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Source apportionment of PM10 in the Western Mediterranean based on observations from a cruise ship

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Abstract

Two intensive PM₁₀ sampling campaigns were performed in the summers of 2009 and 2010 on the ship 15 Costa Pacifica during cruises in the Western Mediterranean. Samples, mainly collected on a hourly basis, 16 were analysed with different techniques (Particle Induced X-Ray Emission, PIXE; Energy Dispersive - X 17 Ray Fluorescence, ED-XRF; Ion Chromatography, IC; Thermo-optical analysis) to retrieve the PM10 18 composition and its time pattern. The data were used for obtaining information about the sources of 19 aerosol, with a focus on ship emissions, through apportionment using chemical marker compounds, 20 correlation analysis and Positive Matrix Factorization (PMF) receptor modeling. For the campaign in 21 2010, 66% of the aerosol sulphate was found to be anthropogenic, only minor contributions of dust and 22 sea salt sulphate were observed while the biogenic contribution, estimated based on the measurements of 23 MSA, was found to be more important (26%), but influenced by large uncertainties. V and Ni were found 24 to be suitable tracers of ship emissions during the campaigns. Four sources of aerosol were resolved by 25 the PMF analysis; the source having the largest impact on PM10, BC and sulphate was identified as a 26 mixed source, comprising emissions from ships. The correlations between sulphate and V and Ni showed 27 the influence of ship emissions on sulphate in marine air masses. For the leg Palma-Tunis. crossing a 28 main ship route, the correlations between aerosol sulphate and V and Ni were particularly strong (r²=0.9 29 for both elements). 30

- 31 Mediterranean Sea, PM10, source apportionment, ship emissions, Positive Matrix KEY WORDS: 32 Factorization.
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36 **1. Introduction**

The Western Mediterranean Basin is frequently exposed to high levels of air pollutants. This is due to both long range transport and local sources as well as the topography of the coasts and surrounding mountains that favours the development of combined sea breeze-upslope winds with stratifications of pollutants and subsidence over the coast and the sea during daytime in summer (Lelieveld and Dentener, 2000; Velchev, 2011). The area is influenced not only by long-range transport of continental aerosol from the north but also, due to its vicinity to North Africa, Saharan dust can frequently be observed during some periods (Kalivitis *et al.*, 2007), making the Mediterranean Sea an area heavily affected by aerosols.

44 Another important source of pollution is the intensive ship traffic. The main trace gases emitted 45 from ships are sulphur dioxide and nitrogen oxides, both precursor gases to secondary pollutants. Ship 46 emissions contribute significantly to air pollution in the Mediterranean Basin, particularly their emissions 47 of SO_x: according to the study by Marmer et al. (2005), based on an inventory from 1990, 54% of the 48 total sulphate aerosol column burden over the Mediterranean in summer originates from ship emissions. 49 NOx-emissions from ships are projected to increase in European waters and could be equal to land based 50 sources by 2020, while for SO₂, a decrease in ship emissions are expected to take place in this period due 51 to new legislation (Van Aardenne et al., 2013).

Aerosol measurements and source studies over the basin are sparse and confined mostly to land sites. In particular, data on the contribution of ship emissions are still relatively scarce. Recent observations at the station on Lampedusa (Becagli *et al.*, 2011) produced a lower limit for the contribution of sulphate from ship emission to summer levels of PM10, PM2.5 and PM1 of 3.9%, 8% and 11% respectively. This was based on a direct measurement of the V concentration in PM and on the assumption of a minimum SO₄ to V ratio of 200.

In order to contribute to filling the gap of observations in the Mediterranean basin and to gain more insight into atmospheric dynamical and chemical mechanisms, the Joint Research Centre of the European Commission (JRC) performs ship borne measurements over the Mediterranean Sea. In the framework of a collaboration agreement between the JRC and Costa Crociere, measurements of air pollutants have been carried out since 2006 on cruise ships following a regular route in the Western Mediterranean from spring to autumn.

64 Two campaigns were carried out in 2009 and 2010 with the scope of carrying out a source 65 apportionment analysis on the aerosol sampled along the route of the cruise ship. During the two 66 campaigns in 2009 and 2010, in a joint experiment with the Department of Physics of the University of 67 Genoa, a two-stage streaker sampler (Formenti et al., 1996, and references therein) was installed on the 68 ship. The elemental composition of the fine and coarse fraction of PM10, separately collected by the 69 streaker on an hourly basis, was measured by Ion Beam Analysis (i.e. by PIXE: Particle Induced X-Ray 70 Emission) at the accelerator facility of INFN-Florence (Mandò, 2009; Lucarelli et al., 2013). In addition, 71 filter samples of PM10 aerosol were collected and analyzed for soluble ions by Ion Chromatography and 72 for organic and elemental carbon by a thermal optical transmittance (TOT) method. This dataset was used for an investigation of the influence of ship emissions on the composition of aerosols over the sea
through a receptor model approach as well as analyses based on chemical marker compounds,
characterization of filter samples by calculation of back trajectories and on observed correlations between
the aerosol components.

2. Material and Methods

77 78

79 2.1 Equipment for the long-term monitoring activity

The monitoring station, placed in a cabin at the front of the top deck of the ship "*Costa Pacifica*" permits to perform continuous measurements of NO_x , SO_2 , O_3 and Black Carbon (technical details in Schembari *et al.*, 2012). Black Carbon (BC) is measured by an Aethalometer (AE 21, 2 wavelengths, Magee Scientific, USA). The Aethalometer and the corrections applied to the measurements were discussed by Schembari *et al.* (2012).

85 Previous experiments (Sokolik and Toon, 1999) have shown that dust appreciably absorbs at 86 wavelengths below 600 nm. Thus, if the aerosol contains, in addition to BC, large amounts of dust, the 87 BC concentration values calculated at $\lambda = 370$ nm are expected to be enhanced with respect to those 88 deduced at $\lambda = 880$ nm, due to the presence of the UV-absorbing material. Based on observations of the 89 concentration ratio of BC (λ =370) to BC(λ =880) during dust episodes, a ratio of 1.4 was fixed as 90 threshold to identify a dust episode. Also satellite image (<u>http://AQUA.nasa.gov</u>, <u>http://TERRA.nasa.gov</u>) 91 and dust surface concentrations maps from the on-line DREAM model (Dust Regional Atmospheric 92 Model, http://www.bsc.es/projects/earthscience/DREAM) were taken into account. BC measurements 93 that were found to be influenced by the presence of dust were not included in the analysis of the data.

94 2.2 Equipment for the intensive monitoring campaigns

95 In two periods, 24-31 August 2009 and 7-21 June 2010, intensive aerosol sampling campaigns were 96 carried out. The sampling strategy was based on a two-stage continuous streaker sampler. With the 97 streaker sampler (Formenti et al., 1996), particles are separated at different stages: a pre-impactor 98 removes particles with aerodynamic diameter (D_{ae}) greater than 10 µm from the incoming air; a thin 99 Kapton foil, coated with APIEZON grease to prevent bouncing, collects particles with 2.5 μ m < D_{ae} < 10 100 μm and a Nucleopore polycarbonate filter intercepts all smaller particles. The two collecting plates are 101 paired on a cartridge, which rotates at constant speed (about 1.8° per hour), producing a circular 102 continuous deposition of particulate matter on both stages (the "streak"). The streaker sampler has been 103 appositely designed for PIXE analysis. The main limit of this instrument is the impossibility to weigh the 104 collected matter and therefore to measure directly the total concentration of fine and coarse PM10. A total 105 of three couples of streaker frames (one in 2009 and two in 2010) were sampled during the intensive 106 campaigns (one per week).

107 PIXE analysis of the streaker frames was performed at the HVEE 3 MV Tandetron accelerator, 108 installed at the LABEC (LAboratorio BEni Culturali) laboratory of INFN in Florence (Calzolai *et al.*, 109 2006; Lucarelli *et al.*, 2013). The streak is analyzed point by point, using a beam size that corresponds to 110 one hour of aerosol sampling. The Minimum Detection Limits (MDL), estimated for PIXE analysis at 1 σ 111 level, are ~ 10 ng m⁻³ for low-Z elements and ~ 1 ng m⁻³ for medium-high Z elements, while the 112 uncertainty of the elemental concentrations are determined as a sum of independent uncertainties on 113 standard sample thickness (5%), peak areas (from 2 to 20% or higher when concentrations approach 114 MDLs) and sampling parameters (of the order of a few percent).

115 From August 24 to 31, 2009 and June 7 to 14, 2010, PM10 samples were also collected on quartz 116 filters (47 mm diameter, flow rate 2.3 m³ h⁻¹) using Sven Leckel Ingenieurburo (http://www.leckel.de/) 117 sequential samplers placed on the top of the cabin where the monitoring station is, and nearby the 118 streaker sampler. This sampling was carried out on a variable time basis: the sampler was started 1 hour 119 after the departure from each harbor and stopped 1 hour before the arrival in the next port. Each leg was 120 then divided in periods of about 6 hours with one filter sampled per each period, this resulted in a variable 121 number of filters per leg and in a total of 15 filters. Samples were subsequently analyzed by ED-XRF 122 (Energy Dispersive - X Ray Fluorescence) at the Department of Physics of Genoa (Ariola et al., 2006), 123 by a thermo-optical method with a SUNSET dual Optical Carbonaceous analyzer, to determine the OC-124 EC (respectively, Organic and Elemental Carbon) concentrations following the EUSAAR-2 protocol 125 (Cavalli et al., 2010). and by Ion Chromatography at the JRC (EMEP Laboratory). A comparison of PIXE 126 and ED-XRF results can be found in the Appendix (electronic supplementary material, Figure E1).

127 Main ions (Na⁺, Cl⁻, Mg²⁺, K⁺, Ca²⁺, NO₃⁻, NH₄⁺, SO₄²⁻, C₂O₄²⁻) were analyzed by Ion 128 Chromatography (IC, Dionex DX 120 with electrochemical eluent suppression) with an uncertainty of \pm 129 10%, after extraction of the soluble species in an aliquot of 16 mm Ø in 20 ml 18.2 MΩ cm resistivity 130 water (Millipore mQ). IC and PIXE measurements are compared in the Appendix (Figure E2).

The MSA (methanesulfonic acid) concentrations for the 2010 sampling campaign were measured by
suppressed-conductivity, gradient elution, ion chromatography at the Dept. of Chemistry, University of
Florence (Udisti *et al.*, 2012).

In Table 1, the laboratory analyses performed after the 2009 and 2010 filter campaigns, are summarized. In the analysis of the filters sampled in the 2009 campaign, positive organic artifacts/contaminations on the quartz filters were found and the OC concentrations could not be evaluated; therefore EC values only were retained.

138 The 2010 experimental set up was completed with an optical particle counter (GRIMM 1.108) to 139 measure the number of particles per unit volume and to estimate the mass concentration. The 140 reproducibility of the OPC in particle counting is $\pm 2\%$ (Putaud *et al.*, 2004).

141 Information about meteorological parameters (wind speed and direction, temperature, humidity with 142 10 min intervals from the meteorological station of the ship) and information about the ships position, 143 speed and sailing direction, were available. This information was used to identify situations where the 144 measurements might be influenced by emissions from Costa Pacifica: in all cases where the inlets of the 145 measurement station were downwind of the stack of the ship within an angle of ± 40 degrees the data 146 were discarded because of the risk of contamination from the stack.

During summer–autumn 2009 the route of the ship was Civitavecchia-Savona-Barcelona-Palma
de Mallorca-Tunis (La Golette)-Malta (Valletta)-Palermo-Civitavecchia. During the same seasons in 2010
the route was Civitavecchia-Savona-Barcelona-Palma de Mallorca-Tunis (La Golette)-Malta (Valletta)-

150 Catania-Civitavecchia (see Figure 1).

151 2.3 Calculation of aerosol composition

152 Concentration values of SO_4^{2-} , NH_4^+ and NO_3^- were directly retrieved from IC analysis, while all 153 the other aerosol components were obtained from the combination of analyzed species and conversion 154 factors: the sea salt component was calculated from the measured Na and Cl concentration values 155 (Seinfeld and Pandis, 1998), taking into account the seawater composition, the mineral dust component was obtained by multiplication of $nssCa^{2+}$ ($nssCa^{2+}$, non-sea-salt calcium, i.e. the amount of Ca in excess 156 157 of the contribution from sea salt) by 5.6, , the value retrieved by Putaud et al. (2004) outside of Saharan 158 dust events, since the $nssCa^{2+}$ concentration was found to be in the range of concentrations observed in 159 the absence of such dust events. Organic Matter (OM) was estimated as OM=1.4 * OC, applying the 160 conversion factor from Turpin and Lim, 2001.

161 The contributions of different sources to the sulphate content was estimated based on marker compounds

as described in the Appendix (Table E4).

163 2.4 Analysis by Positive Matrix Factorization.

164 Time series of elemental concentrations BC and PM10 (obtained by the OPC) collected during 165 the two cruises were treated by Positive Matrix Factorization (PMF) to identify and characterize the major 166 PM10 sources along the ship route. Most often, PMF, as in general all the receptor models, is used to 167 analyze data collected at a fixed site (the "receptor") where concentration values of PM components and 168 of gaseous pollutants are modulated by meteorological conditions and source emission patterns. In the 169 case of a moving receptor (the ship), the concentrations of PM components and gaseous pollutants are 170 modulated also by the change in the coordinates. In spite of this additional complexity, PMF analysis has 171 previously been successfully applied to measurements performed from ships (Bhanuprasad et al., 2008; 172 Moreno et al., 2010).

PMF has been described in detail by its developers (Paatero and Tapper, 1994; Paatero, 1997) and has been adopted in several studies for PM receptor modelling and for the assessment of particle source contributions (e.g. Kim *et al.*, 2001; Liu *et al.*, 2003; Ramadan *et al.*, 2003; Qin *et al.*, 2006). In this work, the PMF-2 version and the methodology described in Cuccia et al. (2010), were used. The Polissar *et al.* (1998) procedure was used to assign measured data and associated uncertainties as the PMF input data.

179 2.6 Calculation of back trajectories

180 Backtrajectories US NOAA were calculated using the Hysplit website 181 ((http://ready.arl.noaa.gov/HYSPLIT.php),) with GDAS meteorological data (1x1 degree horizontal 182 resolution, 23 vertical layers). For each filter, 5 days backtrajectories arriving at 50 m and at 500 m above 183 sea level were calculated for the positions where the filter sampling started and ended plus at the position

halfway through the sampling period. Based on these trajectories, samples were classified as 'marine' ifthe air masses had been over the sea for at least the last 48 hours.

186

187 **3.** Results and discussion

188 *3.1 Meteorological conditions*

Weather conditions during the sampling campaigns were characterized by complex situations
with anti-cyclonic systems over the Western Mediterranean (see examples of synoptic maps in Figure E3
in the Appendix with *electronic supplementary material*). The anticyclonic system was less stable in 2010

than in 2009 with lower temperatures (22°C vs. 27°C average temperature) and stronger winds.

193 3.2 Exclusion of non-representative data

Between the 17th and 19th June a particular episode with a large increase in Al, Si, K, Ca, Fe, Ti, BC concentration values (see *Figure E4 in the electronic supplementary material*), happened when the ship was sailing from Tunis to Catania. Back-trajectories, computed with the NOAA Hysplit Model show air masses starting near the African coast and passing on the Messina Strait, which may explain the large increase of crustal and anthropogenic species during this period. This time period was not considered in the PMF analysis (see below) because not being representative of the average PM composition.

200 3.3 PM10 apportionment

201 *3.3.1 Apportionment based on chemical marker compounds*

202 The chemical composition of the PM_{10} samples collected during the cruise in 2010, calculated as 203 discussed in Chapter 2.3, is shown in Figure 2. Detailed information about measured concentrations are 204 given in the Appendix (Tables E1-E3).

205 It is seen that sulphate is generally the most abundant species (apart from samples with high 206 levels of sea salt), accounting for 38% and 44% of the measured components in 2009 and 2010, 207 respectively. Sulphur, which concentrations were well correlated with those of soluble sulphate measured 208 by Ion Chromatography Analysis (R^2 =0.8 in 2009 and R^2 =0.9 in 2010), was predominantly found in the 209 fine fraction (with S_{fine} being about 90% of total S) which thus appears to have its origin predominantly 210 from secondary formation in the atmosphere and combustion. The highest contributions of sulphate to 211 aerosol mass were found over the sea, where the average contribution by mass is 52%. Organic aerosol is 212 only a minor fraction but it should be noticed that filter sampling of organic aerosol can be subject to 213 severe artifacts (Turpin et al., 2000).

The results of the present study can be compared with another study performed along a route across the Mediterranean from Barcelona to Istanbul during March and April, 2008 (Moreno et al., 2010). The sea salt component seems to be similar while dust and Elementary and Organic Carbon components are higher and the sulphate component is lower than our data. These differences may be related to different meteorological conditions..

The total sulphate can be divided in specific components (sea salt sulphate; non sea salt sulphate:
 crustal, biogenic, anthropogenic) and calculated using marker compounds as described in the Appendix

221 (Table E4); the results of such calculations for the 7-14 June 2010 cruise are reported in Figure 3. The 222 nss-sulphate of biogenic origin (i.e. from the oxidation of dimethylsulfide, DMS) was derived from the 223 measurements of MSA in the samples through the relation found by Bates et al. (1992), assuming an 224 average ambient temperature of 22 °C; which gives a value of 0.09 for the ratio, by mass, of MSA/SO₄, 225 where SO₄ here refers to sulphate formed by the oxidation of DMS. Assuming an uncertainty on the 226 average temperature of $\pm -4^{\circ}$ C, MSA/SO₄ is found to be in the range 0.03-0.15. This provides a best 227 estimate of sulphate of biogenic origin but it must be kept in mind that other factors than temperature 228 appear to influence the ratio between the MSA and sulphate yields by the oxidation of DMS (Castebrunet 229 et al., 2009), and this adds an additional uncertainty to the estimate.

Observations made by Udisti *et al.* (unpublished data) at the site of Leghorn (Livorno, IT) are congruent with the assumption of a ratio close to 0.1 between the yields of MSA and sulphate by the atmospheric oxidation of DMS: It was found that for increasing MSA concentrations the MSA/SO₄ trend approached a limit value of approximately 0.1. Assuming that biogenic emissions at the highest MSA concentrations is the dominating source of sulphate, this could be taken as an estimate of the MSA/SO₄ ratio by the atmospheric oxidation of DMS at this site in the Western Mediterranean. The measurements were carried out during the period March 2009 to March 2010.

- 237 The major contribution to SO_4 was from $nssSO_4$ of anthropogenic origin. The contribution from 238 crustal material, as estimated by this approach, is below 1%.
- 239 3.3.2 Correlations between S and other species, evidence of the impact of ship emissions
- This analysis was performed on samples obtained outside of harbours as described in theAppendix.
- In the following, correlations with r exceeding 0.7 are denoted as 'strong'. When 'coarse' or 'fine' is not specified, the values refer to the sum of the two collecting plates in the streaker.
- The PIXE correlation matrices for both years show strong and highly significant (p<0.005) correlations of S_{coarse} with Na and Mg which clearly must be assigned to the contribution by sea-salt which has relatively high contents of both Mg and S (12% and 8% of the Na content, respectively, CRC, 2000). In 2010 S_{coarse} was also strongly correlated to Si, Al and K_{coarse} which must be explained by the contribution from mineral dust.
- For what concerns the entire dataset, in neither of the two campaigns was S_{fine} found to be strongly correlated to any other element. For what concerns the ionic species, nssSO₄ is found to be strongly correlated to NH₄, which must be caused by a relevant contribution of ammonium sulphate to nssSO₄. The correlations of MSA with the sulphur species are not significant so the correlation matrices do not give clear evidence of a biogenic influence on these. It should be noted, that there are less data points for the chemical species so it is more unlikely to observe statistically significant correlations than in the PIXE data.
- A fraction of the particulate matter directly emitted from a ship-engine consists of metal oxides,
 originating from trace metal impurities in the fuel and lubricant oil; among these are V and Ni, that are

258 found to be typical constituents of ship emissions (Viana et al., 2009 and references therein). These two 259 elements were predominantly found in the fine fraction and almost totally absent in the coarse fraction 260 sampled on the streaker during the two cruises. The V to Ni concentration ratio found in literature for 261 particles formed by heavy fuel oil combustion, lies typically in the range 2-5 (e.g. Becagli et al., 2011; 262 Viana et al., 2009; Mazzei et al., 2008). The mean V to Ni concentration ratios measured along the 263 cruises were generally close to 3, apart from the legs Savona-Barcelona and Barcelona Palma in 2009, 264 where they were between 1 and 2. V and Ni were strongly correlated both years. Dust particles too 265 contain vanadium and nickel (Viana et al., 2009; Moreno et al., 2010); however they are mainly found in 266 the coarse fraction (Van Dingenen et al., 2004) and as, moreover, the correlation with Si was very weak, 267 it was concluded that these elements were suitable tracers of anthropogenic emissions from combustion of 268 undistilled liquid fuels. This is in line with the observations made by Moreno et al. (2010).

V and Ni show significant correlations to BC and SO₂ in 2010 while these correlations were
 much weaker in 2009.

For the year 2010, a sufficient number of filters (8) with 'marine' trajectories were available to allow observing significant correlations. In this case the correlation between V, Ni and $nssSO_4$ is stronger and the p-value is below 5%. $NssSO_4$ is also found to be strongly correlated with BC. The positive correlations with SO_2 or NO_x are not found in this case while the correlation with BC remains, This difference may be ascribed to a longer atmospheric lifetime of BC than of SO_2 and NO_x .

276 The square of the Pearsons correlation coefficient indicates how much of the observed variation 277 of a variable can be explained by the variation of another variable (assuming a linear dependence). Thus, 278 from the observed correlations of V and Ni with S it can be deduced that during the cruise in 2010, 24% 279 or 26% of the observed variations in the S-content of the aerosol samples could be explained by the 280 variation in V or Ni, respectively. For 2009 these correlations are not significant. For sea-trajectories only, correlations of V and Ni with S are much stronger, with r^2 values of 0.5 for both of these 281 282 correlations; looking at the chemical measurements, variations in V and Ni explain 67% and 52% of the 283 variations of nssSO₄, respectively. Thus, as it may be expected, sea-trajectories show the strongest 284 evidence of the influence of ship emissions

In the leg Palma-Tunis, where the ship crosses a main naval route in the Mediterranean Basin, a strong correlation between S_{fine} , V and Ni was found. Peak values of V, Ni and S_{fine} are found to be coinciding also in other parts of the route of Costa Pacifica (Fig. E5 in the Appendix). These observations support the notion that ship emissions are an important source of S_{fine} in parts of the route.

289 3.3.3 PMF analysis

The datasets used as input to PMF (one for the 2009 and one for the 2010 cruise), included the most abundant elements obtained by PIXE analysis for the sea legs during both the 2009 and 2010 cruises with a total of 273 hourly values for each species (hours when the ship was entering or stopping in the harbours were excluded). The fine and coarse fraction of Na, Mg, Al, Si, Cl, K, Ca, Fe, V and Ni were summed to get hourly concentration values in PM10 while only S was included in the PMF data set divided in its fine (S_f) and coarse (S_c) fraction since the two time series turned out not to be correlated. This is not surprising since S in the coarse fraction is expected to be dominated by ssSO₄ and mineral dust while in the fine fraction S is likely to come from combustion sources and gas phase oxidation of SO₂. The two datasets were completed including the time series of hourly average BC concentration values and PM10 mass concentration (from the OPC).

Four sources were resolved with both of the data sets, and labelled, according to their characteristic tracers, as: *Sea Salt* (Na, Cl), *Combustion* (*S_{fine}, V, Ni, BC*), *Crustal* (Al, Si), *Not Identified* (Figure 4 for the 2010 cruise and *Figure* E6 in the Appendix for the 2009 cruise):

303 Sea Salt: the Cl to Na concentration ratio is around 0.9 (average of 2009 and 2010 cruises), 304 which is smaller than the literature value of 1.8 (Seinfeld and Pandis, 1998). This can be due to Cl 305 evaporation in the atmosphere. It is well known that the Cl concentration can diminish through reactions 306 between the marine aerosol and nitric acid (Seinfeld and Pandis, 1998), sulphuric acid (Singh et al., 1995) 307 and SO₂ (Sievering et al., 1991); the very low Cl to Na value found in this study may be explained by the 308 low concentration of sea salt compared to acidic components of the aerosol. Most of S_c is found to be 309 associated with sea salt, however also S_{fine} and crustal elements, mainly during the 2009 cruise, are seen 310 in the profile.

311 Combustion: the V to Ni concentration ratio in the profile is the same for the two campaigns, 312 $\sim 2.9 \pm 0.4$, in agreement with the values reported in the literature as being typical of ship emissions, as 313 discussed above. This points to a strong contribution of ship emissions to this source which, however, 314 also has relevant contributions from elements that cannot be assigned to ship emissions (K, Ca, Fe). The 315 analysis does not allow distinguishing between secondary and primary sources of sulphate, however for 316 the case of ship emissions several studies have shown that sulphur is emitted predominantly as SO_2 , 317 primary sulphate emissions are in the range 1-6% of the fuel sulphur content (Alfoldy et al., 2013). The 318 source profile is rich in S with an S_f to V mass concentration ratio in the PMF profile of (1.05 \pm 319 $(0.06) \times 10^2$, which corresponds to a sulphate to V concentration ratio of around 300. For comparison, 320 average sulphate to V mass ratios of 16 and 24 were found in particles emitted from ships at the harbour 321 of Rotterdam (Alfoldy *et al.*, 2013). Thus it appears that only a minor part of S_f may be assigned to 322 primary ship emissions of particles.

<u>Crustal</u>: The concentration ratios in the profile are partially different from the literature values of average crustal abundance. In both of the years, the Si to Al ratio (2.3 for 2009, 1.7 for 2010) are similar, but less than the average literature value (3.4, Mason, 1966); the Ca to Al ratio found in 2010 (1.1) is higher than the reference value (0.44); this may be due to a contamination by aerosol of anthropogenic origin or to the fact that mineral dust may well have a composition different from that of the crustal average. In fact, it is known that the Si/Al ratio in aerosols is lower than the Mason value for rocks due to the presence of clay minerals (Rahn, 1976).

330 <u>Not Identified</u>: the profile is characterized by relatively elevated concentrations of Na, Al, Si, S,
 331 K, Ca, Fe and BC. It looks therefore as a combination of aged marine aerosol (high EV of Na and Mg,

332 low for Cl) and anthropogenic particulate matter. Probably this cannot be considered as a real source, but 333 rather as a residual sum of the different sources present in the area that the model does not manage to 334 distinguish.

The PM10 apportionment in each leg during the 2010 cruise is shown in Figure 5 while Figure 6 shows the average apportionment for single elements and BC. It turns out that *Combustion* contributes, on average, to about 55% and 63%, respectively of PM10 and BC (Figures 6,7). It is worthwhile repeating that this source most likely contains an important secondary component. Sea salt constitutes on average 18% of PM10. The contribution of mineral dust is low, however it should be noted that this contribution may be important under some conditions, e.g. during the episode from 17-19 June

341 The apportionment was not possible with the 2009 data since no information was available on PM10

342 concentration during that cruise, however the four factors extracted by PMF are reported in relative units

343 in Figure E6 in the electronic supplementary material for comparison.

344 3.3.4 Comparison of PMF and "chemical" apportionment

345 The PMF apportionment of sulphur (sum of fine and coarse fractions, S_f and S_c) can be compared 346 with the percentual contributions to total sulphate obtained by the IC analysis of the quartz filters sampled 347 from 7th to 14th June 2010 (one week only, as MSA was not measured in 2009). Results are given in 348 Table 2 for each leg and for the whole week. The two approaches show a good agreement for the sulphate 349 of marine origin while the PMF apportionment gives significantly higher contributions from the crustal 350 part than what is found by the chemical apportionment, where the contribution of mineral dust was 351 estimated as being neglible because of the low average content of sulphate in crustal material. This 352 discrepancy can be explained by the fact that the sulphur content of atmospheric mineral dust can be 353 strongly influenced by sulphate coatings, generated e.g. by in-cloud processes (Usher et al., 2003). The S 354 fraction attributed by PMF to the Combustion source is comparable to the sum of the anthropogenic and 355 biogenic sulphate derived with the chemical analysis (~ 80 - 90%, for the first week of the 2010 cruise). 356 This is not surprising since the PMF data set could not include any tracer of biogenic sulphate production 357 and thus cannot resolve this specific contribution.

358 359

4. Conclusions

The study has provided information about the sources of aerosol collected during the two summer campaigns in the Western Mediterranean through apportionment using chemical marker compounds, correlation analysis and PMF receptor modeling. A main scope of the analysis was to quantify the contribution of ship emissions to sulphate in particles. Sulphate was found to account, in average, for around 40% of the total particle mass in the two campaigns

The analysis based on chemical marker compounds showed for the year 2010 only minor contributions of dust and sea salt to sulphate while the biogenic contribution, estimated based on the measurements of MSA, was found to be more important (26%), but influenced by large uncertainties.

368 Most of the sulphate (66%) could not be explained by natural sources and was thus assigned to 369 anthropogenic influences.

370V and Ni were found to be suitable tracers for emissions stemming from burning of heavy fuel371oil and they were found in a ratio typical of ship emissions. The correlations of V and Ni with sulphate372showed a strong influence of ship emissions on the leg Palma-Tunis, crossing a main ship route ($r^2=0.9$),373and similar impacts in other parts of the route. However, looking at the entire dataset and excluding local374plumes from nearby sources, the impact of ship emissions on sulphate according to this analysis was less375pronounced. Restricting the dataset to trajectories passing over the sea for the last 48 hours lead to a376considerable increase in the observed impact of ship emissions.

The hourly data set was analyzed by PMF and four sources were resolved with both the data sets, and labelled, according to their characteristic tracers, as: *Sea Salt* (Na, Cl), *Combustion* (*S_{fine}, V, Ni*), *Crustal* (Al, Si), *Not Identified.* '*Combustion*', which shows evidence of a contribution by ship emissions, was found to contribute by 55%, 63% and 80% to PM10, BC and sulphate respectively.

381 Summarizing the results it can be concluded that ship emissions were found to be an important 382 source of aerosols in the Western Mediterranean, however their impacts show large spatial and temporal 383 variations.

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385 Acknowledgements

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Tables:

	24-31 August 2009	7-14 June 2010
	8	
PIXE		X
	Х	
(streaker)		(also from 14 to 21 June)
× · ·		
Filters based sampling	PM1 & PM10	PM10
1 8		
ED-XRF	Х	
IC	X (PM10 only, no MSA)	X
-		
ТОТ	EC	EC & OC
	20	

538	Table 1: Sampling and subsequent laboratory analyses performed during the 24-31 August 200	09 and 7-14
		• • ••••• • •

June 2010 cruises. Data collected during the episode that occurred from 17 to 19 June has been excluded

- in the PMF analysis.

		PN	ſF		IC		
Leg	Sea salt	Combustion	Soil	Not Id.	Sea salt	Anthrop.	Biog.
S-B	(3 ± 3)%	(89 ± 4)%	(2 ± 6)%	(5 ± 4)%	(3.7 ± 0.4)%	$(49 \pm 5)\%$	(47 ± 5)%
B-P	(12 ± 2)%	(82 ± 2)%	(2 ± 5)%	(3 ± 3)%	$(3.2 \pm 0.3)\%$	(85 ± 9)%	(11 ± 1)%
P-T	$(19 \pm 5)\%$	$(69\pm6)\%$	(3 ± 7)%	(9±6)%	$(18 \pm 2)\%$	(63 ± 6)%	(19 ± 2)%
T-M	$(9 \pm 5)\%$	$(79\pm5)\%$	(1 ± 7)%	(10 ± 5)%	(8 ± 1)%	(71 ± 7)%	(21 ± 2)%
M-C	(1 ± 5)%	$(96\pm7)\%$	(2 ± 7)%	(1 ± 2)%	Ś		
C-C	(5 ± 2)%	(83 ± 2)%	(9 ± 5)%	(4 ± 3)%	$(4.1 \pm 0.4)\%$	(75 ± 6)%	(21 ± 2)%
C-S	(14 ± 5)%	$(65 \pm 5)\%$	(8 ± 7)%	(13 ± 6)%	(10 ± 1)%	$(52\pm5)\%$	$(39 \pm 4)\%$
Mean	$(9 \pm 3)\%$	(81 ± 4)%	$\overline{(4\pm 6)\%}$	$(6 \pm 4)\%$	(8 ± 1) %	66 ± 7	$(26 \pm 3)\%$

Table 2: Percent apportionment of total S (PMF) and total sulphate (IC) calculated in each leg of the first week of the 2010 cruise. In the last row the mean values are given.







Figure 1: Route of Costa Pacifica during spring-summer-autumn 2009 (blue). During 2010 (green) the ship followed the same route, with the only exception of the Sicilian harbour that was Catania instead of Palermo.



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Figure 2. Chemical composition (% by mass) and concentrations of particles (Mass w) obtained by Ion
Chromatography analysis during the sampling campaign in 2010. 'POM_T' and 'EC_T' are organic and
elemental carbon concentrations calculated based on the thermo-optical (transmittance) measurements.



580 Figure 3: Contributions (sea salt sulphate; non sea salt sulphate: biogenic, anthropogenic) to the total

581 SO_4^{2-} concentration, determined from chemical marker compounds as described in the text, for the 7-14

582 June 2010 cruise. The contribution from crustal material was found to be negligible.

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Figure 4: PM_{10} source profiles (left axis) extracted by the PMF with the 2010 data set. On the right axis the Evaluation Factors (EV) given by PMF2 are shown for each element/compound (white circle). Data collected during the episode that occurred from 17 to 19 June has been excluded in the PMF analysis.



Figure 5: Percentual contribution of the different sources to PM₁₀ during the 2010 cruise in each sea leg. Data
collected during the episode that occurred from 17 to 19 June has been excluded in the PMF analysis. In the last
column the mean PM10 apportionment is given. Labels on X axis identify: S-B: Savona-Barcelona leg; B-P:
Barcelona-Palma leg; P-T: Palma-Tunis leg; T-M: Tunis-Malta leg; M-C: Malta-Catania leg; C-C: CataniaCivitavecchia leg; C-S: Civitavecchia-Savona leg.



599 Figure 6: PMF mean apportionment of elemental and BC concentration values during the 2010 cruise.

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	24-31 August 2009	7-14 June 2010
PIXE	v	X
(streaker)	А	(also from 14 to 21 June)
Filter based sampling	PM1 & PM10	PM10
ED-XRF	Х	
IC	X (PM10 only, no MSA)	Х
ТОТ	EC	EC & OC

		PN	1F	IC			
Leg	Sea salt	Combustion	Soil	Not Id.	Sea salt	Anthrop.	Biog.
S-B	(3 ± 3)%	$(89 \pm 4)\%$	(2 ± 6)%	(5 ± 4)%	(3.7 ± 0.4)%	$(49 \pm 5)\%$	(47 ± 5)%
B-P	(12 ± 2)%	(82 ± 2)%	(2 ± 5)%	(3 ± 3)%	$(3.2 \pm 0.3)\%$	(85 ± 9)%	(11 ± 1)%
P-T	(19 ± 5)%	$(69 \pm 6)\%$	(3 ± 7)%	(9±6)%	(18 ± 2)%	(63 ± 6)%	(19 ± 2)%
T-M	(9 ± 5)%	$(79 \pm 5)\%$	(1 ± 7)%	(10 ± 5)%	(8 ± 1)%	(71 ± 7)%	(21 ± 2)%
M-C	(1 ± 5)%	(96 ± 7)%	(2 ± 7)%	(1 ± 2)%	6	5	
C-C	(5 ± 2)%	(83 ± 2)%	(9 ± 5)%	(4 ± 3)%	$(4.1 \pm 0.4)\%$	(75 ± 6)%	(21 ± 2)%
C-S	(14 ± 5)%	(65 ± 5)%	(8 ± 7)%	(13 ± 6)%	(10 ± 1)%	(52 ± 5)%	$(39 \pm 4)\%$
Mean	(9 ± 3)%	(81 ± 4)%	(4 ± 6)%	(6 ± 4)%	(8 ± 1) %	66 ± 7	$(26 \pm 3)\%$

(6.-(81 ± 4)% (4 -











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Highlights:

- PM samples obtained with hourly resolution on a cruise ship were analysed
- Source apportionment by PMF with a moving receptor
- Sulphate apportionment based on chemical tracers (Na, Ca, MSA)
- Correlation analysis using V and Ni as tracers of ship emissions
- Ships have strong impact on sulphate concentrations in parts of the Mediterranean

Appendix

1 2

3 The following tables give detailed information about the concentrations measured during the two campaigns:

Sampling Campaign 24-31 August 2009							
(ng m ⁻³)	PM10; SD	PM2.5; SD	MDL				
Na	825; 614	171;146	20				
Mg	173; 108	63;38	23				
Al	248; 148	146;96	19				
Si	690; 330	449; 216	17				
S	3009; 1355	2825; 1345	18				
Cl	706; 963	15; 18	18				
K	264; 85	185; 86	19				
Ca	416; 435	107; 149	23				
Ti	16; 10	10; 5	8				
V	14; 14	12; 14	5				
Fe	174; 87	101; 50	2				
Ni	7; 8	5;7	1				
Cu	2; 1	1;1	1				
Zn	11; 11	9; 10	1				
	Sampling Campa	aign 7-21 June 2010	Y				
(ng m ⁻³)	PM10; SD	PM2.5; SD	MDL				
Na	1258; 706	461; 386	36				
Mg	253; 161	86; 84	23				
Al	258; 492	204; 473	19				
Si	550; 1015	411; 974	16				
S	1645; 976	1461; 961	17				
Cl	1175; 1276	18; 16	18				
K	226; 169	137; 164	18				
Ca	333; 551	92; 132	27				
Ti	8; 8	10; 17	9				
V	19; 34	17; 29	8				
Fe	117; 196	79; 175	2				
Ni	7; 12	6; 10	1				
Cu	2; 2	1; 3	1				
Zn	13; 28	14; 42	1				
Pb	2; 3	2;5	2				

Table E1: Averages and standard deviations of the elemental concentration values of PM10 and PM2.5 measured by streaker sampling and PIXE analysis separated for
 the 2009 and 2010 cruises. MDL is 'minimum detection limit'. Measurements of Pb are not available for 2009.

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Γ		BC	O ₃	SO ₂	NO _x
	24-31 August 2009	0.7 ± 0.4	41.4 ± 7.6	0.5 ± 0.3	1.0 ± 0.6
	7-21 June 2010	0.5 ± 0.2	44.9 ± 5.6	0.3 ± 0.2	2.3 ± 1.2

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13 **Table E2.** BC (μ g m⁻³), O₃ (ppbv), SO₂ (ppbv) and NO_x (ppbv) concentration values (mean and standard deviation) measured along the ship route during the two 14 intensive campaigns and obtained from 2006 to 2010 for the same months (June - August) and averaged on the "*summer*" period (JJA, June – July – August). SO₂ and 15 NO_x measurements started in August 2009 only.

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24-31 August 2009					
Compound	Max	Min	Mean ± SD		
NH ₄	4.0	0.5	2.3 ± 0.1		
NO ₃	3.5	0.4	1.4 ± 0.1		
SO_4	13.6	2.8	8.6±0.3		
EC	0.8	0.1	0.20 ± 0.02		
Sea Salt	10.9	0.9	3.1 ± 0.2		
Dust	16.5	0.6	3.8 ± 0.4		
I	7-1	14 June 2010			
Compound	Max	Min	Mean ± SD		
NH ₄	1.5	0.4	0.9 ± 0.1		
NO ₃	3.6	0.2	1.4 ± 0.3		
SO ₄	6.9	1.7	4.6 ± 0.5		
ОМ	1.6	0.3	0.6 ± 0.1		
EC	1.2	0.3	0.80 ± 0.01		
Sea Salt	11.7	0.5	2.7 ± 0.8		
Dust	2.0	0.1	0.8 ± 0.2		

28Table E3: Chemical composition of the PM_{10} samples collected along the sea legs on quartz filters between August. 24-31 2009 and June. 7-14 2010. Concentration29values are given in μ g m⁻³. See text for the composition of Sea Salt and Dust. OM values are not available for the 2009 cruise.

		r	r					
		Sea salt SO ₄ (ssSO ₄)		$ssSO_4 = \left(\frac{SO_4}{Na}\right)_{seawa}$	* Na _{ater}			
				Anthropogenic nssSO ₄ (nssSO _{4.antr})	$nssSO_{4,antr} = \left(nssSO_4 - nssSO_{4,bio} - nssSO_{4,cru}\right)$			
	Total SO ₄	Not sea salt SO ₄ (nssSO ₄)	(Total SO ₄ – ssSO ₄)	Biogenic nssSO ₄ (nssSO _{4.bio}) (Bates et al. 1992)	$MSA/nssSO_{4,bio}\% = -1.5*T^{0}C + 42.2$			
				Dust nssSO ₄ (nssSO _{4.cru})	$nssSO_{4,cru} = \left(\frac{SO_4}{Ca}\right)_{cru} * nssCa$			
34 35	Table F4 [.] Re	elations used to calculat	e the different contributio	ns to the total SQ ²⁻ : sea salt sulphate and n	ot sea salt sulphate divided in anthropogenic biogenic and			
36	crustal. A va	lue of 0.252 g/g was us	sed for the ratio between	sulphate and sodium in seawater (Seinfeld	and Pandis, 1998). Based on the sulphur content in crustal			
37	material (Ma	son, 1966), the ratio bet	tween sulphate and Ca in	dust was estimated to be 0.021 g/g. Applyi	ng this factor to the experimental data the estimated crustal			
38	contribution t	to nssSO4 was found to b	be neglible (less than 1% of	on all filters).				
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48 Inter-comparison of compositional data 49 In order to check the consistency of the data, the PM₁₀ elemental concentration obtained by PIXE were compared with the data obtained through 50 ED-XRF (only for the 2009 sampling campaign) and IC analysis of the quartz filters sampled during August 24-31 2009 and June 7-14, 2010. PM₁₀ 51 elemental concentrations were obtained as the sum for each element of its concentration in the fine and coarse fraction sampled by the streaker. Hourly 52 concentration values provided by PIXE were averaged over the same time period of the sampling on quartz filters. The streaker performance (i.e. the cut-53 point values) have been found in previous experiments (Chiari et al., 2005; Mazzei et al., 2006) not to be completely corresponding to the international 54 standards to collect PM2.5 and PM10 so differences in the absolute values can be expected. However concentration values obtained by different methods 55 are expected to be correlated. 56 ED-XRF analysis: Quartz filters are characterized by a high Si content and this practically prevents the detection of light elements, in particular 57 from Na to Si. Moreover, medium energy X-Rays emitted from S to K, are subject to significant self-attenuation in the quartz matrix (Alessandri, 2011), 58 for this reason concentration values, obtained by ED-XRF analysis, were corrected by a multiplicative factor (S=1.7, Cl=1.7, K=1.5). In any case the 59 attenuation depends on the penetrating depth of aerosol particles inside the filter and cannot be exactly quantified: as a consequence, this effect may 60 significantly increase the uncertainties on the concentrations of these elements. Figure E2 (electronic supplementary material) shows the PIXE to ED-61 XRF concentration ratios for elements detected with good statistics for the 2009 filters campaign. 62 Ion Chromatography (IC): The ratios between the concentrations of the elements in PM10 (PIXE vs. IC), are reported in Figure E3 (electronic 63 supplementary material) for the 2009 and 2010 filter campaigns. The overall picture shows a good correlation between PIXE and IC data ($R2 \ge 0.7$, see 64 Figures E.3), with concentration ratios variable between 1.0 and 1.8. It should be noted, that PIXE analysis measures the total concentrations of the 65 elemental species while IC measures the soluble ionic fraction of that species: this may partially explain the higher values obtained by PIXE. OPC-66 Gravimetric comparison: The mass concentration values (PM10) provided by the OPC were compared with the gravimetric PM10 concentration values 67 on the quartz filters sampled during the 2010 cruise. The two time series turned out to be correlated (R2 = 0.7) with PM10OPC=0.94 PM10grav. The 68 hourly PM10 values obtained by the OPC were therefore corrected and used to apportion the PM10 mass (see section PM10 apportionment). 69 70 Correlation analysis

For this analysis, filters and streaker samples showing evidence of plumes from close-by emissions from ship stacks were discarded because otherwise the observed correlations might be strongly influenced by local peak values. The selection of filters to be discarded was done based on the observation of concentration peaks of SO₂, as high sulphur emission rates are a characteristic feature of emissions from stacks on sea-going ships. Filters,

74 where the integrated SO₂ concentrations in peaks exceeded 10% of the total integrated SO₂ were discarded. 'Peaks' were defined as concentrations

- 75 exceeding 3 times the average. The resulting dataset, which is the basis of the following analysis, is in the following referred to as the 'filtered data'.
- 76 The correlation matrices (Pearsons correlation coefficient) for the hourly elemental concentration values obtained by PIXE analysis of the

77 streaker samples and for the chemical species and elements in filter samples were calculated, for each of the two years. The same correlation coefficients

- 78 (r) were also calculated for samples with marine trajectories (see above) for the year 2010. Filters and streaker samples from harbours have not been
- 79 included. Also the p-value was calculated, the p-value is an estimate of the probability that the observed correlation is due to random variation of the
- 80 parameters. The continuous measurements of trace gases (10 minute resolution) and the PIXE measurements of elements sampled by the streaker (one
- 81 hour resolution) were integrated over the periods where the filters were sampled.
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Figure E.1: Comparison between elemental concentration values determined by ED-XRF analysis of the quartz filters (x axis) during the 2009 cruise and the
 corresponding data obtained by the streaker + PIXE analysis (y axis).



Figure E2: Comparison between elemental concentration values determined by IC analysis of the quartz filters (x axis) during the 2009 (A) and 2010 (B) cruise
 and the corresponding data obtained by the streaker +PIXE analysis (y axis).







Figure E4: Time trends of elemental concentration values measured during the second week of the 2010 cruise:

105 an episode characterized by high Al, Si, K, Ca, Fe and BC concentration values occurred between 18 and 19 June

106 and was discarded in the PMF analysis



Figure E5: S_f, (top panel) V, Ni (bottom panel) concentration time trend during the 2010 cruise. Colored points

highlight measurements in the harbours while red arrows marks correlated concentration peaks.



- Figure E6: PM₁₀ source profiles (left axis) extracted by the PMF with the 2009 data set. On the right axis the
- 118 Evaluation Factors (EV) for each element/compound are given (white circle)

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