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### 1 Review

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# $_2$ Time domain functional NIRS imaging for human brain mapping $\stackrel{ ightarrow}{}$

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### ABSTRACT

This review is aimed at presenting the state-of-the-art of time domain (TD) functional near-infrared spec- 23 troscopy (fNIRS). We first introduce the physical principles, the basics of modeling and data analysis. Basic 24 instrumentation components (light sources, detection techniques, and delivery and collection systems) of a 25 TD fNIRS system are described. A survey of past, existing and next generation TD fNIRS systems used for re- 26 search and clinical studies is presented. Performance assessment of TD fNIRS systems and standardization is- 27 sues are also discussed. Main strengths and weakness of TD fNIRS are highlighted, also in comparison with 28 continuous wave (CW) fNIRS. Issues like quantification of the hemodynamic response, penetration depth, 29 depth selectivity, spatial resolution and contrast-to-noise ratio are critically examined, with the help of ex- 30 perimental results performed on phantoms or in vivo. Finally we give an account on the technological devel- 31 opments that would pave the way for a broader use of TD fNIRS in the neuroimaging community. 32 © 2013 Elsevier Inc. All rights reserved. 33

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*Abbreviations*: AOTF, acousto-optic tunable filter; CNR, contrast-to-noise ratio; CW, continuous wave; DE, diffusion equation; DTOF, distribution of time-of-flight; FD, frequency domain; FEM, finite element method; fNIRS, functional near-infrared spectroscopy; FWHM, full width at half maximum; GI, graded index; ICCD, intensified charge coupled device; IEC, International Electrotechnical Commission; IRF, instrument response function; ISO, International Organization for Standardization; LDF, laser Doppler flowmetry; MCP, micro-channel plate; MPE, maximum permissible exposure; NA, numerical aperture; NIRS, near-infrared spectroscopy; OD, optical density; OTDR, optical time domain reflectometer; PMT, photomultiplier tube; RTE, radiative transfer equation; SC, supercontinuum; SI, step index; SPAD, single-photon avalanche diode; SRS, space-resolved spectroscopy; TCSPC, time-correlated single photon counting; TD, time domain; TPSF, temporal point spread function.

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### Q773 Introduction)

This review is aimed at presenting the state-of-the-art of time domain 74 (TD) functional near-infrared spectroscopy (fNIRS. As described in a re-75cent review on the history of fNIRS (Ferrari and Quaresima, 2012), 7677 while fNIRS dates back about 20 years ago, we have to wait till 1996 for 78 the first single-channel TD fNIRS study to appear in the literature (Obrig 79 et al., 1996), and only some years later, in 1998-2000, the first papers describing multi-channel TD fNIRS instruments were published (Cubeddu 80 81 et al., 1999; Eda et al., 1999; Hintz et al., 1998; Ntziachristos et al., 1999; 82 Oda et al., 1999; Schmidt et al., 2000). Noticeably, from the physical and 83 technological point of view the origin of TD fNIRS can be traced back to the 1980s, when researchers started exploring the fascinating field of dif-84 fusing photons in random (e.g. biological) media (Kuga et al., 1983). A 85 86 few years later, several studies were focused on diffuse optical imaging and spectroscopy with pulsed laser and photo-detection techniques 87 with picosecond resolution (Chance et al., 1988; Delpy et al., 1988; Ho 88 et al., 1989; Jacques, 1989a, 1989b; Patterson et al., 1989). 89

Nowadays, about thirty years after the first studies, there is only one 90 91 dual-channel TD fNIRS commercial system (Hamamatsu Photonics, 92 2013e) not sold outside of Japan, while there are no commercial TD 93 fNIRS imagers available (Contini et al., 2012: Ferrari and Ouaresima, 2012). A few laboratory prototypes have been developed by research 9495groups located in academic or public research centers. To some extent 96 this situation could be interpreted as the failure of the TD approach within biomedical optics. Indeed, in part of the scientific community 97 TD fNIRS (and TD techniques in general) has the reputation of being 98 cumbersome, bulky, and very expensive as compared to commercial 99 100 continuous wave (CW) fNIRS systems. At the time of writing we cannot ignore all these pitfalls and a gap still exists between CW and TD fNIRS 101 102 technology. However, we are at the forefront of a new era where recent advances in photonic technologies might allow TD fNIRS to bridge the 103 gap and potentially to overtake CW fNIRS. In this review we try to sub-104 stantiate this foresight by outlining the key physical and technological 105aspects that will allow TD fNIRS to reach a maturity stage and to spread 106 107 in the biomedical and neuroimaging community.

In the following sections we first describe the principles behind TD
 fNIRS and the basics of TD fNIRS instrumentation. We then highlight
 the main strengths and weaknesses of TD fNIRS, notably in comparison
 with CW fNIRS. A concise survey of TD fNIRS data analysis and applica tions is further reported. Finally we give an account on future perspec tives and technological developments that pave the way for a broader
 use of TD fNIRS in the neuroimaging community.

### 115 Principles of TD fNIRS

116 Basics of NIRS

To properly understand the principles of TD fNIRS it is useful to briefly recall the basics of near-infrared spectroscopy (NIRS). NIRS is a powerful spectroscopic technique used in several fields (e.g. food 119 and agriculture, chemical industry, life sciences, medical and pharmaceutical, textiles) to nondestructively test samples like liquids (e.g. in 121 the food sector: oil, wine, and milk), powders (e.g. pharmaceutical 122 tablets and pills, and wheat flour), and bulk objects (e.g. in the food sector: fruits and vegetables, meat, and cheese), allowing for their analytical and chemical characterization (Siesler et al., 2002). 125

In the biomedical field NIRS makes use of light to noninvasively 126 monitor tissue hemodynamics and oxidative metabolism (Ferrari et 127 al., 2012). In the 600–1000 nm spectral range, light attenuation by the 128 main tissue constituents (i.e. water, lipid, and hemoglobin) is in fact relatively low and allows for penetration through several centimeters of 130 tissue. Moreover, the difference in the absorption spectra of oxygenated 131 and deoxygenated hemoglobin allows the separate measurement of the 132 concentration of these two species (O<sub>2</sub>Hb and HHb, respectively), and 133 the derivation of physiologically relevant parameters like total hemoglobin concentration (tHb = HHb + O<sub>2</sub>Hb) and blood oxygen saturation (SO<sub>2</sub> = O<sub>2</sub>Hb / tHb). The term fNIRS is then used to specifically 136 address NIRS applications in the neuroimaging field aiming at mapping 137 and understanding the functioning of the human brain cortex. 138

In NIRS a weak (a few mW) light signal is injected in the tissue and 139 the emitted signal which carries information on tissue constituents is 140 measured. As a result of the microscopic discontinuities in the refractive index of biological tissues, NIR light is highly scattered, therefore 142 it is the complex interplay between light absorption and light scattering that determines the overall light attenuation. Proper physical two models for photon migration (e.g. diffusion, random walk, Monte 145 Carlo) should be used to correctly interpret NIRS signals unraveling 146 the absorption from the diffusive contribution (Durduran et al., 147 2010; Martelli et al., 2009).

The feature physical quantities in a diffusive medium are the scatter- 149 ing length  $l_s$  and the absorption length  $l_a$ , representing the photon mean 150 free path between successive scattering and absorption events, respec- 151 tively. Equivalently, the scattering coefficient  $\mu_s = 1 / l_s$  and the ab- 152 sorption coefficient  $\mu_a = 1 / l_a$  (typically expressed in units of mm<sup>-1</sup> 153 or cm<sup>-1</sup>) are used to indicate the scattering and the absorption probability per unit length, respectively. Due to anisotropy in light propaga- 155 tion, a reduced scattering coefficient is introduced  $\mu_{s'} = \mu_s (1 - g)$ , 156 where g is the anisotropy factor (Martelli et al., 2009). 157

Typically in a NIRS measurement, light is delivered to and collected from the sample by means of optical fibers (optodes) or other simple optical systems (e.g. relay lenses), which simplify the use of the instrumentation, especially when dealing with clinical measurements on volunteers or patients. A few commercial systems allow placing light sources and detectors directly in contact with the probed tissue. The simplest NIRS measurement configuration is the *transmittance* 164 mode with the injection and collection fibers on opposite surfaces. In the biomedical field this is only possible for a few applications 166 such as hemorrhage detection in newborns (Gibson et al., 2006), 167 thanks to the small size and transparency of the head, optical 168

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mammography, where the female breast is gently compressed by 169 170 parallel transparent plates (Taroni et al., 2012), or finger arthritis detection (Golovko et al., 2011), where the thinned shape of the finger 171 172makes this possible. On the other hand, the reflectance mode exploits the fact that, thanks to scattering, light is highly diffused in the sam-173ple volume and NIRS measurements become possible with a couple of 174optic fibers placed on the same surface of the tissue at a distance of a 175176few centimeters. A combination of several injection and collection fi-177bers on regularly spaced arrangements permits topography or tomo-**Q8**178 graphic approaches (Selb and Gibson, 2011).

#### Independently from the measurement geometry, three different 179NIRS approaches can be implemented: i) CW NIRS makes use of a 180 steady state light source (e.g. a light emitting diode or a laser with in-181tensity constant in time) that can be typically amplitude modulated 182at a low (a few kHz) frequency in order to exploit the significant im-183 provements in sensitivity available from phase-locked detection tech-184 niques, and of a detection apparatus sensitive to light attenuation 185 changes (e.g. photodiode); ii) Frequency domain (FD) NIRS is based 186 on amplitude modulated light sources (at frequency of the order of 187 100 MHz or larger, up to $\sim$ 1 GHz) and on the detection of light ampli-188 tude demodulation and phase shift; iii) TD NIRS employs a pulsed 189 light source, typically a laser providing light pulses with duration of 190 191 a few tens of picoseconds, and a detection apparatus with temporal resolution in the sub-nanosecond scale. A detailed review of these 192 different approaches can be found in Wolf et al. (2007). 193

#### The classical TD NIRS approach

TD NIRS relies on the ability to measure the photon distribution of 195 time-of-flight (DTOF) in a diffusive medium (in the literature the 196 DTOF is also called temporal point spread function, TPSF). Following 197 the injection of a light pulse within a turbid medium, the DTOF mea- 198 sured at a fixed distance from the injection point (typically in the 199 range of 10-40 mm) is delayed, broadened, and attenuated. The delay 200 is a consequence of the finite time that light takes to travel the distance 201 between the source and detector; broadening is mainly due to the 202 different paths that photons undergo because of multiple scattering; 203 attenuation appears because absorption reduces the probability of 204 detecting a photon, and diffusion into other directions within the medi- 205 um decreases the number of detected photons in the considered direc- 206 tion. Increasing the source-detector distance yields an increased delay 207 and broadening of the DTOF and decreases the number of detected pho-208 tons. Similar behavior is observed when the scattering increases. Finally, 209 absorption affects both the signal intensity and the trailing edge (i.e. 210 slope of the tail) of the DTOF, while leaving the temporal position of 211 the DTOF substantially unchanged. 212

Fig. 1 shows the effect of source detector distance, absorption, and 213 reduced scattering on TD NIRS signals in a homogeneous diffusive medium mimicking a biological tissue. While this is an oversimplification 215 of the real geometry of a human head, nonetheless the model is useful 216 to present the basics of TD NIRS. In the following section we will discuss 217

**Fig. 1.** Principles of TD NIRS. (a) The geometry of TD NIRS measurements in the reflectance mode. The region where photon paths are more likely to occur (the so called "banana shape") is also schematically depicted; (b) TD NIRS signals at different values of the source detector distance ( $\rho = 10-30$  mm, in steps of 5 mm) for fixed absorption coefficient ( $\mu_a = 0.001 \text{ mm}^{-1}$ ) and reduced scattering coefficient ( $\mu_{a'} = 1.0 \text{ mm}^{-1}$ ); (c) TD NIRS signals at fixed source detector distance ( $\rho = 30 \text{ mm}$ ) and fixed reduced scattering coefficient ( $\mu_a = 0.005-0.025 \text{ mm}^{-1}$  in steps of 0.005 mm<sup>-1</sup>); (d) TD NIRS signals at fixed source detector distance ( $\rho = 30 \text{ mm}$ ) and fixed absorption coefficient ( $\mu_a = 0.001 \text{ mm}^{-1}$ ) for different values of the absorption coefficient ( $\mu_a = 0.005-0.025 \text{ mm}^{-1}$  in steps of 0.005 mm<sup>-1</sup>); (d) TD NIRS signals at fixed source detector distance ( $\rho = 30 \text{ mm}$ ) and fixed absorption coefficient ( $\mu_a = 0.001 \text{ mm}^{-1}$ ) for different values of the reduced scattering coefficient ( $\mu_a = 0.001 \text{ mm}^{-1}$ ) for different values of the reduced scattering coefficient ( $\mu_a = 0.001 \text{ mm}^{-1}$ ) for different values of the reduced scattering coefficient ( $\mu_a = 0.001 \text{ mm}^{-1}$ ) for different values of the reduced scattering coefficient ( $\mu_a = 0.001 \text{ mm}^{-1}$ ).

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the effect of tissue heterogeneity (e.g. the layered structure of the human head) on TD NIRS signals.

## 220 The null source detector distance TD NIRS approach

In 2005 a collaborative effort between the research groups at 221 Politecnico di Milano, Milan, Italy, and at University of Florence, 222 Italy, produced an innovative approach for the investigation of highly 223224 diffusive media, based on TD NIRS reflectance measurements at null source-detector separation (Torricelli et al., 2005). The null distance 225226TD NIRS approach was to some extent ground-breaking, since the 227 classical TD NIRS approach to diffuse imaging and spectroscopy fixed source and detector at a large distance to avoid the inaccurate 228229 description of light propagation based on photon diffusion at early time and short distance. A major misconception in TD NIRS is that 230 penetration depth is dependent on source detector distance, like in 231 CW NIRS. On the contrary, it was demonstrated by means of numeri-232 cal simulations that the null distance approach yields better spatial 233resolution and contrast with respect to the use of longer source detec-234tor distances, for an absorbing point-like inclusion embedded in a ho-235mogeneous medium. The extension to absorption and scattering 236inclusions with finite dimensions and to layered geometries, better 237238 describing some biological structures, such as head or muscle, was 239reported (Spinelli et al., 2006).

### 240 TD fNIRS modeling and data analysis

241 The raw data in TD fNIRS measurements consist of several time series of DTOFs, acquired at two or more wavelengths, typically from 242 multiple locations. Each DTOF has to be processed to extract the rele-243vant information. Values for the hemodynamic parameters in the 244 245brain cortex can be estimated from the corresponding values of the 246absorption coefficients by means of Beer's law. In a pioneer TD 247fNIRS study the absorption coefficient was estimated by simply fitting the tail of the measured TD NIRS signal with an exponential law 248(Chance et al., 1988; Nomura et al., 1997). Nowadays, to properly 249250model light propagation in diffusive media in the TD regime, a wealth 251of analytical and numerical tools exists, for both simulation purposes (forward model) and for the interpretation of experimental results 252(inverse model). 253

### 254 Forward model

The diffusion equation (DE), an approximation to the radiative 255transfer equation (RTE) for the case of highly diffusive media, is the 256most commonly used framework in which photon migration has 257258been treated. A fundamental paper for the TD NIRS approach was published in 1989 by Patterson, Chance and Wilson (Patterson et al., 2591989) presenting the analytical solution of the DE for TD NIRS in a ho-260mogeneous semi-infinite medium or in an infinite slab. Since then, 261many other papers have been published with improved description 262263of the boundary conditions (e.g. extrapolated or partial current 264boundary), with analytical solutions for different geometry (e.g. parallelepiped, sphere, cylinder) and for heterogeneous cases (e.g. lay-265ered medium, point-like perturbation). It is not the scope of this 266review paper to describe in details all these contributions. We simply 267268mention for the interested reader that recently, Martelli et al. (2009) have collected in a comprehensive book the basic theory of photon 269 migration together with analytical solutions for the DE in the CW 270and TD regimes (also implemented in the Fortran programming lan-271guage) for several geometries. Other handbooks similarly treat the 272same issues (Hielscher et al., 2011; Tuchin, 2010). 273

Analytical solutions of the RTE have been recently provided for TD NIRS (Liemert and Kienle, 2012; Simon et al., 2013) aiming at overcoming the basic limitation of the DE (e.g. modeling photon migration at very short times or distances, with high absorption, or low scattering). Further, the analytical description of TD perturbation in 278 a homogeneous diffusive medium has greatly improved, being able 279 to deal not only with point-like weakly absorbing inclusions, but 280 also with large highly absorbing objects (Sassaroli et al., 2010). 281

While to a first approximation the description of light propagation 282 in realistic geometries (i.e. adult head) can be treated with simplified 283 analytical models (e.g. layered or perturbed models), it is well known 284 that the use of numerical methods can provide more flexible and ac- 285 curate solutions. The finite element method (FEM) is a powerful nu- 286 merical approach to provide solutions of the DE in any geometry 287 and it has been used since 1993 in Biomedical Optics to model light 288 propagation (Arridge et al., 1993). Nowadays freely available tools 289 exist that implement FEM and also handle meshing of MRI anatomical 290 data (NIRFAST, 2013; TOAST, 2013). The Monte Carlo method pro- 291 vides the most accurate description of light propagation in diffusive 292 media (MCML, 2013; Wang et al., 1995), but in the past it was hin- 293 dered by a very long computational time. Nonetheless it was used 294 by several researchers to properly simulate photon migration in real- 295 istic adult and neonatal head models (Boas et al., 2002; Fukui et al., 296 2003). With the advent of parallelization on graphical processing 297 units (Alerstam et al., 2008b; Fang and Boas, 2009; Ren et al., 2010), 298 computational times have been reduced by up to 100 times, and re- 299 searchers have revived the use of Monte Carlo methods (Dehaes et 300 al., 2011a; Sassaroli and Martelli, 2012). Recently, a further improve- 301 ment in terms of speed, memory usage, and accuracy has been 302 obtained by implementing a 3D code that represents a complex do- 303 main using a volumetric mesh (Fang, 2010; Fang and Kaeli, 2012). 304

## Inverse model

The accuracy of non-linear fitting procedures based on the classi- 306 cal Levenberg–Marquardt approach in conjunction with TD NIRS ana- 307 lytical models has been validated several times (Alerstam et al., 308 2008a; Cubeddu et al., 1996; Spinelli et al., 2009a). Recently, im- 309 proved fitting procedures based either on the Bayesian approach, 310 also known as optimal estimation (Martelli et al., 2012), or on genetic 311 algorithms (Hieslcher et al., 2000; Zhao et al., 2010) have been pro- 312 **Q9** posed. Regularization methods for diffuse optical tomography, largely 313 adopted for processing CW data, have proved to be effective for TD 314 NIRS data (Arridge, 1999; Gao et al., 2004; Selb et al., 2007), as also 315 shown in other fields like optical mammography (Enfield et al., 316 2007; Intes, 2005) and molecular imaging of small animals 317 (Advanced Research Technologies, 2013; Lapointe et al., 2012).

### Semi-empirical approaches

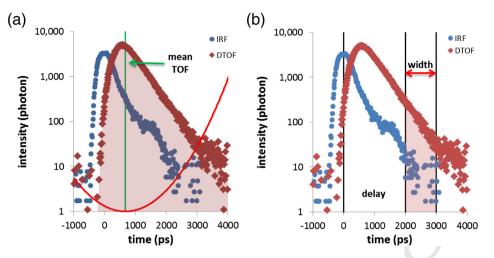
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Semi-empirical phenomenological approaches have been devel- 320 oped aiming at finding quantities derived from measured DTOF that 321 exhibit high sensitivity to deep (cerebral) absorption changes and 322 low sensitivity to superficial (systemic) absorption changes. Two 323 main approaches have been pursued: the first involves the calculation 324 of the moments of the DTOF, focusing in particular on the second 325 order moment (i.e. variance) (Liebert et al., 2004, 2012), or on higher 326 order moments (Hervé et al., 2012); an alternative approach exploits 327 time gating of the DTOF to separate late (deep) and early (superficial) 328 photons (Contini et al., 2007; Selb et al., 2005). The main advantage of 329 these methods is that they do not rely on nonlinear fitting proce- 330 dures, rather they are based on linear direct formulas, significantly insign the contrast-to-noise ratio. 332

Fig. 2 shows an experimental DTOF and the instrument response 333 function (IRF) obtained by facing the injection and collection fiber. 334 Moments of the DTOF and a typical time window used in the 335 time-gating semi-empirical approach are also shown. Data were acquired by the system described in Contini et al. (2006). 337

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**Fig. 2.** Typical TD NIRS signal. Experimental DTOF (red diamonds) and corresponding IRF (blue diamonds), measured by the system described in Contini et al. (2006). An example of a time window (delay = 2000 ps; width = 1000 ps) used in the time-gating semi-empirical approach is also shown.

#### 338 TD fNIRS instrumentation

The values of the optical parameters of biological tissue (i.e. 339 human head) in the visible and NIR spectral range (e.g.  $\mu_a =$ 340  $0.005-0.05 \text{ mm}^{-1}$ ;  $\mu_{s'} = 0.5-2.5 \text{ mm}^{-1}$ ) (Torricelli et al., 2001), to-341 gether with the values of source detector distances commonly used 342 343 (10-30 mm), set the time scale of TD NIRS measurements in the range of 0.1-10 ns, and fix the light attenuation level to about 8 op-344tical densities. Therefore, the crucial features in the designing of a TD 345346 fNIRS system are temporal resolution and sensitivity. It is therefore the combination of a specific light source with a proper detection 347 348 technique that determines the overall performances of a TD NIRS (and TD fNIRS) set-up. A further element that can influence the func-349 tioning of the TD NIRS set-up is the delivery and collection system 350 used to bring light pulses to the measured sample and to collect 351 the NIRS signal. We briefly illustrate the main aspects related to 352 these building blocks, before presenting the existing TD fNIRS 353 systems. 354

#### 355 Light sources

Current commercially available pulsed lasers produce short (10– 100 ps) and ultra-short (10–100 fs) light pulses, with repetition frequency up to 100 MHz, and average power in the range of 1– 1000 mW.

360 Solid state lasers (e.g. Ti:Sapphire) provide a powerful and flexible solution for laboratory set-ups (Coherent Inc., 2013; Newport 361 Corporation, 2013). They can in fact offer average power of ~<1 W, rep-362 etition rates <100 MHz, and pulse duration under 1 ps over a broad 363 wavelength range (e.g. 750-850 nm). They provide the advantages of 364 365 wavelength tunability over 400 nm, and high output power enabling 366 time-multiplexing of the source over multiple positions. Their use in clinical TD fNIRS devices is somehow limited, mainly due to a bulky case and 367 to the long time  $(\sim 10 \text{ s})$  required to switch between wavelengths. 368

Pulsed diode lasers are provided by several companies (Advanced 369 370 Laser Diode Systems GmbH, 2013; Alphalas GmbH, 2013; Becker & Hickl GmbH, 2013a; Edinburgh Photonics, 2013; Hamamatsu 371 Photonics, 2013d; PicoQuant GmbH, 2013b). They are compact and 372 robust, and they typically come with sufficient average power 373 (<5 mW), narrow spectral bandwidth (<10 nm) and pulse duration 374 (<500 ps). Several TD systems have adopted this type of light source 375 (see Tables 1 and 2). Indeed, to reach acceptable performances, there 376 is always a trade-off between output power and pulse duration: due 377 to the particular modulation strategy (gain switching), output 378 379 power <1 mW has to be selected to obtain pulse duration <100 ps.

Another drawback is a long warm-up time (in some cases > 60 min)  $_{380}$  needed to achieve pulse time stability in the picosecond range.  $_{381}$ 

In the last years, a few companies (Fianium UK Ltd., 2013b; NKT 382 Photonics A/S, 2013b) have delivered commercial high-power fiber la-383 sers based on supercontinuum (SC) generation. These devices are 384 ultra-broadband radiation sources with high spectral brightness and ex-385 cellent beam quality. Typically, a total average power of <10 W is gener-386 ated over a broad spectral range (e.g. 400–2000 nm), allowing average 387 spectral power of 1 mW/nm. A series of optoelectronic accessories are used for automatic wavelength selection and power adjustment. Only preliminary TD fNIRS studies have been reported with these sources, 390 yet they could potentially replace laser diodes in clinical systems. For 391 this to happen, issues related to power stability (critical due to the 392 nonlinear SC generation) and robustness (affected by the durability of fu-393 sion and splicing in the photonic crystal fiber) have to be solved (e.g. by 394 means of feedback loop and opto-mechanical solutions).

We have to notice that the laser power should be fixed to proper 396 values in order to avoid possible damage or injury to the tissue. No 397 maximum permissible exposure (MPE) value for the brain has been 398 determined, however, the light intensity on the brain surface during 399 fNIRS can be safely estimated to be only a few percent of the solar ir- 400 radiation (Kiguchi et al., 2007). Despite the fact that these consider- 401 ations were made for CW fNIRS, they hold also for TD fNIRS. 402 According to the safety regulations (International Electrotechnical 403 Commission, 2001) the criteria for the MPE assessment in the case 404 of a repetitively pulsed or modulated lasers are: i) each single pulse 405 of the train shall not exceed the MPE for a single laser pulse of the 406 same duration; ii) the average exposure for a pulse train of duration 407 T shall not exceed the MPE for a single pulse of duration T; iii) the av- 408 erage exposure for a pulse train shall not exceed the MPE for a single 409 pulse multiplied by the correction factor  $N^{-0.25}$  (where N is the total 410 number of pulses impinging the tissue). The first criterion limits the 411 energy of a single pulse in order to avoid nonlinear effects that can 412 damage the tissue; in this case pulse duration and peak power are 413 critical. The second and third criteria limit the average exposure in 414 which the key factor is the average power. Thus, in cases in which a 415 single pulse does not have sufficient energy to cause damage, and 416 considering a repetition frequency of tens of MHz, the limiting factor 417 is the average power of the laser, as for CW laser light. 418

### Detection techniques

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To detect weak and fast light signals, several detection techniques 420 with temporal resolution in the range of 1–250 ps and sufficient sen-421 sitivity are available. 422

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### Table 1

t1.2	Traditional TD fNIRS systems.										
t1.3	Group	Light source	Wavelength (nm)	Average power (mW)	Repetition rate (MHz)	Detection technique	Count rate (MHz)	IRF FWHM (ps)	Source channels	Detection channels	Reference
t1.4	Physikalisch-Technische Bundesanstalt Berlin, Germany	Ti:Sapphire	775, 805, 835 (serial)	800 (?)	80 (?)	TCSPC	NA	35	1	1	Obrig et al. (1996)
t1.5	Stanford University Palo Alto, California	Laser diode	785, 850	0.1	NA	OTDR	NA	NA	34 (serial)	34	Hintz et al. (1998)
t1.6	Shimadzu Corporation, Hamamatsu Photonics, Ministry of Int. Trade and Industry, Hokkaido University Japan	Laser diode	761, 791, 830	0.25	5	TCSPC	1	150		64	Eda et al. (1999)
t1.7	Politecnico di Milano Milan, Italy	Laser diode	672, 818	1	80	TCSPC	1	200	4	4	Cubeddu et al. (1999)
1.8	University of Pennsylvania, Philadelphia, Pennsylvania	Laser diode	780, 830	0.020	5	TCSPC	4	50	9	8	Ntziachristos et al. (1999)
1.9	TRS-10, Hamamatsu Photonics Japan	Laser diode	759, 797, 833	<0.1	5	TCSPC	<1	150	1	1	Oda et al. (1999) Ohmae et al. (2007)
1.10	University College London London, United Kingdom	Ti:Sapphire/fiber laser	800 780, 815	800 >50	80 40	TCSPC	0.3	80–150	32 (serial)	32	Gibson et al. (2006) Schmidt et al. (2000)
1.11	TRS-16, Hamamatsu Photonics Japan	Laser diode	760, 800, 830	1	5	TCSPC	<4	500	8 (serial)	16	Yamashita et al. (2003)
1.12	Physikalisch-Technische Bundesanstalt Berlin, Germany	Laser diode	687, 803, 826	0.5	20	TCSPC	1	600	1	4	Liebert et al. (2004)
1.13	Politecnico di Milano Milan, Italy	Laser diode	685, 780	1	80	TCSPC	4	200	2	8	Torricelli et al. (2004)
1.14	Institut de Physique Biologique Strasbourg, France	Laser diode	690, 785, 830, 870	1	20	TCSPC	8	200	1	8	Montcel et al. (2004) Montcel et al. (2005)
1.15	Martinos Center for Biomedical	Ti:Sapphire	One wavelength tuned in the	1000	80	Time-gated ICCD	NA	500	32 (serial)	18	Selb et al. (2005) Selb et al. (2006)

Non-linear optical-gating (by means of Kerr effect, parametric am-423 plification, or non-linear up-conversion) can be used if time-gating of 424 425the optical signal in the sub-picosecond time scale is required (Tolguenec et al., 1997; Wang et al., 1991). Indeed this approach re-426 427 guires complex and bulky system set-ups that limit its use to the laboratory scale and in particular for those applications where extreme 428 time resolution is really needed: in the biomedical field the molecular 429 imaging of small animals by optical projection tomography (Bassi 430 et al., 2010), or in the physics of matter field the characterization of 431 432 photonic glasses (Toninelli et al., 2008). On the contrary, in fNIRS

range of 750 to 850 nm

> applications sub-picosecond time resolution is definitely not manda- 433 tory (see also next section Temporal resolution). 434 **O10**

The streak camera is a detection apparatus with time resolution in the 435 1-10 ps range able to operate as multi-wavelength or multi-channel de- 436 tector by exploiting its bi-dimensional design (Hamamatsu Photonics, 437 2013a). TD fNIRS experiments in small animals were recently reported 438 with a streak camera apparatus (Mottin et al., 2011; Vignal et al., 2008), 439 but no extension to human studies seems feasible due to a very high 440 cost (also compared to non-linear time gating) and an overall complexity 441 that prevents the use by personnel without an adequate expertise in 442

t2.1 Table 2

#### t2.2 State-of-the-art TD fNIRS systems.

Imaging, Boston

<b>Q5</b> t2.3	Group	Light source	Wavelength (nm)	Average power (mW)	Repetition rate (MHz)	Detection technique	Count rate (MHz)	IRF FWHM (ps)	Source channels	Detection channels	Reference
t2.4	TRS-20, Hamamatsu Photonics Japan	Laser diode	760, 800, 830	0.25	5	TCSPC	1	250	2	2	Oda et al. (2009)
t2.5	Physikalisch-Technische Bundesanstalt Berlin, Germany	Laser diode	689, 797, 828	1	42	TCSPC	2	750	9 (serial)	4	Wabnitz et al. (2005) Wabnitz et al. (2010)
t2.6	Politecnico di Milano Milan, Italy	Laser diode	690, 830	1	80	TCSPC	8	500	16 (serial)	16	Contini et al. (2006) Contini et al. (2009)
t2.7	Politecnico di Milano Milan, Italy	Laser diode	690, 830	1	80	TCSPC	4	500	2	2	Re et al. (2010)
t2.8	Institute of Biocybernetics and Biomedical Engineering Warsaw, Poland	Laser diode	687, 832	1	80	TCSPC	16	<800	18 (serial)	8	Kacprzak et al. (2007)

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controlling of scientific instrumentation. Pioneer fNIRS studies were
performed to determine the optical path-length in the adult and/or newborn head (Delpy et al., 1988; Ferrari et al., 1993; van der Zee et al., 1992;
Wyatt et al., 1990). No further fNIRS studies on human have been
reported to our knowledge.

The pioneer studies by Hintz et al. (1998) and Benaron et al. (2000)
used a TD fNIRS system based on a modified optical time domain reflectometer (OTDR). This approach has been later abandoned because of
poor performances.

The time-correlated single photon counting (TCSPC) technique 452453(O'Connor and Phillips, 1984) has been extensively used for fluores-454cence lifetime measurements since the 1970s and later for TD NIRS measurements in diffusive media. In a TCSPC experiment, the tempo-455456ral profile of the NIRS signal is not directly measured but is retrieved by repeatedly measuring the delay between the trigger of the injected 457laser pulse and the detection of a (diffusively) reemitted photon for a 458statistically significant number of photons. A detector with a fast 459(<1 ns) and stable single electron response is required. A variety of 460 such detectors are commercially available: photomultiplier tube 461 (PMT) (Hamamatsu Photonics, 2013c), micro-channel plate (MCP) 462 PMT (Hamamatsu Photonics, 2013b), hybrid detector (Becker & 463 Hickl GmbH, 2013b; PicoQuant GmbH, 2013a), and single-photon av-464 465 alanche diode (SPAD) (Excelitas Technologies Corp, 2013; ID Quantique SA, 2013; Micro Photon Devices, 2013a; PicoQuant 466 GmbH, 2013e; SensL, 2013b). A key parameter in TCSPC is the count 467 rate, i.e. the number of photons per second which can be processed 468 (or simply counted) without exceeding the single photon statistics. 469 470 In the 1980s light sources were characterized by low intensity and low repetition rate (<10 kHz), and TCSPC electronic circuit speed 471 was also limited (the dead time after the detection of a photon was 472on the order of 10 µs). The consequence for TCSPC was a very low 473 count rate (<10<sup>4</sup> photon/s), which resulted in long acquisition times 474 475(several minutes). Nowadays the speed of commercially available TCSPC modules is 1000 times faster than the classic TCSPC devices 476 (e.g. the dead time is as low as 100 ns). In combination with a laser 477 with repetition rate in the order of tens of MHz, a TCSPC module 478 has potentially the capability of processing a few 10<sup>6</sup> photons/s. Fur-479ther, multi-dimensional TCSPC allows the simultaneous recording of 480 photons from a large number of detectors (Becker & Hickl GmbH, 481 2013d; PicoQuant GmbH, 2013d; SensL, 2013a). A complete and 482updated description of TCSPC systems and applications (including 483 TD fNIRS) can be found in Becker (2005). 484

Detection of TD NIRS signal is also possible with a time-gated inten-485 sified charge coupled device (ICCD) camera. It basically consists of a 486 photocathode, an MCP PMT, and a phosphor screen. High temporal res-487 488 olution can be achieved by fast gating of the intensifier cathode of the 489 ICCD camera (LaVision BioTec GmbH, 2013). Years ago the time resolution was limited to about 1 ns restricting the use of the time-gated ICCD 490 camera, while recently ultra-short gates (e.g. <100 ps) can be achieved 491 by using smaller image tubes. Like TCSPC, a time-gated ICCD camera is 492characterized by sensitivity down to single photon detection. Like a 493494streak camera, the time-gated ICCD system is a bi-dimensional device, 495thus potentially able to measure the spatial and temporal profile of the remitted light from a diffusive medium by acquiring different im-496 ages synchronized for different time delays with respect to the injection 497498 of the laser pulse. Every image contains the spatial information at a cer-499tain time instant, while the successive values stored in the memory and referring to the same pixel determine the temporal distribution of the 500detected signal. TD NIRS setups based on a time-gated ICCD camera 501have been reported for optical imaging of diffusive phantoms 502(D'Andrea et al., 2003) or small animals (Niedre et al., 2006). Prelimi-503nary TD fNIRS studies have used the time-gated ICCD camera as 504multi-channel device in combination with optical fibers of different 505lengths allowing for simultaneous detection of several time-gates 506(Selb et al., 2005, 2006), or as an imaging device (Sawosz et al., 2010; 507508 Zhao et al., 2011).

Nowadays, TCSPC systems are more easily found in prototypes and 509 instruments for TD fNIRS (see also next section TD fNIRS systems). 510 Since there is no striking advantage of TCSPC over time-gated ICCD 511 camera, the choice of the technique is rather determined by an overall 512 balance between costs, complexity and performances in relation also 513 to the specific applications. 514

When the TD null source detector distance approach is considered, 515 several technological issues should be taken into account. The most severe obstacle is the presence of early photons. With decreasing source 517 detector distance, early photons increase at a much faster pace than 518 the late photons and saturate the detection electronics. This prevents 519 the extraction of long-lived photons that carry information from deep 520 structures. Thus, an efficient mechanism to gate, or at least to reduce, 521 the early photons is needed to be able to exploit the advantages of 522 this approach. 523

This modality is available for a MCP PMT by acting on its gain, for 524 an ICCD camera by operating on the gain of the intensifier, and also 525 for a streak camera by controlling the ramp voltage so as to sweep 526 electrons corresponding to initial photons out of the active surface 527 of the CCD detector. In practice, in all these devices, only the detection 528 stage after the photocathode is altered. Therefore this solution is effective if the required extinction ratio is not severe, since initial photons still impinge onto the photocathode and extract electrons, 531 causing damage to the active surface and increasing significantly the background noise. An attempt to obtain null distance TD NIRS with 333 an ICCD based system has been reported (Sawosz et al., 2012).

A possible alternative is the use of a SPAD. A key difference of 535 SPAD detectors with respect to other approaches is the possibility 536 to enable the device above threshold very quickly. When the SPAD 537 is disabled, the avalanche process cannot start, and most of the 538 electron-hole pairs generated by the incoming photons recombine 539 within the active area in a few tens of ps. Thus, this device is not damaged by the burst of initial photons, and a strong rejection of early 541 photons can be achieved. 542

The first demonstration of the null distance approach with a SPAD 543 based system has been reported by the research group at Politecnico 544 di Milano, Milan, Italy (Pifferi et al., 2008). 545

Finally, we mention that a completely different method for measuring TD optical quantities has been proposed using pseudorandom bit sequences as light source and a cross-correlation scheme to retrieve the impulse response (Chen and Zhu, 2002, 2003). While, the overall performances of this approach were not satisfactory, this is an interesting example of cross-contamination between different fields.

### Delivery and collection system

Due to the limitations related to the size of TD NIRS light sources and 553 detectors, it is typically required that the light pulses are delivered to 554 the sample (e.g. the head) and conveyed to the detectors by some 555 kind of optical system. The easiest, and most common way, is to couple 556 light into optical fibers or bundles, which has the additional advantage, 557 from the point of view of safety, of electrically isolating the measure-558 ment site from the device. 559

Single mode optical fibers are characterized by small core diame- 560 ter (<10  $\mu$ m) and typically operate in a narrow spectral range 561 (<100 nm) centered at a specific operating wavelength in the visible 562 and NIR range. Multimode optical fibers are built with core diameter 563 of different sizes (10–1000  $\mu$ m) and operate over a broad spectral 564 range (from ultraviolet to NIR). Attenuation, numerical aperture 565 (NA), and dispersion are the main characteristics related to optical fi- 566 bers that have to be considered when designing a TD fNIRS system. 567

Light attenuation in modern low hydroxyl ions fused silica optical 568 fibers (used in long range data transmission) is below 10 dB/km, 569 while plastic optical fibers (for short range data transmission) have 570 higher attenuation (<100 dB/km) (Gowar, 1993). Attenuation is 571

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therefore negligible for fiber length in the order of 10 m, as used infNIRS.

The NA is related to the maximum acceptance angle of an optical 574575fiber and it influences the light gathering ability of the fiber (Gowar, 1993). To maximize collection efficiency in TD NIRS, high NA values 576(e.g. >0.3) and large core diameters (e.g.  $>500 \mu m$ ) should be pre-577ferred for the collection fibers since the total power that can be collected 578from a diffusive sample by a fiber scales with the squares of the NA and 579580of the radius. Multimode fibers have to be preferred to single mode fibers to optimize light transmission efficiency in the TD fNIRS system. 581

Dispersion is a crucial parameter for TD fNIRS since it broadens the 582light pulses traveling in the fiber. When using a narrow bandwidth 583source (e.g. laser), total dispersion is dominated by modal dispersion 584585in a multimode fiber, while material (chromatic) dispersion can be dominant in a single mode fiber. Modal dispersion can be greatly re-586 duced by a proper design of the refraction index profile of the optical 587 fiber: graded index (GI) fibers should be preferred to step index (SI) fi-588 bers. Typical value of dispersion in GI fibers is 1 ps/m, while it increases 589to about 100 ps/m in SI fibers (Gowar, 1993). 590

The optimal solution to reduce pulse broadening and maximize light 591 transmission for light delivery and collection would be a multimode GI 592optical fiber with the highest NA and the largest core diameter. 593594Multimode GI optical fibers are typically fabricated with 50, 62.5 and 100 µm core diameters, therefore limiting their applications in TD 595 fNIRS to light delivery. For maximizing TD signal collection from the tis-596sue a fiber with a much larger diameter is required. Unavoidably, SI fibers 597have to be chosen, being commercially available with core diameter up 598599to 3000 µm. Unfortunately, the bending radius of such large fibers is not negligible (e.g. >50/>150 mm for momentary/long term bend of a 600 1000 µm core diameter fiber), resulting in a limited flexibility. For ease 601 of use, especially in the clinical environment, fiber bundles, made by 602 gathering hundreds of smaller flexible fibers, have to be preferred. 603 604 Modal dispersion turns out to be a limiting factor since SI fibers are used (the use of GI fibers would not improve the performances due to 605 waveguide dispersion effects). A trade-off between fiber bundle length 606 and NA is required, typically obtained by limiting fiber bundle length 607 to a couple of meters. The use of longer bundles determines an overall 608 unacceptable temporal resolution, quantified by the full width at half 609 maximum (FWHM) of the IRF. Values of the IRF larger than 1 ns compro-610 mise the accuracy of TD NIRS measurements (Liebert et al., 2003). 611

Additional components and devices are typically used in delivery 612 613 and collection systems. Switches, splitters and galvo mirrors multiplex light pulses in different locations of the sample (e.g. for mapping pur-614 poses). Variable neutral density attenuators are used to equalize the 615 signals, while lenses help in focusing light to the detection systems. 616 Band-pass filters prevent room light to interfere with the TD NIRS sig-617 618 nal, and help in collecting the fluorescence signal from endogenous or exogenous chromophores like indo-cyanine green (Gerega et al., 619 2012; Milej et al., 2012). The main effect of these components is the in-620 troduction of additional attenuation terms that could reduce the overall 621 responsivity of the TD fNIRS set-up (Wabnitz et al., 2011), while they 622 623 have a negligible effect on the IRF.

### 624 TD fNIRS systems

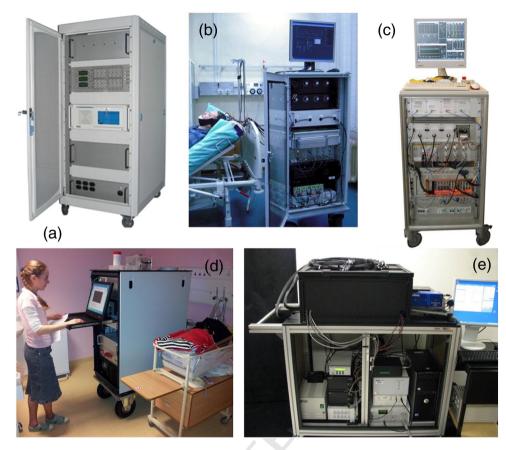
In this section we present a survey of traditional (Table 1), 625 626 state-of-the-art (Table 2) and next generation (Table 3) TD fNIRS systems. With the term traditional we refer to TD fNIRS systems that, to 627 our knowledge, have been now discontinued, or replaced by novel 628 upgraded systems, or used for other applications. It is worth noting 629 that most of these systems represented a breakthrough as compared 630 to classical TD NIRS laboratory systems, typically based on bulky gas la-631 sers and massive accessories. Indeed, they were compact at the level of 632 being transportable out of the lab (see Fig. 3). In most cases they were 633 able to operate simultaneously at two or more wavelengths. Parallel ac-634 635 quisition of up to tens of channels was possible, opening the way to

Next generation TD fNIRS systems.										
Group	Light source	Wavelength (nm)	Average power (mW)	Repetition rate (MHz)	Detection technique	Count rate (MHz)	IRF FWHM (ps)	Source channels	Detection channels	Reference Q65
University College London London, United Kingdom MONSTIR2	SC fiber laser	Up to 8 selected in the 650–825 nm superral range	<2.5	40	TCSPC	8	220	32 (serial)	32	Hebden et al. (2012a) Hebden et al. (2012b)
Martinos Center for Biomedical Imaging, Boston TGI2	SC fiber laser	Up to 6 selected in the 680–840 nm spectral range	<100	60	Time-gated ICCD	NA	500	16 (serial)	25	Selb and Boas (2012) Selb et al. (2013)
Politecnico di Milano Milan, Italy fOXY2	Laser diode	690, 830	1	80	TCSPC	16	400	16 (serial)	00	Contini et al. (2013b)
Physikalisch-Technische Bundesanstalt Berlin, Germanv	Laser diode	705, 830	ů.	40	TCSPC	2	750	10	4	Steinkellner et al. (2012)
Physikalisch-Technische Bundesanstalt Berlin, Germany Confocal null distance	SC fiber laser	069	1	20	TCSPC	7	<100	1 (scanning)	1 (scanning)	Mazurenka et al. (2012) Mazurenka et al. (2013)
Politecnico di Milano Milan, Italy Null distance	SC fiber laser	710, 820	<100	40	TCSPC Fast gated	2	100	1	1	Contini et al. (2013a)
Ecole Polytechnique Federale de Lausanne and University Hospital Zurich 3D SPAD imager	Laser diode	780	ŵ	50	TCSPC (TDC)	16	<300	2 (scanning)	$32 \times 32$	Mata Pavia et al. (2011a) Mata Pavia et al. (2011b)

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Table

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**Fig. 3.** Photos of TD fNIRS devices. (a) The fOXY medical device developed at Politecnico di Milano, Milan Italy (Contini et al., 2006, 2009); (b) the brain imager developed at the Institute of Biocybernetics and Biomedical Engineering, Warsaw, Poland (Kacprzak et al., 2007); (c) the brain imager developed at Physikalisch-Technische Bundesanstalt, Berlin, Germany (Wabnitz et al., 2005, 2010); (d) the MONSTIR2 developed at the Department of Medical Physics and Bioengineering, University College London, United Kingdom (Hebden et al., 2012a, 2012b); (e) the TGI-2 imager developed at Massachusetts General Hospital, Athinoula A. Martinos Center, Charlestown, Massachusetts (Selb and Boas, 2012; Selb et al., 2013).

mapping experiments. Nonetheless, they were characterized by sub-optimal performances due to a reduced count rate (on average <1 MHz) and to low average power (<1 mW) of the light sources. In many cases they were used for static imaging with very long acquisition times (up to several minutes), or for monitoring hemodynamic changes in a few channels with a relatively short acquisition time (<1 s).

We consider then the *state-of-the-art* TD fNIRS systems that in the last five years (2008–2012) have been used for research and clinical fNIRS studies in adults and neonates, as reported in the literature.

Finally we report on the *next generation* TD systems to present the
work that, to our knowledge, researchers are carrying out to test
novel approaches and to implement advanced technological solutions
aiming at improving performances of TD fNIRS devices. These set-ups
have only provided proof of principle results, while no clinical studies
have been reported.

We conclude this section reporting on the issues related to multimodality co-registration of TD fNIRS with other techniques and to performance assessment and standardization of TD fNIRS system.

### 654 Traditional TD fNIRS systems

655A collaborative effort among the research groups at the Department of656Physics, Department of Bioengineering, and Department of Biochemistry/657Biophysics at University of Pennsylvania, under the coordination of658Prof. Britton Chance, developed a multi-channel TD instrument659(Ntziachristos et al., 1999). Spatially resolved measurements of contralat-660eral primary motor-cortex activation during voluntary finger tapping661were performed and successfully coregistered with fMRI data. Results

demonstrated the efficiency of the device in the detection of local optical 662 variations as well as its good performances in coregistration with fMRI. 663

The TD fNIRS system developed at Stanford University, Palo Alto,  $^{664}$  California, was characterized by a large number of channels ( $^{34} \times ^{34}$ ),  $^{665}$  allowing for the first diffuse optical tomography measurements, but it  $^{666}$  suffered for very low sensitivity. The acquisition times were tremen-  $^{667}$  dously long and applications were limited to static imaging of hemor-  $^{668}$  rhage in newborns (Benaron et al., 2000).  $^{669}$ 

The tomographic TD fNIRS system developed by the research 670 group at the Department of Medical Physics and Bioengineering, 671 University College London, overcame most of the limitations of the 672 previous system, and it was successfully used not only for quasi static 673 imaging in diseased newborns (Austin et al., 2006; Hebden et al., 674 2004) but also for functional studies in healthy newborns (Gibson 675 et al., 2006). 676

After a preliminary TD fNIRS study with a laboratory set-up (Obrig et 677 al., 1996), the research group at Physikalisch-Technische Bundesanstalt 678 in Berlin, Germany, developed a compact system that was used for very 679 relevant studies in which it was first demonstrated the ability of the TD 680 approach to discriminate intra-cerebral and extra-cerebral contribution 681 (Liebert et al., 2004; Steinbrink et al., 2001). 682

The compact 8-channel TD fNIRS system developed at Politecnico di 683 Milano, Milan, Italy was used for studying the bilateral prefrontal cortex 684 hemodynamic response to a verbal fluency task (Quaresima et al., 685 2005). 686

The research group in Strasbourg used an eight-channel system 687 based on picosecond laser sources and a multi-anode MCP PMT to perform a single point measurement during a finger tapping experiment 689

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(Montcel et al., 2005, 2006). An upgraded version of this system is now
being used for molecular imaging of small animals (Montcel and Poulet,
2006).

Several studies on piglets (ljichi et al., 2005a), infants (ljichi et al., 2005b, 2005c) and adult (Hoshi et al., 2006; Kakihana et al., 2010, 2012; Ohmae et al., 2006, 2007; Sato et al., 2007; Yokose et al., 2010) have been reported with the commercial TD fNIRS instrument TRS-10 developed by Hamamatsu Photonics. Fewer studies were performed with the modified multi-channel set-up (Oda et al., 1999; Ueda et al., 2005).

The research group at the Massachusetts General Hospital, Athinoula A. Martinos Center, Charlestown, Massachusetts, developed a TD fNIRS system based on an ICCD detector coupled to 18 optical fibers of 7 different lengths creating an optical delay, and enabling simultaneous detection in 7 windows by step of 500 ps. Preliminary single wavelength results on adults performing a motor task were reported (Selb et al., 2005, 2006).

#### 707 State-of-the-art TD fNIRS systems

Nowadays, there is only one commercial TD fNIRS system, the 708 TRS-20 developed in Japan by Hamamatsu Photonics and sold (only 709 710 in Japan) as an investigational-use only, stand-alone two-channel 711 system. The TRS-20 employs thermo-electrically controlled picosecond laser diodes, operating at 760 nm, 800 nm, and 830 nm, with 712 an overall temporal resolution <150 ps (IRF FWHM), and proprietary 713 fast photomultipliers and TCSPC module (Hamamatsu Photonics, 714 715 2013e; Oda et al., 2009).

Existing TD fNIRS systems with clinical applications have been
 mainly developed by European research groups located in academic
 or public research centers.

The research group at Physikalisch-Technische Bundesanstalt, Berlin, 719 720 Germany, has developed a three-wavelength four-detection-channel TCSPC instrument (Wabnitz et al., 2005, 2010) that has been effectively 721 used for bedside assessment of cerebral perfusion in stroke patients 722 (Liebert et al., 2005; Steinkellner et al., 2010), to explore neurovascular 723 coupling in combination with magneto-encephalography (Mackert et 724 725 al., 2008; Sander et al., 2007) and to study systemic artifacts in TD fNIRS (Kirilina et al., 2012). With little modifications in the light sources 726 and in the detectors, the system has been also used for fluorescence de-727 tection from exogenous chromophores in the adult human brain (Jelzow 728 729 et al., 2012; Liebert et al., 2006).

In Warsaw, Poland, a 32-channel configuration has been assembled by doubling the switching and detection elements at the Institute of Biocybernetics and Biomedical Engineering (Kacprzak et al., 2007) and used in clinical applications such as brain oxygenation measurements during carotid endartectomy (Kacprzak et al., 2012) and detection of brain traumatic lesions (Liebert et al., 2011).

A 16-source and 16-detector TD fNIRS imager with fast acquisition 736 time (>5 ms per channel) was developed at Politecnico di Milano, 737 Milan, Italy (Contini et al., 2006; Contini et al., 2009) and used to map 738 739 the cortical response in healthy volunteers during cognitive studies 740 (Butti et al., 2009; Molteni et al., 2012) and in epileptic patients with movement disorders during motor tasks (Torricelli et al., 2011). The 741same group developed a 2-source and 2-detector TD fNIRS system 742based on the space-multiplexing approach (Re et al., 2010) with im-743 744 proved sensitivity that was used to investigate the sensitivity of TD fNIRS to cortical and superficial systemic response (Aletti et al., 2012). 745

Finally, we mention that a couple of European companies sell 746 components (pulsed lasers, photo-detectors and TCSPC modules) and 747 stand-alone TD systems, with up to 4 channels, mainly for standard 748 fluorescence lifetime applications, single molecule spectroscopy, and 749 lifetime imaging with scanning microscopes (Becker & Hickl GmbH, 7502013c; PicoQuant GmbH, 2013d). These products could be properly 751 adapted to be used for investigational TD fNIRS studies (Diop et al., 752753 2010).

#### Next generation TD fNIRS systems

754

The research group at the Department of Medical Physics and Bio-755 engineering, University College London, designed and developed an 756 upgraded version of the tomographic TD fNIRS system (MONSTIR 757 2). Main improvements with respect to the previous device are the 758 use of a SC fiber laser equipped with an acousto-optic tunable filter 759 (AOTF) device allowing a multi-wavelength approach, and the use 760 of modern TCSPC acquisition boards to replace the obsolete electronic 761 modules. The system has been tested on preliminary measurements 762 on newborns (Hebden, 2012a; Hebden et al., 2012b). 763 **Q11** 

The ICCD based TD fNIRS system developed at the Massachusetts 764 General Hospital, Athinoula A. Martinos Center, Charlestown, Massa-765 chusetts, has been recently upgraded by introducing a SC fiber laser to 766 replace the Ti:Sapphire laser, and a set of band-pass filters on a fast 767 filter wheel to properly and rapidly select the operating wavelengths 768 (Selb and Boas, 2012; Selb et al., 2013). The main limitation of the 769 previous set-up (i.e. the operation at a single wavelength) has been 770 therefore overcome. 771

A novel TD fNIRS system has been recently developed by researchers 772 at Politecnico di Milano, Milan, Italy. The use of hybrid PMT with re-773 duced afterpulsing allows acquisition of TD fNIRS signals over a larger 774 dynamic and temporal range. Further, the space-multiplexing approach 775 implemented by means of a cascade of fast fiber optic switches that sequentially delivers the different wavelengths in different injection 777 channels, eliminates the cross-talk between TD NIRS signal at different 778 wavelengths (Contini et al., 2013b). 779

The research group at Physikalisch-Technische Bundesanstalt, 780 Berlin, Germany has been testing novel approaches for light delivery 781 and collection. A cascade of splitters is used to illuminate in parallel several injection points to potentially reduce power loss. Time-multiplexing 783 of TD NIRS signal from different channels allows parallel detections. 784 Moreover, advanced PMT with improved sensitivity in the NIR 785 (e.g. GaAs surface) or reduced after-pulsing (e.g. hybrid PMT) has 786 been tested (Steinkellner et al., 2012). 787

In a different set-up, a novel non-contact system is used that utilizes 788 a quasi-null source detector separation approach for TD NIRS, taking 789 advantage of polarization-sensitive detection and a state-of-the-art 790 fast-gated SPAD to detect late photons only, bearing information 791 about deeper layers of the biological tissue. Measurements on phan-792 toms and preliminary in vivo tests demonstrate the feasibility of the 793 non-contact approach for the detection of optically absorbing perturba-794 tions buried up to a few centimeters beneath the surface of a tissue-like turbid medium (Mazurenka et al., 2012, 2013). 796

On the basis of the experience gained with advanced laboratory 797 setups (Pifferi et al., 2008; Tosi et al., 2011), a next generation TD fNIRS 798 prototype implementing the null distance approach has been recently 799 designed and developed at Politecnico di Milano, Milan, Italy. The instrument is based on a custom developed SC fiber laser (Fianium Ltd., 801 Southampton, United Kingdom), providing two independent outputs at 802 710 nm and 820 nm, with a repetition frequency of 40 MHz, 100 mW 803 average power at each wavelength, and a FWHM of <50 ps. A 804 fast-gating (<500 ps) front-end electronics and two SPAD detectors are 805 used to simultaneously acquire photons at different time-windows. 806 Preliminary in vivo results show, for the first time, the possibility to 807 non-invasively monitor cortical O<sub>2</sub>Hb and HHb changes during a motor 808 task with a source detector distance of <5 mm (Contini et al., 2013a). 809

The collaboration between Ecole Polytechnique Federale de 810 Lausanne and University Hospital Zurich, Switzerland, allowed re- 811 searchers to design a 3D imager based on SPAD imager with 812  $128 \times 128$  pixels capable of performing TD NIRS measurements 813 with a resolution of <100 ps. The system is equipped with picosecond 814 pulsed diode laser and a telecentric objective for non-contact mea- 815 surements. The main drawback is at present the long time required 816 to the readout circuitry to process the data. (Mata Pavia et al., 817 2011a, 2011b, 2012).

### 819 Co-registration with other modalities

There are no fundamental limitations that prevent the possibility to co-register fNIRS with other neuroimaging modalities. Multimodality should be pursued aiming not only at validating fNIRS, but also at better understanding the physiological processes following brain stimulation (Yucel et al., 2012) and at the minimization of physiological noise (Cooper et al., 2012b).

826 For instance TD fNIRS and electroencephalography (EEG) coregistration has been performed both for validating the TD fNIRS 827 828 (Torricelli et al., 2011) and for the study of the neurovascular coupling 829 (Bari et al., 2012; Jelzow et al., 2010). Experiments have been performed 830 with pre-mounted EEG caps or mounting of individual electrodes. In 831 both cases no interferences have been reported, verifying that these neuroimaging techniques can be easily applied and co-applied. More-832 over results of the different techniques showed agreement between 833 them and with literature. In neurovascular coupling studies, fNIRS 834 allowed a reliable measure of oxy- and deoxyhemoglobin changes, per-835 mitting the identification of a cascade of responses and the quantifica-836 tion of temporal delays between electrical and vascular response. To 837 co-register TD fNIRS and functional magnetic resonance imaging 838 (fMRI), the TD fNIRS instrument should reside and be operated at a 839 840 safe distance from the fMRI scanner. Therefore, the use of long (e.g. 10 m) optical fibers for light delivery and collection from the 841 head of the subject is required. As discussed, temporal dispersion 842 in the optical fibers introduces a degradation of the overall perfor-843 mance of the TD fNIRS system. A reduction of the NA (e.g. by spatial 844 845 filtering the mode propagating in the outer part of the fiber bundle) is useful to maintaining the IRF at acceptable FWHM values. This 846 comes unavoidably at the cost of a large loss of signal that has to 847 be compensated by the use of very sensitive detectors (Brühl et al., 848 849 2005; Jelzow et al., 2009; Torricelli et al., 2007). Further, to fit the 850 limited space between the head and the MRI cage, 90° bended 851 optodes or prisms were used. The MR-compatible fNIRS systems were successfully employed. The combination of the two modalities 852 introduced advantages for both sides: the analysis of optical data 853 was validated and improved by using MR results as prior knowl-854 855 edge, while the calibration of the fMRI-BOLD signal could benefit from the fNIRS measured parameters. 856

Similarly, in TD fNIRS and magneto-encephalography (MEG) 857 co-registration the use of 4.5 m long optical fiber bundles, mounted 858 tangentially to the subject's head via prisms, has been reported since 859 the instrument was positioned outside the magnetically shielded 860 room. A modulation-based DC-MEG technique was used with the bed 861 sinusoidally moved in a horizontal direction by a hydraulic piston. 862 863 Only minor movement amplitudes of the head of a few millimeters 864 were observed during the stimulation periods (Mackert et al., 2008; Sander et al., 2007). In the reported papers, experiments were 865 performed in MEG/TD fNIRS coregistration to characterize the dynamics 866 of the interaction between the cortical neuronal and vascular responses. 867 The combined analysis provided not only a qualitative, but also a quan-868 869 titative assessment of the temporal behaviors. Furthermore, the depth 870 resolution of TD fNIRS enabled the separation of systemic and cerebral hemoglobin concentration changes. This eliminated the uncertainty of 871 previous MEG/CW fNIRS recordings, where signal contaminations by 872 extra-cerebral variations could not be excluded definitely. 873

Simultaneous co-registration of TD fNIRS and positron emission tomography (PET) were performed and no particular technical issues were raised (Ohmae et al., 2006). A good correlation coefficient was obtained between TD fNIRS-derived cerebral blood volume (CBV) and PET-derived CBV, while the absolute CBV levels by TD fNIRS were lower than those by PET.

TD fNIRS signals from cortical regions and changes in microcirculatory blood flow dynamics in the scalp as measured by laser Doppler flowmetry (LDF) were simultaneously recorded in a couple of recent studies (Aletti et al., 2012; Kirilina et al., 2012), strengthening that the model-based separation of TD fNIRS early (superficial) and 884 late (deep) photons is able to cancel, or at least attenuate, the surface 885 confounding effects. Since LDF employs CW light sources, proper 886 solutions (e.g. use of filters, offset positioning of the probes, 887 time-multiplexing of the techniques) are required to avoid interference 888 on TD fNIRS signals. Same cautions should also be used when TD fNIRS is 889 simultaneously co-registered with another optical technique such as 890 diffuse correlation spectroscopy (Busch et al., 2012; Diop et al., 2011). 891

#### Performance assessment and standardization

The compelling need for standardization and quality assessment of 893 diffuse optics instruments is a key requirement for the translation of 894 new optical tools to effective clinical use (Hwang et al., 2012). The 895 definition of common procedures for the performance assessment of 896 instruments, implemented over a set of highly calibrated and reproduc- 897 ible phantoms is a key requirement for the grading of system perfor- 898 mances, the quantitative assessment of instrument upgrades, the 899 validation of clinical prototypes, the enforcement of quality control 900 and consistency in clinical studies, and the comparison of clinical results 901 performed with different instruments. Within the framework of different 902 European projects (MEDPHOT, OPTIMAMM, nEUROPt, LaserLabEurope), 903 common protocols and related phantom kits have been developed to 904 provide guidelines for the comparison of various diffuse optic systems. 905 In particular, the performance assessment of TD fNIRS instruments was 906 addressed in the nEUROPt project with the adoption of 3 protocols agreed 907 upon by a cluster of 17 institutions.

These include the "Basic instrumental performance" protocol to 909 characterize key hardware specifications of TD fNIRS systems (e.g. 910 FWHM of the IRF, drift of laser power or timing) that are crucial for 911 the outcome of the clinical measurements (Wabnitz et al., 2011). 912

The MEDPHOT protocol (Pifferi et al., 2005) was adapted to TD fNIRS 913 systems in order to characterize the capability of an instrument to measure the optical properties (absorption coefficient and reduced scattering 915 coefficient) of a homogeneous diffusive medium by assessing accuracy, 916 linearity, noise, stability, and reproducibility of these measurements. 917

Finally, the nEUROPt protocol was designed to address the capability 918 of optical brain imagers to detect, localize and quantify changes in the 919 optical properties of the brain (cerebral cortex) and to eliminate the in- 920 fluence of extra-cerebral tissues on the measurement. A specific inho- 921 mogeneous phantom was designed to reliably mimic absorption 922 changes in the cortex as the most relevant physical quantity in neurological applications of diffuse optical imaging (Wabnitz et al., 2013). 924

Common efforts are being currently pursued to further promote 925 standardization issues in the scientific community both for TD and 926 CW regimes. A joint initiative of the International Electrotechnical Commission (IEC) and of the International Organization for Standardization 928 (ISO), led by Prof. Hideo Eda (The Graduate School for the creation of 929 new Photonics industries, Hamamatsu, Shizuoka, Japan), with the support of Physikalisch-Technische Bundesanstalt, Berlin and Politecnico di 931 Milano, Milan, for actions at the local (national) level in Germany and 932 Italy, has been started, aiming at defining a simple, easy to use standard. 933 The proposed project is carried out by technical committees ISO/TC 121/ SC 3 and IEC/SC 62D JWG 5 under the IEC lead (IEC, 2013). 935

Finally it is worth mentioning that TD fNIRS data type (as well as CW 936 and FD data types) will be inserted in the Shared Near Infrared File Format Specification, a recent initiative for standardization of data types triggered by the fNIRS community (Frederick and Boas, 2013). 939

### **TD fNIRS features**

In this section we present the main features (or fingerprints) of TD 941 fNIRS, aiming at elucidating the differences and advantages with re- 942 spect to CW fNIRS and its drawbacks. We focus on the issues of quan- 943 tification, penetration depth, depth selectivity, spatial resolution, and 944 contrast-to-noise ratio. As a general aspect we note that these issues 945

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are strongly entangled since the common underlying physical phenomenon is the interplay between light absorption and light diffusion
at the microscopic scale. However, for the sake of clarity we try to distinguish the main peculiarity of each presented issue. We conclude
this section with representative in vivo data obtained with a TD
fNIRS system.

### 952 Quantification

Probably the oldest argument in favor of the TD approach is that of discrimination and quantification of the optical properties, namely the absorption coefficient and reduced scattering coefficient, the former being related to tissue constituents, the latter to tissue structures (Jacques, 1989b). Absolute estimate of the absorption coefficient would allow the derivation of SO<sub>2</sub>, a crucial parameter for many neurological conditions (Maas and Citerio, 2010).

However this advantage of TD NIRS strictly holds in a homoge-960 neous medium. A TD NIRS measurement at a single source detector 961 distance allows a complete optical characterization of the probed tis-962 sue, without the complicated multi-distance arrangements and the 963 cumbersome calibration procedure that are needed for CW NIRS 964 and FD NIRS. A few CW NIRS commercial systems have implemented 965 966 a multi-distance approach (also called space-resolved spectroscopy, SRS) and yield parameters related to SO<sub>2</sub>, assuming a constant and 967 spectrally flat scattering coefficient (Wolf et al., 2007). 968

When dealing with more complex geometries, the use of TD NIRS is 969 likely to become less immediate. In a two-layered medium accurate 970 971 estimate of the optical parameters have been obtained, provided a multi-distance (Martelli et al., 2003, 2004) or a multi-distance and 972 multi-wavelength (Pifferi et al., 2001). TD approach is used. In the 973 974 case of a real tissue, like the human head, a two-layered model could 975be a too simple approximation, and the use of priors of the true geom-976 etry (e.g. from anatomical 3D MRI maps or atlas), would be a prerequisite for setting up the forward problem by numerical methods like FEM 977 or Monte Carlo. Absolute quantification of the optical properties in a 978 real head is still an open issue. A recent collaboration among research 979 980 groups in the framework of the European project nEUROPt is addressing 981 the problem with a step by step approach involving multiple techniques (e.g. CW NIRS at multiple short distances to provide information on the 982superficial layer, to be used as priors for multi-distance and 983 multi-wavelength TD NIRS in a layered model) (Foschum et al., 2012). 984

The two-layer approach was implemented on TD NIRS data on the adult head (Gagnon et al., 2008), and reported a clear distinction between extra- and intra-cerebral optical properties, even though the values could not be validated by independent modalities.

In many applications of interest in the neuroimaging community 989 990 fNIRS looks for changes with respect to a baseline. Only the few FD or SRS CW fNIRS systems, implementing the multi-distance approach, aim 991 at providing absolute changes, while the majority of single source detec-992 tor distance CW fNIRS devices just provide relative changes. TD fNIRS can 993 be of help since, with limited assumptions on the baseline optical proper-994 995 ties (a rough estimate can be always obtained by fitting with the homo-996 geneous model), average photon path length (equivalently the average time spent by photon) can be estimated in different head compartments 997 (at least the extra-cerebral and the intra-cerebral ones) allowing for abso-998 999 lute estimate of absorption changes. Expressions to estimate the absorp-1000 tion changes have been reported in Appendix A. In the following Depth selectivity section we will provide further comments on the quantifica-1001 tion of absorption changes based on an experimental validation. 1002

### 1003 Penetration depth

For fNIRS applications aiming at mapping the functioning of human brain, the ability to probe the measured tissue in depth is of the utmost importance. NIRS light has in fact to cross through the scalp, the skull and the cerebrospinal fluid before reaching the brain, and NIRS photons have to travel back to the head surface to 1008 be eventually detected. In the adult head the mean thickness of the 1009 skull has been measured in the range from 5.3 mm to 7.5 mm 1010 (Moreira-Gonzalez et al., 2006), and the average distance between 1011 the cortical surface and the head surface along the scalp was estimat- 1012 ed to be in the range of 10–30 mm depending on the location 1013 (Okamoto et al., 2004). 1014

To properly probe the cortical region a source-detector distance of 1015 30–40 mm is typically used in many CW fNIRS devices, while shorter 1016 distances (20–30 mm) proved to be more efficient in newborns taken 1017 into account the reduced head size (Dehaes et al., 2011b; Gervain et 1018 al., 2011). This is in agreement with theoretical expectations. In CW 1019 NIRS, photons emerging at larger source detector distances have trav-1020 eled longer paths deeper inside the medium, and thus they carry 1021 more information on deeper tissues (Del Bianco et al., 2002; Feng et 1022 al., 1995).

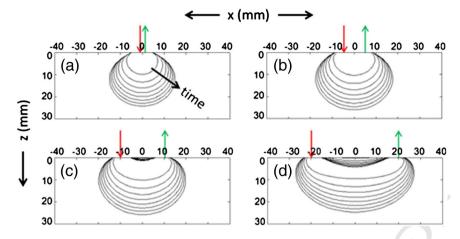
TD fNIRS measurements on adult have been typically reported with 1024 source-detector distance in the range of 20–30 mm. Indeed, in TD NIRS 1025 measurements the information on deeper tissues can be obtained from 1026 photons emerging with longer time-of-flight (Steinbrink et al., 2001), 1027 independently of the source detector distance (Del Bianco et al., 1028 2002), as also demonstrated by the null distance TD NIRS approach 1029 (Pifferi et al., 2008; Spinelli et al., 2006; Torricelli et al., 2005). 1030

Figs. 4 and 5 show the results of simulations performed to obtain1031the sensitivity profiles and maps for different source detector dis-1032tances in a homogeneous medium and in a human head, respectively.1033It is evident that in both cases penetration depth increases with time1034and not with source detector distance.1035

Fig. 6 presents the results of a simple experiment (from the 1036 nEUROPt protocol) that can be effectively used to test penetration 1037 depth, A black PVC cylinder (volume 500 mm<sup>3</sup>) is embedded in a liquid 1038 diffusive medium with average optical properties mimicking a human 1039 head ( $\mu_a = 0.01 \text{ mm}^{-1}$ ,  $\mu_{s'} = 1.0 \text{ mm}^{-1}$ ). The cylinder is positioned 1040 in the mid plane between source and detector (at a distance of 1041 30 mm) and its depth is varied in the range of 6-40 mm. The system 1042 setup is described in Contini et al. (2013a). As shown in Fig. 6(a), the 1043 contrast for an early time-gate (500 ps) is high if the perturbation is lo- 1044 cated close to the surface, while it diminishes rapidly as the perturba- 1045 tion depth increases. Conversely a late time-gate (e.g. 2500 ps) has a 1046 lower contrast for perturbation with shallow depth, while the contrast 1047 increases as a function of perturbation depth, reaching a maximum 1048 and then going to zero. We observe that the contrast is small but not 1049 negligible even at a depth of 30 mm for the late gate at 3500 ps. The 1050 contrast for the CW case (obtained by summing photons detected at 1051 any time) is also shown. The dependence of the contrast on the photon 1052 time-of-flight is plotted in Fig. 6(b) for different depths of the perturba- 1053 tion. It is clear that the optimum time-gate moves to longer time as the 1054 perturbation goes deeper in the medium, although the contrast inevita- 1055 bly diminishes. 1056

Further, we recall that in CW fNIRS background absorption strongly 1057 affects penetration depth by preferentially reducing the number of long 1058 lived (i.e. deeper) photons. Instead, in TD fNIRS the penetration depth is 1059 independent from the background absorption (Del Bianco et al., 2002). 1060 Actually, a photon behaves in the same way independently from the 1061 used detection technique. Consequently, as shown in Fig. 1, in TD 1062 fNIRS an increase in absorption determines a reduction in the number 1063 of photons with longer time-of-flight (the longer the time-of-flight, 1064 the higher the probability of being absorbed). Hence absorption does 1065 have an effect on penetration depth in TD fNIRS since it reduces the 1066 temporal dynamics (at the microscopic level). Indeed this effect can 1067 be properly compensated by increasing the injected power (if available, 1068 and if within the safety limits). Nothing can be done in CW fNIRS to 1069 overcome the effect of background absorption. One could argue that it 1070 is unlikely that during an experiment the background absorption varies 1071 significantly. Unfortunately this could be the case for systemic (global) 1072 effects that affect blood perfusion. From a more technical point of 1073

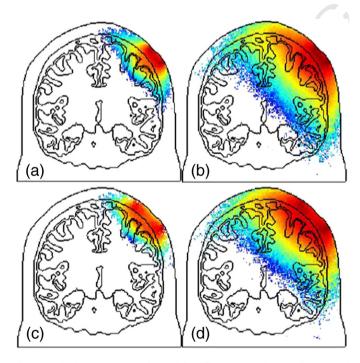
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**Fig. 4.** Sensitivity profiles in a homogeneous medium. (a) Sensitivity profiles for TD reflectance in a homogeneous medium ( $\mu_a = 0 \text{ mm}^{-1}$ ; and  $\mu_s' = 1 \text{ mm}^{-1}$ , n = 1.4) for different source detector distances  $\rho$ : (a) 2 mm; (b) 10 mm; (c) 20 mm; (d) 40 mm. Each line represents the contour edge of the contrast at 5% of the maximum, at a given time, from 500 to 4000 ps in steps of 500 ps. Source (red arrow) and detector (green arrow) positions are also shown. Simulations were performed with the analytical solution to the DE (Martelli et al., 2009).

view, there is an advantage related to the independence of TD fNIRS
from background absorption. For a multi-wavelength approach, where
the use of spectral priors is aimed at improving the accuracy of the estimate of hemodynamic parameters, the TD penetration depth will be to
a first approximation spectrally flat since it would depend only on the
smooth spectral dependence of the scattering.

These considerations hold true not only in a homogeneous medium, where the relationship among absorption, photon time-of-flight and penetration depth can be obvious, but also in more complex situations



**Fig. 5.** Sensitivity maps in a head model for different source detector distances and time-gates. (a)  $\rho \cong 20$  mm, t = 1000 ps; (b)  $\rho \cong 20$  mm, t = 5000 ps; (c)  $\rho \cong 40$  mm, t = 1000 ps; (d)  $\rho \cong 40$  mm, t = 5000 ps. Monte-Carlo forward simulations in a segmented volumetric 3D domain based on a digital head (Collins et al., 1998) have been calculated using 10<sup>6</sup> launched photons. We chose realistic optical properties for the brain structures (Boas et al., 2005). The photons have been simulated as leaving light sources positioned over C1, C3h and C3 positions of the 10/20 system. Sensitivity maps were then calculated via time convolution of forward solutions for pairs C3–C3h ( $\rho \cong 20$  mm) and C3–C1 ( $\rho \cong 40$  mm).

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such as a layered medium with different optical properties (e.g. the 1083 human head). 1084

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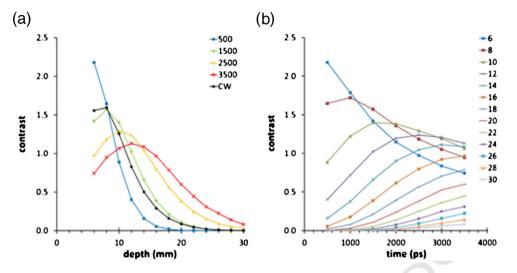
To improve depth selectivity, that is to reject superficial extra- 1086 cerebral contributions, is a major challenge in fNIRS in both adults and 1087 newborns (Aslin, 2013; Gagnon et al., 2012b; Kirilina et al., 2012; 1088 Takahashi et al., 2011). In CW fNIRS this can be achieved by adding a 1089 short distance (<5 mm) channel with enhanced sensitivity to superfi- 1090 cial layer (Gagnon et al., 2012a; Saager et al., 2011; Scarpa et al., in 1091 press), or by means of a more sophisticated tomographic approach 1092 exploiting a dense arrangement of the optodes (Eggebrecht et al., 1093 2012). Nonetheless, we stress that no depth selectivity is achievable 1094 with a single source detector distance CW fNIRS device. Postural, 1095 mechanical, and neural changes, which may occur under most investi- 1096 gative maneuvers, alter blood perfusion and/or distribution in the 1097 extra-cranial compartment and affect CW fNIRS variables to the extent 1098 that detected changes in cerebral tissue blood volume and oxygenation 1099 can be frequently reversed (Canova et al., 2011). 1100

On the other hand, in single source detector distance (either large or 1101 small) TD fNIRS depth selectivity is improved by contrasting the signal 1102 obtained after integration of photons detected at early and late 1103 time-windows (Contini et al., 2007; Selb et al., 2005), or, similarly, by 1104 contrasting the moments of the DTOF (Hervé et al., 2012; Liebert et 1105 al., 2004, 2012).

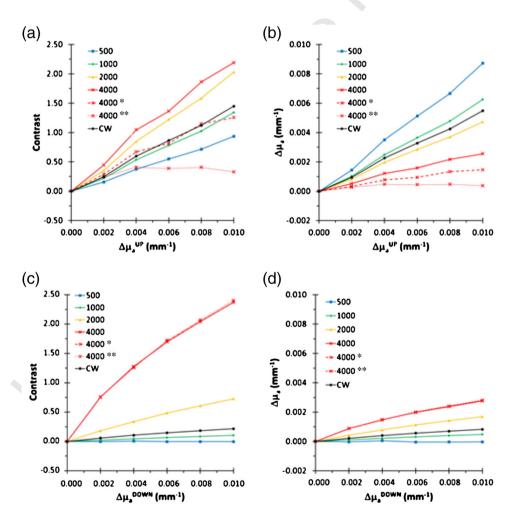
A significant modification of the instrumentation is required in CW 1107 fNIRS to implement the multi-distance approach. Conversely, since TD 1108 fNIRS naturally measures photon time-of-flight, it just requires post 1109 processing analysis to discriminate intra-cranial and extra-cranial contributions. The TD approach based on time-windows or moments is 1111 also efficient for identifying other artifacts related to superficial phenomena, e.g. the detachment of an optode (Gibson et al., 2006). 1113

A simple experiment (from the nEUROPt protocol) can be devised to 1114 test depth selectivity. In a two-layer diffusive phantom (see Del Bianco et 1115 al., 2004 for details on the construction of the phantom) absorption 1116 changes either in the upper or in the lower layer have been produced 1117 by adding known amounts of a calibrated black ink. The corresponding 1118 contrasts for different time-gates (constant width: 500 ps, increasing 1119 delay: 500, 1000, 2000, and 4000 ps) and for the CW case (delay: 0 ps, 1120 width: 0–5000 ps,) have been calculated according to Formula A1 1121 reported in Appendix A and are shown in Fig. 7. When the absorption 1122 changes in the upper layer, all time-gates are affected (all photons 1123 travel in the superficial layer since they are injected from the external 1124

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**Fig. 6.** Penetration depth. (a) Contrast as a function of depth of the perturbation for different time-gates (constant width: 500 ps, increasing delay: 500, 1500, 2500, and 3500 ps), and for the CW case (delay: 0 ps, width: 0–4500 ps); (b) Contrast as a function of time (at the DTOF scale) for different depths of the perturbation. Background medium:  $\mu_a = 0.01 \text{ mm}^{-1}$ ,  $\mu_s' = 1.0 \text{ mm}^{-1}$ ;  $\rho = 30 \text{ mm}$ ; perturbation: black PVC cylinder (volume = 500 mm<sup>3</sup>) positioned at different depths in the mid plane between source and detector. Formula A1 was used for calculating the contrast.



**Fig. 7.** Depth selectivity. Contrast (left column) and estimated absorption changes (right column) in a two layered medium (upper layer thickness = 10 mm, lower layer thickness = 40 mm) for different time-gates (constant width: 500 ps, increasing delay: 500, 1000, 2000, and 4000 ps), and for the CW case (delay: 0 ps, width: 0–5000 ps). Top row: absorption was changed only in the upper layer. Bottom row: absorption was changed only in the bottom layer. For both cases, but only for the latest time-gate (delay: 4000 ps, width: 500 ps) we have also applied the corrections A6 and A7 introduced by Selb et al. (2005) and Contini et al. (2007), indicated with "\*" and "\*\*", respectively. Background medium:  $\mu_a = 0.01 \text{ mm}^{-1}$ ,  $\mu_s' = 1.0 \text{ mm}^{-1}$ ;  $\rho = 30 \text{ mm}$ .

upper surface). In particular we observe that the contrast is even higher 1125 for late gates, since long lived photons have a higher probability of being 1126 1127 absorbed, independently of the location of the absorption perturbation. 1128 When the absorption changes are produced in the lower layer, the early gates have negligible or small contrast, as expected, since they 1129have a reduced probability to reach the lower layer and then be 1130 reemitted at the surface. The contrast for the CW case is also reported 1131 and it is in general closer to the early than to the late gates (the majority 1132 1133 of photons are in fact collected at early gates and they contribute largely to the CW signal). The changes in the absorption coefficient are then 1134 1135estimated with the Formulas A3–A7 reported in Appendix A. The use of an early time-window can provide a sufficiently accurate estimate of 1136the absorption changes when they affect the upper layer (see 11371138 Fig. 7(b)). In the same situation, a very late time-window is able to yield an estimate of the absorption changes in the lower layer that is 1139 minimally affected by the changes in the upper layer (especially if cor-1140 rection methods, described by Eqs. (A6) and (A7) are used). The problem 1141 of accuracy related to the estimate of photon path-length in the different 1142 layers of the medium is still an open issue in the case of changes affecting 1143 the lower layer. As shown in Fig. 7(d), linearity with the perturbation is 1144 achieved, but quantification is definitely poor. Nonetheless, the estimates 1145 obtained with the TD approach are catching the phenomenological ef-1146 fects occurring either in the upper or in the lower layer. More accurate 1147 1148 estimates could be obtained with methods based on the moments of the DTOF (Liebert et al., 2012). 1149

#### 1150 Spatial resolution

Light diffusion is the enabling mechanism in fNIRS since it allows photons to penetrate deeply in biological tissues and to be diffusely reemitted, carrying the information on deep structures. However, light diffusion itself strongly limits the achievable spatial resolution in fNIRS to a value of the order of 10 mm (Boas et al., 1994).

1156When dealing with spatial resolution in fNIRS it is useful to distinguish between lateral and depth resolution. Lateral resolution de-1157pends on source detector distance, therefore it can be improved by 1158the use of multi-distance or tomographic detection schemes in both 11591160 TD and CW fNIRS (Arridge et al., 2011; Gao et al., 2004) or of the null distance approach in TD fNIRS (Torricelli et al., 2005). This pa-1161 rameter is also influenced by penetration depth and depth selectivity, 1162 therefore comparisons of lateral resolutions should be made at a fixed 1163 depth, typically 10 mm to mimic the average equivalent distance of 1164 brain cortex to the scalp (Wabnitz et al., 2013). 1165

Depth resolution explores the direction orthogonal to and beneath 1166 the (head) surface. In TD fNIRS depth resolution depends on photon 1167 time-of-flight and scattering properties (Liebert et al., 2004; Spinelli et 11681169al., 2009b; Steinbrink et al., 2001), while for CW fNIRS it depends on source detector distance, scattering and absorption (Del Bianco et al., 1170 2002). Similarly to lateral resolution, depth resolution can be influenced 1171 by the ability of the system to reject confounding superficial phenome-1172na (i.e. extra-cerebral, systemic responses). 1173

Broadening of the IRF has detrimental effects on depth resolution as well as on penetration depth, depth selectivity, and contrast, and its influence is larger for smaller source detector distance (Pifferi et al., 2010). A simple intuitive reason for this later aspect is the fact that the larger the source detector distance, the broader in time the measured DTOF is compared to the IRF.

If a better system with a narrower IRF cannot be designed, the possi-1180 bility remains to partially overcome these limitations by employing con-1181 volution or deconvolution procedures. Convolution of the IRF with a 1182 theoretical model before fitting experimental DTOF proved to be effec-1183 tive for an accurate estimate of optical properties (Cubeddu et al., 1184 1996; Spinelli et al., 2009a). In the past, deconvolution algorithms had 1185the reputation of introducing noise in the computation and were rarely 1186 used. Recently improved deconvolution algorithms have been developed 1187 1188 and tested (Bodi and Bérubé-Lauzière, 2009; Diop and St Lawrence,

2012; Hebden et al., 2003). To tackle the IRF problem, an elegant 1189 method is the use of moments of the DTOF since no deconvolution 1190 of the DTOF by the measured IRF is needed. The moments calculated 1191 from the measured DTOFs can, in fact, be corrected for the IRF simply 1192 by subtracting the corresponding moments of the IRF to obtain the 1193 true moments (Hervé et al., 2012; Liebert et al., 2004). However, 1194 since the moments are global parameters calculated by integrating 1195 the DTOF (therefore mixing early and late arriving photons), this 1196 semi-empirical approach can not totally circumvent the uncertainty 1197 in photon timing due to a broad IRF, therefore depth resolution is not 1198 likely to improve. 1199

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Finally, we observe that for TD fNIRS the fundamental physical 1200 limit to depth resolution is imposed by scattering. In the ideal case 1201 of a delta-like IRF (in practice a temporal resolution of <1 ps that 1202 could be obtained, for instance by using ultrafast laser with fs pulse 1203 duration and a streak camera system, coupled to zero dispersion op- 1204 tical fibers), it would be impossible to discriminate deep absorbing 1205 structures with a resolution better than 5 mm. The possibility to fine- 1206 ly discriminate deep structures in diffusive media is therefore limited 1207 to the very superficial layers (i.e. depth  $\ll 5$  mm), but in this case 1208 other advanced optical techniques like optical coherence tomography 1209 (Aguirre et al., 2006; Fujimoto et al., 1995) and laminar optical to- 1210 mography (Dunn and Boas, 2000; Hillman et al., 2004) are able to 1211 provide sharp results. These methods can be used for in vivo optical 1212 imaging of the exposed cortex. A review on the effect of light scatter- 1213 ing on depth resolution is provided by Hillman et al. (2011). 1214

#### Contrast-to-noise ratio

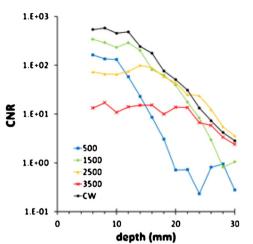
The overall ability of an fNIRS system of detecting a cortical response 1216 depends on many factors (e.g. depth, simultaneous presence of superficial systemic response, IRF), as discussed in the previous sections. A single parameter to synthetically gage an fNIRS system can be the 1219 contrast-to-noise ratio (CNR). The CNR takes into account the sensitivity of the system to changes in the measured quantity (e.g. light attenuation in CW fNIRS, intensity integrated in a given time-window in TD 1222 fNIRS) and it relates this change (contrast) to the noise level, as determined – for instance – by the standard deviation of the measured quantity, typically estimated during a resting period (baseline). 1225

The contrast for a TD fNIRS measurement can be higher than for 1226 the CW case, simply because it is possible to extract long-lived photons that have traveled a larger fraction of their path in the deeper cortical region as compared to the mean photon distribution collected 1229 in a CW measurement. Also, tighter spatial confinement attainable upon reducing the source detector distance – for a fixed photon traveling time – leads to an increase in contrast. 1232

0.09pt?>Conversely, the real bottleneck of actual TD systems is the 1233 noise level. If the TCSPC technique is used – possibly the most popular 1234 choice – the maximum count rate per channel is limited to a few 1235 10<sup>6</sup> photons/s due to the single-photon counting statistics and minimum dead time of the electronics. This limits the maximum signal 1237 level that can be extracted in a TD measurement and thus constrains 1238 the CNR. Further, amplitude stability and overall detection responsivity 1239 are typically worse in a TD system simply because the need to achieve 1240 temporal information reduces the choice of sources and detectors. Fi-1241 nally, the total number of parallel running sources and detectors is typically lower due to the intrinsic higher complexity and cost of single 1243 devices. 1244

Fig. 8 shows the CNR as a function of depth for different time 1245 gates. We observe that the CNR value reported for the CW case is 1246 not the best estimate, since CW data have been obtained with a TD 1247 system by integrating all the detected photons. We expect that a 1248 real CW system performs in a much better way. An experimental 1249 comparison of the TD and CW approaches would be appropriate, 1250 but it is not within the scope of this review. 1251

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**Fig. 8.** Contrast-to-noise ratio. Contrast-to-noise ratio (CNR) as a function of depth, for different time gates (constant width: 500 ps, increasing delay: 500, 1500, 2500, and 3500 ps), and for the CW case (delay: 0 ps, width: 0–4500 ps); Background medium:  $\mu_a = 0.01 \text{ mm}^{-1}$ ,  $\mu'_s = 1.0 \text{ mm}^{-1}$ ;  $\rho = 30 \text{ mm}$ ; perturbation: black PVC cylinder (volume = 500 mm<sup>3</sup>) positioned at different depths in the mid plane between source and detector. Formula A1 was used for the calculation of the contrast.

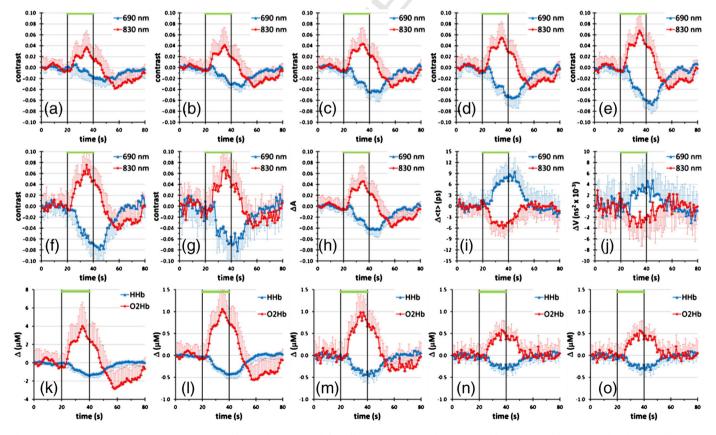
### 1252 Representative in vivo data

1253 To better illustrate the specific information that can be obtained 1254 by TD fNIRS we report on two simple case studies: a motor task ex-1255 periment and a Valsalva maneuver. For both studies the TD fNIRS medical device (working at 690 nm 1256 and 830 nm) described in Contini et al. (2006) was used, a single 1257 channel (source detector distance 20 mm) was centered over the C3 1258 point, and data were acquired at 1 Hz. 1259

In the motor task experiment the protocol consisted a 20 s baseline, 20 s finger tapping with the right hand at 2 Hz, and 40 s recovery. Ten repetitions were performed and averaged. In the Valsalva maneuver experiment the protocol consisted a 20 s baseline, 20 s expiring through a closed mouthpiece, and 40 s recovery. Five repetitions were performed and averaged.

In both experiments, for each wavelength and all repetitions, we calculated the contrast C, the absorption changes in the intra-cerebral and extra-cerebral region, and the O<sub>2</sub>Hb and HHb time courses as described in Appendix A. 1269

Figs. 9(a–g) report the contrast C during the finger tapping experiment for different time-gates with constant width (250 ps) and in-1271 creasing delay (from 0 to 1000 in 250 ps steps, then 1500 ps and 1272 2000 ps). For both 690 nm and 830 nm the contrast is rather flat 1273 and close to zero during the baseline, as expected. Then during the 1274 task it increases at 830 nm, while it decreases at 690 nm. These 1275 changes are greater for later time-gates. The maximum value of the 1276 contrast during the task period at 830 nm in fact almost doubles its 1277 value, from 0.04 at the earliest gate (delay 0 ps) to 0.07 for the latest 1278 time-gate (delay 2000 ps). Similarly, at 690 nm the contrast is three 1279 times higher at the latest time-gate (C = -0.06) with respect to 1280 the earliest time-gate (C = -0.02). This is an indication that a deep 1281 perturbation is present. Indeed by looking at the contrast at the 1283 830 nm presents large periodic changes, only partially related to the 1284



**Fig. 9.** Finger tapping experiment. Contrast at 690 nm (blue) and 830 nm (red) for different time-gates with constant width (250 ps) and increasing delay: (a) 0 ps, (b) 250 ps, (c) 500 ps, (d) 750 ps, (e) 1000 ps, (f) 1500 ps, (g) 2000 ps. Contrast for the moments of the DTOF: (h) 0th order moment, (i) 1st order moment, (j) 2nd order moment. Estimated changes in HHb (blue) and  $0_2$ Hb (red) as calculated from photons integrated in: (k) an early time window (delay: 0 ps, width: 500 ps, mean time-of-flight: 250 ps); (l) for the CW case (delay: 0 ps, width: 2500 ps); (m) a late time window (delay: 1750 ps, width: 750 ps, width: 750 ps, width: 750 ps, width: 750 ps) with correction for changes in early time window (delay: 0 ps, width: 60 ps); (o) same as (n), but Formula A7. Average and standard deviation over 10 repetitions are shown for all plotted parameters. The black vertical lines and the green horizontal line mark the task period.

task, with a triangular or saw-tooth shape, not resembling a typical 1285 task-evoked cerebral hemodynamic response. We note that the 1286 same time course is present at least gualitatively also at longer 1287 1288 time-gates, and this is expected since superficial changes affect photons detected at any time, as described in previous sections. Con-1289versely, the time course for 690 nm does not present this features. As 1290recently reported by Kirilina et al. (2012), this could likely be the ef-1291fect of task-evoked systemic changes. 1292

Figs. 9(h–j) report the time course of the moments of the DTOF. The contrast from the lowest order moment (related to the CW intensity) is greatly affected by systemic changes, while higher order moments are less affected.

Figs. 9(k-o) report the time course for the estimates of O<sub>2</sub>Hb and 1297HHb for the extra-cerebral region, for the intra-cerebral region (without 1298and with correction for changes in the extra-cerebral region), and the 1299 global estimate from the CW case. In the extra-cerebral region the 1300 O<sub>2</sub>Hb signal presents the task-evoked changes, while the HHb presents 1301 a limited decrease. In the intra-cerebral region the task-evoked pertur-1302bation in O<sub>2</sub>Hb still appears, while it is almost canceled if we use the 1303 correction for the superficial disturbances. In the CW, the systemic 1304task-evoked effect is clearly visible. 1305

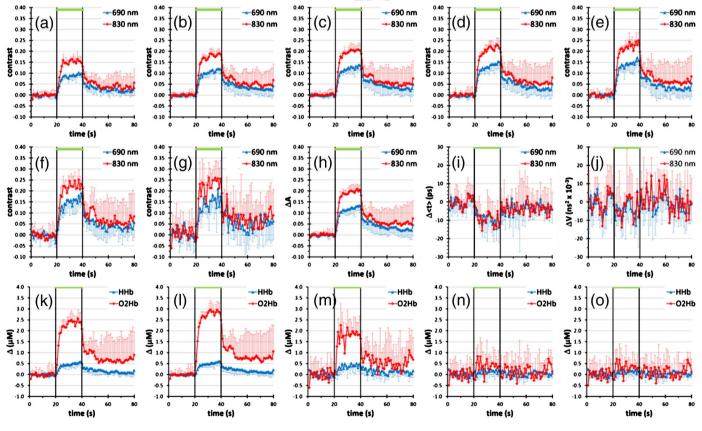
Figs. 10(a–g) report the contrast C during the Valsalva maneuver for different time-gates with constant width (250 ps) and increasing delay (from 0 to 1000 in 250 ps steps, then 1500 ps and 2000 ps). For both 690 nm and 830 nm the contrast during the task greatly increases with respect to the baseline period. This holds true at any time-gate, with a limited increase when moving from early to late gate: the contrast at 690 nm changes from 0.15 to 0.25, at 830 nm from 0.10 to 0.15. This suggests the presence of a superficial absorption perturbation 1313 with a limited effect on deeper regions, as expected (Canova et al., 1314 2011). This is confirmed by the time courses of the moments of the 1315 DTOF (see Figs. 10(h-j)) and by the time courses of  $O_2$ Hb and HHb for 1316 the extra-cerebral region and for the intra-cerebral region, if for the lat-1317 ter case the correction for changes in superficial layers is applied. We 1318 note that both the uncorrected intra-cerebral response and the CW re-1319 sponse are largely affected by the superficial effects (see Figs. 10(k-o)). 1320

### **Future perspectives**

In this section we briefly present the foreseen advances at both 1322 the technological and modeling levels from which TD fNIRS could 1323 benefit in the next years. 1324

There are several technological improvements in light sources, de 1325 tection techniques and delivery and collection systems that could sig 1326 nificantly enhance TD fNIRS's overall performances in the next years. 1327

The SC fiber laser (Fianium UK Ltd., 2013b; NKT Photonics, 2013b) 1328 has been recently introduced in TD fNIRS systems and their potential 1329 has not been fully proved. They are compact and could nicely fit in a 1330 trolley and a rack for medical device. They provide narrow pulses at 1331 any level of power, without degrading the IRF. Indeed, they are to 1332 some extent a very inefficient solution since the available power is 1333 spread over a wavelength range much larger than the useful range. 1334 On the one hand, excess power in unused wavelength intervals (e.g. 1335 <600 nm, >900 nm) has to be properly attenuated (e.g. by using di-1336 chroic mirror of hot filter) so as not to direct it to the sample under 1337 test. On the other hand, power spectral density is limited and 1338



**Fig. 10.** Valsalva maneuver experiment. Contrast at 690 nm (blue) and 830 nm (red) for different time-gates with constant width (250 ps) and increasing delay: (a) 0 ps, (b) 250 ps, (c) 500 ps, (d) 750 ps, (e) 1000 ps, (f) 1500 ps, (g) 2000 ps. Contrast for the moments of the DTOF: (h) 0th order moment, (i) 1st order moment, (j) 2nd order moment. Estimated changes in HHb (blue) and  $0_2$ Hb (red) as calculated from photons integrated in: (k) an early time window (delay: 0 ps, width: 500 ps, mean time-of-flight: 250 ps); (l) for the CW case (delay: 0 ps, width: 2500 ps); (m) a late time window (delay: 1750 ps, width: 750 ps, mean time-of-flight: 2125 ps); (n) late time window (delay: 1750 ps, width: 500 ps, width: 750 ps, width: 750

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sufficient power levels for application in the real environment are obtained summing up the power at adjacent wavelengths (up to 40 nm in some cases), at the cost of reducing the spectral purity of the injected pulses, and the overall accuracy in the reconstruction if the bandwidth is not taken into account (Farina et al., 2009). Consequently, a SC with reduced width, covering the proper spectral range while maintaining a high conversion rate, is desirable.

As an alternative to SC lasers, picosecond pulsed laser diode heads
based on a master oscillator fiber amplifier concept can offer pulse
widths <100 ps and average powers up to 1 W (depending on wave-</li>
length). The drawback at the moment of writing is the limited availability of wavelengths (e.g. 531 nm, 710 nm, 766 nm, 1064 nm and
1530 nm) (Fianium UK Ltd., 2013a; PicoQuant GmbH, 2013c).

1352The silicon photonics field is promising compact and scalable devices and components for telecommunications, but unfortunately they 1353 are not produced in the wavelength range of interest for fNIRS (Fang 1354and Zhao, 2012). Similarly several optical components and devices for 1355 properly handling light pulses at the picosecond level have been de-1356 vised in the fields of photonics and communications by exploiting the 1357recent studies on metamaterials (Liu and Zhang, 2011), and chalcogen-1358 ide materials (Eggleton et al., 2011). Again, there are no fundamental 1359limitations that prevent the designing of specific components for 1360 operation in the spectral range of interest for fNIRS. 1361

1362Also delivery and collection systems could be positively influenced by the advances in Photonics. To mention a specific case, we observe 1363 that nowadays commercial products exist that are able to overcome 1364some of the basic limitations of classical optical fiber. Photonic crystal fi-13651366 bers (PCF) have in fact been recently produced operating as single mode over a broad spectral range (NKT Photonics, 2013a). A conventional sin-1367gle mode optical fiber is actually multimode for wavelengths shorter 1368 than the second-mode cutoff wavelength, limiting the useful operating 13691370wavelength range in many applications. With PCF we could think to overcome most of the limitations of multimode SI fiber bundles that 13711372are used for light collection in TD fNIRS.

For what concerns the detection techniques, we observe that the 1373 main drawbacks of existing TCSPC systems are actually not set by phys-1374ical limits, and they could be overcome by technological advancements. 13751376 As a side product of the research on the null distance TD approach, ultra-fast time gating circuits and electronics have been developed, 1377 that could improve the performances of modern detection techniques 1378 like TCSPC (the limits in photon counting statistics holds but with the 1379time gating approach we are for example able to count only useful pho-1380 tons in specific time-windows). In particular time-to-digital conversion 1381 (TDC) electronics, could replace modern TCSPC modules for aiming at a 1382 higher integration level (Mata Pavia et al., 2012). Similarly advanced 1383 photodetectors, like SPAD with enhanced sensitivity, large area SPAD, 13841385SPAD array or matrix with improved performances could be designed and fabricated (Micro Photon Devices, 2013a, 2013c). 1386

Similarly, the modeling used for the interpretation of real data is now-1387adays too elementary. Advanced computational tools for modeling light 1388 propagation in the head are available, but to date they have been used 13891390 mostly for simulations, while rarely for the in vivo data analysis. The sit-1391 uation is different for the CW case where sophisticated approaches to data analysis have been successfully proposed (Cooper et al., 2012a; 1392Custo et al., 2010; Tsuzuki et al., 2007, 2012). In most clinical studies 1393the head is approximated as homogeneous or two layered medium. 13941395This approach might have the advantage of robustness but it definitely fails in terms of accuracy. The use of priors (e.g. anatomical, optical, or 1396spectral) would greatly improve the accuracy of the results, but in most 1397 cases at the cost of a very high computational load. Parallel computing al-1398gorithms and platforms are therefore required to make this affordable. 1399

### 1400 Conclusions

1401 We have presented a comprehensive and critical review on TD 1402 fNIRS in which we have highlighted that TD fNIRS could play a significant role not only as a complex research tool at the laboratory 1403 stage, but also as a powerful instrument for all fNIRS applications. 1404

As a general comment we note that an ideal TD fNIRS system 1405 might not exist. However, the design of novel instruments can be 1406 properly tailored to the specific needs of the end-users at both research and clinical levels. The performances, the complexity, as well 1408 as the costs of a TD fNIRS system can significantly vary depending 1409 for example on the number of independent channels. 1410

Interestingly, TD NIRS systems and devices have found applications 1411 in other fields such as optical mammography, and molecular imaging of 1412 small animals. The eventual growth and broader diffusion of these applications would further foster TD fNIRS. By synergic and collaborative 1414 efforts among experts in Photonics, Electronics, Information technology 1415 and Neuroscience we foresee a flourishing future for TD fNIRS. 1416

We persuasively conclude this review by quoting the 17th century English philosopher and scientist Francis Bacon: "Truth is rightly 1418 named the daughter of *time*" (Novum Organum, 1620). 1419

Uncited references	142 <b>@13</b>
Boas and Dale, 2005	1421
Hielscher et al., 2000	1422
Micro Photon Devices, 2013b	1423

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#### **Conflict of interest**

The Authors have no relationships with the commercial companies cited in the paper that could inappropriately influence, or be per-1457 ceived to influence, their work. 1458

### Appendix A

The concentration changes of  $O_2Hb$  and HHb were obtained from 1460 the changes in light attenuation after integrating photons in different 1461 time-windows. 1462

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### 1463 For each wavelength, we calculated the contrast, defined as

$$\Sigma(d, w; T; \lambda) = -\ln[N(d, w; T; \lambda) / N_0(d, w; \lambda)]$$
(A1)

1463 where  $N(d,w;T;\lambda)$  is the number of photons collected in a time window 1466 with delay *d* and width *w*, at macroscopic (experiment) time T for 1467 wavelength  $\lambda$ , and  $N_0(d,w;\lambda)$  is the number of photons collected in 1468 the very same time window and for the same wavelength, averaged 1469 over the baseline period of the protocol.

1470 Changes in the absorption coefficient for each wavelength  $\lambda$  and at 1471 any time T are estimated with the formula

$$\Delta\mu_{a}(\mathbf{T};\boldsymbol{\lambda}) = C(d, w, \mathbf{T};\boldsymbol{\lambda})/L \tag{A2}$$

1473 where *L* is the photon path-length (Nomura et al., 1997).

A rough assumption for the path-length is L = vt, where v is the 1474 speed of light in the medium and t is the average photon-time-1475of-flight. Taking into account the dependence of penetration depth on 1476photon time-of-flight, by properly selecting an early time-gate it is pos-1477 sible to estimate changes in more superficial layers (i.e. extra-cerebral), 1478 while a late time-gate yields information on deeper regions (i.e. 1479 intra-cerebral). The formulas for the absorption changes can then be 1480 1481 specified as follows:

$$\Delta \mu_a^{\text{EATKA}}(\mathbf{T}; \lambda) = C(d_E, w_E; \mathbf{T}; \lambda) / L_E \tag{A3}$$

(

$$\Delta \mu_{a}^{INTRA}(T;\lambda) = C(d_{L}, w_{L};T;\lambda)/L_{L}$$
(A4)

**1489** where  $d_E$ ,  $w_E$ , and  $L_E$  ( $d_L$ ,  $w_L$ , and  $L_L$ ) are proper delay, width and path-length of the time-gate to select early (late) arriving photons.

By integrating all detected photons (e.g. selecting a time-window with delay  $d_{CW} = 0$  ps and width  $w_{CW} = 5000$  ps) it is possible to address the CW case. As photon path-length we use  $L_{CW} = v < t >$ , where < t > is the mean time-of-flight (first order moment of the DTOF). The corresponding absorption change is then calculated as

$$\Delta \mu_a^{CW}(T;\lambda) = C(d_{CW}, w_{CW};T;\lambda)/L_{CW}. \tag{A5}$$

1494This is equivalent to the modified Beer–Lambert law with differential1495path-length factors (DPF) not taken from the literature but estimated1496directly by the DTOF.

1497To enhance the contribution from deep layers and to remove possible1498disturbances caused by superficial ones, correction methods (Contini et1499al., 2007; Selb et al., 2005) are also used for the intra-cerebral changes:

$$\Delta \mu_{a}^{\text{INTRA}}(T; \lambda) = [C(d_{L}, w; T; \lambda) - C(d_{E}, w; T; \lambda)]/L_{L}$$
(A6)

1500

$$\begin{split} \Delta \mu_{a}^{\text{INTRA}}(T;\lambda) &= \{ \ln[N(d_{L},w_{L};T;\lambda)/N_{0}(d_{L},w_{L};\lambda)] \\ &- \ln[N(d_{E},w_{E};T;\lambda)/N_{0}(d_{E},w_{E};\lambda)] + 1 \}/L_{L}. \end{split}$$

1503

Finally, making the assumption that hemoglobin is the only chromophore contributing to absorption, O<sub>2</sub>Hb and HHb concentration changes are then derived by Lambert–Beer law, using the hemoglobin absorption O14507 spectra from Prahl (2013).

1508 Changes of moments of DTOFs are defined as in Liebert et al. 1509 (2004):

 $\Delta A(T;\lambda) = -\ln[N(T;\lambda)/N_0(\lambda)] \tag{A8}$ 

 $\Delta < t(\mathbf{T}; \boldsymbol{\lambda}) > = < t(\mathbf{T}; \boldsymbol{\lambda}) > - < t_0(\mathbf{T}; \boldsymbol{\lambda}) >$ (A9)

1512 
$$\Delta V(T;\lambda) = V(T;\lambda) - V_0(T;\lambda) \tag{A10}$$

**1514** where  $\Delta A$  is the change in attenuation, N is the total number of pho-1516 tons (0th order moment of DTOF, corresponding to the number of 1517 photons collected in a time window with delay 0 and width  $\infty$ ), <t>1518 the mean photon time-of-flight (1st order moment) and V is the variance (second centralized moment of the DTOF). The quantities 1519 with index 0 refer to the signal recorded during a reference period 1520 (e.g. the rest period before stimulation). 1521

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