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## Overview of recent TJ-II stellarator results

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# OVERVIEW OF RECENT TJ-II STELLARATOR RESULTS

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**Abstract:** The main results obtained in the TJ-II stellarator in the last two years are reported. The most important topics investigated have been: modelling and validation of impurity transport, validation of gyrokinetic simulations, turbulence characterisation, effect of magnetic configuration on transport, fuelling with pellet injection, fast particles and liquid metal plasma facing components.

As regards impurity transport research, a number of working lines exploring several recently discovered effects have been developed: the effect of tangential drifts on stellarator neoclassical transport, the impurity flux driven by electric fields tangent to magnetic surfaces and attempts of experimental validation with Doppler reflectometry of the variation of the radial electric field on the flux surface. Concerning gyrokinetic simulations, two validation activities have been performed, the comparison with measurements of zonal flow relaxation in pellet-induced fast transients and the comparison with experimental poloidal

variation of fluctuations amplitude. The impact of radial electric fields on turbulence spreading in the edge and Scrape-Off Layer has been also experimentally characterized using a 2-D Langmuir probe array. Another remarkable piece of work has been the investigation of the radial propagation of small temperature perturbations using Transfer Entropy. Research on the physics and modelling of plasma core fuelling with pellet and TESPEL injection has produced also relevant results. NBI-driven Alfvénic activity and its possible control by ECCD has been examined as well in TJ-II. Finally, recent results on alternative plasma facing components based on liquid metals are also presented.

## 1. INTRODUCTION

The TJ-II stellarator (helical type, major radius 1.5 m, minor radius  $\leq 0.22$  m, four periods, average magnetic field on axis 0.95 T, plasma volume  $\leq 1$  m<sup>3</sup>) is entering its third decade of operation. Both ECRH (two gyrotrons, 53.2 GHz,  $P \leq 300$  kW each, suitable for X2 heating) and NBI heating (two  $H^0$  injectors,  $E \leq 30$  kV,  $P \leq 600$  kW each) can be used to produce and sustain the discharge. Plasma physics research in TJ-II is focused on some selected areas posing fundamental problems that must be solved in the development of reactor-grade magnetic confinement devices, namely: impurity accumulation in stellarators, turbulence, effects of the magnetic configuration on transport in stellarators, plasma core fuelling with pellet injection, fast particle driven modes, power exhaust physics and technology, among others. The very wide range of configuration flexibility of the device (edge rotational transform can be varied from 1.0 to 2.2) and its rather complete set of advanced diagnostics have been instrumental for the plasma research done so far by the TJ-II team. In recent years, a substantial effort has been put into strengthening the team's modelling capability in order to tackle the validation of theory in the areas of transport, turbulence, magnetic topology, electric fields, fast particles and the relations among them. Whenever feasible the modelling results have been extended to the largest stellarators/heliotrons in operation, namely LHD and W7-X. It must be noted that work done on TJ-II is relevant for both W7-X and LHD and it also aims to promote synergies between the tokamak and stellarator lines through its contributions to ITER and ITPA research programmes.

This paper reports the main results obtained in the last two years and is organised along the list of topics mentioned in the abstract, each section covering one of them.

## 2. NEOCLASSICAL IMPURITY TRANSPORT

Impurity accumulation is one of the main problems that limit the plasma performance via increased radiative losses and plasma dilution. For stellarator reactor-relevant conditions, accumulation is explained as due to the negative (inwards pointing) radial electric field required to satisfy the ambipolarity constraint on the neoclassical particle fluxes. But there are some experimental observations of outward impurity flux not well understood in stellarators [1, 2] that call for improvements of the neoclassical theory. In this context a number of working lines exploring several recently discovered effects are being developed.

### 2.1 Effect of tangential drifts on stellarator neoclassical transport and discussion on the solutions for $\varphi_1$ in low collisionality regimes

Two important ingredients have often been neglected in standard neoclassical theory and simulations of stellarator plasmas. First, the component of the electrostatic potential that is non-constant along the flux surface, that we denote by  $\varphi_1$ . Only recently has it

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2  
3 been acknowledged [3] that  $\varphi_1$  can affect radial impurity transport via the radial  $E \times B$   
4 advection of the variations of impurity density along the flux surfaces, which has triggered  
5 the interest in its calculation [4], [5], [6], [7], [8]. The computation of  $\varphi_1$  has been included  
6 in some modern neoclassical codes, but the number of simulations in which  $\varphi_1$  has been  
7 calculated is still scarce [4]. The systematic analytical description of  $\varphi_1$  has received little  
8 consideration as well. The second ingredient that has often been missing in stellarator  
9 neoclassical calculations is the component of the magnetic drift that is tangent to the flux  
10 surface, whose effect is essential to correctly determine the radial neoclassical fluxes of  
11 the bulk ions at low collisionality and small radial electric field values.

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14 In reference [9], low collisionality neoclassical equations that include  $\varphi_1$  and the tan-  
15 gential magnetic drift have been rigorously derived for sufficiently optimized stellarators,  
16 and the radial neoclassical fluxes for the main ions have been computed in the  $1/\nu$ ,  $\sqrt{\nu}$   
17 and superbanana-plateau regimes. Besides, it has been proven that  $\varphi_1$  can become espe-  
18 cially large in plasma regimes in which the tangential magnetic drift counts. Therefore,  
19 the two ingredients mentioned above must be simultaneously and consistently treated, in  
20 general. The orbit averaged code KNOSOS (KiNetic Orbit-averaging-Solver for Stellarator-  
21 tors), recently developed, has been used to solve the equations derived in [9].

22  
23  
24 In reference [10], a thorough analysis of the solutions for  $\varphi_1$  in the  $1/\nu$ ,  $\sqrt{\nu}$  and  
25 superbanana-plateau regimes has been presented. The different asymptotic regimes for  
26  $\varphi_1$  have been identified analytically and verified with KNOSOS.

## 2.2 Effect of tangential magnetic drifts on the calculation of $\varphi_1$ for realistic stel- larator plasmas: LHD stellarator

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37 Reference [10] used academic configurations and plasma profiles in order to illustrate the  
38 different neoclassical regimes. In reference [5], it has been proven that the tangential  
39 magnetic drift is also relevant for the calculation of  $\varphi_1$  in realistic stellarator plasmas.  
40 We have chosen, for the Large Helical Device, LHD, two different scenarios: medium  
41 density [11] and low density with very high ion temperature. The latter presents a spe-  
42 cially small negative radial electric field [12], a situation in which the contribution of the  
43 superbanana- plateau regime to bulk ion transport is expected to be largest. The numer-  
44 ical calculations were done with the code KNOSOS following [9], and the results for the  
45 high-temperature plasma are shown in Fig. 1. On the left, it is depicted a calculation in  
46 which the tangential magnetic drift is artificially switched off. On the right, it can be  
47 observed that the calculation that keeps the tangential magnetic drift yields a quite larger  
48  $\varphi_1$ , with a different phase. These phase differences are likely to have a strong impact on  
49 the impurity transport driven by tangential electric fields, a matter that will be discussed  
50 later in the paper. Experiments have been planned for the incoming deuterium campaigns  
51 of LHD [13] in order to validate these predictions. It is worth noting that the calculations  
52 were performed with a version of KNOSOS that relied on the splitting of the magnetic  
53 field strength into two parts, one corresponding to a perfectly optimized stellarator, and  
54 one corresponding to the deviation from it. This splitting cannot be accurately done  
55 for LHD and this may give rise to quantitative inaccuracies (that are discussed in [5]),  
56 although the qualitative results are expected to hold.

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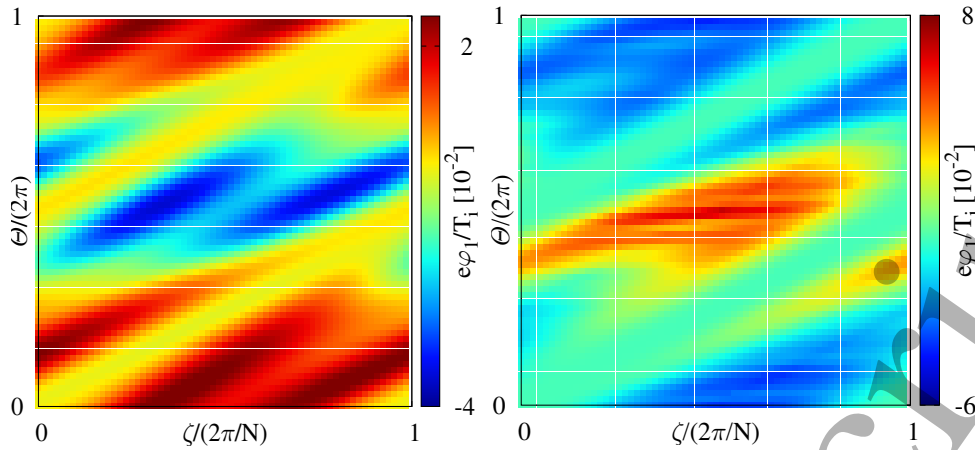


FIG. 1: Calculations of  $\varphi_1$  with the KNOSOS code, at normalised radius,  $r/a=0.6$ . Left: with the tangential magnetic drift artificially switched off. Right: keeping the tangential magnetic drift. Note the different scales in both plots.

### 2.3 Impurity flux driven by electric fields tangent to magnetic surfaces in stellarators

The standard argument to explain the accumulation of impurities with  $Z \gg 1$  relies on the, in principle, large inward pinch in the neoclassical radial impurity flux,  $\Gamma_z$ , caused by the typically negative stellarator radial electric field  $E_r$ . This argument was proven to be flawed, at least in some important cases, in [14], where it was shown that, if the main ions have low collisionality and the impurities are collisional, then  $\Gamma_z$  does not depend on  $E_r$ . This result was interpreted in a positive way because it diminished the prevalence of  $E_r$  in  $\Gamma_z$  and made "temperature screening" (that is, the reduction or absence of impurity accumulation thanks to the contribution of the temperature gradient to  $\Gamma_z$ ) more likely than previously thought.

In [14], the effect of  $\varphi_1$  on  $\Gamma_z$  was not included. In [6], we give an analytical calculation of  $\Gamma_z$  for low collisionality main ions and collisional impurities, incorporating the effect of  $\varphi_1$ . We show that this effect can be very strong for  $Z \gg 1$  and that, once it is taken into account, the dependence of  $\Gamma_z$  on  $E_r$  reappears. In addition, we prove that such an effect is stellarator specific (i.e.,  $\Gamma_z$  in a tokamak does not depend on  $E_r$ , whatever  $\varphi_1$ ). A careful analysis of the final expression for  $\Gamma_z$  in stellarators (that reduces to the expression derived in [14] when  $\varphi_1$  vanishes) reveals that the impact of  $\varphi_1$  starts to be important for  $e|\varphi_1|/T=O(\epsilon)$ , where  $\epsilon$  is the inverse aspect ratio. Our analytical results have been applied to the calculation of the neoclassical particle flux  $\Gamma_z$  versus  $E_r$ , for three charge states of tungsten in an LHD plasma, see [6]. The computation of  $\varphi_1$  has been carried out with the code EUTERPE. The results show a large difference in the neoclassical impurity flux obtained with and without  $\varphi_1$ , and a strong dependence of the flux on  $E_r$  when  $\varphi_1$  is included. Although the effect of  $\varphi_1$  is typically to increase impurity accumulation, there is a small region in parameter space where its inclusion leads to impurity expulsion.

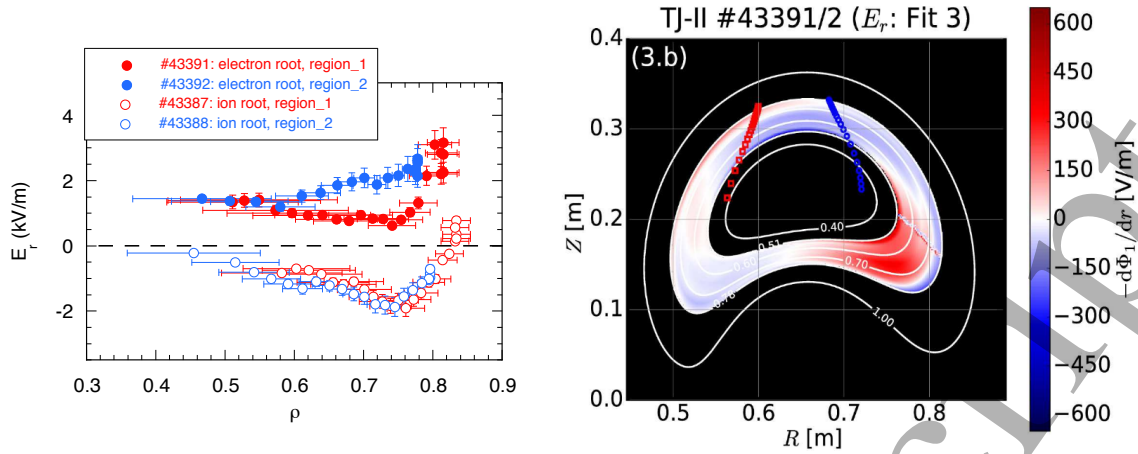


FIG. 2: Left,  $E_r$  profiles measured by the Doppler reflectometer at turbulence scales  $k_\perp \sim 6 - 8 \text{ cm}^{-1}$ , in the two plasma regions (1 in red and 2 in blue) in both electron and ion root regimes. Right, calculated contribution to the radial electric field component that arises from the radial dependency of  $\varphi_1$ . Plasma regions 1 and 2 are indicated by consistently coloured thick lines in the upper (external) part of the plasma cross section.

## 2.4 Experimental validation with Doppler reflectometry of the variation of the radial electric field on the flux surface in electron-root stellarator plasmas

The interest in the role of the electric field tangent to the surface on the transport of impurities, commented in section 2.1, has dealt in most cases with ion-root plasmas, whereas electron root conditions have been barely investigated. On the other hand, since  $\varphi_1$ , the part of the electrostatic potential that leads to the electric field tangent to the surface, depends parametrically on the flux surface label as well, it can cause variations of the total radial electric field over the flux surface.

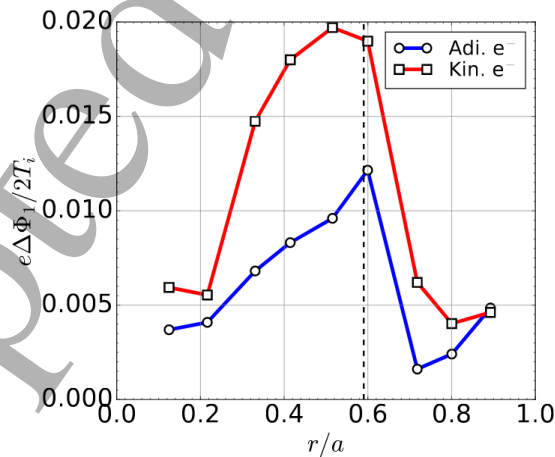


FIG. 3: *EUTERPE* calculation with both kinetic (red) and adiabatic (blue) electrons of the maximum difference of  $\varphi_1$ , normalized to the ion temperature, over several flux surfaces of a high ripple W7-X configuration in CERC plasma conditions. The dashed vertical line indicates the radial position where  $E_r=0$ . On the left and right of this line the input radial electric field is positive and negative, respectively.

These two elements of the problem have been recently addressed [7] motivated by the observation of strong differences in the total radial electric field measured at different points over the same flux surface with the TJ-II Doppler reflectometer (DR) in electron-root plasmas (see Fig. 2, left). In Fig. 2, right, we show the calculated contribution to the radial electric field component that arises from the radial dependency of  $\varphi_1$  for a TJ-II electron-root plasma (shots 43391 and 43392). Although the agreement at the specific DR measurement positions has not been quantitatively captured by the numerical simulations performed with the code EUTERPE (see ref. [7] for details), the fact that those differences occur in electron-root conditions and are practically absent under ion-root has been well reproduced by the simulations. Similar results were obtained closer to the plasma edge in ref. [15]. Moreover, the simulation and experimental analysis of asymmetric radiation patterns resulting from  $\varphi_1$ -driven inhomogeneous impurity density in TJ-II, in electron- and ion-root plasmas, are ongoing.

Motivated by the fact of having similar DR systems installed in W7-X, a plasma presenting Core Electron Root Confinement (CERC) conditions, produced in the first W7-X campaign [16, 17, 18], has been also considered for carrying out a similar analysis. In particular a high mirror configuration has been chosen due to its higher ripple in comparison with the standard configuration used in our previous work [4] and due to its higher likelihood to exhibit large  $\varphi_1$  values and related effects. The results obtained, see Fig. 3, show that the region of the core in electron-root (inside  $r/a=0.6$  approx.) shows considerably larger  $\varphi_1$  amplitude than the region in ion root, which can lead to large radial electric field differences over flux surfaces in the vicinity of the root transition. The substantially different results obtained with adiabatic or kinetic electrons confirms the need of making use of the latter for an accurate calculation of  $\varphi_1$ , which, as shown in other works [8], can be larger than previously estimated in W7-X [4].

### 3. EXPERIMENTAL VALIDATION OF GLOBAL GYROKINETIC SIMULATIONS

Zonal flows (ZF) are recognized as an important mechanism for the self regulation of plasma turbulence. The appropriate framework to study plasma turbulence is the gyrokinetic formalism, in which kinetic simulations are affordable using appropriate codes and supercomputers. However, these codes should be carefully validated against experiment in order to be reliable. Scale resolved turbulence measurements are important to validate the simulations and to identify the type of turbulence at work. Some properties of ZFs and plasma turbulence have been simulated and experimentally validated in TJ-II.

#### 3.1 Comparison between measurements of zonal flow relaxation in pellet-induced fast transients and gyrokinetic simulations

The linear ZF relaxation in stellarator geometries has been studied from different perspectives. The problem of linear collisionless relaxation of ZFs in TJ-II was first studied in numerical simulations in [19]. From the analytical point of view, the long-term collisionless relaxation of zonal potential perturbations has been studied in [20, 21, 22, 23]. In particular, it has been proven that the relaxation process in stellarators exhibits a characteristic low frequency oscillation (that is absent in tokamaks), and analytical approximations for this frequency have been derived. In reference [23] semianalytical predictions were compared to results of numerical simulations carried out with the gyrokinetic codes GENE [24]

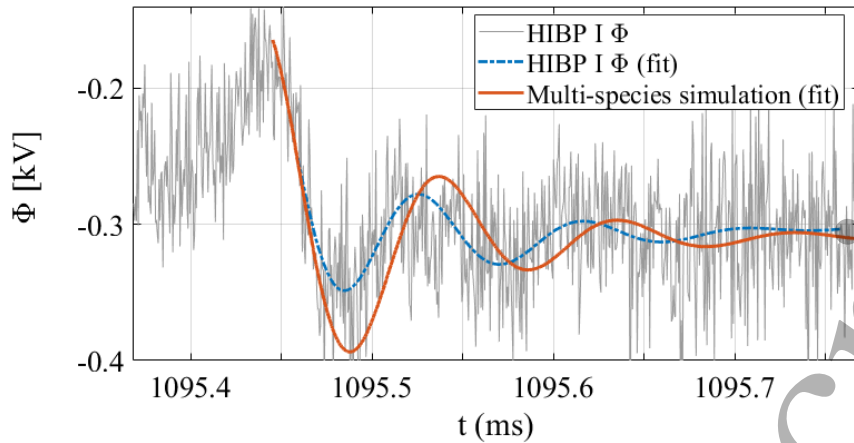


FIG. 4: Comparison of a potential relaxation experimentally measured by the HIBP diagnostic in plasma discharge 39063, the corresponding fit (in blue) and the fit of multi-ion simulated relaxation (in orange) to a damped oscillatory model (including the correction to the frequency introduced by the formula 21 from [29]).

and EUTERPE [25] in different stellarators (TJ-II, W7-X and LHD). Good agreement has been found between analytical estimations of the ZF oscillation frequency and the results from numerical simulations. This cross-benchmark has allowed not only testing the analytical calculations but also verifying the codes in this setting.

During pellet injection experiments in TJ-II [26, 27], a sudden global perturbation to the plasma potential was detected at the radial location of pellet ablation which undergoes a fast oscillatory relaxation, see Fig. 4. This phenomenon was studied numerically in global linear gyrokinetic simulations with the code EUTERPE, using the experimental plasma profiles as input for the simulations [28]. This constituted the first experimental observation of the LFO predicted analytically in [21]. The numerical simulations of oscillation frequency and damping rate of the potential oscillations reproduced qualitatively the experimental oscillations, although a quantitative agreement was not found in the first single-species simulations.

In a second step, the ZF relaxation has been studied numerically in a multi-species plasma with TJ-II configurations [29]. Multi-species collisional simulations carried out with the experimental plasma profiles from the pellet injection experiments previously analyzed in [28] showed an improved quantitative agreement in frequency and damping rate between experiment and simulations [29], as shown in Fig. 5. The damping rate is significantly increased when impurities are considered in the simulation. Simulations including impurities and adiabatic electrons showed a reduced oscillation frequency with respect to the single-ion case but the extrapolation for a multi-species plasma with kinetic-electrons, using formula 21 from [29], gives frequency values closer to the experimental ones. Fig. 5 shows the overall agreement between ensembles of experimental and simulated data obtained using the above mentioned multi-ion adiabatic-electron simulations and the extrapolation using formula 21 from [29]. The agreement between ensembles is better than the one for individual shots due to experimental uncertainties.

It must be noted that in the present state of the EUTERPE code the gyro-kinetic version employed in this section does not include any term related to the electrostatic



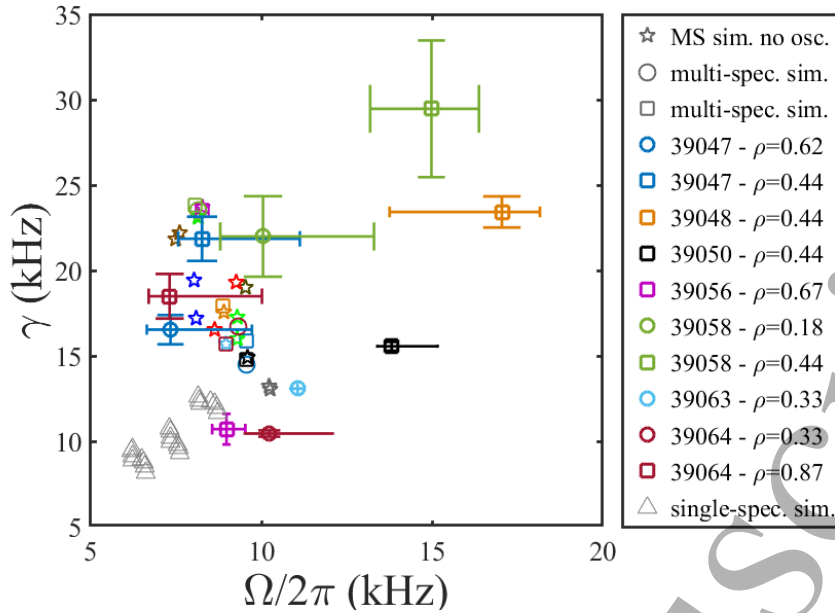


FIG. 5: Damping rate ( $\gamma$ ) vs oscillation frequency ( $\Omega$ ) for the experimental oscillations detected in [28]. Data are shown for the specified TJ-II discharges and for numerical simulations, both with a single (grey triangles) and with multiple kinetic species, namely hydrogen ions+electrons+C<sup>4+</sup> impurity ions (circles, squares and pentagrams) [29]. The same color is used for experimental points (showing error bars) and for multi-species simulated discharges (without error bars). Note that some plotted discharges showed no oscillations (pentagrams). For some discharges with insufficient profile data, profiles from a similar discharge were used in the simulation. In the first three rows of the legend, symbols are shown with a single grey color to avoid an excessive legend length. The multi-species simulation data in this figure are corrected relative to a previous version in Figure 13 from [29].

potential variation provided as output by the neoclassical version. The inclusion of those terms into the gyro-kinetic version (e.g. considering the modified neoclassical equilibrium as background distribution, or including the related ExB drift and mirror terms) may be important when impurities are taken into account, and their absence so far may be a source of disagreement between experiment and simulation. First steps towards the coupling of both versions are currently being made.

### 3.2 Validation of gyrokinetic simulations with density fluctuations spectra measured by Doppler reflectometry

Global gyrokinetic simulations of electrostatic microinstabilities have been carried out with the EUTERPE code [30] and validated with dedicated experiments performed during the 2017 experimental campaign in TJ-II [31]. The Doppler reflectometer provides information on the density fluctuation wave-number spectra as well as the radial electric field and is used as the basic diagnostic for the characterisation of the plasma edge turbulence. Several ECRH scenarios have been studied in which plasma profiles are modified by changing the heating power and deposition location. Measurements have been compared to numerical simulations in which the plasma profiles and the magnetic configuration used as input are the same as the experimental ones.

Electron-driven modes, which are compatible with Trapped Electron Modes (TEM),

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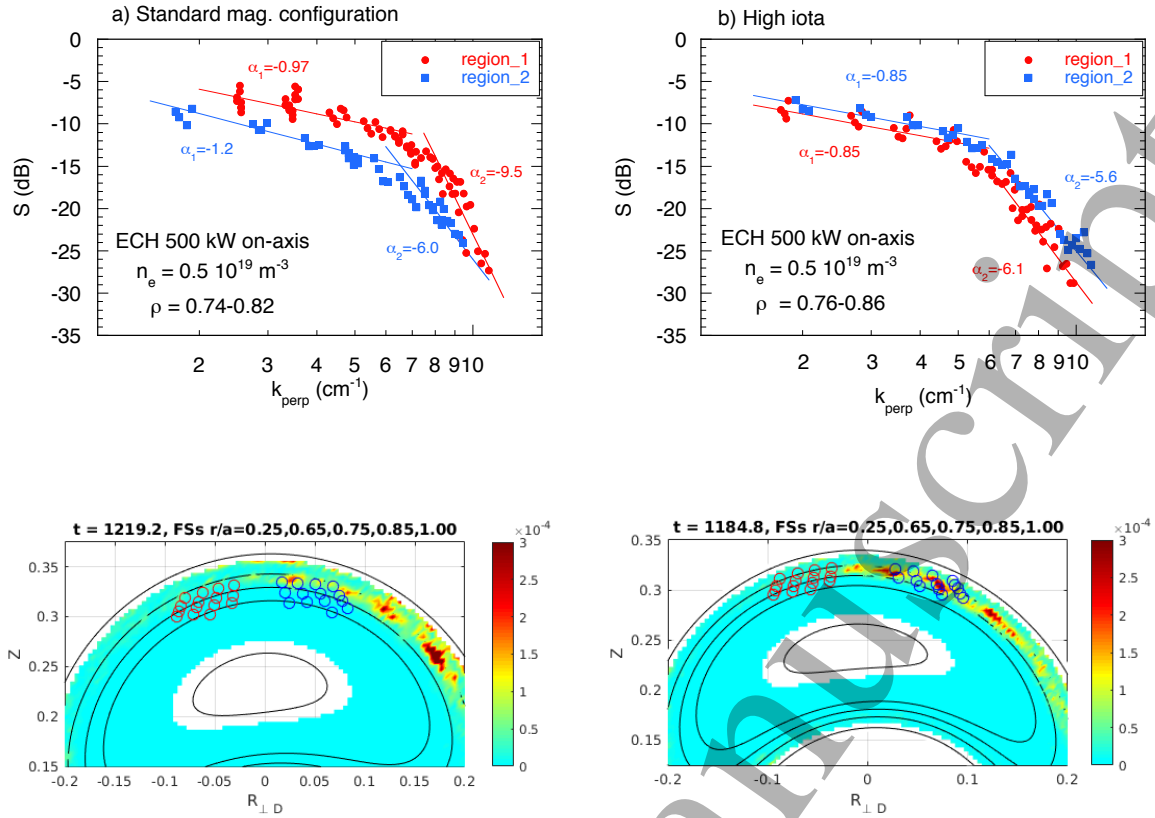


FIG. 6: Upper row: Experimental  $k_{\perp}$  spectra measured in two plasma regions poloidally separated (see Fig. 1 caption for the explanation of regions) in the standard (left) and high iota (right) magnetic configurations. Lower row: EUTERPE simulation of the localization of the instabilities for the standard (left) and high iota (right) magnetic configurations. Red and blue circles indicate the left and right DR probing regions, respectively.

were found unstable in the plasma edge region in linear simulations. The range of unstable wave-numbers and the radial location of maximum instability found in simulations are consistent with the relevant wave-numbers and the radial variation of experimental density fluctuations spectra measured by the DR system. Experiments were carried out both with hydrogen and deuterium as base gas, finding no dependency of the power spectra with the bulk ion mass. In agreement with the experiment, simulations with hydrogen and deuterium show very similar results in respect to the radial location of instabilities and unstable wave-numbers, which is consistent with the electron-driven character of the unstable modes found in the simulations. The instabilities are localised toroidally and poloidally in simulations, the location of maximum instability being affected by the rotational transform. A systematic difference is found between the density fluctuation spectra measured by the DR system at poloidally separated positions on the same flux-surface. As in the case of simulations, the poloidal asymmetry of the fluctuation spectra measured by the DR system is also largely affected by the rotational transform. As an example, Fig. 6 shows in the upper row the perpendicular wavenumber spectra measured at the two poloidally separated plasma regions in the standard and high iota magnetic configurations. An asymmetry is clearly observed in the intensity of the density fluctuations in the whole

$k_{\perp}$  range. In the standard magnetic configuration, higher turbulence level is measured in region 1 as compared with that measured in region 2, see Fig. 6, left. This asymmetry reverses in the high iota configuration, see Fig. 6, right. The lower row of Fig. 6 shows the poloidal localization of instabilities found by the EUTERPE gyrokinetic simulations. Model and experiment agree in showing a poloidal asymmetry that depends on the magnetic configuration. The results are consistent in the high iota configuration. However, there is a strong disagreement in the standard magnetic configuration: the amplitude of the instabilities in the simulations is higher in region 2 than in region 1, opposite to the experimental observations. Future non-linear simulations are foreseen which will allow for a quantitative comparison of fluctuation levels and its spatial dependence. Besides, variations of electric field along the flux-surface could also modify the poloidal variation of fluctuation levels.

### 3.3 First measurements of density fluctuations in both positive and negative density gradient regions of the plasma

A crucial open issue for next step devices and the fusion reactor is how to achieve particle fuelling in the core plasma in order to replenish the fused nuclei. Pellet fuelling systems designed to inject particles at high speed deep into the plasma are one of the main tools to achieve that goal. However, at reactor relevant plasma densities and temperatures, pellets will hardly be able to reach the core plasma region because pellet ablation will take place in the plasma edge causing plasma bumps with positive and negative density gradient regions [32, 33]. Eventually particles could be transported radially inwards by turbulence. Fluid and gyrokinetic simulations have been used to investigate the level of inward turbulent particle transport in the inverted density gradient region [34, 35] but comparison of simulations with experimental fluctuation levels and fluxes is still missing. First 2-D poloidal contour plots of plasma potential and density fluctuations have been measured with the TJ-II HIBP diagnostic [36], which have allowed to characterise turbulence in positive and negative gradient regions: density fluctuations appear both at the positive and negative gradient regions, their amplitude being minimum in the zero density gradient zone while it is stronger in the negative than in the positive gradient region [37]. Gyrokinetic simulations performed with EUTERPE for ECRH plasmas yield results consistent with the experiment. In the electron root scenario (positive radial electric field) Ion Temperature Gradient (ITG) modes are found to be stable due to the nearly flat ion temperature profiles. Linear and collisionless Trapped Electron Modes (TEM) simulations (kinetic ions and electrons) show that the most unstable modes are located in the negative density gradient region [38], in consistency with local measurements of plasma fluctuations quantified by the HIBP diagnostic in ECRH TJ-II scenarios [37].

## 4. INTERPLAY BETWEEN RADIAL ELECTRIC FIELDS, TURBULENCE AND ATOMIC PHYSICS

A number of research areas related to plasma turbulence in TJ-II, mainly of experimental nature so far, are providing additional valuable results.

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#### 4.1 Impact of the edge neutral density fluctuations on the observed turbulent structures

An almost unexplored area of research has been investigated in TJ-II experimentally: the impact of the edge plasma turbulence on the neutrals. The origin of this study is the 2-dimensional quantitative measurement of the edge plasma electron density turbulence as obtained using the He I ratio technique with a spectroscopic fast camera system. Comparing the coherent electron density structures (blobs) with those of the raw plasma emission, it is deduced that thermal neutrals react to low frequency plasma fluctuations becoming also turbulent [39]. This result is explained with a simple model that shows how plasma blobs with excess electron density perturb the neutrals density locally through enhanced ionization. This is in agreement with state of the art models that couple consistently plasma turbulence and neutral kinetics [40, 41].

#### 4.2 L-H transition triggered by turbulence stabilization due to both zero and low-frequency varying large scale flows

Mean radial electric fields as well as low frequency ZF-like global oscillations have been identified during the Low to High (L-H) transition in hydrogen and deuterium plasmas. No evidence of isotope effect has been observed in the L-H transition dynamics [42]. The intensity of fluctuations in ion current collected by Langmuir probes is significantly suppressed during the L-H transition. However, floating potential fluctuations are compressed to a low frequency range ( $f < 10$  kHz) during the L-H transition. These very low frequency fluctuations appear only in potential signals and are the ones that exhibit a global character quantified by the level of Long Range Correlations (LRC) [42]. The different behavior of the frequency spectra of potential and density fluctuations during the L-H transition indicates that LRC are mainly present in potential but not in density fluctuations, which is consistent with the characteristics of low frequency Zonal Flow structures. These observations emphasize the critical role of both zero and low frequency varying large-scale flows for stabilising turbulence during the triggering of the L-H transition in magnetically confined toroidal plasmas.

#### 4.3 Impact of the radial electric fields on turbulence spreading in the edge and Scrape-Off Layer

Understanding filamentary and blob transport across the Scrape-Off-Layer (SOL) is a key issue for the design and operation of future devices as it is crucial in determining the power load to the divertor and first wall of the machine. Consequently, clarifying whether the SOL width is set by local effects inside the SOL region or/and by transport arriving from the plasma edge, and the role played by  $E_r \times B$  sheared flows in edge-SOL coupling are important issues. The radial transport (spreading) of turbulent energy is a consequence of the redistribution of fluctuation energy from unstable regions with dominant free energy sources to adjacent regions without or with weaker free energy sources.

The spreading of turbulence therefore involves transport from strongly driven (i.e., unstable) to weakly driven regions [43, 44]. Flow shear can “block” turbulence spreading, decoupling turbulence radially [45, 46]. The influence of radial electric fields on turbulence spreading has been studied experimentally in TJ-II co-NBI heated plasmas ( $P_{NBI} \approx 500$  kW) [47]. A 2-D Langmuir probe array [48] was used to measure the floating potential,

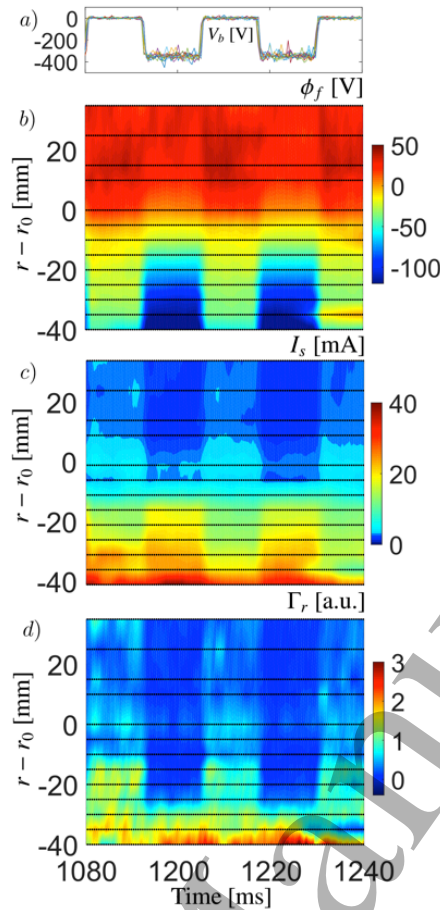


FIG. 7: a) Biasing voltage waveform; b), c) and d) Radial profiles of floating potential, ion saturation current and turbulent flux, respectively, during dynamical biasing in the plasma boundary region.  $r - r_0 < 0$  corresponds to the plasma edge;  $r - r_0 > 0$  corresponds to the SOL.

ion saturation current and turbulence-driven particle transport, neglecting the influence of electron temperature fluctuations. The radial electric field has been modified with the aid of a biasing electrode in the plasma edge, located at  $0.85 < \rho < 0.90$  (corresponding to  $-48 < r - r_0 < -33$  mm at the Langmuir probe location). The influence of edge biasing on plasma profiles is shown in Fig. 7. During the negative biasing phase [-300 V], the floating potential becomes more negative (Fig. 7b) and the perpendicular phase velocity increases [47]. The latter directly reflects changes in radial electric fields induced by edge biasing. Also in this phase, the edge floating potential (Fig. 7b) and ion saturation current (Fig. 7c) profiles get steeper, while the turbulent particle flux (Fig. 7d) is reduced outside the last closed flux surface (LCFS), concomitant with a reduction of the base level of the ion saturation current. When the  $E_r \times B$  sheared flows achieve velocity gradients comparable to the inverse of the turbulence correlation time, they affect the level of turbulence spreading and edge-SOL coupling [47]. Hence, the shearing rate of edge radial electric fields can be an important tool to suppress turbulence and decouple edge and SOL regions.

## 5. EFFECT OF MAGNETIC CONFIGURATION ON TRANSPORT

Transport studies in TJ-II, as in many other devices, require the consideration of magnetic configurations where magnetic islands can be present. These are often detected as coherent modes in magnetic signals where, owing to the low poloidal mode numbers of the main low-order rationals of the rotational transform, the helicity can be resolved with the help of a poloidal array of magnetic coils or other diagnostics with some degree of poloidal/toroidal resolution. Since 1D transport codes are well-developed tools for transport analyses, a practical transformation of coordinates has been developed [49] to adapt the metric coefficients of an unperturbed configuration to a new one where the islands (or stochastic) region is excluded. These regions formed by the islands (or stochastic zones) can always be calculated separately if transport is non-trivial in them. This result is illustrated by Fig. 8. It shows that the analytical model developed in [49] yields values of the metric coefficients for a perturbed magnetic configuration that are in good agreement with the ones obtained numerically from the Poincaré sections. This analytical model, due to its simplicity, constitutes a very practical solution to perform 1-D transport in configurations with magnetic islands, where the islands width or location change.

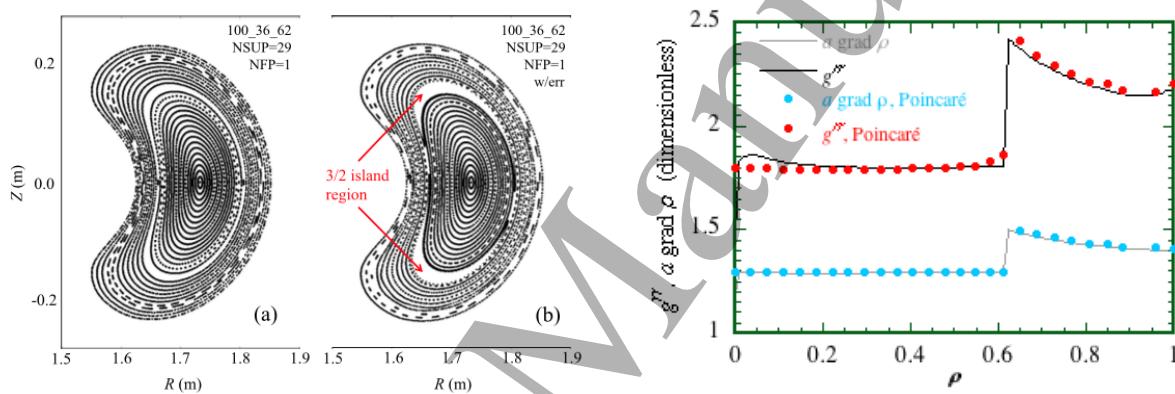


FIG. 8: Left: A TJ-II magnetic configuration perturbed by a small error field. Right: Comparison between some metric coefficients computed using the analytical annular model with island width 1.7 cm (continuous lines) and numerically obtained from the Poincaré plot with islands in the left part of the figure (dots). (Reproduced from [49] with permission of the Journal).

In addition, it is often the case that the MHD modes associated to low order rationals of the rotational transform do not respect the four-fold toroidal periodicity of TJ-II. Theoretical studies on the MHD equilibrium of a four-fold advanced helical device [50] have demonstrated that the energy of periodicity-breaking perturbed configurations exhibit local minima with respect to the perturbation parameter, which lie below the energy of the unperturbed configurations and provide a natural explanation for the ease with which periodicity-breaking MHD modes can be found in real experiments.

### 5.1 Investigation of the radial propagation of small, spontaneously generated, temperature perturbations using Transfer Entropy

Electron heat transport in fusion plasmas presents significant challenges, among which one may consider extraordinary phenomena such as power degradation, rapid (non-local) transport, and profile stiffness. To study heat transport, we make use of a novel analysis technique, Transfer Entropy (TE), which measures the causal relation or information flow

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between two time series. This technique has some remarkable properties that converts it into a very sensitive tool to study the propagation of small perturbations in highly non-linear systems, such as fusion plasmas. TE has been firstly validated by analysing the propagation of heat waves in modulated ECRH TJ-II discharges and comparing with traditional Fourier techniques [51].

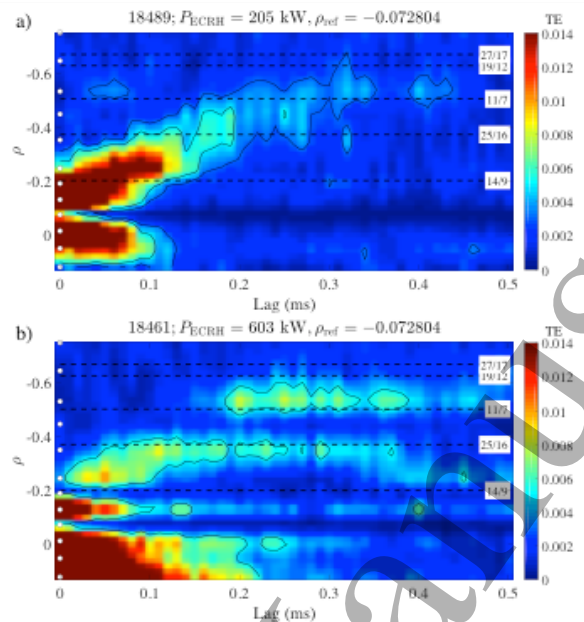


FIG. 9: Examples of Transfer Entropy, calculated from ECE data, between a reference channel and the others whose location is indicated by white circles at the left vertical axis. The reference ECE channel is located at  $\rho_{\text{ref}} \approx -0.07$ . Results for two heating powers are shown: a)  $P_{\text{ECRH}} = 205 \text{ kW}$  and b)  $P_{\text{ECRH}} = 603 \text{ kW}$ . The color scale indicates the value of  $T$ . The approximate location of some major rational surfaces is indicated by horizontal dashed lines; the line labels specify the corresponding rotational transform,  $n/m$ . (Reproduced from [52] with permission of the Journal).

Then, we have applied it to analyse the propagation of small, spontaneously arising temperature perturbations in non-modulated discharges [52]. We show that heat transport in TJ-II is not a smooth and continuous (diffusive) process, but involves mini-transport barriers associated with low-order rational surfaces and rapid non-local radial ‘jumps’. In addition, we find that the non-local contribution to transport becomes more prominent at higher input power. Fig. 9 shows the Transfer Entropy between a centrally located ECE reference channel and the other available ECE channels. One observes a clear outward propagating ‘plume’, interrupted by ‘trapping zones’ (horizontally extended structures) and ‘minor barriers’ (radial gaps). Interested readers can look at [52] for details. This remarkable finding might shed new light on the nature of anomalous transport.

## 6. PLASMA CORE FUELLING. PELLETT PHYSICS AND MODELLING

Core fuelling is a critical issue on the pathway to the development of steady-state operational scenarios in magnetic confined plasmas. Cryogenic pellet injection (PI) has become a prime candidate for this purpose [53]. A compact pipe-gun type PI has been operated

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3 on TJ-II since 2014. Four different pellets can be injected at velocities between 800 and  
4 1200 m/s into the plasma from its outer edge [54]. In dedicated experiments it was de-  
5 termined that pellet fuelling efficiency (pellet particles deposited in the plasma/number  
6 of pellet particles) in TJ-II can range from about  $\sim 20\%$  to  $\sim 80\%$ , this value depending  
7 strongly on the pellet penetration depth [27]. Efficiencies tend to be  $< 40\%$  for ECRH  
8 plasmas in which pellets do not penetrate beyond the magnetic axis. In contrast, for NBI  
9 heated plasmas, in which the core electron temperature is lower (300-400 eV), the fuelling  
10 efficiency is significantly higher. This tendency for increasing efficiency with penetration  
11 depth beyond the magnetic axis is considered to be due to inwards effective drifting (to-  
12 wards the magnetic axis) of the partially ionized clouds that surround a pellet (plasmoids)  
13 created beyond that point. This has been understood from pellet ablation and deposition  
14 simulations made using a TJ-II adapted version of the Hydrogen Pellet Injection 2 code  
15 (HPI2) [55] (see figures 19 and 20 therein). Moreover, another study carried on TJ-II  
16 has shown that even when pellet particle density peaking is initially observed outside the  
17 core, this can be followed by an inward shift (towards the magnetic axis) of the pellet  
18 electrons. [26]. Although pellet injections cannot be performed from the inner plasma  
19 edge on TJ-II (equivalent to high-field side injections in tokamaks) these studies indicate  
20 that a beneficial inwards drifting of pellet plasmoids may be present in this device.

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25 With the expansion of the TJ-II pellet database it has been observed that pellet  
26 fuelling efficiency can benefit from the presence of a population of fast electrons in its  
27 plasma core [56]. In fact, for ECRH plasmas, it has been determined that when no fast  
28 electrons are detected (by hard X-ray emissions or by excess pellet ablation), this efficiency  
29 is typically well below 30%. However, when a fast-electron population exists in the core  
30 when an injection is made (i.e., inside  $\rho = 0.4$ ), then pellet penetration is truncated and  
31 fuelling increase by up to 50% [56]. Simulations of post-injection electron density profiles  
32 made with the TJ-II HPI2 code are in good agreement with Thomson Scattering density  
33 profiles for the former case. However, there are significant discrepancies between the  
34 simulated and experimental density profiles for latter case as the current TJ-II version of  
35 HPI2 code does not consider fast-electron effects [55]. Although the physics behind this  
36 increase is unclear at present, it is considered that pellet vaporization due to an abrupt  
37 heating of the ice may lead to a disruption of the processes of neutral cloud and plasmoid  
38 expansion and drift that normally occur. Other hypotheses, such as fast electron impacts  
39 causing a rocket effect that results in pellet deflection, also need to be investigated. In  
40 order to check these hypotheses it will be necessary to obtain fast-frame camera images  
41 captured from different viewing positions using a multiple-branch coherent fibre bundle.  
42 This will allow comparisons of plasmoid evolution along the magnetic field lines, and  
43 subsequent drifting across field lines. Also, modifications need to be made to the TJ-II  
44 version of HPI2 code. For instance, implementation of functions that consider excess  
45 ablation due to fast electrons or drift velocity modifications for plasmoids born at plasma  
46 radii where fast electrons occur could be implemented in order to achieve satisfactory  
47 agreement between simulated and experimental profiles.

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53 As discussed in section 2, the need to explore favourable operation scenarios capable  
54 of preventing impurity accumulation is critical [57]. One method for performing such  
55 studies is the Tracer-Encapsulated Solid-Pellet (TESPEL) technique in which a hollow  
56 polystyrene shell,  $(-CH(C_6H_5)CH_2-)_n$ , filled with a known quantity of a suitable tracer  
57 element, is injected into a plasma [58]. In consequence, the impurity element is deposited  
58 directly in the plasma core, thereby eliminating inward diffusion from the physics.

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60 Recently, a TESPEL injector was temporarily piggy-backed onto the up-stream end



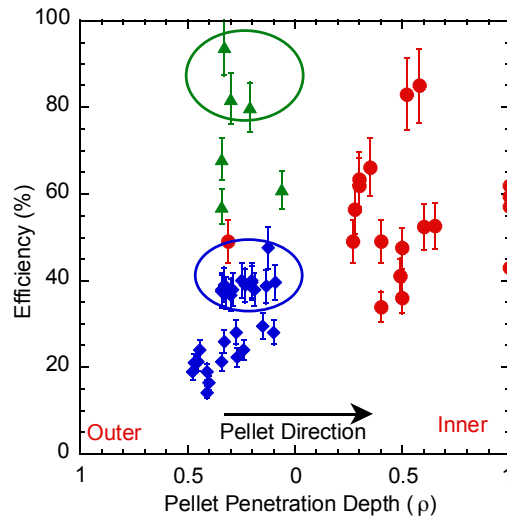


FIG. 10: Fuelling/deposition efficiency as a function of pellet penetration depth into TJ-II standard configuration plasmas. The data are for cryogenic hydrogen (filled blue diamonds) and polystyrene (green triangles) pellets injected into ECRH plasmas and cryogenic hydrogen pellets (red dots) injected into NBI plasmas. Cases for which a population of fast electrons is present in the core during pellet injection are contained within the ovals. Examples of pellets that are not fully ablated within the plasma are plotted on the right axis.

of the TJ-II PI [59, 60], thereby making it a unique system as both pellet types, cryogenic and tracer filled, can be injected along adjoining guide tubes into the same toroidal sector of TJ-II, albeit not simultaneously. Although the *raison d'être* of both pellet injection methods is distinct, both types should undergo the same, or similar, physical processes as they travel through the plasma towards its core. Indeed, for both pellet types, the ablated particles in the plasmoid should experience the same physical effect(s) thus they should undergo similar processes, e.g., drift and diffusion. However, few systematic comparisons of these pellet types exist due to the limited number of devices equipped with both cryogenic and impurity pellet injection systems. Moreover, for reference or comparison purposes, TESPEL pellets with no tracer are sometimes injected in TJ-II [61].

In a comparative study, it was shown that the post-injection electron density increase, after an injection in ECRH plasma, is significantly higher for carbon/hydrogen pellets than for solid hydrogen pellets (having similar electron content), as shown in Fig. 10. This is due to a reduction in plasmoid outwards drift for the former that is inversely proportional to particle atomic mass [62]. Although it is considered that pellet cloud pressure will be higher for hydrogen pellets, this is not sufficient to offset the higher carbon mass contribution.

Although it was reported in [61] that excess ablation was observed after penetration inside  $\rho = 0.4$  for TESPEL pellets with no tracer, it was not appreciated therein that such excess ablation, which is due to fast electrons, leads to an enhancement of deposition efficiency. Simulation of the TESPEL pellet injections using the TJ-II version of the HPI2 code will be complicated as the processes of polystyrene sublimation, carbon ionization, etc. need to be considered. Nonetheless, it is intended to continue with TESPEL injections into TJ-II plasmas and record images of the ablation and drift processes with the fast-camera system. It is hoped that this will help shed new light on pellet ablation physics.

## 7. CONTROL OF FAST PARTICLE DRIVEN MHD MODES

A number of previous studies have explored the influence of ECH scenarios and magnetic configuration on the alfvénic activity observed in TJ-II, see [63, 64] and references therein. Recent experiments have been carried out in TJ-II NBI plasmas using ECRH on-axis with and without ECCD, in order to study the influence of Electron Cyclotron Current Drive (ECCD) on the observed Alfvén Eigenmodes (AEs) activity [65]. As shown in Fig. 11, a small amount of negative on-axis ECCD, just -0.7 kA, is added to the positive NBCD contribution, resulting in a lower total plasma current. This minor change has a strong impact on the observed Alfvénic activity: a steady mode in amplitude and frequency shows up. To understand the changes measured in the AEs spectrum, the influence of the different contributions to the plasma current (NBCD, ECCD, Bootstrap) [66] on the rotational transform profile has been calculated on the basis of the measured plasma profiles. Then, the resulting rotational transform profile is computed and used to obtain the Shear Alfvén Spectrum (SAS) by means of the STELLGAP code [67]. When EC current is driven, only the plasma current varies. The rest of the parameters of the discharge are barely modified. In particular, density profiles, which are needed for the SAS calculation, show negligible differences. The result indicates that the experimentally observed steady frequency mode could correspond to modes appearing in the  $HAE_{2,1}$  gap. This gap becomes wider as the iota profile evolves from the pure NBI to the NBI+ECCD case, thus favouring the presence of the mode in the latter case.

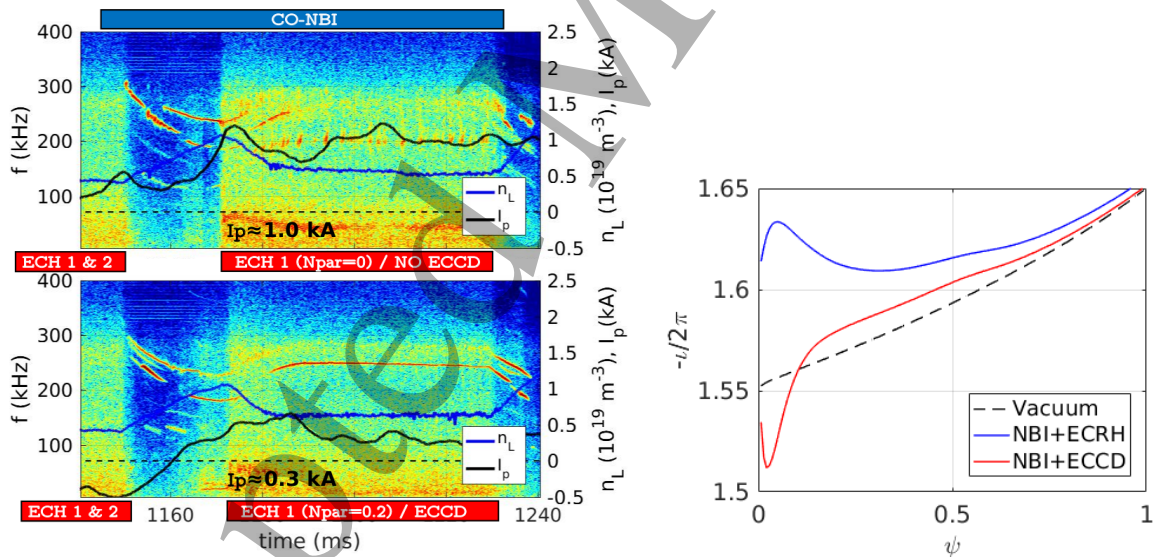


FIG. 11: Left: Spectrograms of magnetic fluctuations measured with a Mirnov coil for two plasma discharges with (upper box) and without (lower box) on-axis ECCD. Time traces of line average density (blue) and measured plasma current (black) are superimposed on both spectrograms. Right: Modelled iota profiles corresponding to the two discharges on the left: Blue and red color correspond to the cases without and with ECCD, respectively. Dashed line represents the vacuum iota profile.

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## 8. ALTERNATIVE PLASMA FACING COMPONENTS BASED ON LIQUID METALS

Two liquid metals (LM), Li and LiSn, presently considered as alternative materials for the divertor target of a fusion reactor, have been exposed to the plasma in a capillary porous system (CPS) arrangement in TJ-II [68]. A negligible perturbation of the plasma has been recorded in both cases, even when stellarator plasmas are particularly sensitive to high Z elements due to the tendency to central impurity accumulation.

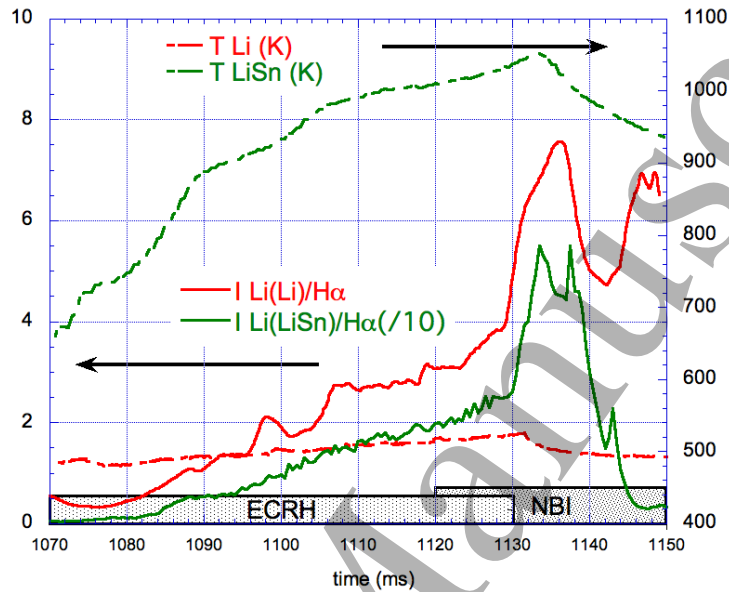


FIG. 12: Evolution of Li intensity, normalised to the  $H_\alpha$  signal, for pure Li (red) and LiSn (green) samples during TJ-II plasma discharges (left vertical axis). The surface temperature evolution of the samples is also plotted (right vertical axis).

The surface temperature of the LM CPS samples (made of a tungsten mesh impregnated in LiSn or Li) has been measured during the plasma pulse with ms resolution by pyrometry and the thermal balance during heating and cooling has been used to obtain the thermal parameters of the LiSn and Li CPS arrangements, as can be seen in Fig. 12. Temperatures as high as 1150 K during TJ-II plasma exposure were observed for the LiSn solid case. The melting point of the LiSn alloy is 310 °C. According to the pyrometer reading, surface temperatures of the LiSn finger were above this value during plasma exposure, even in those cases in which it was initially exposed as a solid. However, in some instances visual inspection showed that only partial melting had occurred. Strong changes in the thermal conductivity of the alloy were recorded in the cooling phase at temperatures close to the nominal melting point. The deduced values for the thermal conductivity of the LiSn alloy/CPS sample were significantly lower than those predicted from their individual components. However, a bad thermal contact between the alloy and the supporting mesh due to incomplete wetting, cannot be ruled out at this stage. This would lead to an overheating of the surface while the material in direct contact with the mesh could have remained below the melting point.

With respect to the potential use of LiSn alloys as LM for an alternative target in DEMO, the results obtained in TJ-II agree with the expectations from laboratory results,

namely a dominant Li emission from the alloy when exposed to the plasma, in spite of its minority in its composition. A search for characteristic Sn I and Sn II lines in the visible, as well as Sn III and Sn IV lines in the VUV (50-80 nm), did not yield evidence of the presence of tin in the plasma even for the most potentially perturbing conditions. Together with the very low H retention reported previously [69], the use of this alloy can be considered in a reactor. However, it must be pointed out that, in comparison with Li and Sn, the information about this alternative LM alloy is rather scarce. In particular, the low thermal conductivity inferred from the  $T$  versus  $t$  data and the possible depletion of Li from the alloy at long exposure times remain as potential showstoppers at present. More experimental work is required to fully validate this promising option.

## SUMMARY

The TJ-II team exploits the outstanding configuration flexibility of the device and its set of advanced diagnostics to provide experimental results in some key fusion research areas.

Reinforced capability in theory and modelling is allowing comparison and validation activities (neoclassics, gyrokinetics, pellet fuelling) and the study of optimized magnetic configurations.

TJ-II research is primarily focused on supporting the stellarator line development (W7-X, LHD) as well as contributing to ITER and ITPA research plans. Substantial resources are being invested as well, with EUROfusion support, in manning and data analysis of W7-X diagnostics and in theory and modelling collaborative activities.

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