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EDITED BY
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Emilia, Italy
Normunds Stivriņš,
University of Latvia, Latvia

*CORRESPONDENCE
Angelina G. Perrotti
✉ aperrotti@wisc.edu

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Uncertainty in coprophilous fungal spore concentration estimates

Angelina G. Perrotti^{1,2*}, Tanjona Ramiadantsoa^{3,4},
Jennifer O'Keefe⁵ and Noelia Nuñez Otaño⁶

¹Department of Earth, Environmental, and Planetary Sciences, Brown University, Providence, RI, United States, ²Department of Geography, University of Wisconsin-Madison, Madison, WI, United States, ³Department of Integrative Biology, University of Wisconsin-Madison, Madison, WI, United States, ⁴Madagascar Biodiversity Center, Antananarivo, Madagascar, ⁵Department of Physics, Earth Science, and Space Systems Engineering, Morehead State University, Morehead, KY, United States, ⁶Laboratorio de Geología de Llanuras (FCyT-CICyTTP), Facultad de Ciencia y Tecnología, Universidad Autónoma de Entre Ríos, Diamante, Argentina

The abundance of coprophilous (dung-inhabiting) fungal spores (CFS) in sedimentary records is an increasingly popular proxy for past megaherbivore abundance that is used to study megaherbivore-vegetation interactions, timing of megaherbivore population declines and extinctions, and the introduction of domesticated herbivores. This method often relies on counting CFS alongside pollen and tracers of known concentration such as exotic pollen or synthetic microspherules. Prior work has encouraged reporting CFS abundances as accumulation rates (spores/unit²/year) or concentration (spores/unit³) instead of percentages relative to the total pollen abundance, because CFS percentages can be sensitive to fluctuations in pollen influx. In this work, we quantify the uncertainty associated with estimating concentration values at different total counts and find that high uncertainty is associated with concentration estimates using low to moderate total counts ($n = 20$ to 200) of individual fungal spore types and tracers. We also demonstrate the effect of varying tracer proportions, and find that larger tracer proportions result in narrower confidence intervals. Finally, the probability of encountering a CFS spore from a specific taxon occurring in moderate concentrations (1,000 spores/unit²) dramatically decreases after a low tracer count (~50). The uncertainties in concentration estimates caused by calculating tracer proportion are a likely cause of the high observed variance in many CFS time series, especially when CFS or tracer concentrations are low. Thus, we recommend future CFS studies increase counts and report the uncertainty surrounding concentration values. For some records, reporting spore data as presence/absence rather than concentrations or counts is preferable, such as when performing high counts is not feasible.

KEYWORDS

coprophilous fungal spores, palynology, quantification methods, presence/absence analysis, megaherbivore decline

1 Introduction

Paleoecological studies incorporating spores of coprophilous fungi (hereafter coprophilous fungal spores, or CFS) in interpretations of herbivore abundance and activity have drastically increased within the last decade (van Asperen et al., 2021). Commonly used CFS prefer dung as a substrate to varying degrees (Angelina G. Perrotti and van Asperen, 2019), but the decline of CFS in Pleistocene sediments are widely accepted to reflect megaherbivore decline and extinction (e.g., Davis, 1987; Gill et al., 2009, 2012; Rozas-Davila et al., 2016; Raczka et al., 2019), while CFS emergence in mid-to-late Holocene sediments implicates pastoralism (e.g., Graf and Chmura, 2006; Mighall et al., 2006; Gauthier et al., 2010; Currás et al., 2012). However, uncertainties still surround the proxy, including CFS life cycle and taphonomy (Raper and Bush, 2009; Parker and Williams, 2012; Wood and Wilmshurst, 2012; Perrotti and van Asperen, 2019; van Asperen et al., 2021), the relationship between CFS and megaherbivore biomass (Gill et al., 2013; Baker et al., 2016; van Asperen et al., 2020; Davies et al., 2022), and laboratory extraction techniques (van Asperen et al., 2016).

Recent attention has been given to a lack of standard CFS quantification methods (e.g., Wood and Wilmshurst, 2013). Many early studies using CFS to indicate North American Pleistocene megaherbivore abundance and decline reported spores as a proportion of the pollen assemblage (Davis, 1987; Robinson et al., 2005; Gill et al., 2009, 2012), and supported by modern analog studies (Gill et al., 2013), suggest that CFS taxon in the *Sporormiella-Preussia* complex in abundances over 2% of the terrestrial pollen assemblage indicates notable megaherbivory pressure. Though sensitive to fluctuations in pollen accumulation rates, this method can be useful when sedimentation is variable and age-depth models are poorly constrained. Recent papers encourage also reporting CFS concentration (spores/cm³) or accumulation (spores/cm²/year), as these metrics are independent of fluctuations in total pollen abundance (Wood and Wilmshurst, 2013; Perrotti and van Asperen, 2019).

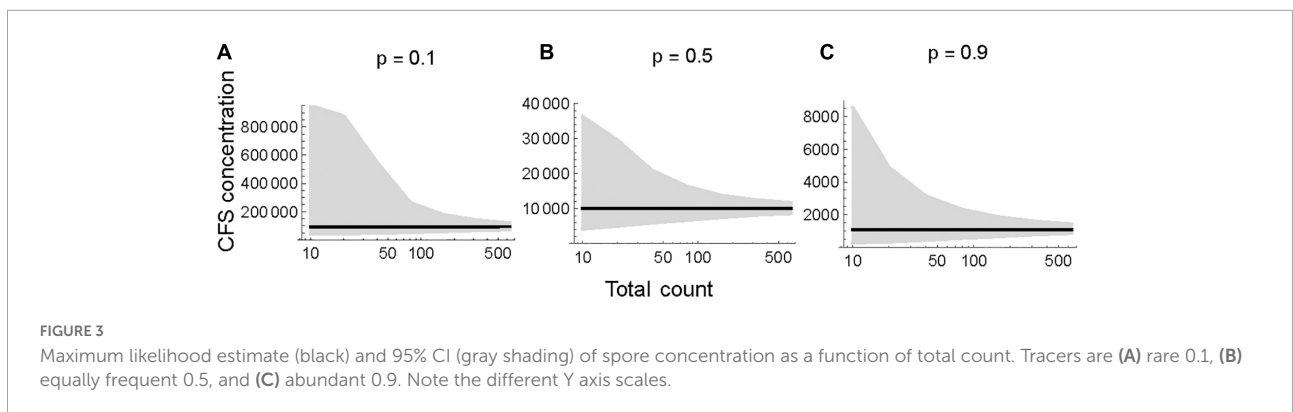
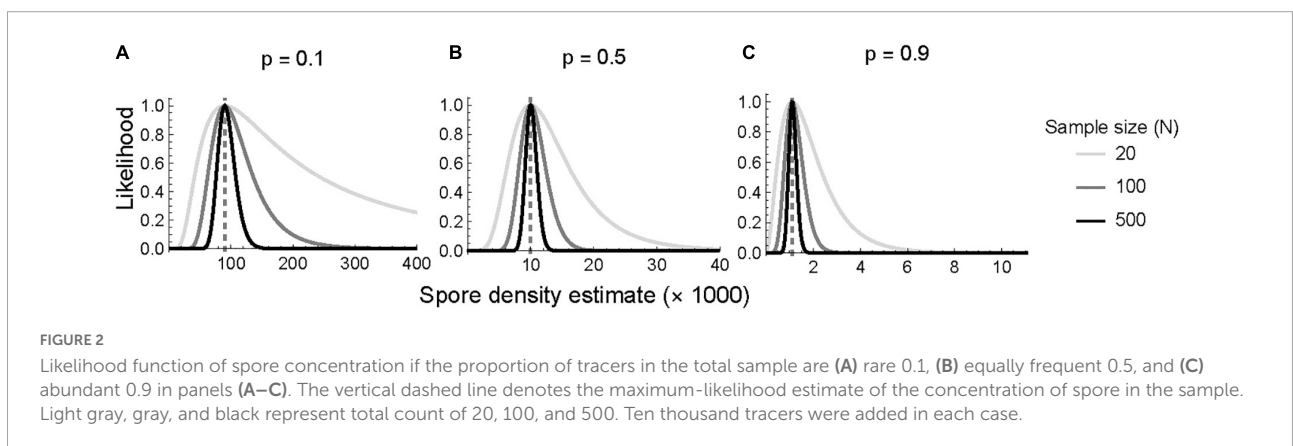
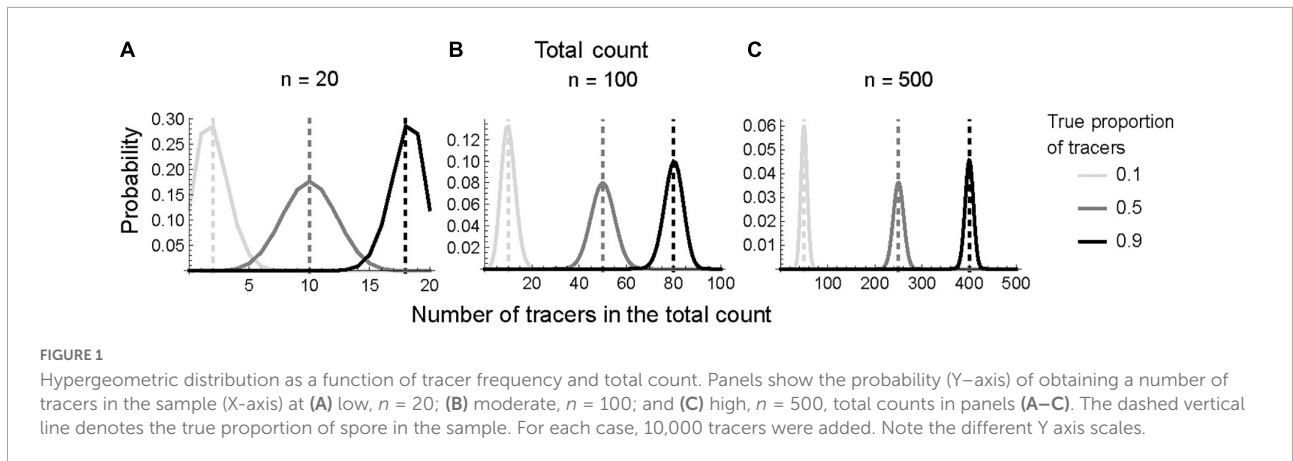
Currently, no single standard for counting CFS exists, though CFS are often counted alongside a standard pollen count which ranges from 200 to 500 terrestrial pollen grains (Jones and Bryant, 1998; Comtois et al., 1999), which allows for expedient calculation of CFS to pollen assemblage proportion, as well as concentration and accumulation rates. However, this method is sensitive to fluctuations in pollen accumulation, and high pollen concentration may overwhelm the CFS signal, as CFS often occur at much lower concentrations than pollen. Indexing CFS independently from pollen protects against biases that could arise from differential pollen concentration among samples,

such as CFS underrepresentation in samples with high pollen concentration (Perrotti et al., 2022).

Because CFS are often found in lower concentrations than pollen, this analysis is time intensive, and thus it is appealing to establish a counting threshold to reliably estimate spore concentration values. Etienne and Jouffroy-Bapicot (2014) suggest counting a minimum of 300 to 350 tracers to achieve a precise spore concentration estimate within each sample. However, this threshold depends on several factors including the total number of tracers added, as well as the ratio of tracers to CFS within a sample. In this paper, we explore the random nature of the counting process and the implications of varying the total number of objects counted (tracers and spores from individual CFS taxa, henceforth “total count”) in estimating CFS concentration.

Rather than counting CFS and other fungal taxa, several recent works have used presence-absence data. These studies document all fossil fungal species present in a sample and then use the trait-based approach and nearest living relative methods to apply modern fungal species assemblages’ ecology and habitat requirements to past assemblages. This approach can facilitate the recording of rare but important fungal taxa that would be missed using typical counting thresholds (Musotto et al., 2017; Nuñez Otaño et al., 2021; Romero et al., 2021; Pound et al., 2022). This approach can not only to capture the diversity of fungal assemblages, but also accounts for the uncertainty of how many fungal spores are produced by a single fungal organism or reproductive structure. Biotic and abiotic factors affect fungal spore production, release of those spores, and continuance or non-continuance of their life cycles. These include microhabitat differences within the substrates, such as surface types and crevices in dung, competitive hierarchies with other CFS species, deposition rate in suitable habitats, and distance traveled by CFS, which in turn depends upon how they are released from the fungus (e.g., as single spores or a mass of spores) (Krug et al., 2004; Newcombe et al., 2016; Calhim et al., 2018; Nuñez Otaño et al., 2021).

Thus, in this paper, we examine how counting thresholds affect concentration estimates and the probability of encountering specific CFS taxa. We first explore how the true ratio of tracers to CFS affects estimates of the tracer to CFS ratio at different total counts. Second, we model the uncertainty of CFS concentration estimates as a function of tracer to CFS ratio and total count. Third, we apply our model to three established North American CFS (belonging to the *Preussia-Sporormiella* complex) records. Fourth, we use existing CFS records to demonstrate concentration uncertainty for samples with varying tracer and CFS abundances and total counts. Last, we explore the probability of encountering a specific CFS taxon at different counts to provide recommendations for counting thresholds or performing presence/absence analysis.



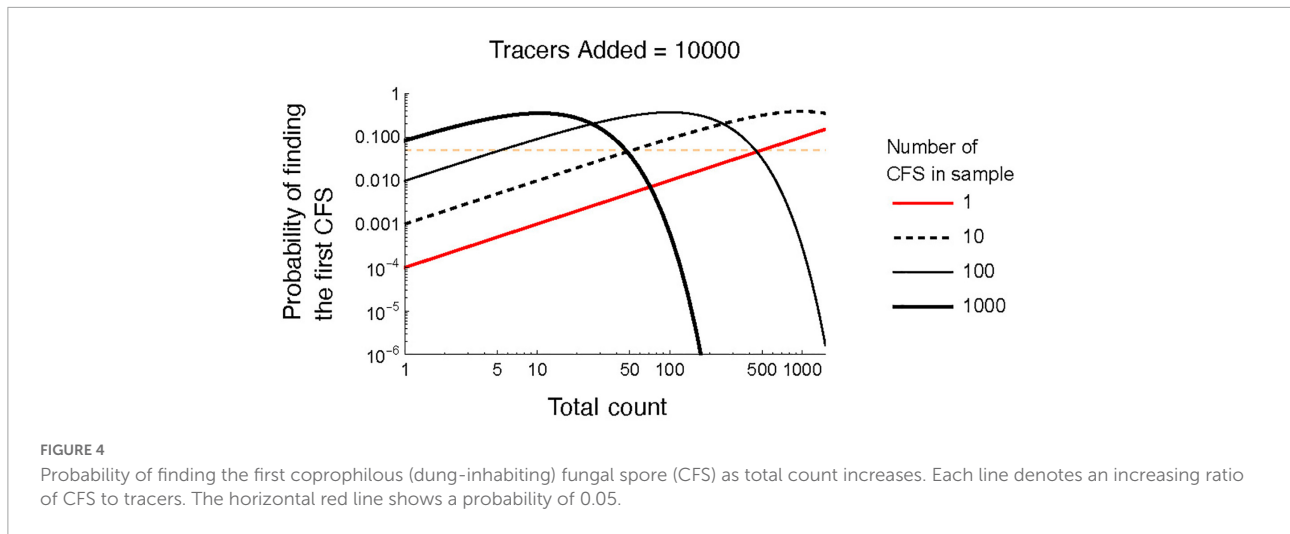
2 Materials and methods

To provide an estimate of CFS concentration (S), and associated confidence interval (CI), we use three parameters: the total number of tracers added (T), the number of objects counted (n), and the number of tracers in that count (n_T). The total number of objects in the sample including the tracers is denoted by N . The proportion of tracers in the sample is denoted $p = n_T/n$. S can represent the concentration of one taxon or the total concentration of all CFS.

The probability of obtaining n_T tracers in a total count of n for N objects with T tracers is given by the hypergeometric probability distribution $HG(n_T, n, T, N)$. We use this as our underlying model because model it reflects the probability of getting k success out of n draws without replacement. The likelihood function for the estimate of CFS concentration is thus,

$$\mathcal{L}(S | n_T, n, T) = HG(n_T, n, T, T + S)$$

We scale the likelihood function with a maximum value of one. We then use Monte Carlo rejection sampling to obtain



the posterior distribution and to compute the CI. We use a rectangle envelop where S is from 0 to $T(1/p - 1)/[p(1 - p)]$. The latter is for computational efficiency that accounts for wider parameter values when p is close to 0 or 1.

We investigate how the shape of the hypergeometric distribution and the likelihood function change if we vary the total count from $n = 20, 100, 500$ and the proportion of tracers within the total count $p = 0.1, 0.5, \text{ and } 0.9$. Next, we explore how the 95% CI changes for a fixed proportion of spores but ranging from 10 to 500.

As a concrete example, we applied our method to three established CFS (specifically *Sporormiella-Preussia* complex) records from Appleman Lake, IN (Gill et al., 2009), Page-Ladson, FL (Halligan et al., 2016), and Cupola Pond, MO (Perrotti et al., 2022). All data was accessed from the Neotoma Paleocological Database (Williams et al., 2018), and sites were chosen based on data availability and the confirmed presence of *Sporormiella-Preussia* complex spores. Because the number of tracers added is variable due to the manufacturing process, we assume that the number of tracers T is random and follows a normal distribution with a known mean and standard deviation. For simplicity, the maximum likelihood estimate is based on $T = \text{mean of the normal distribution}$. For Appleman Lake, Page-Ladson, and Cupola Pond the mean number tracers added is 18,583, 18,584, and 12,542, respectively, with variations in number of tracers resulting from different batches from the manufacturer. For the CI, we first drew M samples from the normal distribution, and then computed the likelihood and the posterior distribution for each T_1, T_2, \dots, T_M . Last, our 95% CI (i.e., uncertainty in T) is the 95% CI of the pooled M posterior distributions. We set $M = 20$ and the standard deviation of the normal distribution = 500.

To model the probability of encountering the first CFS of interest, we use a special case of the negative hypergeometric distribution where the number of success is set to one, i.e., finding the first CFS. Our main interest is to assess how the probability changes as the ratio of tracers to CFS changes and as

more tracers are counted. We set $T = 10,000$, vary the number of CFS from 1 to 1,000, and count objects from 1 to 2,000.

3 Results

3.1 Estimating spore concentration

Coprophilous (dung-inhabiting) fungal spores (CFS) concentration estimates are calculated by extrapolating the tracer proportion to the entire processed sample by using the known quantity of added tracers. This process assumes that the counted tracer proportion accurately reflects the true tracer proportion throughout the entire sample (Maher, 1981). However, because counting is a random process and is unlikely to replicate the true tracer proportion, smaller total counts result in wider distributions (Figure 1). This result holds regardless of the true proportion (low, equal, or high).

A low total count ($n = 20$) results in a wide distribution of estimated CFS, while the distribution narrows with moderate ($n = 100$) and high ($n = 500$) counts. The most symmetrical distributions occur when tracers comprise 50% of the total sample (i.e., tracer proportion = 0.5). Higher proportions of tracers (0.5 to 0.9) provide narrower distributions, especially at low to moderate total counts (Figure 2). Regardless, the likelihood narrows as the total count increases, resulting in a more precise CFS concentration estimate (Figure 3). Additionally, the asymmetrical confidence intervals at all tracer proportions suggest that CFS concentration estimates may be overestimated rather than underestimated.

3.2 Probability of encountering specific spore taxon

Given the large uncertainty surrounding CFS concentration estimates at small to moderate total counts, it can be more

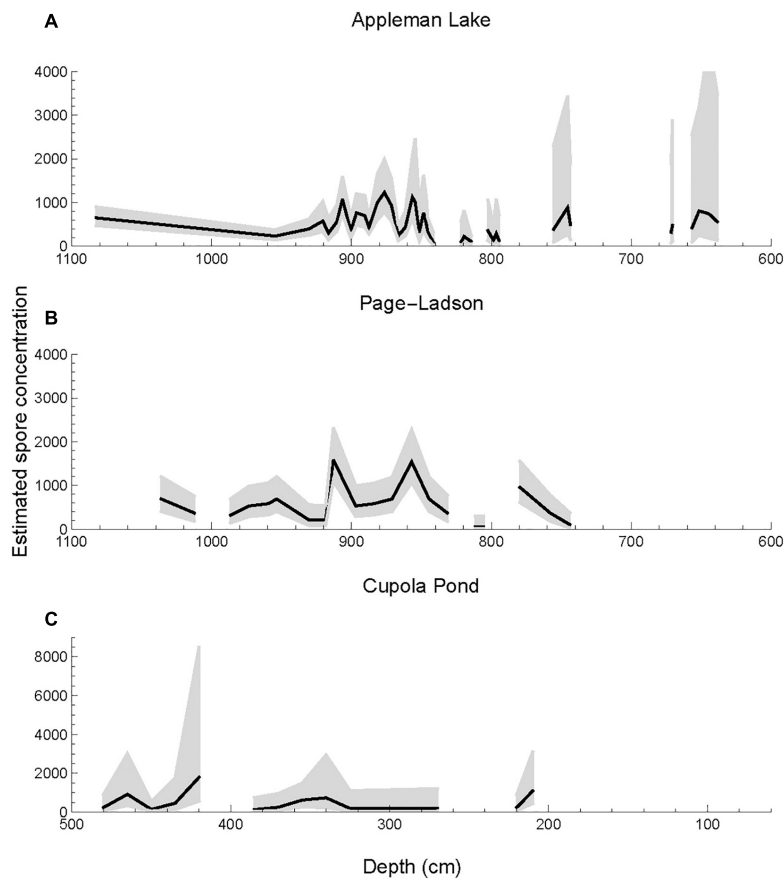


FIGURE 5

Estimated spore concentration (black) and 95% confidence interval (gray shading) for a single coprophilous (dung-inhabiting) fungal spores (CFS) taxon (*Sporormiella-Preussia* complex) data from (A) Appleman Lake, Indiana (Gill et al., 2009), (B) Page-Ladson, FL (Halligan et al., 2016), and (C) Cupola Pond, MO (Perrotti et al., 2022).

efficient to produce a presence/absence record rather than obtain counts (Nuñez Otaño et al., 2021; Romero et al., 2021). Our model shows that the probability of finding the first CFS increases as the total count increases (Figure 4), but peaks when the total count is equal to the number of tracers divided by the true number CFS in the sample. For instance, when there are 1,000 CFS and 10,000 tracers, one is most likely to encounter a CFS after counting ten tracers. In that scenario, the probability of finding the first CFS after counting a hundred objects is less than 0.0006.

4 Discussion

4.1 CFS concentration estimates have high uncertainty

Though Etienne and Jouffroy-Bapicot (2014) sought to establish a minimum tracer count, our models suggest that it is preferable to use an aggregate of CFS and tracers as a total sum, due to the variable tracer proportion among different samples. CFS concentration estimates rely on obtaining

a total count that reflects the true proportion of tracers (Maher, 1981). The uncertainty surrounding the proportion of tracers is large at small total counts ($n = 20$) and decreases as counts increase (Figure 1). Despite the uncertainty, our research indicates that 0.5 tracer proportion produces the most symmetrical uncertainty estimate, and that uncertainty narrows as tracer proportion increases. It is difficult to estimate spore concentration prior to adding tracers, so we recommend reducing uncertainty when possible, by adding additional tracers (0.9 tracer proportion has less uncertainty than 0.1) and increasing counts. Even at low tracer proportions (0.1), a high total count ($n = 500$) considerably reduces the uncertainty of concentration estimates as compared to a low total count ($n = 20$) (Figure 2). Figure 3 further demonstrates how uncertainty narrows at various tracer proportions with increased total counts. Due to the asymmetrical uncertainty, we suggest that it is more likely CFS are under-reported than over-reported in studies with low total counts.

Given the high range of uncertainty when reporting estimated CFS concentrations at low to moderate counts, fluctuations within a CFS record should be interpreted with caution and may not reflect a true change in CFS concentration.

This is demonstrated in simulated linear and abrupt CFS declines (**Supplementary Figure 1**) and by including 95% CIs surrounding concentration estimates of three established CFS records (**Figure 5**). Though the variation in CFS concentration estimates may result from changes in sediment deposition rate or preservation, the uncertainty in concentration estimates is an artifact of the counting process. Our findings align with **Maher's (1972)** work on uncertainty in pollen abundance estimates, and we similarly conclude that the counting threshold and 95% CI should be included when reporting palynological data.

4.2 Presence/Absence analysis

Given the high levels of uncertainty we note at low to moderate counts, a presence/absence approach may be useful in some circumstances. This method involves scanning microscope slides with a predetermined sample volume and relies on analyzing enough material to have a high likelihood of encountering a specific CSF taxon. We demonstrate that the probability of encountering a CFS spore occurring at moderate to high concentrations (1,000 CFS/sample) drops dramatically at relatively low counts (50 tracers). However, the probability of encountering a specific CSF taxon at very low concentrations ($n = 1$ or 10) in the sample continues to increase at high counts (> 750 tracers). Thus, collecting a tally of tracers while scanning can facilitate the assessment of the probability of encountering a CFS and strengthen interpretations of fungal taxon absence.

5 Conclusion

In conclusion, accurate CFS concentration estimates rely heavily on counts that reflect the true proportion of tracers within a sample. Our models indicate that a higher tracer proportion (0.9) results in the narrowest 95% CI on concentration estimates, and that higher total counts further narrow the 95% CI. For most samples, a threshold of 200 to 500 total counts produces a relatively narrow CI, which supports **Etienne and Jouffroy-Bapicot's (2014)** suggested threshold of 300 to 350 tracers. Future studies may plot the 95% CI on alongside mean concentration estimate curves. Additionally, a presence/absence approach is sometimes preferable.

Furthermore, we emphasize that variability in spore concentration estimates at various counting thresholds is one of many sources of uncertainty in CFS records including reproduction, interspecific CFS competition, ecology geographic distribution, and taphonomy (e.g., **Nuñez Otaño et al., 2021**). Future work should incorporate other sources of uncertainty into interpretations of CFS records. Though we have illustrated that uncertainties in concentration estimates caused by calculating tracer proportions may be responsible for the observed variance in many CFS time series (especially when CFS or tracer concentrations are low) we suggest that future

studies may mitigate this variance by increasing counts and considering the 95% CI of their estimates.

Data availability statement

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: <https://github.com/ramiadantsoa/SporeCount>.

Author contributions

AP conceptualized and led the research. TR developed the methods. All authors interpreted results, wrote, and revised the manuscript.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fevo.2022.1086109/full#supplementary-material>

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