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### Canonical decompositions of 3-connected graphs

Johannes Carmesin♣ and Jan Kurkofka♣

**Abstract.** We offer a new structural basis for the theory of 3-connected graphs, providing a unique decomposition of every such graph into parts that are either quasi 4-connected, wheels, or thickened  $K_{3,m}$ 's. Our construction is explicit, canonical, and has the following applications: we obtain a new theorem characterising all Cayley graphs as either essentially 4-connected, cycles, or complete graphs on at most four vertices, and we provide an automatic proof of Tutte's wheel theorem.

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INTRODUCTION 3

#### Introduction

A tried and tested approach to a fair share of problems in structural and topological graph theory – such as the two-paths problem [53, 55, 56] or Kuratowski's theorem [57] – is to first solve the problem for 4-connected graphs. Then, in an intermediate step, the solutions for the 4-connected graphs are extended to the 3-connected graphs, by drawing from a theory of 3-connected graphs that has been established to this end. Finally, the solutions for the 3-connected graphs are extended to all graphs, in a systematic way by employing decompositions of 3-connected graphs along their cutvertices and 2-separators.

The intermediate step of this strategy seems curious: why should the step from 4-connected to 3-connected require an entirely different treatment than the systematic step from 3-connected to the general case? Indeed, the intermediate step carries the implicit believe that it is not possible to decompose 3-connected graphs along 3-separators in a way that is on a par with the renowned decompositions along separators of size at most two. Our main result offers a solution to this long-standing hindrance. To explain this, we start by giving a brief overview of the renowned decompositions along low-order separators.

Graphs trivially decompose into their components, which either are 1-connected or consist of isolated vertices. The 1-connected graphs are easily decomposed further, along their cutvertices, into subgraphs that either are 2-connected or  $K_2$ 's which stem from bridges.

When decomposing 2-connected graphs further, however, things begin to get more complicated. Indeed, a 2-separator – a set of two vertices such that deleting the two vertices disconnects the graph – may separate the vertices of another 2-separator. Then if we choose one of them to decompose the graph by cutting at the 2-separator, we loose the other. In particular, it is not possible to decompose a 2-connected graph simply by cutting at all its 2-separators. An illustrative example for this are the 2-separators of a cycle.

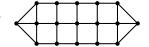
There is an elegant way to resolve this problem. If two 2-separators are compatible with each other, in the sense that they do not cut through each other, then we say that these 2-separators are *nested* with each other. Let us call a 2-separator *totally-nested* if it is nested with every 2-separator of the graph. Cunningham and Edmonds showed that every 2-connected graph decomposes into 3-connected graphs, cycles and  $K_2$ 's, by cutting precisely at its totally-nested 2-separators [18].

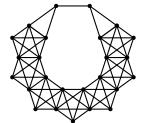
The obvious guess how the solution of Cunningham and Edmonds might extend to 3-separators of 3-connected graphs is this: every 3-connected graph decomposes into 4-connected graphs, wheels and  $K_3$ 's, by cutting precisely at its totally-nested 3-separators. This guess turns out to be wrong, as the following three examples demonstrate.



Let G be a toroidal hex-grid as depicted on the left [52], and note that G is 3-connected. The neighbourhoods of the vertices of G are precisely the 3-separators of G, so no 3-separator of G is totally-nested. However, G is neither 4-connected nor a wheel. But we will see that G is 'quasi 4-connected', as no 3-separator cuts off more from G than just one vertex.

 $3 \times k$  grids as depicted on the right, slightly extended to make them 3-connected, have no totally-nested 3-separators; yet they are neither 4-connected nor wheels.





Let G be the graph on the left. Every 3-separator of G consists of one of the two top vertices of degree three, and two vertices in the intersection of two neighbouring  $K_5$ 's; or it is the neighbourhood of either degree-three vertex. Hence G has no totally-nested 3-separators. This remains true if we replace the  $K_5$ 's in G with arbitrary 3-connected graphs. Thus, G represents a class of counterexamples that is as complex as the class of 3-connected graphs.

We resolve these problems with a twofold approach:

(1) We relax the notion of 4-connectivity to that of *quasi 4-connectivity*. We learned about this idea from Grohe's work [36].

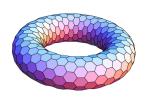
<sup>&</sup>lt;sup>1</sup>A graph G is k-connected, for a  $k \in \mathbb{N}$ , if G has more than k vertices and deleting fewer than k vertices from G does not disconnect G.

(2) We introduce the new notion of a *tri-separation*, which we use instead of 3-separators. The key difference is that tri-separations may use edges in addition to vertices to separate the graph.

A mixed-separation of a graph G is a pair (A, B) such that  $A \cup B = V(G)$  and both  $A \setminus B$  and  $B \setminus A$  are nonempty. We refer to A and B as the sides of the mixed-separation. The separator of (A, B) is the disjoint union of the vertex set  $A \cap B$  and the edge set  $E(A \setminus B, B \setminus A)$ . If the separator of (A, B) has size three, we call (A, B) a mixed 3-separation.

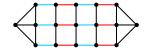
DEFINITION (Tri-separation). A tri-separation of a graph G is a mixed 3-separation (A, B) of G such that every vertex in  $A \cap B$  has at least two neighbours in both G[A] and G[B].

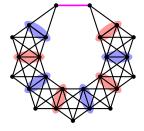
Two mixed-separations (A, B) and (C, D) of G are nested if, after possibly switching the name A with B or the name C with D, we have  $A \subseteq C$  and  $B \supseteq D$ . A tri-separation of G is totally-nested if it is nested with every tri-separation of G. A tri-separation (A, B) of a 3-connected graph G is trivial if A and B are the sides of a 3-edge-cut with a side of size one. The tri-separations that we will use to decompose G are the totally-nested nontrivial tri-separations of G.



Every vertex of the toroidal hex-grid G forms the singleton side of a trivial tri-separation. Since there are no other tri-separations, all these tri-separations are totally-nested – but they are trivial. While G is not 4-connected, it is quasi 4-connected: G is 3-connected, has more than four vertices, and every 3-separation of G (a mixed 3-separation whose separator consists of vertices only) has a side of size at most four.

The coloured 3-edge-cuts determine nontrivial tri-separations of the slightly extended  $3 \times k$  grid, and these are precisely the totally-nested nontrivial tri-separations.





Every nontrivial tri-separation of the graph on the left has a separator that consists of the top purple edge together with two vertices in a red or blue set. As these tri-separations are pairwise nested, they are precisely the totally-nested nontrivial tri-separations.

Wheels have no totally-nested tri-separations.



Given a 3-connected graph G and a set N of pairwise nested tri-separations, we can say which parts we obtain by decomposing G along N. Roughly speaking, these are maximal subgraphs of G that lie on the same side of every tri-separation in N, with some edges added to represent external connectivity in G. We call the resulting minors of G the torsos of N, as they generalise the well known torsos of tree-decompositions from the theory of graph minors. See Section 2.2 for details.

According to the 2-separator theorem, some of the building blocks for 2-connected graphs are  $K_2$ 's. The analogue of these building blocks for 3-connected graphs turn out to be *thickened*  $K_{3,m}$ 's with  $m \ge 0$ : these are obtained from  $K_{3,m}$  by adding edges to its left class of size three to turn it into a triangle.

Theorem 1. Let G be a 3-connected graph and let N denote its set of totally-nested nontrivial triseparations. Each torso  $\tau$  of N is a minor of G and satisfies one of the following:

- (1)  $\tau$  is quasi 4-connected;
- (2)  $\tau$  is a wheel;
- (3)  $\tau$  is a thickened  $K_{3,m}$  or  $G = K_{3,m}$  with  $m \ge 0$ .

We emphasise that the sets N = N(G) obviously are canonical, meaning that they commute with graphisomorphisms:  $N(\varphi(G)) = \varphi(N(G))$  for all  $\varphi \colon G \to G'$ . Our proof of Theorem 1 offers additional structural insights which can be used to refine Theorem 1; see Theorem 2.2.8. All graphs in this paper are finite or infinite unless stated otherwise; in particular, Theorem 1 includes infinite 3-connected graphs.

**Applications.** We provide the following applications of our work. It is well known that all Cayley graphs of finite groups are either 3-connected, cycles, or complete graphs on at most two vertices [25]. By heavily exploiting the fact that our decomposition of 3-connected graphs is canonical, we can refine this fact:

COROLLARY 2. Every vertex-transitive finite connected graph G either is essentially 4-connected, a cycle, or a complete graph on at most four vertices.

We give the precise definition of 'essentially 4-connected' in Section 1.4; the main difference to 'quasi 4-connected' is that we allow 3-edge-cuts that have a side which is equal to a triangle. Corollary 2 strengthens [35, Theorem 3.4.2], a classical tool in geometric group theory from the textbook of Godsil and Royle, in a special case.

Another application comes in the form of an automatic proof of Tutte's wheel theorem [60]. In the upcoming work [15], Theorem 1 will be used to construct an FPT algorithm for connectivity augmentation from 0 to 4, and the property of total nestedness is crucial for that; see Chapter 3.

Related work. In Chapter 3 we discuss the relation between our work and the pioneering work of Grohe [36] on decompositions of 3-connected graphs. Our work complements existing work on decomposing graphs along separators of arbitrary size and the corresponding theory of tangles [2, 6, 8, 9, 10, 11, 14, 21, 22, 23, 24, 26, 27, 28, 37, 38, 40, 54]. It would be most exciting to try to extend our work to separators of larger size, see Chapter 3 for an open question in this direction. A fair share of the work on 3-connected graphs studies which substructures are '(in-)essential' to 3-connectivity (in the sense that their contraction or deletion preserves 3-connectivity); this includes the work of Ando, Enomoto and Saito [3] and of Kriesell [41, 42, 43, 44, 45, 46]. The structure of 3-separations in matroids is a well-studied topic; a fundamental result in this area is the decomposition result of Oxley, Semple and Whittle [49]. It would be most natural to extend our ideas to matroids and this problem is discussed in Chapter 3. Our work is related to recent work of Esperet, Giocanti and Legrand-Duchesne [29], who employ Grohe's techniques for 3-connected graphs to prove a general decomposition result of infinite graphs without an infinite clique-minor, and our result might provide an alternative perspective.

Organisation of the paper. An overview of the proof of Theorem 1 is given in Section 0.1. The remainder of the paper consists of three chapters and an appendix. Each chapter will feature its own comprehensive overview. In the first chapter, we introduce and prove the Angry Tri-Separation Theorem (1.1.5); this classifies the 3-connected graphs which have no totally-nested nontrivial tri-separations, and it will be the key ingredient of the proof of Theorem 1 as it deals with the special case  $N(G) = \emptyset$ . Corollary 2 can already be derived from the Angry Tri-Separation Theorem, so the first chapter also includes the proof of Corollary 2. In the second chapter, we prove Theorem 1. The third chapter provides an outlook. Finally, the appendix offers a proof of the 2-separator theorem in the version of Cunningham and Edmonds, but phrased in the language of this paper and with a structural strengthening added, for the sake of convenience and completeness.

#### 0.1. Overview of the proof

Let G be a 3-connected graph, and let N denote the set of totally-nested nontrivial tri-separations of G. Let  $\tau$  be an arbitrary torso of N. It is routine to verify that  $\tau$  is 3-connected or a  $K_3$ , and that  $\tau$  is a minor of G. So it remains to show that  $\tau$  either is quasi 4-connected, a wheel, a thickened  $K_{3,m}$  or  $G = K_{3,m}$  with  $m \ge 0$ .

Our approach is to link these three outcomes to the structure of the tri-separations of G that 'interlace' the torso  $\tau$ , as follows. Let (A, B) be a nontrivial tri-separation of G. Roughly speaking, we say that (A, B) interlaces  $\tau$  if  $\tau$  has vertices in  $A \setminus B$  and in  $B \setminus A$ , so (A, B) 'cuts through'  $\tau$ . If additionally  $G[A \setminus B]$  or  $G[B \setminus A]$  is connected, then we say that (A, B) interlaces  $\tau$  heavily. Else if both  $G[A \setminus B]$  and  $G[B \setminus A]$  have

at least two components, then we say that (A, B) interlaces  $\tau$  lightly. This allows for the following structural strengthening of Theorem 1:

- (1) if  $\tau$  is not interlaced, then  $\tau$  is quasi 4-connected or a  $K_4$  or  $K_3$ ;
- (2) if  $\tau$  is heavily interlaced, then  $\tau$  is a wheel;
- (3) if  $\tau$  is lightly interlaced, then  $\tau$  is a thickened  $K_{3,m}$  or  $G = K_{3,m}$ .

For the proof of (1), suppose that  $\tau$  is not interlaced. Let us assume for a contradiction that  $\tau$  is neither quasi 4-connected, nor a  $K_4$  nor  $K_3$ . Then  $\tau$  has a 3-separation (A, B) with two sides of size at least five. In a 3-page technical argument, we 'lift' (A, B) from  $\tau$  to a tri-separation of G that interlaces  $\tau$  – a contradiction.

The proof of (2) is a bit more tricky and will be explained below.

For the proof of (3), suppose that  $\tau$  is lightly interlaced by a tri-separation (A, B). Then we first note that the separator S of (A, B) consists of three vertices, and that  $G \setminus S$  has at least four components. The four components ensure that S is '4-connected' in G, as every two vertices in S can be linked by four internally vertex-disjoint paths in G through the four components. The 4-connectivity of S can then be used to show that every component C of  $G \setminus S$  determines a totally-nested tri-separation of G, with C on one side, whose separator consists of vertices of S or edges that join C to S. The nontrivial ones amongst the totally-nested tri-separations determined by the components of  $G \setminus S$  are precisely the ones that bound the torso  $\tau$ . If G[S] is edgeless and all components of  $G \setminus S$  have size one, then  $G = K_{3,m}$  where m is equal to the number of components C of  $G \setminus S$  such that |C| = 1 and G[S] is edgeless.

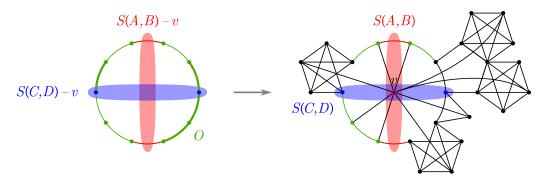


FIGURE 1. The graph G (on the right) can be constructed from a cycle O (on the left) by replacing its bold edges by 2-connected graphs and adding the vertex v together with its incident edges. Here S(A,B) and S(C,D) denote the separators of (A,B) and (C,D), respectively. They intersect in the vertex v. The cycle O is highlighted in green.

**Proof of (2).** Assume we are given a tri-separation (A, B) of G that interlaces  $\tau$  heavily. Since (A, B) is not in N, it is *crossed* by a nontrivial tri-separation (C, D) of G, meaning that (A, B) and (C, D) are not nested with each other. The first step is to extend the standard theory of crossing separations to our context of tri-separations. From this we learn that the separators of (A, B) and (C, D) intersect in exactly one vertex; call it v. The next step is to apply the 2-separator theorem to the graph G - v. This tells us that the graph G - v can be obtained from a cycle O by replacing some of its edges by 2-connected subgraphs of G. We refer to these replaced edges of O as *bold*. The separators of (A, B) and (C, D) without v alternate on the cycle O, see Figure 1. Intuitively, one might hope that the vertex v together with the two endvertices of a bold edge of O forms the separator of a totally-nested nontrivial tri-separation. This is almost true, but some of the vertices in the separator might fail to have two neighbours on some side. We resolve this issue by replacing these vertices with one of their incident edges. The resulting tri-separation is referred to as the p-seudo-reduction at the bold edge.

A key challenge is to show that the pseudo-reductions at the bold edges of O are totally-nested. We approach this challenge in a systematic way by showing that a connectivity property of the separator of a tri-separation implies total nestedness (we very much believe that this can be turned into a characterisation

of total nestedness, but given the length of the paper, we do not attempt this here). For this approach to succeed, we need to verify that the separators of the pseudo-reductions satisfy this connectivity property. The connectivity property itself is a little technical, as we require different amounts of connectivity depending on whether edges or vertices are in the separator. For simplicity, let us assume that the separator consists of three vertices. Then our task is to find three internally vertex-disjoint paths between every pair of non-adjacent vertices in the separator avoiding the third vertex of the separator. Between the two endvertices of a bold edge e of O we find two paths in the 2-connected subgraph that is associated with the bold edge e, and a third path follows the course of the path O - e. So it remains to construct three internally vertex-disjoint paths between an endvertex of the bold edge e and v. If O is short, we need to consider a few cases, and if O is long (length five suffices), then we study how the bold edges are distributed on O. We identify five possible patterns that cover all cases and verify that three internally vertex-disjoint paths exist for each of the five patterns, see Figure 2. Hence the pseudo-reductions at bold edges are totally-nested.

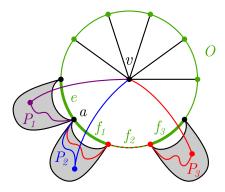


FIGURE 2. The graph G together with the bold edges of O. For each bold edge of O we indicate in grey its corresponding 2-connected replacement graph as given in the construction of G from O. In this figure we refer to them as bags. The vertex a is an endvertex of the bold edge e. Here the cycle O has the pattern btx with regard to e and a: the first letter b indicates that the edge  $f_1$  on O incident with a aside from e is bold, the second letter t indicates that the edge  $f_2$  after that on O is not bold (timid), and the letter x indicates that the edge  $f_3$  after that can be arbitrary, bold or not. In our example, it is bold. For this pattern, there are three internally vertex-disjoint paths from a to v: the first path  $P_1$  connects to v from the bag at e, the second path e0 connects to e1 from the bag at e2 and eventually connects to e2 from the bag at e3.

Having shown that the pseudo-reductions at the bold edges of O are totally-nested, we know that they bound a torso  $\tau'$ ; this is not necessarily a torso of N, but of the set of pseudo-reductions. Using our knowledge of the structure of G-v provided by O, and 3-connectedness of G, it is straightforward to show that  $\tau'$  is a wheel. So all that remains to show is that  $\tau'$  is equal to  $\tau$ . For this, we have a uniqueness-lemma, by which it suffices to show that no totally-nested nontrivial tri-separation of G interlaces  $\tau'$ . So we assume for a contradiction that some totally-nested nontrivial tri-separation (U,W) of G interlaces  $\tau'$ . Roughly speaking, (U,W) induces a mixed 2-separation of O. This induced mixed 2-separation cuts O into two intervals. We carefully select a vertex or non-bold edge from each interval, and then add v to obtain the separator of a mixed 3-separation (E,F) of G. Then (E,F) crosses (U,W) by standard arguments, and with a bit of extra work we turn (E,F) into a tri-separation that still crosses (U,W), yielding a contradiction. Hence  $\tau'$  is equal to  $\tau$ . As we have already shown that  $\tau'$  is a wheel, the overview of the proof of (2) is complete.

#### CHAPTER 1

#### An angry theorem for tri-separations

#### 1.1. Overview of this chapter

This chapter provides the key ingredient for the proof of Theorem 1, which we call the Angry Tri-Separation Theorem. Essentially, it states that Theorem 1 holds in the special case where N(G), the set of all totally-nested non-trivial tri-separations of G, is empty; that is: if G is not itself quasi 4-connected, and if every nontrivial tri-separation of G is crossed, then G must be either a wheel or a  $K_{3,m}$  for some  $m \ge 3$ .

**1.1.1. Statement of the Angry Tri-Separation Theorem.** Here we give all the necessary definitions to then state the Angry Tri-Separation Theorem (1.1.5). An (oriented) mixed-separation of a graph G is an ordered pair (A, B) such that  $A \cup B = V(G)$  and both  $A \setminus B$  and  $B \setminus A$  are non-empty. We call A and B the sides of (A, B). The separator of (A, B) is the disjoint union of the vertex set  $A \cap B$  and the edge set  $E(A \setminus B, B \setminus A)$ . We denote the separator of (A, B) by S(A, B). The order of (A, B) is the size |S(A, B)| of its separator. A mixed-separation of order k for  $k \in \mathbb{N}$  is called a mixed k-separation for short. The separator of a mixed k-separation is a mixed k-separator. A mixed-separation (A, B) of G with no edges in its separator is called a separator of G. Separations of order k are called k-separators and their separators are called k-separators.

A mixed 3-separation (A, B) of G is nontrivial if both G[A] and G[B] include a cycle.

DEFINITION 1.1.1 (Tri-separation). A tri-separation of a graph G is a mixed-separation (A, B) of G of order three such that every vertex in  $A \cap B$  has at least two neighbours in both G[A] and G[B]. The separator of a tri-separation of G is a tri-separator of G. A tri-separation is strong if every vertex in its separator has degree at least four.







FIGURE 1. Separators of nontrivial tri-separations of the 4-wheel.

EXAMPLE 1.1.2. Let G be a wheel with rim O of length at least four. Let v denote the centre of G. Adding v to any mixed 2-separator of O yields the separator of a nontrivial tri-separation of G. In fact, every nontrivial tri-separation of G can be obtained in this way.

If G is a 3-wheel, aka  $K_4$ , then every separator of a nontrivial tri-separation of G consists of two vertices and one edge.

Similarly as is common for separations [20], we define a partial ordering on the mixed-separations of any graph by letting  $(A, B) \leq (C, D)$  if and only if  $A \subseteq C$  and  $B \supseteq D$ . Two mixed-separations (A, B) and (C, D) are *nested* if, after possibly switching the name A with B or the name C with D, we have  $A \subseteq C$  and  $B \supseteq D$ . If two mixed-separations are not nested, they *cross*. A set of mixed-separations is *nested* if its elements are pairwise nested.

DEFINITION 1.1.3 (Totally nested). A tri-separation of G is totally nested if it is nested with every tri-separation of G.

EXAMPLE 1.1.4. Every two nontrivial tri-separations in the 4-wheel, as found in Example 1.1.2, are crossing; see Figure 1. By contrast, every 3-cut with both sides of size at least two in a 3-connected graph determines a totally-nested nontrivial tri-separation (we will see this in Corollary 1.3.14).

A graph G is internally 4-connected if it is 3-connected, every 3-separation of G has a side that induces a claw, and  $G \notin \{K_4, K_{3,3}\}$ . Internally 4-connected graphs are quasi 4-connected.

Theorem 1.1.5 (Angry Tri-Separation Theorem). For every 3-connected graph G, exactly one of the following is true:

- (1) G has a totally-nested nontrivial tri-separation;
- (2) G is a wheel or a  $K_{3,m}$  for some  $m \ge 3$ ;
- $(3) \ G \ is \ internally \ \textit{4-connected}.$

1.1.2. Organisation of this chapter. In Section 1.2, we collect useful properties of tri-separations. In Section 1.3, we introduce tools that allow us to systematically study how tri-separations can cross. In Section 1.4, we deduce Corollary 2 from the Angry Tri-Separation Theorem. In Section 1.5, we employ the tools from the previous section to find necessary conditions for when a tri-separation is totally nested. In Section 1.6, we recall the 2-separation-version of Theorem 1, as we will need it in the proof of the Angry Tri-Separation Theorem. In Section 1.7, we will see why the 2-separation-version of Theorem 1 is helpful for finding totally-nested nontrivial tri-separations. In Section 1.8 and Section 1.9, we put together the tools developed in the previous sections and we complete the proof of the Angry Tri-Separation Theorem, where the former deals with special cases and the latter solves the general case.

#### 1.2. Properties of tri-separations

A cut of a graph G is atomic if it is of the form  $E(v, V(G) \setminus \{v\})$  for some vertex  $v \in V(G)$ .

LEMMA 1.2.1. The following are equivalent for every tri-separation (A, B) of a 3-connected graph G:

- (1) (A,B) is trivial;
- (2) A and B are the two sides of an atomic cut.

PROOF. The implication  $(2) \rightarrow (1)$  is clear. For  $(1) \rightarrow (2)$  suppose that G[A] contains no cycle, say. We first show that the side B cannot have exactly two vertices. Indeed, if B has size two, then G[B] has maximum degree at most one, and since (A, B) is a tri-separation it follows that  $A \cap B$  must be empty. Thus, A and B are the two sides of a cut of size three. Hence some vertex in B has degree at most two in G, which contradicts 3-connectivity. So B does not have size two. As we are done otherwise, we from now on assume that the side B contains at least three vertices.

If two of the edges in  $E(A \setminus B, B \setminus A)$  had the same endvertex in B, this vertex would be in a 2-separator of G. So as the graph G is 3-connected, no two edges in  $E(A \setminus B, B \setminus A)$  can have the same endvertex in B. Let T be the graph obtained from G[A] by adding all the edges from the separator of (A, B). By the above, T is a tree. The tree T has three leaves in B. Since G is 3-connected, T has no other leaves and also no vertices of degree two. Hence T is a  $K_{1,3}$  and (2) follows.

COROLLARY 1.2.2. The trivial tri-separations of a 3-connected graph G are nested with all strong triseparations of G.

PROOF. Let any trivial tri-separation be given. By Lemma 1.2.1, it is of the form  $(\{v\}, V \setminus \{v\})$ , say. Then the vertex v has degree three in G. Let (C, D) be any strong tri-separation. Since (C, D) is strong, the vertex v is not in  $C \cap D$ . So it is in precisely one of  $C \setminus D$  and  $D \setminus C$ , say in  $C \setminus D$ . Then  $D \subseteq V \setminus \{v\}$ , which gives  $(\{v\}, V \setminus \{v\}) \leq (C, D)$ .

LEMMA 1.2.3. Let G be a 3-connected graph, and let (A, B) be a nontrivial mixed 3-separation of G. Then the edges in S(A, B) form a matching between  $A \setminus B$  and  $B \setminus A$ .

PROOF. Let us show first that no two edges in S(A,B) share an end. For this, let us suppose for a contradiction that two edges  $e, f \in S(A,B)$  share an endvertex  $v \in A \setminus B$ , say. Let x be the remaining element of S(A,B) besides e, f if it is a vertex, and otherwise let x denote the endvertex in  $A \setminus B$  of the edge in S(A,B) besides e, f. Let O be a cycle in G[A]. Since O has at least three vertices, one of them is distinct from v and v, and so is not in v0 hence the pair v0 has at 2-separation of v2 with separator equal to v3. This contradicts the fact that v3 is 3-connected.

LEMMA 1.2.4. Let  $(X_1, X_2)$  be a mixed 3-separation of a 3-connected graph G. Then for every vertex v in the separator of  $(X_1, X_2)$ , exactly one of the following holds:

- (1) The vertex v has two neighbours in both  $X_1$  and  $X_2$ .
- (2) There exists a unique index  $i \in \{1,2\}$  such that v has precisely one neighbour in  $X_i$  but two neighbours in  $X_{3-i}$ . The neighbour of v in  $X_i$  lies in  $X_i \setminus X_{3-i}$ , so v has two neighbours in  $X_{3-i}$  that lie in  $X_{3-i} \setminus X_i$ .

PROOF. Since G is 3-connected, v has neighbours in  $X_1 \setminus X_2$  and in  $X_2 \setminus X_1$ , and v has degree three. So if (1) fails, we have (2).

DEFINITION 1.2.5 (Reduction). Let  $(X_1, X_2)$  be a mixed 3-separation of a 3-connected graph. We obtain a tri-separation from  $(X_1, X_2)$  by deleting vertices from  $X_1$  or  $X_2$ , as follows. For every vertex  $v \in X_1 \cap X_2$  that has fewer than two neighbours in some side  $X_i$ , the index i =: i(v) is unique, v has a unique neighbour x(v) in  $X_i$  that lies in  $X_i \setminus X_{3-i}$ , and v has two neighbours in  $X_{3-i} \setminus X_i$  by Lemma 1.2.4. For both  $j \in \{1, 2\}$  we obtain  $X'_j$  from  $X_j$  by deleting all vertices v with i(v) = j. Then  $(X'_1, X'_2)$  is a tri-separation of G. Every vertex  $v \in X_1 \cap X_2$  that was removed from some side is not in the separator of  $(X'_1, X'_2)$ , but instead the edge  $\{v, x(v)\}$  is in  $S(X'_1, X'_2)$ . In this context, we say that v was reduced to the edge  $\{v, x(v)\}$ . We call  $(X'_1, X'_2)$  the reduction of  $(X_1, X_2)$ . Note that the reduction  $(X'_1, X'_2)$  is nontrivial if  $(X_1, X_2)$  is nontrivial.

Let (A, B) be a mixed 3-separation of a graph G. A strengthening of (A, B) is a mixed 3-separation (A', B') that it is obtained from (A, B) by deleting all vertices of  $A \cap B$  that have degree three in G and have a neighbour in  $A \cap B$  from one of the sides, then taking a reduction.

Observation 1.2.6. If (A', B') is a strengthening of (A, B), then  $A \setminus B \subseteq A' \subseteq A$  and  $B \setminus A \subseteq B' \subseteq B$ . All strengthenings are strong tri-separations.

LEMMA 1.2.7. Every mixed 3-separation (A, B) of a 3-connected graph G has a strengthening (A', B'). Moreover, if there is an edge uv in G with both ends u, v in the separator of (A, B), then we may choose (A', B') so that  $u, v \in B'$ .

PROOF. Given (A, B), we obtain A'' from A by deleting all vertices that lie in  $A \cap B$  and have degree three in G, and we put B'' := B. Then we let (A', B') be the reduction of (A'', B''). Suppose now that uv is an edge with ends  $u, v \in A \cap B$ . Then u and v have two neighbours in B = B'', so  $u, v \in B'$ .

Proposition 1.2.8. For every 3-connected graph G, the following assertions are equivalent:

- (1) G is internally 4-connected or  $G \in \{K_4, K_{3,3}\}$ ;
- (2) every 3-separation of G is trivial or  $G = K_4$ ;
- (3) all tri-separations of G are trivial or  $G = K_4$ ;
- (4) all strong tri-separations of G are trivial.

PROOF.  $\neg(2) \rightarrow \neg(1)$ . As all 3-separations of  $K_{3,3}$  are trivial and  $K_4$  is excluded, G is none of these graphs. Let (A, B) be a nontrivial 3-separation of G. If a cycle in the side A included only one vertex of  $A \setminus B$ , then two vertices of the separator  $A \cap B$  are adjacent. Making the same argument with the roles of 'A' and 'B' interchanged, we deduce that the separator  $A \cap B$  contains two adjacent vertices or  $A \setminus B$  and  $B \setminus A$  both have size at least two. Thus G is not internally 4-connected.

 $\neg(3) \rightarrow \neg(2)$ . Let (A, B) be a nontrivial tri-separation of G. For each edge in S(A, B) we pick one of its endvertices and add it to both sides. We pick these endvertices so that we preserve that  $A \setminus B$  and  $B \setminus A$  are nonempty. This is possible as G has at least five vertices. As this preserves nontriviality, we end up with a nontrivial 3-separation of G.

Clearly  $(3) \rightarrow (4)$ .

 $\neg(1) \rightarrow \neg(4)$ . As G is not internally 4-connected and  $G \notin \{K_4, K_{3,3}\}$ , we may let (A, B) be a 3-separation of G none of whose sides induces a claw. Let  $X := A \cap B$ . If G had at most four vertices, then G would be a  $K_4$ , contradicting our assumption, so we have  $|G| \geqslant 5$ .

Case 1: the induced subgraph G[X] has no edges. Then  $|A \setminus B| \ge 2$  and  $|B \setminus A| \ge 2$ . By Lemma 1.2.7, there is a strong tri-separation (A', B') of (A, B) with  $A \setminus B \subseteq A'$  and  $B \setminus A \subseteq B'$ . Since  $A \setminus B$  and  $B \setminus A$  have size at least two, so have A' and B'. Thus (A', B') is nontrivial by Lemma 1.2.1.

Case 2: the induced subgraph G[X] contains an edge  $x_1x_2$ . Recall that  $|G| \ge 5$ . The only 3-connected graphs on exactly five vertices that have a 3-separator are the 4-wheel and  $K_5^-$  ( $K_5$  minus one edge). The unique 3-separator of  $K_5^-$  is a triangle and thus it is the separator of a nontrivial tri-separation. The 4-wheel has two nontrivial strong tri-separations. So it remains to consider the case that G has at least six vertices. By symmetry, we may assume that  $|A \setminus B| \ge 2$ . Since  $x_1x_2$  is an edge of G with both ends in  $X = A \cap B$ , we find a strong tri-separation (A', B') of G with  $A \setminus B \subseteq A'$  and  $B \setminus A \subseteq B'$  such that  $x_1, x_2 \in B'$ . Hence, the side B' misses at most one vertex of B. As  $|B| \ge 4$ , this gives  $|B'| \ge 3$ . We also have  $|A'| \ge |A \setminus B|$ , and  $|A \setminus B| \ge 2$  by assumption. Hence the strong tri-separation (A', B') is nontrivial by Lemma 1.2.1.

#### 1.3. Nested or crossed: analysing corner diagrams

What can we say about two mixed-separations if they cross? In this section we address this question by introducing corner diagrams for mixed-separations.

Let (A, B) and (C, D) be mixed-separations of a graph G. The following definitions all depend on the context that (A, B) and (C, D) are given. They are supported by Figure 2, which is commonly referred to as a 'corner diagram'.

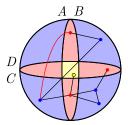


FIGURE 2. (A, B) and (C, D) cross. Corners are blue, links are red, the centre is yellow.

The *corner* for the pair  $\{A,C\}$  is the vertex set  $(A \setminus B) \cap (C \setminus D)$ . For each pair of sides, one from (A,B) and one from (C,D), we define its corner in the analogous way. Thus there are four corners in total. Two corners are *adjacent* if their pairs share a side, otherwise they are *opposite*. Note that there is a unique corner opposite of each corner and that each corner is the opposite of its opposite corner. Each corner has exactly two adjacent corners.

EXAMPLE 1.3.1. The corner for  $\{A, C\}$  is opposite to the corner for  $\{B, D\}$ , and it is adjacent to the corner for  $\{A, D\}$  and to the corner for  $\{B, C\}$ .

An edge of G is diagonal if its endvertices lie in opposite corners. Note that an edge is diagonal if and only if it is contained in the separators of both separations (A, B) and (C, D). The centre consists of the diagonal edges together with the vertex set  $A \cap B \cap C \cap D$ .

An edge e in the separator of (A, B) is in the edge-link for C if it is not diagonal and has an endvertex in one of the corners for C; that is, in one of the corners for  $\{A, C\}$  or  $\{B, C\}$ . The link for C is the union of the edge-link for C and the vertex set  $(A \cap B) \setminus D$ . In a slight abuse of notation we will sometimes say things like 'a vertex of the link  $(A \cap B) \setminus D$ ' instead of the formally precise 'a vertex of the link for C'. We define 'the link for D' as 'the link for C' with 'D' in place of 'C'. Note that every edge in the separator of (A, B) that is not diagonal lies in at most one of the edge-links for C and D. We define the links for the sides A and B of (A, B) analogously with the separations '(A, B)' and '(C, D)' interchanged. The link for a side X is adjacent to the two corners for the pairs that contain the side X. Two links are adjacent if there is a corner they are both adjacent to. Every link is adjacent to all but one link; we refer to that link as its opposite link.

EXAMPLE 1.3.2. The link for C is adjacent to the two corners for the pairs  $\{A, C\}$  and  $\{B, C\}$ . It is adjacent to the links for A and B. It is opposite to the link for D.

LEMMA 1.3.3. Two mixed-separations (A, B) and (C, D) of a graph are nested if and only if they admit a corner such that it and the two adjacent links are empty. Thus, (A, B) and (C, D) cross as soon as two opposite links are nonempty.

PROOF. Indeed, we have  $A \subseteq C$  and  $B \supseteq D$  if and only if the corner for  $\{A, D\}$  and its two adjacent links are empty.

Lemma 1.3.3 offers an alternative definition of nestedness. We may and will use the two definitions interchangeably.

Suppose that we are given sides  $X \in \{A, B\}$  and  $Y \in \{C, D\}$ . The corner-separator L(X, Y) at the corner for  $\{X, Y\}$  is the union of the two links adjacent to the corner for  $\{X, Y\}$  together with the centre but without those diagonal edges that do not have an endvertex in the corner for  $\{X, Y\}$ ; see Figure 3 for a picture. Two corner-separators are opposite or adjacent if their respective corners are.

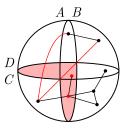


FIGURE 3. (A, B) and (C, D) cross. The corner separator L(A, C) contains all red vertices and edges.

An edge joining vertices in two opposite links is called a *jumping edge*; see Figure 4. It is straightforward to check that jumping edges are the only edges in the separators S(A, B) and S(C, D) that are not in any links or the centre and thus not in any corner separators.

As for separations, we get the following submodularity property:

LEMMA 1.3.4. For two mixed-separations (A, B) and (C, D) of a graph, we have

$$|L(A,C)| + |L(B,D)| \le |S(A,B)| + |S(C,D)|.$$

Moreover, if we have equality, there are no jumping edges, and every diagonal edge has its endvertices in the corners for  $\{A,C\}$  and  $\{B,D\}$ .

PROOF. This is a standard argument. We just check for each vertex or edge counted in |L(A,C)| + |L(B,D)| that it is counted in |S(A,B)| + |S(C,D)| with the same or greater multiplicity.

LEMMA 1.3.5. Let G be a 3-connected graph. Let (A, B) and (C, D) be two mixed 3-separations of G that cross so that two opposite corner-separators have size three. Then either

- (1) all links have the same size  $\ell$ , for some  $\ell \in \{0,1\}$ ; or
- (2) two adjacent links have size i and the other two links have size 3-i, for some  $i \in \{1,2\}$ .

PROOF. Let a, b, c, d denote the sizes of the links for A, B, C, D, respectively. Let x denote the size of the centre. Without loss of generality, the separators at the corners for  $\{A, C\}$  and  $\{B, D\}$  have size three. By Lemma 1.3.4, every diagonal edge has its endvertices in the corners for  $\{A, C\}$  and  $\{B, D\}$ . Hence a + c + x = 3 and b + d + x = 3. Since (A, B) and (C, D) have order three, we further have c + d + x = 3 and a + b + x = 3. Considering the two equations that contain a, we find that b = c. Considering the two equations that contain c, we find that a = d. Without loss of generality,  $a = d \le b = c$ .

Suppose first that a, d = 0. Then, since (A, B) and (C, D) cross, the corner for  $\{A, D\}$  must be nonempty. As G is 3-connected, it follows that the centre has size x = 3. Hence we can read from the equations that b, c = 0, giving outcome (1).

Otherwise a, d = 1, since  $a, d \ge 2$  would imply  $b, c \le 1 < a, d$ . Hence  $b, c \le 3 - a = 2$ . But also  $1 = a, d \le b, c$ . So b, c take the same value in  $\{1, 2\}$ , giving outcome (1) or (2).

COROLLARY 1.3.6. If two tri-separations of a 3-connected graph cross so that two opposite corner-separators have size three, then all links have the same size  $\ell$ , for some  $\ell \in \{0,1\}$ .

PROOF. Suppose for a contradiction that this fails. Then two adjacent links have size one, and the other two links have size two, by Lemma 1.3.5. Hence the centre is empty. Let X denote the corner whose adjacent links have size one. As the corner-separator for X has size two, but G is 3-connected, the corner X is empty. Since X is empty, not both adjacent links can consist of edges only, so one link contains a vertex v. Since the two links adjacent to X have size one and the corner X is empty, it follows that the vertex v has at most one neighbour in one of the sides of the two crossing tri-separations. This contradicts the definition of tri-separation.

LEMMA 1.3.7. If two tri-separations (A, B) and (C, D) of a 3-connected graph G cross so that two opposite corner-separators have size three and all links have the same size  $\ell \in \{0, 1\}$ , then there are no diagonal edges.

PROOF. Without loss of generality, the separators at the corners for  $\{A, C\}$  and  $\{B, D\}$  have size three. Suppose for a contradiction that there is a diagonal edge uv. By Lemma 1.3.4, the ends u and v lie in the corners for  $\{A, C\}$  and  $\{B, D\}$ , respectively say.

Claim 1.3.7.1. All links and the centre have size one.

Proof of Claim. By Lemma 1.3.4, there are no jumping edges. Hence it suffices to show that all links have size  $\ell = 1$ . Suppose for a contradiction that all links are empty, i.e. that  $\ell = 0$ . Then the centre has size three. But since the centre contains the diagonal edge uv, the separators at the corners for  $\{A, D\}$  and  $\{B, C\}$  have size two. Then, since G is 3-connected, the corners for  $\{A, D\}$  and  $\{B, C\}$  are empty. Since all links are empty as well, it follows that (A, B) and (C, D) are nested, contradicting our assumption that they cross.

By Claim 1.3.7.1, all links and the centre have size one. So the centre only consists of the diagonal edge uv. Hence the separators at the two corners for  $\{A, D\}$  and  $\{B, C\}$  have size two. As G is 3-connected, the two corners for  $\{A, D\}$  and  $\{B, C\}$  are empty. It follows that all four links contain no vertices, since any vertex in a link would fail to have two neighbours in some side of (A, B) or (C, D), contradicting that (A, B) and (C, D) are tri-separations. Hence all four links contain edges, and only edges. But then each of these edges must have an end in the corner for  $\{A, D\}$  or  $\{B, C\}$ , contradicting that these corners are empty.  $\square$ 

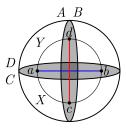


Figure 4. Two tri-separations of a  $K_4$  cross with two jumping edges (red and blue)

LEMMA 1.3.8. If two nontrivial tri-separations (A, B) and (C, D) of a 3-connected graph G cross so that no two opposite corner-separators have size three, then  $G = K_4$ .

PROOF. This proof is supported by Figure 4. Since no two opposite corner-separators have size three, we find two adjacent corners whose separators have size at most two by Lemma 1.3.4. Say these are the corners X and Y for  $\{A, C\}$  and  $\{A, D\}$ , respectively. As G is 3-connected, both corners X and Y must be empty. Since (A, B) is a tri-separation,  $A \setminus B$  contains some vertex a. Since X and Y are empty, the vertex a lies in the link for A. As G is 3-connected, a has degree at least three. Since X and Y are empty, and since the corner-separators at X and Y have size at most two, some edge incident to a is a jumping edge. Let b denote the other endvertex of this jumping edge, so b lies in the link for B.

Since (A, B) is nontrivial, there is a cycle O included in G[A]. As X and Y are empty, the vertices of this cycle lie in the two corner-separators at X and Y. The two corner-separators share the vertex a, and have size at most two, hence O must be a triangle which contains a and whose other two vertices c, d lie in the links for C and D, respectively. Thus cd is another jumping edge. Therefore, the separators at the two corners besides X and Y have size at most two. By symmetry, we find that the two corners besides X and Y are empty, and that bcd is a triangle. Hence  $G = K_4$ .

A mixed-separation (A, B) of a graph G is half-connected if  $G[A \setminus B]$  or  $G[B \setminus A]$  are connected.

LEMMA 1.3.9. Let (A, B) and (C, D) be crossing mixed 3-separations of a graph G. If (A, B) is half-connected, then the centre cannot have size three.

PROOF. Without loss of generality,  $G[A \setminus B]$  is connected. Assume for a contradiction that the centre has size three. Then all links are empty. As  $G[A \setminus B]$  is non-empty, we know that at least one of the two corners included in  $A \setminus B$  is non-empty. But since  $G[A \setminus B]$  is connected, the other of the two corners must be empty, contradicting that (A, B) and (C, D) are crossing.

LEMMA 1.3.10 (Crossing Lemma). Let G be a 3-connected graph other than  $K_4$ . Let (A, B) and (C, D) be two nontrivial tri-separations of G that cross. Then exactly one of the following holds:

- (1) all links have size one and the centre consists of a single vertex;
- (2) all links are empty and the centre consists of three vertices.

In particular, there are no jumping edges. Moreover, if (A,B) or (C,D) is half-connected, then (1) holds.

PROOF. Since  $G \neq K_4$ , it follows from Lemma 1.3.8 that two opposite corner-separators have size three. Then all links have the same size  $\ell \in \{0, 1\}$  by Corollary 1.3.6. By Lemma 1.3.4, there are no jumping edges. By Lemma 1.3.7, there are no diagonal edges either. Hence the centre contains no edges, and the size of the centre is determined by  $\ell$ . If  $\ell = 0$ , then the centre has size three; if  $\ell = 1$ , then the centre has size one.

The 'Moreover' part follows from Lemma 1.3.9.

LEMMA 1.3.11. Let G be a 3-connected graph. If a strong nontrivial tri-separation (A, B) of G is crossed by a tri-separation of G, then (A, B) is also crossed by a tri-separation of G that is strong.

PROOF. Suppose that (C, D) is a tri-separation of G that crosses (A, B). If (C, D) is strong, we are done, so we may assume that some vertex u of G of degree three lies in the separator of (C, D). Since (A, B) is a strong tri-separation, the vertex u cannot lie in the centre, so u lies in a link, say it lies in the link for A. As a  $K_4$  has no strong nontrivial tri-separation, G is not a  $K_4$ . By Corollary 1.2.2, (C, D) is nontrivial. Hence we may apply the Crossing Lemma (1.3.10) to find that the existence of u implies that all links have size one while the centre consists of a single vertex, and that there are no jumping edges. Since (C, D) is a tri-separation and u has degree three, u has a neighbour v in  $C \cap D$ . As there are no jumping edges, the neighbour v of u can only lie in the centre. Since (A, B) is a tri-separation, v has a neighbour v in A besides v. By symmetry v is v in v

Claim 1.3.11.1. The vertex v has three neighbours in C.

Proof of Claim. If the corner  $\{B,C\}$  is nonempty, then as G is 3-connected the corner contains a neighbour of v, and together with u and w we have found three neighbours of v in C. Thus assume that the corner  $\{B,C\}$  is empty. Since not both adjacent links can consist of an edge, at least one adjacent link contains a vertex y. Since the corner for  $\{B,C\}$  is empty but y has two neighbours either in B or in C (depending on which tri-separator S(A,B) or S(C,D) contains y), it follows that y is adjacent to v. If y is in the link for B, then u, y and w are three distinct neighbours of v in C, and we were done. So assume that y is in the separator of the strong tri-separation (A,B). Thus y has degree at least four. And since the corner  $\{B,C\}$  is empty, the vertex y must have one of its neighbours outside the mixed 3-separator S(C,D) in the corner  $\{A,C\}$ , and this nonempty corner contains a neighbour of v by 3-connectivity, which is different from v and v.

Let c denote the unique neighbour of u in  $C \setminus D$ .

Claim 1.3.11.2. The edge uc does not lie in the link for C.

Proof of Claim. Suppose for a contradiction that uc lies in the link for C. Then w must lie in the corner for  $\{A, C\}$ . In particular, the corner for  $\{A, C\}$  is nonempty. So  $\{u, v\}$  is a 2-separator, a contradiction to 3-connectivity.

Let C' := C - u and D' := D. Then the separator of (C', D') arises from the separator of (C, D) by replacing the vertex u with the edge uc. The only vertex in the separator of (C, D) that might loose a neighbour when moving to (C', D') is the vertex v, which looses its neighbour u in C'. However, Claim 1.3.11.1 ensures that v has two neighbours in C'. Hence (C', D') is a tri-separation, and it has less vertices of degree three in its separator than (C, D). Moreover, (C', D') crosses (A, B) with the same links and centre as for (C, D), with just one exception: the link for A, which consisted of u for (C, D), consists of the edge uc for (C', D'). By iterating at most two times, we obtain a strong tri-separation that crosses (A, B).

Lemma 1.3.12. If a mixed 3-separation of a 3-connected graph is not strong, then it is crossed by a trivial tri-separation.

PROOF. Let (A, B) be a mixed 3-separation of a 3-connected graph G, and let  $v \in A \cap B$  be a vertex of degree three. Since  $A \setminus B$  and  $B \setminus A$  are nonempty, and since G is 3-connected, the vertex v must have neighbours in  $A \setminus B$  and in  $B \setminus A$ . Hence the trivial tri-separation with  $\{v\}$  as one side crosses (A, B).  $\square$ 

PROPOSITION 1.3.13. Let G be a 3-connected graph, and let (A, B) be a nontrivial tri-separation of G. Then the following assertions are equivalent:

- (1) (A, B) is totally nested;
- (2) (A, B) is strong and nested with every strong nontrivial tri-separation of G.

PROOF. (1) $\rightarrow$ (2). We only have to show that (A, B) is strong. This follows from Lemma 1.3.12.

 $(2)\rightarrow(1)$ . Suppose for a contradiction that (A,B) is crossed by a tri-separation (C,D) of G. By Lemma 1.3.11, we may assume that (C,D) is strong. Since (A,B) is strong, (C,D) is nontrivial by Corollary 1.2.2. This contradicts (1).

COROLLARY 1.3.14. Let G be a 3-connected graph. Let A and B be the sides of a non-atomic 3-cut of G. Then (A, B) is a totally-nested nontrivial tri-separation of G.

PROOF. By Lemma 1.2.1, (A, B) is nontrivial. Since S(A, B) consists of edges, (A, B) is strong. By Proposition 1.3.13, it suffices to show that (A, B) is nested with every strong nontrivial tri-separation of G. And indeed, since S(A, B) contains no vertices and since  $K_4$  has no non-atomic 3-cut, (A, B) is nested with every strong nontrivial tri-separation of G by the Crossing Lemma (1.3.10).

#### 1.4. Proof of Corollary 2

Before we prove the Angry Tri-Separation Theorem, let us see how it implies Corollary 2. A graph G is essentially 4-connected if it is 3-connected, every nontrivial strong tri-separation has three edges in its separator such that the subgraph induced by one side is equal to a triangle, and  $G \notin \{K_4, K_{3,3}\}$ . A graph G is vertex-transitive if the automorphism group of G acts transitively on its vertex set V(G).

PROOF OF COROLLARY 2. Let G be a vertex-transitive finite connected graph. We have to show that G either is essentially 4-connected, a cycle, or a complete graph on at most four vertices. By Corollary A.3.2, G is a cycle,  $K_2$ ,  $K_1$  or 3-connected. Since we are done otherwise, let us assume that G is 3-connected. By the Angry Tri-Separation Theorem (1.1.5), G is internally 4-connected, a  $K_{3,m}$  with  $m \ge 3$ , a wheel, or G has a totally-nested nontrivial tri-separation. If G is internally 4-connected, then  $G \notin \{K_4, K_{3,3}\}$  by definition, and all strong tri-separations of G are trivial by Proposition 1.2.8; in particular, G is essentially 4-connected. If G is a  $K_{3,m}$  for some  $m \ge 3$ , then m = 3 since G is vertex-transitive, and  $G = K_{3,3}$  is essentially 4-connected since all its strong tri-separations are trivial. If G is a wheel, then G can only be a  $K_4$  by vertex-transitivity, and  $K_4$  is a possible outcome.

As we are done otherwise, we may assume that G has a totally-nested nontrivial tri-separation (A, B). Every automorphism  $\varphi$  of G takes (A, B) to  $(\varphi(A), \varphi(B))$ . Let G denote the union of the orbits of (A, B) and (B, A) under the automorphism group of G. As G is finite, we may let (U, W) be  $\leqslant$ -minimal in G; so  $(U, W) \leqslant (C, D)$  or  $(U, W) \leqslant (D, C)$  for all  $(C, D) \in G$  as (U, W) is totally-nested.

Claim 1.4.0.1. The separator of (U, W) consists of three edges.

Proof of Claim. Suppose for a contradiction that there is a vertex  $v \in U \cap W$ . Since (U, W) is a mixed-separation, there is a vertex  $u \in U \setminus W$ . Let  $\varphi \in \operatorname{Aut}(G)$  send v to u. Then  $(\varphi(U), \varphi(W)) \leq (U, W)$  or  $(\varphi(W), \varphi(U)) \leq (U, W)$  since (U, W) is totally-nested, and in either case the inequality is strict since u does not lie in S(U, W) but does so after the application of  $\varphi$ . This contradicts the choice of (U, W).  $\diamondsuit$ 

CLAIM 1.4.0.2.  $G[U] = K_3$ .

Proof of Claim. Since G is 3-connected, since (U, W) is nontrivial and since S(U, W) consists of three edges by Claim 1.4.0.1, it suffices to show that every vertex in U is incident with an edge in S(U, W). The proof is analogue to the proof of Claim 1.4.0.1.

By Claim 1.4.0.1 and Claim 1.4.0.2, every tri-separation in O has three edges in its separator and a side that induces a triangle. As (A, B) was chosen arbitrarily, every totally-nested nontrivial tri-separation has three edges in its separator and a side that induces a triangle. Hence to show that every nontrivial strong tri-separation also has three edges in its separator and a side that induces a triangle, it suffices to show that

Claim 1.4.0.3. Every nontrivial strong tri-separation of G is totally-nested.

Proof of Claim. Since S(U, W) consists of three edges (which share no ends by Lemma 1.2.3) and  $G[U] = K_3$ , all vertices of G have degree three. Hence all vertices of G have degree three. Let (C, D) be an arbitrary nontrivial strong tri-separation of G. As (C, D) is strong, the separator of (C, D) consists of three edges. Then (C, D) is totally-nested by Corollary 1.3.14.

Combining Claim 1.4.0.1, Claim 1.4.0.2 and Claim 1.4.0.3 yields that G is essentially 4-connected.

Open Problem 1.4.1. Can Corollary 2 be used to simplify existing characterisations of classes of finite Cayley graphs (like characterisations of the finite Cayley graphs that embed in the torus or some other surface, as in or similar to [50, 58, 59])?

Another area where our ideas might turn out to be fruitful is in the study of infinite planar Cayley graphs, see [31, 32, 33, 34].

#### 1.5. Understanding nestedness through connectivity

In this section, we provide sufficient conditions for when a tri-separation is totally nested. Let v be a vertex of a graph G. We say that a vertex w of G is v-free if it is not adjacent to v or if it has degree at most three; that is, a vertex is not v-free if it is adjacent to v and has degree at least four.

Given a mixed 3-separator  $\{x_1, x_2, x_3\}$  of G, we say that  $\{x_1, x_2, x_3\}$  is externally tri-connected around a vertex  $x_i$  with  $i \in \mathbb{Z}_3$  if one of the following holds:

- (:) The pair  $\{x_{i+1}, x_{i+2}\}$  consists of two vertices and these vertices are adjacent or joined by three internally disjoint paths in  $G x_i$ .
- ( $\dot{-}$ ) The pair  $\{x_{i+1}, x_{i+2}\}$  consists of one vertex x (say) and one edge e (say) such that e has an  $x_i$ -free endvertex y for which there are two internally disjoint x-y paths in  $G-x_i-e$ .
- (=) The pair  $\{x_{i+1}, x_{i+2}\}$  consists of two edges which have  $x_i$ -free endvertices  $y_{i+1}$  and  $y_{i+2}$ , respectively, such that there are two internally disjoint  $y_{i+1}$ - $y_{i+2}$  paths in  $G x_1 x_2 x_3$ .

We say that a mixed 3-separator  $\{x_1, x_2, x_3\}$  is externally tri-connected if  $\{x_1, x_2, x_3\}$  is externally tri-connected around each vertex  $x_i \in \{x_1, x_2, x_3\}$ . We say that a mixed 3-separation is externally tri-connected if its separator is externally tri-connected.

Example 1.5.1. A mixed-separator that consists of three edges or that induces a clique is externally tri-connected.

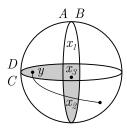
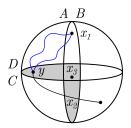


Figure 5. The situation excluded by Lemma 1.5.2

For a depiction of the situation excluded by our next lemma, see Figure 5.

LEMMA 1.5.2. Let G be a 3-connected graph and (A, B) a half-connected tri-separation of G. Denote the separator of (A, B) by  $\{x_1, x_2, x_3\}$ . Assume that  $x_2$  is an edge with an  $x_3$ -free endvertex y. If (A, B) is crossed by a strong tri-separation of G so that  $x_3$  lies in the centre, then y cannot lie in a link.

PROOF. Let (C, D) be a strong tri-separation of G that crosses (A, B) so that  $x_3$  is in the centre. Since  $K_4$  has no strong tri-separation, G cannot be a  $K_4$ . By the Crossing Lemma (1.3.10) and since (A, B) is half-connected,  $x_3$  is a vertex and the only element of the centre, all links have size one, and there are no jumping edges. Without loss of generality,  $x_2$  lies in the link for C, and  $y \in A \setminus B$ . If y lies in the corner for  $\{A, C\}$ , then we are done. Otherwise, y must lie in the link for A. The corner for  $\{A, C\}$  must be empty, since otherwise  $\{y, x_3\}$  would be a 2-separator of G, contradicting 3-connectivity. As  $y \in S(C, D)$  must have two neighbours in G[C], and since there are no jumping edges, it follows that  $yx_3$  must be an edge in G. But  $y \in S(C, D)$  also means that y cannot have degree three as (C, D) is strong, and since y is  $x_3$ -free this means that the edge  $yx_3$  must not be present in G, a contradiction.



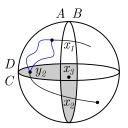


FIGURE 6. The situation in the second and third case of the proof of Lemma 1.5.3

LEMMA 1.5.3. Let G be a 3-connected graph and (A, B) a half-connected tri-separation of G. If S(A, B) is externally tri-connected around some vertex in S(A, B), then no strong tri-separation of G can cross (A, B) so that this vertex is in the centre.

PROOF. Let us denote the separator of (A, B) by  $\{x_1, x_2, x_3\}$ , and let us assume that  $x_3$  is a vertex and that the separator is externally tri-connected around  $x_3$ . Let us assume for a contradiction that (A, B) is crossed by a strong tri-separation (C, D) so that  $x_3$  lies in the centre. Since  $K_4$  has no strong tri-separation, G is not a  $K_4$ . By the Crossing Lemma (1.3.10), all links have size one,  $x_3$  is the only element of the centre, and there are no jumping edges. We distinguish three cases.

- (:) In the first case,  $x_1$  and  $x_2$  are vertices. Since there are no jumping edges,  $x_1$  and  $x_2$  are not adjacent. So by external tri-connectivity, there are three internally disjoint paths in G from  $x_1$  to  $x_2$  avoiding  $x_3$ . Each of them has to meet the two links that contain neither  $x_1$  nor  $x_2$ , which is not possible as there are three paths and the two links have size one, a contradiction.
- $(\dot{-})$  In the second case,  $x_1$  is a vertex and  $x_2$  is an edge, say. Without loss of generality,  $x_1$  lies in the link for D while  $x_2$  lies in the link for C. For a depiction of the situation, see Figure 6. By external

tri-connectivity, there are two internally disjoint paths P, Q from  $x_1$  to an endvertex y of  $x_2$  that is  $x_3$ -free, and these paths avoid  $x_3$  and  $x_2$ . Without loss of generality, y lies in  $A \setminus B$ . Then the two paths P, Q are contained in G[A]. Since the link for A has size one, not both of the two paths P, Q can meet it in internal vertices. Hence the vertex y must lie in the link for A. This contradicts Lemma 1.5.2.

(=) In the third case,  $x_1$  and  $x_2$  are edges. By external tri-connectivity, these edges have  $x_3$ -free endvertices  $y_1$  and  $y_2$  and there are two internally disjoint paths P, Q from  $y_1$  to  $y_2$  avoiding  $x_1, x_2, x_3$ . By symmetry assume that the vertex  $y_1$  lies in the side A. Then the two paths P, Q are contained in G[A] and  $y_2$  is in A as well. Since the link for A has size one, not both of the two paths P, Q can meet it in internal vertices. So  $y_1$  or  $y_2$  must lie in the link for A. This contradicts Lemma 1.5.2.

PROPOSITION 1.5.4. Let G be a 3-connected graph and let (A, B) be a tri-separation of G. If (A, B) is externally tri-connected, half-connected, strong and nontrivial, then (A, B) is totally nested.

PROOF. Let (A, B) be a tri-separation of G that is externally tri-connected, half-connected, strong and nontrivial. Assume for a contradiction that (A, B) is crossed by a tri-separation (C, D) of G. By Proposition 1.3.13, we may assume that (C, D) is strong and nontrivial. As  $K_4$  has no strong nontrivial tri-separation, G is not a  $K_4$ . Hence by the Crossing Lemma (1.3.10), (A, B) and (C, D) cross so that the centre contains a vertex. This contradicts Lemma 1.5.3.

EXAMPLE 1.5.5. In a 3-connected graph G, every strong and nontrivial tri-separation (A, B) with  $G[A \setminus B]$  connected and  $G[B \setminus A]$  disconnected is totally nested.

PROOF OF EXAMPLE 1.5.5. Note first that  $E(A \setminus B, B \setminus A)$  is empty since G is 3-connected. Hence it suffices to find three internally disjoint paths between any pair of vertices in the separator  $A \cap B$  avoiding the third vertex, by criterion (:) and Proposition 1.5.4. By assumption,  $G \setminus (A \cap B)$  has at least three components, and each component has neighbourhood equal to  $A \cap B$  since G is 3-connected. Thus we find three internally disjoint paths for each pair of vertices in  $A \cap B$  through these components.

LEMMA 1.5.6. Let G be a 3-connected graph, and X a set of three vertices in G such that  $G \setminus X$  has at least three components. Let K be a component of  $G \setminus X$ , let  $A := V(K) \cup X$  and let  $B := V(G \setminus K)$ . We denote by (A', B') the reduction of the 3-separation (A, B). Then the following assertions hold:

- (1) B' = B and  $(A', B') \leq (A, B)$ ;
- (2) (A', B') is half-connected and strong.
- (3) If (A', B') is nontrivial, then it is totally nested.
- (4) (A', B') is nontrivial if and only if two vertices in X are adjacent or  $|K| \ge 2$ .

PROOF. (1). Since G[B] has minimum degree two, we deduce that B' = B, and so  $(A', B') \leq (A, B)$ .

- (2). Since the vertex set of the component K is equal to  $A' \setminus B'$ , the tri-separation (A', B') is half-connected. To see that (A', B') is strong, let v be a vertex in the separator  $A' \cap B'$ . As (A', B') is a tri-separation, v has two neighbours in A'. Furthermore, v has two neighbours in  $B \setminus A$ , one in each component by 3-connectivity. Note that  $B \setminus A \subseteq B' \setminus A'$ . So v has at least four neighbours.
- (3). Suppose that (A', B') is nontrivial; we have to show that (A', B') is totally nested. For this, it suffices to show that (A', B') is externally tri-connected, by Proposition 1.5.4. In the case (:) we construct the three internally-disjoint paths so that they have their internal vertices in different components of  $G \setminus X$ . So assume that we are in the cases  $(\dot{-})$  or (=). Every vertex  $x \in X$  that is reduced to an edge in S(A', B') is externally tri-connected, it suffices to find two internally disjoint paths in G[B'] between every two vertices in X avoiding the third vertex in X; these are picked so that their internal vertices are in the two components of  $G \setminus X$  aside from K.
- (4). If (A', B') is nontrivial, then G[A] contains a cycle, so two vertices in X are adjacent or  $|K| \ge 2$ . Conversely, suppose now that two vertices in X are adjacent or that  $|K| \ge 2$ . Since B' = B and  $|B| \ge 2$ , it follows that  $|B'| \ge 2$ . Thus it suffices to show that A' contains at least two vertices, by Lemma 1.2.1. If two vertices in X are adjacent, then these two vertices are not reduced to edges in S(A', B'), so they lie in A' and we are done. So assume that  $|V(K)| \ge 2$ . Since  $V(K) \subseteq A'$ , we are done as well.

COROLLARY 1.5.7. Let G be a 3-connected graph with a tri-separation (C, D) that is not half-connected. If G has no totally-nested nontrivial tri-separation, then  $G = K_{3,m}$  for some  $m \ge 4$ .

PROOF. As (C, D) is not half-connected, by 3-connectivity of G its separator X consists of three vertices; and  $G \setminus X$  has at least four components. By Lemma 1.5.6, no two vertices in X are adjacent, and every component of  $G \setminus X$  is trivial. As G is 3-connected, every component of  $G \setminus X$  has neighbourhood equal to X. So G is a  $K_{3,m}$  for some  $m \ge 4$ .

#### 1.6. Background on 2-separations

A tree-decomposition of a graph G is a pair  $(T, \mathcal{V})$  of a tree T and a family  $\mathcal{V} = (V_t)_{t \in T}$  of vertex sets  $V_t \subseteq V(G)$  indexed by the nodes t of T such that the following conditions are satisfied:

- (T1)  $G = \bigcup_{t \in T} G[V_t];$
- (T2) for every  $v \in V(G)$ , the vertex set  $\{t \in T \mid v \in V_t\}$  is connected in T.

The vertex sets  $V_t$  and the subgraphs  $G[V_t]$  they induce are the *bags* of this decomposition. The intersections  $V_{t_1} \cap V_{t_2}$  for edges  $t_1 t_2 \in E(T)$  are the *adhesion sets* of  $(T, \mathcal{V})$ . The *adhesion* of  $(T, \mathcal{V})$  is the maximum size of an adhesion set of  $(T, \mathcal{V})$ . The *torso* of a bag is the graph obtained from  $G[V_t]$  by adding for every neighbour t' of t in T every possible edge xy with both endvertices in the adhesion set  $V_t \cap V_{t'}$ . We point out that the edges xy are not required to be edges of G, so each adhesion set  $V_t \cap V_{t'}$  induces a complete graph in the torso of  $G[V_t]$ , and in particular torsos need not be subgraphs of G. The edges of a torso that are not edges of the bag are called *torso edges*.

Every edge  $t_1t_2$  of T, when directed from  $t_1$  to  $t_2$  say, induces the separation  $(X_1, X_2)$  of G for  $X_i := \bigcup_{t \in T_i} V_t$ , where  $T_i$  is the component of  $T - t_1t_2$  that contains  $t_i$ , provided that both  $X_1 \setminus X_2$  and  $X_2 \setminus X_1$  are non-empty. We call these separations the induced separations of  $(T, \mathcal{V})$ . In this paper, all tree-decompositions have the property that all their edges induce separations. The separator of  $(X_1, X_2)$  is the adhesion set  $V_{t_1} \cap V_{t_2}$ , which is why we also refer to the adhesion sets of  $(T, \mathcal{V})$  as the separators of  $(T, \mathcal{V})$ .

Let us call a set S of separations of G symmetric if  $(A, B) \in S$  implies  $(B, A) \in S$  for all  $(A, B) \in S$ . A set S of separations of G induces a tree-decomposition  $(T, \mathcal{V})$  of G if the map  $(t_1, t_2) \mapsto (X_1, X_2)$  is a bijection between the directed edges of T and the set S.

We shall use the 2-Separation Theorem in the strong form of Cunningham and Edmonds [18], which we recall below with the notation most suitable here. Let us say that a 2-separation of a graph is *totally nested* if it is nested with every 2-separation of the graph.

THEOREM 1.6.1 (2-Separation Theorem). For every 2-connected graph G, the totally-nested 2-separations of G induce a tree-decomposition  $(T, \mathcal{V})$  of G all whose torsos are minors of G and are 3-connected, cycles, or  $K_2$ 's. Moreover,  $(T, \mathcal{V})$  has the following two properties:

- (1) If (A, B) and (C, D) are two mixed 2-separations of G that cross so that all four links have size one (and the centre is empty), then there exists a unique node  $t \in T$  such that the associated torso is a cycle which alternates between S(A, B) and S(C, D).
- (2) If the torso associated with a  $t \in T$  is a cycle, then the adhesion sets induced by the edges  $st \in E(T)$  are pairwise distinct.

We provide a proof of the 2-Separation Theorem in Appendix A. A far reaching extension of the 2-separation theorem (that also applies to infinite matroids and extends [25, 51]) was proved by Aigner-Horev, Diestel and Postle [1]. Hopes for a generalisation to directed graphs are fuelled by upcoming work of Bowler, Gut, Hatzel, Kawarabayashi, Muzi and Reich [4].

#### 1.7. Apex-decompositions

Recall that a *star* is a rooted tree with at most two levels. The root of the star is commonly referred to as its *centre*. A *star-decomposition* means a tree-decomposition whose decomposition tree is a star.

Let G be a graph and  $v \in V(G)$  a vertex. An apex-decomposition of G with centre v is a stardecomposition A of G - v of adhesion two such that its central torso is a cycle O and all adhesion sets

are pairwise distinct. We refer to O as the central torso-cycle of A. The intersection of a leaf-bag  $B_{\ell}$  of A with the centre-bag of A is the adhesion set of  $B_{\ell}$ . We call the edges of O that are spanned by adhesion sets of leaf-bags bold, and all other edges of O are timid. Note that the timid edges of O exist in G, while possibly some but not necessarily all bold edges of O exist in G. An apex-decomposition is 2-connected if all its leaf-bags are 2-connected.

LEMMA 1.7.1. Let G be a 3-connected graph, let A be a 2-connected apex-decomposition of G with centre v, and let O denote the central torso-cycle of A. In G, the vertex v has a neighbour in  $B_{\ell} \setminus V(O)$  for every leaf-bag  $B_{\ell}$  of A.

PROOF. Since  $\mathcal{A}$  is 2-connected,  $B_{\ell}$  has at least three vertices, so  $B_{\ell} \setminus V(O)$  is non-empty. If v had no neighbour in  $B_{\ell} \setminus V(O)$ , then the adhesion set of  $B_{\ell}$  would form a 2-separator of G, contradicting that G is 3-connected.

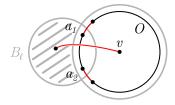


FIGURE 7. In the depicted situation, the separator of the pseudo-reduction induced by  $\ell$  consists of the red edges

Let  $\mathcal{A} = (S, \mathcal{B})$  be a 2-connected apex-decomposition of G with centre v. We call a vertex u in the adhesion set of a leaf-bag  $B_{\ell}$  of  $\mathcal{A}$  edgy if all but exactly one of the neighbours of u in G lie in  $B_{\ell}$ .

Observation 1.7.2. If a vertex u in the adhesion set of  $B_{\ell}$  is edgy, then

- (1) v is not a neighbour of u in G, and
- (2) the two edges of O incident with u are bold and timid.

Each leaf  $\ell$  of S induces the 2-separation  $(B_{\ell}, \bigcup_{t \in S-\ell} B_t) =: (X_{\ell}, Y_{\ell})$  of G - v. The pseudo-reduction of  $(X_{\ell}, Y_{\ell})$  is the mixed 3-separation (X, Y) of G defined as follows (see Figure 7):

- X is obtained from  $X_{\ell}$  by adding v unless v has at most one neighbour in  $X_{\ell}$ , and
- Y is obtained from  $Y_{\ell} + v$  by removing any vertex that lies in the adhesion set of  $B_{\ell}$  and is edgy.

A set  $\sigma = \{ (A_i, B_i) : i \in I \}$  of mixed-separations of G is a star with leaves  $A_i$  if  $(A_i, B_i) \leq (B_j, A_j)$  for all distinct indices  $i, j \in I$ . In this context, we also refer to  $A_i$  as the leaf-side of  $(A_i, B_i)$ .

EXAMPLE 1.7.3. Stars of genuine separations correspond to star-decompositions, as follows. On the one hand, if  $(S, \mathcal{V})$  is a star-decomposition of G and c is the central node of S, then the separations induced by the edges of S incident with c and directed to c form a star  $\sigma_c$  of separations with leaves  $V_\ell$  where the nodes  $\ell$  are the leaves of S. On the other hand, if  $\sigma = \{(A_i, B_i) : i \in I\}$  is a star of separations with leaves  $A_i$ , then it defines a star-decomposition  $(S, \mathcal{V})$  of G with leaf-bags  $A_i$  and which induces  $\sigma$  in the sense that  $\sigma = \sigma_c$ , where

- S is a star whose set of leaves is equal to I, and
- $V_i := A_i$  for  $i \in I$  while  $V_c := V(G) \setminus \bigcup \{A_i : i \in I\}$ , with c denoting the central node of S.

The set of pseudo-reductions of the separations induced by  $\mathcal{A}$  is a star of mixed 3-separations of G, which we call the tri-star of  $\mathcal{A}$ . We will show in Proposition 1.7.9 that the elements of the tri-star are tri-separations, provided that O essentially is not too short.

REMARK 1.7.4. The pseudo-reduction of  $(X_{\ell}, Y_{\ell})$  need not be a reduction of the 3-separation  $(X_{\ell}+v, Y_{\ell}+v)$  of G. Indeed, suppose that G is a 3-connected graph which has an apex-decomposition  $\mathcal{A}=(S,\mathcal{B})$  with centre v. Suppose further that  $\mathcal{A}$  has a leaf-bag  $B_{\ell}$  with adhesion set  $\{a_1,a_2\}$  such that no other leaf-bag

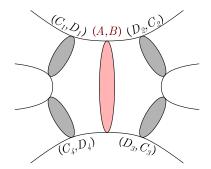


FIGURE 8. A mixed-separation (A, B) interlaces a star  $\{(C_i, D_i) : i \in [4]\}$ 

contains any  $a_i$ , that  $a_1a_2$  is an edge in G but neither  $a_1$  nor  $a_2$  is adjacent to v, and that v has at least two neighbours in  $B_\ell$  and at least two neighbours on O. Then  $a_1$  and  $a_2$  are edgy, and so they are not in the separator of the pseudo-reduction induced by  $\ell$ . However, the 3-separation  $(X_\ell + v, Y_\ell + v)$  is a tri-separation of G. So in this case the pseudo-reduction is not a reduction of the 3-separation  $(X_\ell + v, Y_\ell + v)$  of G.

Let  $\sigma$  be a star of mixed-separations of a graph G. We say that a mixed-separation (A, B) of G interlaces  $\sigma$  if for every  $(C, D) \in \sigma$  either (C, D) < (A, B) or (C, D) < (B, A); see Figure 8.

LEMMA 1.7.5. Let G be a 3-connected graph with two tri-separations (A, B) and (C, D) that cross so that their separators intersect only in a vertex v and all links have size one. Then G has a 2-connected apex-decomposition A with centre v such that (A, B) and (C, D) interlace the tri-star of A and the central torso-cycle of A alternates between S(A, B) - v and S(C, D) - v.

PROOF. Let us consider the 2-connected graph G' := G - v. Let  $\mathcal{T} = (T, \mathcal{V})$  be the tree-decomposition of G provided by the 2-Separation Theorem (1.6.1). Since (A, B) and (C, D) cross in G so that the centre consists of v and all links have size one, their induced mixed 2-separations of G' cross with empty centre and all links of size one. Hence there is a bag  $V_t \in \mathcal{V}$  whose torso is a cycle O that alternates between S(A, B) - v and S(C, D) - v.

Let S be the star obtained from T by contracting all edges of T not incident with t. Put  $B_t := V_t$ . For each leaf  $\ell$  of S, we let  $B_\ell$  be the union of all bags  $V_s \in \mathcal{V}$  with  $s \in \ell$ . Then  $\mathcal{A} := (S, \mathcal{B})$  with  $\mathcal{B} := (B_s : s \in V(S))$  is an apex-decomposition of G'. Note that O is equal to the torso of  $B_t$ .

Next, we show that the leaf-bags of  $\mathcal{A}$  are 2-connected subgraphs of G'. Let  $B_{\ell}$  be any leaf-bag of  $\mathcal{A}$  and let  $\{a_1, a_2\}$  denote its adhesion set. By construction, the torso of the bag  $B_{\ell}$  is 2-connected, so  $B_{\ell}$  has at least three vertices. Furthermore,  $B_{\ell}$  is a side of totally-nested 2-separation  $(B_{\ell}, X)$  of G' with separator  $\{a_1, a_2\}$ . So at least one of  $G'[B_{\ell}]$  and G'[X] is 2-connected. If  $a_1$  and  $a_2$  are adjacent in G, both are 2-connected and we are done. Otherwise every vertex of  $G'[B_{\ell}]$  of G'[X] is not 2-connected, so  $G'[B_{\ell}]$  is 2-connected as desired.

It remains to show that both (A, B) and (C, D) interlace the tri-star of  $\mathcal{A}$ . By symmetry, it suffices to show this for (A, B). Consider any pseudo-reduction (X, Y) induced by a leaf  $\ell$  of S. Without loss of generality, the leaf-bag  $B_{\ell}$  is included in A. We claim that  $(X, Y) \leq (A, B)$ . The side X is obtained from  $B_{\ell}$  by possibly adding the vertex v. Since v lies in the separator of (A, B) by assumption, this gives  $X \subseteq A$ . The side Y is obtained from  $(V(G) \setminus B_{\ell}) \cup \{a_1, a_2\}$  by possibly removing some of the vertices in the adhesion set  $\{a_1, a_2\}$  of  $B_{\ell}$ . Since B is included in  $(V(G) \setminus B_{\ell}) \cup \{a_1, a_2\}$ , it suffices to show that  $a_i \notin Y$  implies  $a_i \notin B$  for both i = 1, 2. If  $a_i$  is not contained in Y, then this is because  $a_i$  is edgy, i.e.  $a_i$  has just one neighbour outside  $B_{\ell}$  in G. If B contains  $a_i$ , then  $a_i$  has two neighbours in B since (A, B) is a tri-separation. The neighbour of  $a_i$  in  $B \cap B_{\ell}$  can only be  $a_{3-i}$  since  $B \cap B_{\ell} \subseteq \{a_1, a_2\}$ . But then O cannot alternate between the separators of (A, B) and (C, D), as  $\{a_1, a_2\} \subseteq S(A, B)$  but  $a_1a_2$  is a bold edge of O and therefore cannot lie in S(C, D).

We label the edges of the central torso-cycle O of an apex-decomposition  $\mathcal{A}$  with the letters b or t, depending on whether they are bold or timid, respectively. The cyclic sequence of these letters is the type

of O. When O has type btbt, we say that O has the type btbt<sup>-</sup> if O additionally has a timid edge both of whose endvertices are not adjacent to v; otherwise we say that O has the type btbt<sup>+</sup>.

Observation 1.7.6. A central torso-cycle of type btbt<sup>+</sup> has two non-adjacent vertices that are adjacent to v or else the two endvertices of one bold edge are neighbours of v while no endvertex of the other bold edge is adjacent to v.

SETTING 1.7.7. Let  $A = (S, \mathcal{B})$  be a 2-connected apex-decomposition of a 3-connected G with centre v. Denote the central torso-cycle of A by G. Suppose that the tri-star of G is interlaced by two crossing tri-separations of G such that their separators intersect exactly in the vertex V and such that G alternates between the two separators (minus V).

LEMMA 1.7.8. Assume Setting 1.7.7. Then O does not have type bbt, bbb or btbt<sup>-</sup>.

PROOF. Let (A, B) and (C, D) denote the two crossing tri-separations of G mentioned in Setting 1.7.7. Let us suppose for a contradiction that O has one of the types we claim it hasn't.

Case bbt. Since O alternates between S(A,B)-v and S(C,D)-v (by an assumption in Setting 1.7.7), but neither S(A,B) nor S(C,D) can contain a bold edge of O, it follows that one of S(A,B) and S(C,D) contains both endvertices of the timid edge of O, say S(A,B) contains them. But then one of  $A \setminus B$  or  $B \setminus A$  is empty, contradicting that (A,B) is a tri-separation.

Case bbb. Here we find that S(A, B) and S(C, D) must share a vertex on O, a contradiction.

Case btbt<sup>-</sup>. Let e = xy be a timid edge of O such that neither x nor y is adjacent to v in G. Let us write O =: wxyz. The edges wx and yz lie in neither S(A, B) nor S(C, D) since they are bold.

We claim that the vertices x and y lie in neither S(A, B) nor S(C, D) as well. Assume for a contradiction that  $x \in S(A, B)$ , say. Then  $w \notin S(A, B)$ , since O alternates between S(A, B) - v and S(C, D) - v, and since wx is bold. Hence the leaf-bag  $B_{\ell}$  of A with adhesion set  $\{w, x\}$  meets S(A, B) only in x. As  $G[B_{\ell}]$  is 2-connected,  $G[B_{\ell}] - x$  is connected, so  $B_{\ell}$  is included in  $A \setminus B$  or in  $B \setminus A$ , say in  $A \setminus B$ . But since v is not a neighbour of x in G, all neighbours of x in G besides y lie in  $B_{\ell}$ . Thus x has at most one neighbour in B, contradicting that (A, B) is a tri-separation.

So neither vertex x, y and neither edge wx, yz lies in S(A, B) or S(C, D). Since O alternates between S(A, B) and S(C, D) and only the vertices w, z and the edges e, wz can lie in S(A, B) or S(C, D), we find that S(A, B) or S(C, D) must contain two elements of  $\{w, wz, z\}$ . Say S(A, B) contains two elements. These two elements can only be w and z, since separators of mixed-separations do not contain both a vertex and an edge incident to that vertex. But then  $A \setminus B$  or  $B \setminus A$  is empty, a contradiction.

Proposition 1.7.9. Assume Setting 1.7.7. Then the tri-star of A consists of totally-nested strong non-trivial tri-separations.

We prove Proposition 1.7.9 across the next two sections.

#### 1.8. Proof of Proposition 1.7.9: Special cases

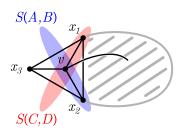


FIGURE 9. The situation in the proof of Lemma 1.8.1

LEMMA 1.8.1. Assume Setting 1.7.7. If O has length three, then the tri-star of A consists of totally-nested strong nontrivial tri-separations, O has type ttt or btt, and v is adjacent to all vertices of O.

PROOF. Let (A, B) and (C, D) denote the two crossing tri-separations provided by Setting 1.7.7. If O has type ttt, then the tri-star of A is empty, and we are done. So O has at least one bold edge. By Lemma 1.7.8, O does not have type bbt or bbb, so O must have type btt. Let  $x_1x_2x_3 := O$  so that  $x_1x_2$  is the bold edge of O; see Figure 9.

Since O alternates between S(A,B)-v and S(C,D)-v, since  $x_1x_2$  cannot lie in S(A,B) or S(C,D), and since neither separator can contain both endvertices of  $x_2x_3$  or of  $x_3x_1$ , we find that  $x_3$  cannot lie in either separator. Thus only four elements of  $V(O) \sqcup E(O)$  can lie in S(A,B) or S(C,D). Hence the separators of (A,B) and (C,D) are determined up to symmetry, say  $S(A,B)-v=\{x_2,x_1x_3\}$  and  $S(C,D)-v=\{x_1,x_2x_3\}$ ; see Figure 9. Using that (A,B) and (C,D) are tri-separations, we infer that v is adjacent to all three vertices  $x_1,x_2,x_3$  of O. We also recall that v has a neighbour in the leaf-bag of A other than  $x_1$  and  $x_2$  by Lemma 1.7.1. Hence  $(E,F):=(V(G)-x_3,\{x_1,x_2,x_3,v\})$  with  $S(E,F)=\{v,x_1,x_2\}$  is a strong tri-separation of G and the unique element of the tri-star of A. The tri-separation (E,F) is nontrivial by Lemma 1.2.1. Since  $G[F\setminus E]$  is a  $K_1$ , the tri-separation (E,F) is half-connected.

We claim that (E, F) is totally nested. By Proposition 1.5.4, it suffices to show that (E, F) is externally tri-connected. As v is joined to  $x_1$  and  $x_2$  by edges, it remains to show that S(E, F) is externally tri-connected around v. For this, note that  $x_1$  and  $x_2$  are joined by three internally disjoint paths avoiding v: we find two paths in the 2-connected leaf-bag, and the third path is  $x_1x_3x_2$ .

LEMMA 1.8.2. Assume Setting 1.7.7. If O has type btbt, then the tri-star of A consists of totally-nested strong nontrivial tri-separations.

PROOF. Since O has type btbt and  $\mathcal{A}$  is 2-connected, G-v is obtained from the disjoint union of two 2-connected graphs X and Y by adding a matching of size two between X and Y. Call the two matching edges  $e_1$  and  $e_2$ . We denote the endvertices of  $e_i$  in X and in Y by  $x_i$  and  $y_i$ , respectively, for both i=1,2. By Lemma 1.7.8, the torso-cycle O of  $\mathcal{A}$  cannot have type btbt<sup>-</sup>, so it has type btbt<sup>+</sup>. Then by Observation 1.7.6, O has two opposite vertices that are adjacent to v or else the two ends of some bold edge of O are neighbours of v while no endvertex of the other bold edge is adjacent to v. We consider the two cases separately.

Case 1: O has two opposite vertices that are adjacent to v in G. Without loss of generality,  $x_1$  and  $y_2$  are adjacent to v in G. By symmetry, it suffices to show that the pseudo-reduction induced by X (viewing X as a leaf-bag of A) is a totally-nested nontrivial tri-separation of G. Since  $x_1v$  is an edge in G, and since v has a neighbour in  $X \setminus \{x_1, x_2\}$  by Lemma 1.7.1, the pseudo-reduction induced by X is either  $(X+v, Y \cup \{v, x_1, x_2\})$  with separator  $\{x_1, v, x_2\}$  or  $(X+v, Y \cup \{v, x_1\})$  with separator  $\{x_1, v, e_2\}$ , depending on whether  $x_2v$  is an edge in G or not, respectively. In either case, since v also has a neighbour in  $Y \setminus \{y_1, y_2\}$  by Lemma 1.7.1, we have a half-connected nontrivial tri-separation that is strong. So by Proposition 1.5.4, it remains to show external tri-connectivity.

**Subcase 1a:**  $x_2v$  is an edge in G. Then the separator is  $\{x_1, v, x_2\}$ . External tri-connectivity around  $x_i$  is witnessed by the edge  $x_{3-i}v$  for both i=1,2. External tri-connectivity around v is witnessed by two internally disjoint  $x_1-x_2$  paths through X and a third  $x_1-x_2$  path which passes through Y via the edges  $e_1$  and  $e_2$ .

**Subcase 1b:**  $x_2v$  is not an edge in G. Then the separator is  $\{x_1, v, e_2\}$ . The endvertex  $x_2$  of  $e_2$  is v-free as  $x_2v$  is not an edge. For external tri-connectivity around v, we find two internally disjoint  $x_1-x_2$  paths in X. The endvertex  $y_2$  of  $e_2$  is  $x_1$ -free as  $x_1y_2$  is not an edge in G. For external tri-connectivity around  $x_1$ , we find two internally disjoint  $y_2-v$  paths in  $G-x_1-e_2$ , one through Y and via a neighbour of v in  $Y \setminus \{y_1, y_2\}$  (which exists by Lemma 1.7.1), and the second one is  $y_2v$ .

Case 2:  $x_1$  and  $x_2$  are adjacent to v while  $y_1$  and  $y_2$  are not, say. First, we consider the pseudo-reduction induced by X. This is  $(X + v, Y \cup \{v, x_1, x_2\})$  with separator  $\{v, x_1, x_2\}$ . We verify external tri-connectivity as in Subcase 1a. The pseudo-reduction induced by Y is (X + v, Y + v) or (X + v, Y), depending on whether v has more than one or just one neighbour in  $Y \setminus \{y_1, y_2\}$ , respectively. In either case, we have a strong half-connected nontrivial tri-separation, and by Proposition 1.5.4 it remains to verify external tri-connectivity. For (X + v, Y + v) we notice that  $y_1$  and  $y_2$  are v-free and find two internally disjoint  $y_1 - y_2$  paths in Y,

which suffices as v is the only vertex in the separator  $\{v, e_1, e_2\}$ . For (X + v, Y) there is nothing to show as its separator consists of three edges.

#### 1.9. Proof of Proposition 1.7.9: General case

In the previous section, we have seen that the conclusion of Proposition 1.7.9 holds if O has length three (Lemma 1.8.1) or if O has type btbt (Lemma 1.8.2). In this section, we show that the conclusion of Proposition 1.7.9 also holds if O has length at least four and O does not have type btbt, thereby completing the proof of Proposition 1.7.9.

Lemma 1.9.1. Assume Setting 1.7.7. If O has length at least four and O does not have type btbt, then the tri-star of A consists of totally-nested strong nontrivial tri-separations.

To prove Lemma 1.9.1 systematically, we need some machinery. Lemma 1.9.2 below will help us to find paths for verifying external tri-connectivity of mixed 3-separators. To make Lemma 1.9.2 applicable in various settings, we introduce the following definitions which will allow us to deal with cases systematically in Lemma 1.9.2 and its applications. Assume Setting 1.7.7. A pattern is any of the following five words:

We say that a finite sequence of consecutive edges in a given cyclic orientation of O has pattern p if p is a pattern and the labels of the edges in the sequence start with the pattern p after possibly replacing an occurrence of x in p with either b or t. Note that an edge-sequence of length at least four has a unique pattern; we refer to this pattern as its pattern. If a sequence  $e_0, \ldots, e_n$  has pattern p, and  $e_i$  is the last edge in the sequence which contributes to p, then the endvertex of  $e_i$  that is not incident with  $e_{i-1}$  is called the capstone of the sequence  $e_0, \ldots, e_n$ . If a sequence  $e_0, \ldots, e_n$  has pattern p, then the pre-reservoir of this sequence is the union of all leaf-bags of A (viewed as induced subgraphs of G - v) whose adhesion set span edges  $e_i$  which contribute to p, plus all the timid edges  $e_i$  which contribute to p. The pre-reservoir of  $e_0, \ldots, e_n$  is obtained from the pre-reservoir of  $e_0, \ldots, e_n$  by adding the vertex p plus all the edges in p from p to the pre-reservoir and then deleting the capstone of  $e_0, \ldots, e_n$ . Note that the reservoir is a subgraph of p.

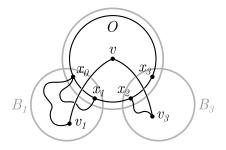


FIGURE 10. The situation of Lemma 1.9.2 for p = btx with x := b

Lemma 1.9.2 (Linking Lemma). Assume Setting 1.7.7. Suppose that O has length at least four. Let  $e_1, e_2, e_3, e_4$  be consecutive edges in a cyclic orientation of O with pattern p. Denote the endvertices of  $e_1$  by  $x_0$  and  $x_1$  so that  $x_1$  is incident with  $e_2$ .

- (1) If the first letter of p is b, then there are two internally disjoint paths from  $x_0$  to v included in the reservoir of  $e_1, \ldots, e_3$ .
- (2) Otherwise, there are two internally disjoint paths from  $x_1$  to v included in the reservoir of  $e_2, \ldots, e_4$  avoiding  $x_0$ .

Recall that a 2-fan from u to x and y is the union of a u-x path with a u-y path where the two paths meet precisely in u.

FACT 1.9.3. In a 2-connected graph, there exists a 2-fan from u to x and y for every three vertices u, x, y in the graph.

PROOF OF THE LINKING LEMMA (1.9.2). We consider each of the five possible patterns in turn. Let G' := G - v. Whenever an edge  $e_i$  is bold, we let  $B_i := G'[B_\ell]$  for the unique leaf-bag  $B_\ell$  of  $\mathcal A$  whose adhesion set consists of the endvertices of  $e_i$  (tacitly assuming that i is not a node of S). By Lemma 1.7.1, the vertex v has a neighbour in  $B_i \setminus O$  whenever  $B_i$  exists, and we choose such a neighbour  $v_i$  for each eligible i. We denote the vertex of O that is incident with both  $e_i$  and  $e_{i+1}$  by  $x_i$ . This is consistent with the naming of  $x_1$  in the statement of the lemma.

- (bb) By Fact 1.9.3, we find a 2-fan in  $B_1$  from  $x_0$  to  $v_1$  and  $x_1$ . Since  $B_2$  is 2-connected, we find an  $x_1-v_2$  path in  $B_2$  which avoids  $x_2$ . The subgraph of G obtained from the union of the 2-fan with the  $x_1-v_2$  path by adding v and the edges  $vv_1$  and  $vv_2$  contains two desired paths.
- (btx) By Fact 1.9.3, we find a 2-fan in  $B_1$  from  $x_0$  to  $v_1$  and  $x_1$ . If x is equal to b, then  $B_3$  exists, and since  $B_3$  is 2-connected, there is an  $x_2-v_3$  path in  $B_3$  which avoids  $x_3$ . Then the subgraph of G obtained from the union of the 2-fan with the path by adding the timid edge  $e_2$  as well as v and the two edges  $vv_1$  and  $vv_3$  contains two desired paths. Otherwise x is equal to t. Then the two edges  $e_2$  and  $e_3$  are timid, hence 3-connectivity implies that  $x_2$  is adjacent to v. Then the subgraph of G obtained from the 2-fan by adding the edges  $vv_1$ ,  $e_2$  and  $vx_2$  contains two desired paths.
- (tbb) Since bb is a suffix of tbb and since the sought paths are allowed to start in  $x_1$  instead of  $x_0$ , we may follow the argumentation of (bb).
- (tbtx) Since btx is a suffix of tbtx and since the sought paths are allowed to start in  $x_1$  instead of  $x_0$ , we may follow the argumentation of (btx).
- (ttx) By 3-connectivity, the edge  $x_1v$  must exist in G. Suppose first that  $\mathbf{x}$  is equal to  $\mathbf{b}$ . Then  $B_3$  exists. Since  $\mathcal{A}$  is 2-connected, we find an  $x_2-v_3$  path in  $B_3$  avoiding  $x_3$ . Then  $x_1v$  is one path and adding both edges  $e_2$  and  $vv_3$  to the  $x_2-v_3$  path yields the second path. Otherwise  $\mathbf{x}$  is equal to  $\mathbf{t}$ . By 3-connectivity, the edge  $x_2v$  must exist in G. Hence  $x_1v$  and  $x_1x_2v$  are two desired paths.
- LEMMA 1.9.4. Assume Setting 1.7.7. Suppose that O has length at least four. Let  $\{a_1, a_2\}$  be the adhesion set of a leaf-bag of A and let  $i \in \{1, 2\}$ . If  $a_i$  is not edgy, then either there are three internally disjoint paths from  $a_i$  to v avoiding  $a_{3-i}$ , or  $va_i$  is an edge in G.

PROOF. Without loss of generality we have i=2. If  $va_2$  is an edge in G we are done, so let us suppose that v is not adjacent to  $a_2$ . Let  $e_1, e_2, e_3$  and  $e_4$  be the four edges of O that come after  $a_1a_2$  on O in the cyclic orientation of O in which  $a_1$  precedes  $a_2$ . Since  $a_2$  is not edgy and v is not a neighbour of  $a_2$ , the edge  $e_1$  is bold. So the sequence  $e_1, e_2, e_3, e_4$  has pattern bb or btx, both of which have length at most three. Now we apply the Linking Lemma (1.9.2) to the sequence  $e_1, e_2, e_3, e_4$ . This gives us two internally disjoint paths from  $a_2$  to v included in the reservoir. By assumption, O has length at least four, so the vertex  $a_1$  is distinct from the endvertices of the edges  $e_1$  and  $e_2$ . Hence, the two internally disjoint paths avoid  $B_{\ell} - a_2$ , where  $B_{\ell}$  is the leaf-bag with adhesion set  $\{a_1, a_2\}$ . By Lemma 1.7.1, we find a third path from  $a_2$  to v included in  $G[B_{\ell} + v]$ , completing the proof.

LEMMA 1.9.5. Assume Setting 1.7.7. Suppose that O has length at least four and that O does not have type btbt. Let  $\{a_1, a_2\}$  be the adhesion set of a leaf-bag of A and let  $i \in \{1, 2\}$ . Denote the unique neighbour of  $a_i$  on O other than  $a_{3-i}$  by  $a'_i$ . If  $a_i$  is edgy, then  $a_ia'_i$  is an edge in G while  $a_iv$  is not, and there are two internally disjoint paths from  $a'_i$  to v in G that avoid  $B_\ell$ .

PROOF. Without loss of generality, we have i = 2. Let  $e_1, e_2, e_3$  and  $e_4$  be the four consecutive edges which come after  $a_1a_2$  in the cyclic orientation of O in which  $a_1$  precedes  $a_2$ . Since  $a_2$  is edgy and A is 2-connected,  $e_1$  is timid. We apply the Linking Lemma (1.9.2) to the sequence  $e_1, e_2, e_3, e_4$ . Since the pattern of this sequence starts with t and since O has length at least four, we obtain two internally disjoint paths from  $a'_2$  to v included in the reservoir and avoiding  $a_2$ .

If O has length at least five, the vertex  $a_1$  is distinct from the endvertices of the edges  $e_1, e_2$  and  $e_3$ . In particular, the two internally disjoint paths avoid the unique leaf-bag  $B_{\ell}$  of  $\mathcal{A}$  with adhesion set  $\{a_1, a_2\}$ .

So it remains to consider the case that O has length four. The existence of the bag  $B_{\ell}$  implies that the edge  $e_4$  is bold. In combination with our assumption that O does not have the type btbt, it follows that

the pattern tbtx is not possible (indeed, here x = b since  $e_4$  is bold). Hence the pattern has length at most three. Thus the fact that the vertex  $a_1$  is distinct from the endvertices of the edges  $e_1$  and  $e_2$  suffices to deduce that the two internally disjoint paths avoid  $B_{\ell}$ . This completes the proof.

LEMMA 1.9.6. Assume Setting 1.7.7. If O has length at least four and does not have type btbt, then v has two neighbours in  $G \setminus B_{\ell}$  for every leaf-bag  $B_{\ell}$  of A.

PROOF. Let  $B_{\ell}$  be a leaf-bag of  $\mathcal{A}$ , and let  $\{a_1, a_2\}$  denote its adhesion set. Let  $e_1, e_2, e_3$  and  $e_4$  be the four edges on O which come after  $a_1a_2$  in the cyclic orientation of O in which  $a_1$  precedes  $a_2$ . Let p be the pattern of this sequence. For each bold  $e_i$ , let  $B_i$  denote the leaf-bag of  $\mathcal{A}$  witnessing that  $e_i$  is bold.

Case p = bb. By Lemma 1.7.1, the vertex v has two neighbours  $B_1 \setminus O$  and  $B_2 \setminus O$ .

Case p = btx. By Lemma 1.7.1, the vertex v has one neighbour in  $B_1 \setminus O$ . If the third edge is bold, we find a second neighbour in  $B_3 \setminus O$ . Otherwise, we consider the endvertex that is shared by  $e_2$  and  $e_3$ . By 3-connectivity, this endvertex must be adjacent to v. So v has two neighbours outside of  $B_{\ell}$ .

Case p = tbb. Since bb is a suffix of tbb, we may argue as in the case p = bb.

Case  $p = \mathtt{tbtx}$ . We consider two subcases. If O has length at least five, then we may argue as in the case  $p = \mathtt{btx}$ , since  $\mathtt{btx}$  is a suffix of  $\mathtt{tbtx}$ . Otherwise O has length four. Then the existence of the leaf-bag  $B_\ell$  entails that the edge  $e_4$  is bold, and so this case is excluded as O does not have type  $\mathtt{btbt}$ .

Case p = ttx. Here we may argue similarly as in the case p = btx.

LEMMA 1.9.7. Assume Setting 1.7.7. If O has length at least four and does not have type btbt, then the tri-star of A consists of strong nontrivial tri-separations.

PROOF. Let (X,Y) be a pseudo-reduction in the tri-star of  $\mathcal{A}$ , induced by a leaf  $\ell$  of S. Let us denote the adhesion set of the leaf-bag  $B_{\ell}$  by  $\{a_1,a_2\}$ . We claim that very vertex  $u \in S(X,Y)$  has degree at least four in G and has at least two neighbours in both X and Y.

Case 1:  $u = a_i$  for some  $i \in \{1, 2\}$ . Since  $G'[B_\ell]$  is 2-connected,  $a_i$  has at least two neighbours in  $B_\ell \subseteq X$ . As  $a_i$  lies in S(X, Y), it is not edgy, so it has at least two neighbours in  $V(G) \setminus B_\ell \subseteq Y$ . As these neighbours are distinct,  $a_i$  has degree at least four in G.

Case 2: u = v. Since v lies in S(X,Y), it has at least two neighbours in  $B_{\ell} \subseteq X$ . By Lemma 1.9.6, v has two neighbours in  $V(G) \setminus B_{\ell} \subseteq Y$ .

Therefore, (X, Y) is a strong tri-separation. It remains to show that (X, Y) is nontrivial. Since  $G'[B_{\ell}]$  is 2-connected, it contains a cycle, which is included in G[X]. To see that G[Y] contains a cycle, by Lemma 1.2.1 it suffices to show that  $|Y \setminus X| \ge 2$ , which follows from O having length at least four.

PROOF OF LEMMA 1.9.1. Assume Setting 1.7.7. Further suppose that O has length at least four and that O does not have type btbt. We have to show that the tri-star of  $\mathcal{A}$  consists of totally-nested strong nontrivial tri-separations. By Lemma 1.9.7, the tri-star of  $\mathcal{A}$  consists of strong nontrivial tri-separations. So it remains to show that these are totally nested.

Let (X, Y) be a pseudo-reduction in the tri-star of  $\mathcal{A}$ . Let  $\ell$  be the leaf of S which induces (X, Y), and let  $B_{\ell}$  denote the leaf-bag of  $\mathcal{A}$  assigned to  $\ell$ . Let  $\{a_1, a_2\}$  denote the adhesion set of  $B_{\ell}$ . By definition,  $X \cap Y$  is a subset of  $\{v, a_1, a_2\}$ .

Claim 1.9.7.1. If the separator of (X,Y) contains v, then it is externally tri-connected around v.

Proof of Claim. We assume  $v \in S(X,Y)$ . The vertices  $a_1, a_2$  either lie in the separator of (X,Y) or are v-free. If a vertex  $a_i$  is not in S(X,Y), then S(X,Y) contains the edge on O that joins  $a_i$  to its neighbour on O other than  $a_{3-i}$ . So if at least one of  $a_1$  and  $a_2$  is not in S(X,Y), then the two internally disjoint  $a_1-a_2$  paths through  $G'[B_\ell]$  provided by 2-connectedness witness that S(X,Y) is externally tri-connected around v, according to criterion (=) or ( $\dot{-}$ ). It remains to consider the case where S(X,Y) contains both  $a_1$  and  $a_2$ . Then, to satisfy criterion (:), we accompany the two  $a_1-a_2$  paths through  $G'[B_\ell]$  with a third path, internally disjoint from the former two, which we obtain from the  $a_1-a_2$  path  $O-a_1a_2$  by replacing torso-edges with detours through their corresponding leaf-bags if necessary.

CLAIM 1.9.7.2. If the separator of (X,Y) contains an  $a_i$ , then it is externally tri-connected around  $a_i$ .

*Proof of Claim.* Suppose that  $a_2 \in S(X,Y)$ , say. We distinguish two cases.

Case 1: the vertex  $a_1$  lies in the separator of (X,Y) as well. Then  $a_1$  is not edgy and Lemma 1.9.4 either yields three internally disjoint  $a_1-v$  paths avoiding  $a_2$  or that  $a_1v$  is an edge in G. So if S(X,Y) contains v, it is externally tri-connected around  $a_2$  by criterion (:). Otherwise, v is not in S(X,Y), and the unique neighbour u of v in  $B_\ell$  is distinct from  $a_1$  and  $a_2$  by Lemma 1.7.1, so v is  $a_2$ -free. If there exist three internally disjoint  $a_1-v$  paths avoiding  $a_2$ , at least two paths also avoid the edge  $uv \in S(X,Y)$ ; or  $a_1v$  is an edge; so S(X,Y) is externally tri-connected around  $a_2$  by criterion ( $\dot{-}$ ).

Case 2: not Case 1. Then instead of the vertex  $a_1$ , the edge  $a_1a_1'$  lies in S(X,Y), where  $a_1'$  denotes the unique neighbour of  $a_1$  in G outside  $B_\ell$ . Note that  $a_1' \in O$ . By assumption, O has length at least four and does not have type btbt. So by Lemma 1.9.5, there are two internally disjoint paths from  $a_1'$  to v in G that avoid  $B_\ell$ . If S(X,Y) contains v, the two paths witness that S(X,Y) is externally tri-connected around  $a_2$  by criterion  $(\dot{-})$ . So we may assume that v is not in S(X,Y), so S(X,Y) instead contains the edge uv where  $u \in B_\ell \setminus \{a_1, a_2\}$  is the unique neighbour of v in  $B_\ell$ . Hence v is  $a_2$ -free. Since the vertex u lies in  $B_\ell$ , it is avoided by both paths. As v is  $a_2$ -free, the two paths witness that S(X,Y) is externally tri-connected around  $a_2$  by criterion (=).

By Claim 1.9.7.1 and Claim 1.9.7.2, S(X,Y) is externally tri-connected. Since (X,Y) also is half-connected, Proposition 1.5.4 gives that (X,Y) is totally nested.

PROOF OF PROPOSITION 1.7.9. We combine Lemma 1.8.1, Lemma 1.8.2 and Lemma 1.9.1.

PROOF OF THE ANGRY TRI-SEPARATION THEOREM (1.1.5). Let us assume for a contradiction that there exists a 3-connected graph G that fails all three outcomes of Theorem 1.1.5, that is: all nontrivial tri-separations of G are crossed; G is neither a wheel nor a  $K_{3,n}$  for any  $n \ge 3$ ; and G is not internally 4-connected. Then G has a nontrivial strong tri-separation (A, B) by Proposition 1.2.8, which is half-connected by Corollary 1.5.7. By assumption, the tri-separation (A, B) is crossed by another tri-separation (C, D). The tri-separation (C, D) is nontrivial by Corollary 1.2.2. By Lemma 1.3.10 and using that G is not a wheel such as  $K_4$ , all four links have size one, and the centre consists of a single vertex v. By Lemma 1.7.5, G has a 2-connected apex-decomposition A with centre v, such that (A, B) and (C, D) interlace the tri-star of A, and such that the central torso-cycle of A alternates between S(A, B) - v and S(C, D) - v. As G is not a wheel, A has at least one leaf-bag, and so the tri-star of A is non-empty. By Proposition 1.7.9, the tri-star of A consists of totally-nested nontrivial tri-separations, contradicting our assumption that all nontrivial tri-separations of G are crossed.

#### Decomposing 3-connected graphs

#### 2.1. Overview of this chapter

In this chapter, we prove the main result of the paper, Theorem 1. The proof of Theorem 1 offers additional structural insights, which lead us to a refinement of Theorem 1 that comes in the form of Theorem 2.2.8.

This chapter is organised as follows. In the next section we introduce the notation we need to then state Theorem 2.2.8. Like Theorem 1, this theorem will have three possible outcomes for the torsos, and we devote a section to the analysis of each possible outcome.

#### 2.2. Basics

- **2.2.1.** Generalised wheels. The following definitions are supported by Figure 1. A Y-graph is a 3-star  $K_{1,3}$  and the set of its 3 leaves is referred to as its attachment set. A concrete generalised wheel is a triple (W, O, v) where W is a graph obtained from a cycle O and a vertex v not on O by doing the following, subject only to the condition that the resulting graph has minimum degree three:
  - (1) for every vertex on O, we may (but need not) join it to v, and
  - (2) for every edge xy on O, we may (but need not) disjointly add a Y-graph and identify its attachment set with  $\{x, y, v\}$ .

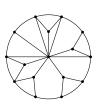
We refer to O as the rim of this concreted generalised wheel, and we refer to v as its centre. For convenience, we write W instead of (W, O, v), and refer to W as a concrete generalised wheel by a slight abuse of notation. Since concrete generalised wheels have minimum degree three, it is straightforward to show that they are 3-connected. The length of a concrete generalised wheel means the length of its rim.

A generalised wheel is a triple  $(W, \mathcal{A}, v)$  where W is a 3-connected graph, v is a vertex of W, and  $\mathcal{A}$  is an apex-decomposition of W with centre v such that all leaf-bags are triangles. The v of a generalised wheel is the cycle that is given by the torso of the central bag of the apex-decomposition. The v is the generalised wheel means the length of its rim. The vertex v is its v is the convenience, we write v instead of v and refer to v as a generalised wheel by a slight abuse of notation.

Lemma 2.2.1. A graph G is a generalised wheel with centre v and rim O if and only if it is a concrete generalised wheel with centre v and rim O.

PROOF. Clearly, every concrete generalised wheel is a generalised wheel with the same rim and centre. As generalised wheels W are 3-connected, the centre is adjacent to every vertex that has degree two in W-v, which implies that W has the structure of a concrete generalised wheel with the same rim and centre.

**2.2.2. Splitting stars.** Recall that a set  $\sigma = \{ (A_i, B_i) : i \in I \}$  of (oriented) mixed-separations of G is a star with leaves  $A_i$  if  $(A_i, B_i) \leq (B_j, A_j)$  for all distinct indices  $i, j \in I$ . We have seen in Example 1.7.3 that these stars naturally correspond to star-decompositions if they consist of separations only.



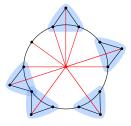


FIGURE 1. A concrete generalised wheel (left) and its apex-decomposition (right), where leaf-bags are indicated in blue and the centre plus its incident edges are red

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Let S be a set mixed-separations of G. A star  $\{(A_i, B_i) : i \in I\} \subseteq S$  with leaves  $A_i$  is splitting if for every  $(C, D) \in S$  there is  $i \in I$  with either  $(C, D) \leqslant (A_i, B_i)$  or  $(D, C) \leqslant (A_i, B_i)$ .

EXAMPLE 2.2.2. Let  $(T, \mathcal{V})$  be a tree-decomposition of G, and let S denote the set of induced separations of  $(T, \mathcal{V})$ . For every node  $t \in T$ , let  $\sigma_t$  denote star of separations induced by the edges of T incident with t and directed to t. The splitting stars of S are precisely the stars  $\sigma_t$  with  $t \in T$ .

LEMMA 2.2.3. Let N be a nested set of mixed-separations of a graph G, and let  $\sigma \subseteq N$  be a star. Then the following assertions are equivalent:

- (1)  $\sigma$  is a splitting star of N;
- (2) no element of N interlaces  $\sigma$ .

PROOF. (1) $\rightarrow$ (2). Let  $\sigma$  be a splitting star of N, and assume for a contradiction that  $(A, B) \in N$  interlaces  $\sigma$ . Then there is  $(C, D) \in \sigma$  such that  $(A, B) \leq (C, D)$  or  $(B, A) \leq (C, D)$ . But we also have (C, D) < (A, B) or (C, D) < (B, A) since (A, B) interlaces  $\sigma$ . In two cases we obtain immediate contradictions, and in the other two cases we obtain  $A \subseteq B$  or  $B \subseteq A$  which contradicts the definition of a separation.

 $(2) \rightarrow (1)$ . Assume that no element of N interlaces  $\sigma$ ; we show that  $\sigma$  is a splitting star of N. Let  $(A,B) \in N$ , and assume for a contradiction that there is no  $(C,D) \in \sigma$  such that  $(A,B) \leqslant (C,D)$  or  $(B,A) \leqslant (C,D)$ . Then, since N is nested, for every  $(C,D) \in \sigma$  we have (C,D) < (A,B) or (C,D) < (B,A), so (A,B) interlaces  $\sigma$ .

LEMMA 2.2.4. Let M be a nested set of mixed-separations of a graph G, and let  $\sigma$  and  $\tau$  be two distinct splitting stars of M. Then there are  $(A, B) \in \sigma$  and  $(C, D) \in \tau$  such that  $(B, A) \leq (C, D)$ .

PROOF. Let  $(X,Y) \in \sigma$  be arbitrary. Since  $\tau$  is a splitting star, there is  $(C,D) \in \tau$  such that  $(X,Y) \leq (C,D)$  or  $(Y,X) \leq (C,D)$ . In the latter case, we put (A,B) := (X,Y) and are done. In the former case, we use that  $\sigma$  is a splitting star to find  $(A,B) \in \sigma$  such that  $(C,D) \leq (A,B)$  or  $(D,C) \leq (A,B)$ . It suffices to derive a contradiction from  $(C,D) \leq (A,B)$ . Indeed, then  $(X,Y) \leq (C,D) \leq (A,B) \leq (Y,X)$  gives  $X \subseteq Y$ , contradicting that (X,Y) is a mixed-separation.

Lemma 2.2.5. Given a nested set of mixed-separations M of a graph G, a mixed-separation of G interlaces at most one splitting star of M.

PROOF. Assume for a contradiction that some mixed-separation (A, B) of G interlaces two distinct splitting stars  $\sigma_1$  and  $\sigma_2$  of M. By Lemma 2.2.4, there exist  $(C_1, D_1) \in \sigma_1$  and  $(C_2, D_2) \in \sigma_2$  such that  $(D_1, C_1) \leq (C_2, D_2)$ . Since (A, B) interlaces  $\sigma_1$  and  $\sigma_2$ , and since  $(A, B) \not< (A, B)$  nor  $(B, A) \not< (B, A)$ , we either have

$$(A,B) < (D_1,C_1) \le (C_2,D_2) < (B,A)$$
 or  $(B,A) < (D_1,C_1) \le (C_2,D_2) < (A,B)$ .

Then  $A \subseteq B$  or  $B \subseteq A$ , contradicting that (A, B) is a mixed-separation.

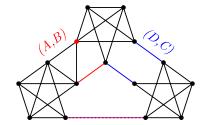
**2.2.3.** Torsos. A mixed-separation<sup>+</sup> of a graph G is a pair (A, B) such that  $A \cup B = V(G)$  and no two edges in  $E(A \setminus B, B \setminus A)$  share endvertices. We stress that we allow  $A \setminus B$  and  $B \setminus A$  to be empty. All the usual concepts for mixed-separations extend to mixed-separations<sup>+</sup> in the obvious way.

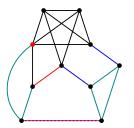
EXAMPLE 2.2.6. All nontrivial mixed 3-separations of a 3-connected graph are mixed 3-separations<sup>+</sup> by Lemma 1.2.3. Pairs (A, V(G)) for  $A \subseteq V(G)$  are separations<sup>+</sup> but not separations.

Let  $\sigma = \{(A_i, B_i) : i \in I\}$  be a star of mixed-separations<sup>+</sup> of a graph G, with leaf-sides  $A_i$ . The bag of  $\sigma$  is the intersection  $\bigcap_{i \in I} B_i$  of all non-leaf sides  $B_i$ . We follow the convention that the bag of the empty star is equal to the vertex-set of G.

If all  $(A_i, B_i)$  are separations<sup>+</sup>, then the *torso* of  $\sigma$  is the graph obtained from  $G[\beta]$ , where  $\beta$  is the bag of  $\sigma$ , by making every separator  $A_i \cap B_i$  into a clique (by adding all possible edges inside  $A_i \cap B_i$  for all  $i \in I$ ). In general, however, there are (at least) two ways how the notion of a torso can be generalised to stars of mixed-separations<sup>+</sup>. Here we present two ways, supported by Figure 2.

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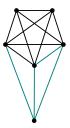


FIGURE 2. Left: the star  $\sigma = \{(A, B), (C, D)\}$ . Middle: the expanded torso of  $\sigma$ . Right: the compressed torso of  $\sigma$ .

The compressed torso of  $\sigma$  is the graph that is obtained from G by contracting all edges in separators of elements of  $\sigma$ , reducing parallel edges to simple ones, and then taking the torso as defined above. The torsos in Theorem 1 that were mentioned in the introduction are the compressed torsos.

The expanded torso of  $\sigma$  is the graph that is obtained from G as follows. We obtain  $(A'_i, B'_i)$  from  $(A_i, B_i) \in \sigma$  by letting  $A'_i := A_i$  and we obtain  $B'_i$  from  $B_i$  by adding all endvertices of edges in the separator of  $(A_i, B_i)$ . Then  $(A'_i, B'_i)$  is a separation<sup>+</sup> with the same order as  $(A_i, B_i)$ . We take the torso of the star  $\{(A'_i, B'_i) : i \in I\}$  of separations<sup>+</sup> as the expanded torso of  $\sigma$ .

Note that the compressed torso can be obtained from the expanded torso by contracting all edges in the separators  $S(A_i, B_i)$ . If all  $(A_i, B_i)$  are separations<sup>+</sup>, then the compressed torso and the expanded torso coincide. If N is a nested set of mixed-separations of G, then the (compressed/expanded) torsos of N are the (compressed/expanded) torsos of the splitting stars of N. We remark that if N is the set of induced separations of a tree-decomposition  $(T, \mathcal{V})$  of G, then the torsos of N are precisely the torsos of  $(T, \mathcal{V})$ .

Lemma 2.2.7. Let N be a nonempty nested set of nontrivial mixed 3-separations of a 3-connected graph G. Then all compressed torsos and expanded torsos of N are minors of G.

PROOF. By nontriviality, the leaves of the splitting stars induce subgraphs of G that include cycles, and using Menger's theorem we can contract these cycles onto the respective triangles in the torsos.

**2.2.4. Statement of the main theorem.** Let  $\sigma$  be a splitting star of a nested set N of tri-separations of G. We say that a strong nontrivial tri-separation (A, B) of G interlaces  $\sigma$  lightly if both  $G[A \setminus B]$  and  $G[B \setminus A]$  have at least two components. Otherwise (A, B) interlaces  $\sigma$  heavily. We stress that tri-separations that fail to be strong or nontrivial interlace  $\sigma$  neither lightly nor heavily by definition.

A thickened  $K_{3,m}$  is obtained from the bipartite graph  $K_{3,m}$  by making a bipartition class of size three complete; that is, we add the three edges of a triangle to that set. We allow the degenerated case of a triangle as a thickened  $K_{3,0}$ .

Theorem 2.2.8. Let G be a 3-connected graph and let N denote its set of totally-nested nontrivial triseparations. Each splitting star  $\sigma$  of N has the following structure:

- (i) if  $\sigma$  is interlaced lightly, then its compressed torso is a thickened  $K_{3,m}$  or  $G=K_{3,m}$  for some  $m\geqslant 0$ ;
- (ii) if  $\sigma$  is interlaced heavily, then its compressed torso is a wheel, and its expanded torso is a generalised wheel:
- (iii) if  $\sigma$  is not interlaced by a strong nontrivial tri-separation, then its compressed torso is quasi 4-connected or a  $K_4$  or  $K_3$ .

Moreover, all expanded torsos and compressed torsos of N are minors of G.

REMARK 2.2.9. For every integer  $m \ge 0$ , there exist G and  $\sigma$  as in the statement of Theorem 2.2.8 such that (i) holds and the compressed torso of  $\sigma$  is a thickened  $K_{3,m}$ . Indeed, let m be given. Let X and Y be disjoint vertex sets of size m and three, respectively. We let G be the graph obtained from the complete bipartite graph on (X,Y) by disjointly adding four triangles  $\Delta_1,\ldots,\Delta_4$  and joining each triangle  $\Delta_i$  to the three vertices in Y by a matching of size three. Then  $\sigma := \{(\Delta_i, V(G \setminus \Delta_i)) : i \in [4]\}$  is a splitting star of N. The splitting star  $\sigma$  is lightly interlaced by the tri-separation  $(\Delta_1 \cup \Delta_2 \cup Y, V(G \setminus (\Delta_1 \cup \Delta_2)))$ . The compressed

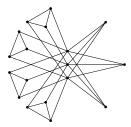


FIGURE 3. A graph with a thickened  $K_{3,3}$  as torso; see Remark 2.2.9

torso of  $\sigma$  is obtained from G by contracting all edges in the matchings between Y and the triangles  $\Delta_i$ , so it is a thickened  $K_{3,m}$ .

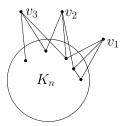


FIGURE 4. This graph is obtained from a  $K_n$  with n = 100 by first attaching the three vertices  $v_1$ ,  $v_2$  and  $v_3$  of degree three as illustrated, and then deleting all edges with both ends in the neighbourhood of a  $v_i$ , except one edge which lies as in the figure.

REMARK 2.2.10. In (iii), we cannot replace 'quasi 4-connected' by 'internally 4-connected'. Indeed, let G be the graph depicted in Figure 4. The graph G has precisely one strong nontrivial tri-separation (up to flipping sides), which has the form  $(A, B) = (v_1 + x + y, V(G) - v_1)$  for xy the unique edge with both ends in the neighbourhood of  $v_1$ . Hence  $\{(A, B)\}$  is a splitting star of N. The compressed torso of  $\sigma$  is obtained from G by making the neighbourhood of the vertex  $v_1$  complete and then deleting  $v_1$ . Thus this compressed torso has a nontrivial tri-separation, similar to the tri-separation (A, B) with ' $v_2$ ' taking the role of ' $v_1$ '. Hence the compressed torso is quasi 4-connected but not internally 4-connected, compare Proposition 1.2.8.

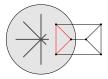


FIGURE 5. An expanded torso that is not quasi 4-connected; red edges are missing

REMARK 2.2.11. In (iii), we cannot replace 'compressed torso' by 'expanded torso'. Indeed, let G be the graph obtained from  $K_{10}$  by picking a triangle  $\Delta$  within and attaching a new triangle  $\Delta'$  to  $\Delta$  via a matching, and then deleting the edges of  $\Delta$ ; see Figure 5. The matching is a 3-edge cut of G and determines a splitting star  $\{(\Delta', G \setminus \Delta')\}$  of N. Hence G is an expanded torso of N, but the splitting star is not interlaced by a tri-separation and G is not quasi 4-connected.

#### 2.3. Proof of (i)

Our strategy to prove (i) is to construct for every tri-separation (A, B) that is not half-connected a splitting star  $\sigma$  interlaced by (A, B). The construction is explicit and allows us to deduce that this splitting star has the structure for (i). Then we apply Lemma 2.2.5 to deduce that every splitting star interlaced by (A, B) must be equal to  $\sigma$ , completing the proof. The details are as follows.

Let G be a 3-connected graph. Let U be a set of three vertices of G. The star of 3-separations induced by U is the star

 $\{(A_K, B_K) : K \text{ is a component of } G \setminus U \}$  with leaves  $A_K := V(K) \cup U$ , where  $B_K := V(G \setminus K)$ .

Suppose now that  $G \setminus U$  has at least four components. By Lemma 1.5.6, for every 3-separation  $(A_K, B_K)$  in this star the reduction  $(A_K', B_K')$ , which in particular is a tri-separation, satisfies  $(A_K', B_K') \leq (A_K, B_K)$ . The star of tri-separations induced by U is the star that consists of all the reductions of the 3-separations in the star of 3-separations induced by U.

LEMMA 2.3.1. Let (A, B) be a mixed 3-separation of a 3-connected graph G, and let (A', B') be the reduction of (A, B). Then no tri-separation (C, D) of G satisfies  $(A', B') < (C, D) \leq (A, B)$ .

PROOF. Suppose for a contradiction that (C, D) is a tri-separation of G with  $(A', B') < (C, D) \le (A, B)$ . Since (A', B') is the reduction of (A, B), we have  $B' \subseteq B$ . From  $(A', B') \le (C, D) \le (A, B)$  we obtain  $B' \supseteq D \supseteq B$ , so B' = D = B. Since (A', B') < (C, D) and B' = D, the inclusion  $A' \subseteq C$  must be proper. As  $C \subseteq A$ , this means that some vertex  $v \in A \cap B$  lies in C but has been removed from A to obtain A'. So v has only one neighbour in A. But then v has only one neighbour in  $C \subseteq A$ , contradicting that  $C \subseteq A$  tri-separation with  $C \subseteq A$ .

LEMMA 2.3.2. Let G be a 3-connected graph and  $U \subseteq V(G)$  of size three such that every two vertices in U are linked by four internally disjoint paths in G. Then for every half-connected mixed 3-separation (C, D) of G there is a component K of  $G \setminus U$  such that  $(C, D) \leq (A_K, B_K)$  or  $(D, C) \leq (A_K, B_K)$ .

PROOF. Since every two vertices in U are linked by four internally disjoint paths in G, the vertex set U cannot meet both  $C \setminus D$  and  $D \setminus C$ . So U avoids  $C \setminus D$ , say. Hence  $U \subseteq D$ . If S(C, D) = U, then (C, D) being half-connected implies that (C, D) or (D, C) is equal to a 3-separation  $(A_K, B_K)$  for some component K of  $G \setminus U$ , and we are done. So assume that  $S(C, D) \neq U$ . Let K' be an arbitrary component of  $G[C \setminus D]$ . Since U is included in D, the component K' avoids U. So K' is included in a unique component K of  $G \setminus U$ . We claim that  $(C, D) \leq (A_K, B_K)$ .

First, we show  $C \subseteq A_K$ . It suffices to show  $G[C \setminus D] \subseteq K$  since this implies

$$C \subseteq (C \setminus D) \cup N(C \setminus D) \subseteq V(K) \cup N(K) = A_K$$
.

If S(C,D) contains an edge, then by 3-connectivity every component of  $G[C \setminus D]$  must contain the end of this edge in C, and so  $G[C \setminus D] = K' \subseteq K$  as desired. Hence we may assume that S(C,D) consists of three vertices, and since  $S(C,D) \neq U$  there is a vertex  $v \in S(C,D) \setminus U$ . By 3-connectivity, every component of  $G[C \setminus D]$  has neighbourhood equal to  $C \cap D$ , and so  $K' \subseteq K$  with  $A_K \cap B_K = U$  implies  $v \in A_K \setminus B_K = V(K)$ . As all components of  $G[C \setminus D]$  avoid U but have v in their neighbourhoods, they must all be included in the component K of  $G \setminus U$  that contains v, so  $G[C \setminus D] \subseteq K$  as desired.

For 
$$D \supseteq B_K$$
, we use  $C \subseteq A_K$  to get  $B_K \setminus A_K \subseteq D \setminus C$ , and recall that  $B_K \cap A_K = U \subseteq D$ .

Lemma 2.3.3. Every totally-nested tri-separation of a 3-connected graph is half-connected.

PROOF. We show the contrapositive. Let (A, B) be non-half-connected tri-separation of a 3-connected graph. Then the separator of (A, B) consists of vertices only. Pick arbitrary components  $\alpha$  and  $\beta$  of  $G[A \setminus B]$  and  $G[B \setminus A]$ , respectively. Let

$$C := (A \cap B) \cup V(\alpha) \cup V(\beta)$$
 and  $D := V(G \setminus (\alpha \cup \beta))$ 

Since G is 3-connected, every component of  $G - (A \cap B)$  has neighbourhood equal to  $A \cap B$ . Hence (C, D) is a tri-separation of G. It is straightforward to check that (C, D) crosses (A, B).

LEMMA 2.3.4. Let G be a 3-connected graph and  $U \subseteq V(G)$  of size three such that every two vertices in U are linked by four internally disjoint paths in G. Let  $\sigma'$  denote the star of tri-separations induced by U, and let  $\sigma \subseteq \sigma'$  consist of its nontrivial elements. Then  $\sigma$  is a splitting star of the set of all totally-nested nontrivial tri-separations of G.

PROOF. The elements of  $\sigma$  are totally-nested nontrivial tri-separations of G by Lemma 1.5.6.

Suppose for a contradiction that  $\sigma$  is not splitting. Then some totally-nested nontrivial tri-separation (C, D) of G interlaces  $\sigma$  by Lemma 2.2.3. Since (C, D) is totally nested, it is half-connected by Lemma 2.3.3. By Lemma 2.3.2 there is a component K of  $G \setminus U$  such that  $(C, D) \leq (A_K, B_K)$ , say (the paths linking up U go through the four components of  $G \setminus U$ ). Let  $(A'_K, B'_K) \in \sigma'$  denote the reduction of  $(A_K, B_K)$ .

If  $(A'_K, B'_K)$  is nontrivial, it lies in  $\sigma$ , so  $(A'_K, B'_K) < (C, D) \leqslant (A_K, B_K)$  as (C, D) interlaces  $\sigma$ . This contradicts Lemma 2.3.1. Hence  $(A'_K, B'_K)$  is trivial; so  $A'_K$  and  $B'_K$  are the sides of an atomic 3-cut by Lemma 1.2.1. The only possibility here is that  $|A'_K| = 1$ , since  $B'_K$  includes U. But then  $G[A_K]$  is a  $K_{1,3}$ , so G[C] contains no cycle and (C, D) is trivial, a contradiction.

PROOF OF THEOREM 2.2.8 (i). Let  $\sigma$  be a splitting star of N that is interlaced lightly by a tri-separation (A, B) of G. Then  $U = A \cap B$  is a 3-separator of G such that  $G \setminus U$  has at least four components. Let  $\bar{\sigma}$  be the star of tri-separations induced by U, and note that (A, B) interlaces  $\bar{\sigma}$  as well. Let  $\sigma'$  consist of the nontrivial tri-separations in  $\bar{\sigma}$ . By Lemma 2.3.4,  $\sigma'$  is a splitting star of N. As (A, B) interlaces the splitting stars  $\sigma$  and  $\sigma'$  of N, these splitting stars need to be equal by Lemma 2.2.5.

If  $G = K_{3,m}$  for some  $m \ge 4$ , then we are done. So we may assume that the graph G[U] has an edge or  $G \setminus U$  has a component of size at least two. Thus  $\sigma'$  is non-empty by Lemma 1.5.6. The compressed torso of  $\sigma'$  can be obtained from G by first removing every component K of  $G \setminus U$  with  $|K| \ge 2$ , then removing every component K of  $G \setminus U$  with |K| = 1 if G[U] has an edge, and finally making U into a clique (for the latter we need that  $\sigma'$  is nonempty). Hence the compressed torso of  $\sigma = \sigma'$  is a thickened  $K_{3,m}$  with  $m \ge 0$ .  $\square$ 

#### 2.4. Tools to prove (ii) and (iii)

In this short section we prove a few lemmas that we will use in the proofs of (ii) and (iii).

DEFINITION 2.4.1 (Almost interlacing). We say that a mixed-separation<sup>+</sup> (C, D) of a graph G almost interlaces a star  $\sigma$  of mixed-separations<sup>+</sup> of G if  $(A, B) \leq (C, D)$  or  $(A, B) \leq (D, C)$  for all  $(A, B) \in \sigma$ .

The notion of 'almost interlaces' is more general than the notion of 'interlaces' in two ways: on the one hand, we consider mixed-separations<sup>+</sup>, and on the other hand we no longer require that (A, B) and its inverse are not in  $\sigma$ .

LEMMA 2.4.2. Assume Setting 1.7.7. If a tri-separation (C, D) of G almost interlaces the tri-star  $\sigma$  of A, then S(C, D) contains v or an edge incident with v.

PROOF. Since (C, D) almost interlaces  $\sigma$ , the elements of the separator S(C, D) are vertices or edges of O + v or edges incident with v. Suppose for a contradiction that S(C, D) contains neither v nor an edge incident to v. Then  $S(C, D) \subseteq O$ . Every component of  $G \setminus (O + v)$  contains a neighbour of v, since v is 3-connected. Every vertex on v that is not a neighbour of a component of v is incident with two timid edges on v, and hence is adjacent to v by 3-connectivity. Hence v is connected, a contradiction.

LEMMA 2.4.3. Let G be a 3-connected graph. Let (A, B) be a mixed 3-separation of G, and let (A', B') be a strengthening of (A, B). Then for every strong tri-separation (C, D) of G with  $(C, D) \leq (A, B)$  we also have  $(C, D) \leq (A', B')$ .

PROOF. Clearly  $B' \subseteq B \subseteq D$ . Let v be a vertex in  $A \cap B$ . Assume that v is in C. It remains to show that v is in A'. Since  $B \subseteq D$ , we have that  $v \in C \cap D$ . As (C, D) is a strong tri-separation, v has degree four in G and two neighbours in C. Hence the set C witnesses that in the construction of the strengthening (A', B') no vertex of C can be deleted from A. So  $v \in A'$  as desired.

COROLLARY 2.4.4. Let  $\sigma$  be a star of strong tri-separations of a 3-connected graph G. If a mixed 3-separation (A, B) of G almost interlaces  $\sigma$ , then every strengthening of (A, B) almost interlaces  $\sigma$ .

Similar to Lemma 2.4.3, we prove the following (differences are underlined).

LEMMA 2.4.5. Let G be a 3-connected graph. Let (A, B) be a mixed 3-separation of G, and let (A', B') be the <u>reduction</u> of (A, B). Then for every <u>tri-separation</u> (C, D) of G with  $(C, D) \leq (A, B)$  we also have  $(C, D) \leq (A', B')$ .

COROLLARY 2.4.6. Let  $\sigma$  be a star of tri-separations of a 3-connected graph G. If a mixed 3-separation (A, B) of G almost interlaces  $\sigma$ , then the reduction of (A, B) almost interlaces  $\sigma$  as well.

#### 2.5. Proof of (ii)

A key step in the proof of (ii) is to show that the tri-star from Setting 1.7.7 is splitting, see Lemma 2.5.10 below. Then we finish the proof similarly to the proof of (i). We start preparing to prove Lemma 2.5.10.

LEMMA 2.5.1. Assume Setting 1.7.7. If O has type btt, then the tri-star of A is a splitting star of the set of all totally-nested nontrivial tri-separations of G.

PROOF. By Lemma 1.8.1, the tri-star of  $\mathcal{A}$  consists of totally-nested nontrivial tri-separations, and v is adjacent to all three vertices of O. It remains to show that the tri-star of  $\mathcal{A}$  is splitting. Let  $x_1, x_2, x_3$  denote the vertices of O so that the edge  $x_2x_3$  is bold. Let  $B_\ell$  denote the unique leaf-bag of  $\mathcal{A}$ ; so  $\{x_2, x_3\}$  is the adhesion set of  $B_\ell$ . Since all  $x_i$  are neighbours of v, the pseudo-reduction (C, D) induced by  $\ell$  is given by  $C := B_\ell + v$  and  $D := \{x_1, x_2, x_3, v\}$ .

Let (U, W) be a nontrivial tri-separation of G with (C, D) < (U, W). We have to show that (U, W) is crossed by a tri-separation.

CLAIM 2.5.1.1. (U, W) = (C, D - y) for some  $y \in \{x_2, x_3, v\}$ .

Proof of Claim. Since  $D \setminus C = \{x_1\}$  and  $W \setminus U$  is nonempty, we deduce from  $(C, D) \leq (U, W)$  that  $x_1 \in W \setminus U$  and C = U. Thus since (C, D) < (U, W), the side W is a proper subset of  $D = \{x_1, x_2, x_3, v\}$ . As G[W] contains a cycle, it has exactly three vertices.

It is straightforward to check that each (C, D - y) with  $y \in \{x_2, x_3, v\}$  is a tri-separation, and that these cross for different values of y.

DEFINITION 2.5.2 (Red). Assume Setting 1.7.7. A vertex of O is red if it is adjacent to v or incident with two bold edges of O.

EXAMPLE 2.5.3. Vertices incident with two timid edges of O are red: since G is 3-connected, they need to have a third neighbour in G, and this can only be v.

A mixed 2-separator<sup>1</sup> of O is a mixed 2-separator of O or else it consists of the two endvertices of a bold edge of O. It is red if all edges in it are timid and all vertices in it are red.

REMARK 2.5.4. (Motivation) In what follows, we offer a way to understand the tri-separations interlacing the tri-star of  $\mathcal{A}$  in Setting 1.7.7 via red mixed 2-separatörs. They have smaller order and hence are easier to analyse.

Given a mixed 2-separatör X, we denote by  $O_X$  the topological space obtained from the geometric realisation of O (which is homeomorphic to  $\mathbb{S}^1$ ) by removing all vertices of X and all interior points of edges in X. We refer to the two connected components of  $O_X$  as the *intervals* of  $O_X$ .

In the following, when (C, D) is a tri-separation, we write  $S(C, D) \cap O$  as an abbreviation of  $S(C, D) \cap (V(O) \cup E(O))$ .

LEMMA 2.5.5. Assume Setting 1.7.7. If a strong tri-separation (C, D) of G almost interlaces the tri-star  $\sigma$  of A, then  $S(C, D) \cap O$  is a red mixed 2-separatör.

PROOF. Since (C, D) almost interlaces  $\sigma$ , the elements of the separator S(C, D) are vertices or edges of O + v or edges incident with v. By Lemma 2.4.2, S(C, D) contains v or an edge incident with v. By Lemma 1.2.3, no two edges in S(C, D) share ends. Thus,  $X := S(C, D) \cap O$  has size two.

Claim 2.5.5.1. Each interval of  $O_X$  contains (the interior points of) a bold edge or a vertex.

<sup>&</sup>lt;sup>1</sup>It is a technical variant of a mixed 2-separator, hence the similar name.

Proof of Claim. Suppose not for a contradiction. Then one of the intervals of  $O_X$  is equal to the set of interior points of a timid edge e = xy. So one of the sides of (C, D), say D, contains all vertices of O. So C intersects V(O) precisely in the vertices x and y. As the leaf-bags of A are 2-connected and e is timid, the graph G - v - x - y is connected; hence C contains no other vertex of G - v. Since  $C \setminus D$  is nonempty, it must contain a vertex and the only possibility is that  $C \setminus D = \{v\}$ . By Lemma 1.2.3 the vertex v has at most one neighbour in  $D \setminus C = D - x - y$ . So by Lemma 1.7.1, at most one edge of O can be bold. Thus one of the vertices x and y, say x, is incident with two timid edges. So x has degree at most three. As  $x \in C \cap D$ , this contradicts the assumption that (C, D) is strong.

By Claim 2.5.5.1, X is a mixed 2-separatör. It remains to show that X is red. Every edge in X is timid, so let x be a vertex in X. Since we are done otherwise, assume that x is not adjacent to x. If x is not adjacent to a vertex x in x, then the fact that it has at least two neighbours in the sides x and x implies that both its incident edges on x must be bold. So assume that x has a neighbour x in x, that is, x = x, x is not adjacent to x. The only way this is possible is that x is an edge of x. By Claim 2.5.5.1, the edge x must be bold. Let x be the edge of x incident with x aside from x.

Suppose for a contradiction that f is timid. Then as x is not adjacent to v, the edge f is in the separator of the pseudo-reduction corresponding to e. Denote this pseudo-reduction by (E, F) with leaf-side E. We have shown that the vertex x is in  $C \cap D$  and in  $E \setminus F$ . As (C, D) almost interlaces, we have that  $C \cap D \subseteq F$ , a contradiction. So both edges incident with x are bold. Hence x is red.

LEMMA 2.5.6. Assume Setting 1.7.7. For every red mixed 2-separatör X of G, there is a tri-separation (C, D) of G that almost interlaces the tri-star  $\sigma$  of A and satisfies  $S(C, D) \cap O = X$ .

PROOF. Denote the intervals of  $O_X$  by  $C_1$  and  $D_1$ . We obtain  $C_2$  from  $C_1$  by replacing every bold edge e of O with interior in  $C_1$  by the leaf-side  $A_i$  of the tri-separation  $(A_i, B_i) \in \sigma$  that corresponds to e, and adding v. We define  $D_2$  analogously. Since X is red, it contains no bold edges and  $C_2 \setminus D_2$  and  $D_2 \setminus C_2$  are nonempty. Thus  $(C_2, D_2)$  is a mixed-separation of G that almost interlaces  $\sigma$ . Its separator is X + v, so it is a mixed 3-separation. Vertices of X are red, so have two neighbours in  $C_2$  and  $D_2$ . By 3-connectivity, v has a neighbour in  $C_2 \setminus D_2$  and in  $C_2 \setminus C_2$ . So if v has a neighbour in  $C_3 \setminus C_4$  and almost interlaces  $\sigma$  by Corollary 2.4.6, so it is the desired tri-separation.

The boundary of an edge e of O is the 2-element set that, for each endvertex u of e, contains u if u is red, and otherwise contains the unique edge of O other than e that is incident with u.

Example 2.5.7. If e is bold, then its boundary is a red mixed 2-separatör.

LEMMA 2.5.8. Assume Setting 1.7.7 and that O does not have the type btt. If a tri-separation (C, D) of G almost interlaces the tri-star  $\sigma$  of A and  $S(C, D) \cap O$  is equal to the boundary of a bold edge of O, then (C, D) or (D, C) is in the tri-star of A.

PROOF. Let e be a bold edge of O such that  $S(C,D) \cap O$  is equal to the boundary of e; if there are two choices for e, we denote the other choice by f. Let (A,B) be the tri-separation in  $\sigma$  that corresponds to the edge e. As (C,D) almost interlaces  $\sigma$ , we have  $(A,B) \leq (C,D)$  or  $(A,B) \leq (D,C)$ , say the former. Abbreviate  $X := S(C,D) \cap O$ . Let P denote the interval of  $O_X$  that does not include the interior of e.

Claim 2.5.8.1. Possibly after exchanging the roles of 'e' and 'f' and adjusting P, the sum of neighbours of v on P plus bold edges of P is at least two.

Proof of Claim. Suppose first for a contradiction that P contains no bold edges and at most one neighbour of v. Then all edges of O except for e are timid. So all vertices not incident with e are neighbours of v by Example 2.5.3 and they are in P. So O has length three and the type btt. By assumption this type is excluded, so we reach a contradiction.

It remains to suppose for a contradiction that P contains no neighbour of v and exactly one bold edge. If a vertex of O is incident with two timid edges, this vertex is a neighbour of v by Example 2.5.3 and is

in P, which is excluded. So every vertex of O is incident with a bold edge. So O is a cycle with exactly two bold edges such that all its vertices are incident with a bold edge. So O contains at most four vertices. By Lemma 1.7.8 and since O does not have the type btt by assumption, O has the type btbt<sup>+</sup>. As P contains no neighbour of v, by Observation 1.7.6 the two endvertices of e or of f are adjacent to v. Hence, after possibly exchanging the roles of 'e' and 'f' and adjusting P, we find that P contains two neighbours of v.  $\diamondsuit$ 

By Claim 2.5.8.1 we may assume that the sum of neighbours of v on P plus bold edges of P is at least two. So v has two neighbours in D by Lemma 1.7.1. By Lemma 1.2.3, two edges incident with v cannot both be in S(C, D), so  $v \in D$ .

We shall show that (A, B) = (C, D). When restricting to G - v, this equality is immediate. By definition of  $\sigma$ , the vertex v is in B. So B = D. Since  $(A, B) \leq (C, D)$ , it remains to show that if  $v \in C$ , then  $v \in A$ . So assume  $v \in C$ . Since (C, D) is a tri-separation, v has two neighbours in C. As C - v = A - v, the vertex v has two neighbours in A - v. So by the definition of (A, B), the vertex v is in A. This completes the proof.

We say that a mixed 2-separatör X is crossed by a mixed 2-separatör Y if the two intervals of  $O_X$  contain elements of Y; note that crossing is a symmetric relation for mixed 2-separatörs.

LEMMA 2.5.9. Assume Setting 1.7.7. A mixed 2-separatör X of O is crossed by a red mixed 2-separatör of O if and only if X is not equal to the boundary of a bold edge.

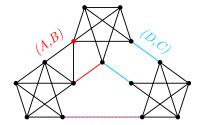
PROOF. If X is equal to the boundary of a bold edge e, then one of the intervals of  $O_X$  consists only of the interior of e plus possibly some non-red endvertices of e; thus X is not crossed by a red mixed 2-separatör. Conversely, if X is not equal to the boundary of a bold edge, then both intervals of  $O_X$  either contain a red vertex, a timid edge or at least two edges. Since we are done otherwise immediately, assume that we have the third outcome: two edges in an interval, and as we do not have the second outcome assume all the edges in the interval are bold. Then the interval has an internal vertex (a vertex that is not in the boundary of the interval), which is incident with two bold edges and thus is red. Hence X is crossed by a red mixed 2-separatör.

Lemma 2.5.10. Assume Setting 1.7.7. The tri-star of A is a splitting star of the set of totally-nested nontrivial tri-separations.

PROOF. Let (C, D) be a nontrivial totally nested tri-separation of G that almost interlaces the tri-star of A. Since every tri-separation with a degree-3-vertex x in its separator is crossed (by the atomic cut at x), the totally nested tri-separation (C, D) is strong. By Lemma 2.5.5,  $X := S(C, D) \cap O$  is a red mixed 2-separator. By Lemma 2.5.9, either X is crossed by a red mixed 2-separator Y or X is equal to the boundary of a bold edge of O. In the second case, by Lemma 2.5.8 and since we are otherwise done by Lemma 2.5.1, the tri-separation (C, D) or (D, C) is in the tri-star of A. In the first case, by Lemma 2.5.6 there is a tri-separation (E, F) almost interlacing the tri-star of A such that  $S(E, F) \cap O = Y$ . Since X and Y cross on O, the sets X and Y together ensure that all four links of the corner diagram for the tri-separations (C, D) and (E, F) are nonempty; thus the tri-separations (C, D) and (E, F) cross. We have shown that any nontrivial tri-separation (C, D) that interlaces the tri-star of A is crossed by a tri-separation. To summarise, the tri-star of A, which consists of totally-nested nontrivial tri-separations by Proposition 1.7.9, is splitting within the set of all totally-nested nontrivial tri-separations by Lemma 2.2.3.

LEMMA 2.5.11. Let A be a 2-connected apex-decomposition with central torso-cycle O of a 3-connected graph, and let  $\sigma$  denote the tri-star of A. Then the expanded torso of  $\sigma$  is a generalised wheel with rim O, and the compressed torso of  $\sigma$  is a wheel with rim O.

PROOF. An edge xy of O is good if there is some  $(A, B) \in \sigma$  such that  $\{x, y\} \subseteq A \cap B$  and v is not in the leaf-side A. Let X denote the expanded torso of  $\sigma$ . The graph X - v is isomorphic to the graph obtained from O by adding for every good edge xy of O a new vertex and joining it to x and y (so that the three vertices form a triangle). Hence X is a concrete generalised wheel with rim O. By Lemma 2.2.1, X is also a



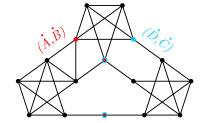


FIGURE 6.  $\sigma$  and  $\dot{\sigma}$ 

generalised wheel, with the same rim. The compressed torso of  $\sigma$  is obtained from X by contracting all edges that join v to newly added vertices. Since X is a concrete generalised wheel with rim O, it follows that the compressed torso is a wheel with rim O.

PROOF OF THEOREM 2.2.8 (ii). Let G be a 3-connected graph, N its set of totally-nested nontrivial tri-separations, and  $\sigma$  a splitting star of N. Suppose that  $\sigma$  is heavily interlaced by a tri-separation (A, B) of G. So (A, B) is half-connected, strong and nontrivial. As (A, B) is not in N, it is crossed by a tri-separation (C, D) of G. By Proposition 1.3.13, we may assume that (C, D) is nontrivial and strong. By the Crossing Lemma (1.3.10), (A, B) and (C, D) cross so that the centre consists of a single vertex v and all links have size one.

By Lemma 1.7.5, G has a 2-connected apex-decomposition A with centre v whose tri-star  $\sigma'$  is interlaced by (A, B) and (C, D), and whose central torso-cycle O alternates between S(A, B) - v and S(C, D) - v; that is to say that we may assume Setting 1.7.7. By Lemma 2.5.10, the tri-star  $\sigma'$  is a splitting star of N. By Lemma 2.2.5, the fact that (A, B) interlaces the two splitting stars  $\sigma$  and  $\sigma'$  implies  $\sigma' = \sigma$ . Finally, by Lemma 2.5.11, the compressed and expanded torsos of  $\sigma' = \sigma$  are a wheel and generalised wheel, respectively.

## 2.6. Proof of (iii)

A key step in this proof will be to understand how separations from the compressed torso for a splitting star  $\sigma$  can be lifted to mixed-separations of G that interlace  $\sigma$ ; this is then used to show that the compressed torso of  $\sigma$  can only have very specific 3-separations when  $\sigma$  is not interlaced at all, which roughly speaking is the essence of (iii). Next we prepare to lift.

LEMMA 2.6.1. Let G be a graph, and let  $\sigma$  be a star of mixed-separations<sup>+</sup> of G. Then every edge of G lies in the separators of at most two elements of  $\sigma$ .

PROOF. This follows immediately from the observation that the vertex sets  $A \setminus B$  are disjoint for distinct elements  $(A, B) \in \sigma$ .

DEFINITION 2.6.2  $(\dot{G}, (\dot{A}, \dot{B}) \text{ and } \dot{\sigma})$ . Suppose now that G is a graph and  $\sigma$  is a star of mixed-separations<sup>+</sup> of G. In this context, we define the graph  $\dot{G}$  to be the graph obtained from G by subdividing every edge that lies in the separators of exactly two elements of  $\sigma$ . Let  $(A, B) \in \sigma$  be arbitrary. We define  $\dot{A}$  to be the vertex set obtained from A by adding for every edge  $e \in S(A, B)$  the subdividing vertex of e if existent and the endvertex of e in B otherwise. We define  $\dot{B}$  to be the vertex set obtained from B by adding for every edge  $e \in S(A, B)$  its subdividing vertex if existent (the endvertex of e in A is not added). Then  $(\dot{A}, \dot{B})$  is a separation<sup>+</sup> of  $\dot{G}$ , which has the same order as (A, B). We write  $\dot{\sigma} := \{(\dot{A}, \dot{B}) : (A, B) \in \sigma\}$ .

Let G be a 3-connected graph, and let  $\sigma$  be a star of nontrivial tri-separations of G. Let X denote the compressed torso of  $\sigma$ . We define a map  $\iota \colon V(X) \to V(\dot{G})$  as follows. Let v be any vertex of X. If v is not a contraction vertex, then v also is a vertex of G and we let  $\iota(v) := v$ . Otherwise v is a contraction vertex with branch set U. If U is spanned in G by a single edge e that lies in the separators of two elements of  $\sigma$ , then we let  $\iota$  take v to the subdividing vertex of e in G. Else G intersects the bag of G in a unique vertex, by Lemma 1.2.3, and we let  $\iota$  take v to this unique vertex. Let  $\iota$  be the restriction of  $\iota$  onto its image.

Lemma 2.6.3. Let G be a 3-connected graph, and let  $\sigma$  be a star of nontrivial tri-separations of G. Then  $\dot{\sigma}$  is a star of 3-separations<sup>+</sup> of  $\dot{G}$ , and i is a graph-isomorphism between the compressed torso of  $\sigma$  in G and the torso of  $\dot{\sigma}$  in  $\dot{G}$ .

LEMMA 2.6.4 (Lifting Lemma). Let  $\sigma$  be a star of separations<sup>+</sup> of a graph G and let X denote the torso of  $\sigma$ . For every separation (A,B) of X there exists a separation  $(\hat{A},\hat{B})$  of G such that  $\hat{A} \cap V(X) = A$  and  $\hat{B} \cap V(X) = B$  and  $\hat{A} \cap \hat{B} = A \cap B$ . Moreover,  $(\hat{A},\hat{B})$  almost interlaces  $\sigma$ .

PROOF. Let (A,B) be given. For every  $(C,D) \in \sigma$ , the separator  $C \cap D \subseteq V(X)$  is complete in X, so  $C \cap D$  is included in A or in B (possibly in both). We obtain  $\hat{A}$  from A by adding all vertices in  $C \setminus D$  from elements  $(C,D) \in \sigma$  with  $C \cap D \subseteq A$ , and we obtain  $\hat{B}$  from B by adding all vertices in  $C \setminus D$  from elements  $(C,D) \in \sigma$  with  $C \cap D \not\subseteq A$ . Then  $\hat{A} \cup \hat{B} = V(G)$ . Let us assume for a contradiction that G contains an edge ab with  $a \in \hat{A} \setminus \hat{B}$  and  $b \in \hat{B} \setminus \hat{A}$ . Since (A,B) is a separation of X, not both a and b can lie in V(X). So  $a \in C \setminus D$  for some  $(C,D) \in \sigma$  with  $C \cap D \subseteq A$ , say. Since (C,D) is a separation<sup>+</sup>, it follows that b must lie in C, contradicting that  $C \subseteq \hat{A}$ .

The equalities  $\hat{A} \cap V(X) = A$  and  $\hat{B} \cap V(X) = B$  are immediate from the fact that  $C \setminus D$  avoids V(X) for all  $(C, D) \in \sigma$ . The equality  $\hat{A} \cap \hat{B} = A \cap B$  follows from the fact that  $C \setminus D$  is disjoint from  $C' \setminus D'$  for every distinct two  $(C, D), (C', D') \in \sigma$ .

In the context of Lemma 2.6.4, we say that (A, B) lifts to  $(\hat{A}, \hat{B})$ , and call  $(\hat{A}, \hat{B})$  a lift of (A, B).

COROLLARY 2.6.5. If G is a subdivision of a 3-connected graph, and  $\sigma$  is a star of 3-separations<sup>+</sup> of G, and the bag of  $\sigma$  does not include a degree-two vertex plus both its neighbours, then the torso of  $\sigma$  is 3-connected or a  $K_3$ .

DEFINITION 2.6.6 (Hyper-lift). Let G be a 3-connected graph, and let  $\sigma$  be a star of nontrivial triseparations of G. Let (C, D) be a separation of the compressed torso X of  $\sigma$ . A hyper-lift of (C, D) to G is a mixed-separation  $(\hat{C}, \hat{D})$  of G that is obtained from (C, D) in the following way. First, we view (C, D) as a separation of the torso of  $\dot{\sigma}$ , using Lemma 2.6.3. Next, we lift (C, D) from the torso of  $\dot{\sigma}$  to a separation (C', D') of  $\dot{G}$ , using the Lifting Lemma (2.6.4). Finally, we let  $\hat{C} := C' \cap V(G)$  and  $\hat{D} := D' \cap V(G)$ .

Lemma 2.6.7. Let G be a 3-connected graph, and let  $\sigma$  be a star of nontrivial tri-separations of G. Let (C, D) be a separation of the compressed torso X of  $\sigma$ , and let  $(\hat{C}, \hat{D})$  be a hyper-lift of (C, D) to G. Then:

- (1) the order of  $(\hat{C}, \hat{D})$  is at most the order of (C, D);
- (2)  $(\hat{C}, \hat{D})$  almost interlaces  $\sigma$ ;
- (3)  $|\hat{C} \setminus \hat{D}| \geqslant |C \setminus D|$ ;
- (4) for every  $(A, B) \in \sigma$  we have  $|(\hat{C} \setminus \hat{D}) \cap B| \ge |C \setminus D|$ .

PROOF. Let (C', D') denote the separation of  $\hat{G}$  that was used to obtain the hyper-lift  $(\hat{C}, \hat{D})$ .

- (1). First, we shall define an injection from  $S(\hat{C}, \hat{D})$  to S(C', D'). To this end, let x be an edge in  $S(\hat{C}, \hat{D})$ . Since (C', D') has no edges in its separator, the edge x must be an edge of G that is not an edge of G. Denote by y the unique subdivision vertex of x in G. Since the two neighbours of y are in each of  $C' \setminus D'$  and  $D' \setminus C'$ , and S(C', D') contains no edges, the vertex y is in S(C', D'). Let  $\varphi(x) := y$ . We extend  $\varphi$  to a map from  $S(\hat{C}, \hat{D})$  to S(C', D') by taking the identity on vertices. This map is injective since subdivision vertices  $y \in V(\hat{G})$  of distinct edges of G are distinct. Hence the order of  $(\hat{C}, \hat{D})$  is at most the order of (C', D'). By Lemma 2.6.4, the order of (C', D') is equal to the order of (C, D).
- (2). We prove the stronger statement that (C', D') almost interlaces  $\dot{\sigma}$  (which gives the desired result as  $V(G) \subseteq V(\dot{G})$  and we just need to restrict sides). This follows from Lemma 2.6.4.
  - (3). If  $\sigma$  is empty, we are done and otherwise (3) follows from (4), so it remains to prove (4).
- (4). Let  $\beta$  denote the bag of  $\dot{\sigma}$  in  $\dot{G}$ , and let  $(A,B) \in \sigma$ . By Lemma 2.6.4, we have that  $|(C' \setminus D') \cap \beta| = |C \setminus D|$ . We define an injection from  $(C' \setminus D') \cap \beta$  to  $(\hat{C} \setminus \hat{D}) \cap B$ . Assume that  $v \in C' \setminus D'$  is a subdivision vertex of an edge e of G (so in particular  $v \in \beta$ ). Then there is some  $(E,F) \in \sigma$  that is different from (A,B) that has the edge e in its separator. Let x be the endvertex of e in  $E \setminus F$ .

CLAIM 2.6.7.1.  $x \in (\hat{C} \setminus \hat{D}) \cap B$ .

Proof of Claim. In the proof of (2) we proved that (C', D') almost interlaces  $\dot{\sigma}$ . As  $v \in C' \setminus D'$ , we have that  $(\dot{E}, \dot{F}) \leq (C', D')$ . So  $\dot{E} \setminus \dot{F} \subseteq C' \setminus D'$ . So  $x \in C' \setminus D'$ . Since  $x \in V(G)$ , we deduce that  $x \in \hat{C} \setminus \hat{D}$ . As  $(E, F) \leq (B, A)$ , we have that  $x \in B$ .

Let  $\varphi$  denote the map from  $(C' \setminus D') \cap \beta$  to  $(\hat{C} \setminus \hat{D}) \cap B$  that is the identity on vertices of G and maps subdivision vertices v to vertices x as defined above. Since x is not in  $\beta$ , the sets  $E' \setminus F'$  for  $(E', F') \in \sigma$  are disjoint, and x is incident with at most one edge of S(E, F) by Lemma 1.2.3, the map  $\varphi$  is injective.  $\square$ 

LEMMA 2.6.8. Let G be a 3-connected graph. Let (A,B) be a strong tri-separation of G, and let (C,D) be a mixed 3-separation of G such that  $(A,B) \leq (C,D)$ . If  $|(C \setminus D) \cap B| \geq 1$ , then every strengthening (C',D') of (C,D) satisfies (A,B) < (C',D').

PROOF. By Lemma 2.4.3, we have  $(A, B) \leq (C', D')$ . By assumption, there is a vertex  $v \in C \setminus D$  that lies in B. Then v also lies in  $(C' \setminus D') \cap B$ . Hence the inclusion  $B \supseteq D'$  is proper.

Lemma 2.6.9. Let G be a 3-connected graph. Let  $\sigma$  be a star of strong tri-separations of G. Suppose that the compressed torso of  $\sigma$  has a 3-separation (C, D) such that both sides have size at least five. Then  $\sigma$  is interlaced by a strong nontrivial tri-separation of G.

PROOF. Let  $(\hat{C}, \hat{D})$  be a hyper-lift of (C, D) to G. By Lemma 2.6.7 (applied to (C, D) and (D, C)),  $(\hat{C}, \hat{D})$  is a mixed 3-separation of G that almost interlaces  $\sigma$ , and it satisfies  $|\hat{C} \setminus \hat{D}| \geqslant 2$  and  $|\hat{D} \setminus \hat{C}| \geqslant 2$  by (3). And by (4), for every  $(A, B) \in \sigma$  we have  $|(\hat{C} \setminus \hat{D}) \cap B| \geqslant 2$  and  $|(\hat{D} \setminus \hat{C}) \cap B| \geqslant 2$ . Let  $(\bar{C}, \bar{D})$  be a strengthening of  $(\hat{C}, \hat{D})$ . Since  $\bar{C} \setminus \bar{D} = \hat{C} \setminus \hat{D}$  and  $\bar{D} \setminus \bar{C} = \hat{D} \setminus \hat{C}$ , it follows with Lemma 1.2.1 that  $(\bar{C}, \bar{D})$  is nontrivial. By Corollary 2.4.4,  $(\bar{C}, \bar{D})$  almost interlaces  $\sigma$ . By Lemma 2.6.8, neither  $(\bar{C}, \bar{D})$  nor  $(\bar{D}, \bar{C})$  lies in  $\sigma$ . Hence  $(\bar{C}, \bar{D})$  interlaces  $\sigma$ .

PROOF OF THEOREM 2.2.8 (iii). Let G be a 3-connected graph, let N denote its set of totally-nested nontrivial tri-separations, and let  $\sigma$  be a splitting star of N. Suppose that  $\sigma$  is not interlaced by a strong nontrivial tri-separation of G. We denote by X the compressed torso of  $\sigma$ . By Lemma 2.6.3 and Corollary 2.6.5, X is 3-connected or a  $K_3$ , and we are done in the latter case. The tri-separations in  $\sigma$  are strong by Lemma 1.3.12. Hence by the contrapositive of Lemma 2.6.9, every 3-separation of X has a side with at most four vertices. Hence X is quasi 4-connected or a  $K_4$ .

PROOF OF THEOREM 2.2.8. We have proved (i), (ii) and (iii) in the respective sections above. The 'Moreover' part holds by Lemma 2.2.7.

PROOF OF THEOREM 1. Theorem 2.2.8 implies Theorem 1.

#### 2.7. Tutte's Wheel Theorem

If G is a graph and e is an edge of G, we denote by G/e the (multi-)graph that arises from G by contracting e. Recall that a 3-connected (multi-)graph does not have parallel edges. A graph G is minimally 3-connected if it is 3-connected and for every edge e of G neither G - e nor G/e is 3-connected.

THEOREM 2.7.1 (Tutte's Wheel Theorem [60]). Every minimally 3-connected finite graph G is a wheel.

In this section we give an automatic proof of Tutte's wheel theorem; the proof strategy is as follows. Take a minimally 3-connected graph G. First, we show that all totally-nested tri-separators of G consist of three vertices that do not span any edge. Now consider a 'leaf-torso' of the set of totally-nested nontrivial tri-separations. By Theorem 2.2.8 there are three options how this torso might look like and one easily checks that none of them is possible. Hence G has no totally-nested nontrivial tri-separation, and again by Theorem 2.2.8 we have three options how G might look like; two are excluded for the same reasons and thus the only possibility is that G is a wheel. The details are as follows.

Observation 2.7.2. Let e be an arbitrary edge of a 3-connected graph G. Then:

<sup>&</sup>lt;sup>2</sup>A *leaf-torso* means a compressed torso of a splitting star  $\{(A, B)\}$  where (A, B) is a  $\leq$ -maximal totally-nested nontrivial tri-separation.

- (c) if the ends of e do not lie in the same 3-separator of G and e does not lie in a triangle of G, then G/e is 3-connected:
- (d) if e does not lie in a mixed 3-separator of G, then G e is 3-connected.

An edge e of G is of  $type\ c\ or\ d$  if it satisfies the premises of conditions (c) or (d) in Observation 2.7.2, respectively.

Observation 2.7.3. Minimally 3-connected graphs do not have any edges of type c or d.

Lemma 2.7.4. Let G be a minimally 3-connected graph. The separator of every totally-nested triseparation (C, D) of G consists of three vertices that do not span any edge.

PROOF. Let U denote the set of vertices of S(C, D) together with the endvertices of edges in S(C, D). We claim that every edge  $e = vw \notin S(C, D)$  between two vertices of U is of type d. Indeed, the reduction (E, F) of any mixed 3-separation with e in the separator crosses (C, D) with v and w in opposite links, which is not possible by total-nestedness of (C, D). As G is minimally 3-connected, the claim follows by Observation 2.7.3.

We claim that every edge e = vw in S(C, D) is of type c. Indeed, by the above e does not lie in a triangle. Moreover, the reduction (E, F) of any mixed 3-separation with v and w in the separator also contains v and w in its separator (since vw is an edge), and hence (E, F) crosses (C, D) with v and w in opposite links, which is not possible by total-nestedness of (C, D). As G is minimally 3-connected, S(C, D) does not contain any edge by Observation 2.7.3. This completes the proof.

Lemma 2.7.5. Let G be a minimally 3-connected graph and let X be a nonempty set of vertices of G such that the neighbourhood N(X) does not span any edge. Then there is a nontrivial tri-separation (U, W) of G whose separator contains a vertex of X or an edge that is incident with a vertex of X.

PROOF. For this, let e be an arbitrary edge of G with an endvertex in X. Since e is not of type d by Observation 2.7.3, it lies in the separator of a mixed 3-separation (A, B) of G. Since we are done otherwise, we may assume that the reduction of (A, B) is trivial. So an endvertex v of e has degree three. The lemma is trivial for  $G = K_4$ . So assume that there is a mixed 3-separation (C, D) of G with separator equal to N(v).

Claim 2.7.5.1. If N(v) spans an edge f=ab, then there is a nontrivial tri-separation of G whose separator contains a vertex of X or an edge that is incident with a vertex of X.

*Proof of Claim.* The mixed 3-separation  $(\{a,b,v\},V(G)-v)$  is a nontrivial tri-separation of G. Every endvertex of the edge e is incident with the unique edge of its separator or is in its separator. Thus the endvertex of e in X witnesses that this tri-separation has the desired property.  $\diamondsuit$ 

Since we are done otherwise by Claim 2.7.5.1, assume that N(v) does not span an edge; that is, e is not in a triangle. Since e = vw is not of type c by Observation 2.7.3, there is a 3-separation (E, F) with v and w in its separator; its reduction has the neighbours v and w in its separator and hence is nontrivial by Lemma 1.2.1. As one of v and w is in X, this gives the desired result.

OBSERVATION 2.7.6. The nontrivial tri-separation (U, W) in Lemma 2.7.5 can be chosen strong.

PROOF. Via Lemma 1.2.7, take a strengthening of the tri-separation given by Lemma 2.7.5.

LEMMA 2.7.7. A minimally 3-connected finite graph G has no totally-nested nontrivial tri-separation.

PROOF. Suppose for a contradiction that G has a totally-nested nontrivial tri-separation (A, B). Pick such an (A, B) that is maximal with regard to the partial order  $\leq$  on mixed-separations. Then  $\sigma := \{ (A, B) \}$  is a splitting star of the set of totally-nested nontrivial tri-separations of G. Let  $U := B \setminus A$ . By Lemma 2.7.4, the separator of (A, B) consists of three vertices that do not span an edge. In particular,  $N(U) = A \cap B$ . Applying Lemma 2.7.5 together with Observation 2.7.6 to U yields that there is a strong nontrivial tri-separation (C, D) of G such that S(C, D) contains a vertex of U or an edge incident with a vertex of U. Since (A, B) is totally-nested, this implies that (A, B) < (C, D) or (A, B) < (D, C). So (C, D) interlaces  $\sigma$ . By

Theorem 2.2.8, the compressed torso X of  $\sigma$  either is a wheel or a thickened  $K_{3,m}$  or  $G = K_{3,m}$  with  $m \ge 0$ . As  $K_{3,m}$  has no totally-nested nontrivial tri-separation, G is not a  $K_{3,m}$ . Note that as S(A,B) consists only of vertices, X is a genuine torso. Recall that S(C,D) contains a vertex of U or an edge.

We claim that X is a wheel, and suppose that X is a thickened  $K_{3,m}$ . Then G[B] is a  $K_{3,m}$  with  $A \cap B$  equal to the left class of size three. As (C,D) is strong, its separator contains no vertex of U, so S(C,D) contains an edge. Then both  $G[C \setminus D]$  and  $G[D \setminus C]$  are connected, and so (C,D) interlaces  $\sigma$  heavily and X is a wheel W.

The set  $A \cap B$  spans a triangle in W. Since this set spans no edge in G, the graph G[B] is obtained from W by deleting the edges of a triangle. Since all but at most one vertex of W have degree three, this leaves a vertex of  $A \cap B$  with degree one in G[B], a contradiction to the assumption that (A, B) is a tri-separation.  $\square$ 

AUTOMATIC PROOF OF THEOREM 2.7.1. Every edge of the graph  $K_{3,m}$  with  $m \ge 3$  is of type c. So by Observation 2.7.3, G is not a  $K_{3,m}$  with  $m \ge 3$ . Applying Lemma 2.7.5 with K = V(G) yields that  $K_{3,m}$  has a nontrivial tri-separation, so  $K_{3,m}$  is not internally 4-connected by Proposition 1.2.8. By Lemma 2.7.7,  $K_{3,m}$  has no totally-nested nontrivial tri-separation. Hence by the Angry Tri-Separation Theorem (1.1.5),  $K_{3,m}$  wheel.

A natural problem in this area is to understand which edges of 3-connected graph are *essential* in that they cannot be contracted or deleted without destroying 3-connectivity; see for example [3], and [45] for further extensions. Theorem 2.2.8 and our automatic proof of Tutte's wheel theorem provide a new perspective on essential edges, and it is not unreasonable to conjecture that these ideas can be used to resolve this problem.

# Concluding remarks

We start by reviewing directions to continue this research. Similarly as for graphs, decompositions along 3-separations are a key tool to study matroids, for example in the context of matroids representable over finite fields [30] and for splitter theorems (and strengthenings thereof) [5, 16, 17].

OPEN PROBLEM 3.0.1. Extend Theorem 1.1.5 (and then Theorem 2.2.8) to 3-connected matroids.

To this end, a natural way to define tri-separations of matroids is the following. Given a 3-connected matroid M, a nontrivial mixed 3-separation is a pair (A,B) of disjoint nonempty sets A and B of the matroid such that M has a minor N whose ground set is  $A \cup B$  such that the separation (A,B) of N has order  $3 - |E(M) \setminus (A \cup B)|$ . A nontrivial tri-separation of M is a nontrivial mixed 3-separation of M such that the restrictions of M to A and to B are connected; note that (A,B) is a tri-separation of M if and only if it is a tri-separation of the dual  $M^*$  of M.

EXAMPLE 3.0.2. In  $U_{3,m}$  for  $m \ge 6$  every nontrivial tri-separation is crossed by a nontrivial tri-separation. Another direction for future research is the following:

Open Problem 3.0.3. Extend Theorem 1.1.5 (and then Theorem 2.2.8) to separators of size larger than 3.

An instructive example concerning Open Problem 3.0.3 is the line-graph of the 3-dimensional cube. In this graph, there are three 4-separations that cross '3-dimensionally', as depicted in Figure 1 below.

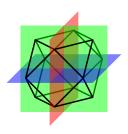


Figure 1. Three 4-separations crossing 3-dimensionally

(Mixed) k-separations of order k < 4 do not cross '3-dimensionally': this is trivial for k = 1; for k = 2 we can read it from the 2-Separation Theorem (1.6.1); and for k = 3 this follows by combining the Crossing Lemma (1.3.10) with Lemma 1.7.5. Some hope towards a solution of Open Problem 3.0.3 stems from the results of [7] (building on earlier work of [61]), where it is proved that if a k-connected but not (k + 1)-connected graph has minimum degree larger than  $\frac{3k}{2} - 1$ , then it has a totally-nested k-separation (and in fact every k-separation (A, B) with A minimal is totally-nested).

Remark 3.0.4. We expect that many results about 3-connected graphs in the literature can be derived in a fairly straightforward way from Theorem 2.2.8, for example those in [20] or [48].

Our main result Theorem 2.2.8 has quite a few applications in addition to the ones presented here. Whilst for some of these applications, our papers are at an early stage, the following applications will appear on the arXiv shortly:

(1) Consider the following connectivity augmentation problem from 0 to 4. Suppose that we are given a graph G, a set  $F \subseteq [V(G)]^2$  of edges not in E(G), and an integer  $k \geqslant 0$ . Decide whether there is a k-element subset X of F such that G + X is 4-connected. In upcoming work, the first author and Sridharan present an algorithm that solves this problem and that is an FPT-algorithm: its running time is upper-bounded in some function in k times a polynomial in |V(G)|. The property of total-nestedness is crucial for this algorithm [15].

- (2) We will characterise 4-tangles through a connectivity property [12].
- (3) A wheel-minor W of a 3-connected graph G is stellar if G admits a star-decomposition of adhesion three such that W is equal to the central torso and all leaf-bags include a cycle. We shall show that every stellar wheel-minor of G where the rim is sufficiently large is a minor of an expanded torso of the set of totally-nested nontrivial tri-separations of G [13].

In the following, we compare the decomposition of this paper with Grohe's [36] and with the findings of the upcoming work [12, 13]. Details that we skip here will be addressed in [12, 13]. The results of this paper and related works give rise to three types of decompositions of 3-connected graphs, labelled below by (D1) to (D3). The decomposition (D1) is obtained by taking an inclusion-wise maximal set of pairwise nested nontrivial 3-separations; this is essentially the decomposition constructed by Grohe [36] and we refer to the upcoming work [12] for a refined analysis of this decomposition. The decomposition (D3) is that of Theorem 2.2.8. The decomposition (D2) is obtained from (D3) by applying to each quasi 4-connected compressed torso the decomposition (D1); so (D2) refines (D3).

We made a list of desirable properties for such decompositions, (A1)–(C3) below, and compare the decompositions on the basis of these properties in the following chart.

(D1)	(D2)	(D3)		Property
×	×	×	(A1)	4-tangles appear <sup>1</sup> as torsos
✓	✓	×	(A2)	non-cubic <sup>2</sup> 4-tangles appear as torsos
<b>√</b>	✓	✓	(A3)	non-cubic 4-tangles live in different quasi 4-connected torsos
<b>√</b>	✓	✓	(B1)	every non-cubic internally 4-connected minor of $G$ is a minor of some torso
×	✓	✓	(B2)	every stellar m-wheel minor of G with $m \ge 5$ is a minor of some torso
<b>√</b>	✓	×	(C1)	all torsos are internally 4-connected, thickened $K_{3,m}$ 's or generalised wheels
<b>√</b>	✓	✓	(C2)	all torsos are quasi 4-connected, thickened $K_{3,m}$ 's or generalised wheels
×	×	✓	(C3)	canonical

To see that (A1) fails, construct a graph G as follows. Start with a set X of four vertices and glue a clique  $K_{10}$  at each 3-element subset of X. Then G has a cubic 4-tangle  $\theta$  that lives on X. In every decomposition (Di) the set X determines a  $K_4$  torso such that  $\theta$  can only possible live in that torso, but  $K_4$  has no 4-tangle. The results from the upcoming work [12] show that the properties (A3) and (C2) hold for all three decompositions, and that (A2) and (C1) hold for (D1) and (D2). Remark 2.2.10 shows that (A2) and (C1) fail for (D3). (B1) follows from (A3) via our characterisation of 4-tangles from [12]. In the upcoming work [13] we show that (B2) holds for (D2) and (D3) and that  $m \ge 5$  is necessary, and we also show that no tree-decomposition can possess this property, so in particular not (D1). Clearly, (C3) holds for (D3). The necklace of  $K_5$ 's from the introduction shows that (C3) fails for (D1). Remark 2.2.10 shows that (C3) fails for (D2) as well.

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<sup>&</sup>lt;sup>1</sup> The 4-tangles of G appear as torsos of (Di) if there is a natural injection  $\iota$  from the 4-tangles to the torsos of (Di) such that  $\iota(\theta)$  is internally 4-connected and its unique 4-tangle lifts to  $\theta$ ; see [12] for details.

<sup>&</sup>lt;sup>2</sup> A set  $X = \{v_1, v_2, v_3, v_4\}$  of four vertices of a graph G is *cubic* if there are components  $C_1, C_2, C_3, C_4$  of  $G \setminus X$  such that  $N(C_i) = X - v_i$  for i = 1, 2, 3, 4, and no component of  $G \setminus X$  has the whole of X in its neighbourhood. If X is cubic, then G has the cube  $Q_3$  as a minor where one bipartition class is X and the other is  $\{C_1, C_2, C_3, C_4\}$ . A 4-tangle is *cubic* if it lives on a cubic vertex set X; that is, every big side in the tangle contains X.

#### APPENDIX A

# Reviewing the 2-Separation Theorem

#### A.1. Overview of this chapter

A basic fact about graphs states that every connected graph can be cut along its cutvertices in a tree-like way into maximal 2-connected subgraphs and bridges. 2-connected graphs can be decomposed further in the same vein, which is useful to study planar embeddings of graphs, but it is no longer obvious where to best cut these graphs. MacLane found for every 2-connected graph G a tree-decomposition of adhesion two all whose torsos are 3-connected, cycles or  $K_2$ 's [47]. Cunningham and Edmonds later found an elegant one-step construction for these tree-decompositions [18]. Here we review and prove a structural version of their result, using the terminology of this paper, and then derive the 2-Separation Theorem (1.6.1) from it.

## A.2. Characterising nestedness through connectivity

FACT A.2.1. If G is 2-connected and (A, B) is a 2-separation of G, then G[A] and G[B] are connected, and neither vertex in  $A \cap B$  is a cutvertex of G[A] or G[B].

PROOF. Every component of  $G - (A \cap B)$  has neighbourhood equal to  $A \cap B$ .

The two situations in (X1) and (X2) of the following lemma are depicted in Figure 1. Recall that a separation (A, B) separates two vertices u, v if  $u \in A \setminus B$  and  $v \in B \setminus A$  or vice versa.

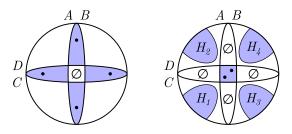


FIGURE 1. The two ways in which 2-separations can cross

LEMMA A.2.2. Two 2-separations (A, B) and (C, D) of a 2-connected graph G cross if and only if one of the following assertions holds:

- (X1) (A, B) separates the two vertices in  $C \cap D$  while (C, D) separates the two vertices in  $A \setminus B$ ; or
- (X2)  $A \cap B = C \cap D$  and there are four components  $H_1, \ldots, H_4$  of  $G (A \cap B)$  such that  $H_1, H_2 \subseteq G[A]$  and  $H_3, H_4 \subseteq G[B]$ , while  $H_1, H_3 \subseteq G[C]$  and  $H_2, H_4 \subseteq G[D]$ .

If (A, B) and (C, D) cross as in (X1), we say that they cross *like in a cycle*. If (A, B) and (C, D) cross as in (X2), we say that they cross with a four-flip.

PROOF OF LEMMA A.2.2. The backward implication is straightforward. For the forward implication, suppose that (A, B) and (C, D) cross. Let us write  $X := A \cap B$  and  $Y := C \cap D$ . We consider three cases.

Case  $X \cap Y = \emptyset$ . We have to show that (A, B) and (C, D) cross like in a cycle. Let us suppose for a contradiction that they don't. Then the two vertices in X, say, are not separated by (C, D). With  $X \cap Y = \emptyset$ , it follows that  $X \subseteq C \setminus D$ , say. As G[D] is connected by Fact A.2.1 and avoids X, it follows that G[D] is included in a unique component I of G - X. Without loss of generality,  $I \subseteq G[B]$ , so  $B \supseteq D$ . From  $Y \subseteq I \subseteq G[B]$  and  $X \cap Y = \emptyset$  we deduce that G[A], which is connected by Fact A.2.1, is a connected subgraph of G - Y, and lies in the component I of G - Y that contains the subset  $X \subseteq A$ . Thus  $G[A] \subseteq J \subseteq G[C]$ , that is,  $A \subseteq C$ . Hence  $(A, B) \leq (C, D)$ , a contradiction.

Case  $|X \cap Y| = 1$ . We will show that this case is impossible. Let us denote the vertex in the intersection  $X \cap Y$  by z, and let us denote the vertices in  $X \setminus Y$  and  $Y \setminus X$  by x and y, respectively; so  $X = \{x, z\}$ 

and  $Y = \{y, z\}$ . Let K(X, y) denote the component of G - X that contains y, and let K(Y, x) denote the component of G - Y that contains x. Without loss of generality,  $K(X, y) \subseteq G[B]$  and  $K(Y, x) \subseteq G[C]$ . Since G[A] - z is connected by Fact A.2.1 and a subgraph of G - Y that contains x, it must be included in the component K(Y, x) of G - Y that contains x. Hence  $G[A] \subseteq K(Y, x) \cup N(K(Y, x)) \subseteq G[C]$ . A symmetric argument shows  $G[D] \subseteq G[B]$ . So  $(A, B) \leq (C, D)$ , a contradiction.

Case X = Y. Then it is straightforward to deduce that (A, B) and (C, D) cross with a four-flip.  $\Box$ 

A 2-separation (A, B) of a graph G is externally 2-connected if

- at least one of G[A] and G[B] is 2-connected, and
- at least one of  $G[A \setminus B]$  and  $G[B \setminus A]$  is connected.

Lemma A.2.2 implies the following characterisation of total nestedness through external connectivity:

COROLLARY A.2.3. A 2-separation of a 2-connected graph is totally nested if and only if it is externally 2-connected.  $\Box$ 

#### A.3. When all 2-separations are crossed

THEOREM A.3.1 (Angry 2-Separation Theorem). If a 2-connected graph G has a 2-separation and every 2-separation of G is crossed by another 2-separation, then G is a cycle of length  $\geq 4$ .

PROOF OF THEOREM A.3.1. Let (A, B) be a 2-separation of G. We may choose (A, B) so that  $G[A \setminus B]$  is connected. Let (C, D) be a 2-separation of G that crosses (A, B). Since  $G[A \setminus B]$  is connected, (C, D) must cross (A, B) like in a cycle. Let  $T_1$  and  $T_2$  be the block graphs of G[A] and of G[B], respectively, where we use the definition of block graphs as in [19, §3.1].

The two vertices in  $A \cap B$  are separated in G[A] by the vertex of  $C \cap D$  that lies in  $A \cap B$ , so they lie in distinct blocks of G[A]. Since G is 2-connected, the vertices in  $A \cap B$  are not cutvertices of G[A], so the blocks containing them are unique. Let  $P_1$  be the unique path in  $T_1$  that links these two blocks. Then  $T_1 = P_1$ , because otherwise some edge of  $T_1$  leaving  $P_1$  would induce a 1-separation of G[A] with  $A \cap B$  contained in one side, which in turn would extend to a 1-separation of G, contradicting that G is 2-connected. Similarly, we find that  $T_2$  is a path linking the unique blocks of G[B] containing the two vertices in  $A \cap B$ . So it remains to show that all blocks of G[A] and of G[B] are  $K_2$ 's.

Let us assume for a contradiction that G[A], say, has a 2-connected block X. Let Y denote the union of all blocks of G[A] and of G[B] except X. Then  $\{V(X), V(Y)\}$  is a 2-separation of G. By Corollary A.2.3, it it totally nested as X is 2-connected and  $Y \setminus X$  is connected, a contradiction.

COROLLARY A.3.2. [25, Theorem 3] Every vertex-transitive finite connected graph either is 3-connected, a cycle, a  $K_2$  or a  $K_1$ .

PROOF. Let G be a finite connected vertex-transitive graph. If  $|G| \leq 3$ , then G is a complete graph on  $\leq 3$  vertices, so we may assume that  $|G| \geq 4$ .

We claim that G is 2-connected. Otherwise G has a cutvertex. Then every vertex of G is a cutvertex. Let T be the block graph of G, and let t be a leaf of T. Then t is a block, but contains at most one cutvertex. So some vertex in t is not a cutvertex of G, a contradiction.

Let us suppose now that G is not 3-connected, so G has a 2-separation. If every 2-separation of G is crossed by another one, then G is a cycle of length  $\geqslant 4$  by the Angry 2-Separation Theorem (A.3.1). Otherwise G has a totally-nested 2-separation. Let O denote its orbit under the action of the automorphism group of G, and pick  $(A, B) \in O$  such that A is minimal. Pick any vertex  $v \in A \setminus B$ . By vertex-transitivity, there is  $(C, D) \in O$  such that  $v \in C \cap D$ . Since O is nested, and since v obstructs both of  $(A, B) \leqslant (C, D)$  and  $(A, B) \leqslant (D, C)$ , we have  $(C, D) \leqslant (A, B)$  or  $(D, C) \leqslant (A, B)$ . Hence  $C \subseteq A$  or  $D \subseteq A$ . As v lies in  $C \cap D$  but not in B, the inclusion  $C \subseteq A$  or  $D \subseteq A$  must be proper, contradicting the choice of (A, B).  $\square$ 

## A.4. A structural 2-Separation Theorem

We say that  $\sigma$  is *U-principal* for a vertex set  $U \subseteq V(G)$  if  $G \setminus U$  has at least three components and

$$\sigma = \{ s_K : K \text{ is a component of } G \setminus U \} \text{ where } s_K := (V(K) \cup U, V(G) \setminus V(K)).$$

The bag of a U-principal star  $\sigma$  is equal to G[U], and the separators of the elements of  $\sigma$  are equal to U.

THEOREM A.4.1 (Structural 2-Separation Theorem). Let G be a 2-connected graph, and let  $\sigma$  be any splitting star with torso X of the set N of all totally-nested 2-separations of G. If  $|X| \leq 2$ , then X is a  $K_2$  and  $\sigma$  is V(X)-principal. Otherwise  $|X| \geq 3$ , and exactly one of the following is true:

- (1)  $\sigma$  is interlaced by a 2-separation of G that is crossed like in a cycle, and X is a cycle of length  $\geqslant 4$ ;
- (2)  $\sigma$  is not interlaced by a 2-separation of G, and X is 3-connected or a triangle.

Lemma A.4.2. Let G be a 2-connected graph and  $U \subseteq V(G)$  a set of two vertices such that  $G \setminus U$  has at least three components. Then the U-principal star of separations is a splitting star of the set of all totally-nested 2-separations of G.

PROOF. Clearly,  $\sigma$  is a star. Its elements are totally nested by Corollary A.2.3. Let (C, D) be any 2-separation of G that interlaces  $\sigma$ . Then (C, D) defines a bipartition  $(\mathscr{C}, \mathscr{D})$  of the set of components of  $G \setminus U$ , where  $\mathscr{C}$  and  $\mathscr{D}$  consist of the components contained in G[C] and in G[D], respectively. It follows that  $C \cap D \subseteq U$ , and hence  $C \cap D = U$ . Since (C, D) is not in  $\sigma$ , both  $\mathscr{C}$  and  $\mathscr{D}$  contain at least two components. Hence (C, D) is not totally-nested by Corollary A.2.3.

LEMMA A.4.3. Let G be a 2-connected graph. Let N be a nested set of half-connected 2-separations of G. Then there is no  $(\omega + 1)$ -chain in N, and for every  $\omega$ -chain  $(A_0, B_0) < (A_1, B_1) < \ldots$  in N we have  $\bigcap_{n \in \mathbb{N}} (B_n \setminus A_n) = \emptyset$ .

PROOF. Suppose for a contradiction that  $(A_0, B_0) < (A_1, B_1) < \ldots < (A_\omega, B_\omega)$  is an  $(\omega + 1)$ -chain in N. Since the elements of N are half-connected, the separations in any 3-chain in N do not all have the same separators. Therefore, we may assume without loss of generality that the  $(A_i, B_i)$  have pairwise distinct separators. Let  $x \in A_0 \setminus B_0$  and  $y \in B_\omega \setminus A_\omega$ . Then x and y are separated by infinitely many pairwise distinct separators of size two. Since G is 2-connected, these separators are inclusionwise minimal x-y separators in G. This contradicts a lemma of Halin [39, 2.4], which states that any two vertices u, v in a graph are separated by only finitely many inclusionwise minimal u-v separators of size at most an arbitrarily prescribed  $k \in \mathbb{N}$ . The same argument also shows that  $\bigcap_{n \in \mathbb{N}} (B_n \setminus A_n) = \emptyset$  for every  $\omega$ -chain  $(A_0, B_0) < (A_1, B_1) < \ldots$  in N.

COROLLARY A.4.4. Let G be a connected graph, and let N be a nested set of half-connected 2-separations of G. Then every separation (A, B) of G with  $(A, B) \notin N$  that is nested with every separation in N interlaces a unique splitting star of N.

PROOF. The maximal elements of

$$\{(C,D) \in N : (C,D) < (A,B) \text{ or } (C,D) < (B,A)\}$$

form a star  $\sigma \subseteq N$  that is interlaced by (A, B). By Lemma A.4.3, the star  $\sigma$  is a splitting star of N. By Lemma 2.2.5, (A, B) interlaces no other splitting star of N.

PROOF OF THEOREM A.4.1. Let  $\sigma$  be a splitting star of N with torso X. If X has at most two vertices, then  $X = K_2$ , so we may assume that X has at least three vertices.

We claim that X is 2-connected, and assume for a contradiction that it is not. Then X has a separation (A, B) of order at most one. By the Lifting Lemma (2.6.4), (A, B) lifts to a separation  $(\hat{A}, \hat{B})$  of G of order at most one, contradicting that G is 2-connected. So X is 2-connected.

If X has precisely three vertices, then  $X = K_3$  as X is 2-connected, so we may assume that X has at least four vertices.

(i). Suppose that  $\sigma$  is not interlaced by a 2-separation of G. If X is not 3-connected, then X has a 2-separation (A, B) (since X has at least four vertices). By the Lifting Lemma (2.6.4), (A, B) lifts to a 2-separation  $(\hat{A}, \hat{B})$  of G, where it interlaces  $\sigma$ , a contradiction.

(ii). Suppose that  $\sigma$  is interlaced by a 2-separation of G.

CLAIM A.4.4.1. Every 2-separation (A, B) of G that interlaces  $\sigma$  induces a 2-separation  $(A \cap V(X), B \cap V(X))$  of X that is crossed by a 2-separation of X.

Proof of Claim. Since (A,B) interlaces  $\sigma$ , it is not in N. Hence (A,B) is crossed by a 2-separation (C,D) of G. We note that (C,D) interlaces  $\sigma$  as well, since otherwise (C,D) would be nested with (A,B). So the separators  $A \cap B$  and  $C \cap D$  are included in X. If (A,B) and (C,D) cross like in a cycle, then  $(A \cap V(X), B \cap V(X))$  and  $(C \cap V(X), D \cap V(X))$  are two crossing 2-separations of X as desired. So it remains to show that (A,B) and (C,D) cannot cross with a four-flip. Indeed, otherwise  $A \cap B = C \cap D$ , and  $G \setminus (A \cap B)$  has at least four components which define a splitting star of N as in Lemma A.4.2. As this splitting star is interlaced by (A,B), it must be equal to  $\sigma$  by Lemma 2.2.5. But then  $V(X) = A \cap B$  contradicts our assumption that X has at least four vertices.

We recall that X is 2-connected. By Claim A.4.4.1 and our assumption, X has a 2-separation. Every 2-separation (A, B) of X lifts to a 2-separation of G by the Lifting Lemma (2.6.4), which interlaces  $\sigma$  and through Claim A.4.4.1 yields a 2-separation of X that crosses (A, B). Hence X is a cycle of length  $\geq 4$  by the Angry 2-Separation Theorem (A.3.1).

## A.5. Proof of the 2-Separation Theorem (1.6.1)

The bag of a star  $\sigma = \{(A_i, B_i) : i \in I\}$  of separations of a graph G is the graph obtained from G by deleting  $A_i \setminus B_i$  for all  $i \in I$ . For example, if  $(T, \mathcal{V})$  is a tree-decomposition of G and t is a node of T, then the separations induced by the edges of T incident with t and directed to t form a star  $\sigma_t$  of separations. The bag of  $\sigma_t$  is equal to the bag  $G[V_t]$  associated with t, where  $V_t \in \mathcal{V}$ . The torso of a star  $\sigma$  of separations of G is obtained from the bag of  $\sigma$  by making  $A \cap B$  complete for every  $(A, B) \in \sigma$ . The torsos of the stars  $\sigma_t$  coincide with the torsos of the bags of  $(T, \mathcal{V})$ .

Let N be a nested set of separations of G. We define a candidate  $\mathcal{T}(N) = (T, \mathcal{V})$  for a tree-decomposition of G, as follows. The vertices of T are the splitting stars of N. We make two nodes  $t_1 \neq t_2$  of T adjacent if  $(A, B) \in t_1$  and  $(B, A) \in t_2$  for some separation (A, B) of G. For each splitting star  $t \in T$  we let  $V_t$  be the vertex set of the bag of the star t, and put  $\mathcal{V} = (V_t)_{t \in T}$ .

Lemma A.5.1. Let G be a connected graph and N a symmetric nested set of separations of G. Then the following two assertions are equivalent:

- (1)  $\mathcal{T}(N)$  is a tree-decomposition of G whose set of induced separations is equal to N;
- (2) there is no  $(\omega + 1)$ -chain in N, and for every  $\omega$ -chain  $(A_0, B_0) < (A_1, B_1) < \ldots$  in N we have  $\bigcap_{n \in \mathbb{N}} (B_n \setminus A_n) = \emptyset$ .

PROOF. The proof of (i) $\rightarrow$ (ii) is straightforward. The proof of [27, Lemma 2.7] shows (ii) $\rightarrow$ (i), even though the statement of [27, Lemma 2.7] says otherwise.

LEMMA A.5.2. Let G be a 2-connected graph, and let N denote the set of totally-nested 2-separations of G. Let  $\sigma$  be a splitting star of N such that the torso of  $\sigma$  is a cycle O. Then, for every  $(A, B) \in \sigma$ , the side G[A] is 2-connected.

PROOF. If G[A] is not 2-connected, then G[A] has a cutvertex u. Since G is 2-connected, u must be contained in  $B \setminus A$ . Let v be any vertex on O that is not in A. Then  $\{u,v\}$  is a 2-separator of G, and every 2-separation of G with separator  $\{u,v\}$  cross (A,B) like in a cycle, contradicting  $(A,B) \in N$ .

FACT A.5.3. Let G be a 2-connected graph with a vertex v of degree two such that v lies in a 2-separator of G. Then, for every totally-nested 2-separation (A, B) of G, we have  $v \notin S(A, B)$  and S(A, B) contains at most one neighbour of v.

PROOF. If  $v \in S(A \cap B)$ , then (N(v)+v, V(G)-v) is a 2-separation of G that crosses (A, B). If S(A, B) = N(v), then (A, B) is crossed by a 2-separation of G which contains v in its separator.

PROOF OF THEOREM 1.6.1. Let G be a 2-connected graph, and let N denote the set of totally-nested 2-separations of G. By Lemma A.4.3 and Lemma A.5.1, N induces a tree-decomposition  $\mathcal{T}(N) =: (T, \mathcal{V})$  of G. Since G is 2-connected and  $(T, \mathcal{V})$  has adhesion two, it follows with Menger's theorem that all torsos of  $(T, \mathcal{V})$  are minors of G. By Theorem A.4.1, the torsos of  $(T, \mathcal{V})$  (which coincide with the torsos of N) are 3-connected, cycles or  $K_2$ 's.

(1). Let (A, B) and (C, D) be two mixed 2-separations of G that cross so that all four links have size one (and the centre is empty). Let F denote the set of all edges in S(A, B) or S(C, D). Let G' be the graph obtained from G by subdividing all the edges in F. For each edge  $e \in F$ , we denote the subdividing vertex by  $v_e$ .

If (U, W) is a mixed 2-separation of G, then every edge  $e \in F$  has an end that is not in S(U, W). We obtain U' from U by adding all vertices  $v_e$  for which e has an end in  $U \setminus W$ . Similarly, we obtain W' from W by adding all vertices  $v_e$  for which e has an end in  $W \setminus U$ . Then (U', W') is a 2-separation of G: the separator S(U', W') is obtained from S(U, W) by replacing every edge e in it that is in F with  $v_e$ .

Let N' denote the set of all totally-nested 2-separations of G'. As (A', B') and (C', D') cross like in a cycle, they are not members of N'. By Corollary A.4.4, (A', B') interlaces a unique splitting star  $\sigma'$  of N', and (C', D') interlaces  $\sigma'$  as well (since otherwise (C', D') would be nested with (A', B')). By the Structural 2-Separation Theorem (A.4.1), the torso of  $\sigma'$  is a cycle; let us denote this cycle by O'. Since (A', B') and (C', D') cross like in a cycle, O' alternates between the separators S(A', B') and S(C', D').

CLAIM A.5.3.1. The map  $\varphi: (U,W) \mapsto (U',W')$  is a bijection between N and N'.

Proof of Claim. Let  $(U, W) \in N$ . By Corollary A.2.3, (U, W) is externally 2-connected. This is preserved by subdivision, so (U', W') is externally 2-connected, and totally-nested by Corollary A.2.3. Hence  $(U', W') \in N'$ .

Clearly, the map  $\varphi$  is injective. It remains to show that it is surjective, so let  $(X,Y) \in N'$  be given. By Fact A.5.3, the separator of (X,Y) contains no subdividing vertices, so (U,W) where  $U:=X\cap V(G)$  and  $W:=Y\cap V(G)$  is a 2-separation of G which  $\varphi$  sends to (X,Y). As above, applying Corollary A.2.3 twice gives  $(U,W)\in N$ .

By Claim A.5.3.1,  $\sigma := \varphi^{-1}(\sigma')$  is a splitting star of N. Since the separators of the elements of  $\sigma'$  contain no subdividing vertices, the torso O of  $\sigma$  is obtained from O' be replacing every subpath  $xv_ey$  where  $e = xy \in F \cap E(O')$  with the edge e. Thus, O is a cycle. As the cycle O' alternates between S(A', B') and S(C', D'), the cycle O alternates between S(A, B) and S(C, D).

(2). Assume that the torso associated with  $t \in T$  is a cycle O. Let xy be an edge on O, and let  $\{s_it : i \in I\} =: F$  be the set of all edges of T incident with t that induce the adhesion set  $\{x,y\}$ . If  $|I| \le 1$  we are done, so let us suppose for a contradiction that  $|I| \ge 2$ . For each  $i \in I$ , let  $T_i$  denote the component of  $T - s_it$  that contains  $s_i$ . Let  $T_i$  denote the component of T - F that contains t. Putting

$$A:=\bigcup_{i\in I}\bigcup_{r\in T_i}V_r\quad\text{and}\quad B:=\bigcup_{r\in T_t}V_r$$

defines a separation (A, B) of G with separator  $\{x, y\}$ .

We claim that  $(A, B) \in N$ . By Lemma A.5.2, the side G[A] is 2-connected. Also by Lemma A.5.2, the graph G is obtained from G by replacing some of its edges with 2-connected graphs, and since the graph  $G[B \setminus A]$  is obtained from G by deleting one of these 2-connected graphs it is connected. Hence  $(A, B) \in N$  by Corollary A.2.3.

But then (A, B) is an element of N that interlaces t (viewed as a splitting star of N), which contradicts Lemma 2.2.3.

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