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# Prevalence of diarrheagenic *Escherichia coli* and impact on child health in Cap-Haitien, Haiti

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## Abstract

### Background

Diarrheagenic Escherichia *coli* (DEC) are common pathogens infecting children during their growth and development. Determining the epidemiology and the impact of DEC on child anthropometric measures informs prioritization of prevention efforts. These relationships were evaluated in a novel setting, Cap-Haitien, Haiti.

#### Methods

We performed pre-specified secondary analysis of a case-control study of community-dwelling children, 6–36 months of age, enrolled 96 cases with diarrhea and 99 asymptomatic controls. Assessments were performed at enrollment and one month later at follow-up. Established endpoint PCR methodologies targeted DEC gDNA isolated from fecal swabs. The association between DEC and anthropometric z-scores at enrollment was determined using multivariate linear regression. Lastly, we assessed the association between specific biomarkers, choline and docosahexaenoic acid (DHA) and diarrheal burden.

#### Results

Enterotoxigenic *Escherichia coli* (ETEC) was identified in 21.9% of cases vs. 16.1% of controls with heat-stable producing ETEC significantly associated with symptomatic disease. Enteroaggregative *E. coli* (EAEC) was found in 30.2% of cases vs. 27.3% of controls, and typical enteropathogenic *E. coli* in 6.3% vs. 4.0% of cases and controls, respectively. Multivariate linear regression, controlled for case or control status, demonstrated ETEC and EAEC were significantly associated with reduced weight-age z-score (WAZ) and height-age **Competing interests:** The authors have declared that no competing interests exist.

z-score (HAZ) after adjusting for confounders. An interaction between ETEC and EAEC was observed. Choline and DHA were not associated with diarrheal burden.

#### Conclusions

DEC are prevalent in north Haitian children. ETEC, EAEC, household environment, and diet are associated with unfavorable anthropometric measures, with possible synergistic interactions between ETEC and EAEC. Further studies with longer follow up may quantify the contribution of individual pathogens to adverse health outcomes.

#### Introduction

Mortality from diarrheal disease continues to decline more rapidly than disease incidence. As a result, complications from diarrheal disease persist [1, 2]. Repeated episodes of diarrhea also increase risks of long-term complications, such as growth faltering and cognitive deficits [3–9]. Therefore, preventing this morbidity remains a public health priority. Multiple infectious agents cause diarrheal disease and their individual contributions to poor health outcomes remains challenging to quantify [10–12]. Effective prevention strategies such as water, sanitation, and hygiene (WASH) infrastructure, behavior change, nutritional interventions, and vaccinations can contribute differentially to improved health. Moreover, each strategy requires differing investments in time or financial resources to be successful. With limited financial resources in endemic countries, quantifying the impact of potential interventions to improve child health helps to prioritize distinct strategies, such as vaccination [13].

Diarrheagenic *Escherichia coli* (DEC) describes several common, pathogenic *Escherichia coli* (*E. coli*) pathovars with diverse disease manifestations [14-17]. Enterotoxigenic *E. coli* (ETEC) is one such pathovar, ranging in clinical severity from asymptomatic to severe watery diarrhea [18]. ETEC expresses either the heat-stable toxin (ST), and/or the heat-labile toxin (LT). Early studies focused solely on ETEC demonstrate an association with impaired growth while recent work supports this finding [6, 19]. Enteroaggregative *E. coli* (EAEC) is associated with less severe disease, but asymptomatic colonization may impair growth [5, 20]. They are defined phenotypically by forming "stacked bricks" aggregates on contact with intestinal epithelia. Despite this clear phenotype, the molecular characterization of this pathovar remains unclear [21]. Finally, enteropathogenic *E. coli* (EPEC) are less common and present with a range of diarrheal severity. Typical EPEC (tEPEC) express both the attachment and effacing (*eae*) and the bundle forming pillus (*bfpa*) genes whereas atypical EPEC (aEPEC) only express *eae* [14]. Their association with long-term complications is less clear.

Variations in local epidemiology, diet, and environment alter the impact of enteropathogens on health outcomes, making community-specific evaluations critical [22, 23]. Also, extrapolating disease burden estimates to areas without detailed country-level information remains problematic [12, 23, 24]. In Haiti, high rates of diarrheal disease persist despite dramatic reductions in cholera (at the time of our study) and rotavirus through sustained vaccination efforts [25, 26]. Using national survey data, almost 40% of children under five years old report diarrheal disease over the prior 2-week period, a prevalence that is unchanged during the past decade [27, 28]. This high level of community-based disease persists while epidemiological studies have focused on medically attended diarrhea [25, 29–32]. WASH strategies face significant hurdles in Haiti where 65% of households have access to clean water and 50% have unsatisfactory waste management systems [33]. Local infrastructure lacks proper landfills and communities such as Cap-Haitien struggle with high population densities [34]. Cap-Haitien is the second largest city in Haiti, located on the northern coast, and is distinct from the capital, Port-au-Prince, with lower crime rates but less development. Additionally, malnutrition combined with diarrheal disease further increases the risk of long-term disability in affected children and remains common in Haiti [6, 27, 35–37]. Varied dietary patterns and nutrient deficiencies specific to Haitian children may influence the risk of diarrheal disease or adverse outcomes [38]. The combination of diarrheal disease and malnutrition also induces long-term intestinal damage in the form of environmental enteropathy (EE) [4, 36]. Therefore, these local contexts necessitate studies to identify effective, Haitian-specific prevention efforts [22– 24].

Another means to address childhood malnutrition is through dietary interventions. One intervention uses locally-available and accessible animal source foods (ASFs) to help reduce stunting. A randomized trial in Ecuador utilized eggs to significantly reduce childhood stunting but was associated with increased diarrheal disease [39]. Two nutrients in this study were inversely correlated with the growth outcome, docosahexaenoic acid (DHA) and choline [39, 40]. DHA has anti-inflammatory properties and clinical trials evaluate its effects on child growth and development [41, 42]. Choline influences neurocognitive development and deficiencies may induce intestinal inflammation similar to EE [42, 43]. How these biomarkers may influence diarrheal disease outcomes remains unknown.

To address these concerns, we performed additional analysis from a case-control study in Cap-Haitien, Haiti [44], with the following aims: 1) generate preliminary estimates of community-based DEC prevalence; 2) determine associations between DHA or choline levels and diarrheal disease burden; and 3) assess the association of specific pathogens with child anthropometry. We have previously demonstrated that the presence of electricity in the home or dirt flooring was associated with cases and that DHA was negatively associated with Weight-for-Age Z-scores (WAZ) [44]. Our pre-specified hypothesis stated that choline or DHA deficiencies are associated with increased diarrheal burden. Our additional hypothesis states that DEC are associated with poor anthropometric markers in Haitian children. The ultimate goal of this study is to utilize the results of this work to inform current and future interventional studies in Haiti [45].

#### Methods

#### Study design and participants

The longitudinal case-control study design was previously described [44]. In brief, children aged 6–36 months and caregivers (>18 y.o.) living in Cap-Haitien, Haiti, were recruited from December, 2020 to May, 2021. Children with severe illnesses requiring emergent medical care were excluded, but this event did not occur. At enrollment, anthropometric measures, plasma samples, and fecal swabs were obtained on all children. In addition, enumerators administered a survey to caregivers, collecting information on socioeconomic status (SES), dietary behaviors, food intake frequencies, and WASH practices. These assessments were repeated one month later.

#### **Ethics statement**

The Washington University Institutional Review Board (ID#202007027) and the Comité National de Bioéthique in Haiti approved the study. Written informed consent was obtained from adult caregivers of each participant under 18 years of age in the native Creole language. Children were too young to provide assent. All procedures were performed per the human experimentation guidelines of the United States Department of Health and Human Services and those set forth by Washington University for clinical research. Plasma samples were collected per the World Health Organization's (WHO) guidelines [46].

#### **Group allocation**

Cases were defined using a standard epidemiological definition (care-giver report of  $\geq$  three liquid/semi-liquid stools in a 24 hour period over the preceding three days). Those without diarrhea at enrollment were defined as controls. Diarrheal symptoms were assessed again, one month later. Participants are subsequently defined as cases or controls based on enrollment status, and cases or controls are further classified based on their diarrheal symptoms at follow up. Thus, individuals with diarrhea at both time points had increased diarrheal burden (Cases with diarrheal symptoms at follow up) versus those who never experienced diarrheal symptoms (Controls without diarrheal symptoms at follow up). Sample size for this pilot study was limited by logistical constraints caused by the coronavirus pandemic while one objective of the study is to inform power calculations for future studies.

#### Data collection

**Surveys.** Trained nurses completed the surveys in the native Creole language. At baseline, demographic and SES information was obtained. In addition, children's health, diarrheal history, and dietary intake were obtained at baseline and follow-up.

Dietary intake was assessed using a previously validated, 24-hour food frequency questionnaire (FFQ) [47]. Minimum dietary diversity (MDD) and household dietary diversity score (HDDS) were then calculated based on WHO and Food and Agriculture Organization guidelines [48]. For MDD, foods were categorized into specific groups: 1) grains, roots, and tubers; 2) legumes and nuts; 3) dairy products; 4) flesh foods; 5) eggs; 6) vitamin A-rich fruits and vegetables; 7) other fruits and vegetables: 8) breastmilk. HDDS groups included: 1) cereals; 2) roots and tubers; 3) vegetables; 4) fruits; 5) meat and poultry; 6) eggs; 7) fish and seafood; 8) legumes and nuts; 8) dairy products; 9) oil and fats; 10) sugar and honey; 11) miscellaneous. ASFs included any intake of red meat, chicken, eggs, seafood, or dairy products in the last 24 hours.

Health surveys included a 14-day morbidity recall, assessing the presence of fever, respiratory symptoms, rash, duration of diarrhea, presence of dysentery, or vomiting. Polio, rotavirus, and typhoid vaccination history was determined. Definitions for critical variables are provided in **S1 Table**.

Anthropometry. Trained nursing staff used WHO protocols for standardized growth parameters to obtain anthropometric data using a Seca Model 874 digital scale for weight and a ShorrBoard stadiometer for length. Measurements were taken twice, and differences greater than 0.1 kg for weight or 0.7 cm for length were repeated a third time. The two closest measurements were averaged. Children  $\geq$  2 years had 0.7 cm subtracted from their height to correct for recumbent measurements. The WHO Anthro Survey Analyser Software (v3.2.2) calculated height-for-age-z-score (HAZ), weight-for-age-z-score (WAZ), and weight-height-z-score (WHZ) [49]. Children with z-scores of < -2 SD were considered stunted, underweight, and wasted, respectively.

**Plasma and fecal samples.** Trained phlebotomists collected plasma using lithium-heparin-containing BD Vacutainers at both timepoints according to WHO standards [46]. Samples were transported on ice to the Hôpital Universitaire Justinien (HUJ) laboratory, centrifuged at ~1200 x g for 20 minutes, aliquoted, and stored at -20°C. Caregivers obtained rectal swabs (Copan FecalSwab with Carey-Blair media) from each child at both visits unless requesting the trained nurses to collect the samples. Samples were transported from HUJ to the Kuhlmann laboratory at Washington University in St. Louis through the Haitian National Laboratory and stored long-term at -80°C.

#### **Plasma analysis**

Plasma choline, DHA, and betaine concentrations were determined by modified liquid chromatography-tandem mass spectrometry (LC-MS/MS) as previously described [40] on a randomly selected subset of participants.

#### Pathogen isolation and identification

Fecal swabs in Cary-Blair were vortexed with glass beads. Half the sample was used to extract RNA (EZ Tissue/Cell Total RNA Mini Kit, EZ BioResearch, R1002) according to the manufacturer's protocol. gDNA was then extracted using the PureLink Microbiome DNA Purification Kit (Invitrogen, A29790). Eluted nucleic acids were stored at -80°C.

We targeted three commonly identified pathovars of DEC; ETEC, EPEC, and EAEC. Qualitative PCR amplification of unique molecular targets was performed using validated primers and tested against strains of known provenance (S2 Table). The gene targets included: were *eltB, estA*, and *estB* for ETEC plus additional virulence factors, *eatA* and *etpA*; *eae* and *bfpA* for EPEC; *aaiC, aatA*, and a multiplex assay detecting four of five aggregative adherence fimbriae (aaf) for EAEC. ETEC was defined by the presence of ST (*estA* or *estB*) and/or LT (*eltB*) and grouped as ST or ST/LT ETEC compared with LT-only ETEC as in prior studies [24]. Typical EPEC was determined by the presence of both *eae* and *bfpA*, while atypical EPEC demonstrated only *eae*. EAEC was defined by the presence of both *aaiC* and *aatA* genes, with additional assessment of the presence of any of the four targeted aaf genes. Additional analysis for EAEC using the definiation of either *aaiC* or *aatA* was also performed.

#### Statistical analysis

The data utilized in this study is provided as <u>S1 Data</u>. Descriptive statistics examined distributions for SES characteristics, the prevalence of *E. coli* pathovars, child anthropometry, and plasma concentrations of DHA, choline, or choline's metabolite, betaine. Continuous variables were assessed for normal distribution and outliers using histograms, scatterplots, and boxplots.

Univariate analyses examined the differences in baseline characteristics for cases and controls. Continuous variables were assessed for significant differences using independent samples t-test. When equal variances were not assumed, variables were assessed using Welch's t-test. Variables lacking normal distribution were assessed using Mann-Whitney U test. Categorical variables were assessed by chi-squared or Fisher's exact test as appropriate. A dichotomous variable was created for stunting (HAZ < -2) and wasting (WAZ < -2).

Multivariate linear regression analysis was used to evaluate the association of ETEC and EAEC with anthropometric z-scores at baseline, adjusting for confounding factors relevant to the Haitian context. Factors considered for inclusion in the models included age, sex, house-hold characteristics, WASH variables, and dietary intake variables. Backward stepwise regression determined which covariates were retained in final models. The interaction between ETEC and EAEC and the association of this interaction term with each anthropometric measure was tested. Covariates used in stepwise regression were added to the main effects of ETEC and EAEC, as well as the interaction effect. Diagnostics were evaluated to assess linearity, multicollinearity, homoscedasticity, and normality of residuals. All models met the assumptions of multivariate linear regression. For all analyses, the type I error was set to be two-sided and at 0.05. Analyses were completed using SPSS software (version 27.0) or R (version 4.1.3).

#### Results

#### Baseline characteristics relative to diarrheal disease

A total of 195 children (96 cases, 99 controls) completed baseline enrollment. Baseline demographics were previously reported, showing increased vomiting in cases over controls [44]. Children completing follow-up (N = 136, 67 cases and 69 controls) had reduced respiratory symptoms at baseline compared to those lost to follow-up (S3 Table). Logistical and time constraints limited efforts to contact participants lost to follow-up.

We next evaluated baseline SES and demographic factors based on case-control status and follow-up symptoms (Table 1, S4 Table). Several findings were expected based on existing literature. First, cases with diarrheal symptoms at follow-up (column 4) were significantly more likely to have dirt or rock flooring and lower WHZ than the other groups. Cases without symptoms at follow up (column 3) had higher rates of vomiting, in keeping with a diagnosis of acute gastroenteritis at enrollment. Controls without symptoms at follow up (column 1) had non-significantly higher maternal education and older mothers, consistent with the assumption that higher SES is associated with lower rates of illness. As reported previously, cases had higher access to electricity than controls (columns 3 and 4 vs. 1 and 2) [44].

Column	1	2	3	4		
Case or Control	Control (asymptomatic)	Control (asymptomatic)	Case (symptomatic)	Case (symptomatic)	p-value <sup>b</sup>	
Follow up symptoms	Asymptomatic	Symptomatic	Asymptomatic	Symptomatic		
Max N	45	24	41	26		
Child						
<sup>a</sup> Age, mo	20.4 (7.6)	17.6 (9.2)	18.2 (7.6)	17.1 (7.6)	0.29	
Sex, % female	66.7	50.0	41.5	61.5	0.10	
Dietary Intake in last 24 hours						
<sup>g</sup> Currently breastfeeding, %	46.5	52.4	47.4	78.3	0.07	
<sup>a,e</sup> Number breastfeeding episodes in a day	13.1 (6.3)	16.5 (4.9)	13.9 (7.1)	15.0 (5.6)	0.48	
Animal source foods, %	60.0	66.7	58.5	57.7	0.91	
<sup>g</sup> Eggs, %	16.3	20.8	15	20.8	0.90 <sup>d</sup>	
Morbidities, 14-d recall, %						
<sup>g</sup> Vomiting	13.6	31.8	41.5	19.2	0.02	
<sup>g</sup> Suppressed appetite	28.9	36.4	51.2	57.7	0.06	
Rhinorrhea	51.1	50.0	48.8	65.4	0.56	
Cough, wheeze, or difficulty breathing	44.4	58.3	53.7	57.7	0.62	
<sup>g</sup> Rash	22.2	27.3	17.1	26.9	0.71 <sup>d</sup>	
<sup>g</sup> Fever (>38.0°C)	0	4.3	4.9	4.0	0.44 <sup>d</sup>	
Vaccinations received, %						
<sup>g</sup> Polio	100	100	96.8	95.5	0.42 <sup>d</sup>	
<sup>g</sup> Rotavirus	97.6	82.6	93.3	91.3	0.14 <sup>d</sup>	
<sup>g</sup> Typhoid	48.7	34.8	53.3	42.1	0.62 <sup>d</sup>	
Anthropometric z scores						
<sup>a</sup> HAZ	-1.1 (1.1)	-1.4 (1.7)	-1.2 (1.0)	-1.3 (1.4)	0.89	
<sup>a</sup> WAZ	-0.90 (1.1)	-0.73 (1.4)	-0.89 (0.89)	-1.3 (1.3)	0.27	
<sup>a</sup> WHZ	-0.43 (1.1)	0.04 (0.98)	-0.38 (0.87)	-0.89 (1.2)	<b>0.048</b> <sup>c</sup>	
Maternal						
<sup>a</sup> Maternal age, y	31.5 (9.5)	28.5 (7.4)	29.8 (8.9)	28.1 (8.7)	0.37 <sup>c</sup>	
Secondary school and higher, %	55.6	45.8	48.7	46.2	0.83	

Table 1. Association of baseline characteristics and diarrheal disease.

(Continued)

Table 1. (Continued)

Column	1	2	3	4		
Case or Control	Control (asymptomatic)	Control (asymptomatic) Control (asymptomatic)		Case (symptomatic)		
Follow up symptoms	Asymptomatic	Symptomatic	Asymptomatic	Symptomatic		
Max N	45	24	41	26	p-value <sup>b</sup>	
Household						
<sup>a,g</sup> Household occupants (N)	6.1 (2.2)	5.4 (1.7)	6.0 (2.0)	6.4 (2.4)	0.35	
Use bottled water, %	88.9	83.3	87.8	92.3	0.80 <sup>d</sup>	
Electricity in home, %	20.0	16.7	41.5	42.3	0.04	
<sup>g</sup> Dirt or rock flooring, %	2.3	8.3	17.1	26.9	<b>0.01</b> <sup>d</sup>	
<sup>g</sup> Utilize flush toilet, %	9.1	12.5	0	3.8	0.09 <sup>d</sup>	
<sup>a,f</sup> Households sharing toilet (N)	2.5 (0.96)	3.4 (1.8)	2.4 (1.1)	3.2 (0.92)	0.18 <sup>c</sup>	
Pathogenic <i>E. coli</i> detection, %						
ST ETEC or ST-LT ETEC	4.4	4.2	7.3	11.5	0.69 <sup>d</sup>	
LT ETEC	8.9	16.7	7.3	15.4	0.53 <sup>d</sup>	
EAEC	22.2	25.0	31.7	26.9	0.79 <sup>d</sup>	
tEPEC	2.2	0	4.9	3.8	0.77 <sup>d</sup>	
aEPEC	24.4	8.3	22.0	19.2	0.44	

<sup>a</sup> Values are means ± standard deviations (SD).

<sup>b</sup> One-way ANOVA tests were used for continuous variables, chi-squared tests for categorical variables, unless otherwise indicated. Statistical significance indicated for p<0.05 in bold.

<sup>c</sup> Significance of continuous variables assessed using Kruskal-Wallis test, or <sup>d</sup>Fisher's exact test.

<sup>e</sup>20 respondents for column 1, 11 for column 2, 17 for column 3, and 18 for column 4.

<sup>f</sup>19 respondents for column 1, 9 for all other columns,

<sup>g</sup>Number of respondents differs from Max N, see <u>S4 Table</u> for details

EAEC, enteroaggregative *Escherichia coli*; LT ETEC, heat-labile enterotoxin enterotoxigenic *Escherichia coli*; ST ETEC, heat-stable enterotoxin enterotoxigenic *Escherichia coli*; tEPEC, typical enteropathogenic *Escherichia coli* 

https://doi.org/10.1371/journal.pgph.0001863.t001

# Diarrheagenic Escherichia coli prevalence and associations with diarrheal disease

We evaluated the association of DEC with community-based diarrheal disease. Regarding ETEC, 21.9% of cases and 16.1% of controls carried ETEC (Table 2). Those with ST or ST/LT ETEC were more likely to be cases. Neither ETEC virulence factor, EatA or EtpA, were

#### Table 2. Percentage of children with pathogenic *E. coli* by symptoms at both time points.

	Baseline, % (N)				Follow-up, % (N)			
Pathogen	Cases (symptomatic) (n = 96)	Controls (asymptomatic) (n = 99)	Total	p-value <sup>a</sup>	Symptomatic (n = 48)	Asymptomatic (n = 84)	Total	p-value <sup>a</sup>
ST or ST-LT ETEC	14.6 (14)	4.0 (4)	9.2	0.017	4.2 (2)	4.8 (4)	4.5	0.875
LT ETEC	7.3 (7)	12.1 (12)	9.7	0.350	12.5 (6)	15.5 (13)	14.4	0.639
EAEC	30.2 (29)	27.3 (27)	28.7	0.651	22.9 (11)	32.1 (27)	28.8	0.260
tEPEC	6.3 (6)	4.0 (4)	5.1	0.484	4.2 (2)	2.4 (2)	3.0	0.565
aEPEC	21.9 (21)	20.2 (20)	21	0.774	25.0 (12)	11.9 (10)	16.7	0.052

<sup>a</sup> Determined by chi-square testing.

EAEC, enteroaggregative Escherichia *coli*; *LT ETEC*, heat-labile enterotoxin enterotoxigenic Escherichia *coli*; ST ETEC, heat-stable enterotoxin enterotoxigenic Escherichia *coli*; tEPEC, typical enteropathogenic Escherichia *coli* 

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associated with cases but EtpA was found more commonly in cases versus controls (9 vs. 4). No differences between cases and controls were observed for EPEC. There was no difference in mean age for cases or controls with ETEC (p = 0.77) or EPEC (p = 0.36, Mann-Whitney U).

The molecular classification of EAEC remains challenging, however, we evaluated commonly accepted genes to define EAEC and potential alternative genes associated with virulence. No significant differences were observed between cases and controls defining EAEC as having *aatA* and *aaiC*. Additional analysis evaluating *aata* and/or *aaic* also did not show significance (available in supporting data table). Further analysis used the definition of EAEC as having both *aatA* and *aaiC*. Aggregative associated fimbriae (*aaf*) may modulate virulence, but again, we noted no significant differences as only 5.2% of cases and 5.1% of controls had isolates carrying these genes at baseline (Chi-squared, p = 0.96). At follow-up, aaf genes were present in 2.1% vs. 2.4% of those with or without symptoms, respectively (Chi-squared, p = 0.904). Cases with EAEC were younger than those without EAEC (13.7 ± 7.0 vs.18.6 ± 7.3, mean months ± 1SD, Student's t-test, p = 0.003), controls with EAEC were also younger but the difference was not significant. Carriage of multiple DEC was common but similar between cases and controls (**S5 Table**).

Seasonal variations are known to affect the risk of diarrheal disease with increased infections during rainy seasons. In Cap-Haitien, the rainy season (April-June) was associated with increased ETEC prevalence regardless of symptoms (<u>S6 Table</u>). Overall, we confirm a high prevalence of these pathogens in community-dwelling children from Cap-Haitian, Haiti.

#### Relationship between choline, betaine, DHA and diarrheal disease

Choline and DHA were associated with improved growth in Ecuador, however caregivers reported increased diarrhea for children receiving the egg intervention. We evaluated whether these nutrient biomarkers were associated with diarrheal burden as a combined outcome. Of note, no differences were originally observed between cases and controls [44]. When evaluating the association with diarrheal disease over time, no differences were observed (Table 3). Interestingly, DHA levels were consistently elevated in those with diarrhea at any time point (Table 3, column 1 relative to 2–4). No significant trends were noted based on the number of DEC identified, although choline and betaine concentrations increased in a dose dependent manner with 1 or 2 pathogens detected (S7 Table).

#### Relationship between pathogens and anthropometry

We evaluated the pathogen-specific association with growth faltering in Cap-Haitien to determine if distinct pathogens are associated with poor growth parameters. Univariate analysis

Column	1	2	3	4	
Case or Control	Control (asymptomatic)	Control (asymptomatic)	Case (symptomatic)	Case (symptomatic)	
Endline symptoms	Asymptomatic	Symptomatic	Asymptomatic	Symptomatic	
Ν	15	10	14	10	p-value <sup>b</sup>
Plasma DHA (µg/ml)ª	0.82 (0.63, 1.01)	1.16 (0.95, 1.36)	1.18 (0.9, 1.46)	1.02 (0.7, 1.33)	0.09
Plasma choline (µg/ml)ª	3.94 (3.2, 4.68)	4.15 (2.9, 5.4)	5.13 (3.49, 6.77)	4.67 (2.83, 6.50)	0.50
Plasma betaine (µg/ml) <sup>a</sup>	8.38 (6.56, 10.2)	7.34 (5.34, 9.34)	6.31 (5.33, 7.28)	8.67 (6.30, 11.05)	0.15

Table 3. Baseline nutrient biomarkers and association with type of diarrhea at follow-up<sup>1</sup>.

<sup>a</sup> Values presented are means and 95% CI.

<sup>b</sup> Statistical significance considered for p< 0.05, by ANOVA.

DHA, docosahexaenoic acid

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demonstrated ETEC were significantly associated with lower mean HAZ, WAZ, and WHZ in symptomatic children at baseline (Fig 1). In contrast, the presence of ETEC was not associated with anthropometric measures in asymptomatic children despite similar trends. EPEC was associated with lower WHZ scores. As expected, the presence of specific pathogens was not associated with the change in anthropometry over 1 month or the absolute anthropometric values at follow-up (S8 Table).

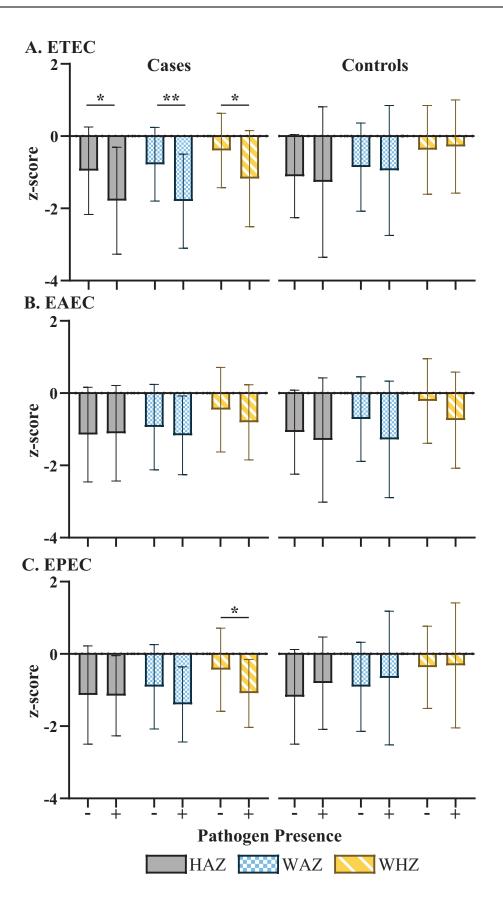
Using multivariate linear regression, ETEC and EAEC were found significantly associated with lower WAZ and WHZ at baseline (Table 4). The presence of ETEC was also significantly associated with reduced HAZ at baseline. To test for synergistic effects of multiple pathogens on anthropometric measures, we included an interaction term. After testing the effect between ETEC and EAEC, the main effect of ETEC on HAZ was diminished (p > 0.15), and the interaction effect was significantly associated with lower HAZ (Table 4). Additionally, including the interaction term improved the proportion of variance explained by the model. The presence of symptoms was not a significant correlate of baseline anthropometric z-scores in any models. Finally, we utilized underweight, stunting, or wasting (WAZ, HAZ, or WHZ < -2) as our outcome variable to unmask potential associations with the most severely ill children. No new significant associations were identified (S9 Table).

#### Discussion

Precise estimates of the harms associated with childhood diarrheal disease remain difficult to assess. However, these efforts are needed to prioritize prevention strategies in resource constrained environments. We quantify the burden of DEC and the association with anthropometric measures in a unique setting of Northern Haiti. We identified Haitian-specific factors that impact outcomes of diarrheal disease to inform local stakeholders on potential prevention strategies.

A high burden of diarheagenic *E. coli* exists in Cap-Haitien with current estimates closely approximating those found in other epidemiological studies. However, prior work in older school-aged children from southern Haiti suggest the pathogen-specific burden was much lower [31]. Older children likely developed protection from adaptive immunity to reduce the burden of disease [50]. Also, the molecular testing used in this study detects more pathogens over culture based testing used in prior work [17]. These contrasts highlight the importance of detailed, local epidemiological studies.

Molecular diagnostics are a feasible approach in settings without established culture methodologies but present unique challenges [51]. Increased pathogen detection in controls makes causal associations with diarrheal disease difficult. In comparing cases and controls, only ST-ETEC (including ST-LT ETEC) was associated with diarrheal disease, consistent with prior work in Bangladesh and elsewhere [15, 16, 52]. LT-ETEC likely induces immunity after the first exposure which may not be true for ST, accounting for increased pathogenicity [15, 52]. Additional virulence factors, including colonization factors, EatA, and EtpA, may further influence this risk but was not observed in our limited sample [18, 52]. We observed a high prevalence of EAEC, and like ETEC, subsets of virulence factors were not associated with disease [21]. Overall, the prevalence and pathogenicity of ETEC, EAEC, and EPEC are similar to other settings. Other enteropathogens may significantly contribute to diarrhea in this population. High rates of rotavirus vaccination coverage and cholera elimination suggest these two pathogens are unlikely to significantly contribute to health outcomes [26]. However, the reemergence of cholera in Port-au-Prince may alter this presumption in future studies. COVID-19 may cause diarrheal disease, but rates in Haiti at the time of the study remained low and likely a non-significant contributor. Other context-specific SES or demographic factors may influence local epidemiology but we are unable to assess these associations at this time.



**Fig 1. Relationship between anthropometry and DEC based on case-control status at baseline.** Presence or absence of pathogenic *E. coli* is designated (+, present) and (-, absent). Student t-test compared mean z-scores based on the presence or absence of pathogens for cases (left) and controls (right). Anthropometry is designated as HAZ (Height for age z-score, solid fill), WAZ (Weight for age z-score, blue checkered fill), and WHZ (Weight for Height z-score, yellow diagonal fill). \* p < 0.05 and \*\* p < 0.001. EAEC, enteroaggregative *Escherichia coli*; EPEC, enteropathogenic *Escherichia coli*; ETEC, enterotoxin enterotoxigenic *Escherichia coli*.

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Based on prior studies, we assessed the association between plasma choline, betaine, or DHA and diarrheal disease [39, 40]. DHA levels appeared higher with increased diarrheal disease, which may reflect anti-inflammatory properties of DHA. However, the initial growth improvements in Ecuador were not sustained after two years of follow up [53]. Also, a recent trial in Malawi did not show associations between DHA, choline, and growth [54], but the Malawian population differed in dietary intake, likely attenuating effects on DHA and choline. The limited sample size precludes any conclusions, but DHA and choline levels do not appear to significantly fluctuate during acute diarrheal illness and the effects on growth observed in Ecuador are unlikely mediated by diarrheal disease.

Anthropometric measures also serve as a surrogate for poor nutrition and health outcomes [38]. The association between ETEC and poor anthropometric measures has been previously observed but not reassessed in an area with high rotavirus vaccination coverage and decreasing diarrheal mortality [19, 55]. EAEC was also associated with lower WHZ at baseline, supporting a potential role for this pathogen in health outcomes despite a lack of strong association with symptomatic disease [56]. An interaction effect between ETEC and EAEC supports the concept that pathogens may interact synergistically, resulting in worse health outcomes for children [20, 57]. A successfully licensed ETEC vaccine may therefore have benefits beyond simply reducing the adverse effects of ETEC infections alone.

The associations between anthropometry and specific pathogens have multiple explanations. First, pathogen specific virulence factors, including toxins or the presence of *eatA* or *etpA* (ETEC) or aaf genes (EAEC), may alter host-pathogen interactions and increase intestinal damage. Cultural practices may also alter the risk of exposure to enteropathogens [58]. Importantly, our models only explain a small portion of the variance, suggesting unmeasured confounders also contribute to impaired anthropometric measures. We previously identified ASFs as significant co-variates and ASF intake varies based on geography, suggesting the presence of

	Height-age-z-score <sup>1</sup>		Weight-age-z-score			Weight-height-z-score			
	Coefficient B (SE)	p-value	Adjusted R <sup>2</sup>	Coefficient B (SE)	p-value	Adjusted R <sup>2</sup>	Coefficient B (SE)	p-value	Adjusted R <sup>2</sup>
ETEC or EAEC as sole pathogen in model									
ETEC	-0.64 (0.26)	0.014	0.109	-0.69 (0.27)	0.006	0.067	-0.56 (0.24)	0.018	0.081
EAEC	-0.22 (0.23)	0.33	0.078	-0.49 (0.22)	0.024	0.051	-0.47 (0.20)	0.022	0.079
ETEC, EAEC, and ETEC*EAEC in model									
ETEC*EAEC	-1.65 (0.55)	0.003	0.114	-0.72 (0.52)	0.17	0.084	0.02 (0.51)	0.96	0.056
ETEC	-0.09 (0.28)	0.75		-0.63 (0.24)	0.010		-0.48 (0.24)	0.049	
EAEC	0.16 (0.23)	0.47		-0.51 (0.25)	0.044		-0.46 (0.20)	0.025	

Table 4. Multilinear regression models predicting anthropometry at baseline<sup>a</sup>.

<sup>a</sup>Variables included in the model based on significance in univariate analysis on anthropometry. Variables were: for HAZ, number of children in the household, animalsourced food intake, diarrhea at baseline, sex, access to electricity, and current breastfeeding; WAZ, the number of children in the household, animal-sourced food intake, and diarrhea at baseline; for WHZ, the variables for WAZ were included plus the minimum dietary diversity and household dietary diversity scores. ETEC, enterotoxigenic *Escherichia coli*; EAEC, enteroaggregative *Escherichia coli* 

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a local context influencing growth outcomes and associations with diarrheal disease. Other possible confounders include immune status, dietary intake, and WASH practices.

Our study was designed to assess the association of specific DEC with anthropometric markers, we are unable to establish any causal mechanisms or associations with long-term growth. Therefore, our findings must be interpreted in the setting of additional limitations of the observational study. First, we rely on care-giver reports in our survey which may introduce bias. Importantly, we were limited in our sample size due to pandemic and unanticipated financial constraints, especially when evaluating nutritional biomarkers. Since the study design does not allow us to predict the impact an episode of diarrhea has on growth, prospective studies with intensive sampling and identification of additional viral, bacterial, or protozoal pathogens are required in Haiti. Importantly, this study allows for refinement of future studies to focus on Haitian-specific contexts and will be tested using the framework of an on-going randomized trial [45].

Overall, our study demonstrates a high burden of DEC in Cap-Haitien, Haiti. The presence of specific DEC are associated with poor anthropometric measures and support prevention efforts targeting these pathogens. In particular, ETEC vaccines may have a significant impact on improving the health of children in Cap-Haitien. Future studies with longer follow up will permit estimates of the benefits related to eliminating specific enteropathogens in Cap-Haitien.

#### Supporting information

S1 Checklist. STROBE statement—checklist of items that should be included in reports of observational studies.

(DOC)

**S1 Table. Definitions of survey variables.** (DOCX)

**S2** Table. Primers and target genes used in polymerase chain reactions. (DOCX)

**S3 Table. Baseline characteristics based on study completion.** (DOCX)

**S4 Table. Additional details for** Table 1. (DOCX)

**S5** Table. Identification of multiple pathogenic *E. coli* by symptoms. (DOCX)

**S6** Table. Diarrheagenic *E. coli* during rainy or dry seasons. (DOCX)

**S7** Table. Nutritional biomarker concentrations relative to total DEC detected. (DOCX)

**S8** Table. Multivariable linear regression models with change in anthropometry as outcome and *E. coli* subtypes at baseline. (DOCX)

**S9** Table. Logistic regression models with stunting, underweight, and wasting as outcome and *E. coli* subtypes at baseline. (DOCX)

**S1** Data. Raw data for variables used in the analysis with variable definitions. (XLSX)

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