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Arteriovenous Access Graft Infection: Standards of Reporting and Implications for Comparative Data Analysis

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2	Reporting and Implications for Comparative Data
3	Analysis
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31 Abstract

32	There is presently a lack of organization and standardized reporting schema for
33	arteriovenous graft (AVG) infections. The purpose of this paper is to evaluate the
34	various types of treatment modalities for access site infections through an analysis of
35	current publications on AVG. Key proposals are made to support standardization in a
36	data-driven manner to make infection reporting more uniform and thereby facilitate
37	more meaningful comparisons between various dialysis modalities and AVG
38	technologies.
39	
40	
41	Keywords: arteriovenous graft; infections; hemodialysis; classification; management

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42 Introduction

43 Hemodialysis (HD) is a complex attritional disease state that leads to a 45% annual 44 increase in excess mortality.(1) Perhaps uniquely, the outcome and morbidity of HD 45 is inherently related and may be altered through the method of providing HD, whether 46 it is through a central venous catheter (CVC), arteriovenous fistula (AVF), or 47 arteriovenous graft (AVG).(2, 3) Renal transplantation is the ultimate form of renal 48 replacement therapy (RRT) with dramatic benefits in morbidity, survival and cost of 49 treatment.(4, 5) However only 20% of patients requiring RRT are suitable for 50 transplantation, and eligible patients often face significant delays of 3-4 years.(6) In 51 particular, patients over 65 years of age are much more likely to die than receive a 52 transplant.(7, 8) Thus for the vast majority of patients requiring RRT, the method by 53 which dialysis is provided is the most significant modifiable factor in morbidity, 54 mortality, and cost of providing health care. 55 56 It is widely accepted that the optimal method of providing vascular access is through 57 a native AVF due to the best long-term patency, low complication and re-intervention 58 rates, and better long-term patient survival than alternative methods such as a 59 CVC.(9) However, there is significant variability within and among countries in the 60 numbers of patients who rely either partly or wholly on CVCs.(10) Thus, CVCs 61 remain important in access provision, with over 60% incidence and 30% 62 prevalence.(11) There are many reasons why CVCs remain in widespread use, but 63 several units in registry analysis have shown that a CVC-free policy for prevalent 64 patients is possible with nearly 95% of patients using an AV access.(12, 13) Whilst 65 this remains a potential goal for incident patients, the problem of prevalent patients on 66 a CVC remains complex due to hostile anatomy, central vein stenosis, a reluctance to 67 change established access modality, and lower autologous access success rates in 68 patients already dialyzing through a CVC.(14) 69 70 Arteriovenous grafts (AVG) provide an alternative to AVF or CVC, with long-term

survival outcomes that approach those of an AVF, along with better primary

72 patency.(15) Their use has been limited by two main concerns: graft patency and

73 infection. Patency concerns have been addressed in several reviews with a clear short

and medium term survival advantage to AVG over AVF when analyzed on an
intention to treat basis.(15, 16) Other than primary patency, the use of grafts has been
limited by the perceived risk of graft infection.(17) Several new grafts with more
refined properties, such as permitting immediate cannulation, heparin bonding,
tapered designs, spiral flow etc. have been brought to the market. Given that these
grafts will be judged by historical comparators, a more rigorous approach to
recognition and reporting of graft infection is warranted.

81

82 In general, access-related infection in the RRT cohort remains a leading cause of 83 hospital admission, morbidity and mortality.(18) Although infection in a unit may be 84 reported in a general context e.g. staphylococcus aureus bacteremia rates, the method 85 of reporting infection by modality of access is not standardized. This makes it 86 difficult to compare infection rates in new modalities and types of grafts. This paper 87 aims to consider the approach to infection in the HD population, and consider 88 historical data and more modern outcomes from studies of AVG. The authors have 89 considerable experience in considering the role of AVG in vascular access, with over 90 1,500 cases of AVG implantation procedures between them. A series of proposals are 91 made based on the authors experience in trying to determine what the role of AVG is 92 in contemporary practice.

93

94 The Limitations of Contemporary Literature

95 Early reports of graft outcomes mainly comprised of observational studies from single 96 centers with the larger series coming from the USA (Table 1). With newer products 97 being released, case-series and eventually randomized trials have added to the 98 literature (Tables 2 and 3). It is difficult to make a quick comparison between these 99 highly selected case-series with variable or unreported follow up and randomized 100 trials with end-points that are based on patency rather than infection, often using 101 several methods of reporting from crude incidences to a rate by time. The current state 102 of the literature is reminiscent of the confusion around CVC infection a decade ago 103 with several new modifications to line design and many studies of strategies to 104 prevent line infection. Eventually a robust definition of line infection and a 105 standardized method of reporting have been developed.(19) Similarly graft patency

- 106 has been more robustly defined into primary, primary assisted and secondary
- 107 patency.(20) The literature currently has several weaknesses that can be categorized,
- 108 and a solution to these weaknesses is proposed.
- 109

110 **1. Defining the Patient Cohort**

111 a. Change in Dialysis Population: Perhaps as dramatically as the expansion in 112 numbers over time, is the change in demographics of the RRT population with an 113 increase in the older age groups, with considerably more comorbidity.(21) Multiple 114 large observational studies of CVC infection have shown that the risk of infectious 115 complications is related to the case-mix, with a four-fold higher infection rate in the 116 older and diabetic (DM) populations.(22, 23) Thus, it is important that the case-mix of a population is defined to ensure valid comparison in considering AVG infection rates 117 118 between reports.

119

<u>Proposal</u>: A core dataset of comorbidities should be included for all studies that report
infection in grafts (including age, gender, ethnicity, DM, other sources of infection,
immunosuppression, and previous RRT).

123

124 b. Inclusion and Selection Bias: AVGs are rarely implanted as a first access 125 procedure in patients in whom there is a good AVF option, with an inevitable 126 selection bias when considering outcomes. In addition, there is also considerable 127 heterogeneity within the group of patients in whom an AVG is placed: the graft may 128 be straight or looped, may have upstream complicating factors such as central vein 129 stenosis or pacemaker wires, may be in the upper or lower limb, and may be placed 130 due to other complications of access such as line infection or AVF thrombosis. 131 Further, there may be considerable morphological differences in the artery of origin 132 and the target vein for outflow. It seems likely that each of these factors would have 133 some impact on patency and infection, though few studies have had the power to 134 reflect on these subtleties. Nearly all case series of new products in whom an optimal 135 outcome is desired have been in more favorable conditions such as the upper limb. A 136 few older single-center series have had sufficient numbers and follow-up to allow 137 some insights into the impact of these technical considerations.(24, 25) For example,

138	loop grafts may have better primary patency than straight, and infection rates in the
139	lower limb may be worse, although variability in these results has been reported.(26,
140	27)
141	
142	Proposal: Factors that may influence outcome should be reported in a standard
143	fashion and should include donor artery to recipient vein, configuration, extremity,
144	history of prior surgery, and history of trauma to the region.
145	
146	2. Defining the Outcomes
147	a. Definition: The definition of a graft infection is vague and may range from a
148	subjective mild cutaneous erythema treated effectively by oral antibiotics to
149	significant pus-producing graft body infection that requires explantation. It is
150	imperative that a robust and objective definition is universally employed, similar to
151	that with CVCs. The definition must utilize a culture-proven bacteremia (CPB) in a
152	clinical context that impacts treatment.
153	
154	Proposal: Graft infection should be classified into either a suspicion (clinical scenario
155	that is then translated into a clinical treatment) or a proven infection (culture-proven
156	bacteremia plus clinical scenario that mandates treatment), with both rates being
157	quoted. Rates should be presented as number of cases per 1,000 patient days so that
158	comparisons can be made with the infectious disease literature.
159	
160	b. Management and Outcome of AVG Infection: There are four RCTs that
161	compare AVG to native AVF.(28-31) It could be anticipated that native AVF will
162	have lower implantation infection rates, similar infections related to needling
163	hematoma, but a higher salvage rate from infection. Successful management by
164	localized excision of infected needling sites has been successfully reported, however
165	the salvage rate after episodes of AVG infection as a whole remains unknown.(17) As
166	a consequence of non-standardized reporting in clinical trials, the clinical sequelae of
167	AVG infections and their varying severity remains relatively unknown.
168	

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- 169 <u>Proposal</u>: In addition to rigid criteria for diagnosing infection, it is imperative that the
- treatment and outcome of infective episodes is reported to allow an understanding of
- 171 the impact of graft infection (AVG preservation, revision or loss).
- 172
- 173 Infection categorization: Pulling points a. and b. together, a system to record and
- 174 categorize AVG infection is proposed: the Graft Sepsis Management (GSM)
- 175 framework. This allows determination of the extent of infection and the consequences
- to the graft itself.

Graft	Sepsis	Management
1 - Localized Cellulitis	0 - No culture proven	0 - No treatment
	bacteremia	required
2 - Localized Purulent Infection	1 - Culture proven	1 - Antimicrobial
	bacteremia or metastatic	treatment only
	infection	
3 - Diffuse Cellulitis	2 - Culture proven	2 - Operative
	fungaemia	intervention with
		graft salvage
		2a. Simple drainage
		only
		2b. Local excision +
		rerouting of AVG
		2c. Complex
		preservation
		procedures e.g. flap
		coverage
4 - Diffuse Purulent Infection		3 - Removal of graft

177

i.e. a localized needle site purulent infection requiring excision of a segment of graft
without systemic infection would be recorded as G2S0M2b. This system allows for
recording of both the extent of infection as well as the outcome for the patient and
graft in a hierarchical manner, comparable between multiple studies.

182 c. The Natural History of Graft Infection: The etiology of graft infection and thus a 183 basis for categorization may be elucidated from large case series that report infection 184 over time. Harish et al. reported a large case-series of infections (40 in the leg and 92 185 in the arm).(27) Although there was no clear difference in infection rate between the 186 upper and lower limbs, the rate of infection showed a distinct pattern: an early (less 187 than four weeks) exponential rise in infections, followed by a continued linear 188 increase over time. It seems likely that this reflects initial perioperative infection 189 either during the procedure or seeding a perioperative hematoma following the 190 procedure: a primary graft infection.

191

192 The longer term continued linear infection rate reflects the majority of infections 193 occurring from cannulation: secondary infection. This group may also have a few 194 delayed presentations of primary graft infection. Lafrance et al. and Bachleda et al. 195 both report data that supports this theory in that 60% of graft infections occurred 196 following needling.(32, 33) Finally, there are reports of occluded grafts presenting 197 late as a source of occult sepsis: tertiary infection. It is uncertain how common tertiary 198 infection is due to wide variation between series.(17, 25, 34) Ryan et al. found that 199 47% of graft infections occurred within four weeks, 39% at 2-6 months, and 14% at 200 >6 months.(17) Beathard et al. found that 36 out of 100 thrombectomy specimens 201 from occluded grafts grew organisms on culture, one explanation being that the 202 method of diagnosing graft occlusion was repeated needling rather than 203 auscultation.(35) Indeed, not enough is known about the relevance of the specific 204 organisms commonly identified (e.g. Staphylococcus aureus versus coagulase 205 negative staphylococci) in graft infections, and whether different treatment modalities 206 can be utilized to manage these (e.g. salvage versus excision). As each of these 207 infection types will lead to differing strategy changes in order to minimize infection 208 rates, it is imperative that data on etiology, organism and root cause be gathered. 209 210 Proposal: All graft infections should be classified according to etiology (primary secondary, tertiary), organism and root cause.

211

213 **d.** Metric of Reporting: There is currently no universally accepted metric of 214 reporting AVG infection. Older case-series report crude incidences that do not make 215 any allowance for the duration of exposure to risk. Data on follow-up is absent or 216 variably reported making meaningful comparison difficult e.g. Ryan et al. reports an 217 infection rate of 3.4% in 1,441 patients with a mean follow-up that is not explicitly 218 reported, but estimated at five years.(17) Even more recent reports such as that of 219 Bachleda et al. reported an infection rate of 28.3% in 53 AVG with no follow-up or 220 days of exposure reported.(36)

221

222 This is more robustly reported in contemporary case-series of new products, for 223 example in reported case-series of Flixene, the reported incidence of infection ranges 224 from 0 to 20% (37) Three recent case series of Acuseal reported an infection rate 225 between 0 and 0.2/1,000 hemodialysis days (HDD).(13, 38, 39) It is difficult to 226 directly compare these rates and that of other case-series of Omniflow for example, 227 with reported infection rates of 0 to 1% per year. (24) Many RCT similarly report an 228 incidence per year, but often these are as descriptive data rather than a defined and 229 powered end-point of the studies. It may be possible to apply a conversion factor 230 based on the numbers, median days follow up and numbers of infections, but this 231 involves considerable assumptions and post hoc analysis with inherent weaknesses. 232 The lack of a defined method of reporting AVG infection significantly limits the ability to determining absolute and relative risks of differing types of AVG and more 233 234 importantly, the comparison with alternative methods of RRT.

235

<u>Proposal</u>: all infections in reports of AVG should report infection as a standardized
rate per 1,000 days exposure risk (HDD), similar to that now applied to CVC. This is
a quantifiable and comparative measure that allows more rigorous comparisons to be
made. Perhaps most importantly this allows a method of analyzing infection in a
whole dialysis population in an intention-to-treat basis, rather than an artificial
allocation into AVF/AVG/CVC that fails to reflect the evolving personal journey of
access that often migrates between modalities.

244 **3. Defining the Context:** Much attention is placed on very precise outcomes from 245 grafts such as patency. However vascular access must be obtained and the basis of 246 considering outcomes should not be isolated from the alternatives available at that 247 time. Quality of care outcomes should therefore consider not only patency and 248 infection, but also procedure number and intensity, cost, hospitalization and impact on 249 quality of life. Isolated reporting of AVG outcomes means little without considering 250 the outcomes of alternative strategies for that patient cohort. By considering the 251 outcome of strategies rather than procedures, and supporting this with more accurate 252 information on the impact these strategies on the health services and patients, a more 253 rounded measure of quality may be determined. 254 255 Proposal: Outcomes of strategies in patient groups should be considered in addition to 256 outcomes of procedures alone. AVG use in patients with exhausted native options 257 may be a personal access solution.(39) Reporting patency alone under these 258 circumstances does not provide an accurate measure of success, without a valid 259 comparator such as a CVC, nor convey if any benefit is to be gained for the patient in 260 terms of hospitalization, number of procedures or quality of life. 261 262 4. New Products: Technical advances in recent years have led to new products with 263 unique selling points. These advances are often marketed on the basis of animal 264 studies and rely on limited case-series to support their clinical use. The use of RCT to 265 compare AVG is rare, with only five RCTs published.(40-44) Only one product tested 266 in these trials was marketed on the basis of lower infection rates – BCA, and 267 surprisingly the infection rates reported were similar in the two arms.(43) 268 269 Proposal: RCT of grafts that promote lower infection rates as a key feature should be 270 powered to detect significant changes, with other biases minimized. 271 272 5. Novel Approaches: It has long been attempted to utilize a more 'natural' approach 273 to graft design and there are two main methods in production. Decellularized grafts 274 rely on biological materials and graft manufacture to reproduce a 'naturalistic'

275 conduit either from other natural conduits (bovine carotid artery, bovine ureter) or

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- 276 allowing biological manufacture in animals (Omniflow) or human aortic stem 277 cells.(45) In addition, modern nanotechnology has the potential to add biological 278 properties to prosthetic grafts such as heparin bonding, antimicrobial incorporation 279 such as silver and triclosan, and more recently biologically active grafts that have 280 miRNA delivery, NO production, or implanted endothelial cells. This holds great 281 promise if a clear target for improvement can be identified.(46-48) 282 283 Proposal: Given the vast resources directed at improving the options available for 284 patients requiring RRT and the difficulty in funding and performing adequately 285 powered RCT, it is essential that observational studies provide accurate comparative 286 data that applies standard methods of reporting. In addition, proposals for graft 287 registries of graft outcomes must be non-selective, comprehensive, allow for case-mix 288 and alternatives and not institutionally based. Without anonymity of patients and 289 surgeons, participation will inevitably be selective with limited conclusions possible. 290 291 **Conclusion:** 292 AVG survival and morbidity is principally determined by a combination of patency 293 and infection complications. Standardization of AVG infection reporting is essential 294 to facilitate meaningful comparison between RRT modalities and various AVG 295 technologies. 296 297 A core data template of methodology of recording and reporting in AVG trials could 298 be designed that would include: 299 1. Detailed demographic recording. A core dataset including age, diabetes, 300 current HD status, time on HD, the presence of CVC, and if an AVG is being 301 placed due to exhaustion of native options. 302 2. Infection categorization: In collecting the data on infection episodes, each 303 case should be categorized using the proposed GSM system, with additional documentation regarding aetiology Primary (procedure related), Secondary 304 305 (cannulation related), Tertiary (occluded graft presenting late as source of
- 306 sepsis)) and organism.

307 3. Overall infection rates reported as a standardized rate per 1,000 days exposure (pre308 dialysis + hemodialysis days).

309 4. The outcome of infection on graft survival. Graft survival curves should be

310 performed of both primary (infection-free) AVG survival i.e. AVG that never develop

311 infective complications for the duration of the study, and secondary (infection-treated)

312 AVG survival i.e. AVG with treated episode(s) of infection, either medically or

surgically, with maintained survival of all AVG for the duration of the study.

The main determinants of AVG survival are patency and infection. AVG infection should be reported and scrutinized as rigorously as patency. Without detailed reporting on AVG infection, meaningful comparison between various new technologies and RRT modalities is not possible. Furthermore, the understanding of the natural history of AVG infection, the consequences, and optimal treatment strategies cannot be advanced without rigorous recording and reporting of infection-related parameters. It is only though improving data collection and reporting that improvements in RRT can be delivered.

339 Table 1: Infection rates in single center case series.

340

Author, Year	Number	% Upper	Follow-Up	Infection
		Limb (UL)		Rate
Ryan 2004(17)	1,441	100%	? 5 y	3.5%
Ram 2010(26)	219	47% UL	Up to 8 y	0.5/ py; 17%
		53% thigh	6	0.1 / py; 19%
Harish 2011(27)	1,309	78% UL	N.R.	92/1023: 9%
		22% thigh		40/286: 14%
Bachleda 2012(36)	53	N.R.	N.R.	28.3%
Antoniou 2009(49)	15 study review	0%	N.R.	18%
Schild 2008(50)	702	N.R.	N.R.	9.5%
Allemang 2014(51)	265	92% UL	? up to 4 y	9%
Harlander-Locke	17 Bovine	Infected /	18 m	1/17; 6%
2014(52)	carotid arteries	risk		
Chemla 2011(53)	Flixene (10)	100%	2 y	N.R.
	Rapidax (5)			
Lioupis 2011(54)	48 Flixene	100%	1 y	6%
Scarritt 2014(55)	78 Trilaminate PTFE	100%	1 y	6%
Wijeyaratne	17 Avflo	100%	1 y	18%
2011(56)				
Karatepe 2013(57)	24 Avflo	100%	1 y	4%
Ferraresso 2013(58)	10 Avflo	100%	6 m	0%
Peng 2003(59)	163 Vectra	100%	12 m	26.1%
Maytham 2015(60)	52 Acuseal	100%	533 d	16%

341 Notes. N.R.= not reported, y= year, m= month, d= day, py= patient year.

342 Table 2: Infection rates in Randomized Control Trials.

343

Author, Year	Number	% Upper	Follow-Up	Infection
		Limb		Rate
Rooijens 2005(28)	84 PTFE	100%	1 y	1/84, 0.13 / py
RCT vs RCF	(RCF)			
Keuter 2008(29)	51 PTFE	100%	325 d	6 early, 15%
RCT vs BTN	(BTN)		<u> </u>	
Morosetti 2011(30)	27 OmniflowII	100%	2 у	0
RCT	(30 BTN)			
Davoudi 2013(31)	30 PTFE	100%	N.R.	5/30; 17%
RCT	(30 BTN)			
Dammers 2003(40)	52 PTFE 4-	100%	1 y	0.12 / py
RCT	7mm vs			0.03 / py
	57 PTFE 6 mm			
Ko 2009(41)	47 Cuff PTFE	100%	2 у	2/47, 4%
RCT	42 PTFE			2/42, 5%
Kennealey 2011(43)	26 BCA vs 27	100%	1 y	0.1 py BCA vs
RCT	cuffed ePTFE			0.13 py PTFE
Shemesh 2015(44)	80 PTFE	100%	23.5 m	3/80; 3.8%
RCT	80 Propaten			3/80; 3.8%
Glickman 2001(61)	71 Vectra	100%	1 y	4/71; 5.6%
RCT	71 PTFE			4/71; 5.6%

344 Notes. N.R.= not reported, y= year, m= month, py= patient year.

347 Table 3: Infection rates in new products.

348

Author, Year	Number	% Upper	Follow-Up	Infection Rate
		Limb		
Desai 2019(13)	266 Acuseal	96%	24 m	1.2%
Palumbo 2009(24)	38 Omniflow	100%	3 m	0
Schild 2011(25)	33 Flixene	100%	6 m	6%
Chiang 2014(37)	45 Flixene 19 PTFE	100%	18 m	20% 40%
Tozzi 2014(38)	30 Acuseal	90%	6.3 m	0
Chemla 2011(53)	10 Flixene	100%	533 d	N.R.
Scarritt 2014(55)	78 Flixene	100%	12 m	9%
Maytham 2015(60)	52 Acuseal	100%	533 d	16%, (9% < 30 d)
Aitken 2014(62)	37 Acuseal	66%	N.R.	0.2/1000 HDD
Glickman 2016(63)	138 Acuseal	100%	12 m	11%
Tozzi 2016(64)	60 Acuseal	95%	542 d	3%
Wang 1996(65)	61 Omniflow 48 PTFE	63%	N.R.	1%/y 2.3%/y
Kakkos 2008(66)	76 Vectra	100%	18 m	6.6%
Berard 2015(67)	46 Flixene	73%	223.5 d	2%
Mistry 2013(68)	12 Flixene	100%	6 m	8%
Lioupis 2011(69)	48 Flixene	100%	N.R.	6%

349 Notes. N.R.= not reported, y= year, m= month, d= day, py= patient year.

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