy

255 Corneal gene therapy has been mainly studied in animal models, whereas the clinical trials in humans 256 are still limited.²⁶ A key barrier to clinical translation is that the delivery of the genetic material must be practicable and safe, and the modulation of the corneal pathology must be durable.²⁷ In order to 257 achieve optimal gene therapy efficiency, a successful delivery of the therapeutic nucleic acid into the 258 259 target cell is critical. An ideal gene therapy delivery system is one that could be easily produced and 260 provides high levels of delivered molecules in a tissue-selective manner without toxicity, 261 immunological response, or damage. Several delivery systems, which are grouped into viral and non-262 viral vectors, have been tested in vitro, ex vivo and in vivo in the cornea, presenting different advantages and limitations.²⁶ Viral vectors are used replacing the viral genes with the nucleic acids of 263 interest to obtain replication-defective viruses. However, most of them, including adenovirus, 264 265 lentivirus and retrovirus, present important limitations concerning safety and immunogenicity. 266 Moreover, adenovirus and retrovirus are of limited use for corneal gene therapy because of their 267 inability to transduce low/non-dividing cells such as corneal endothelium and keratocytes, and 268 induction of immune reactions.¹⁴ Alternatively, non-viral vectors, such as lipids and nanoparticles, are 269 generally safe but often found less efficient than their viral counterparts. For all this, adeno-associated 270 viruses (AAV) stand as the most promising corneal delivery system at the moment, although they 271 present a major disadvantage regarding low DNA packaging capacity, limiting some gene therapy 272 approaches.²⁸ Furthermore, there remain other unanswered questions including the possibility for 273 vector genome integration, especially in dividing cells; immunogenicity, whether humoral or cell 274 mediated, possibly leading to a fast clearance of the viral vector; systemic biodistribution with offtarget expression; vector shedding and dissemination; and an overall compromised durability of the
treatment effect persistence.²⁹ In this regard, further additional studies are required to better
elucidate the widespread application of AAV vectors as a promising delivery system for corneal gene
therapy.

279 Bench to Bedside – unmet challenges

280 Gene therapy for corneal dystrophies offers the promise to prevent or slow the progression of corneal 281 diseases or provide a permanent cure. However, it is important to be realistic in terms of the 282 challenges and hurdles that must be overcome to translate laboratory approaches into the clinical 283 arena for both patients and corneal specialists. Although genetic analyses have provided important 284 insights into the molecular architecture of corneal dystrophies, opening the path to promising 285 preventive, diagnostic, and therapeutic strategies, they have also highlighted the difficulties in establishing genotype-phenotype correlations due to incomplete penetrance, phenotypic variability 286 and genetic heterogeneity.³⁰ Furthermore, some diseases present heterogeneous complex 287 288 inheritance, caused by the interaction between genetic and environmental factors, as it is the case of 289 FECD, which interfere with the association of phenotypic traits and a specific genetic alteration.³¹ In 290 terms of methodology, most genetic screenings are focused on the study of coding exons and splicing 291 boundaries of known candidate genes, and thus other alterations are inadequately assessed. 292 Furthermore, relevant genes not yet connected to corneal dystrophies or other ocular diseases may be missing from these analyses.³² Altogether, the identification of the pathogenic mutation underlying 293 294 corneal dystrophy is not always achieved by genetic screening, and thus it stands as a basic limitation 295 for gene therapy application.

Even when a disease-associated mutation is identified, different functional alterations may occur.³³ In consequence, developing personalized gene therapies may be only feasible when targeting commoner corneal dystrophies, like FECD resulting from a prevalent genetic defect.^{17,23} Thus, mutationindependent gene therapies are required to increase clinical applicability.²² Genome editing offers 300 promise but there are challenges to translate approaches into the clinical arena, including off-target effects and optimized delivery systems.¹³ On the other hand, RNAi approaches might be more 301 302 achievable in the short term, as shown by the phase III study of an ASO targeting insulin receptor substrate-1 expression as a treatment for keratitis-related progressive corneal neovascularization.³⁴ 303 304 In addition, understanding the role of these genes in the development of corneal dystrophies is 305 fundamental if gene therapy approaches are developed and introduced into the clinical arena. For 306 example, the biology of *TGFBI* is not fully understood in the cornea and further studies are required.³⁵ 307 In this sense, mouse models of corneal dystrophies are key to develop corneal gene therapies, although murine models of the TGFBI are limited to GCD2 (Arg124His)³⁶ and LCD1 (Arg124Cys).³⁷ In 308 309 addition, murine phenotypic findings may differ from human phenotypes and may take significant 310 time to develop, which can present challenges in the assessment of gene therapy correction strategies

311 Ultimately, it does not appear that the immediate future offers any genetically based therapeutic or 312 preventative treatments for our corneal dystrophy patients. What is the reason for the relative lack 313 of this research progress when contrasted with major advances in made in gene therapy for some 314 retinal degenerations. Ultimately, corneal surgeons and their patients may be victims of our own 315 treatment success. Individuals with some progressive retinal degenerations are programmed for 316 progressive, profound visual loss with genetic therapy as the only potential treatment intervention to 317 avoid relentless visual loss. Contrast this to the enlarging menu of highly successful corneal surgical 318 procedures such as DALK, DSAEK and DMEK, which offer the possibility of visual improvement within 319 weeks to months. With limited capital, should the ophthalmic community commit the required finances to the research required to make sufficient progress in the field of corneal dystrophies to 320 321 eventually offer genetic interventions? We believe the answer is identical to what was expressed over 322 a decade ago at the ARVO/Pfizer 2009 conference, when William Dupps MD explained "that inherited 323 diseases such as the corneal dystrophies not only affect the patient, but also have effects that reach 324 beyond the patient's lifetime to innumerable offspring" and "research is critically important to those

- 325 who have the corneal dystrophies, because it offers the hope...of changing the future of innocent
- 326 offspring by developing improved treatments, or even of prevention."³

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432	Legends	5

433 Figure 1 Fuchs endothelial corneal dystrophy. 53-year-old patient with

434 cornea guttata and epithelial and stromal opacification in direct illumination by small slit lamp

435 picture.

436

437 Figure 2 Schnyder corneal dystrophy. External photograph of the cornea of a 14- year- old male with

438 a partial arc deposition of subepithelial crystals and uncorrected visual acuity of 20/20. Figure 9A

439 reprinted from Weiss JS. Visual Morbidity in Thirty-Four Families With Schnyder Crystalline Corneal

440 Dystrophy. Trans Am Ophthalmol Soc 2007;105:616-648.

441

442 Figure 3 Left photo-Lattice corneal dystrophy type 1 with genetic confirmation. Dots and

443 paracentral lattice lines are seen in retroillumination. Figure 9D reprinted from Weiss JS, Moller HU,

Aldave AJ et al. IC3D Classification of Corneal Dystrophies-Edition 2. Cornea. 2015 34:117-159.

445 Right photo-Familial amyloidosis (Meretoja syndrome). Lattice lines are less numerous than in classic

and variant LCD, start peripherally, and spread centrally

447 Figure 11 B reprinted from Weiss JS, Moller HU, Aldave AJ et al. IC3D Classification of Corneal

448 Dystrophies-Edition 2. Cornea.2015 34:117-159.

449

450 Figure 4 Monoclonal gammopathy of undetermined significance. 72-year-old female patient: MGUS-

451 induced paraproteinemic keratopathy in form of lattice lines in indirect illumination by dilated pupil.

452

Figure 5 Allele-specific RNA interference (ASP-RNAi) gene therapy. RNA interference (RNAi) can be achieved with a small interfering RNA (siRNA) designed to specifically target the mutant allele or mutation in an allele-specific manner (ASP-RNAi). When the siRNA binds to the mutant gene this leads to a loss of mutant protein expression. The normal copy of the gene is unaffected and so the normal protein is produced maintaining function. Created with BioRender.com. 458

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460 Figure 6 CRISPR/Cas9 genome editing. Cas9 (CRISPR-associated protein 9) is a RNA guided enzyme 461 that uses CRISPR (clustered regularly interspersed palindromic repeats) sequences as a guide to 462 identify and cut specific strands of DNA that are complementary to the CRISPR sequence. Using site-463 specific RNA guide (sgRNA) sequences Cas9 can be directed to cut a target DNA sequence in the host 464 genome with the caveat the target sequence is directly upstream of a protospacer adjacent motif 465 (PAM). The cell will then attempt to repair the double strand break (DSB) in the target DNA sequence 466 by either non-homologous end joining (NHEJ) or homology directed repair (HDR). NHEJ is error-prone 467 and can result in varying sizes of DNA insertions or deletions (indels) which can result in frameshift 468 mutations and an absence of functional protein. NHEJ occurs during all stages of the cell cycle and so 469 can be used in both dividing and non-dividing cells like the corneal endothelium. HDR is more precise 470 but is limited to dividing cells. HDR uses a homologous repair template, either the homologous 471 chromosome or an exogenous homologous repair template in high concentration. The exogenous 472 homologous repair template can be used to correct point mutations. Adapted from "CRISPR/Cas9 473 Gene Editing", by BioRender.com (2021). Retrieved from https://app.biorender.com/biorender-474 templates.

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