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1 Insight Into Halloysite Nanotubes-Loaded Gellan Gum Hydrogels For Soft

2 Tissue Engineering Applications

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Abstract

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20 A tri-component hydrogel, based on gellan gum (GG), glycerol (Gly) and halloysite nanotubes 21 (HNT), is proposed in this work for soft tissue engineering applications. The FDA-approved GG 22 polysaccharide has been recently exploited as biomaterial because its biomimetic features. Gly is 23 added as molecular spacer to improve hydrogel viscosity and mechanical properties. HNT 24 incorporation within the hydrogel offers the versatility to improve the GG-Gly biocompatibility 25 with potential incorporation of target biomolecules. In this work, hydrogels with different 26 composition ratios are physically crosslinked for tuning physico-mechanical properties. An accurate 27 physico-chemical characterization is reported. HNT addition leads to a water uptake decrease of 30-35% and tuneable mechanical properties with a compressive Young's modulus ranging between 20 28 29 and 75kPa. Finally, in vitro study with human fibroblasts on GG-Gly hydrogels loaded with 25% 30 HNT offered the higher metabolic activities and cell survival up to 7 days of incubation. 31 32 **Keywords:** Gellan gum, Halloysite nanotubes (HNT), Hydrogel, Human fibroblasts, Tissue

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engineering.

- Chemical compounds studied in this article
- 36 Gellan gum (PubChem SID: 135330201); Halloysite nanotubes (PubChem CID: 121233131);
- 37 Glycerol (PubChem CID: 753); Calcium Chloride (PubChem CID: 5284359).

1. Introduction

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39 In the last years, the role of biomaterials in tissue engineering has gone beyond the classic view of 40 passive support for cell growth: the knowledge of the wide array of cell-matrix interactions has led 41 to a more conscious selection of biomaterials that mimic the complex spatio-temporal cues of 42 extracellular matrix (Chen & Liu, 2016). Hydrogels represent an interesting class of materials, with high water content, tunable properties and ease to be processed under physiological conditions 43 (Annabi et al., 2014; De Giglio et al., 2011). In this scenario, biopolymer-based hydrogels stand out 44 45 for biocompatible features, given by the remarkable similarity with extracellular matrix (Van Vlierberghe et al., 2011). Polysaccharides (e.g. gellan gum, alginate and chitosan) have the further 46 47 advantage of releasing non-toxic monomers during degradation and, for this reason, are the most 48 investigated biopolymers for biomedical applications (Pasqui et al., 2012). Gellan gum is an 49 exopolysaccharide secreted by bacteria belonging to the Sphingomonas genus. Firstly isolated in 50 1979, gellan gum is currently manufactured in vitro by a straightforward fermentation process, 51 avoiding batch-to-batch availability often associated to biopolymers (Prajapati et al., 2013). It 52 consists of a linear chain of repeated tetrasaccharide units (L-rhamnose, D-glucose and D-53 glucuronic acid), commercially available under different trade names (e.g. PhytagelTM, Gelrite[®], Kelcogel®). In presence of cations, gellan gum undergoes a temperature-dependent gelation, 54 55 forming stable hydrogels (Smith et al., 2007). After FDA approval as a food additive, this 56 polysaccharide is widely exploited in food industry as thickening agent or emulsion stabilizer 57 (Giavasis et al., 2000). Moreover, the potential of gellan gum is being studied in order to obtain new 58 pharmaceutical formulations for oral, nasal and ophthalmic drug delivery (Osmałek et al., 2014; 59 Wang et al., 2008). 60 Furthermore, thanks to its versatility, gellan gum is attracting increasing interest in the biomedical 61 field and is being proposed for tissue engineering and regenerative medicine applications (Coutinho 62 et al., 2010; Santhanam et al., 2016). A pioneering study by Shoichet et al. exploited a gellan gum 63 matrix to support the adhesion and proliferation of neural stem cells, showing that the peptide-

modified gellan gum, together with the olfactory ensheathing glia, enhanced neural stem/progenitor cell survival (Silva et al., 2012). Cell adhesion improvement was also the focus of other works, (da Silva et al., 2014; Cerqueira et al., 2014) in which spongy-like gellan gum hydrogels were proposed as off-the-shelf 3D structures able to mimic the physico-chemical properties of ECM. Further application proposed recently by Mano and coworkers is the use of gellan gum as an injectable biomaterial (in form of blend with type I collagen), for bone regeneration purposes (Oliveira et al., 2016). The proposed biomaterial induced the differentiation of human adipose stem cells through a mechanotransduction pathway, without any medium supplement. Furthermore, one of the most promising strategies involving gellan gum provides the opportunity to develop composite materials adding inorganic particles (e.g. bioglasses) to the polymeric matrix (Gorodzha et al., 2016). With low filler loadings, the polymers mechanical features improve, as well as their bioactivity (Dawson & Oreffo, 2013). Among the inorganic particles available, natural nanoclay minerals provide the double advantage to be cost-effective and biocompatible (Lopes et al., 2014). Therefore, the aim of this work was to propose an innovative composite biomaterial in order to: (1) obtain a composite material with tunable physical and mechanical properties, and (2) evaluate its biological potential for tissue engineering applications. Specifically, in this work, halloysite nanotubes (HNT) have been proposed as inorganic fillers of gellan-based hydrogels. HNT are tubular aluminosilicate clays with a unique combination of features which are finding applications in numerous fields (Du et al., 2010). Beyond the broad availability, HNT are biocompatible and exhibit excellent mechanical properties (Yuan et al., 2015; Bottino et al., 2015; Liu et al., 2012). Several studies have reported the long-term stability and non-toxicity of HNT (White et al., 2012; Kamble et al., 2012). Being naturally dispersed, they do not require exfoliation procedures essential to obtain nanolayers from glass fibers or montmorillonite (Chalasani et al., 2013). Moreover, HNT mesoporous lumen could entrap bioactive molecules and subsequently release them, opening new frontiers in sustained drug delivery (Du et al., 2010). On the other hand, the hydroxyl groups distribution on the HNT outer

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and inner surfaces allow a finely adjustable reactivity, achieved by targeted functionalisation (Zhang et al., 2016). Some works on HNT composites with various polymers have been recently reported. As example, Liu and coworkers prepared alginate-HNT composite sponges by freeze-drying method resulting in increased scaffold stability and better fibroblast attachment (Liu et al., 2015). Similarly, chitosan and HNT formed a nanocomposite with significant thermal and mechanical improvements compared with the pure polymer (Liu et al., 2013). More recently, Fakhrullin et al. doped with HNT a chitosan-agarose-gelatin matrix and proposed it as advanced biomaterial for tissue engineering and sustained nanotube drug delivery (Naumenko et al., 2016). However, to the best of our knowledge, a nanoclay-polymer composite based on HNT and gellan gum has never been developed and investigated. This work aims at filling this gap, shedding light into the potential and intriguing applications of this new GG-based composite in soft tissue engineering. In this study, the preparation and characterization of hydrogels physically crosslinked with Ca²⁺ cations is reported. The hydrogels have been prepared varying the concentrations of HNT and glycerol, used as molecular spacer. Indeed, glycerol is commonly exploited as a biocompatible structural ameliorant to improve the physical and mechanical properties (Zhao et al., 2014). The composite hydrogels were characterized by morphological, physico-chemical and mechanical analyses. Ultimately, human fibroblasts were seeded on the surface and encapsulated into the hydrogel in order to test the potential applications as substrate or injectable biomaterial.

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2. Materials and methods

2.1 Materials

Gellan gum (PhytagelTM, formula weight 1,000 kg/mol; low acylation degree), hereafter coded as GG, halloysite nanoclay (HNT) and free flowing calcium chloride- Redi-driTM (CaCl₂) were all supplied by Sigma-Aldrich (Italy). Glycerol (Gly) was provided by Baker Chemicals, Holland. The

ultrapure water employed throughout the experiments was obtained with a Milli-Q[®] Integral system equipped with a BioPak[®] ultrafiltration cartridge (Millipore, Merck).

2.2 Sample preparation

An aqueous solution of Gly (2 or 6% w/v) was heated at 90 °C and GG powder (2% w/v) added under vigorous stirring. To obtain the composite material, the dissolution step was followed by mixing with an aqueous suspension of HNT (final concentration 0, 0.2, 0.5 or 1% w/v), previously sonicated in cold water for 15 min. The codes and nominal compositions of the hydrogels are reported in Table 1.

Table 1. Nomenclature and nominal composition of the prepared hydrogels. All the % w/v are expressed in respect to the water.

Sample Code	GG content [%w/v]	Gly content [%w/v]	CaCl ₂ content [%w/v]	HNT content [%w/v]
GG	2		0.025	
GG-Gly (1:1)	2	2	0.025	
GG-Gly(1:1)HNT10	2	2	0.025	0.2
GG-Gly(1:1)HNT25	2	2	0.025	0.5
GG-Gly(1:1)HNT50	2	2	0.025	1
GG-Gly(1:3)	2	6	0.025	
GG-Gly(1:3)HNT10	2	6	0.025	0.2
GG-Gly(1:3)HNT25	2	6	0.025	0.5
GG-Gly(1:3)HNT50	2	6	0.025	1

The obtained hydrogels were poured into 24-well plates (15.6 mm well diameter) and crosslinked with CaCl₂ (0.025% w/v) using the external gelation method described by Kaklamani et al. (2014). Briefly, two parallel porous microcellulose sheets, previously soaked in CaCl₂, were placed at the top and bottom of the polymer, providing the Ca²⁺ ions required to promote a reproducible and uniform gelation of the polymer. After 24 h, the gelled samples destined to chemical, thermal and morphological analyses were obtained by freezing at -20 °C for 24 h, followed by freeze-drying for 48 h (Christ ALPHA 1-2/LD Plus, Martin Christ, Germany). Gelled samples employed for water uptake, mechanical and biological measurements were conditioned for 1 h in Phosphate-buffered

saline (PBS) solution prepared accordingly with Cold Spring Harbor Protocol. Samples used for the

water uptake evaluation were dried as above mentioned.

2.3 Physico-chemical characterization

137 2.3.1 Scanning Electron Microscopy (SEM)

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- Scanning electron microscope (Hitachi TM3030 Tabletop SEM) equipped with Energy Dispersive
- Spectroscopy (EDS) was utilized to study the nanoclay dispersion into the composites, as well as its
- dried morphology. The samples were cut into small cylinders (1.5 cm of diameter and 2 cm of
- height), fixed on the aluminium stub using carbon tape. For pore size evaluation, 20 random pores
- from at least three SEM images were analyzed by ImageJ software.
- 143 2.3.2 Fourier Transform Infrared Spectroscopy in Attenuated Total Reflection mode (FT-IR/ATR)
- 144 FT-IR/ATR analysis was performed on a Spectrum Two PE instrument using the Universal ATR
- accessory (Single Reflection Diamond) (PerkinElmer Inc., Waltham, MA) at 4 cm-1 resolution.
- Dried samples were analyzed without any preliminary preparative step.
- 147 2.3.3 X-ray Photoelectron Spectroscopy (XPS)
- 148 XPS analysis was performed by a scanning microprobe PHI 5000 VersaProbe II (Physical
- 149 Electronics, Chanhassen, MN), equipped with a monochromatized AlKα X-ray radiation source.
- The base pressure of the instrument to ensure vacuum in the analysis chamber was 10^{-9} mbar. The
- 151 X-ray take-off angle was 45° and the samples were analyzed in HP mode (scanned size about
- 152 1400x200 μm). Survey scans (binding energy (BE) range 0–1200 eV, FAT mode, pass energy 117.4
- eV) and high-resolution spectra (FAT mode, pass energy 29.35 eV) were recorded for each sample.
- Data analysis of the latter was performed using the MultiPak software package (version 9.6.1.7,
- which consists of a non-linear least-squares fitting program. The experimental points of the detailed
- spectra were fitted using Gaussian–Lorentzian peaks having the same full width at half maximum
- 157 (FWHM). Charge referencing was performed by setting the lower binding energy C1s photo-peak
- 158 (i.e., C1s hydrocarbon peak) at 284.8 eV. Quantification (atomic percentage, At%) was made using
- normalized peak area. The normalization of the peak area and comparison of data from different

- elements was enabled by correction with empirically derived sensitivity factors according to
- 161 MultiPak library.
- 162 2.3.4 Water uptake evaluation
- Dried polymeric samples were firstly weighed (m_{di}), successively placed in tea bags. The tea bags
- 164 containing the samples were sealed and immersed in PBS to determine the water uptake profile up
- to 24 hours at 37°C. The tea bags containing samples were weighted prior (m_i⁰) and after each time
- point (m_i^t). Moreover, in order to guarantee that the amount of the measured water was only
- ascribable to the samples swelling, the weight of empty wet tea bags after each time point (m_b^t) was
- also considered. Therefore, the percentage of water uptake for each sample, along the time, was
- 169 calculated using the Equation 1 reported below:

$$(WC\%)_i^t = \{ [(m_i^t - m_b^t) - m_i^0] / m_{di} \} x 100$$
 (1)

- 171 The test was performed in triplicate and results were reported as mean \pm standard deviation.
- 172 2.3.5 Thermogravimetric Analysis (TGA)
- 173 Thermogravimetric measurements were carried out by TGA TA-Instrument Q500, (Waters S.p.A.
- Milan, Italy) in air atmosphere, following the thermic program: stabilisation at 30°C and isothermal
- scan at 10°C min⁻¹ in the range 30-1000°C. Thermogravimetric (TG) and derivative
- thermogravimetric (DTG) curves were evaluated.
- 177 2.3.6 Mechanical tests: compression and stress-relaxation tests
- Mechanical tests of the gellan-based hydrogels were performed using a mechanical testing machine
- 179 (EZ-SX, Shimadzu, Japan). Test specimens were cylinder-shaped hydrogels with 1.6 cm diameter
- 180 with an average height of around 2 cm. Compression resistance of five samples for each
- 181 composition was evaluated at room temperature. The crosshead speed was set at 1 mm min⁻¹, and
- the load was applied until the specimen was compressed to around 35% of its original height before
- break. The compressive stress–strain curves were thus obtained from the average compressive
- modulus and standard deviation were calculated for each composition. Precisely, compressive

185 modulus was calculated as the slope of the initial linear portion of the stress–strain curve (0-15%), 186 as reported by Mattioli-Belmonte et al. (2015). Moreover, as previously described (Gentile et al., 2012; Ciardelli et al., 2010; Pfeiffer et al., 2008) the values of collapse strength and strain (σ^* and 187 188 e*, respectively) were calculated. For evaluating stress relaxation properties the hydrogels disks were deformed with impermeable plates to a compressive strain of 10% with a deformation rate of 189 190 50 mm min⁻¹, in order to approximate an instantaneous deformation. Within 10% compression, the 191 stresses versus strain relations of the gels were almost linear. Subsequently, the strain was held 192 constant for 1200 s, while the load was recorded as a function of time. The obtained data were 193 analyzed using the software MATLAB R2015a. By fitting a third order exponential decay 194 (Equation 2) to the relaxation curves the three relaxation times were acquired. The increase in stress 195 during straining was not included when fitting the relaxation curves.

$$\sigma(t) = A1 * e^{-t/\tau_1} + A2 * e^{-t/\tau_2} + A3 * e^{-t/\tau_3} + y0$$
(2)

- 197 Finally, in order to evaluate the viscosity of the gels, a first order exponential decay (Equation 3)
- was fitted through the relaxation curves of every measurement.

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$$\sigma(t) = A * e^{-t/\tau} + y0$$
 (3)

- In particular, the viscosity (η) is found by multiplying the relaxation time τ with the linear modulus
- 201 E₀, obtained by fitting the initial linear part of the strain curve of the straining protocol and creating
- a linear fit. The gels were tested as prepared and soaked in PBS during the stress-relaxation tests to
- 203 prevent dehydration (Zhao et al., 2010).

2.4 Biological evaluation

205 2.4.1 Cell culture

- Neonatal Normal Human Dermal Fibroblasts (NHDF-Neo) were purchased from Lonza Biosciences
- 207 (Switzerland) and cultured as recommended by the seller. Briefly, fibroblasts were grown at 37°C,
- 5% CO₂, in Dulbecco's Modified Eagle Medium (DMEM, Sigma) supplemented with 10% fetal

- bovine serum (FBS), 2 mM L-glutamine and a 1% antibiotic mixture containing penicillin and
- 210 streptomycin (100 U mL⁻¹).
- In order to perform biocompatibility assays, thin disks of gels (15 mm diameter and 25 of height)
- were prepared in 24-well plates and UV-sterilized for 15 min on each side. A further incubation
- with FBS was performed as previously described (Barbucci, 2009). A suspension of 2x10⁴ cells in
- DMEM was seeded dropwise on the top surface of gels and incubated at 37 °C, 5% CO₂ for 30 min.
- 215 Then, fresh DMEM was added up to 500 μL volume.
- 216 2.4.2 Cell encapsulation
- To encapsulate cells, the GG solutions were kept under agitation and slowly cooled until a
- 218 temperature of 37 °C was reached. In a 24-multiwell plate, each sample solution was rapidly mixed
- with an equal volume of a cell suspension in warm DMEM. The final cell density was $2x10^5$ cells
- 220 mL⁻¹. When the gelation occurred, the encapsulated hydrogels were covered with additional DMEM
- and incubated for 1, 3 and 7 days at 37°C.
- 222 2.4.3 Cytocompatibility studies
- 223 Cell viability was assessed with the live/dead staining (LIVE/DEAD® Cell Imaging Kit, Life
- Technologies, Thermo Scientific, USA) at days 1, 3 and 7. According to the manufacturer's
- protocol, samples were washed with phosphate buffered saline (PBS, Sigma-Aldrich, UK) and
- stained with 150 μ L solution of 4 μ M Ethidium homodimer-1 and 2 μ M calcein in PBS. After 35
- 227 minutes of incubation at room temperature, cells were imaged with a Leica DM/LB fluorescence
- 228 microscope using FITC and Rhodamine filters to detect calcein (ex/em 488 nm/515 nm) and
- 229 Ethidium homodimer-1 (ex/em 570 nm/602 nm), respectively. The Presto Blue assay was exploited
- 230 to test the metabolic activity of cells seeded on the gels after 1, 3, 5 and 7 days of culture. A LS-50B
- Luminescence Spectrometer (Perkin Elmer, Waltham, MA) was used to measure the fluorescence
- 232 (560nm excitation and 590nm emission) after 5 h of incubation with a 10% aliquot of Presto Blue
- 233 (Thermo Scientific, USA). The obtained values were corrected subtracting the average fluorescence
- of control wells. Histograms reported the percentage difference between samples and control

235 cultures. Results were expressed as mean ± standard deviation. Samples for fluorescence 236 microscopy were fixed with paraformaldehyde 4% in PBS (15 min at room temperature), 237 permeabilized washing them in PBS/0.1% Tween 20 and blocked in goat's serum 3% in PBS (1 h at 238 room temperature). The samples were labelled with Phalloidin-FITC (Sigma, UK) for 20 min at RT 239 to stain F-actin fibers. After PBS washings, nuclei were counterstained with 4',6-diamidino-2phenylindole (VECTASHIELD® Antifade Mounting Medium with DAPI, VECTOR 240 241 LABORATORIES LTS, UK). Samples were observed with an A1 Confocal Laser Microscope 242 (Nikon, UK). 243 2.4.4 Statistical analysis 244 The significance of the results of PrestoBlue assay were assessed by a two-way repeated measures 245 ANOVA. The test was replicated three times for each sample. All data were expressed as mean ± 246 SD. Statistical analysis was performed with GraphPad Prism 7.01 software. The statistical 247 differences between the tissue culture plastic control and the hydrogels were calculated using 248 Dunnett test. Statistical significance was declared at *p<0.05, **p<0.01, ***p<0.001 and 249 ****p<0.0001, where the asterisks labelled the samples with a cell metabolic activity significantly 250 higher than the control. 251 252 3. Results and discussion 253 The physico-chemical characterization was carried out to evaluate the HNT influence and

interaction within the polymeric gels. However, some tests were performed on dried samples that, during the PBS-conditioning and/or freeze-drying steps, lost not only water but also an appreciable amount of glycerol. Therefore, the glycerol content detected in dried samples by FT-IR/ATR, XPS and TGA techniques does not correspond to that present in the as prepared samples. Their mechanical properties have been studied, as well as their citocompatibility. The biological behavior of the hydrogels was evaluated for testing: (1) cell viability, metabolic activity and adhesion after seeding fibroblasts on the gel top surface and (2) their ability to encapsulate cells for future

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applications in 3D printing. Finally, for a better comprehension of the work, we decided to add some figures/spectra of GG-Gly(1:1)HNTx samples in the *Supporting Information*, when they did not show any significant differences respect with GG-Gly(1:3)HNTx samples.

3.1. Physico-chemical characterization

3.1.1. Scanning electron microscopy (SEM)

In Figure 1A and Figure S1, the SEM micrographs of the freeze-dried gel cross-sections relevant to

GG-Gly(1:3), GG-Gly(1:3)HNT10, Gly(1:3)HNT25 and GG-Gly(1:3)HNT50 were reported.

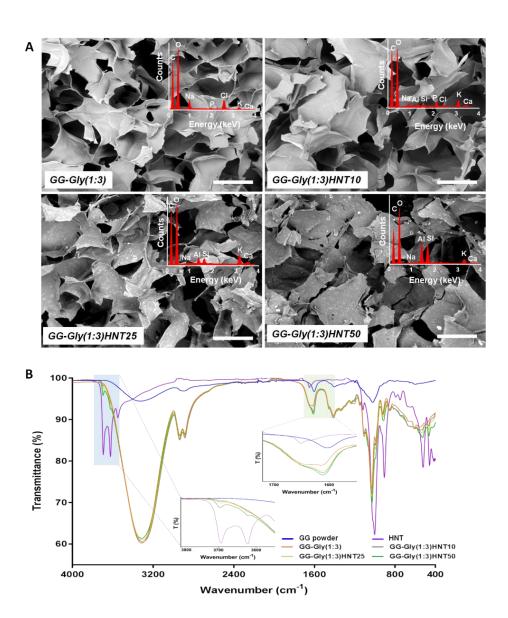


Figure 1. SEM/EDS and FT-IR/ATR characterization. (A) SEM micrographs of GG-Gly(1:3)HNTx samples, with x= 0, 10, 25 and 50. Scale bars: 500 μ m. Insets: EDS spectra of the relevant samples. (B) FT-IR/ATR spectra of HNT and GG powders, and GG-Gly(1:3)HNTx samples, with x=0, 10, 25 and 50.

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The SEM images provided insights into HNT dispersion within the polymeric network. No significant changes in the sample microarchitecture could be highlighted after HNT addition. The HNT presence was monitored through EDS analysis (see insets in Figure 1A and in Figure S1) by the calculation of the amount of aluminium and silicon, the characteristic elements of the nanotubes. Particularly, in the GG-Gly(1:3)HNT10 sample the relative aluminium abundance was 0.19 ± 0.04 , while the silicon amount was 0.17 ± 0.04 . For the GG-Gly(1:3)HNT25 sample, aluminium and silicon were 0.56 ± 0.07 and 0.51 ± 0.07 . On the other hand, in the GG-Gly(1:3)HNT50 sample, the aluminium and silicon elements were respectively 2.6 ± 0.2 and 2.5 ± 0.2 . However, at this concentration, the nanoclays were more prone to aggregate and their dispersion in the polymeric matrix was not as homogeneous as in GG-Gly(1:3)HNT10 and GG-Gly(1:3)HNT25 samples. Moreover, since the combination of the selected polymer, molecular spacer and nanoclay was not previously described, it was interesting to observe the texture achieved. The presence of glycerol may have a role in enhancing the porosity of the system, as it could be observed comparing the structure of all the other samples. Zhao et al. previously reported the impact of glycerol presence during fabrication of different polymeric scaffolds, describing its role as porogen molecule at the macroscopic level and as nanostructure ameliorant at the nanometric level. These effects were ascribed to a decrease in flexibility of glycerol-interacted polymer chains, that led to the reduction of polymeric conglomeration (Zhao et al., 2014). Finally, an evaluation of the sytems porosity was performed applying the same freeze-drying protocol for all the samples (see par. 2.2.1). GG-Gly(1:3) showed pores of around $365 \pm 87 \mu m$, while HNT addition had no impact onto the porosity, since the pore size for GG-Gly(1:3)HNT10, GG-Gly(1:3)HNT25 and GG-Gly(1:3)HNT50 were 290 \pm 99, 314 \pm 84 and 285 \pm 82 µm respectively. 3.1.2. Fourier Transform Infrared Spectroscopy in Attenuated Total Reflection mode (FT-IR/ATR) FT-IR/ATR spectra recorded on pure HNT and GG powder, and GG-Gly(1:3)HNTx (with x = 0, 10, 25 and 50) samples were shown in Figure 1B. GG powder and the GG-based samples spectra

showed the band at 3311 cm⁻¹ due to the presence of –OH groups of glucopyranose ring. The band 299 at 2899 cm⁻¹ was due to the stretching vibrations of -CH₂ groups, while those appearing at 1602 and 300 1403 cm⁻¹ were due to asymmetric and symmetric stretching of –COO groups. The absorption band 301 302 at 1145 cm⁻¹ corresponded to antisymmetric C-O-C stretching of glycoside bonds, and the peak at 303 around 1010 cm⁻¹ was attributable to C-OH stretching. In the case of the GG-based samples crosslinked by Ca²⁺, the presence of an additional band at 1656 cm⁻¹, visible as a shoulder on the 304 left of the main C=O stretching band falling at 1611 cm⁻¹ and absent in pure GG powder, suggested 305 306 the interaction of -COO groups with Ca²⁺ ions. As far as HNT spectrum is concerned, the absorption peaks at 3693, 3622 and 1652 cm⁻¹ can be 307 308 associated to O-H stretching of inner hydroxyl groups and deformation of physiadsorbed water 309 molecules, as already reported (Chen, 2016). These features were also evident in the GG-310 Gly(1:3)HNTx samples, in particular at higher HNT percentages (see insets in Figure 1B). 311 Furthermore, the samples based on GG-Gly(1:1) presented similar information observed on the GG-312 Gly(1:3) series with only slight differences in the intensity of the chemical bands in the range 1500-1200 cm⁻¹ and 1110-1000 cm⁻¹, due to the different glycerol content. Whereas, a more intense band 313 314 (at about 1610 cm⁻¹) in the GG-Gly(1:1) than in GG-Gly(1:3) series was related to the relative 315 higher GG weight in the composition of the former hydrogel (See Figure S2). 316 3.1.3. X-ray Photoelectron Spectroscopy (XPS) 317 XPS was employed to investigate the chemical composition of GG and HNT-containing hydrogels 318 after freeze-drying. Atomic percentages (At%) recorded on the different samples were reported in 319 Figure 2A. The analysis of GG powder showed the presence of the main elements of the polymer 320 (i.e., carbon and oxygen), in addition to minor contributions (i.e., potassium, calcium, magnesium 321 and sulfur), typical of gellan gum matrix as already reported by de Souza et al.(2016). For 322 simplicity these minor elements, falling in the range of 0.4 - 2.0 At%, were not reported, while 323 calcium signal, derived both from GG powder and the cross-linker, was considered. Beyond the 324 abundance of carbon and oxygen, it is worth to note the presence of silicon and aluminium in the

HNT-containing samples whose signal area ratios were about 1, as expected on the basis of the HNT stoichiometry.

No XPS literature data are available on HNT-containing GG-based systems, therefore, an accurate curve fitting of C1s signals relevant to the investigated samples was carried out. In Figure 2B, high resolution C1s spectrum of GG-Gly(1:3)HNT25 was reported. No significant differences in C1s spectra relevant to the different HNT-based systems were detected. C1s spectra may be deconvoluted into five peak components associated with the following species: C-H (284.8 eV), C-COOR(H) (285.5 eV), C-OH (286.3 eV), O-C-O (287.7 eV) and COOR(H) (288.5 eV).

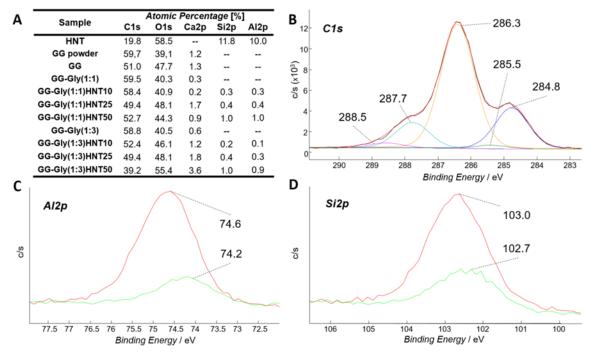


Figure 2. XPS Analysis. (A) Atomic percentages (At%) recorded on pure HNT (casted from an aqueous solution on Au sheet), GG powder and on freeze-dried GG, GG-Gly(1:1)HNTx and GG-Gly(1:3)HNTx (with x=0, 10, 25, and 50) samples. (B) XPS curve fitting of high resolution C1s spectrum related to GG-Gly(1:3)HNT25 sample. (C) High-resolution XPS spectra of aluminium in pure HNT (red) and GG-Gly(1:3)HNT50 sample (green). (D) High-resolution XPS spectra of silicon in pure HNT (red) and GG-Gly(1:3)HNT50 sample (green).

The first peak, attributed to aliphatic carbon, did not belong only to gellan matrix but also to sample contamination as a result of adsorbed hydrocarbons monolayers. The last peak was slightly shifted from 288.8 (typical of carboxylic acid groups) to 288.5 eV, indicating a coordination between Ca²⁺ and carboxylic acid groups, as already reported (Kang et al., 2015). Glycerol did not introduce

344 further peaks to C1s spectrum but its C-OH functionalities fell at 286.3 eV; anyway, its contribution 345 was markedly lower than that calculable from the starting amount due to the freeze-drying 346 procedure, as expected. 347 Figure 2C-D shows the high-resolution XPS spectra of aluminium and silicon in HNT (red line) and GG-Gly(1:3)HNT50 sample (green line), where these contributions were more intense. It can be 348 349 observed a decrease (about 0.3-0.4 eV) in binding energy values of both silicon and aluminium 350 when HNT were loaded in the hydrogel matrix. This finding is related to the formation of hydrogen 351 bonds between the oxygen of Si-OH or Al-OH groups present in the nanoclays and hydrogen of the organic network. A typical crystalline unit of HNT contains two types of hydroxyl groups, i.e., the 352 353 outer hydroxyl groups of the siloxane structure, where only a few of Si-OH groups are located in 354 HNT ends and surface defects, and the inner hydroxyl groups, due to the Al-OH groups situated in 355 the inner side. It can be hypothesized that the formation of hydrogen bonds between HNT and GG 356 and/or Gly involved not only most of the outer groups, but also the inner aluminols. An XPS 357 investigation of H-bond interactions in HNT-based polymer nanocomposites has been already 358 reported (Du et al., 2008), evidencing only interfacial interactions between the polymer matrix and 359 the Si-OH groups in HNT. In our case, glycerol intercalation into the HNT lumen could be invoked, 360 thus justifying the interaction between the inner Al-OH groups and glycerol hydroxyl groups (Liu et al., 2011). 361 362 3.1.4. Water uptake evaluation 363 GG-based freeze-dried hydrogels were examined in terms of their swelling performances in PBS at 364 37 °C. Although the swelling measured on freeze-dried systems was not indicative of the real water 365 content of the as-prepared samples, this study allowed us to understand how the amount of HNT 366 can influence the water uptake properties of the proposed composites. The water uptake profile over 367 time of GG-Gly(1:3)HNTx specimens was reported in Figure 3A. The water uptake data at 24 hours of all the examined samples were reported in Figure 3C. As shown in Figure 3A, the GG-Gly(1:3)-368

based samples rapidly swelled upon contact with PBS, reaching a maximum just after five minutes

(i.e., around 800% and 1200% for samples with or without HNT respectively). This trend was observed also in the GG-Gly(1:1)HNTx series (Figure S3A). The presence of HNT, independently from their amount, was responsible in the GG-Gly(1:3) systems of an appreciable decrease of water uptake (reduction between 29 and 36%). Conversely, in the case of GG-Gly(1:1) samples, this reduction was lower (between 6 and 16%). These decreases in water uptake by the addition of HNT can be associated to the reduced hydrophilic polymer content in the composites (Huang et al., 2016). Indeed, the obtained water uptake in the 1:3 and 1:1 series meets the gellan gum content reported for freeze-dried samples.

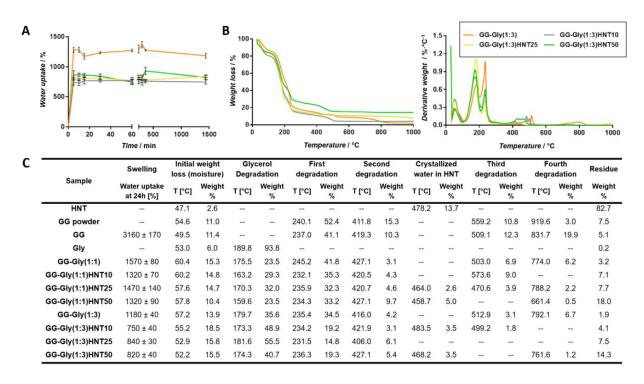


Figure 3. Water uptake and Thermogravimetric Analysis. (A) Water uptake of GG-Gly(1:3)HNTx samples. (B) TGA and DTGA curves of GG-Gly(1:3)HNTx samples. (C) Water uptake data and thermal degradation steps of all the investigated samples.

It is interesting to note that, after swelling, GG-Gly samples released high amounts of water upon contacting with blotting paper while GG-Gly-HNT samples showed a significantly high water retention, as shown in the photograph reported in Figure S3B. Since in both bare and HNT-loaded gels a comparable pore size was observed (see Figure 1A), the higher water retention capability of

386 HNT-loaded gels could be ascribed to a water intercalation into the HNT lumen. This feature makes 387 the proposed hydrogel an intriguing candidate as drug delivery system (Gupta & Shivakumar, 388 2010): the mesoporous HNT architecture could be exploited to intercalate different bioactive 389 molecules, delaying the release kinetics typical of highly porous polymers. 390 3.1.5. Thermogravimetric Analysis (TGA) 391 The overlay of TG (on the left) and DTG (on the right) signals, recorded on GG-Gly(1:3)HNTx 392 (with x=0,10,25 and 50) were reported in Figure 3B. The degradation steps, with the relevant 393 temperatures and weight loss percentages, were reported in Figure 3C for all examined samples 394 (i.e., the starting materials and the GG-Gly(1:1)HNTx and GG-Gly(1:3)HNTx series). 395 In particular, the GG powder thermogram indicated a weight loss of 11%, in the temperature range 396 from room to about 200°C, due to the water removal. The main degradation temperature was 397 centred at about 240°C. This first step of degradation promoted a weight loss of 52%, while the next 398 two steps promoted a weight loss of 15% at 412°C, relevant to loss of volatile components and 399 rupture of chain, and a weight loss of 11% at 559°C, relevant to fragmentation into monomers 400 (monosaccharide units). A fourth degradation step occurred at about 920°C (weight loss = 3%). The 401 remaining residue was of 7.5% of the initial weight of the sample. With regard to the GG based 402 sample, obtained by crosslinking with Ca²⁺ ions, the thermogram showed a weight loss of 11% due 403 to the water removal. The main degradation temperature was 237°C, with a weight loss of 41%. The 404 weight loss of crosslinked GG in the first stage decreased, indicating that the thermostability was 405 improved after the addition of the crosslinking agent. The next two steps promoted a weight loss of 406 10% at 419°C and 12% at 509°C, respectively. Finally, the last degradation step occurred at about 407 832°C, with a weight loss equal to 20%. The remaining residue was equal to 5% of the initial 408 weight of the sample. 409 The addition of glycerol in the samples, at different GG:Gly ratios (i.e., 1:1 and 1:3), was 410 responsible of the presence of an additional peak in the range 160-180°C, relevant to the

vaporization of Gly (that in pure glycerol occurred at 190°C). This thermal event caused a weight

412 loss of 24 and 36% in GG-Gly(1:1) and GG-Gly(1:3), respectively. Furthermore, as also reported in 413 XPS characterization, due to the freeze-drying procedure, the Gly contribution was markedly lower 414 than that calculable from the starting amounts. 415 The HNT thermogram (plot not shown) exhibited a weight loss of 3.5% in the temperature range 416 from room to about 170°C, which was due to the loss of water and/or volatile compounds. The main 417 HNT mass loss of about 14%, centred at the temperature range of 478°C, was attributed to the 418 release of crystallization water (Jia et al., 2009). The residue at 1000°C in air was equal to 83%. 419 The HNT-containing samples revealed a residue at 1000°C in good agreement with their HNT feed 420 ratio (see the TG on the left of Figure 3B). Furthermore, in HNT-containing samples the glycerol 421 amount was markedly higher than in those without HNT. This finding substantiated the hypothesis 422 of hydrogen bonds formation between HNT hydroxyl groups and glycerol, observed by XPS 423 analysis. 424 3.1.6. Mechanical tests 425 The mechanical properties of the obtained hydrogels were tested using a mechanical testing 426 machine. Figure 4A showed the stress-strain curve obtained by the compression test at strain of 0-427 25%. During the test, the hydrogels did not break but they underwent densifications. As described previously by Gentile et al. (2012) the values of elastic modulus (E), collapse strength and strain 428 $(\sigma^*$ and ε^* respectively) were calculated from the curves and listed in Figure 4C. A significant 429 430 improvement of the compressive modulus was observed by adding HNT (up to 25%) into the 431 polymeric gel (70.5 \pm 6.8 kPa for GG-Gly(1:3)HNT25 compared with 48.5 \pm 5.3 kPa for GG-432 Gly(1:3)). The obtained values are higher or within the range of mechanical properties described for 433 other hydrogels proposed for soft tissue regeneration (Nettles et al., 2004; Balakrishnan et al., 434 2014). 435 This mechanical reinforcement effect can be attributed to an additional energy-dissipating 436 mechanism introduced by the nanotubes in the polymeric gels. Recent molecular dynamics studies

suggested that this additional dissipative mechanism is a result of the mobility of the nanofillers.

During the deformation process, the HNT may orient and align under compression stress, creating temporary cross-links between polymer chains, thereby creating a local region of enhanced strength (Shah et al., 2005). However, when the amount of the nanotubes content increased, as in the GG-Gly(1:3)HNT50, they became less mobile. Therefore, the ability of the HNT to dissipate energy is also reduced, resulting in almost no improvement in the toughness of the material (34.3 \pm 2.6 kPa). Furthermore the systems with higher Gly content demonstrated to be stiffer than those prepared with GG-Gly(1:1) with a maximum increase of 2.5-fold for the system containing 25% HNT (70.5 \pm 6.8 kPa for GG-Gly(1:3) respect with 28.7 \pm 2.6 kPa for GG-Gly(1:1)).

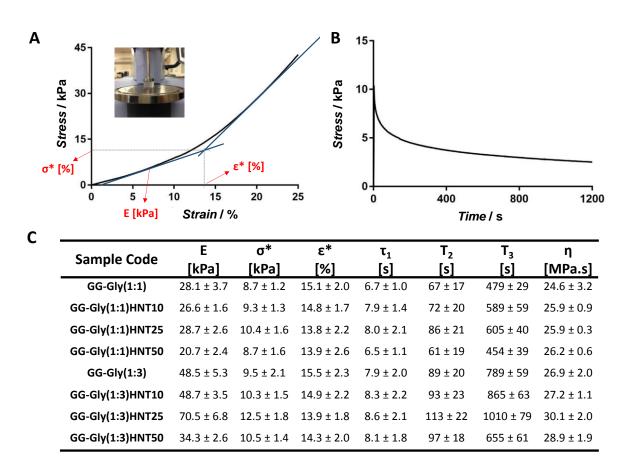


Figure 4. Mechanical characterization. (A) Stress-strain curve obtained by compression test. (B) stress-relaxation curve. (C) Elastic modulus (E), collapse strength (σ^*), collapse strain (ϵ^*), relaxation times (τ_1 , τ_2 and τ_3) and viscosity (η) calculated for the prepared hydrogels.

This effect has been described also by Lugao et al. (2002) where glycerol, added to polyvinyl pyrrolidone hydrogels for wound healing applications, increased the elasticity of the gels as a result of the plasticising effect. Given the nature of the time dependent response of hydrogels, in addition

to simple tests at fixed rate, the samples were subjected to relaxation (load decrease at fixed strain) experiments, where variation in response with time is of interest and the loading is fixed, in order to evaluate the viscoelasticity behavior. These properties are fundamental, in combination with the mechanical stiffness, to influence cell behavior as described recently by Chaudhuri et al. (2016) where they studied how to regulate mesenchymal stem cell fate in hydrogels with tunable stress relaxation. Figure 4B shows the typical stress relaxation curve obtained after 1200 s and the relaxation times and viscosity values are listed in Figure 4C. Each material showed a two-stage stress relaxation response: a fast decrease in stress for short times after the step strain, followed by a slow relaxation covering a couple of decades of time. A generalized Maxwell model was used to investigate whether the behavior could be explained by linear viscoelasticity, providing a range of relaxation times and associated amplitudes that can approximate the viscoelastic behavior of biological materials. In literature, the generalized Maxwell model developed consisted of three relaxation times ($\tau_1 = 1$ -10 s, $\tau_2 = 10$ -100 s and $\tau_3 > 1000$ s) for modelling soft biological tissues (Wagenseil et al., 2003). In our work, relaxation times ranging from $\tau_1 = 6.9$ s, $\tau_2 = 60-120$ s and $\tau_3 = 600-1100$ s were comparable with the literature. Finally, it was observed that the addition of HNT did not influence significantly the viscosity of the composite hydrogels (26.9 \pm 2.0 MPa.s for GG-Gly(1:3) and 30.1 \pm 2.0 MPa.s for GG-Gly(1:3)HNT25). However, the gels with higher content of glycerol revealed to be more viscous comparing each system with the same content of HNT (25.9 \pm 0.3 MPa.s for GG-Gly(1:1)HNT25), due to the intrinsic glycerol capability to increase the viscosity of aqueous solutions. Indeed, the addition of glycerol to synthetic and natural polymers enhanced hydrogels viscosity, as already reported in literature (Fernandez-Diaz et al., 2001; Payen, 2007).

3.2. Biological tests

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3.2.1. Biocompatibility of the HNT-loaded hydrogels

NHDF-Neo were seeded onto the surface of GG, GG-Gly (1:1)HNTx and GG-Gly (1:3)HNTx hydrogels in order to evaluate the cytocompatibility of these materials. Cell viability was evaluated after 1, 3 and 7 days by live/dead assay, as shown in Figure 5 and S3.

The bare gellan gum matrix GG showed a low cell viability and poor ability to promote cell attachment. As reported in literature (Shin et al., 2014), the lack of adhesive signals in gellan gum material do not favour cellular adhesion.. However, the addition of glycerol improved cell survival on all the GG-Gly hydrogels studied. Furthermore, , it was found that the HNT addition to GG-Gly hydrogels enhanced the cell viability, thanks to their biocompatibility and the increase in surface roughness (Figure 6B) (Zhou et al., 2010; Kommireddy et al., 2005; Hughes et al., 2015; Huang et al., 2016).

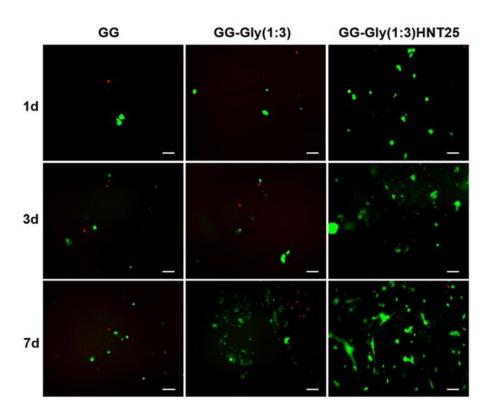
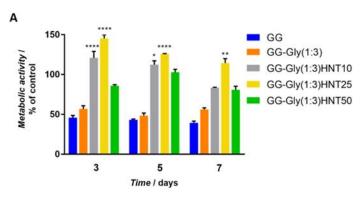


Figure 5. Live/Dead assay of cells seeded on hydrogel surfaces. Representative fluorescent micrographs of live (green) and dead (red) cells seeded on GG, GG-Gly(1:3) and GG-Gly(1:3)HNT25 after 1, 3 and 7 days of culture. Scale bars 200 μm.

As shown on the surface of GG-Gly(1:3)HNT25 sample, cell viability was maintained and cell growth was enhanced at each time point (up to 7 days), as observed by the Live/dead and metabolic activity assays. Therefore, cell behavior seemed to be led by the combination of mechanical properties and HNT content.



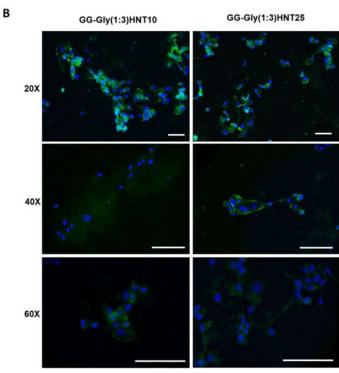


Figure 6. Metabolic activity and morphological study of cells seeded on hydrogel surfaces. (A) PrestoBlue assay of cells cultured on GG and GG-Gly(1:3)HNTx hydrogels for 3, 5 and 7 days. (B) Fluorescence microscopy images of cells seeded on GG-Gly(1:3)HNT10 and GG-Gly(1:3)HNT25 after 3 days of culture. Scale bars: 100 μm.

The metabolic activity of NHDF-Neo was evaluated using Presto Blue assay (Figure 6A and S4), normalized by control (cells seeded onto tissue culture plastic) at each incubation time-point. These

data confirmed the results observed by the live/dead assay, where glycerol presence led to an increase in cellular metabolic activity when compared to the bare GG hydrogel (p<0.05). Glycerol plays essential roles in several intracellular metabolic pathways (i.e., it forms the backbone of fats such as triglycerides, and/or takes part in glycolysis or glycogenesis processes) and has been exploited as a low-cost and biocompatible monomer to design scaffolds for tissue engineering (Barrett et al., 2009). NHDF-Neo metabolic activity revealed a significant increase at each time point by incorporating HNT within the GG:Gly hydrogel in different ratios, as seen for GG-Gly (1:3)HNTx and GG-Gly (1:1)HNTx in Figure 6A and S4. Interestingly, the hydrogel GG-Gly(1:3)HNT25 induced the highest fibroblasts metabolic activity when compared to GG, GG-Gly, GG-Gly(1:3)HNT10 and GG-Gly(1:3)HNT50 (p<0.001). Similar results to those obtained for HNT-containing GG-Gly hydrogels were observed on halloysite-doped dental scaffolds (Lvov et al., 2016; Vergaro et al., 2010). The HNT-loaded samples stimulated the growth and promoted a faster fibroblasts spreading. However, a significant viability reduction was observed on hydrogels with high HNT content (GG-Gly(1:3)HNT50), this can be explained by a potential adverse effect of a concentrated halloysite, as described elsewhere (Lvov et al., 2016; Vergaro et al., 2010). Due to the best cell viability and metabolic activity performance, the fibroblast phenotype was studied by fluorescence microscopy on GG-Gly(1:3)HNT25 and GG-Gly(1:3)HNT10 samples. After 3 days of culture, NHDF-Neo exhibited their typically flattened and elongated morphology on both hydrogels, spreading homogeneously along the sample surface and establishing many intercellular contacts (Figure 6B). 3.2.2. Cells encapsulated The NHDF-Neo were encapsulated within the GG-Gly hydrogels containing HNT and compared with GG and GG:Gly control hydrogels. The cell viability of encapsulated cells within the different

hydrogels was assessed at 1, 3 and 7 days by Live/Dead assay (Figure 7 and Figure S6). The cells

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encapsulated within the diverse systems showed a similar viability response to those observed when seeded on the hydrogels surface (Figure 5).

A higher cell viability was detected within HNT-loaded samples in comparison with the bare GG and GG-Gly hydrogels along the incubation period. It has been demonstrated that hydrogels stiffness, microstructures and porosity, and material nature influence cellular functions such as cells attachment and proliferation (Goponenko & Dzenis, 2016). As shown previously, the incorporation of HNT enhanced the mechanical properties of the GG-Gly hydrogels and also improved the biocompatibility of this material. Since cells were confined within the hydrogel matrix, no matter how soft or stiff, the limited cell traction forces with substrate led to rounded cell morphology, either within GG-Gly hydrogel and/or in presence of HNT.

This phenomenon has been widely reported in advanced 3D culture studies (Peyton & Putnam, 2005; Huebsch et al., 2010; Khetan et al., 2013; Murphy et al., 2014).

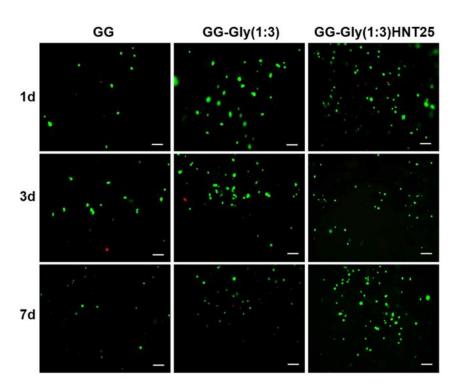


Figure 7. Live/Dead assay of hydrogel-encapsulated cells. Representative fluorescent micrographs of live (green) and dead (red) cells encapsulated in GG, GG-Gly(1:3) and GG-Gly(1:3)HNT25 after 1, 3 and 7 days of culture. Scale bars 200 μ m.

4. Conclusions

Halloysite nanotubes have been integrated in gellan gum matrices to develop composite hydrogels with tunable physical features, showing a good Human Dermal Fibroblasts biocompatibility when cells were seeded on the top of the gels or encapsulated within the polymeric matrix. Fibroblasts onto hydrogels with 25% HNT displayed the highest metabolic activity, which could be related to the hydrogel mechanical and topographical features led by the HNT content. Gels presented suitable mechanical properties to develop hydrogels scaffolds or injectable materials for different soft tissue engineering applications (i.e. pancreas, liver, skin and chondral regeneration). Furthermore, the hydrogels could be exploited to design *in vitro* cell culture systems and tissue models to study cell behavior and interactions, mimicking the native microenvironments. In future, differentiation routes of mesenchymal stem cells will be investigated, considering also the opportunity to functionalize the HNT surface and mesoporous lumen with bioactive molecules able to elicit *in situ* advantageous cell responses.

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SUPPLEMENTARY MATERIAL

Insight Into Halloysite Nanotubes-Loaded Gellan Gum Hydrogels For Tissue

Engineering Applications

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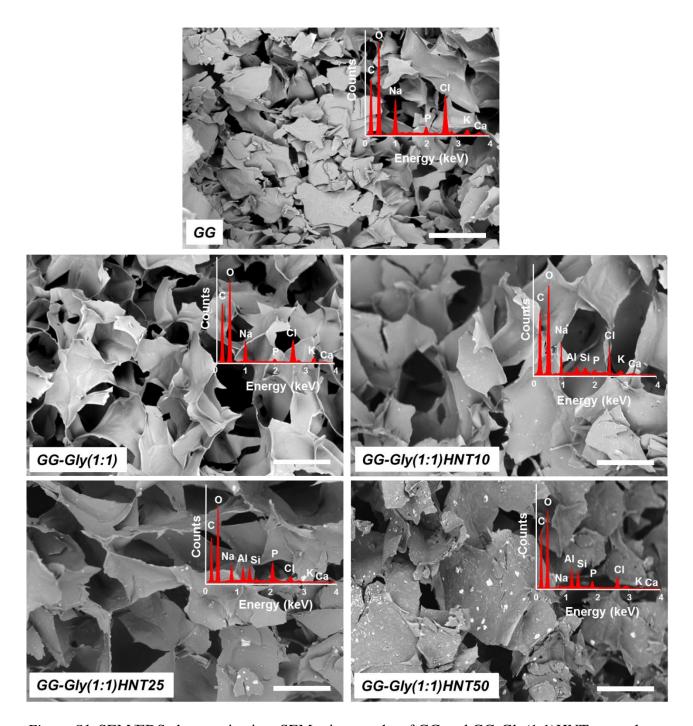


Figure S1. SEM/EDS characterization. SEM micrographs of GG and GG-Gly(1:1)HNTx samples, with x= 0, 10, 25 and 50. Scale bars: 500 μ m. Pore size: $108 \pm 33 \mu$ m for GG; $298 \pm 97 \mu$ m for GG-Gly(1:1); $274 \pm 50 \mu$ m for GG-Gly(1:1)HNT10, $313 \pm 56 \mu$ m for GG-Gly(1:1)HNT25 and $267 \pm 70 \mu$ m for GG-Gly(1:1)HNT50.

Insets: EDS spectra of the relevant samples. Relative Aluminum abundances in GG-Gly(1:1)HNT10, GG-Gly(1:1)HNT25 and GG-Gly(1:1)HNT50 samples are respectively: 0.26 ± 0.05 , 1.16 ± 0.13 and 2.8 ± 0.2 . Relative Silicon abundances in GG-Gly(1:1)HNT10, GG-Gly(1:1)HNT25 and GG-Gly(1:1)HNT50 samples are respectively: 0.23 ± 0.04 , 1.09 ± 0.12 and 2.6 ± 0.2 .

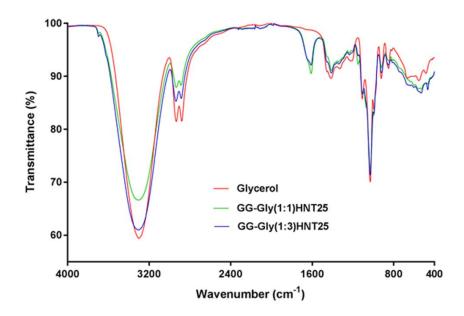


Figure S2. FT-IR/ATR characterization. Comparison between the spectra of pure glycerol, GG-Gly(1:1)HNT25 and GG-Gly(1:3)HNT25 samples.

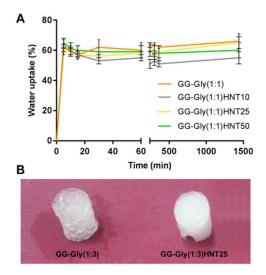


Figure S3. Water uptake and retention. (A) Water uptake of GG-Gly(1:1)HNTx samples. (B) Comparison of liquid retention between GG-Gly(1:3) (on the left) and GG-Gly(1:3)HNT25 (on the right) samples.

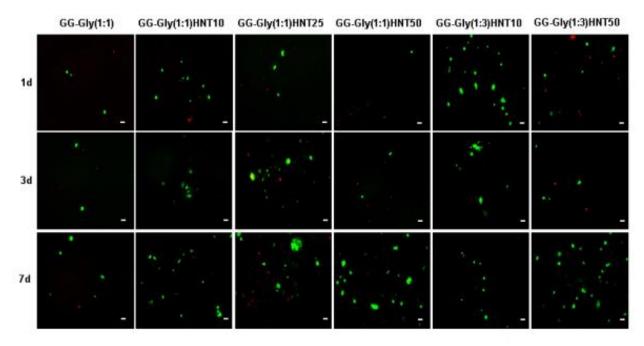


Figure S4. Live/Dead assay of cells seeded on hydrogel surfaces. Representative fluorescence micrographs of live (green) and dead (red) cells seeded on GG-Gly(1:1), GG-Gly(1:1)HNT10, Gly(1:1)HNT25, Gly(1:1)HNT50, Gly(1:3)HNT10 and Gly(1:3)HNT50 after 1, 3 and 7 days of culture. Scale bars $200\mu m$.

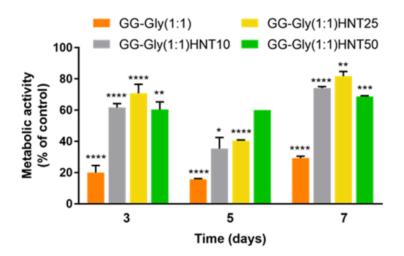


Figure S5. Metabolic activity of cells seeded on hydrogel surfaces. PrestoBlue assay of cells cultured on GG-Gly(1:1)HNTx hydrogels for 3,5 and 7 days.

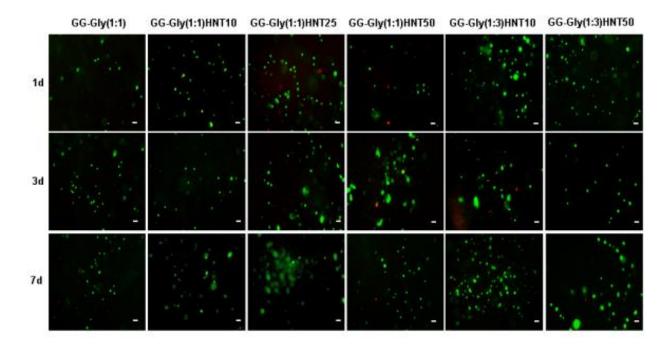


Figure S6. Live/Dead assay of hydrogel-encapsulated cells. Representative fluorescent micrographs of live (green) and dead (red) cells encapsulated in GG-Gly(1:1), GG-Gly(1:1)HNT10, Gly(1:1)HNT25, Gly(1:1)HNT50, Gly(1:3)HNT10 and Gly(1:3)HNT50 after 1, 3 and 7 days of culture. Scale bars $200\mu m$.