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Nivolumab Monotherapy and Nivolumab Plus Ipilimumab in Recurrent Small Cell Lung Cancer: Results From the CheckMate 032 Randomized Cohort

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3

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139 **Abstract:**

140 **Introduction:** Nivolumab monotherapy is approved in the US for third-line or later metastatic
141 SCLC based on pooled data from non-randomized and randomized cohorts of the
142 multicenter, open-label, phase 1/2 trial of nivolumab ± ipilimumab (CheckMate 032;
143 NCT01928394). We report updated results, including long-term overall survival (OS), from
144 the randomized cohort.

145 **Methods:** Patients with SCLC and disease progression after 1–2 prior chemotherapy
146 regimens were randomized 3:2 to nivolumab 3 mg/kg Q2W or nivolumab 1 mg/kg plus
147 ipilimumab 3 mg/kg Q3W for four cycles followed by nivolumab 3 mg/kg Q2W. Patients were
148 stratified by number of prior chemotherapy regimens and treated until disease progression or
149 unacceptable toxicity. Primary endpoint was objective response rate (ORR) by blinded
150 independent central review.

151 **Results:** Overall, 147 patients received nivolumab and 96 nivolumab plus ipilimumab.
152 Minimum follow-up for ORR/PFS/safety was 11.9 months (nivolumab) and 11.2 months
153 (nivolumab plus ipilimumab). ORR increased with nivolumab plus ipilimumab (21.9% versus
154 11.6% with nivolumab; odds ratio: 2.12 [95% CI: 1.06–4.26]; $p=0.03$). For long-term OS,
155 minimum follow-up was 29.0 months (nivolumab) versus 28.4 months (nivolumab plus
156 ipilimumab); median (95% CI) OS was 5.7 (3.8–7.6) versus 4.7 months (3.1–8.3). 24-month
157 OS rates were 17.9% (nivolumab) and 16.9% (nivolumab plus ipilimumab). Grade 3–4
158 treatment-related adverse event rates were 12.9% (nivolumab) versus 37.5% (nivolumab
159 plus ipilimumab), and treatment-related deaths 1 versus 3.

160 **Conclusion:** While ORR (primary endpoint) was higher with nivolumab plus ipilimumab
161 versus nivolumab, OS was similar between groups. In each group, OS remained
162 encouraging with long-term follow-up. Toxicities were more common with combination
163 therapy versus nivolumab monotherapy.

164 **Keywords:** SCLC, nivolumab, ipilimumab, PD-1 inhibitor, immunotherapy

165 Introduction

166 Patients with recurrent small cell lung cancer (SCLC) have limited treatment options and
167 poor survival.¹ Nivolumab, an anti-programmed death-1 (PD-1) antibody, and ipilimumab, an
168 anti-cytotoxic T-lymphocyte antigen-4 (CTLA-4) antibody, are immune checkpoint inhibitors
169 with complementary mechanisms of action. Nivolumab is approved alone or in combination
170 with ipilimumab for the treatment of several types of cancer, including melanoma, renal cell
171 carcinoma, and colorectal cancer.²⁻⁷

172 The CheckMate 032 trial (NCT01928394) evaluated nivolumab alone or in combination
173 with ipilimumab in patients with previously treated advanced or metastatic solid tumors,
174 including SCLC.⁸ Initial results from a non-randomized cohort of patients with SCLC and
175 progression after platinum-based chemotherapy showed the antitumor activity of nivolumab
176 monotherapy and nivolumab plus ipilimumab, characterized by durable responses,
177 encouraging survival, and manageable toxicity.^{8,9} With a median follow-up of 15.7 months
178 and 21.0 months, respectively, patients receiving nivolumab monotherapy or nivolumab
179 1 mg/kg plus ipilimumab 3 mg/kg in the non-randomized cohort had a 2-year overall survival
180 (OS) rate of 17% and 30%, and a median duration of response (DOR) of not reached and
181 11.7 months.¹⁰

182 A randomized cohort was subsequently added to assess the clinical activity of
183 nivolumab monotherapy versus nivolumab plus ipilimumab. Nivolumab 1 mg/kg plus
184 ipilimumab 3 mg/kg every 3 weeks (Q3W) was selected as the combination regimen for the
185 randomized cohort rather than nivolumab 3 mg/kg plus ipilimumab 1 mg/kg Q3W based on a
186 clinically meaningful increase in response rate in the non-randomized cohort. Although the
187 nivolumab 1 mg/kg plus ipilimumab 3 mg/kg regimen was associated with higher rates of
188 grade 3–4 treatment-related adverse events (TRAEs) in analyses from the non-randomized
189 cohort, the regimen was tolerable and events manageable with established algorithms⁸; in
190 addition, these doses have been used safely and effectively in patients with melanoma and
191 are approved by the United States Food and Drug Administration (US FDA) for use in
192 patients with unresectable or metastatic melanoma.^{2,3}

193 An initial report of the randomized cohort, at a minimum follow-up of 3 months, showed
194 an objective response rate (ORR; primary endpoint) of 12% with nivolumab monotherapy
195 and 21% with nivolumab plus ipilimumab, and 3-month progression-free survival (PFS) rates
196 of 18% and 30%, respectively; however, preliminary OS rates at 3 months were similar
197 between treatment groups.¹¹

198 In addition to these analyses of the randomized cohort, pooled efficacy and safety data
199 for third-line or later nivolumab monotherapy from the non-randomized and randomized
200 cohorts have been reported with a minimum follow-up of 11.9 months.¹² Based on these
201 data, the US FDA approved nivolumab monotherapy for the treatment of metastatic SCLC
202 with progression after platinum-based chemotherapy and at least one other line of therapy.²

203 This manuscript presents updated efficacy and safety data from the randomized cohort
204 of patients with SCLC, including long-term OS data.

205 **Methods**

206 The methodology of the CheckMate 032 trial has been previously reported.⁸

207 *Patients*

208 The SCLC cohort of CheckMate 032 included patients aged ≥ 18 years, unselected for
209 programmed death ligand 1 (PD-L1) tumor expression, with an Eastern Cooperative
210 Oncology Group performance status (ECOG PS) of 0 or 1, histologically or cytologically
211 confirmed limited-stage or extensive-stage SCLC at diagnosis, and progressive disease after
212 one or two prior chemotherapy regimens, including a platinum-based regimen as first-line
213 treatment. Patients with active brain metastases or leptomeningeal metastases were
214 excluded; however, patients were eligible if they had brain metastases that had been treated
215 and no magnetic resonance imaging evidence of progression for at least 4 weeks after
216 treatment was completed and within 28 days before the first dose of study drug, or if they
217 had only incidental findings of asymptomatic brain metastases at screening.

218 *Trial Design and Treatment*

219 CheckMate 032 is a multicenter, open-label, phase 1/2 trial in advanced/metastatic solid
220 tumors.⁸ Initially, patients with SCLC were treated with nivolumab or one of three dosing
221 regimens of nivolumab combined with ipilimumab (non-randomized cohort) to assess the
222 safety and appropriate dosing of combination therapy in SCLC.⁸ Since encouraging clinical
223 activity was observed, a subsequent randomized cohort was added to confirm this activity of
224 nivolumab versus nivolumab plus ipilimumab. Patients were randomized (3:2 ratio), with
225 stratification by prior treatment lines (one versus two prior chemotherapy regimens), to
226 receive nivolumab 3 mg/kg every 2 weeks (Q2W) or nivolumab 1 mg/kg plus ipilimumab 3
227 mg/kg Q3W for four cycles, followed by nivolumab 3 mg/kg Q2W until disease progression
228 or unacceptable toxicity.

229 *Endpoints*

230 In the randomized cohort, the primary endpoint was ORR as assessed by blinded
231 independent central review (BICR) per the Response Evaluation Criteria in Solid Tumors,
232 version 1.1.¹³ Secondary endpoints were DOR by BICR, PFS by BICR, OS, and safety.
233 Adverse events were graded according to the National Cancer Institute's Common
234 Terminology Criteria for Adverse Events, version 4.0. Events with an outcome of death were
235 reported according to the grade experienced at presentation.

236 *Statistical Analysis*

237 This analysis included data from the randomized cohort only. Efficacy was analyzed as
238 described previously.⁸ Tumor mutational burden (TMB) categories (low, medium, high) were
239 defined according to the baseline tertile of pooled TMB-evaluable patients using whole
240 exome sequencing from the randomized cohort only. The database lock was November 6,
241 2017 for ORR, PFS, and safety, and April 12, 2019 for long-term OS.

242 *Trial Oversight*

243 The trial was conducted in accordance with the Declaration of Helsinki and Good Clinical
244 Practice guidelines, as defined by the International Conference on Harmonization. An
245 institutional review board or independent ethics committee at each participating center
246 approved the study protocol. All patients provided written informed consent. Bristol-Myers
247 Squibb policy on data sharing may be found at [https://www.bms.com/researchers-and-](https://www.bms.com/researchers-and-partners/clinical-trials-and-research/disclosure-commitment.html)
248 [partners/clinical-trials-and-research/disclosure-commitment.html](https://www.bms.com/researchers-and-partners/clinical-trials-and-research/disclosure-commitment.html).

249 **Results**

250 *Patients and Treatment*

251 In the randomized SCLC cohort of CheckMate 032, 147 patients initiated treatment with
252 nivolumab and 96 with nivolumab plus ipilimumab between October 21, 2015 and November
253 30, 2016. Baseline patient characteristics were balanced between the two groups (**Table 1**).

254 At the database lock on November 6, 2017, the minimum follow-up for efficacy and
255 safety data was 11.9 months with nivolumab and 11.2 months with nivolumab plus
256 ipilimumab. The median number (range) of doses of nivolumab received as monotherapy
257 was 3 (1–48); in the nivolumab plus ipilimumab group, patients received a median (range) of
258 2 (1–45) doses of nivolumab and 2 (1–4) of ipilimumab. Median cumulative dose of
259 nivolumab was 9.1 mg/kg in the nivolumab group and 2.1 mg/kg in the nivolumab plus
260 ipilimumab group; median cumulative dose of ipilimumab was 6.1 mg/kg. Eight patients
261 (5.4%) in the nivolumab group and eight patients (8.3%) in the nivolumab plus ipilimumab

262 group continued to receive study treatment at database lock. The most common reason for
263 treatment discontinuation was disease progression in both groups (nivolumab, 80.3%;
264 nivolumab plus ipilimumab, 61.5%; **Supplementary Table 1**).

265 For long-term OS, at the database lock of April 12, 2019, the minimum follow-up was
266 29.0 months and 28.4 months with nivolumab and nivolumab plus ipilimumab, respectively.

267 *Efficacy*

268 The ORR was 11.6% (95% CI: 6.9–17.9) in the nivolumab group and 21.9% (95% CI: 14.1–
269 31.5) in the nivolumab plus ipilimumab group (**Table 2**). The absolute difference in ORR
270 between treatment groups was 10.3% (95% CI: 0.6–20.1), with an odds ratio of 2.12 (95%
271 CI: 1.06–4.26); $p = 0.03$ (**Table 2**). Median DOR was 15.8 months (95% CI: 7.4–not
272 reached) in the nivolumab group and 10.0 months (95% CI: 6.7–not reached) in the
273 nivolumab plus ipilimumab group. Twelve (70.6%) and 15 (71.4%) responders in the
274 nivolumab and nivolumab plus ipilimumab groups, respectively, had a DOR of at least 6
275 months, and six (35.3%) and seven (33.3%) of at least 12 months (**Fig. 1**).

276 The median PFS with nivolumab and nivolumab plus ipilimumab was 1.4 months (95%
277 CI: 1.3–1.4) and 1.5 months (95% CI: 1.4–2.2), respectively. PFS rates at 3 months were
278 19.8% (95% CI: 13.7–26.8) and 31.6% (95% CI: 22.6–41.0), at 6 months were 15.9% (95%
279 CI: 10.3–22.5) and 22.1% (95% CI: 14.4–30.9), and at 12 months were 9.5% (95% CI: 5.2–
280 15.2) and 11.9% (95% CI: 6.3–19.5) (**Fig. 2**).

281 Subsequent systemic cancer therapy was received by 32.0% and 16.7% of patients
282 treated with nivolumab and nivolumab plus ipilimumab, respectively, including chemotherapy
283 (22.4% and 11.5%), experimental drugs (8.8% and 6.3%), and immunotherapy (6.1% and
284 3.1%).

285 Among patients who exhibited partial or complete responses, 18% discontinued
286 treatment due to study drug toxicity in the nivolumab group versus 29% in the nivolumab
287 plus ipilimumab group. Among responders, the median number of nivolumab doses was 30
288 in the nivolumab group versus 12 in the nivolumab plus ipilimumab group; the dose of
289 nivolumab during the first four cycles was also higher in the nivolumab group (3 mg/kg Q2W
290 vs 1 mg/kg Q3W) and the median cumulative dose of nivolumab among responders was
291 90.1 mg/kg and 32.3 mg/kg, respectively. The median number of ipilimumab doses received
292 among responders in the nivolumab plus ipilimumab group was 4, with a median cumulative
293 dose of 12.0 mg/kg.

294 At the updated database lock for long-term OS, median OS was 5.7 months (95% CI:
295 3.8–7.6) with nivolumab and 4.7 months (95% CI: 3.1–8.3) with nivolumab plus ipilimumab.
296 The 12- and 24-month OS rates were 30.5% (95% CI: 23.1–38.3) and 17.9% (95% CI: 11.9–
297 24.9) for nivolumab, and 30.2% (95% CI: 21.2–39.6) and 16.9% (95% CI: 10.1–25.3) for
298 nivolumab plus ipilimumab (**Fig. 3A**). Analyses of key patient subgroups showed no
299 significant differences in OS between treatments for any subgroups analyzed, including sex,
300 prior lines of therapy, platinum sensitivity, and baseline TMB (**Fig. 3B**). As only 14 patients
301 with nivolumab and 10 patients with nivolumab plus ipilimumab had PD-L1 expression $\geq 1\%$,
302 an analysis for outcomes by PD-L1 expression was not performed.

303 *Safety*

304 Any-grade TRAEs were reported in 53.7% of patients in the nivolumab group and 68.8% of
305 patients in the nivolumab plus ipilimumab group (**Table 3**). Grade 3–4 TRAEs occurred in
306 12.9% of patients receiving nivolumab and 37.5% of those receiving nivolumab plus
307 ipilimumab. The most frequent ($\geq 10\%$) TRAEs of any grade were fatigue (12.2%) with
308 nivolumab, and diarrhea (19.8%), fatigue (18.8%), pruritus (16.7%), and nausea, increased
309 AST, and decreased appetite (each 10.4%) with nivolumab plus ipilimumab. TRAEs led to
310 discontinuation in 2.7% of patients receiving nivolumab and 13.5% of those receiving
311 nivolumab plus ipilimumab; the majority of these events were grade 3–4 and are detailed in
312 **Supplementary Table 2**. One treatment-related death occurred in the nivolumab group due
313 to pneumonitis, and three in the nivolumab plus ipilimumab group, with one each due to
314 hepatitis, pneumonitis, and encephalitis. In addition, one death was reported with nivolumab
315 plus ipilimumab due to both study treatment toxicity (autoimmune colitis) and disease
316 progression.

317 **Discussion**

318 This report presents a longer follow-up analysis of efficacy and safety data for the
319 nivolumab versus nivolumab plus ipilimumab randomized SCLC cohorts of the CheckMate
320 032 study, updating previous data reported with a minimum follow-up of 3 months.¹¹
321 Nivolumab monotherapy provided durable responses in a subset of patients and was well
322 tolerated as a second- or later-line treatment for recurrent SCLC, consistent with previous
323 observations from the randomized cohort.¹¹ Furthermore, the efficacy of nivolumab
324 monotherapy in this analysis was similar to that from the pooled non-randomized and
325 randomized cohorts of patients who received third- or later-line nivolumab monotherapy in
326 CheckMate 032 (ORR, 11.6% versus 11.9%; median DOR, 15.8 months versus 17.9
327 months; 12-month OS rate, 30.5% versus 28.3%).¹² The combination of nivolumab (1 mg/kg)
328 plus ipilimumab (3 mg/kg) significantly improved the primary endpoint of ORR compared with

329 nivolumab monotherapy; however, the combination was associated with increased toxicity,
330 and the higher response rate did not translate into longer PFS or OS. Additionally, no
331 significant benefit in OS was seen with nivolumab plus ipilimumab versus nivolumab in any
332 patient subgroups analyzed, although the 24-month OS rates were clinically meaningful
333 (~18%) in both groups.

334 The discrepancy between ORR and PFS/OS data at these doses of nivolumab (3
335 mg/kg) and nivolumab (1 mg/kg) plus ipilimumab (3 mg/kg) may be explained by a higher
336 number of treatment discontinuations due to study drug toxicity in the nivolumab plus
337 ipilimumab group (even among responders), and a lower rate of subsequently administered
338 therapies compared to the nivolumab monotherapy group. An apparent early benefit of
339 nivolumab plus ipilimumab in ORR and PFS but shorter DOR compared with nivolumab
340 alone is also consistent with differences in treatment duration; however, whether early
341 discontinuation of nivolumab plus ipilimumab affected the likelihood of disease progression
342 cannot be determined. Other schedules combining nivolumab and ipilimumab in lung cancer
343 have shown better tolerability than the regimen studied in the randomized cohort of
344 CheckMate 032. CheckMate 012, a multi-institutional phase 1 trial in patients with previously
345 untreated, advanced non-small cell lung cancer (NSCLC), included treatment arms
346 combining nivolumab with ipilimumab Q3W, every 6 weeks (Q6W), or every 12 weeks.¹⁴ The
347 nivolumab 3 mg/kg Q2W plus ipilimumab 1 mg/kg Q6W regimen was better tolerated than
348 the regimens with ipilimumab Q3W, and was chosen for phase 2/3 development. CheckMate
349 568¹⁵, a large phase 2 trial, and CheckMate 227^{16,17}, a large phase 3 trial, found that
350 nivolumab 3 mg/kg Q2W plus ipilimumab 1 mg/kg Q6W was tolerable and could be
351 effectively given in patients with NSCLC.

352 Of note, previous analysis of pooled data from the non-randomized and randomized
353 cohorts of CheckMate 032 explored the effect of TMB on efficacy outcomes in patients with
354 SCLC.¹⁸ This analysis used whole exome sequencing to determine TMB and grouped
355 patients into tertiles to define categories of high, medium, and low TMB. Results indicated a
356 potential survival benefit from nivolumab plus ipilimumab versus nivolumab monotherapy for
357 patients with a high TMB, whereas for patients with medium or low TMB, survival was similar
358 with nivolumab plus ipilimumab or nivolumab alone. A similar trend was observed in the
359 current analysis of the randomized cohort. However, given the limited sample size and
360 exploratory nature of the TMB analysis, these data should be interpreted with caution.

361 The safety profile for nivolumab monotherapy was consistent with that seen in pooled
362 data from the randomized and non-randomized SCLC cohorts.¹² The doses of nivolumab
363 and ipilimumab administered to patients with SCLC in the combination group of the

364 randomized cohort (nivolumab, 1 mg/kg Q2W; ipilimumab, 3 mg/kg Q3W) differ from those
365 being explored in patients with non–small cell lung cancer (nivolumab, 3 mg/kg Q2W;
366 ipilimumab, 1 mg/kg Q6W)¹⁵⁻¹⁷; however, the safety profiles of both monotherapy and
367 combination treatment were in accordance with those observed in other tumor types,² and
368 no new safety signals were identified.

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429

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Supplemental Data

Supplementary Table 1. Patient Disposition and Reasons for Discontinuation at Database Lock of November 6, 2017

Supplementary Table 2. Treatment-Related Adverse Events Leading to Discontinuation

Table 1. Baseline Demographics and Clinical Characteristics

	Nivolumab (n = 147)	Nivolumab + Ipilimumab (n = 96)
Median age, years (range)	63.0 (29–83)	65.0 (41–91)
≥65 years, n (%)	65 (44.2)	49 (51.0)
Male, n (%)	86 (58.5)	61 (63.5)
Race, n (%)		
White	134 (91.2)	87 (90.6)
Black/African American	7 (4.8)	5 (5.2)
Asian	2 (1.4)	1 (1.0)
Other	4 (2.7)	3 (3.1)
Prior systemic treatment regimens, n (%)		
1	97 (66.0)	65 (67.7)
2–3 ^a	50 (34.0)	31 (32.3)
First-line platinum sensitivity, n (%)		
Sensitive ^b	73 (49.7)	55 (57.3)
Resistant ^c	73 (49.7)	40 (41.7)
Unknown	1 (0.7)	1 (1.0)
Smoking status, n (%)		
Current/former smoker	136 (92.5)	91 (94.8)
Never smoked	10 (6.8)	4 (4.2)
Unknown	1 (0.7)	1 (1.0)
ECOG PS, n (%)		
0	49 (33.3)	27 (28.1)
1	98 (66.7)	68 (70.8)
Not reported	0	1 (1.0)
Baseline TMB ^d , n (%)		
All evaluable	99 (67.3)	65 (67.7)
TMB low	32 (32.3)	21 (32.3)
TMB medium	34 (34.3)	22 (33.8)
TMB high	33 (33.3)	22 (33.8)

Data are based on a database lock of November 6, 2017.

^aAlthough randomization to the randomized cohort was limited to subjects with 1 or 2 prior lines of therapy, one patient in each treatment group received 3 lines of prior therapy.

^bProgression free ≥90 days after completion of platinum-based chemotherapy.

^cProgression free <90 days after completion of platinum-based chemotherapy.

^dTMB categories (low, medium, high) were defined according to the baseline tertile of pooled TMB-evaluable patients from the randomized cohort, and percentages calculated based on the total TMB-evaluable population.

ECOG PS, Eastern Cooperative Oncology Group performance status; TMB, tumor mutational burden.

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Table 2. Summary of Tumor Response

Endpoint	Nivolumab (n = 147)	Nivolumab + Ipilimumab (n = 96)
ORR by BICR ^a		
No. of patients	17	21
% of patients (95% CI)	11.6 (6.9–17.9)	21.9 (14.1–31.5)
Difference between groups, % (95% CI) ^{b,c}	10.3 (0.6–20.1)	
Odds ratio (95% CI) ^{c,d}	2.12 (1.06–4.26)	
p value ^e	0.03	
Best overall response, n (%)		
Complete response	2 (1.4)	2 (2.1)
Partial response	15 (10.2)	19 (19.8)
Stable disease	25 (17.0)	16 (16.7)
Progressive disease	87 (59.2)	41 (42.7)
Unable to determine	15 (10.2)	17 (17.7)
Not reported	3 (2.0)	1 (1.0)
Median time to response, months	1.5	1.4

Data are based on a database lock of November 6, 2017.

^aPer the Response Evaluation Criteria in Solid Tumors version 1.1.

^bStrata adjusted difference in ORR ([nivolumab + ipilimumab] minus nivolumab) based on Cochran–Mantel–Haenszel method of weighting.

^cStratified by number of prior treatment lines (one versus two prior chemotherapy regimens) as for randomization.

^dStrata adjusted odds ratio (nivolumab + ipilimumab over nivolumab) using Mantel–Haenszel method.

^eTwo-sided p value from stratified Cochran–Mantel–Haenszel test.

BICR, blinded independent central review; CI, confidence interval; ORR, objective response rate.

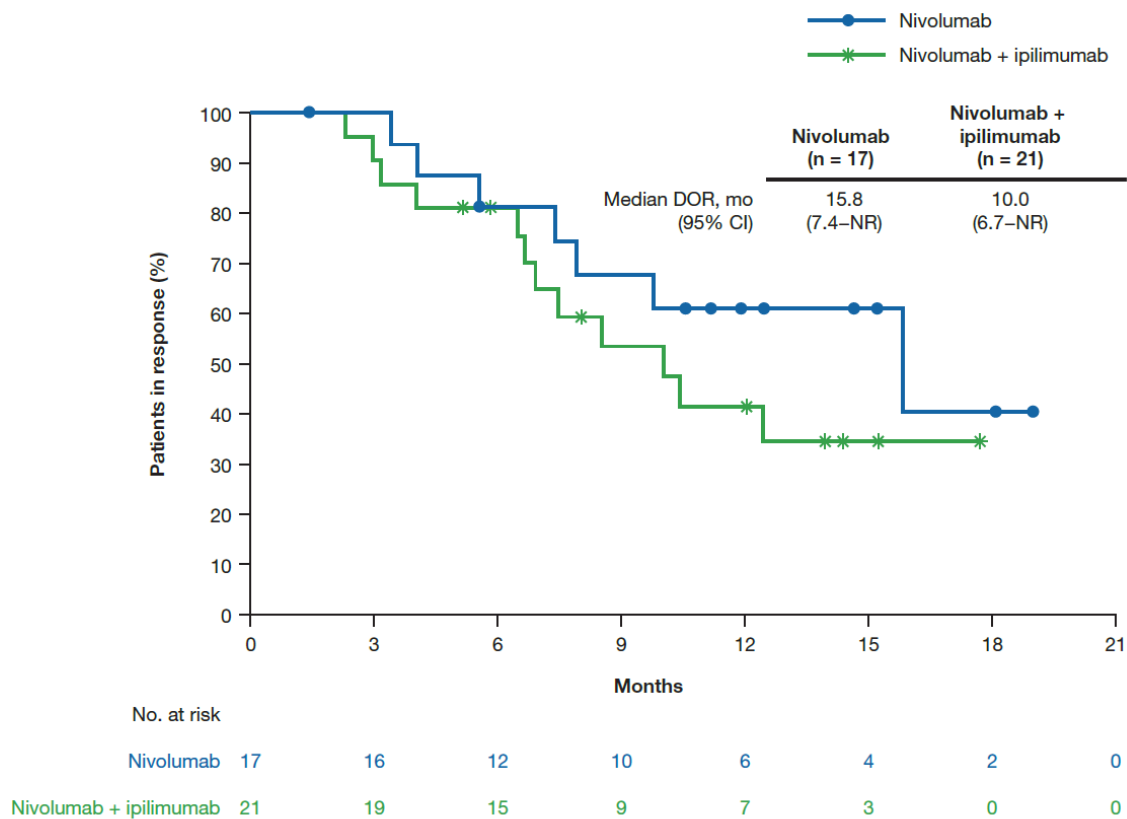
Table 3. Treatment-Related Adverse Events

Event, n (%)	Nivolumab (n = 147)		Nivolumab + Ipilimumab (n = 96)	
	Any Grade	Grade 3–4	Any Grade	Grade 3–4
Any event	79 (53.7)	19 (12.9)	66 (68.8)	36 (37.5)
Any serious event	9 (6.1)	8 (5.4)	25 (26.0)	22 (22.9)
Any event leading to discontinuation	4 (2.7)	4 (2.7)	13 (13.5)	11 (11.5)
Most frequent events (≥5% in either group)				
Fatigue	18 (12.2)	1 (0.7)	18 (18.8)	1 (1.0)
Pruritus	14 (9.5)	0	16 (16.7)	0
Arthralgia	9 (6.1)	0	6 (6.3)	0
Infusion-related reaction	9 (6.1)	0	0	0
Rash	8 (5.4)	1 (0.7)	6 (6.3)	1 (1.0)
Nausea	7 (4.8)	0	10 (10.4)	0
AST increased	7 (4.8)	2 (1.4)	10 (10.4)	5 (5.2)
Diarrhea	6 (4.1)	0	19 (19.8)	5 (5.2)
Maculopapular rash	6 (4.1)	0	9 (9.4)	3 (3.1)
Hypothyroidism	6 (4.1)	0	8 (8.3)	0
Decreased appetite	6 (4.1)	1 (0.7)	10 (10.4)	0
Asthenia	5 (3.4)	0	5 (5.2)	0
Lipase increased	5 (3.4)	4 (2.7)	5 (5.2)	5 (5.2)
ALT increased	4 (2.7)	0	9 (9.4)	5 (5.2)
Pneumonitis	3 (2.0)	3 (2.0)	5 (5.2)	3 (3.1)
Hyperthyroidism	3 (2.0)	0	7 (7.3)	1 (1.0)

Amylase increased	2 (1.4)	0	6 (6.3)	4 (4.2)
Vomiting	2 (1.4)	0	8 (8.3)	0
Pyrexia	2 (1.4)	0	5 (5.2)	0
Colitis	0	0	9 (9.4)	4 (4.2)

Data are based on a database lock of November 6, 2017 and include events reported from the time of the first dose of study drug to 30 days after the last dose. Events with an outcome of death are reported according to the grade experienced at presentation.

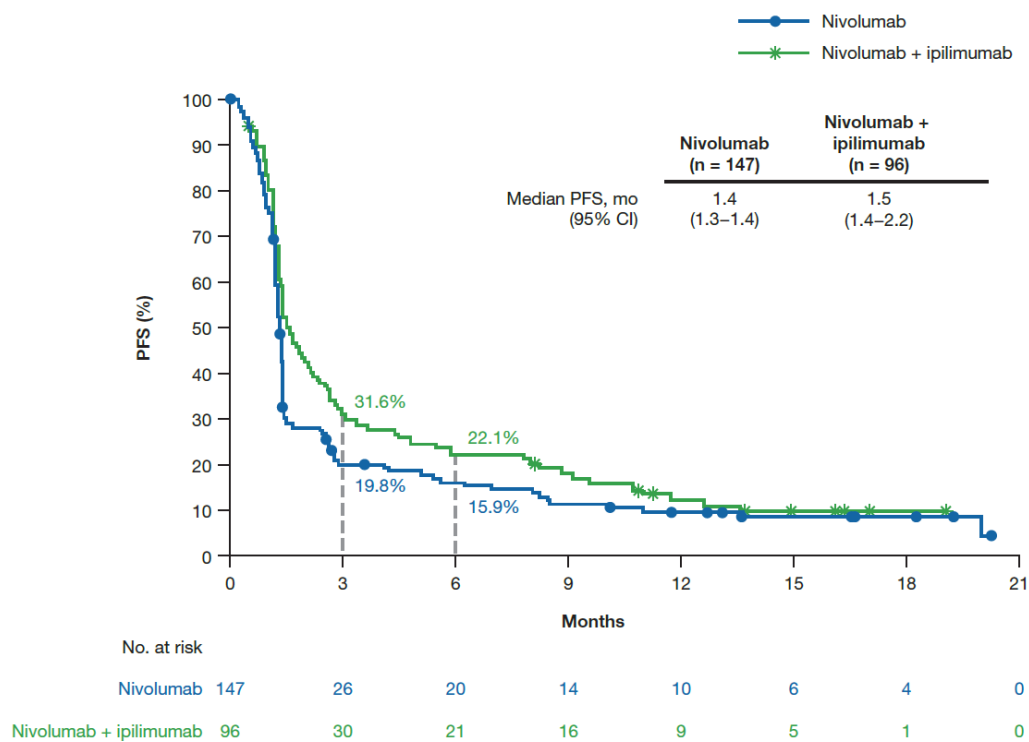
ALT, alanine aminotransferase; AST, aspartate aminotransferase.

Figure 1. Duration of Response

Data are based on a database lock of November 6, 2017.

CI, confidence interval; DOR, duration of response; mo, months; NR, not reached.

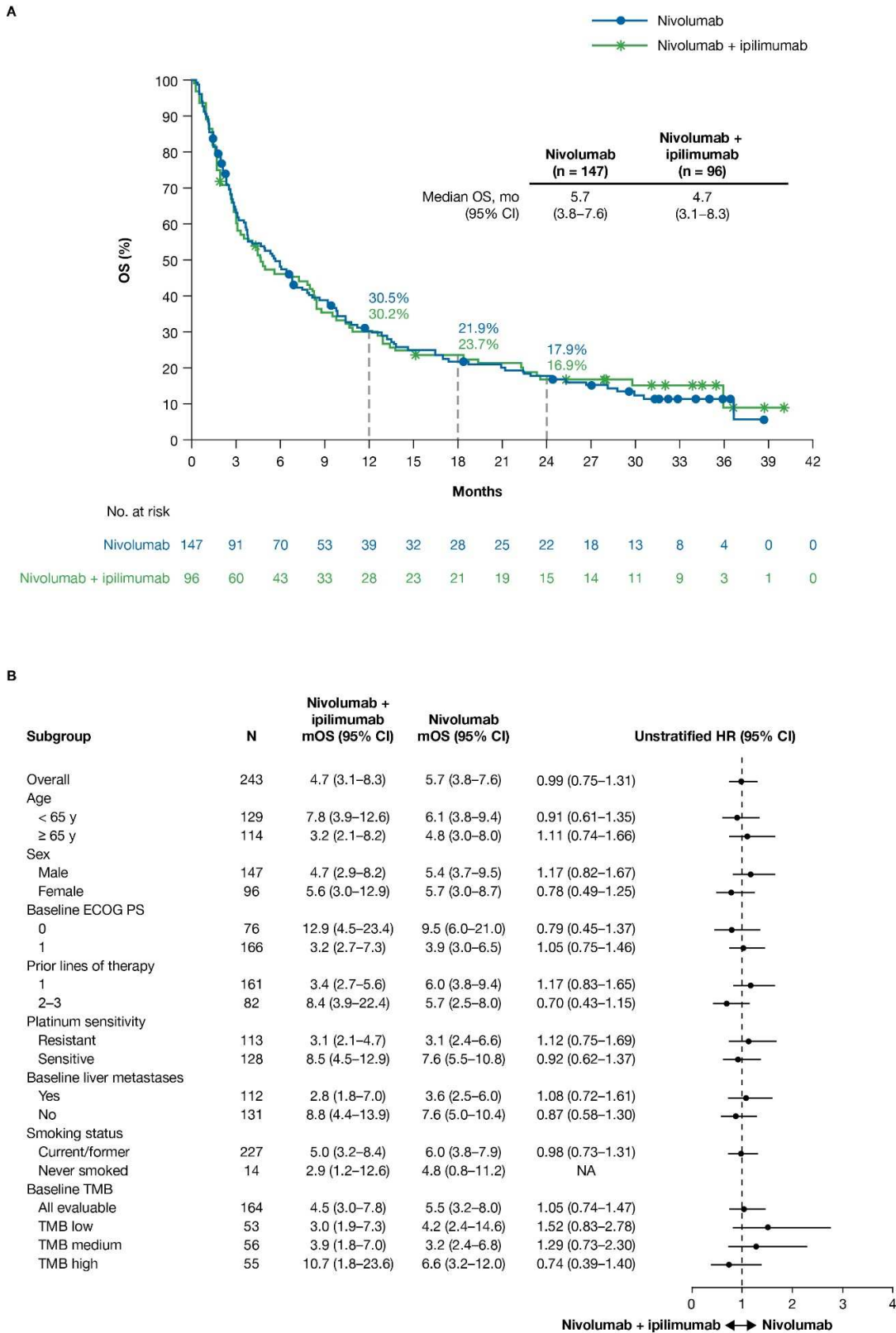
Figure 2. Progression-Free Survival



Data are based on a database lock of November 6, 2017.

CI, confidence interval; mo, months; PFS, progression-free survival.

Figure 3. Long-Term Overall Survival in Total Patient Population (A) and in Selected Subgroups of Patients (B)



Data are based on a database lock of April 12, 2019. HRs were not calculated for subgroups with <10 patients per treatment group. TMB categories (low, medium, high) were defined according to baseline tertile.

CI, confidence interval; ECOG PS, Eastern Cooperative Oncology Group performance status; HR, hazard ratio; mo, months; mOS, median overall survival; NA, not available; TMB, tumor mutational burden; y, years.

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