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Title: Rock glaciers, protalus ramparts and pronival ramparts in the southeastern Alps

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Abstract: Rock glaciers and protalus ramparts are characteristic landforms of the periglacial domain often used as markers for the occurrence of permafrost in mountain terrains. Therefore, relict rock glaciers can be used for paleoclimate reconstructions. We present here the first rock glacier inventory of the southeastern Alps (including the northeastern-most region of Italy and Slovenia), previously unreported, interpreted from use of high resolution orthophotos and high resolution digital terrain model interpolated from airborne laser scanning (LiDAR). We mapped 53 rock glaciers covering a total area of 3.45 km². The majority of rock glaciers are classified as relict and distributed between 1,708-1,846 m asl with slope range between 19° and 27°. Besides rock glaciers we also observed 66 protalus (pronival) ramparts, having median elevation of 1,913 m asl and covering 0.48 km². More than half of the inventoried protalus ramparts are located in the more maritime area of the Alps with higher precipitation compared to the location of rock glaciers. Using paleoclimate reconstruction based on the existing 1981-2010 climatology of the area, we infer that the rock glaciers formed during one of the dry and cold periods of the late Pleistocene and early Holocene (12.8±0.3-11.9 ka BP and/or 10.8±0.2 -10.1 ± 0.2 ka BP). Possible evolution of the active pronival forms observed in the most maritime area of this alpine sector is also discussed.

Reviewers' comments:

Reviewer #1: Thank you for your comprehensive edits and re-writing. In particular for the clarification of the features mapped. You have also clarified the terminology to a reasonable extent. However, there is still the assumption that all rock glaciers are permafrost-related features. And this is now extended by you to 'protalus ramparts', exemplified by the paper by Scapoza. However, it does confirm that the protalus ramparts looked at by Scapoza are the 'felsgirlande' of the older German Literature (eg Jäckli 1957, Jäckli, Heinrich. 1957. Gegenwartsgeologie des bündnerischen Rheingebietes: ein Beitrag zur exogenen Dynamik alpiner Gebirgslandschaften: Kümmerly & Frey, Geograph. Verlag.). Protalus ramparts as indicated would not be recognised by (most) geomorphologists in the UK and USA/Canada as 'protalus ramparts'. I agree this can be confusing but I think this should be indicated in your paper at least as a balance. If the features are 'relict' then it becomes difficult to determine the processes involved. Examples of protalus ramparts with and devoid of ice are given by Whalley and Azizi (Whalley, W Brian, and Fethi Azizi. 2003. "Rock glaciers and protalus landforms: Analogous forms and ice sources on Earth and Mars." Review of. Journal of Geophysical Research: Planets (1991-2012) 108 (E4)) This shows the difficulty of mapping via remotely sensed images.

Answering to suggestions given by the reviewer we added in the introduction the following sentence

“With regard to the relict forms it is often difficult to be sure of the exact mode of formation of a rock glacier (Whalley and Azizi, 2003), but climatic assumptions hold even if different modes of formation are envisaged since the evidence supporting the role of permafrost is considerable (Hughes et al., 2003). Permafrost in mountain areas is almost always associated with rock glaciers (Evin and Fabre, 1990), therefore, most of the authors recognize rock glaciers as climatic and paleoclimatic indicators of actual or past permafrost conditions and for this reason, rock glaciers are often used for paleoclimate reconstructions (e.g., Barsch and Updike, 1971; Kerschner, 1985; Harris and Pedersen, 1994; Hughes et al., 2003; Ribolini et al., 2007).” (see please page 2 lines 45 – 51 of the text version with track changes)

When considering protalus ramparts and pronival ramparts we updated two sentences as follow

“These could be considered as indicators of activity in case of pronival ramparts, while the presence of long-lasting summer snow fields in a protalus rampart could be indicator of permafrost presence. With regard to the relict forms, owing the difficulty in distinguishing the real origin of the two forms especially via remote sensing, we consider them all together.” (see please page 8 lines 186 – 189 of the text version with track changes)

We also updated the references with the paper Whalley and Azizi (2008) and (Evin and Fabre, 1990)

Editor's comments:

- Some grey figures are unacceptable.

Fig. 3. In d, e, and f, both high and low values have darker tones while middle values have lighter tones. Therefore it is impossible to know whether values are high or low for darker mapped areas.

This is absolutely true! We re-drawn figure 3 in d, e and f obtaining a much clearer result

Fig. 7. It is impossible to correlate tones with features (see the strange legend). It is unacceptable to write Blue, Purple and Yellow in a grey figure. Also it is hard to read some black text labels, both in the color and grey versions.

We agree with the Editor. We re-drawn figure 7 in order that now is much easier to read the text labels. We also changed the grey figure. Both the legend of the color and grey scale version has been drawn in a new version deleting the colours/grey tones of areas interested by permafrost at the highest degree of probability because not present in our study area and for this reason a bit confusing in our figure.

- For a figure with subfigures a, b, etc, its caption should begin with a general title of the whole figure. In this sense, the caption of Fig. 4 is OK, but the captions of Figs. 1, 2, and 3 should be corrected.

We followed suggestion given by the Editor adding a general introduction to the captures of figures 1, 2 and 3

- The blue color of Fig. 2b is rather strange. What does it mean?

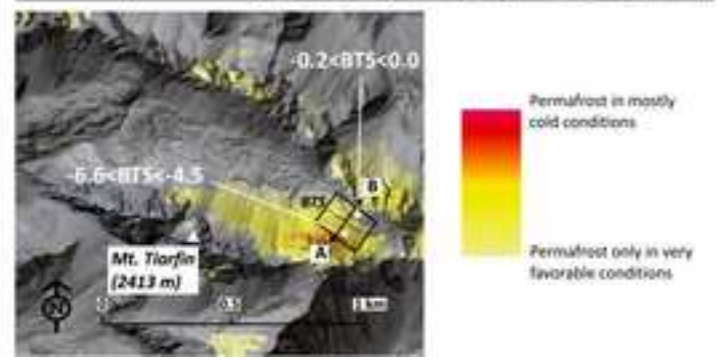
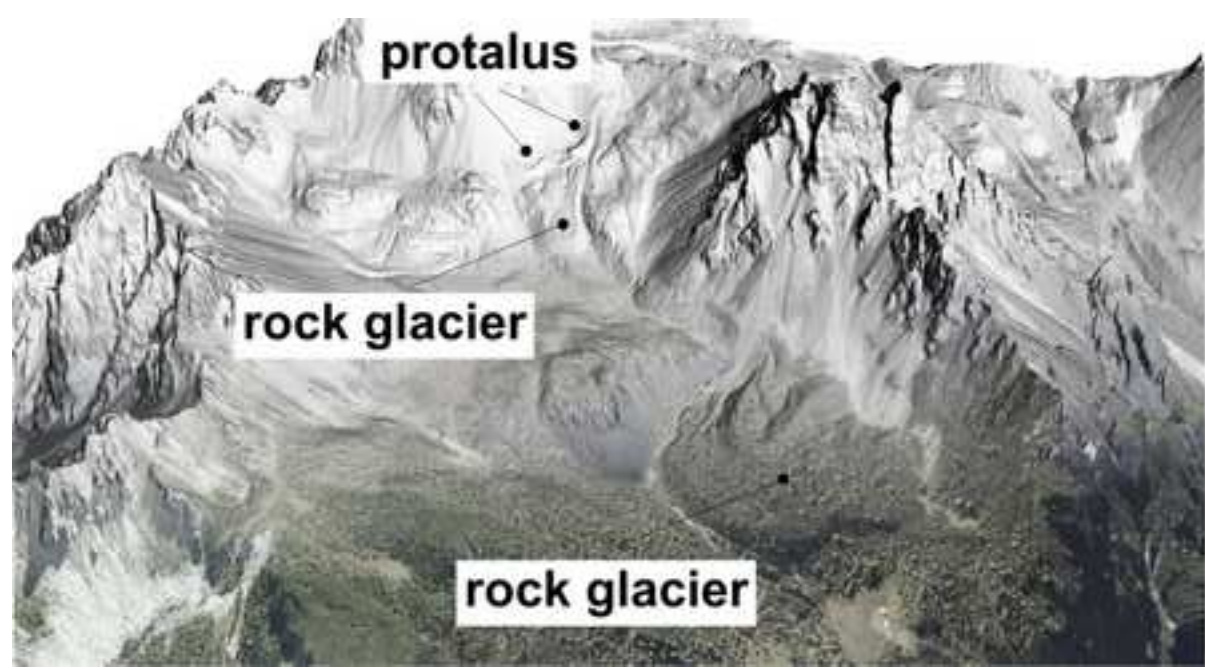
We don't know, but certainly it was a mistake occurred during the file conversion...we didn't notice during the submission. The figure has been corrected and figure 2b is now presented with more realistic colours.

We also checked again the whole paper and corrected some very small and few mistakes. The recent publication of a paper related to sporadic permafrost occurrence in the area, gave also us the opportunity to add a sentence and a reference in the study area chapter (please see page 5, lines 106-109), We also added the author contributions before the acknowledgments.

Rock glaciers, protalus ramparts and pronival ramparts in the southeastern Alps

Highlights

- Rock Glacier distribution in the Southeastern European Alps
- Onset of periglacial landforms based on speleothem paleoclimatic reconstruction
- protalus/pronival rampart distribution in the Southeastern European Alps
- Identification of periglacial landforms by using high resolution LiDAR survey



1 **Rock glaciers, protalus ramparts and pronival ramparts in the southeastern Alps**

2 Key words: rock glacier, protalus rampart, pronival ramparts, Alps, permafrost, LiDAR

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9

10 **Abstract**

11 Rock glaciers and protalus ramparts are characteristic landforms of the periglacial domain often
12 used as markers for the occurrence of permafrost in mountain terrains. Therefore, relict rock
13 glaciers can be used for paleoclimate reconstructions. We present here the first rock glacier
14 inventory of the southeastern Alps (including the northeastern-most region of Italy and Slovenia),
15 previously unreported, interpreted from use of high resolution orthophotos and high resolution
16 digital terrain model interpolated from airborne laser scanning (LiDAR). We mapped 53 rock
17 glaciers covering a total area of 3.45 km². The majority of rock glaciers are classified as relict and
18 distributed between 1,708-1,846 m asl with slope range between 19° and 27°. Besides rock glaciers
19 we also observed 66 protalus (pronival) ramparts, having median elevation of 1,913 m asl and
20 covering 0.48 km². More than half of the inventoried protalus ramparts are located in the more
21 maritime area of the Alps with higher precipitation compared to the location of rock glaciers. Using
22 paleoclimate reconstruction based on the existing 1981-2010 climatology of the area, we infer that
23 the rock glaciers formed during one of the dry and cold periods of the late Pleistocene and early
24 Holocene (12.8±0.3-11.9 ka BP and/or 10.8±0.2 -10.1 ± 0.2 ka BP). Possible evolution of the active
25 pronival forms observed in the most maritime area of this alpine sector is also discussed.

26

27 **1-Introduction**

28 Rock glaciers are masses of coarse angular debris that characterize the periglacial mountain domain
29 of several alpine areas of the world (Haeberli et al., 2006). They commonly display steep fronts and
30 a system of transverse surface ridges and furrows, possibly the product of differential movement of
31 discrete layers of enriched ice-debris (Kääb and Weber, 2004). They retain much of their
32 morphology long after they have ceased moving, displaying smoothed surface topography and
33 gentler front slopes (Hughes et al., 2003). Traditionally, rock glaciers are thought to exist mainly in
34 climates that have low precipitation and low temperatures at altitudes below the equilibrium line of
35 glaciers but above the lower permafrost limit (Haeberli, 1985). In other areas, rock glacier
36 occurrence is linked to local effects which favour talus production rather than to regional climate
37 (Humlum, 1998; Janke, 2007). Their genesis has been long discussed and authors generally
38 distinguish between rock glaciers of glacial (e.g., Martin and Whalley, 1987; Whalley and Martin,
39 1992) and periglacial (e.g., Haeberli, 1985; Barsch, 1988) origin. Moreover, Humlum et al. (2007)
40 suggested a coupled snow avalanche-debris supply in the accumulation of new rock glacier ice in
41 some arctic areas of Svalbard. Indeed physical evidence of both permafrost and glacial ice-cores has
42 been noted on several rock glaciers (e.g., Brown, 1925; Guglielmin et al., 2004; Stenni et al., 2007;
43 Ribolini et al., 2007; 2010) suggesting the idea of a *continuum* or a composite model where a
44 combination of different processes lead to the inception of rock glaciers (Giardino and Vitek, 1988).

45 With regard to the relict forms is often difficult to be sure of the exact mode of formation of a rock
46 glacier (Whalley and Azizi, 2003), but climatic assumptions hold even if different modes of
47 formation are envisaged since the evidence supporting the role of permafrost is considerable
48 (Hughes et al., 2003). Permafrost in mountain areas is almost always associated with rock glaciers
49 (Evin and Fabre, 1990), Therefore, most of the authors recognize rock glaciers as climatic and
50 paleoclimatic indicators of actual or past permafrost conditions and for this reason, rock glaciers are
51 often used for paleoclimate reconstructions (e.g., Barsch and Updike, 1971; Kerschner, 1985; Harris

52 and Pedersen, 1994; Hughes et al., 2003; Ribolini et al., 2007). Protalus ramparts are generally the
53 result of ice reach ground creep and some authors are prone to consider them as embryonic rock
54 glaciers (Haeberli, 1985; Barsch, 1996; Scapozza et al., 2011). Balch ventilation due to air
55 convection through coarse talus slopes material is also seen as a possible factor contributing to the
56 onset and preservation of ice, leading to the build up of a ridge or rampart at the base of the talus
57 (e.g., Francou, 1977; Delaloye and Lambiel, 2005). Some diagnostic criteria for defining active
58 protalus ramparts (after Scapozza, 2015) are the absence of permanent snow/firn field, bulging
59 morphology, a very steep front (40-45°), downslope movement and the presence of permafrost
60 often highlighted by the presence of summer long-lasting snow patches behind the main ridge.
61 Pronival ramparts (Matthews et al. 2011) are defined as depositional periglacial landforms (ramp or
62 ridge) associated with the downslope margin of a perennial or semi-permanent snow bed formed by
63 rock fall boulders sliding, rolling or bouncing on it. However, the shape and development of such
64 ridges are influenced even by other processes including snow push, solifluction, debris flow and
65 snow avalanche. Their growth is self-limited by the progressive thickening of a stationary snow/firn
66 field that can promote the inception of a small glacier leading to the destruction or the change in
67 shape of the rampart (Ballantyne and Benn, 1994). The distance between the ridge crests and the
68 talus foot slope is <30-70 m which is considered the threshold conditions under which a firn body is
69 sufficiently large to increase its basal shear stress, encouraging ice creep of ice and basal sliding
70 (Ballantyne and Benn, 1994).

71 The distribution of rock glaciers around the world has been investigated in several mountains region
72 and particularly in the European Alps both at regional-local scale (e.g., Seppi et al., 2012; Scotti et
73 al., 2013) and at the national-regional scale (e.g., Guglielmin and Smiraglia, 1997; Kellerer-
74 Pirklbauer et al., 2012; Rangecroft et al., 2014). Guglielmin and Smiraglia (1997) has been used as
75 starting reference for the revision of the old inventory. Before this, very little was known about rock
76 glacier distribution in the northeastern-most part of the Italian Alps, whereas in Slovenia, no rock
77 glaciers were recognized until now. A recent LiDAR survey over the entire Friuli Venezia Giulia

78 (FVG) region and Slovenia allowed to conduct this analysis; the DTMs' high resolution, in fact,
79 allow extremely accurate representations of terrain, the calculation of landforms geometry and
80 spatial attributes with a detail previously unattainable. Furthermore, Colucci and Guglielmin (2015)
81 while analysing climatology and the glacier's response to climate in the southeastern Alps,
82 characterized by the highest precipitation amount of the European Alps, found a close correlation
83 between winter precipitation and glacial evolution, with a lesser contribution given by summer
84 temperature. This finding was a motivation to investigate the possible presence of periglacial forms
85 in the area, so far unreported.

86 Therefore, the aims of this work are to: 1) update the knowledge about periglacial alpine landforms
87 in this sector of the Alps with rock glaciers and protalus (pronival) ramparts distribution and; 2)
88 estimate the onset of rock glaciers in relation to the paleoclimate evolution since the Last Glacial
89 Maximum.

90

91 **2-Study area**

92 The study area extends from 45°25' N to 46°52' N and from 12°20' E to 16°36' E including Friuli
93 Venezia Giulia (FVG) region, the northeastern-most region of Italy and Slovenia. (Figure 1). The
94 investigated area extends for 28,130 km², of which 4,150 km² are mountainous areas (> 1,000 m
95 asl). Bedrock there is dominated by sedimentary carbonate rocks, with some limited igneous and
96 metamorphic rock outcrops in a narrow area of the Western Carnic Alps (Carulli, 2006), Karavanke
97 and Slovenian Prealps (Komac, 2005). The Tagliamento Amphitheater (Figure 1) represents the
98 most well-preserved easternmost end moraine system of the south-alpine foreland and is one of the
99 larger of the southern Alps covering an area of 220 km². It represents the most evident feature of
100 Quaternary glaciations in FVG (Monegato et al., 2007).

101 The higher peaks are represented by Mt. Coglians-Hohewarte (2,780 m a.s.l.) in the Carnic Alps,
102 Mt. Triglav (2,864 m a.s.l.) in the Julian Alps and Mt. Grintovec (2,558 m a.s.l.) in the Kamnik-

103 Savinja Alps (Figure 1b). In the Julian Alps, there is evidence of 14 permanent snow/firn bodies
104 covering at present (2012) a total area of 0.266 km² compared to the 1.559 km² during the Little Ice
105 Age (LIA) maximum (Colucci, 2016, in press). They have northerly aspect and developed at the
106 base of steep rock slopes which favour avalanche activity, snow blowing and summer shading. The
107 presence of sporadic permafrost phenomena in the area has been recently highlighted -by the
108 widespread occurrence of permanent ice deposits (i.e., ground ice) in karstic caves (Colucci et al.,
109 2016).

110 Mean Annual Precipitation (MAP) are at its highest in the Julian Alps with totals higher than 3,300
111 mm, representing one of the highest mean values for the European Alps (Norbiato et al., 2007). In
112 the inner Alpine area MAP decrease to 1,600-1,800 mm because of the rain shadow effect of the
113 southern ridges, while towards east MAP decrease down to less than 1,000 mm. Mean annual air
114 temperature (MAAT) is mainly influenced by the altitudinal lapse rate, but shows a decreasing
115 trend from the prealpine reliefs to the inner alpine sector and towards East in Slovenia. The
116 spatialized 1981-2010 MAAT shows extremes ranging from ca. -2.6 °C of the highest peaks to ca.
117 15.2 °C of the coast. Assuming the normal vertical lapse rate of 6.5 °C km⁻¹, the altitude of the
118 mean annual 0 °C-isotherm is estimated at 2,370±90 m asl. The -2 °C isotherm, which defines
119 environments where frost action is dominant (French, 2007), is estimated at 2,665 ±90 m asl.

120
121 Figure 1

122 Location of Friuli Venezia Giulia (FVG) region and Slovenia. (a) European Alps (a) and (b) detail
123 of the study area (b). t=Tagliamento end moraine system during LGM, x = Tiarfin area (Figure 7),
124 y = Valbinon area (Figure 3).

125 126 **3 - Methods**

127 **3.1 Identification of the landforms and climatic analyses**

128 The inventory has been compiled by the inspection of medium resolution (0.5 m pixel 1998, 2003,
129 2011, 2014) and high resolution (0.15 m pixel 2006-2009) aerial orthorectified photographs
130 (orthophoto) (Civil Defense of Region Friuli Venezia Giulia and the Surveying and mapping
131 authority of the Republic of Slovenia).

132 High resolution (1.0 m cell size) digital terrain model (DTM) interpolated from airborne laser
133 scanning (LiDAR) acquired between September 2006 and September 2009 (Civil Defense of FVG)
134 for FVG and between February 2011 and April 2015 for Slovenia ([Open access data](#), Geodetic
135 Institute of Slovenia) served as the basis for geomorphological mapping and morphometric analysis
136 of the landforms. Hillshade derived from the DTM was crucial especially in the recognition of
137 landforms buried by vegetation where orthophotos are poorly useful (Figure 3).

138 Maps of climate and paleoclimate conditions over FVG were computed using monthly temperature
139 grids derived from OSMER dataset (1996-2005) recalculated following Colucci and Guglielmin
140 (2015) over the 30 years period 1981-2010. Data has been interpolated by using *natural neighbours*
141 method from a grid of 480x480 m. For the Slovenian side a 1x1 km grid of 1981-2010 MAAT
142 (Slovenian Environment Agency) was used. For the little ice age (LIA; 1350-1850 A.D.), we used
143 the same approach by processing the 30 yr period 1851-1880 (Colucci and Guglielmin, 2015),
144 which is the oldest available one and generally recognized as the end of the LIA (preindustrial
145 time). Paleoclimate reconstruction for the Younger Dryas was made by subtracting 3.5°C
146 (Frauenfelder et al., 2001) from the recalculated 1961-1990 MAAT, which is in agreement with the
147 average anomalies of -2°C during the early Holocene respect to late preindustrial time set by Mauri
148 et al. (2015).

149

150 **3.2 Rock Glaciers**

151 Several landform attributes were assigned to rock glaciers (Table 1), which allowed making further
152 analyses. It is acknowledged that the upper limit of a rock glacier (rooting zone) is far to be easy to
153 determine (e.g., Krainer and Ribis, 2012) and sometime is chosen arbitrarily. Therefore consistent

154 effort was put on determining where the rock glacier meets the input accumulation zone above it by
155 looking at surface morphology (Figure 3). We further classified the rock glaciers according to their
156 geometry where a tongue-shaped rock glacier present a length/width ratio >1 and a lobate rock
157 glacier a length/width ratio <1 , respectively (Wahrhaftig and Cox, 1959). The geomorphological
158 location, such as talus or debris rock glacier (Barsch, 1996), was also noted. The degree of activity
159 of rock glaciers has been inferred using a geomorphological approach given the lack of geophysical
160 investigations to determine the presence/lack of ice and because no horizontal/vertical displacement
161 of the landforms were available. Therefore, we subdivided the rock glaciers in active (likely with
162 ice), relict (likely without ice), and of uncertain activity when the landforms where not fully
163 supported by all the necessary characteristics of one of the two above mentioned classes. To avoid
164 confusion the term “relict” used in this paper corresponds to a state of climatic inactivity of the
165 landform, where the presence of ice is not anymore supported by the present climate. Accordingly,
166 active rock glaciers are those having a steep front, mostly steeper than the angle of repose of the
167 material (35°), a mean longitudinal convex relief and stable water temperature of springs at the
168 front in the range of $0-2^\circ\text{C}$ (Haeberli, 1985). Despite several works using vegetation coverage as an
169 indicator of activity, it has been demonstrated as some species can be present even with relatively
170 high movements (<35 cm/year; Cannone and Gerdol, 2003) so the use of vegetation as a proxy for
171 landforms activity should be more cautious, done on the field and not from remote platforms. The
172 surface topography is generally characterized by a system of pronounced furrows and ridges
173 transversal to the flow of the rock glacier originated from over-thrusting of internal shear planes and
174 differential movement of distinct layers under compressive flow (e.g., Wahrhaftig and Cox, 1959;
175 Haeberli 1985; Käab and Weber, 2004). Relict rock glaciers have less steep front ($<35^\circ$) and present
176 a generally concave longitudinal profile resulting from the lack of ice; they are often characterized
177 by thermokarst depressions on the surface topography (Haeberli, 1985).

178

179 Table 1: Recorded attributes of the studied rock glaciers and protalus/pronival forms

Rock glaciers	Protalus/pronival forms
number	number
name	name
geographic coordinates of the centroid	geographic coordinates of the centroid
municipality	municipality
mountain sector	mountain sector
mean altitude	mean altitude
maximum altitude	/
minimum altitude	/
area	area
aspect	aspect
length	length
width	width
mean slope degree of the entire landform	/
mean slope degree of the front	/
mean elevation of the front	/
minimum elevation of the front	/
highest elevation of the mountain top	/
relationships with glacial forms (glaciers, glacierets, snow banks, moraine systems)	/
location (valley bottom, cirque, furrow, slope)	/
lithology	lithology
(lithological/geological) formation of the feeding basin	(lithological/geological) formation of the feeding basin
presence/absence of springs at the front	/
spring temperature	/
presence/absence of lakes at the front	/
relationship between front and local vegetation limit (above/below tree line, above/below meadows)	relationship between front and local vegetation limit (above/below tree line, above/below meadows)
relationships and types of cover vegetation (coniferous continuous/discontinuous, broadleaved continuous/discontinuous, meadows continuous/discontinuous, absent)	relationships and types of cover vegetation (coniferous continuous/discontinuous, broadleaved continuous/discontinuous, meadows continuous/discontinuous, absent)
/	presence/absence of a snow/firn field

180

181 **3.3 Protalus and pronival forms**

182 The inventory comprises also protalus ramparts and pronival ramparts. We characterize these

183 landforms in respect to the activity according to a geomorphological approach looking at the

184 steepness of the front, and in addition we highlight the contingent presence/absence of a perennial

185 or semi-permanent snow/*firn* field. These could be considered as indicators of activity in case of

186 pronival ramparts, while the presence of long-lasting summer snow fields in a protalus rampart

187 could be indicator of permafrost presence. With regard to the relict forms, owing the difficulty in

188 distinguishing the real origin of the two forms especially via remote sensing, we consider them all
189 together. Landform attributes are reported in Table 1.

190

191 Figure 2

192 Examples of the studied periglacial features: (a) Pprotalus ramparts in early August 2015, and (b)
193 Ppronival ramparts in the Julian Alps with a permanent ice patch photographed on late October
194 2014.

195

196 **3.4 Field observations**

197 In order to assess the reliability of the inventory, we conducted field surveys during summer and
198 autumn in 2012 and 2013, and during autumn in 2015 to better characterize the vegetation cover,
199 verify the presence/absence of active springs, and eventually to measure water temperature during
200 late summer-early autumn. The temperature of the springs was measured between August and
201 September with a Pt100 thermistor class A-1/10 operating in the range of -50°C to 250 °C having
202 an accuracy better than $\pm 0.06^\circ\text{C}$ at 0°C ($\pm 0.05\%$) and a resolution of 0.1°C . During early March
203 2013 we also performed bottom temperature of snow cover (BTS) measurements by using a 4-m-
204 long aluminium probe equipped with the same thermistor. All the measurements were carried out
205 with a snow thickness exceeding 0.8-1.0 m (Haeberli, 1973)

206

207 Figure 3

208 An example of the methodology used in this research.: (a) pPseudo 3d image from high-resolution
209 orthophoto, (a) and (b) hillshade, (b); RRock glaciers are indicated as rg1, rg2 and rg3. Down, from
210 left to right and referred to rg1 in (b): (c) calculation of area, length and width; (d) calculation of
211 morphometric parameters from the high resolution DTM; (e) slope analysis; (f) computation of
212 front steepness; (g) section of the longitudinal profile drawn in (c).

213

214 **4 - Results**

215 **4.1 – Rock glaciers**

216 We mapped 53 rock glaciers covering an area of 3.45 km² (Figure 5 and Figure 6). Rock glaciers
217 are concentrated in the Carnic Alps (28 rock glacier, 53% of the total) and in the Carnic Prealps (14
218 rock glacier, 26%). Seven rock glaciers (13% of the total) exist in the Julian Alps, only 2 (4% of the
219 total) in the Karavanke and the same number in the Kamnik-Savinja Alps. The largest rock glacier
220 cover an area of 0.51 km². The tongue shaped geometry is predominant (53%) compared to the
221 lobate one (47%). The mean slope of rock glaciers is 22.6° with the majority of them (72%) falling
222 in the range 19°-27°.

223 Forty-nine rock glaciers (92%) have been classified as relict and only 4 (8%) of uncertain activity.
224 In particular, we found: a) 1 rock glacier having a mean front slope equal to 35°; b) 2 rock glaciers
225 having slightly convex longitudinal profiles; c) 1 rock glacier with a plentiful spring having rather
226 constant temperature of 2.5°C. The regional median minimum elevation of rock glacier fronts has
227 been placed at 1,778 m asl, with a standard deviation of 130 m, representing the lowest altitude of
228 relict forms in the Italian Alps (Guglielmin and Smiraglia, 1997; Dramis et al., 2003). Rock glaciers
229 mostly developed on north-facing aspect (NW, N and NE; Figure 4c) and they are predominantly
230 distributed within the 1,708-1,846 m asl altitude range (I and III quartile; Figure 4b) with a median
231 elevation of 1,777 m. Rock glaciers having north-facing and east-facing aspects (NW to E sector)
232 tend to develop at lower altitude with a mean elevation between 1,700 m and 1,800 m asl. (Figure
233 4b), while south-facing rock glaciers have mean elevation between 1,860 and 2,016 m asl,
234 extending from roughly 1,800 m 2,100 m asl. This pattern is common in several reports from the
235 European Alps (e.g., Barsch, 1996; Guglielmin and Smiraglia, 1997; Scapozza and Mari, 2010) and
236 likely highlights the influence of solar radiation on subsurface thermal regime (Hoelzle, 1992;
237 Guglielmin and Cannone, 2011). Nine rock glaciers (17%) occur above the tree line, while the other
238 44 show the surface covered by discontinuous to continuous vegetation, mainly *Pinus mugus*, larch
239 and spruce. The lowest rock glaciers of our inventory are located in the Julian Alps. Four of them

240 are situated significantly below the calculated regional median minimum elevation of rock glacier
241 fronts. In fact, their fronts stopped on average at 1,076 m asl, which is very close to the LGM ELA
242 set at ca. 1,200 m in the Julian Alps (Monegato et al., 2007, Colucci et al., 2014).

243

244 **4.2 – Protalus and Pronival ramparts**

245 Sixty-six protalus and pronival ramparts were mapped covering an area of 0.48 km². They are
246 predominantly located in the Carnic Alps (22), Julian Alps (17) and Karavanke (14), and the
247 remaining 13 scattered among the Carnic Prealps, Kamnik-Savinja Alps and Julian Prealps; the
248 majority is distributed between 1,697 m and 2,007 m asl (I and III quartile) with a median elevation
249 of 1,913 m asl. Seven were selected as possibly active protalus ramparts and separately considered
250 in Figure 4b owing to the presence of long-lasting, but not permanent, snowfields as highlighted in
251 the orthophotos and during field campaigns. This could indicate the presence of patches of
252 permafrost allowing the surviving of the snow patch. They are located at the higher altitude ranging
253 between 2,063 m and 2,442 m asl with a median elevation of 2,181 m asl. The possible presence of
254 permafrost was also highlighted through BTS measurements performed in the Carnic Prealps at
255 2,258 m asl. The survey was performed on late February 2013, in an area occupied by 2 protalus
256 ramparts that gave values ranging between -6.6°C/-4.5°C, largely sufficient to hypothesize the
257 presence of permafrost (Figure 7). Our findings seem to be partially in agreement with evidence
258 given by the available alpine permafrost index map (APIM, Figure 7) (Boeckli et al., 2012).

259 Besides active protalus ramparts we also classified 9 active pronival ramparts, located in front of
260 permanent snow/firn bodies and small glacierets, of which 7 in the Julian Alps (Colucci, 2016, in
261 press) and 2 in the Kamnik-Savinja Alps. These ridges produce a damming effect for avalanches
262 which enhance accumulation of winter snow, a significant impact to the local mass balance. The
263 fallen material likely slides and rolls down through these permanent ice bodies representing an
264 additional and still active contribution to the build-up of the ridges, highlighted by the presence of
265 patches of fresh debris deposits mainly over the topographic surface of the glacierets and in the

266 internal side of the ridges. Overall, together with rock glaciers, we inventoried 119 periglacial
267 landforms in the study area.

268

269 Figure 4

270 Main characteristics of the rock glacier and protalus rampart distribution: (a) regional distribution
271 vs altitude: minimum, lower quartile, median, upper quartile and maximum are drawn respectively
272 for relict rock glaciers and protalus ramparts (4 rock glaciers of uncertain activity and 7 protalus
273 rampart selected on the basis of the presence of long-lasting snow patches are depicted only with
274 median, minimum and maximum altitudes); (b) Altitudinal distribution vs glaciers and protalus
275 ramparts aspect (bars indicate the 95% confidence interval); (c) polar diagrams with aspect
276 distribution of both rock glaciers (RG) and protalus ramparts (PR). The 4 lowest rock glaciers
277 located in the Julian Alps are not considered in the statistics.

278

279 **5-Discussion**

280 **5.1 Rock glaciers distribution and estimated age of formation**

281 The altitudinal range of rock glaciers analysed here is the lowest for the southern Alps and
282 comparable with what has been found for relict rock glaciers in the Northern Alps of Austria (1,798
283 m) and in the Austrian Niedere Tauern Range (1,823-1,850 m) (Kellerer-Pirklbauer et al., 2012).

284 Rock glaciers are widespread periglacial landforms in the Alps and the active modern ones are
285 generally seen as indicators of the presence of discontinuous mountain permafrost in areas where
286 the MAAT is < -2 °C (Haeberli, 1985; Barsch, 1996; French, 2007). At present climate, having as
287 reference the spatialized MAAT 1981-2010 (Figure 5 and Figure 6) and the topographic setting, the
288 current distribution of rock glaciers in the Carnic Alps and Prealps entirely falls in areas with
289 $MAAT < 3$ °C and $MAP < 1,700$ mm w.e. On the contrary, in the Julian Alps and Prealps as well as in
290 the Karavanke and Kamnik-Savinja Alps, rock glaciers are located in an area with $MAP > 1,700$ mm
291 w.e. Almost all the rock glaciers were classified as relicts, which represent the local lower limit of

292 permafrost at the time of their decay (e.g., Frauenfelder et al., 2001), although it is well known that
293 rock glaciers may reach lower altitudes compared to the climatic permafrost boundaries (Harris and
294 Pedersen, 1998). Considering that for this alpine sector the results of the study on speleothems
295 reported in Belli et al. (2013) and Frisia et al. (2005) are the best paleoclimatic proxies for the last
296 16,000 years, it is possible to recognize two periods with drier and colder conditions between
297 12.8 ± 0.3 ka and 11.9 ka and between $ca. 10.8 \pm 0.2$ ka and 10.1 ± 0.2 ka. After that time, no periods
298 with considerable colder and drier conditions compared to present days were detected in the
299 southeastern Alps. Therefore, it is reasonable to speculate that these two periods could be the most
300 favorable to have permafrost conditions in our study area and form the rock glaciers. Despite the
301 low number of dated rock glaciers (e.g., Dramis et al., 2003; Stenni et al., 2007, Scapozza et al.,
302 2010) a Younger Dryas (YD) or a Holocenic age of the relict rock glaciers has been suggested
303 (Frauenfelder et al., 2001; Lambiel and Reynard, 2001). Moreover, in the Alps, active rock glaciers
304 are about 400-500 m higher in elevation respect to the relict forms, which correspond to a
305 temperature drop of ca. 2.6 - 3.3 °C (Frauenfelder et al., 2001). Sector S1, highlighted in Figure 5,
306 showed the lowest averaged MAAT (-1.9 ± 0.7 °C) during the YD and the higher rock glaciers fronts
307 altitude ($1,822 \pm 163$ m asl). Here, the highest rock glacier (Tiarfin, Figure 1 and Figure 7) had a
308 MAAT of -2.6 °C with 5 of the 19 rock glaciers having $MAAT \leq -2.0$ °C. Higher MAAT and lower
309 elevation characterize the remaining rock glaciers with MAAT at the front ranging from -1.6 °C to
310 2.1 °C at the YD event. The YD mean annual 0 °C-isotherm is estimated at about 1,400 m asl
311 (Figure 5 and Figure 6). If we still believe that $MAAT < -2$ °C is valid we can hypothesize: i) the
312 south-eastern Alps MAAT cooling during the YD was higher than the assumed -3.5 °C; ii) the
313 local conditions of the rock glaciers allowed permafrost aggradation at MAAT higher than -2.0 °C
314 because of surface characteristics (i.e. balch ventilation , e.g., Harris and Pedersen, 1998) or low
315 radiation conditions (shady positions); iii) these rock glaciers were formed in cold and dry periods
316 older than the YD. The high MAAT for the lowest lying rock glaciers located in the Julian Alps
317 suggest a temperature drop of at least 7.1 - 7.6 °C, which is in accordance with the LGM temperature

318 reconstruction for this sector of the Alps (Kuhlemann et al., 2008) and therefore we hypothesize
319 that these rock glaciers were formed during the LGM.

320

321 Figure 5

322 Maps of MAAT in FVG calculated for the 30 years period 1981-2010, for the LIA and for the YD.
323 Numbers in the legend (upper left) respectively refer to: 1) protalus rampart; 2) rock glacier; 3)
324 1,700 mm w.e.; 4) 1961-2000 MAP contour line (step of 100 mm w.e.); 5) 1,400 m asl contour line;
325 6) MAAT <-2 °C; 7) -2 °<MAAT <0 °C; 8) 0 °<MAAT<3 °C; 9) MAAT>3 °C. S1 is commented
326 in the discussions. (DEM derived by LiDAR survey performed between 2006 and 2009 by Civil
327 Defense of Region FVG; For colour interpretation, please refer to the web version of the article)

328

329 Figure 6

330 Maps of MAAT in Slovenia calculated for the 30 years period 1981-2010, for the LIA and for the
331 YD. Numbers in the legend (upper left) respectively refer to: 1) protalus rampart; 2) rock glacier; 3)
332 1,700 mm w.e.; 4) 1981-2010 MAP contour line (step of 100 mm w.e.); 5) 1,400 m asl contour line;
333 6) MAAT <-2 °C; 7) -2 °<MAAT <0 °C; 8) 0 °<MAAT<3 °C; 9) MAAT>3 °C. Climate data (1 km
334 x 1 km grid data of MAAT and MAP for the period 1981-2010) was provided by Slovenian
335 Environment Agency. DEM 12.5 m (The Surveying and Mapping Authority of the Republic of
336 Slovenia) was used as a base layer. (For colour interpretation, please refer to the web version of the
337 article)

338

339 **5.2 Protalus and Pronival Ramparts**

340 In this inventory, a comparable number of protalus ramparts respect to rock glaciers were
341 recognized, which is uncommon if we look at what was found in other inventories from the Alps
342 (e.g. Scapozza, 2015), where the number of rock glaciers largely prevails. Protalus ramparts situated

343 in the Carnic Alps and Prealps (47% of the total), the drier sector of the examined area, generally
344 follow the same geographical distribution of rock glaciers, whereas more than half of the
345 inventoried protalus ramparts are located in a more maritime area of the Alps where higher
346 precipitation is recorded, but still $< 2500 \text{ mm yr}^{-1}$. Active protalus ramparts are located in the driest
347 areas with a present MAAT between -0.4°C and 2.0°C . The possible presence of permafrost on
348 some of them as enhanced by BTS results should be more related to the local conditions than the
349 regional climate pattern, or even related to the LIA when regional MAAT were 1.7°C lower. The
350 active pronival ramparts observed in the Julian Alps (Figure 2b), on the contrary, at present develop
351 in climatic conditions far to be defined as periglacial, especially owing the high precipitation
352 amount of the area always $> 2,500 \text{ mm a}^{-1}$. They formed at a distance from the talus foot slope $<$
353 $30\text{--}70 \text{ m}$, which is consistent with the definition given by Ballantynes and Benn (1994) in not
354 considering such landforms as moraines. Based on the observed clast roundness of the ridges
355 examined in the field, it is likely that the formation and development of these features is driven by
356 dominating snow-avalanche processes according to Matthews et al. (2011). This fits well with the
357 very high snow precipitation regime (c. 7.0 m of winter snow accumulation at $1,800 \text{ m asl}$) and the
358 high snow avalanche frequency of the analysed area.

359 Moreover, Matthews et al. (2011), analysing a subset of avalanche-derived pronival ramparts in the
360 maritime southwestern area of Norway, found ages for active ramparts ranging from $<2,900 \text{ BP}$
361 years and $<1,550 \text{ BP}$ years, with the oldest ones of YD age. They concluded that these features
362 likely suggest a continued development throughout the Holocene, modulated by variations in snow-
363 avalanche frequency reflecting decadal to millennial-scale climatic variations. Similar features
364 observed in the Julian Alps could thus possibly represent a sort of “average limit” of existence of
365 the already existing glacierets, partially modified in the shape by firn/ice pushing, and modulated by
366 small long-scale climate variability throughout the Holocene, as highlighted by Frisia et al. (2005)
367 and Belli et al. (2013) for the southeastern Alps.

368

369 Figure 7

370 (a) Tiarfin area (“x” in Figure 1b) with the area where BTS measurements have been performed
371 (rectangle) and the range of BTS measurements. The composite rock glacier flowing SE to NW is
372 contoured by a black thin line. Some protalus ramparts possibly active converge towards the rock
373 glacier, two of them in the BTS area. The Alpine permafrost index map (APIM) of the study area,
374 freely available at <http://www.geo.uzh.ch/microsite/cryodata/> (last accessed on 6 October 2015), is
375 superimposed to the hillshade of Tiarfin area. The legend on the right indicates the probability of
376 permafrost occurrence (For colour interpretation, please refer to the web version of the article).

377

378 **6 Conclusions**

379 The revised inventory of rock glaciers in the southeastern Alps accounts for 53 such landforms.
380 They cover a total area of 3.45 km² and have been classified as mostly relict, with only 4 rock
381 glaciers of uncertain activity. Under the present climate, they are mainly located in the dryer area of
382 this alpine sector where MAAT < 3 °C (present periglacial domain). The relict rock glaciers seem to
383 be related to the YD cold phase, but we cannot exclude an older age for some. The inventory of
384 protalus and pronival ramparts shows the occurrence of 66 landforms. Seven protalus ramparts,
385 characterized by the presence of long-lasting snow fields, highlighted the possible presence of
386 permafrost patches. Nine active pronival ramparts, owing the presence of still existing permanent
387 ice patches and glacierets, are located in the more maritime area of the region with high MAP.
388 While the onset and decay of rock glaciers seem to be related to the YD cold phase and the
389 subsequent climate amelioration of the early Holocene, the pronival ramparts of the Julian and
390 Kamnik-Savinja Alps could have continuously developed during the entire Holocene and from the
391 YD, modulated by the normal climate variability affecting the size and the shape of the glacierets.
392 The exact chronology of the beginning and evolution of such periglacial forms in the southeastern
393 Alps is still not well known, and there are only some working hypotheses that need to be clarified in

394 the coming years. The presence of patches of permafrost in equilibrium with the present climate is
395 another important aspect that is currently under investigation.

396

397 **Author Contribution**

398 RRC and MG initiated this research. CB elaborated the raw LiDAR data and set the semi
399 automatic routines for the morphometric analysis. RRC, MG and MŽ interpreted the results and
400 recognized the landforms in GIS environment. RRC, CB and MŽ made the field observations. RRC
401 made the BTS measures. RRC, CB and MŽ prepared and analyzed the climatological and
402 palaeoclimatological maps. RRC, MG and MŽ wrote the manuscript.

403

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412

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1 **Rock glaciers, protalus ramparts and pronival ramparts in the southeastern Alps**

2 Key words: rock glacier, protalus rampart, pronival ramparts, Alps, permafrost, LiDAR

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9

10 **Abstract**

11 Rock glaciers and protalus ramparts are characteristic landforms of the periglacial domain often
12 used as markers for the occurrence of permafrost in mountain terrains. Therefore, relict rock
13 glaciers can be used for paleoclimate reconstructions. We present here the first rock glacier
14 inventory of the southeastern Alps (including the northeastern-most region of Italy and Slovenia),
15 previously unreported, interpreted from use of high resolution orthophotos and high resolution
16 digital terrain model interpolated from airborne laser scanning (LiDAR). We mapped 53 rock
17 glaciers covering a total area of 3.45 km². The majority of rock glaciers are classified as relict and
18 distributed between 1,708-1,846 m asl with slope range between 19° and 27°. Besides rock glaciers
19 we also observed 66 protalus (pronival) ramparts, having median elevation of 1,913 m asl and
20 covering 0.48 km². More than half of the inventoried protalus ramparts are located in the more
21 maritime area of the Alps with higher precipitation compared to the location of rock glaciers. Using
22 paleoclimate reconstruction based on the existing 1981-2010 climatology of the area, we infer that
23 the rock glaciers formed during one of the dry and cold periods of the late Pleistocene and early
24 Holocene (12.8±0.3-11.9 ka BP and/or 10.8±0.2 -10.1 ± 0.2 ka BP). Possible evolution of the active
25 pronival forms observed in the most maritime area of this alpine sector is also discussed.

27 **1-Introduction**

28 Rock glaciers are masses of coarse angular debris that characterize the periglacial mountain domain
29 of several alpine areas of the world (Haeberli et al., 2006). They commonly display steep fronts and
30 a system of transverse surface ridges and furrows, possibly the product of differential movement of
31 discrete layers of enriched ice-debris (Kääb and Weber, 2004). They retain much of their
32 morphology long after they have ceased moving, displaying smoothed surface topography and
33 gentler front slopes (Hughes et al., 2003). Traditionally, rock glaciers are thought to exist mainly in
34 climates that have low precipitation and low temperatures at altitudes below the equilibrium line of
35 glaciers but above the lower permafrost limit (Haeberli, 1985). In other areas, rock glacier
36 occurrence is linked to local effects which favour talus production rather than to regional climate
37 (Humlum, 1998; Janke, 2007). Their genesis has been long discussed and authors generally
38 distinguish between rock glaciers of glacial (e.g., Martin and Whalley, 1987; Whalley and Martin,
39 1992) and periglacial (e.g., Haeberli, 1985; Barsch, 1988) origin. Moreover, Humlum et al. (2007)
40 suggested a coupled snow avalanche-debris supply in the accumulation of new rock glacier ice in
41 some arctic areas of Svalbard. Indeed physical evidence of both permafrost and glacial ice-cores has
42 been noted on several rock glaciers (e.g., Brown, 1925; Guglielmin et al., 2004; Stenni et al., 2007;
43 Ribolini et al., 2007; 2010) suggesting the idea of a *continuum* or a composite model where a
44 combination of different processes lead to the inception of rock glaciers (Giardino and Vitek, 1988).
45 With regard to the relict forms is often difficult to be sure of the exact mode of formation of a rock
46 glacier (Whalley and Azizi, 2003), but climatic assumptions hold even if different modes of
47 formation are envisaged since the evidence supporting the role of permafrost is considerable
48 (Hughes et al., 2003). Permafrost in mountain areas is almost always associated with rock glaciers
49 (Evin and Fabre, 1990), therefore, most of the authors recognize rock glaciers as climatic and
50 paleoclimatic indicators of actual or past permafrost conditions and for this reason, rock glaciers are
51 often used for paleoclimate reconstructions (e.g., Barsch and Updike, 1971; Kerschner, 1985; Harris

52 and Pedersen, 1994; Hughes et al., 2003; Ribolini et al., 2007). Protalus ramparts are generally the
53 result of ice reach ground creep and some authors are prone to consider them as embryonic rock
54 glaciers (Haeberli, 1985; Barsch, 1996; Scapozza et al., 2011). Balch ventilation due to air
55 convection through coarse talus slopes material is also seen as a possible factor contributing to the
56 onset and preservation of ice, leading to the build up of a ridge or rampart at the base of the talus
57 (e.g., Francou, 1977; Delaloye and Lambiel, 2005). Some diagnostic criteria for defining active
58 protalus ramparts (after Scapozza, 2015) are the absence of permanent snow/firn field, bulging
59 morphology, a very steep front (40-45°), downslope movement and the presence of permafrost
60 often highlighted by the presence of summer long-lasting snow patches behind the main ridge.
61 Pronival ramparts (Matthews et al. 2011) are defined as depositional periglacial landforms (ramp or
62 ridge) associated with the downslope margin of a perennial or semi-permanent snow bed formed by
63 rock fall boulders sliding, rolling or bouncing on it. However, the shape and development of such
64 ridges are influenced even by other processes including snow push, solifluction, debris flow and
65 snow avalanche. Their growth is self-limited by the progressive thickening of a stationary snow/firn
66 field that can promote the inception of a small glacier leading to the destruction or the change in
67 shape of the rampart (Ballantyne and Benn, 1994). The distance between the ridge crests and the
68 talus foot slope is <30-70 m which is considered the threshold conditions under which a firn body is
69 sufficiently large to increase its basal shear stress, encouraging ice creep of ice and basal sliding
70 (Ballantyne and Benn, 1994).

71 The distribution of rock glaciers around the world has been investigated in several mountains region
72 and particularly in the European Alps both at regional-local scale (e.g., Seppi et al., 2012; Scotti et
73 al., 2013) and at the national-regional scale (e.g., Guglielmin and Smiraglia, 1997; Kellerer-
74 Pirklbauer et al., 2012; Rangecroft et al., 2014). Guglielmin and Smiraglia (1997) has been used as
75 starting reference for the revision of the old inventory. Before this, very little was known about rock
76 glacier distribution in the northeastern-most part of the Italian Alps, whereas in Slovenia, no rock
77 glaciers were recognized until now. A recent LiDAR survey over the entire Friuli Venezia Giulia

78 (FVG) region and Slovenia allowed to conduct this analysis; the DTMs' high resolution, in fact,
79 allow extremely accurate representations of terrain, the calculation of landforms geometry and
80 spatial attributes with a detail previously unattainable. Furthermore, Colucci and Guglielmin (2015)
81 while analysing climatology and the glacier's response to climate in the southeastern Alps,
82 characterized by the highest precipitation amount of the European Alps, found a close correlation
83 between winter precipitation and glacial evolution, with a lesser contribution given by summer
84 temperature. This finding was a motivation to investigate the possible presence of periglacial forms
85 in the area, so far unreported.

86 Therefore, the aims of this work are to: 1) update the knowledge about periglacial alpine landforms
87 in this sector of the Alps with rock glaciers and protalus (pronival) ramparts distribution and; 2)
88 estimate the onset of rock glaciers in relation to the paleoclimate evolution since the Last Glacial
89 Maximum.

90

91 **2-Study area**

92 The study area extends from 45°25' N to 46°52' N and from 12°20' E to 16°36' E including Friuli
93 Venezia Giulia (FVG) region, the northeastern-most region of Italy and Slovenia. (Figure 1). The
94 investigated area extends for 28,130 km², of which 4,150 km² are mountainous areas (> 1,000 m
95 asl). Bedrock there is dominated by sedimentary carbonate rocks, with some limited igneous and
96 metamorphic rock outcrops in a narrow area of the Western Carnic Alps (Carulli, 2006), Karavanke
97 and Slovenian Prealps (Komac, 2005). The Tagliamento Amphitheater (Figure 1) represents the
98 most well-preserved easternmost end moraine system of the south-alpine foreland and is one of the
99 larger of the southern Alps covering an area of 220 km². It represents the most evident feature of
100 Quaternary glaciations in FVG (Monegato et al., 2007).

101 The higher peaks are represented by Mt. Coglians-Hohewarte (2,780 m a.s.l.) in the Carnic Alps,
102 Mt. Triglav (2,864 m a.s.l.) in the Julian Alps and Mt. Grintovec (2,558 m a.s.l.) in the Kamnik-

103 Savinja Alps (Figure 1b). In the Julian Alps, there is evidence of 14 permanent snow/firn bodies
104 covering at present (2012) a total area of 0.266 km² compared to the 1.559 km² during the Little Ice
105 Age (LIA) maximum (Colucci, 2016, in press). They have northerly aspect and developed at the
106 base of steep rock slopes which favour avalanche activity, snow blowing and summer shading. The
107 presence of sporadic permafrost phenomena in the area has been recently highlighted by the
108 widespread occurrence of permanent ice deposits (i.e., ground ice) in karstic caves (Colucci et al.,
109 2016).

110 Mean Annual Precipitation (MAP) are at its highest in the Julian Alps with totals higher than 3,300
111 mm, representing one of the highest mean values for the European Alps (Norbiato et al., 2007). In
112 the inner Alpine area MAP decrease to 1,600-1,800 mm because of the rain shadow effect of the
113 southern ridges, while towards east MAP decrease down to less than 1,000 mm. Mean annual air
114 temperature (MAAT) is mainly influenced by the altitudinal lapse rate, but shows a decreasing
115 trend from the prealpine reliefs to the inner alpine sector and towards East in Slovenia. The
116 spatialized 1981-2010 MAAT shows extremes ranging from ca. -2.6 °C of the highest peaks to ca.
117 15.2 °C of the coast. Assuming the normal vertical lapse rate of 6.5 °C km⁻¹, the altitude of the
118 mean annual 0 °C-isotherm is estimated at 2,370±90 m asl. The -2 °C isotherm, which defines
119 environments where frost action is dominant (French, 2007), is estimated at 2,665 ±90 m asl.

120

121 Figure 1

122 Location of Friuli Venezia Giulia (FVG) region and Slovenia. (a) European Alps and (b) detail of
123 the study area. t=Tagliamento end moraine system during LGM, x = Tiarfin area (Figure 7), y =
124 Valbinon area (Figure 3).

125

126 **3 - Methods**

127 **3.1 Identification of the landforms and climatic analyses**

128 The inventory has been compiled by the inspection of medium resolution (0.5 m pixel 1998, 2003,
129 2011, 2014) and high resolution (0.15 m pixel 2006-2009) aerial orthorectified photographs
130 (orthophoto) (Civil Defense of Region Friuli Venezia Giulia and the Surveying and mapping
131 authority of the Republic of Slovenia).

132 High resolution (1.0 m cell size) digital terrain model (DTM) interpolated from airborne laser
133 scanning (LiDAR) acquired between September 2006 and September 2009 (Civil Defense of FVG)
134 for FVG and between February 2011 and April 2015 for Slovenia (Open access data, Geodetic
135 Institute of Slovenia) served as the basis for geomorphological mapping and morphometric analysis
136 of the landforms. Hillshade derived from the DTM was crucial especially in the recognition of
137 landforms buried by vegetation where orthophotos are poorly useful (Figure 3).

138 Maps of climate and paleoclimate conditions over FVG were computed using monthly temperature
139 grids derived from OSMER dataset (1996-2005) recalculated following Colucci and Guglielmin
140 (2015) over the 30 years period 1981-2010. Data has been interpolated by using *natural neighbours*
141 method from a grid of 480x480 m. For the Slovenian side a 1x1 km grid of 1981-2010 MAAT
142 (Slovenian Environment Agency) was used. For the little ice age (LIA; 1350-1850 A.D.), we used
143 the same approach by processing the 30 yr period 1851-1880 (Colucci and Guglielmin, 2015),
144 which is the oldest available one and generally recognized as the end of the LIA (preindustrial
145 time). Paleoclimate reconstruction for the Younger Dryas was made by subtracting 3.5°C
146 (Frauenfelder et al., 2001) from the recalculated 1961-1990 MAAT, which is in agreement with the
147 average anomalies of -2°C during the early Holocene respect to late preindustrial time set by Mauri
148 et al. (2015).

149

150 **3.2 Rock Glaciers**

151 Several landform attributes were assigned to rock glaciers (Table 1), which allowed making further
152 analyses. It is acknowledged that the upper limit of a rock glacier (rooting zone) is far to be easy to
153 determine (e.g., Krainer and Ribis, 2012) and sometime is chosen arbitrarily. Therefore consistent

154 effort was put on determining where the rock glacier meets the input accumulation zone above it by
155 looking at surface morphology (Figure 3). We further classified the rock glaciers according to their
156 geometry where a tongue-shaped rock glacier present a length/width ratio >1 and a lobate rock
157 glacier a length/width ratio <1 , respectively (Wahrhaftig and Cox, 1959). The geomorphological
158 location, such as talus or debris rock glacier (Barsch, 1996), was also noted. The degree of activity
159 of rock glaciers has been inferred using a geomorphological approach given the lack of geophysical
160 investigations to determine the presence/lack of ice and because no horizontal/vertical displacement
161 of the landforms were available. Therefore, we subdivided the rock glaciers in active (likely with
162 ice), relict (likely without ice), and of uncertain activity when the landforms where not fully
163 supported by all the necessary characteristics of one of the two above mentioned classes. To avoid
164 confusion the term “relict” used in this paper corresponds to a state of climatic inactivity of the
165 landform, where the presence of ice is not anymore supported by the present climate. Accordingly,
166 active rock glaciers are those having a steep front, mostly steeper than the angle of repose of the
167 material (35°), a mean longitudinal convex relief and stable water temperature of springs at the
168 front in the range of $0-2^\circ\text{C}$ (Haeberli, 1985). Despite several works using vegetation coverage as an
169 indicator of activity, it has been demonstrated as some species can be present even with relatively
170 high movements (<35 cm/year; Cannone and Gerdol, 2003) so the use of vegetation as a proxy for
171 landforms activity should be more cautious, done on the field and not from remote platforms. The
172 surface topography is generally characterized by a system of pronounced furrows and ridges
173 transversal to the flow of the rock glacier originated from over-thrusting of internal shear planes and
174 differential movement of distinct layers under compressive flow (e.g., Wahrhaftig and Cox, 1959;
175 Haeberli 1985; Käab and Weber, 2004). Relict rock glaciers have less steep front ($<35^\circ$) and present
176 a generally concave longitudinal profile resulting from the lack of ice; they are often characterized
177 by thermokarst depressions on the surface topography (Haeberli, 1985).

178

179 Table 1: Recorded attributes of the studied rock glaciers and protalus/pronival forms

Rock glaciers	Protalus/pronival forms
number	number
name	name
geographic coordinates of the centroid	geographic coordinates of the centroid
municipality	municipality
mountain sector	mountain sector
mean altitude	mean altitude
maximum altitude	/
minimum altitude	/
area	area
aspect	aspect
length	length
width	width
mean slope degree of the entire landform	/
mean slope degree of the front	/
mean elevation of the front	/
minimum elevation of the front	/
highest elevation of the mountain top	/
relationships with glacial forms (glaciers, glacierets, snow banks, moraine systems)	/
location (valley bottom, cirque, furrow, slope)	/
lithology	lithology
(lithological/geological) formation of the feeding basin	(lithological/geological) formation of the feeding basin
presence/absence of springs at the front	/
spring temperature	/
presence/absence of lakes at the front	/
relationship between front and local vegetation limit (above/below tree line, above/below meadows)	relationship between front and local vegetation limit (above/below tree line, above/below meadows)
relationships and types of cover vegetation (coniferous continuous/discontinuous, broadleaved continuous/discontinuous, meadows continuous/discontinuous, absent)	relationships and types of cover vegetation (coniferous continuous/discontinuous, broadleaved continuous/discontinuous, meadows continuous/discontinuous, absent)
/	presence/absence of a snow/firn field

180

181 **3.3 Protalus and pronival forms**

182 The inventory comprises also protalus ramparts and pronival ramparts. We characterize these

183 landforms in respect to the activity according to a geomorphological approach looking at the

184 steepness of the front, and in addition we highlight the contingent presence/absence of a perennial

185 or semi-permanent snow/*firn* field. These could be considered as indicators of activity in case of

186 pronival ramparts, while the presence of long-lasting summer snow fields in a protalus rampart

187 could be indicator of permafrost presence. With regard to the relict forms, owing the difficulty in

188 distinguishing the real origin of the two forms especially via remote sensing, we consider them all
189 together. Landform attributes are reported in Table 1.

190

191 Figure 2

192 Examples of the studied periglacial features: (a) protalus ramparts in early August 2015, and (b)
193 pronival ramparts in the Julian Alps with a permanent ice patch photographed on late October 2014.

194

195 **3.4 Field observations**

196 In order to assess the reliability of the inventory, we conducted field surveys during summer and
197 autumn in 2012 and 2013, and during autumn in 2015 to better characterize the vegetation cover,
198 verify the presence/absence of active springs, and eventually to measure water temperature during
199 late summer-early autumn. The temperature of the springs was measured between August and
200 September with a Pt100 thermistor class A-1/10 operating in the range of -50°C to 250°C having
201 an accuracy better than $\pm 0.06^{\circ}\text{C}$ at 0°C ($\pm 0.05\%$) and a resolution of 0.1°C . During early March
202 2013 we also performed bottom temperature of snow cover (BTS) measurements by using a 4-m-
203 long aluminium probe equipped with the same thermistor. All the measurements were carried out
204 with a snow thickness exceeding 0.8-1.0 m (Haeberli, 1973)

205

206 Figure 3

207 An example of the methodology used in this research: (a) pseudo 3d image from high-resolution
208 orthophoto, and (b) hillshade. Rock glaciers are indicated as rg1, rg2 and rg3. Down, from left to
209 right and referred to rg1 in (b): (c) calculation of area, length and width; (d) calculation of
210 morphometric parameters from the high resolution DTM; (e) slope analysis; (f) computation of
211 front steepness; (g) section of the longitudinal profile drawn in (c).

212

213 **4 - Results**

214 **4.1 – Rock glaciers**

215 We mapped 53 rock glaciers covering an area of 3.45 km² (Figure 5 and Figure 6). Rock glaciers
216 are concentrated in the Carnic Alps (28 rock glacier, 53% of the total) and in the Carnic Prealps (14
217 rock glacier, 26%). Seven rock glaciers (13% of the total) exist in the Julian Alps, only 2 (4% of the
218 total) in the Karavanke and the same number in the Kamnik-Savinja Alps. The largest rock glacier
219 cover an area of 0.51 km². The tongue shaped geometry is predominant (53%) compared to the
220 lobate one (47%). The mean slope of rock glaciers is 22.6° with the majority of them (72%) falling
221 in the range 19°-27°.

222 Forty-nine rock glaciers (92%) have been classified as relict and only 4 (8%) of uncertain activity.
223 In particular, we found: a) 1 rock glacier having a mean front slope equal to 35°; b) 2 rock glaciers
224 having slightly convex longitudinal profiles; c) 1 rock glacier with a plentiful spring having rather
225 constant temperature of 2.5°C. The regional median minimum elevation of rock glacier fronts has
226 been placed at 1,778 m asl, with a standard deviation of 130 m, representing the lowest altitude of
227 relict forms in the Italian Alps (Guglielmin and Smiraglia, 1997; Dramis et al., 2003). Rock glaciers
228 mostly developed on north-facing aspect (NW, N and NE; Figure 4c) and they are predominantly
229 distributed within the 1,708-1,846 m asl altitude range (I and III quartile; Figure4b) with a median
230 elevation of 1,777 m. Rock glaciers having north-facing and east-facing aspects (NW to E sector)
231 tend to develop at lower altitude with a mean elevation between 1,700 m and 1,800 m asl. (Figure
232 4b), while south-facing rock glaciers have mean elevation between 1,860 and 2,016 m asl,
233 extending from roughly 1,800 m 2,100 m asl. This pattern is common in several reports from the
234 European Alps (e.g., Barsch, 1996; Guglielmin and Smiraglia, 1997; Scapozza and Mari, 2010) and
235 likely highlights the influence of solar radiation on subsurface thermal regime (Hoelzle, 1992;
236 Guglielmin and Cannone, 2011). Nine rock glaciers (17%) occur above the tree line, while the other
237 44 show the surface covered by discontinuous to continuous vegetation, mainly *Pinus mugus*, larch
238 and spruce. The lowest rock glaciers of our inventory are located in the Julian Alps. Four of them
239 are situated significantly below the calculated regional median minimum elevation of rock glacier

240 fronts. In fact, their fronts stopped on average at 1,076 m asl, which is very close to the LGM ELA
241 set at ca. 1,200 m in the Julian Alps (Monegato et al., 2007, Colucci et al., 2014).

242

243 **4.2 – Protalus and Pronival ramparts**

244 Sixty-six protalus and pronival ramparts were mapped covering an area of 0.48 km². They are
245 predominantly located in the Carnic Alps (22), Julian Alps (17) and Karavanke (14), and the
246 remaining 13 scattered among the Carnic Prealps, Kamnik-Savinja Alps and Julian Prealps; the
247 majority is distributed between 1,697 m and 2,007 m asl (I and III quartile) with a median elevation
248 of 1,913 m asl. Seven were selected as possibly active protalus ramparts and separately considered
249 in Figure 4b owing to the presence of long-lasting, but not permanent, snowfields as highlighted in
250 the orthophotos and during field campaigns. This could indicate the presence of patches of
251 permafrost allowing the surviving of the snow patch. They are located at the higher altitude ranging
252 between 2,063 m and 2,442 m asl with a median elevation of 2,181 m asl. The possible presence of
253 permafrost was also highlighted through BTS measurements performed in the Carnic Prealps at
254 2,258 m asl. The survey was performed on late February 2013, in an area occupied by 2 protalus
255 ramparts that gave values ranging between -6.6°C/-4.5°C, largely sufficient to hypothesize the
256 presence of permafrost (Figure 7). Our findings seem to be partially in agreement with evidence
257 given by the available alpine permafrost index map (APIM, Figure 7) (Boeckli et al., 2012).

258 Besides active protalus ramparts we also classified 9 active pronival ramparts, located in front of
259 permanent snow/firn bodies and small glacierets, of which 7 in the Julian Alps (Colucci, 2016, in
260 press) and 2 in the Kamnik-Savinja Alps. These ridges produce a damming effect for avalanches
261 which enhance accumulation of winter snow, a significant impact to the local mass balance. The
262 fallen material likely slides and rolls down through these permanent ice bodies representing an
263 additional and still active contribution to the build-up of the ridges, highlighted by the presence of
264 patches of fresh debris deposits mainly over the topographic surface of the glacierets and in the

265 internal side of the ridges. Overall, together with rock glaciers, we inventoried 119 periglacial
266 landforms in the study area.

267

268 Figure 4

269 Main characteristics of the rock glacier and protalus rampart distribution: (a) regional distribution
270 vs altitude: minimum, lower quartile, median, upper quartile and maximum are drawn respectively
271 for relict rock glaciers and protalus ramparts (4 rock glaciers of uncertain activity and 7 protalus
272 rampart selected on the basis of the presence of long-lasting snow patches are depicted only with
273 median, minimum and maximum altitudes); (b) Altitudinal distribution vs glaciers and protalus
274 ramparts aspect (bars indicate the 95% confidence interval); (c) polar diagrams with aspect
275 distribution of both rock glaciers (RG) and protalus ramparts (PR). The 4 lowest rock glaciers
276 located in the Julian Alps are not considered in the statistics.

277

278 **5-Discussion**

279 **5.1 Rock glaciers distribution and estimated age of formation**

280 The altitudinal range of rock glaciers analysed here is the lowest for the southern Alps and
281 comparable with what has been found for relict rock glaciers in the Northern Alps of Austria (1,798
282 m) and in the Austrian Niedere Tauern Range (1,823-1,850 m) (Kellerer-Pirklbauer et al., 2012).

283 Rock glaciers are widespread periglacial landforms in the Alps and the active modern ones are
284 generally seen as indicators of the presence of discontinuous mountain permafrost in areas where
285 the MAAT is < -2 °C (Haeberli, 1985; Barsch, 1996; French, 2007). At present climate, having as
286 reference the spatialized MAAT 1981-2010 (Figure 5 and Figure 6) and the topographic setting, the
287 current distribution of rock glaciers in the Carnic Alps and Prealps entirely falls in areas with
288 $MAAT < 3$ °C and $MAP < 1,700$ mm w.e. On the contrary, in the Julian Alps and Prealps as well as in
289 the Karavanke and Kamnik-Savinja Alps, rock glaciers are located in an area with $MAP > 1,700$ mm
290 w.e. Almost all the rock glaciers were classified as relicts, which represent the local lower limit of

291 permafrost at the time of their decay (e.g., Frauenfelder et al., 2001), although it is well known that
292 rock glaciers may reach lower altitudes compared to the climatic permafrost boundaries (Harris and
293 Pedersen, 1998). Considering that for this alpine sector the results of the study on speleothems
294 reported in Belli et al. (2013) and Frisia et al. (2005) are the best paleoclimatic proxies for the last
295 16,000 years, it is possible to recognize two periods with drier and colder conditions between
296 12.8 ± 0.3 ka and 11.9 ka and between $ca. 10.8 \pm 0.2$ ka and 10.1 ± 0.2 ka. After that time, no periods
297 with considerable colder and drier conditions compared to present days were detected in the
298 southeastern Alps. Therefore, it is reasonable to speculate that these two periods could be the most
299 favorable to have permafrost conditions in our study area and form the rock glaciers. Despite the
300 low number of dated rock glaciers (e.g., Dramis et al., 2003; Stenni et al., 2007, Scapozza et al.,
301 2010) a Younger Dryas (YD) or a Holocenic age of the relict rock glaciers has been suggested
302 (Frauenfelder et al., 2001; Lambiel and Reynard, 2001). Moreover, in the Alps, active rock glaciers
303 are about 400-500 m higher in elevation respect to the relict forms, which correspond to a
304 temperature drop of ca. 2.6 - 3.3 °C (Frauenfelder et al., 2001). Sector S1, highlighted in Figure 5,
305 showed the lowest averaged MAAT (-1.9 ± 0.7 °C) during the YD and the higher rock glaciers fronts
306 altitude ($1,822 \pm 163$ m asl). Here, the highest rock glacier (Tiarfin, Figure 1 and Figure 7) had a
307 MAAT of -2.6 °C with 5 of the 19 rock glaciers having $MAAT \leq -2.0$ °C. Higher MAAT and lower
308 elevation characterize the remaining rock glaciers with MAAT at the front ranging from -1.6 °C to
309 2.1 °C at the YD event. The YD mean annual 0 °C-isotherm is estimated at about 1,400 m asl
310 (Figure 5 and Figure 6). If we still believe that $MAAT < -2$ °C is valid we can hypothesize: i) the
311 south-eastern Alps MAAT cooling during the YD was higher than the assumed -3.5 °C; ii) the
312 local conditions of the rock glaciers allowed permafrost aggradation at MAAT higher than -2.0 °C
313 because of surface characteristics (i.e. balch ventilation , e.g., Harris and Pedersen, 1998) or low
314 radiation conditions (shady positions); iii) these rock glaciers were formed in cold and dry periods
315 older than the YD. The high MAAT for the lowest lying rock glaciers located in the Julian Alps
316 suggest a temperature drop of at least 7.1 - 7.6 °C, which is in accordance with the LGM temperature

317 reconstruction for this sector of the Alps (Kuhlemann et al., 2008) and therefore we hypothesize
318 that these rock glaciers were formed during the LGM.

319

320 Figure 5

321 Maps of MAAT in FVG calculated for the 30 years period 1981-2010, for the LIA and for the YD.
322 Numbers in the legend (upper left) respectively refer to: 1) protalus rampart; 2) rock glacier; 3)
323 1,700 mm w.e.; 4) 1961-2000 MAP contour line (step of 100 mm w.e.); 5) 1,400 m asl contour line;
324 6) MAAT <-2 °C; 7) -2 °<MAAT <0 °C; 8) 0 °<MAAT<3 °C; 9) MAAT>3 °C. S1 is commented
325 in the discussions. (DEM derived by LiDAR survey performed between 2006 and 2009 by Civil
326 Defense of Region FVG; For colour interpretation, please refer to the web version of the article)

327

328 Figure 6

329 Maps of MAAT in Slovenia calculated for the 30 years period 1981-2010, for the LIA and for the
330 YD. Numbers in the legend (upper left) respectively refer to: 1) protalus rampart; 2) rock glacier; 3)
331 1,700 mm w.e.; 4) 1981-2010 MAP contour line (step of 100 mm w.e.); 5) 1,400 m asl contour line;
332 6) MAAT <-2 °C; 7) -2 °<MAAT <0 °C; 8) 0 °<MAAT<3 °C; 9) MAAT>3 °C. Climate data (1 km
333 x 1 km grid data of MAAT and MAP for the period 1981-2010) was provided by Slovenian
334 Environment Agency. DEM 12.5 m (The Surveying and Mapping Authority of the Republic of
335 Slovenia) was used as a base layer. (For colour interpretation, please refer to the web version of the
336 article)

337

338 **5.2 Protalus and Pronival Ramparts**

339 In this inventory, a comparable number of protalus ramparts respect to rock glaciers were
340 recognized, which is uncommon if we look at what was found in other inventories from the Alps
341 (e.g. Scapozza, 2015), where the number of rock glaciers largely prevails. Protalus ramparts situated

342 in the Carnic Alps and Prealps (47% of the total), the drier sector of the examined area, generally
343 follow the same geographical distribution of rock glaciers, whereas more than half of the
344 inventoried protalus ramparts are located in a more maritime area of the Alps where higher
345 precipitation is recorded, but still $< 2500 \text{ mm yr}^{-1}$. Active protalus ramparts are located in the driest
346 areas with a present MAAT between -0.4°C and 2.0°C . The possible presence of permafrost on
347 some of them as enhanced by BTS results should be more related to the local conditions than the
348 regional climate pattern, or even related to the LIA when regional MAAT were 1.7°C lower. The
349 active pronival ramparts observed in the Julian Alps (Figure 2b), on the contrary, at present develop
350 in climatic conditions far to be defined as periglacial, especially owing the high precipitation
351 amount of the area always $> 2,500 \text{ mm a}^{-1}$. They formed at a distance from the talus foot slope $<$
352 $30\text{--}70 \text{ m}$, which is consistent with the definition given by Ballantynes and Benn (1994) in not
353 considering such landforms as moraines. Based on the observed clast roundness of the ridges
354 examined in the field, it is likely that the formation and development of these features is driven by
355 dominating snow-avalanche processes according to Matthews et al. (2011). This fits well with the
356 very high snow precipitation regime (c. 7.0 m of winter snow accumulation at $1,800 \text{ m asl}$) and the
357 high snow avalanche frequency of the analysed area.

358 Moreover, Matthews et al. (2011), analysing a subset of avalanche-derived pronival ramparts in the
359 maritime southwestern area of Norway, found ages for active ramparts ranging from $<2,900 \text{ BP}$
360 years and $<1,550 \text{ BP}$ years, with the oldest ones of YD age. They concluded that these features
361 likely suggest a continued development throughout the Holocene, modulated by variations in snow-
362 avalanche frequency reflecting decadal to millennial-scale climatic variations. Similar features
363 observed in the Julian Alps could thus possibly represent a sort of “average limit” of existence of
364 the already existing glacierets, partially modified in the shape by firn/ice pushing, and modulated by
365 small long-scale climate variability throughout the Holocene, as highlighted by Frisia et al. (2005)
366 and Belli et al. (2013) for the southeastern Alps.

367

368 Figure 7

369 Tiarfin area (“x” in Figure 1b) with the area where BTS measurements have been performed
370 (rectangle) and the range of BTS measurements. The composite rock glacier flowing SE to NW is
371 contoured by a black thin line. Some protalus ramparts possibly active converge towards the rock
372 glacier, two of them in the BTS area. The Alpine permafrost index map (APIM) of the study area,
373 freely available at <http://www.geo.uzh.ch/microsite/cryodata/> (last accessed on 6 October 2015), is
374 superimposed to the hillshade of Tiarfin area. The legend on the right indicates the probability of
375 permafrost occurrence (For colour interpretation, please refer to the web version of the article).

376

377 **6 Conclusions**

378 The revised inventory of rock glaciers in the southeastern Alps accounts for 53 such landforms.
379 They cover a total area of 3.45 km² and have been classified as mostly relict, with only 4 rock
380 glaciers of uncertain activity. Under the present climate, they are mainly located in the dryer area of
381 this alpine sector where MAAT < 3 °C (present periglacial domain). The relict rock glaciers seem to
382 be related to the YD cold phase, but we cannot exclude an older age for some. The inventory of
383 protalus and pronival ramparts shows the occurrence of 66 landforms. Seven protalus ramparts,
384 characterized by the presence of long-lasting snow fields, highlighted the possible presence of
385 permafrost patches. Nine active pronival ramparts, owing the presence of still existing permanent
386 ice patches and glacierets, are located in the more maritime area of the region with high MAP.
387 While the onset and decay of rock glaciers seem to be related to the YD cold phase and the
388 subsequent climate amelioration of the early Holocene, the pronival ramparts of the Julian and
389 Kamnik-Savinja Alps could have continuously developed during the entire Holocene and from the
390 YD, modulated by the normal climate variability affecting the size and the shape of the glacierets.
391 The exact chronology of the beginning and evolution of such periglacial forms in the southeastern
392 Alps is still not well known, and there are only some working hypotheses that need to be clarified in

393 the coming years. The presence of patches of permafrost in equilibrium with the present climate is
394 another important aspect that is currently under investigation.

395

396 **Author Contribution**

397 RRC and MG initiated this research. CB elaborated the raw LiDAR data and set the semi
398 automatic routines for the morphometric analysis. RRC, MG and MŽ interpreted the results and
399 recognized the landforms in GIS environment. RRC, CB and MŽ made the field observations. RRC
400 made the BTS measures. RRC, CB and MŽ prepared and analyzed the climatological and
401 palaeoclimatological maps. RRC, MG and MŽ wrote the manuscript.

402

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411

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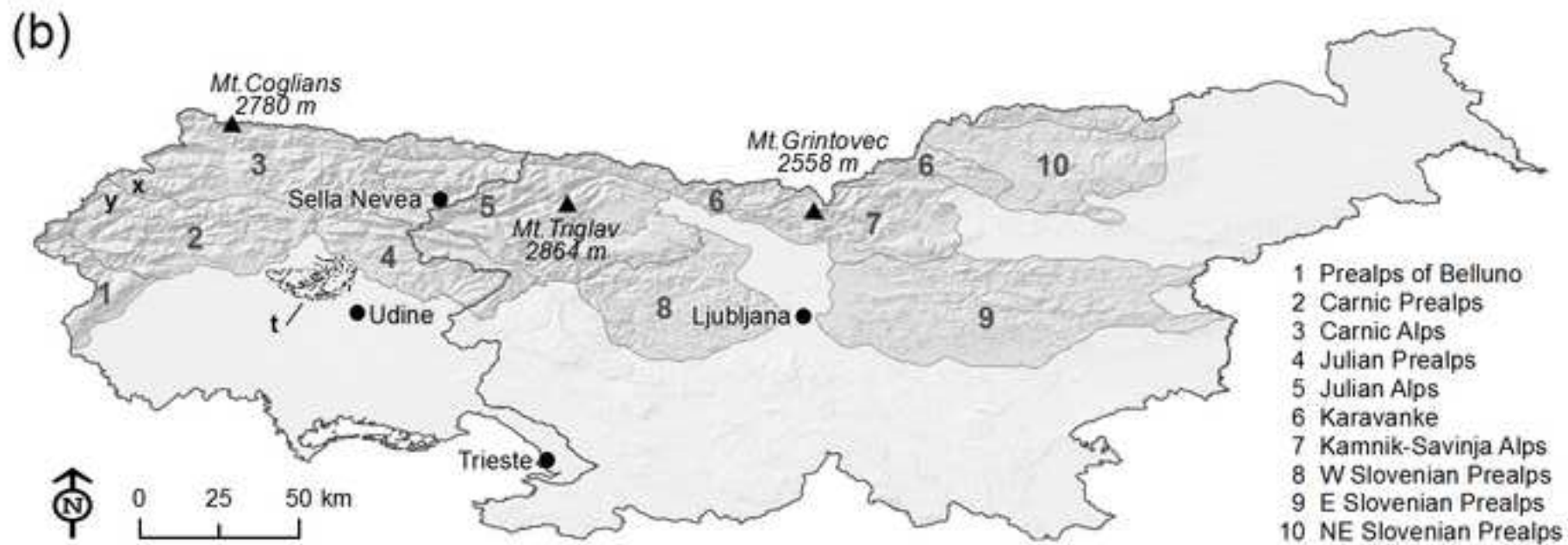
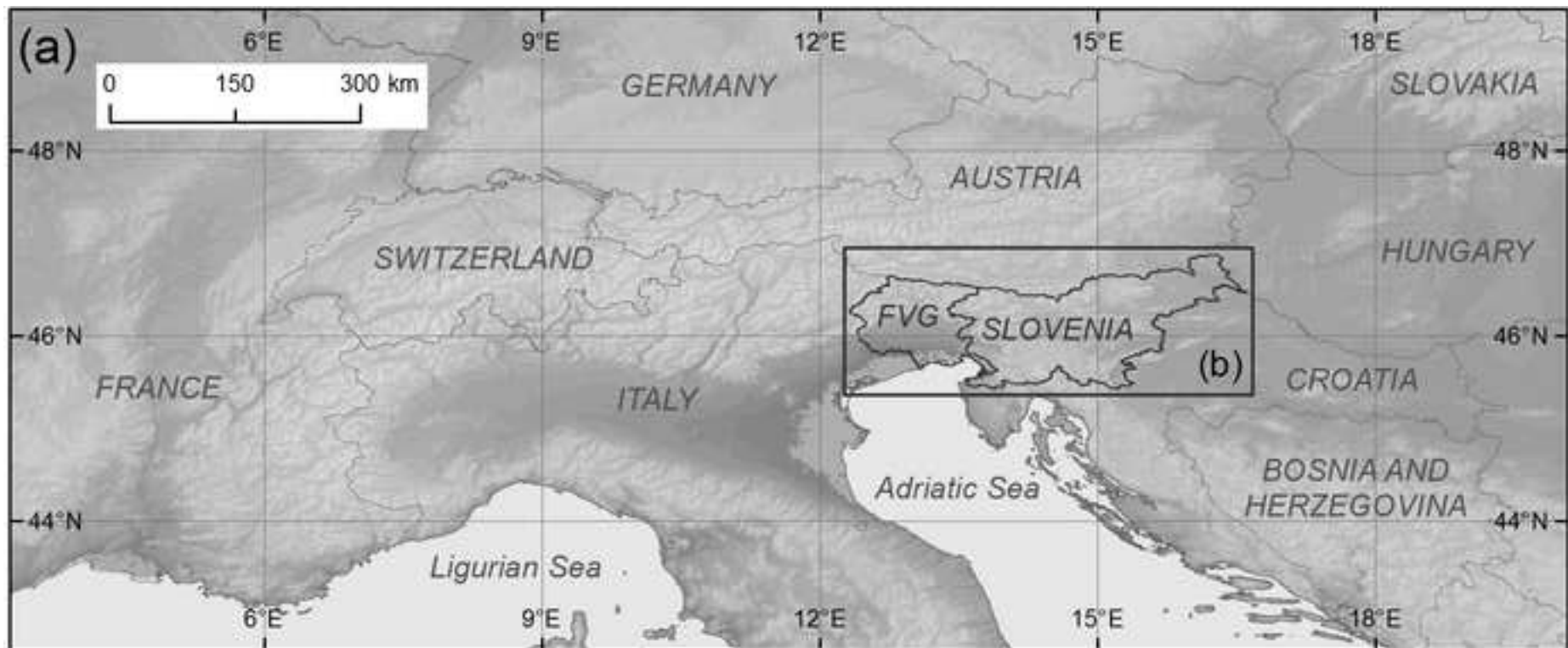


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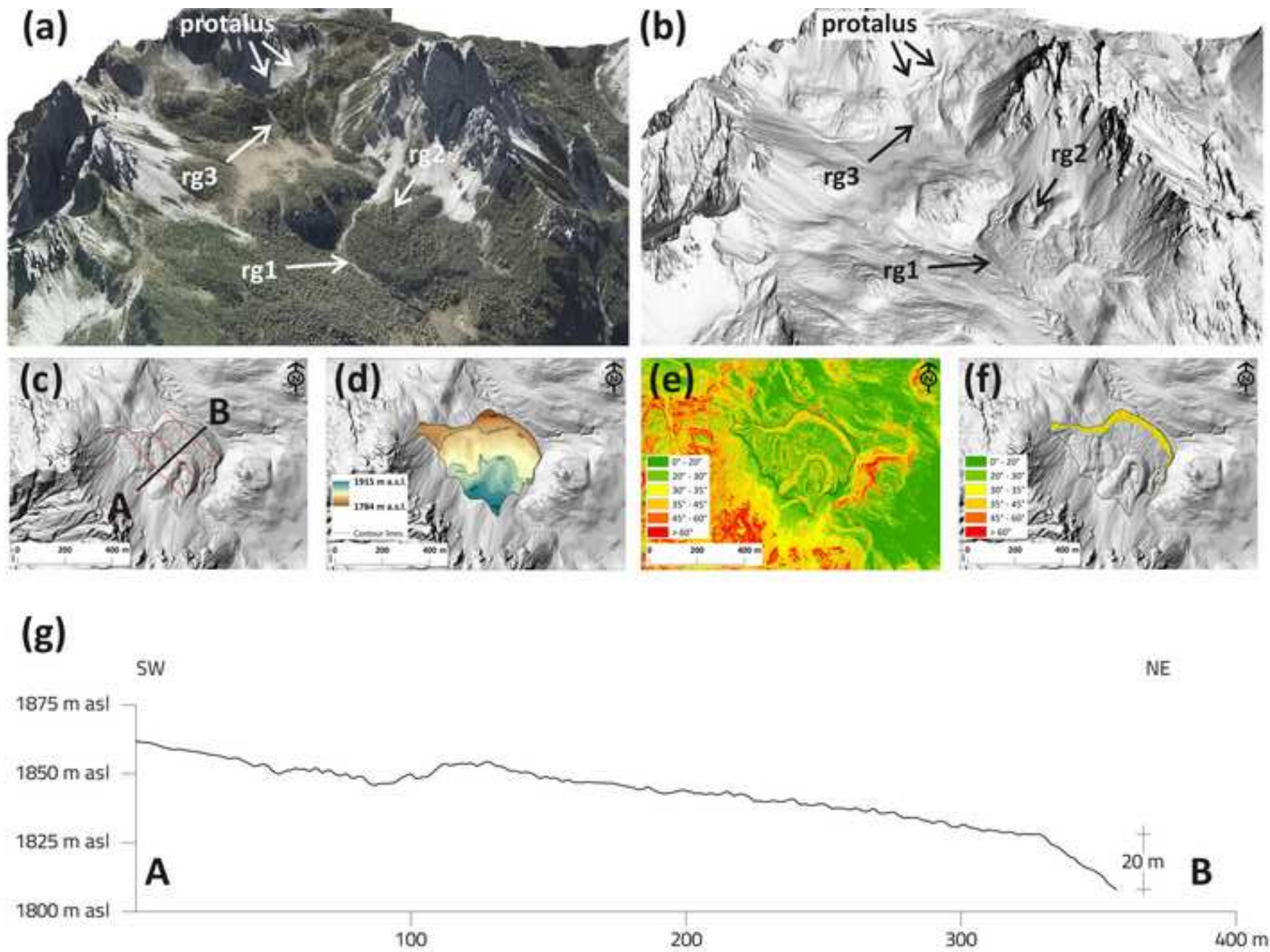


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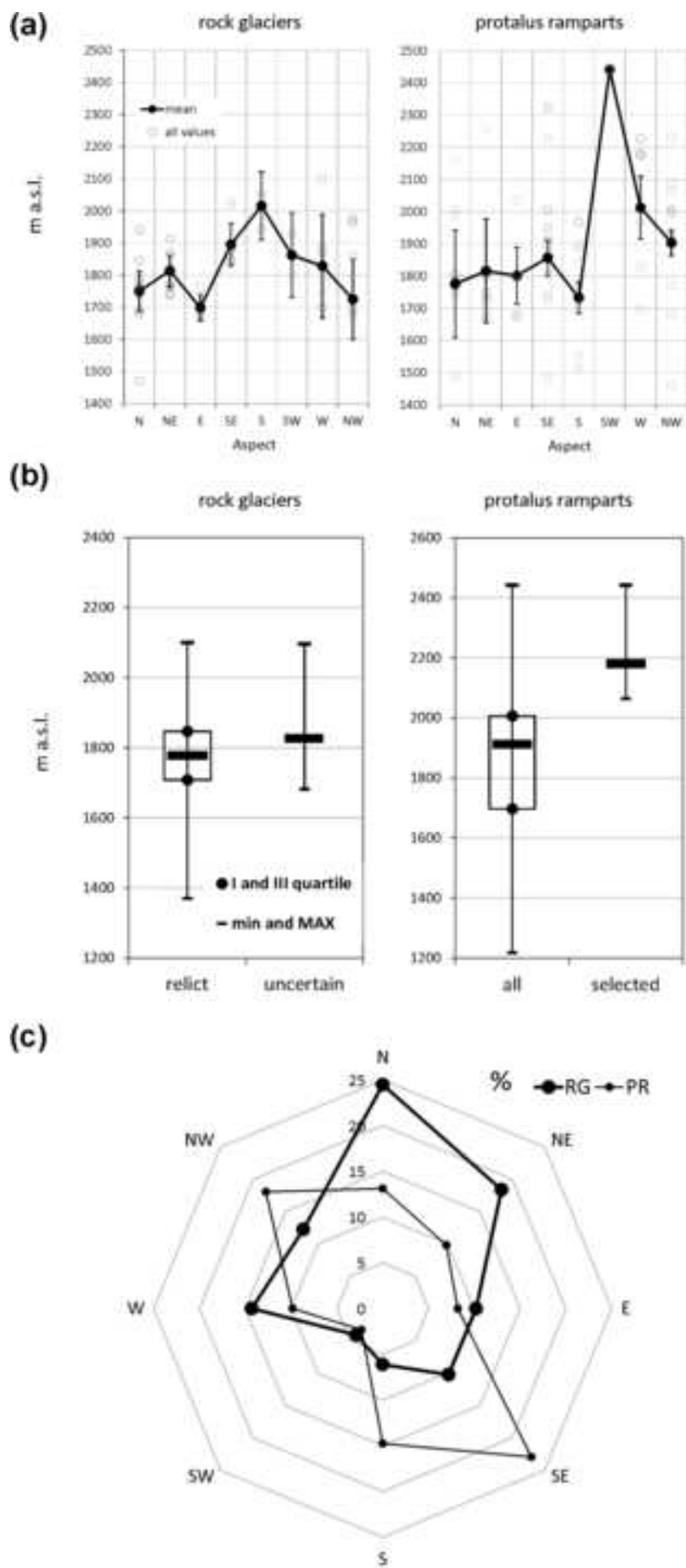


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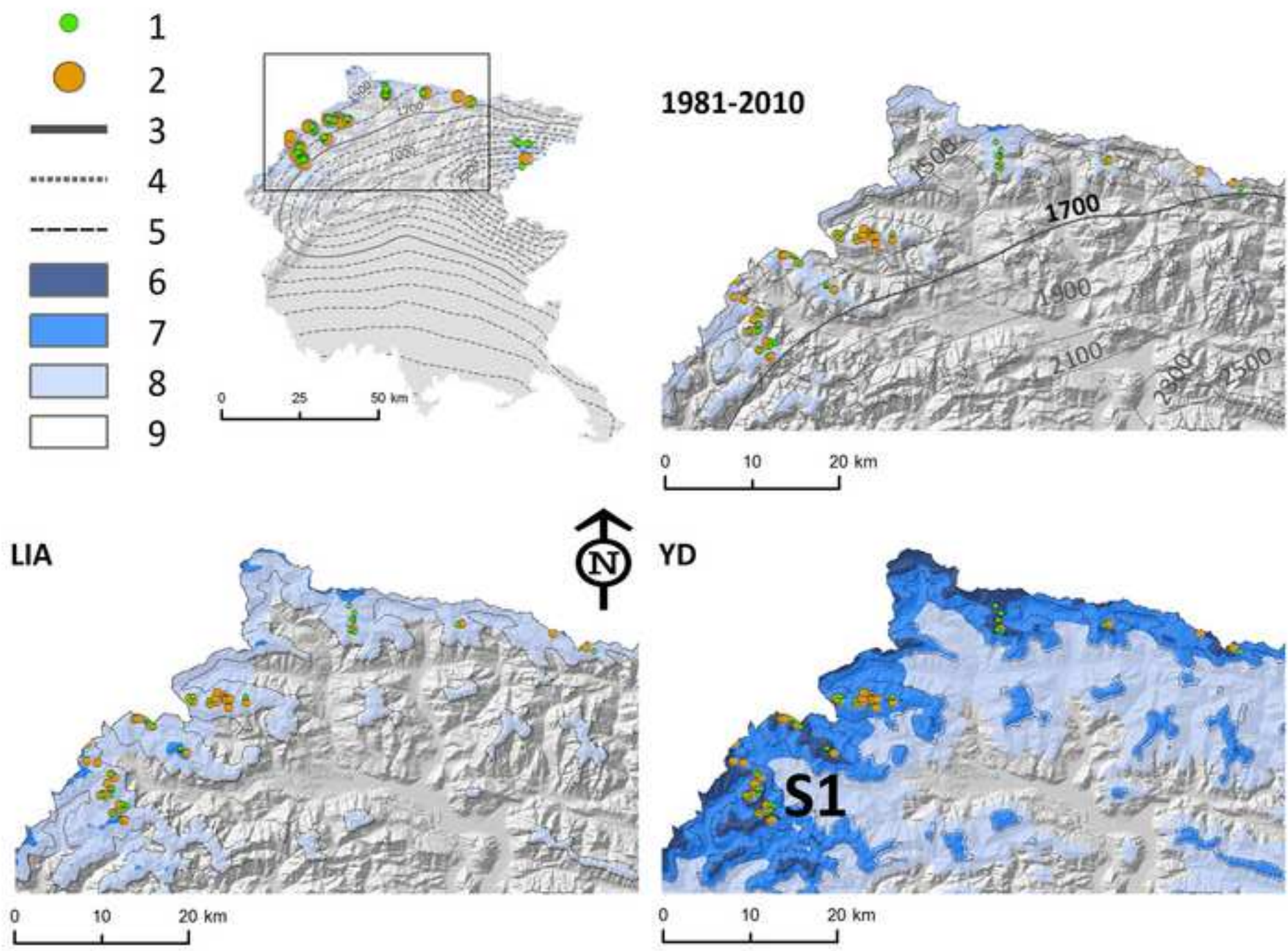


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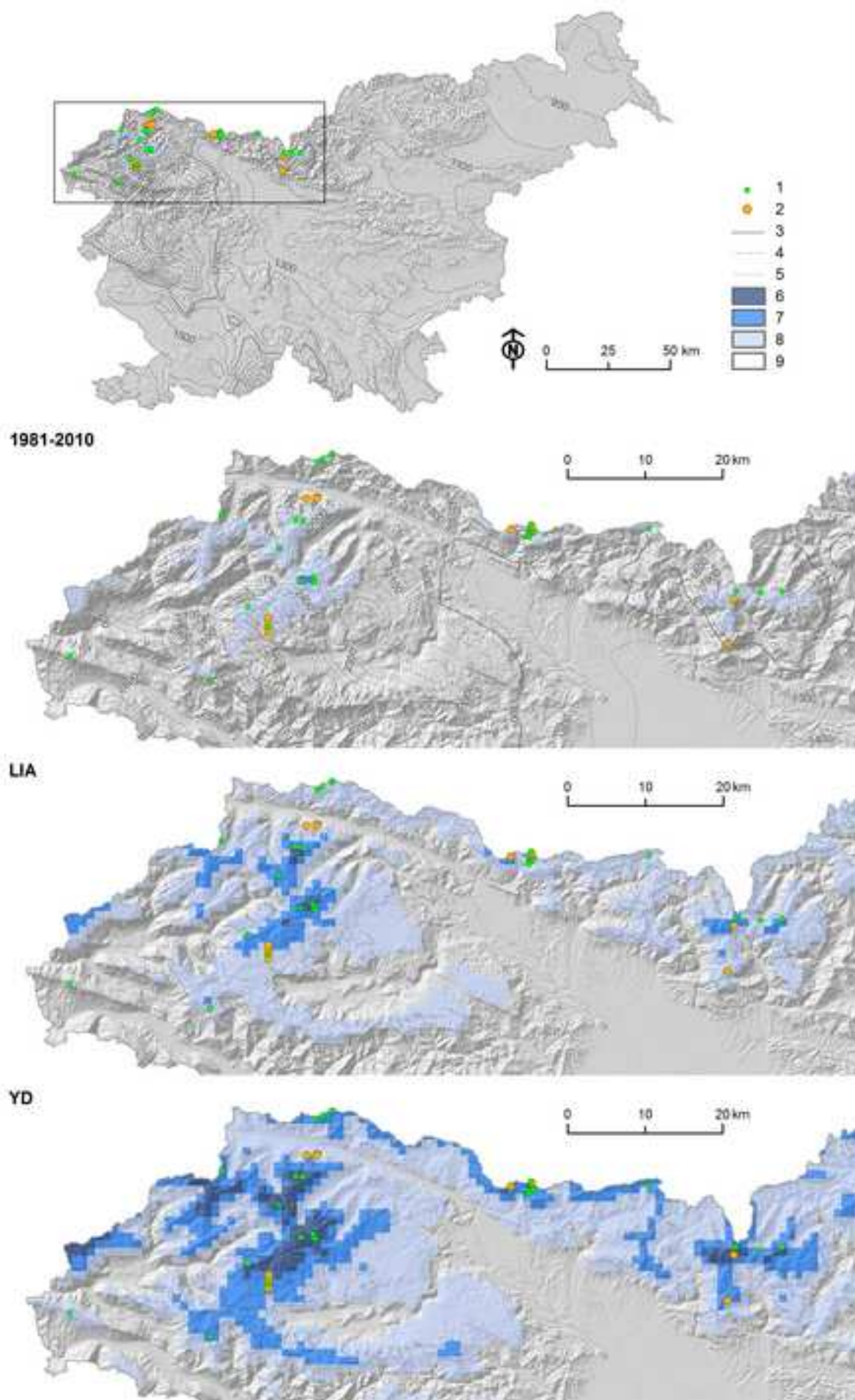


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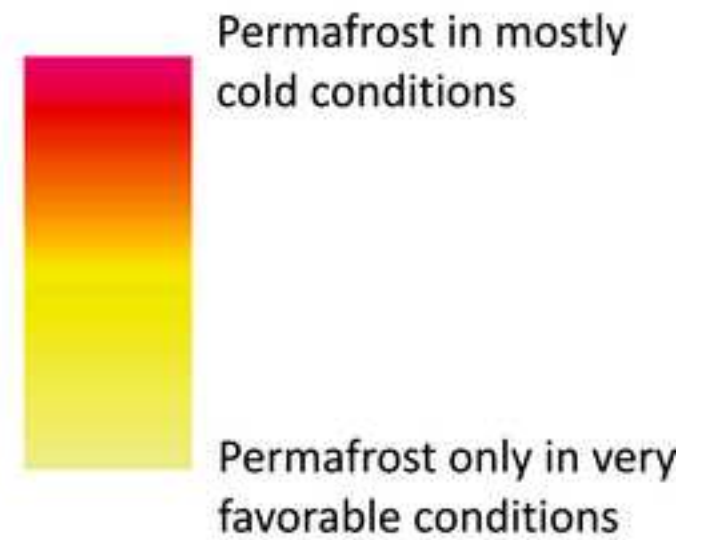
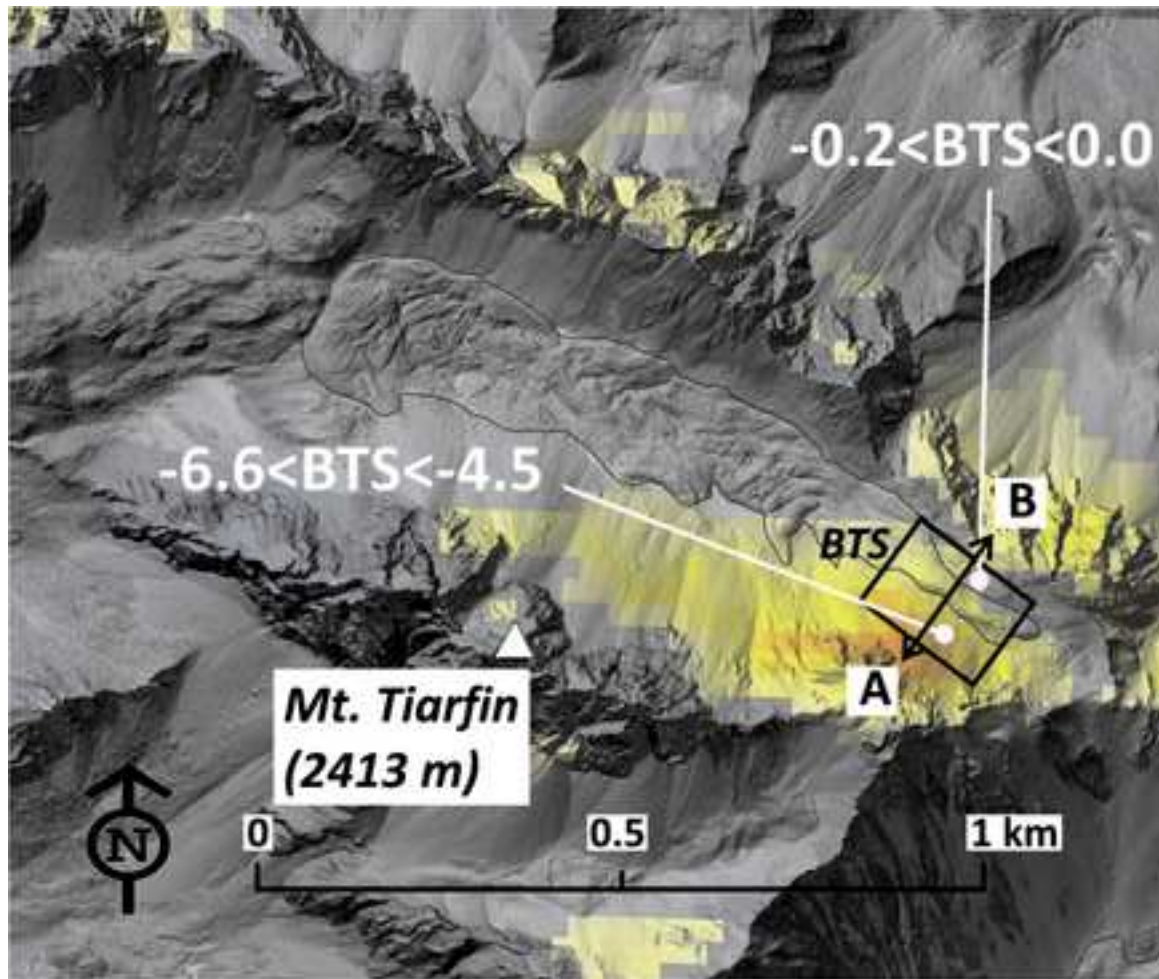




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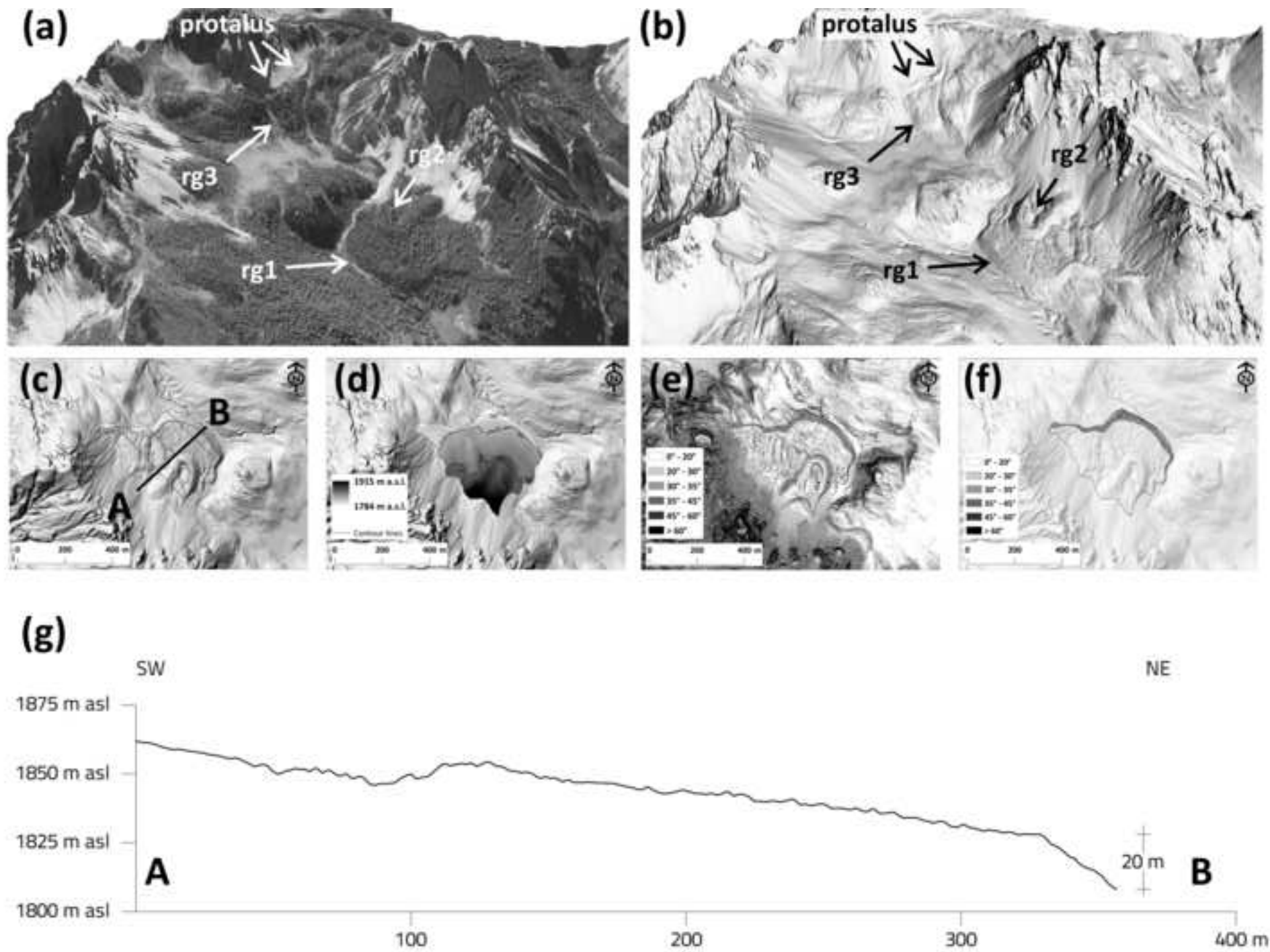


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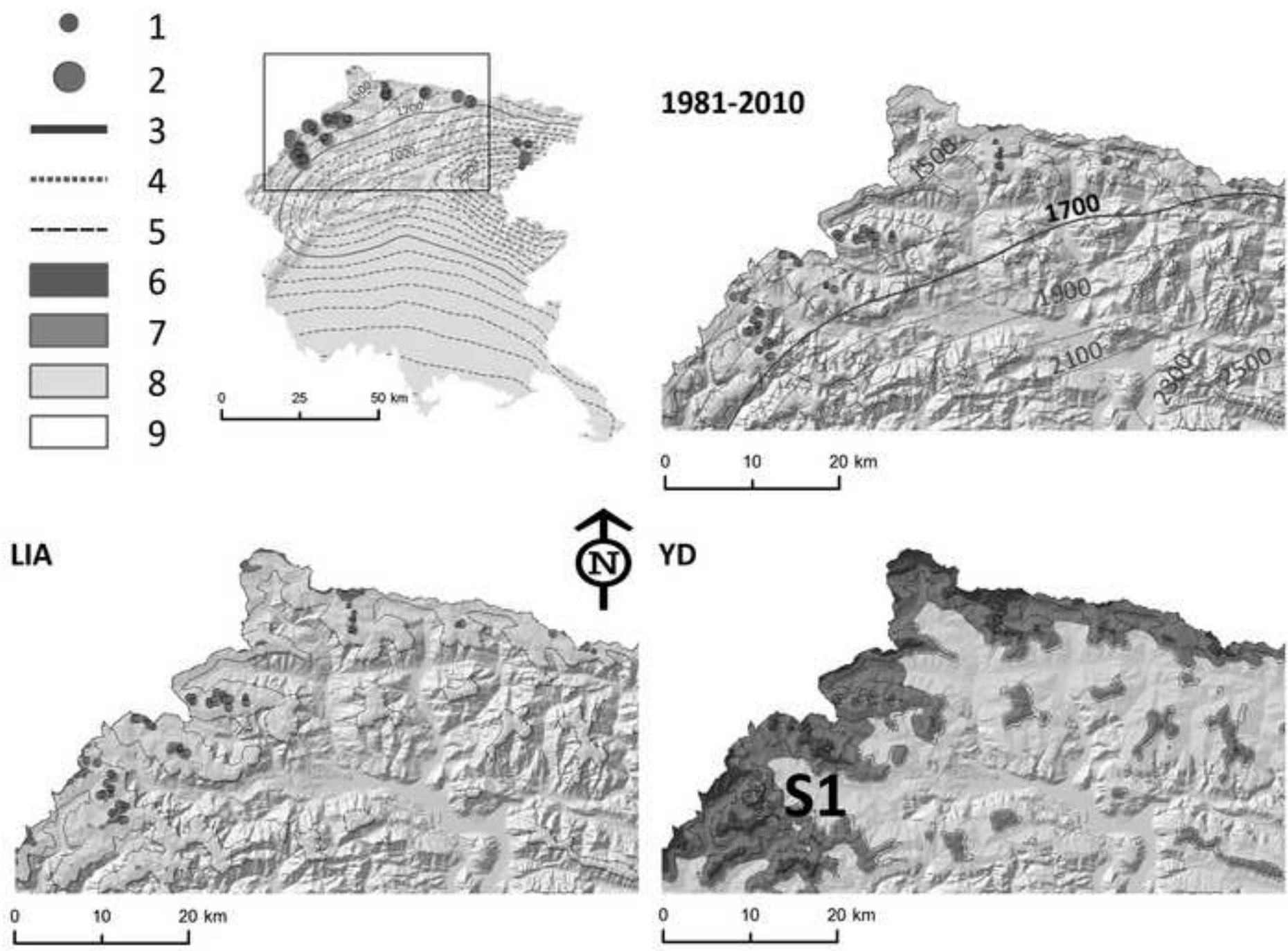


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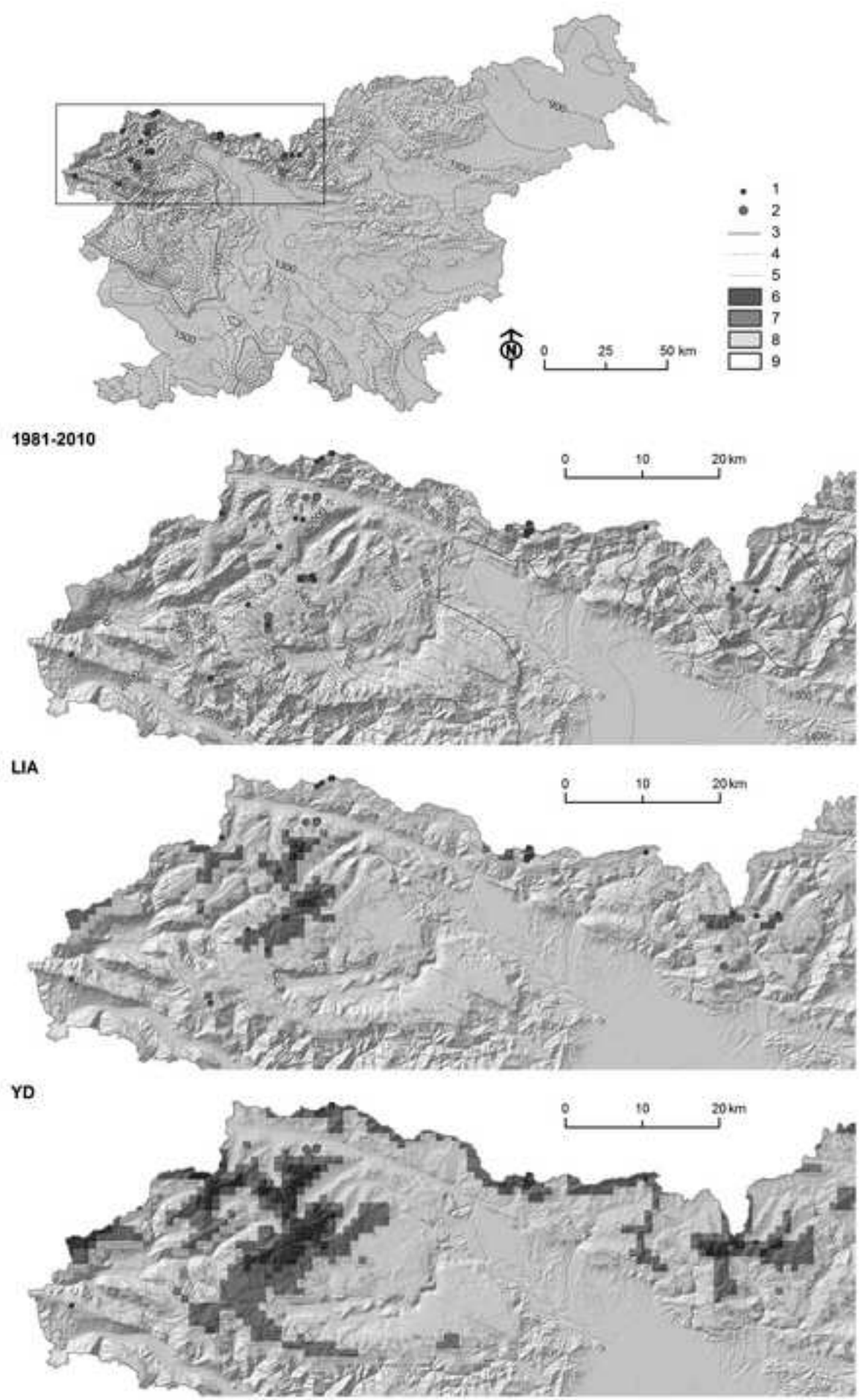


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