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Heterogeneity of obesity-asthma association disentangled by latent class analysis, the SAPALDIA cohort

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1 **Heterogeneity of obesity-asthma association disentangled by latent class**  
2 **analysis, the SAPALDIA cohort**

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14 **Keywords:** asthma; obesity; body fat; asthma heterogeneity; epidemiology

15

16 **Abstract**

17 Although evidence for the heterogeneity of asthma accumulated, consensus for definitions of  
18 asthma phenotypes is still lacking. Obesity may have heterogeneous effects on various asthma  
19 phenotypes. We aimed to distinguish asthma phenotypes by latent class analysis and to  
20 investigate their associations with different obesity parameters in adults using a population-  
21 based Swiss cohort (SAPALDIA).

22 We applied latent class analysis to 959 self-reported asthmatics using information on disease  
23 activity, atopy, and age of onset. Associations with obesity were examined by multinomial  
24 logistic regression, after adjustments for age, sex, smoking status, educational level, and study  
25 centre. Body mass index, percent body fat, waist hip ratio, waist height ratio, and waist  
26 circumference were used as obesity measure.

27 Four asthma classes were identified, including persistent multiple symptom-presenting asthma  
28 (n = 122), symptom-presenting asthma (n = 290), symptom-free atopic asthma (n = 294), and  
29 symptom-free non-atopic asthma (n = 253). Obesity was positively associated with symptom-  
30 presenting asthma classes but not with symptom-free ones. Percent body fat showed the  
31 strongest association with the persistent multiple symptom-presenting asthma.

32 We observed heterogeneity of associations with obesity across asthma classes, indicating  
33 different asthma aetiologies.

#### 34 **Introduction**

35 Asthma is a highly heterogeneous disease with common pathophysiological features including  
36 airway hyperresponsiveness and airway inflammation but also with divergent features  
37 distinctive of asthma subtypes (1). Non-eosinophilic asthma, characterized by an absence of  
38 eosinophils in the airway inflammation, differs from eosinophilic asthma in many aspects (2).  
39 Non-eosinophilic asthma is more likely to be refractory to corticosteroid therapy and to be  
40 non-atopic, whereas epithelial hyperplasia or hypertrophy occurs only in the eosinophilic  
41 subtype. This indicates that the variable phenotypes presumably have distinct aetiologies.  
42 Recent findings from the Genome Wide Association Studies (GWAS) also suggest that early-  
43 onset asthma has distinct genetic risk factors in comparison to the late-onset subtype (3).  
44 Distinguishing asthma phenotypes allows for the examination of the aetiology and  
45 pathobiology of the disease and may also contribute to a better prediction of disease  
46 progression and more targeted therapies.

47 Previous studies reported association between obesity and incident asthma (4-7). However,  
48 few studies were designed so that obesity preceded true asthma onset. Asthma can often be  
49 unnoticed or undiagnosed for a while. This hinders ensuring that obesity precedes the true  
50 incidence of asthma. Therefore, despite the accumulated reports on the association, causality  
51 remains inconclusive.

52 While body mass index (BMI) is the most widely used obesity measure, it might not be the  
53 optimal measure regarding its role in pathophysiology for respiratory diseases such as asthma.  
54 BMI cannot distinguish fat mass from muscular mass, and hence cannot capture one of the  
55 most important features of obesity – body fat distribution. Moreover, the relationship between  
56 obesity and asthma may be heterogeneous across different asthma phenotypes (8-11).

57 Latent class analysis (LCA) has been successfully applied to distinguish asthma phenotypes  
58 (12-15). LCA is a method to analyse the relationships among manifest variables, assuming  
59 some unobserved categorical variables (16). In this study, we applied LCA to distinguish  
60 asthma phenotypes.

61 We examined the association between a variety of obesity measures – BMI, percent body fat  
62 (PBF), waist circumference (WC), waist hip ratio (WHR), waist height ratio (WHtR) – and  
63 different asthma classes found by LCA, utilizing the Swiss Cohort Study on Air Pollution and  
64 Lung and Heart Diseases in Adults (SAPALDIA).

65

## 66 **Methods**

### 67 **Study population**

68 The Swiss Cohort Study on Air Pollution and Lung and Heart Diseases in Adults  
69 (SAPALDIA) was initiated in 1991 (SAPALDIA1), recruiting 9651 adults aged 18 to 62  
70 years (17). 8047 subjects from the initial cohort participated in the first follow-up in 2001-3  
71 (SAPALDIA2) (18) and 6088 subjects in the second follow-up in 2010-11 (SAPALDIA3). At  
72 each survey, participants underwent a spirometry examination and a detailed in-person  
73 interview on respiratory health and risk factors. The subjects who participated at baseline and  
74 at least in one follow-up were included in this study (Figure 1). Ethical approval was obtained  
75 from the Swiss Academy of Medical Sciences and the regional committees for each study  
76 centre.

### 77 **Asthma definition**

78 Subjects were considered to be asthmatic if they answered ‘yes’ to the question ‘Have you  
79 ever had asthma?’ either at baseline or in the first or the second follow-up (n = 1094). After  
80 exclusion of asthmatics with missing information for skin prick test, self-reported nasal  
81 allergy, or age of asthma onset (n = 135), LCA was applied to 959 asthmatics. As a sensitivity  
82 analysis, we used physician-diagnosed asthma, restricting the sample to 677 asthmatics if they  
83 answered ‘yes’ to both questions ‘Have you ever had asthma?’ and ‘Was this confirmed by a  
84 doctor?’ either at baseline or in the first or the second follow-up. In an additional sensitivity  
85 analysis, we restricted LCA to those who reported either asthma attack in the last 12 months  
86 or current asthma medication at least once from baseline to the second follow-up (n = 472).

### 87 **Obesity measures**

88 We examined five obesity measures including body mass index (BMI; weight in kilograms  
89 divided by the square of height in meters), percent body fat (PBF), waist hip ratio (WHR),  
90 waist circumference (WC), and waist height ratio (WHtR) in SAPALDIA3. Height was  
91 measured in SAPALDIA1, 2, and 3. Weight was asked in SAPALDIA1 and measured in  
92 SAPALDIA2 and 3. Waist and hip circumference were measured in SAPALDIA3. Bioelectric  
93 impedance was measured in SAPALDIA3 using the device Helios (Helios, Forana, Frankfurt,  
94 Germany). Fat-free mass was derived from the measured resistance and reactance using the  
95 formula of Kyle et al (19). Fat mass was then computed as the difference between body  
96 weight and estimated fat-free mass. PBF was defined as the ratio of fat mass to body weight  
97 in percent.

#### 98 **Clustering asthma classes using LCA**

99 Seven variables were chosen as manifest variables to reflect different aspects of asthma  
100 phenotypes: 1) asthma attack in the last 12 months (yes or no). SAPALDIA3 information on  
101 current asthma attack, current asthma medication, and current asthma symptoms was given  
102 priority and then complemented with the information from SAPALDIA2 for those who did  
103 not participate in SAPALDIA3; 2) current asthma medication (yes or no); 3) number of  
104 asthma symptoms in the last 12 months (no symptoms, one or two symptoms, or more than  
105 two symptoms). Five typical respiratory symptoms were considered: breathless while  
106 wheezing, chest tightness, shortness of breath at rest, shortness of breath after exercise, and  
107 woken by shortness of breath at night. The asthma symptom variables were constructed by  
108 counting positive answers across five symptoms and throughout study follow-ups, regardless  
109 the number of non-missing answers; 4) number of asthma symptoms repeatedly reported from  
110 baseline to the second follow-up (no persistent symptoms, one or two persistent symptoms, or  
111 more than two persistent symptoms); 5) atopy defined by positive skin prick test at baseline  
112 (yes or no), identified by an adjusted mean wheal diameter  $\geq 3$  mm to at least one of eight  
113 common allergens (cat fur, dog epithelia, house dust mite (*Dermatophagoides pteronyssinus*),  
114 timothy grass pollen, birch pollen, *Parietaria* pollen, and the moulds *Alternaria* and  
115 *Cladosporium*) (18, 20); 6) nasal allergy including hay fever reported at least once from  
116 baseline to the second follow-up (yes or no); 7) age of asthma onset  $\geq 16$  or  $< 16$  years (late or  
117 early onset), following Moffatt et al (3). The cut-off of 16 years is the time around which boys  
118 and girls attain puberty and around puberty gender disproportionate incidence rates reverse  
119 from male to female preponderance.

120 LCA was applied to asthmatics with non-missing information on allergy and age of onset (n =  
121 959). For asthma attack in the last 12 months and current asthma medication, subjects with  
122 missing information were assumed to be negative. In order to find the appropriate number of  
123 latent classes, models were fitted with 2 to 8 latent classes. The best number was selected  
124 primarily based on the Bayesian information criterion (BIC) while the prevalence of classes  
125 was also considered. Without compromising too much on BIC, the number of latent classes  
126 resulting in more evenly distributed classes was chosen. Each subject was assigned to the  
127 latent class with the highest posterior probability.

128 A descriptive analysis was conducted by examining distributions across LCA-derived asthma  
129 classes of age, sex, obesity, education level, smoking status, physical activity, high-sensitive  
130 C-reactive protein (hs-CRP) level, airway obstruction, and lung function at baseline including  
131 forced expiratory volume in one second (FEV<sub>1</sub>) as percentage of the predicted, forced vital  
132 capacity (FVC) as percentage of the predicted, FEV<sub>1</sub>/FVC, forced expiratory flow between 25%  
133 and 75% of FVC (FEF<sub>25-75</sub>) as percentage of the predicted, and bronchial hyperresponsiveness.  
134 hs-CRP was measured at SAPALDIA2. Extreme hs-CRP values, i.e. higher than 10 mg/L,  
135 were excluded. Airway obstruction was defined as FEF<sub>1</sub>/FVC < 0.7 according to the Global  
136 Initiative for Chronic Obstructive Lung Disease (GOLD) (21). BHR was defined by 20%  
137 decline in FEV<sub>1</sub> on methacholine challenge, taking saline as reference. Lung function  
138 measurements were obtained using pre-bronchodilator spirometry as previously described  
139 (17). The predicted values for FEV<sub>1</sub>, FVC, and FEF<sub>25-75</sub> were obtained using Brändli et al  
140 equations (22, 23).

#### 141 **Obesity-asthma association examined by multinomial logistic regression**

142 LCA-derived asthma classes and non-asthmatics as reference were regressed on one of the  
143 five different obesity measures, adjusting for age, sex, smoking status, education level and  
144 study centre. To enable comparison across different obesity measures, odds ratios (OR) were  
145 computed for 1 standard deviation (SD) increase. For interpretation purposes, we also  
146 reported ORs for overweight or obesity, following commonly used categorisation (Table S2).  
147 Men were classified as obese if BMI  $\geq 30$  kg/m<sup>2</sup>, WHR  $\geq 1.0$ , WC  $\geq 102$  cm, or WHtR  $\geq 0.6$   
148 and as overweight if BMI  $\geq 25$  kg/m<sup>2</sup>, PBF > 25%, WHR  $\geq 0.9$ , WC  $\geq 94$  cm, or WHtR  $\geq 0.5$   
149 but not obese. Women were classified as obese if BMI  $\geq 30$  kg/m<sup>2</sup>, WHR  $\geq 0.85$ , WC  $\geq 88$  cm,  
150 or WHtR  $\geq 0.6$  and as overweight if BMI  $\geq 25$  kg/m<sup>2</sup>, PBF > 32%, WHR  $\geq 0.8$ , WC  $\geq 80$  cm,  
151 or WHtR  $\geq 0.5$  but not obese. Although PBF higher than 25% for men and 32% for women is  
152 generally considered overweight, the consensus for optimal cut-offs of PBF is lacking.

**153 Additional analyses**

154 In an attempt to examine the effect of chronic exposure to obesity, a multinomial logistic  
155 regression model was fitted to the stably overweight participants defined as being overweight  
156 ( $BMI \geq 25 \text{ kg/m}^2$ ) from baseline to the second follow-up. Another sensitivity analysis was  
157 conducted, restricting to physically active participants. Subjects were defined as physically  
158 active if they reported either moderate physical activity  $\geq 150$  minutes/week, vigorous  
159 physical activity  $\geq 60$  minutes/week, or combined duration (duration of moderate physical  
160 activity +  $2 \times$  duration of vigorous physical activity)  $\geq 150$  minutes/week. Information on  
161 physical activity was obtained from four questions assessing frequency and duration of  
162 moderate and vigorous activities (24).

**163 Statistical software**

164 All analyses were conducted using R 3.1.3 (25). In particular, R packages poLCA (26) and  
165 nnet (27) were used for the LCA and multinomial logistic regression, respectively.

166

**167 Results****168 Four asthma classes identified by LCA**

169 Although five classes resulted in slightly better BIC, the model with four classes was chosen  
170 due to more evenly distributed class membership (Table S1). The LCA with four classes  
171 distinguished persistent multiple symptom-presenting asthma (class 1,  $n = 122$ ), symptom-  
172 presenting asthma (class 2,  $n = 290$ ), symptom-free atopic asthma (class 3,  $n = 294$ ), and  
173 symptom-free non-atopic asthma (class 4,  $n = 253$ ). Class 1 was characterized by a high  
174 probability of experiencing an asthma attack in the last 12 months, currently being on asthma  
175 medication, and having persistent asthma symptoms (Table 1). Class 1 subjects were more  
176 likely to have late-onset asthma. Class 2 was characterized by having one or two persistent or  
177 current asthma symptoms. Class 3 and class 4 were characterized by experiencing neither  
178 current nor persistent asthma symptoms and were distinguished mainly by atopy and nasal  
179 allergy: class 3 subjects were more likely to have atopy and nasal allergy, whereas class 4  
180 subjects were predominantly non-atopic and less likely to have nasal allergy. Contrasts in skin  
181 prick test were stronger than contrasts in nasal allergy self-report.

182 The distribution of age, sex, obesity, education level, smoking status, and physical activity  
183 did not differ much between the four classes, except that women are over-represented in

184 class 1 (Table 2 and S3). Bronchial hyperresponsiveness (BHR) at baseline was more  
185 prevalent in class 1, 2 and 3 than in class 4.

186 Notably, class 1 and 2 showed higher prevalence of airway obstruction. For class 1 and 2,  
187 airway obstruction was already observed at baseline. FEV1% predicted, FEV1/FVC ratio, and  
188 FEF<sub>25-75%</sub> predicted were lower in comparison to classes 3 and 4. FVC% predicted did not  
189 differ much by asthma classes.

190 The sensitivity analyses, applying LCA to 768 asthmatics who participated in the second  
191 follow-up, or restricting LCA to 677 physician-diagnosed asthmatics, resulted in similar class  
192 membership (Table S4; Kappa > 0.9 for both). When restricted to 472 asthmatics who ever  
193 reported either asthma attack in the last 12 months or current asthma medication, LCA could  
194 not distinguish atopic and non-atopic classes among the symptom-free asthmatics (Table S4;  
195 Kappa > 0.3). Instead, the symptom-presenting asthma (class 2) was further differentiated into  
196 atopic and non-atopic classes. In any case, the class with highest probability of multiple  
197 persistent symptoms similar to the class 1 again showed a stronger association with obesity  
198 compared to any other classes (data not shown).

#### 199 **Heterogeneity of obesity-asthma association**

200 Multinomial logistic regression models were fitted to the four LCA-derived asthma classes  
201 with non-asthmatics as reference. Participants with any missing values in the five obesity  
202 measures were excluded (Figure 1). Among the five obesity measures examined as continuous  
203 determinants, BMI, PBF, WC and WHtR showed a significant association with class 1  
204 (Table 3). PBF showed the strongest association (OR = 1.63 (95% confidence interval (CI):  
205 1.21 - 2.20) for 1 SD increase) and further adjustment for BMI did not attenuate this (OR =  
206 1.57 (95% CI: 0.96 - 2.56)). These results imply that in our sample 1% higher PBF is  
207 associated with a 6.1% increased risk of having the class 1 if BMI remains the same. For  
208 class 2, all five obesity measures showed a significant positive association. Interestingly, the  
209 associations of PBF, WC and WHtR to class 2 became stronger when adjusted for BMI. None  
210 of the five obesity measures showed a significant positive association to symptom-free asthma  
211 (classes 3 and 4). WHR was even negatively associated with class 4. Interaction analyses  
212 suggested a gender difference in the positive association of obesity with class 1 and the  
213 association to be stronger in men, but the results were inconsistent across different obesity  
214 measures (data not shown).



215 Being obese showed a positive association with classes 1 and 2 irrespective of the parameter  
216 used for classification (BMI, WHR, WC or WHtR) (Table S5). Being overweight defined by  
217 PBF showed strong positive associations with classes 1 and 2, in comparison with being  
218 overweight defined by other obesity measures.

#### 219 **Stronger association among the stably overweight**

220 When the analysis was restricted to participants who were stably overweight ( $\text{BMI} \geq 25 \text{ kg/m}^2$ )  
221 from baseline to the second follow-up, the association of PBF with persistent multiple  
222 symptom-presenting asthma increased (OR = 2.45 (95% CI 1.15 - 5.21)) (Figure 2 and Table  
223 S6). This corresponds to saying that among the stably overweight, 1% higher PBF is  
224 associated with a 12.4% increased risk of having class 1. BMI, WC and WHtR also showed a  
225 stronger association to class 1 when restricted to the stably overweight, but not as pronounced  
226 as for PBF. This restricted analysis did not lead to much increase in ORs for class 2.

227 When the analyses were restricted to physically active participants, the associations were not  
228 altered (Table S7).

229

#### 230 **Discussion**

231 LCA enabled us to identify asthma sub-phenotypes in an agnostic way, with a priori selected  
232 relevant characteristics taken into consideration. Simple classification, for example by  
233 creating a contingency table, would suffer from low power, given the large number of  
234 characteristics to consider. Unlike such simple classification, LCA reveals the co-occurrence  
235 and importance in distinguishing classes over multiple characteristics. The LCA-derived  
236 asthma classes were distinguished mostly by disease activity and atopic status. Our  
237 multinomial logistic regression analyses showed that obesity was associated with symptom-  
238 presenting asthma classes but not with symptom-free ones, indicating they may indeed have  
239 different aetiologies. Associations were consistently strongest for PBF and the highest odds  
240 ratios were observed for the association between PBF and class 1 asthma sub-phenotype.

241 Class 1 represented relatively severe and presumably poorly controlled asthma. Subjects of  
242 this class are also more likely to have late-onset, non-atopic asthma and to be female. This  
243 finding is in line with results from earlier studies aiming to identify asthma sub-phenotypes by  
244 applying various clustering methods (13, 28-30). In contrast to the previous clustering studies,  
245 we did not identify age of disease onset to be a key differentiating factor. However,

246 categorization of age-of-onset by 16 years cut-off may not be the optimal way to assess.  
247 A recent SAPALDIA study showed that gender difference in asthma incidence attenuated in  
248 late adulthood (31) and menopause has been associated with asthma phenotypes (32). It  
249 would be interesting to investigate asthma that manifests later in adulthood as potentially a  
250 separate phenotype or to examine if the association to obesity changes around menopause, but  
251 limited number of observations did not allow such additional analysis. Our analyses revealed  
252 the strongest association of obesity with class 1, pointing to a distinct asthma entity both from  
253 a clinical and an aetiological perspective. Although this study assessed self-reported ever  
254 asthma, possibly including the asthmatics whose childhood asthma had grown out, class 1 was  
255 also identified when LCA was restricted to those who reported either asthma attack or  
256 medication during the time of SAPALDIA follow-up and showed the strongest association  
257 with obesity.

258 Most obesity measures examined in this study showed a positive association with the  
259 symptom-presenting asthma classes. Comparing the OR for 1 SD increase, PBF had the  
260 strongest association with class 1, suggesting that PBF captures the effects of adiposity on  
261 respiratory health better than BMI, confirming the limitation of BMI to be used as health-  
262 relevant obesity measure. In recognition of the limitation of BMI, Fenger et al examined  
263 various obesity measures in relation to asthma (8) and lung function (33), although they did  
264 not report any specific measure being superior to BMI. Wang et al showed stronger  
265 association of asthma to PBF than to BMI among children (34). Alternatively, this strong  
266 association between PBF and symptom-presenting asthma classes might be in part attributed  
267 to reverse causation, i.e. asthmatics tend to lack physical activity and lose muscle mass, which  
268 then associates with higher PBF.

269 One of the most favoured hypotheses explaining the obesity-asthma association is that low-  
270 grade chronic inflammation induced by visceral adipose tissue leads to airway inflammation.  
271 In fact, we did observe higher serum levels of high-sensitive C-reactive protein (hs-CRP) in  
272 severe asthma classes (Table 2). While a positive association between hs-CRP and BMI was  
273 observed among SAPALDIA participants, ANCOVA with LCA-derived asthma classes as  
274 factor and BMI and sex as covariates did not identify asthma classes as a statistically  
275 significant determinant of hs-CRP (data not shown). Obese asthmatics have often shown a  
276 dissociation between symptoms and biomarkers of airway inflammation such as sputum  
277 eosinophil count or exhaled nitric oxide (35, 36), suggesting a distinct underlying  
278 inflammatory mechanism. A recent study also reported that airway inflammation was not

279 elevated in obese asthmatics (37). Elucidation of the pathophysiology linking obesity to  
280 asthma requires further studies paying attention to the heterogeneity of asthma phenotypes.

281 Our results might also be biased due to the fact that obese individuals may be over-diagnosed  
282 with asthma. Obesity is thought to cause physiological impairments in lung function such as  
283 reduced lung volumes and chest wall restriction (38) and dyspnoea caused by obesity-related  
284 impairments may be mistaken for asthma (39). However, in our study, PBF showed a strong  
285 association to symptom-presenting asthma phenotypes even if adjusted for BMI. This  
286 suggests that the obesity-asthma relationship is not solely attributed to the impaired lung  
287 function caused by obesity. Moreover, we also observed decrease in FEF<sub>25-75</sub>% predicted, but  
288 not in FVC% predicted, in symptom-presenting asthma classes, suggesting that obesity-  
289 asthma association is likely due to the airway inflammation rather than mechanical  
290 impairments. Independent evidence also showed that the risk of asthma over-diagnosis is not  
291 higher among obese than non-obese (40).

292 Nevertheless, reverse causation remains a plausible explanation for the obesity-asthma  
293 association. One can suspect that asthmatics gain weight as a side effect of systemic  
294 corticosteroids, higher systemic inflammation, or sedentary life style. However, the  
295 commonly used asthma treatment, an inhaler, is not generally known to cause systemic side  
296 effects (41). A more obvious hypothesis would be that respiratory symptoms hinder  
297 asthmatics from being physically active and hence lead to weight gain. Due to our study  
298 design, we cannot demonstrate that obesity preceded true asthma onset. However, the obesity  
299 effect observed in this study did not attenuate when the analysis was restricted to physically  
300 active participants, suggesting that the observed association cannot entirely be explained by  
301 reverse causation. Interaction analyses also showed that physical activity did not modify the  
302 effect of obesity on the severe asthma classes, regardless of obesity metrics used (data not  
303 shown).

304 The effects of all five obesity measures became stronger when the analyses were restricted to  
305 stably overweight participants. This seems to support the causality of the association between  
306 obesity and persistent multiple symptom-presenting asthma. Recent findings from a  
307 Mendelian randomisation approach point to the causality of the association in childhood  
308 asthma (42). However, in order for a conclusive causal inference, further biological and  
309 epidemiological studies are required.

310

**311 Conclusion**

312 We demonstrated that LCA is a useful tool to disentangle the heterogeneity of asthma  
313 phenotypes. Four LCA-derived asthma classes were distinguished mainly by disease activity  
314 and atopic status. We observed heterogeneous associations with obesity across LCA-derived  
315 classes, indicating possible aetiological differences. Most obesity measures showed a positive  
316 association with symptom-presenting asthma classes but not with symptom-free ones. PBF  
317 was better than BMI in explaining persistent multiple symptom-presenting asthma class. The  
318 obesity-asthma association was stronger among the stably overweight.

319

**320 Author Contributions**

321 AJ, CS, and NPH developed the research question and designed the study. AJ, CS, and GL  
322 conducted the statistical analyses. AJ, MI, SH, EZ, PB, and NPH contributed to the draft of  
323 the manuscript. All authors read and approved the final manuscript.

324

**325 Conflict of Interest**

326 The authors declare no conflict of interest.

327

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375

376 FIGURE 1 Flow chart of inclusion and exclusion criteria. § As sensitivity analyses, LCA  
377 applied to 677 physician-diagnosed asthmatics instead of 959 self-reported asthmatics or to  
378 472 asthmatics who reported either asthma attack in the last 12 months or current asthma  
379 medication at least once from baseline to the second follow-up.

380

381 FIGURE 2 Odds ratio for 1 SD increase in each of five obesity measures before and after  
382 restriction to the stably overweight participants, adjusted for age, sex, smoking status,  
383 educational level, and area of examination.

384

385 TABLE 1 Class-conditional probabilities for each of the manifest variables.

		class 1	class 2	class 3	class 4
asthma attack in the last 12 months		58.6	29.4	5.1	4.1
current asthma medication		56.2	38.2	5.7	7.3
number of asthma symptoms in the last 12 months	1 – 2 symptoms	2.1	84.4	19.2	9.2
	> 2 symptoms	96.3	0.0	0.7	0.0
number of asthma symptoms reported at least twice	1 – 2 symptoms	36.0	72.1	5.5	10.6
	> 2 symptoms	57.2	8.0	1.5	1.2
positive skin prick test at baseline		44.9	48.2	100.0	7.2
nasal allergy including hay fever		64.6	61.0	85.5	31.4
age of asthma onset $\geq$ 16 years		75.2	66.8	51.7	57.2

386 All values are presented in per cent. Class 1: persistent multiple symptom-presenting asthma; Class 2:  
387 symptom-presenting asthma; Class 3: symptom-free atopic asthma; Class 4: symptom-free non-atopic  
388 asthma.

389

390 TABLE 2 Characteristics of four LCA-derived asthma classes.

		persistent multiple symptom-presenting asthma (class 1)		symptom-presenting asthma (class 2)		symptom-free atopic asthma (class 3)		symptom-free non-atopic asthma (class 4)		non-asthmatics	
		men	women	men	women	men	women	men	women	men	women
N		43 (35.2)	79 (64.8)	132 (45.5)	158 (54.5)	164 (55.8)	130 (44.2)	109 (43.1)	144 (56.9)	3458 (48.3)	3702 (51.7)
age at baseline [years]		40.8 ± 13.5	38.9 ± 10.9	39.9 ± 11.8	41.5 ± 11.6	37.9 ± 11.7	37.3 ± 11.6	41.6 ± 11.2	41.0 ± 11.1	40.8 ± 11.6	41.6 ± 11.5
education level	Low	4 (9.3)	11 (13.9)	7 (5.3)	13 (8.2)	8 (4.9)	11 (8.5)	5 (4.6)	12 (8.4)	193 (5.6)	451 (12.2)
	Middle	25 (58.1)	50 (63.3)	74 (56.1)	114 (72.2)	77 (47.0)	81 (62.3)	67 (61.5)	106 (74.1)	2098 (60.7)	2617 (70.8)
	High	14 (32.6)	18 (22.8)	51 (38.6)	31 (19.6)	79 (48.2)	38 (29.2)	37 (33.9)	25 (17.5)	1166 (33.7)	630 (17.0)
smoking status	never smoker	18 (41.9)	31 (39.7)	42 (31.8)	74 (46.8)	68 (41.5)	66 (50.8)	36 (33.0)	66 (45.8)	1145 (33.2)	1823 (49.6)
	former smoker	18 (41.9)	30 (38.5)	68 (51.5)	62 (39.2)	62 (37.8)	48 (36.9)	57 (52.3)	52 (36.1)	1420 (41.2)	1114 (30.3)
	current smoker	7 (16.3)	17 (21.8)	22 (16.7)	22 (13.9)	34 (20.7)	16 (12.3)	16 (14.7)	26 (18.1)	885 (25.7)	742 (20.2)
physical activity	insufficient	11 (32.4)	21 (28.8)	28 (24.3)	42 (29.8)	25 (19.8)	26 (27.7)	13 (14.4)	34 (28.8)	732 (26.6)	851 (31.2)
	sufficient	23 (67.6)	52 (71.2)	87 (75.7)	99 (70.2)	101 (80.2)	68 (72.3)	77 (85.6)	84 (71.2)	2023 (73.4)	1876 (68.8)
hs-CRP* [mg/L]		2.2 ± 2.2	2.1 ± 2.1	1.4 ± 1.5	2.4 ± 2.3	1.5 ± 1.6	1.5 ± 1.5	1.3 ± 1.4	1.9 ± 2.0	1.5 ± 1.7	1.8 ± 1.9
airway obstruction (GOLD)		16 (57.1)	30 (52.6)	53 (50.5)	60 (48.4)	44 (38.6)	26 (28.6)	35 (43.2)	41 (38.0)	683 (27.9)	500 (21.3)
lung function at baseline	FEV1% pred.	88.0 ± 17.6	91.6 ± 18.3	86.1 ± 19.5	92.0 ± 14.0	94.8 ± 14.3	97.5 ± 11.6	97.8 ± 14.3	99.0 ± 15.4	99.9 ± 12.9	100.8 ± 13.4
	FVC% pred.	96.4 ± 11.1	97.0 ± 14.0	95.5 ± 14.1	97.1 ± 13.4	98.5 ± 11.7	99.9 ± 11.7	101.5 ± 11.7	101.3 ± 14.7	100.6 ± 12.4	100.5 ± 13.2
	FEV1/FVC	0.73 ± 0.11	0.78 ± 0.11	0.73 ± 0.12	0.77 ± 0.08	0.77 ± 0.09	0.80 ± 0.07	0.77 ± 0.08	0.79 ± 0.07	0.79 ± 0.07	0.82 ± 0.07
	FEF <sub>25-75</sub> % pred.	76.1 ± 38.5	84.8 ± 32.8	76.4 ± 31.0	81.8 ± 29.5	90.3 ± 29.7	91.5 ± 25.4	93.0 ± 33.5	94.0 ± 28.7	100.4 ± 28.8	103.1 ± 28.7
	BHR	13 (68.4)	23 (51.1)	34 (41.5)	58 (55.2)	51 (37.2)	50 (54.9)	18 (22.0)	35 (32.4)	236 (8.6)	506 (18.5)

391 Data are presented as mean ± standard deviation or number of subjects (%). Unless otherwise noted, information was retrieved from SAPALDIA3 but  
392 complemented from SAPALDIA2 for those who did not participate in SAPALDIA3. \* Only available in SAPALDIA2. hs-CRP: high-sensitive C-reactive protein;  
393 FEV1: forced expiratory volume in one second; FVC: forced vital capacity; FEF<sub>25-75</sub>: forced expiratory flow between 25% and 75% of FVC, BHR: bronchial  
394 hyperresponsiveness.

395

396 TABLE 3 Odds ratio for 1 SD increase in each of five obesity measures after adjustment for  
397 age, sex, smoking status, educational level, and area of examination.

	class 1	class 2	class 3	class 4
BMI	1.32 [1.09, 1.60]	1.23 [1.08, 1.41]	1.01 [0.85, 1.19]	1.04 [0.88, 1.21]
PBF	1.63 [1.21, 2.20]	1.47 [1.21, 1.78]	0.96 [0.78, 1.19]	0.98 [0.79, 1.21]
adjusted for BMI	1.57 [0.96, 2.56]	1.49 [1.09, 2.04]	0.88 [0.63, 1.23]	0.86 [0.62, 1.21]
WHR	1.29 [0.98, 1.71]	1.46 [1.23, 1.75]	0.98 [0.78, 1.22]	0.79 [0.64, 0.98]
adjusted for BMI	1.13 [0.82, 1.55]	1.38 [1.14, 1.68]	0.96 [0.75, 1.23]	0.73 [0.58, 0.93]
WC	1.40 [1.10, 1.77]	1.42 [1.22, 1.66]	1.01 [0.83, 1.21]	0.93 [0.77, 1.13]
adjusted for BMI	1.21 [0.74, 1.97]	1.79 [1.30, 2.46]	0.99 [0.69, 1.41]	0.69 [0.48, 0.98]
WHtR	1.41 [1.14, 1.75]	1.38 [1.19, 1.59]	1.03 [0.86, 1.23]	0.97 [0.82, 1.16]
adjusted for BMI	1.41 [0.87, 2.26]	1.73 [1.26, 2.38]	1.09 [0.76, 1.57]	0.78 [0.55, 1.11]

398 95% confidence intervals are in square brackets. Note that the odds ratios are obtained from  
399 multinomial logistic regression with non-asthmatics as reference category, and hence they are  
400 conditional on either being non-asthmatic or respective class. Class 1: persistent multiple symptom-  
401 presenting asthma; Class 2: symptom-presenting asthma; Class 3: symptom-free atopic asthma;  
402 Class 4: symptom-free non-atopic asthma.

403

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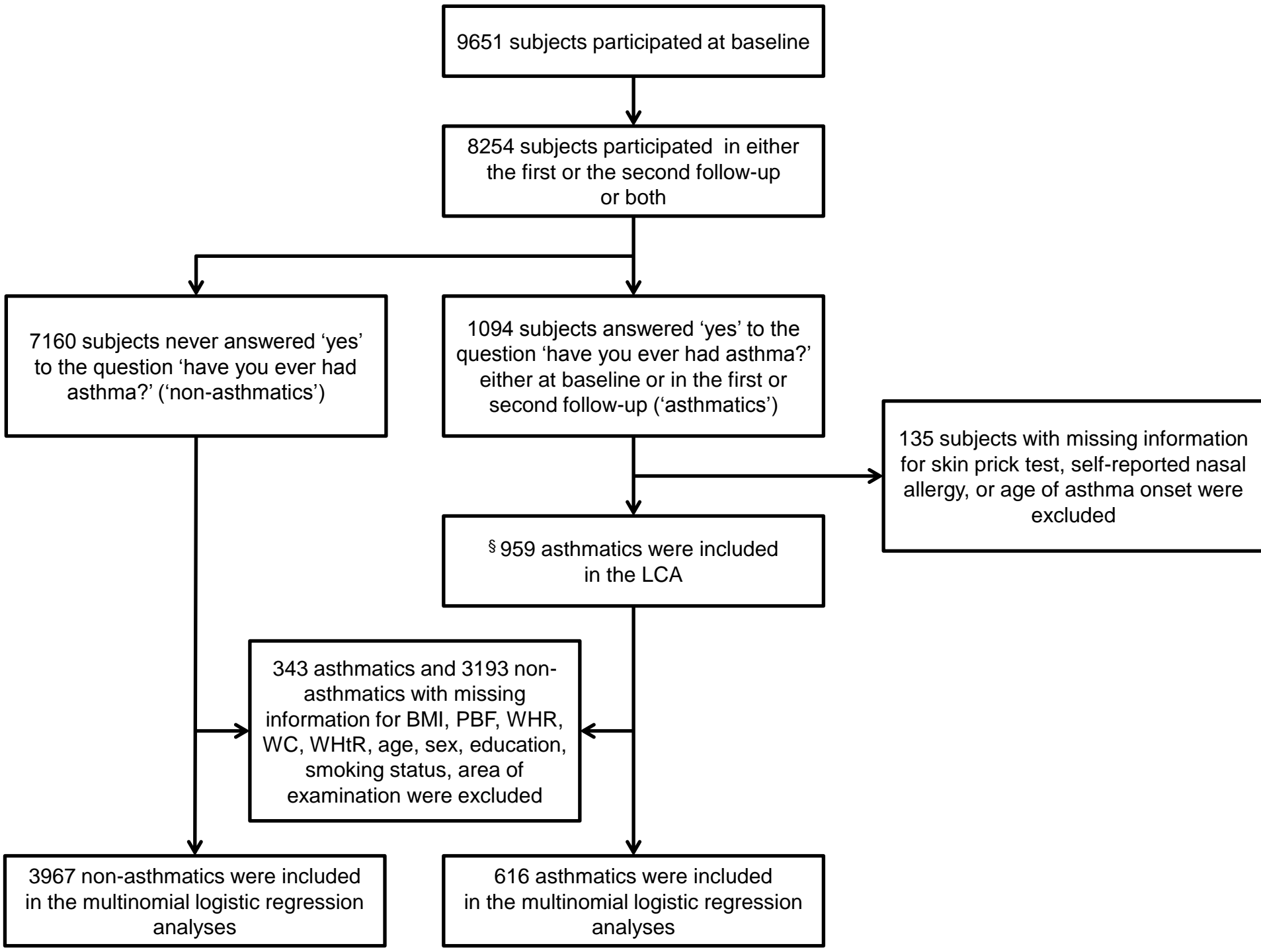
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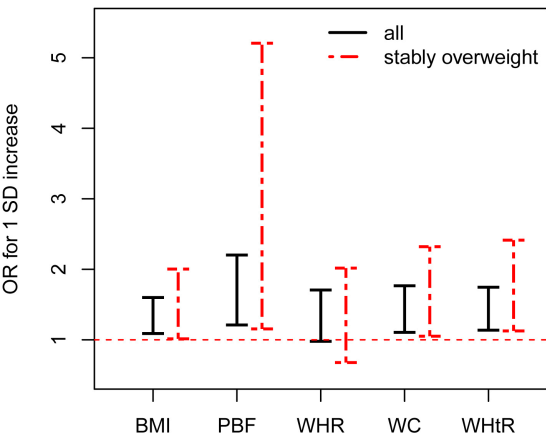
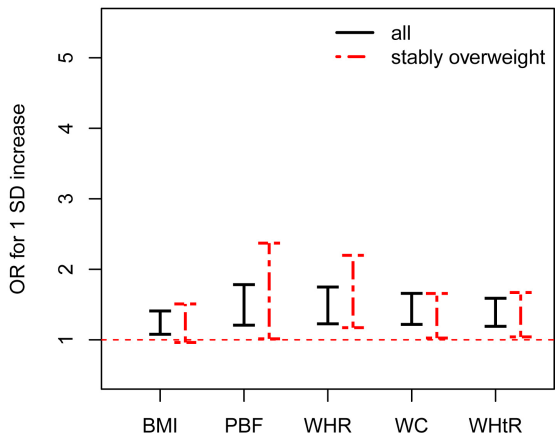
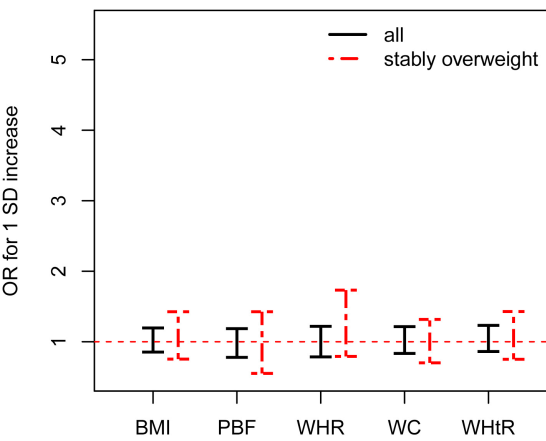
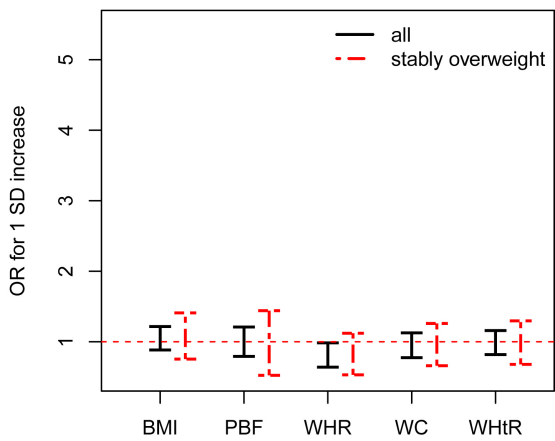


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518



**Class 1****Class 2****Class 3****Class 4**

LCA identifies asthma phenotypes distinguished by disease activity and atopic status

Association to obesity is heterogeneous across LCA-derived asthma phenotypes

PBF shows a stronger association to asthma than BMI

ACCEPTED MANUSCRIPT