

Chromosomal alterations in atherosclerotic plaques

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Abstract

Alterations of chromosomes 7 and 11 have been involved in the progression of atherosclerosis. Twenty-three carotid endarterectomy specimens were studied for the presence of alterations in chromosomes 7 and 11, and fibroblastic growth factor-3 (FGF-3) gene amplification. Besides classic histological stainings, immunophenotyping of cellular and vascular components and fluorescence in situ hybridization (FISH) were performed. At the caps, unstable plaques ($n = 18$) showed inflammatory infiltration of macrophages, smooth muscle cells, and T-lymphocytes. Specifically in these regions, the FISH showed varying percentages of trisomy (15/18) and tetrasomy (8/15) of chromosome 7. In four cases poliosomy 7 was noted in some nuclei. Monosomy of chromosome 11 and gene amplification of FGF-3 gene was observed. The FISH of the five stable plaques and normal arterial walls showed no chromosome alterations; furthermore, chromosome 3, which is not involved in atherosclerotic progression, presented a normal ploidy of smooth muscle cells in stable and unstable plaques and normal arterial walls. In conclusion, chromosome 7 and 11 alterations and FGF-3 gene amplification are components of unstable plaques, and might contribute to the evolution of stable plaques into complicated plaques. © 2001 Elsevier Science Ireland Ltd. All rights reserved.

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1. Introduction

The process by which a fibrofatty plaque evolves into a fibrotic lesion, or an unstable lipid rich plaque is not clearly understood [1].

We have shown that ruptured carotid plaques present mononuclear infiltrates in the caps, shoulders and bases of the plaques. The use of specific antibodies revealed that infiltrates consist of macrophages, T-lymphocytes and scarce B-lymphocytes [2,3]. This excessive accumulation of inflammatory cells in the caps of atherosclerotic plaques is believed to be the major cause of the progression of the disease and the origin of plaque rupture [1–3].

Recently, in a paper written by our group [4], it was shown that in unstable plaques, the chromosome 7 trisomy was the most constant and significant finding. The authors speculated that the presence of a 7 extra chromosome might be correlated with an over-expression of the gene of chain A of the platelet derived growth factor (PDGF), which is mapped at pter-q22 region [5]. As a consequence, an increase of smooth muscle cell (SMC) proliferation may take place [4]. Of note, the epidermal growth factor gene is also mapped on chromosome 7 [6,7].

Trisomy 7 was found as the unique chromosome alteration in benign and malignant tumors. It was shown in cultured cells from undamaged areas of the lung [8] and kidney [9] of patients that suffered from lung and renal carcinomas, reinforcing the debate of the role of this alteration in normal and tumoral tissue, and furthermore in atherosclerosis [10].

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It has been suggested that plaque progression involves cell deletion, proliferation and migration, and extracellular matrix synthesis and proteolysis [11,12]. These complex processes are regulated by a wide variety of factors that modulate the growth of vascular SMC and the production of mediators in the remodeling of extracellular compartments of arteries [11,12].

Chromosome instability has been suggested as the basis or consequence of increased proliferative activity of SMCs. Therefore, there appears to be a similarity between the atherosclerotic process and benign tumors [10,13]. Noteworthy is the recurring presence of trisomy 7 [4].

These observations encouraged us to investigate the influence of genetical alterations of chromosomes 7 and 11 in carotid atheromatosis progression. The gene for FGF-3 (fibroblastic growth factor-3) is located in the chromosome 11, locus 11q 13.3 (proto-oncogene FGF-3/INT2) [14]. Amplification of this gene has been reported in different types of malignant tumors [15]. However, its role has not been investigated in atherosclerosis. Therefore, alterations of chromosome 11 and FGF-3 gene might be of particular interest.

In brief, carotid endarterectomy specimens were investigated for alterations of the chromosomes 7 and 11, and amplification of the gene for FGF-3 using *in situ* hybridization. In this technique cellular relationships are maintained and it is possible to identify cell types expressing the gene of interest [16].

2. Methods

Carotid endarterectomy specimens were obtained from 23 consecutive patients (12 men and 11 women; mean age 65.5 years; range 43–76 years) treated by endarterectomy because of occlusive carotid disease with a lumen obstruction > 70%. Eighteen plaques were unstable and 5 were stable (see Section 3). Before surgery, all patients underwent a color echo-Doppler ultrasound examination, brain CT scan and carotid angiography. The method of endarterectomy involved a long arteriotomy with dissection of the atherosclerotic lesions *in toto*.

2.1. Tissue processing

Endarterectomies were fixed in 10% buffered formalin solution, and slowly decalcified in 2.5% nitric acid. All the specimens were paraffin embedded and horizontally sliced into 1 mm. segments. Paraffin blocks were serially cut at 3–5 μ m thickness, and, every 20th section stained with hematoxylin and eosin and Azan. The following 15 serial sections were mounted on poly-L-lysine coated slides and used for immunostaining techniques and fluorescence *in situ* hybridization (FISH).

2.2. Immunocytochemistry

Immunophenotyping of cells present in carotid plaques was performed with monoclonal antibodies to identify lymphocyte subsets (T-cells, CD45RO, Biogenex; B-cells, CD20, Dako Co.; Cytotoxic/suppressor, CD8, Dako Co; Helper/inducer, OPD4, Biogenex), macrophages (CD68, Dako Co.), endothelial cells (CD31, CD34, Biogenex; Factor VIII, Ylem-Milano) and smooth muscle cell hyperplasia (HHF35, Biogenex). Detection systems used were: 1-Streptavidin biotin peroxidase or/and alkaline phosphatase (Biogenex, San Ramón, CA) and 2-EPOS (Dakopatts, Carpintería, CA). When double immunostaining was required, EPOS system, a single step with peroxidase conjugated primary antibodies was performed, followed by a second detection with streptavidin biotin alkaline phosphatase. Development of peroxidase reactions was carried out with 3, 3' diaminobenzidine and fast red was used to visualize alkaline phosphatase reactions. Thus, the first antigen was decorated in brown and the second in bright red. Negative controls were run simultaneously with irrelevant antibodies of the same isotype.

2.3. FISH

The α -satellite DNA probe specific for the centromeric region of chromosomes 7 and 11, labelled with biotin (ONCOR Gaithersburg MD, USA) were used. The probe specific for genomic sequences, including the FGF-3 locus, was also employed (ONCOR).

Three to 5 μ m thick paraffin-embedded sections were deparaffinized by two changes of xylene for 10 min each, then two changes of 100% ethanol for 5 min each. Slides were removed from ethanol and allowed to air dry. Pretreatment solution (ONCOR) preheated at 45°C was prepared before incubating the slides for 15 min. Slides were rinsed in 2 \times SSC and then protein digestion with a solution of proteinase K 0.25 mg/ml at 45°C for 15 min was performed. Slides were rinsed in 2 \times SSC for several s and dehydrated in 70, 80 and 95% ethanol for 1 min each and allowed to air dry.

Centromeric probe was prepared by mixing 1.5 μ l of the probe with 30 μ l of Hybrisol VI (ONCOR). The probe was applied to the prepared air-dried slides (15 μ l) and coverslipped.

Both probes and target DNAs on the slides underwent denaturation on a 67 \pm 2°C hot plate for 5 min and then overnight incubation in a pre warmed humidified chamber at 37°C.

The hybridized signals were detected by using a commercial kit (FITC avidin detection kit, ONCOR). Propidium iodide 2.5 μ g/ml in antifade was used for counterstaining.

The α -satellite DNA probe specific for the centromeric region of chromosome 3, that is not involved

in atherosclerotic progression, was also used to ensure that these cells have two FISH positive signals in each nuclei.

For scoring, a Leitz Orthoplan with Ploemopak incident-light fluorescence microscope, equipped with $\times 40$, $\times 100$ and oil immersion objectives and ultraviolet excitation filter sets were used.

Only interphase cell nuclei with intact morphology were scored.

The FISH method was also applied to five fragments of the walls of normal arteries, which were used as controls.

In order to confirm changes in the number of chromosomes due to the orientation of the nuclei section, interphasic cytogenetic studies on isolated nuclei were performed. Nucleus extraction from the single paraffin embedded sections were processed according to Liehr et al. [17,18]. In brief, 25 μm sections of paraffin-embedded tissue were collected in glass tubes, deparaffinized, rehydrated, and covered with proteinase K solution. Fluid and nuclei were passed through a 55 μm nylon mesh and were collected in a plastic tube. The nuclei were obtained by centrifugation and the supernatant was removed. An aliquot of the suspension was put on a clean and dry slide. The slides were post-fixed in 100 ml% buffered formalin solution, were washed in PBS and dehydrated in alcohol. Finally, the slides were subjected to a normal FISH procedure.

3. Results

The carotid bifurcation and the first 10–15 mm. of the internal carotid were involved in all 23 cases.

3.1. Immunocytochemical findings

The characteristic feature of unstable plaques was the presence of large lipid cores with a thin fibrous cap overlying the lipid core and a band of fibrous tissue of different thickness separating the plaque from the remaining media [19]. In these cases, extensive chronic inflammatory infiltrates consisted of macrophages (CD68 +), approximately 2/3 of the total infiltration. The remaining 1/3 was made-up of T-lymphocytes and scarce B-lymphocytes. CD8 + cells predominated among T lymphocytes while CD4 + cells were very sparse. Smooth muscle cells in different quantities were always present. In five cases corresponding to stable plaques, the specimens were composed of dense fibrous connective tissue without a clear lipid core, as well as irregular masses of calcified material and scarce cellular and vascular components.

Two types of lipid cores could be observed. Avascular or mild vascularized with scarce lipid content and a lipid rich, highly vascularized one, with neofomed vessels stained with CD34 and CD31.

3.2. FISH

Varying percentages of cells with three fluorescent signals, indicating the presence of trisomy of chromosome 7, were found in 15 of the 18 ‘unstable plaques’ (83.3%) and limited to the regions with high cellularity. Tetrasomy was observed in eight cases, and polisomy (more than four signals) were denoted in four cases (Fig. 1). Cells with locations of three hybridization signals were most frequent in all positive cases, suggesting that trisomy seven is the most common feature of unstable plaques (Table 1).

Chromosome 7 trisomy was limited to the actin smooth muscle positive cell population (HHF35).

Regarding chromosome 11 and in contrast to chromosome 7 findings, a lack of a chromosome was observed in at least 20% of cells, (Fig. 2). The gene for FGF-3 showed a marked amplification in approximately 2/3 of SMC (locus 11q13.3) (Fig. 3).

The FISH analysis of the stable plaques and normal arterial walls showed only cells with two signals per nucleus and without numerical alterations of the chromosomes 7 and 11, or alterations of the gene for FGF-3 (Fig. 4).

Concerning chromosome 3, it presented 2 FISH positive signals in each nuclei, pointing out a normal ploidy of SMC in stable and unstable plaques, and normal arterial walls (Fig. 5).

Interphasic cytogenetic studies on isolated nuclei of SMC showed the presence of trisomy, tetrasomy and polisomy of chromosome 7 and monosomy of chromosome 11 (Fig. 6), in at least 20% of the analysed nuclei 200. These data confirmed the results obtained in the tissue sections.

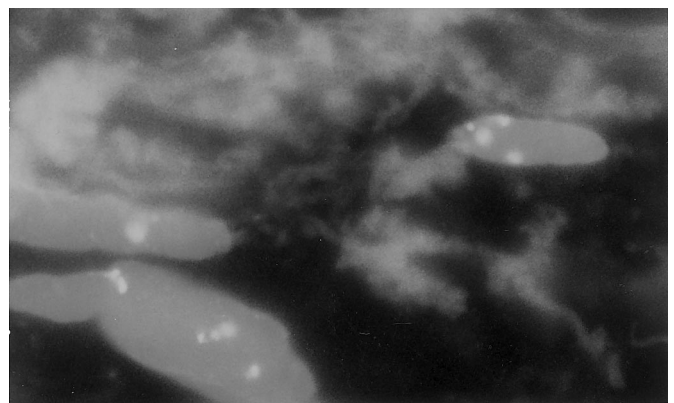


Fig. 1. FISH with the probe for chromosome 7 on paraffin-embedded section of an unstable atherosclerotic plaque. The cell nuclei show several hybridization signals (trisomy, tetrasomy and polisomy 7) ($\times 1000$).

Table 1
Cytogenetic classification of 18 cases of human unstable atherosclerotic plaques with numerical aberrations of chromosome 7, based on FISH data from interphase cell nuclei (200 cells analyzed per case)

Case number	FISH cytogenetic classification		
	% Cells with TRISOMY 7 (3 signals/nucleus)	% Cells with TETRASOMY 7 (4 signals/nucleus)	% Cells with POLYSOMY 7 (>4 signal/nucleus)
1	20	3	3
2	19	1	–
3	30	8	–
4	25	5	–
5	18	2	2
6	15	–	–
7	37	6	–
8	10	–	–
9	47	15	4
10	20	6	–
11	25	–	3
12	33	–	–
13	25	15	–
14	19	8	–
15	22	–	–
16	–	–	–
17	–	–	–
18	–	–	–

4. Discussion

Several initiating mechanisms responsible for atherosclerosis development have been postulated including the response-to-injury theory and the theory of viral atherogenesis. The monoclonal theory of atherosclerosis postulates that the initial vascular SMC proliferative event involves the expansion of a single cell or a sub-population of cells, thus implying differences in the replicative potential of SMCs [20]. The monoclonal nature of many cancers is well-documented, and previous data analyzing mosaicism and chromosomal abnormalities in humans have demonstrated monoclonal expansions in atherosclerotic lesions [21,22]. Several lines of evidence suggest that mutation events may be involved in the development of atherosclerosis [21,22].

In this paper, it was shown that unstable atherosclerotic plaques presented a variety of chromosomal abnormalities (trisomy and tetrasomy of chromosome 7 and monosomy of chromosome 11). Since the study was performed on tissue sections, isolated cells were also used in our laboratory to confirm these results.

Additionally, the gene for FGF-3 showed a marked amplification in 2/3 of the SMC. As previously mentioned, these alterations were observed in unstable plaques, but neither in stable plaques nor normal arterial walls. On the other hand, normal disomy of chromosome 3 was observed in preserved and in abnormal arteries. This ensured that general ploidy of SMC in atherosclerosis is not being evaluated and that the data truly represents important chromosomal abnormalities for this disease.

Immunophenotyped SMCs of unstable plaques showed chromosome 7 trisomy and tetrasomy, thus identifying a clonal expansion corresponding to hyperplastic SMC.

Accordingly, two plaque SMC lines with extended life spans, pdSMC1A and pdsSMC2, were generated by the expression of the human papillomavirus (HPV)-16 E6 and E7 genes [20]. The first line may be taken to represent cells comprising an advanced atherosclerotic lesion and demonstrated several chromosomal abnormalities, most commonly $-Y, +7, -13$. The pdsSMC2 line may represent an earlier plaque SMC phenotype without presenting chromosomal alterations [20].

The genotype $-Y, +7$ is not new in the literature regarding atherosclerosis and SMCs [4,10,13]. The prevalence of $+7$ genotype in plaque SMCs involves the gene(s) located in this chromosome. Some of its products are PDGF A, tropoelastin, AQP1/CHIP28, nitric oxide synthase and EGF receptor [20].

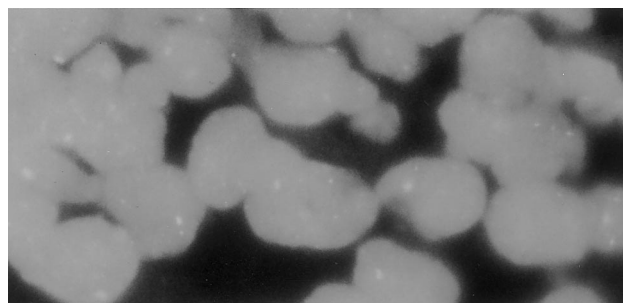


Fig. 2. Chromosome α 11. Some cells show a pair of chromosome 11, while others show a single chromosome.

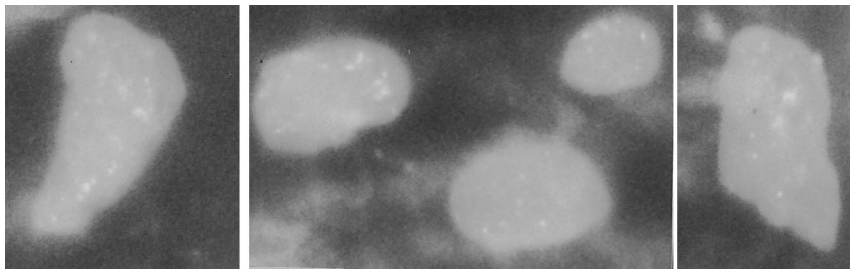


Fig. 3. FGF-3 gene amplification in smooth muscle cells. ($\times 1000$).

Moreover, the finding of a clonal expansion, which may be considered a biological characteristic of the lesion, is the mechanism involved in the benign tumor production. As explained above, some authors [23,24] have already suggested a similarity between atherosclerotic plaque and benign tumors. Conversely, stable plaques do not present these chromosomal abnormalities.

Monosomy was found in chromosome 11, combined with a gene amplification of locus 11q13.3. Kato [25] has shown that genes coding for apolipoprotein A-1, apo C-III and A-IV are located on the long arm of chromosome 11. Genetic variation at the (apo) A-1/C-III/Aiv gene cluster on chromosome 11 has been associated with difference in occurrence of atherosclerosis and with variability in lipid levels [26].

Transcripts from FGF-3, a member of the fibroblast growth factor gene family, accumulate in cells from tumorigenic clones, but are undetectable in those from non-tumorigenic clones [27]. The physiological activity of FGF includes acting as a potent mitogen stimulating angiogenesis, brain development, cartilage formation and soft tissue repair [28]. The amplification and deletion of the FGF-3 oncogene was identified in human tumors of the breast, ovary and endometrium [15,29]. It is currently well-established that the activation of proto-oncogenes could trigger uncontrolled cell growth.

Within the last few years, a number of specific growth factors have been localized in developing lesions of atherosclerosis (PDGF, FGF, TGF β , IGFs, PAF). This localization was not observed in normal vessels. And because of their pleiotrophic activities, a role is suggested in atherosclerosis progression [30].

Regarding FGF-3 gene amplification, as it is localized to chromosome 11, might be under-represented in the unstable plaques, taking into account that it was shown to present monosomy in a high percentage of total cells analyzed. However, FGF-3 gene amplification has been observed in cases of normal ploidy, as well as in cases of monosomy.

Chromosome 11 monosomy might be the consequence of, or contribute to, the genomic alterations involved in the atherosclerotic process. Its presence has been shown in the evolution of some neoplastic lesions [15]. For the

first time, chromosome 11 monosomy is described to be related to the atherosclerotic plaque.

Pathological accumulation of cells may be due to migration of macrophages and T lymphocytes and to SMC proliferation [19]. It is now well-established that artery injury triggers excessive cell migration and proliferation and these events are considered a major cause of plaque lesion [11].

Cell accumulation in caps of plaques is the result of cell migration, growth, and death [31]. Accordingly, apoptosis was frequently found ($10.8 \pm 1\%$) in the fibrous caps of the internal layers of the lipid cores of unstable plaques where an outstanding hyperplasia of SMC and macrophages was evident [32].

On the other hand, extensive SMC proliferation is considered a hallmark of early atherosclerosis [33]. This proliferation is stimulated by different factors like cytokines and PDGF [5,6].

These findings do support the hypothesis that genetic instability may contribute to neointimal lesion. Further investigation is therefore necessary to clarify whether the predominant cytogenetic abnormalities observed in the present study contributed to the outgrowth of this population or are the consequence of other selective stimuli.

Mechanisms of atherogenesis and plaque rupture are complex processes including gene alterations, immune mediated cell changes, and alteration of growth and proliferation pathways due to various factors.

The therapeutic goals now focus on the reduction of plaque progression and prevention of plaque instability and rupture. The molecular understanding of these processes related to cell migration, proliferation and death, opens new strategies for the preventive treatment of these lesions. Interruption of growth factor activity or other regulatory mechanisms may prevent the onset, progression, and development of further complications and may even induce plaque regression.

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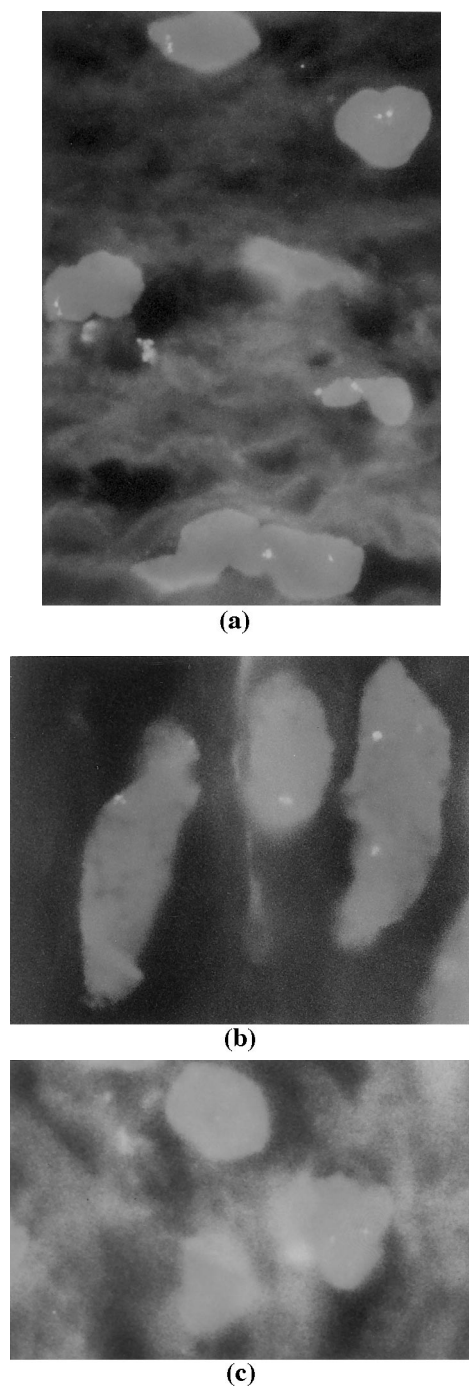


Fig. 4. No numerical alterations of the chromosome 7 (A) and 11 (B), and no alterations of the gene for FGF-3 (C) were observed in stable plaques and normal arterial walls ($\times 1000$).

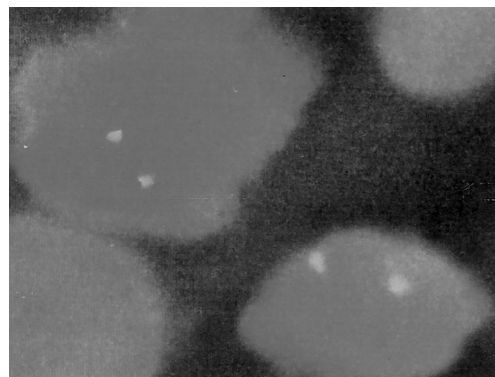


Fig. 5. Chromosome 3 presented two FISH positive signals pointing out a normal ploidy of SMC ($\times 1000$).

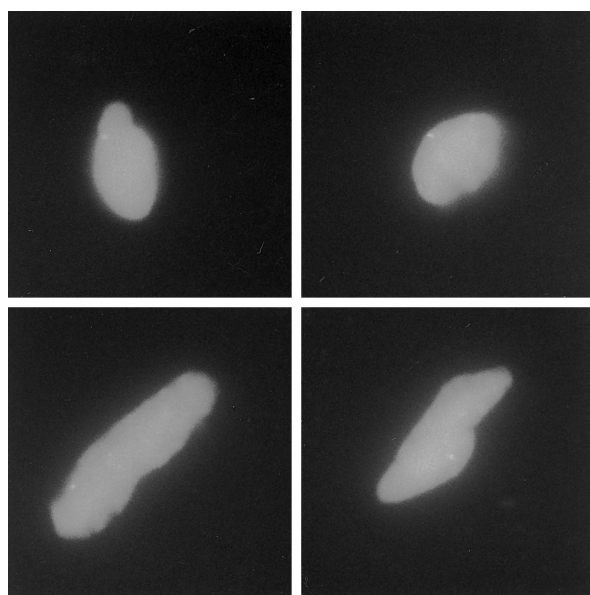


Fig. 6. FISH on isolated nuclei of SMC from unstable plaques showing monosomy of chromosome 11 ($\times 1000$).

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