Restoration of functional PAX6 in aniridia patient iPSC-derived ocular tissue models using repurposed nonsense suppression drugs

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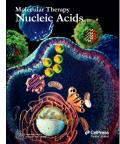
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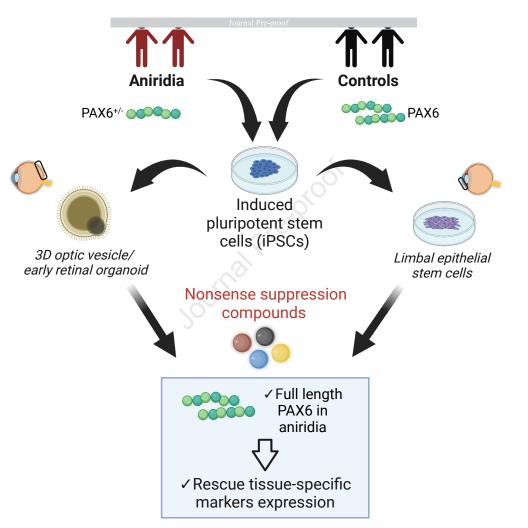
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# 22 Abstract

23 Congenital aniridia is a rare, pan-ocular disease causing severe sight loss, with only symptomatic 24 intervention offered to patients. Approximately 40% of aniridia patients present with heterozygous 25 nonsense variants in PAX6, resulting in haploinsufficiency. Translational readthrough inducing 26 compounds (TRIDs) have the ability to weaken the recognition of in-frame premature stop codons 27 (PTCs), permitting full-length protein to be translated. We have established induced pluripotent 28 stem cell (iPSC)-derived 3D optic cups and 2D limbal epithelial stem cell (LESC) models from two 29 aniridia patients with prevalent PAX6 nonsense mutations. Both in vitro models show reduced 30 PAX6 protein levels, mimicking the disease. Repurposed TRIDs amlexanox and 2,6-diaminopurine 31 (DAP), and positive control compounds ataluren and G418 were tested for their efficiency. 32 Amlexanox was identified as the most promising TRID, increasing full-length PAX6 levels in both 33 models, and rescuing the disease phenotype through normalization of VSX2 and cell proliferation 34 in the optic cups and reduction of ABCG2 protein and SOX10 expression in LESC. This study 35 highlights the significance of patient iPSC-derived cells as a new model system for aniridia and 36 proposes amlexanox as a new putative treatment for nonsense-mediated aniridia.

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# 40 Introduction

41 Aniridia (OMIM 106210) is a rare, dominant, pan-ocular disease, with a prevalence of 1 in 40,000-42 100,000<sup>1</sup>. Typical symptoms of this disease include congenital iris and foveal hypoplasia with 43 nystagmus, and progressive development of glaucoma, cataracts and keratopathy, leading to significant visual impairment <sup>2-4</sup>. Up to 90% of aniridia patients develop limbal stem cell deficiency 44 45 (LSCD), where adult epithelial stem cells originating in the limbus and maintain corneal 46 transparency, are lost or defective, causing impaired epithelium renewal and conjunctival invasion 47 <sup>5</sup>. LSCD invariably results in complete corneal opacity, usually termed aniridia-related keratopathy 48 (ARK), and is the most relevant feature contributing to visual loss in aniridia post-natally <sup>5,6</sup>.

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Heterozygous mutations affecting the *PAX6* gene or its regulatory regions are the cause of aniridia <sup>7,8</sup>, with mutations introducing a premature stop codon (PTC) being the most common (<u>http://lsdb.hgu.mrc.ac.uk/home.php?select\_db=PAX6</u>). Of these, nonsense mutations are the most prevalent, accounting for 39% of the total mutations reported in aniridia patients <sup>9</sup>. *PAX6* nonsense mutations are predicted to result in loss of function, where mutated mRNA is likely degraded by nonsense-mediated decay (NMD), resulting in *PAX6* haploinsufficiency.

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57 Nonsense suppression or translational readthrough inducing compounds (TRIDs) weaken the recognition of a PTC and promote the replacement of a near cognate amino acid, thus allowing 58 translation to continue and producing a full-length protein <sup>10,11</sup>. Promising preclinical data using 59 60 ataluren (also called Translarna or PTC124), a TRID approved for the treatment of Duchenne 61 muscular atrophy, showed rescue of Pax6 levels in the aniridia Sey+<sup>4/-</sup> mouse model, with topical 62 administration inhibiting disease progression and improving corneal, lens, and retinal defects <sup>12,13</sup>. 63 A phase I/II clinical trial (NCT02647359) for aniridia was completed, but failed to meet the primary 64 endpoint. despite showing a positive trend towards functional improvement 65 (https://www.prnewswire.com/news-releases/ptc-therapeutics-reports-fourth-quarter-and-full-year-66 2019-financial-results-and-provides-a-corporate-update-301014669.html). The use of TRIDs is a 67 particularly suitable therapeutic approach for aniridia due to the high prevalence of nonsense 68 variants and the milder phenotype associated with PAX6 missense mutations <sup>2,3,9</sup>. However, novel 69 readthrough compounds with improved efficiency are required with a personalized medicine 70 approach, knowing which TRIDs may be more effective for specific PTCs or that combined 71 inhibition of nonsense-mediated decay may boost mRNA substrate and end protein production.

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PAX6 is a dose-sensitive transcription factor essential for eye development <sup>7,8</sup>. It is expressed early
in ocular morphogenesis, during the establishment of the eye field and optic vesicle, and has
multiple roles in the development and maintenance of retinal progenitor cells, lens, cornea and iris

76 <sup>14</sup>. In the cornea, correct Pax6 levels are required for normal cell growth during limbal and central 77 corneal epithelial development, but the exact mechanisms on how PAX6 haploinsufficiency causes LSCD and ARK are still not understood <sup>15</sup>. It was recently shown that Pax6 controls neural crest 78 79 migration during corneal development, a process important for the formation of the non-epithelial 80 corneal layers, i.e. stroma and endothelium, as well as for maintenance of the limbal niche 16-18. 81 The generation of human induced pluripotent stem cells (iPSCs) has opened a new avenue in 82 establishing representative in vitro models that can recapitulate human development and provide 83 valuable insights on disease mechanisms <sup>19</sup>. They have been used to accelerate therapeutic development in several retinal and corneal eye disorders <sup>20-22</sup>. This is the first study to generate 84 85 iPSCs from aniridia patients carrying heterozygous PAX6 nonsense mutations, with a UGA-type 86 PTC, and establish patient-specific iPSC-derived optic cups and limbal epithelium stem cell (LESC) 87 models that mimic the haploinsufficiency state. We used these models to assess the potential of 88 TRIDs amlexanox and 2,6-diaminopurine (DAP) to treat aniridia. Amlexanox is a FDA-approved 89 drug used for the treatment of asthma and aphthous mouth ulcers <sup>23</sup>, that was found to have both 90 readthrough and NMD-inhibition properties <sup>24-26</sup>; DAP is an antileukemia compound with recently 91 identified strong readthrough capacity for UGA-type PTC<sup>27</sup>.

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We identified amlexanox as the most promising TRID, increasing full-length PAX6 levels and rescuing phenotype abnormalities in both iPSC-derived retinal and corneal models, while DAP showed distinct tissue dependent responses. Our results provide substantial proof-of-concept for the use of amlexanox as a new therapeutic approach for aniridia.

- 97
- 98 Results
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#### Generation of aniridia induced pluripotent stem cells (iPSCs)

Human dermal fibroblasts taken from two molecularly confirmed aniridia patients (AN1 and AN2)
 were reprogrammed into iPSCs by electroporation using non-integrating episomal plasmids <sup>28,29</sup>.
 Generated iPSC clonal lines were thoroughly and routinely characterized, showing positive

pluripotency markers, tri-lineage differentiation ability and chromosomal stability (Supplementary
Figure 1). AN1 patient carries a heterozygous nonsense variant in *PAX6* (NM\_000280.4)
c.781C>T/ p.(Arg261\*), while AN2 patient carries the heterozygous nonsense variant c.607C>T/
p.(Arg203\*). Both variants are predicted to introduce a UGA PTC. The disease-causing variants
were confirmed in each AN iPSCs by direct sequencing of *PAX6* exons 10 (AN1) and 8 (AN2)
(Figure 1A and Supplementary Figure 1A).

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#### Aniridia iPSC-derived optic cups show reduced PAX6 protein but not mRNA levels

110 AN1-iPSCs as well as two independent iPSC lines derived from unaffected healthy controls (WT1 111 and WT2) were further differentiated into 3D optic cup-like stage by adapting established protocols 112 <sup>30,31</sup> (Figure 1B). Differentiating organoids showed upregulation of eye field transcription factors (EFTFs) RAX and PAX6 from day 10 onwards (Figure 1C,D) <sup>32,33</sup>. No significant differences in 113 114 PAX6 mRNA levels were detected between AN1- and WT(1 and 2) -iPSC-OCs throughout the 115 process, although a downregulation compared to WT seems apparent from day 15 (Figure 1D). 116 RT-PCR of PAX6 cDNA shows presence of AN1 mutated transcript (Figure 1E), while UPF1 117 expression, a key activator of NMD, is unchanged compared to WT1 (Supplementary Figure 2A), 118 suggesting NMD escape. In contrast, PAX6 protein immunoblotting showed significant reduction of 119 PAX6 protein in AN iPSC-OCs at day 35, with approx. 0.33 ± 0.23 fold of WT(1 and 2) levels 120 (p<0.01, Figure 1F). Despite the reduced PAX6 protein, AN1 organoids could progress into an optic 121 cup (OC) like stage, typically around differentiation day 35, when both neural retina marker VSX2 122 (Visual system homeobox 2) and retinal pigmented epithelium (RPE) marker MITF 123 (Microphthalmia-associated transcription factor) are present (Figure 1G). Expression of 124 pluripotency markers OCT4 and LIN28 was reduced throughout the differentiation process, 125 showing exit from pluripotency state (Supplementary Figure 3A).

- 127 Establishment and characterization of AN iPSCs-derived limbal epithelial stem cells 128 To test the clinical potential of TRIDs as possible therapy for aniridia limbal stem cell deficiency, 129 we differentiated the two aniridia iPSC lines (AN1 and AN2), together with control lines WT1 and WT2, into 2D limbal epithelial stem cells (LESCs) <sup>34</sup>. A third control, the H9 embryonic stem cell 130 131 (ESC) line was also included at this stage to limit inter-donor variability often seen in iPSCs<sup>35</sup>. 132 Following formation of embryoid bodies (EBs), limbal fate was induced for 5 days and EBs were 133 plated onto collagen IV coated pates, where epithelial-like cells emerged and proliferated until day 134 15 (Figure 2A). Timepoint analysis confirmed high expression of LESCs specific markers  $\Delta NP63\alpha$ , 135 KRT14 and ABCG2 by day 15 in all AN and WT control lines, proving limbal commitment (Figure 136 2B); this was also confirmed in the differentiated H9 ESC line (Supplementary Figure 4). In parallel, 137 pluripotency markers OCT4, SOX2 and LIN28 were downregulated for all lines, showing exit from 138 pluripotency state (Supplementary Figure 3B). 139 Similar to our 3D optic cup model, no clear differences in PAX6 mRNA expression were detected 140 between our AN and WT lines (Figure 2C); however, a significant reduction in full length PAX6
- protein was seen in both AN1 and AN2 iPSC-LESCs after protein analysis at day 15, with both lines showing approximately one third of PAX6 control levels (AN1:  $0.37 \pm 0.13$  fold; AN2:  $0.33 \pm$ 0.14 fold vs WT=1, p<0.01) (Figure 2D). NMD activity was assessed through *UPF1* expression, which remained unchanged between the different iPSC (and ESC)-derived LESCs (Supplementary Figure 2B).

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# Amlexanox and Ataluren increase full-length PAX6 levels in aniridia iPSC-optic cups

To test the potential of TRIDs to increase full length PAX6 levels, AN iPSC-OCs were dosed with readthrough compounds amlexanox and DAP, as well as ataluren and G418 from day 15 until collection on day 35 (Figure 1B). G418 caused cell toxicity, even when lower concentrations were tested; the same scenario was observed after DAP dosing, with no viable cells found after day 20/25 (Supplementary Figure 5). The same occurred when dosing WT iPSC-OCs with both drugs, 153 pointing towards drug-specific toxicity. In contrast, amlexanox and ataluren were well tolerated, and

no major morphological differences in optic-cup structures were found after dosing (Figure 3A).

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Full length PAX6 was detected by Western blot in WT, dosed and undosed AN1 iPSC-OC samples on day 35. We observed that 250µM amlexanox treatment increased full length PAX6 levels by nearly 4-fold (1.24  $\pm$  0.31, p<0.05) compared to untreated AN1 samples. There was a relative increase in PAX6 in ataluren-treated samples, but it did not reach statistical significance (0.90  $\pm$ 0.50, p= 0.22) (Figure 3B). Immunostaining confirmed this result, with untreated AN1 showing weaker PAX6 staining in the neural retina layer of untreated AN organoids, which improved after treatment with amlexanox (Figure 3C).

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# Phenotype rescue in TRID-treated aniridia iPSC-OCs

165 In order to determine if the increased protein levels following treatment with amlexanox resulted in 166 a functional PAX6 rescue as well as improvement in molecular and cellular phenotype, we 167 investigated the expression of key optic cup marker VSX2. In vivo, VSX2 is necessary for the 168 establishment of retinal progenitor cells (RPCs) in the optic cup and, in the total absence of PAX6, 169 VSX2 expression, along with optic vesicle progression into the optic cup, is abrogated <sup>14</sup>. 170 Interestingly, the AN1 iPSC-OCs showed a 4.08 ± 0.74 fold increase of VSX2 mRNA levels 171 (p<0.001) and immunostaining confirmed a stronger VSX2 signal in untreated AN1 compared to 172 WT iPSC-OCs (Figure 3D,E). After both amlexanox and ataluren treatment, VSX2 expression was 173 significantly downregulated to  $1.02 \pm 0.67$  (p<0.001) and  $1.05 \pm 0.65$  -fold (p<0.001), respectively, 174 which was indistinguishable from the levels detected in WT samples (WT expression =1) (Figure 175 3D). Similarly, immunostaining on day 35 showed weaker VSX2 staining in amlexanox versus 176 untreated AN1 iPSC-OCs. This was less clear for ataluren-treated AN1 iPSC-OCs (Figure 3E).

178 Cell proliferation alterations have been previously reported in response to abnormal *Pax6* levels 179  $^{36,37}$ . Indeed, we observed a significant upregulation in *MKI67* expression, which encodes the 180 proliferation marker Ki-67, in AN1 iPSC-OCs compared to WT (1.65 ± 0.26 fold, p<0.01). This 181 increased proliferative status was also fully rescued after dosing with amlexanox (0.99 ± 0.16, 182 p<0.01) and ataluren (1.07±0.28, p<0.05) (Figure 3F).

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## TRIDs increase PAX6 protein and improve phenotype in iPSC-LESCs

185 Due to reduced PAX6 protein levels already detected in aniridia iPSC-LESCs at day 15, we dosed cells for 48h, from day 13 until harvest on day 15 <sup>24</sup>. Cells treated with 250 µM amlexanox showed 186 187 affected viability, so lower concentrations, 100 µM and 200 µM, were used. DAP concentrations of 188 100 µM and 200 µM did not affect cell viability, neither did 40 µM ataluren. In contrast, G418 caused 189 significant cell death in iPSC-LESCs, even at doses lower than 100µg/mL, hence readthrough 190 effect could not be analysed. This was similar to that observed in the 3D optic cup models, 191 confirming G418 cytotoxicity <sup>10,38</sup>. Overall, TRIDs dosing increased full-length PAX6 in AN1 iPSC-192 LESCs (Figure 4A): amlexanox significantly improved protein levels to 0.650 ± 0.043 -fold (100 µM, 193 p<0.05) and 0.941 ± 0.085-fold (200  $\mu$ M, p<0.0001). Also 100  $\mu$ M DAP treatment improved PAX6 194 levels to 0.912 ± 0.064 (p<0.001). Ataluren-treated cells also showed significant increase in PAX6, 195 with full-length levels reaching 0.85±0.048-fold (p<0.001) of control levels (Figure 4A). AN2 iPSC-196 LESCs showed similar trends of increased PAX6 protein when dosed with TRIDs, but failed to 197 reach significance when treated with ataluren or amlexanox (Figure 5A). However, 100µM DAP 198 treatment lead to the significant increase of full length PAX6 (0.809±0.16-fold, p<0.05) (Figure 5A). 199 Treatment of AN1 and AN2 iPSC-LESCs with drugs vehicle (DMSO) alone showed no significant 200 changes compared to untreated cells (Supplementary Figure 6A,B). Importantly, dosing of control 201 H9 ESC-derived LESCs with the same TRIDs also showed no effect on PAX6 protein levels,

- supporting the specificity of these drugs to readthrough of the mutated allele (Supplementary Figure6C).
- 204

205 To assess for functional and phenotypic rescue following treatment with TRIDs we examined 206 expression of ABCG2, which is transiently expressed in LESCs and is considered a LESC-specific 207 stemness marker <sup>39</sup>. Although the relationship between PAX6 and ABCG2 is not known, it was 208 recently shown that ABCG2 mRNA is upregulated in LESCs extracted from aniridia patients (with 209 PTC-causing mutations) compared to controls <sup>40</sup>. We observed similar results in our iPSC-derived 210 system, where ABCG2 mRNA peaked at day 10 in all lines (Figure 2B) and, at day 15, there was 211 a 5.40 ±1.79 fold accumulation of ABCG2 protein in AN1 and 5.49±1.6 fold in AN2 compared to 212 WT control iPSC-LESCs (p<0.05) (Figure 4B, 5B). Remarkably, amlexanox-treated AN1 iPSC-213 LESCs showed a very significant reduction in ABCG2 protein, reaching levels very close to WT, 214 with both concentrations: 100µM (1.70 ± 0.10 -fold, p<0.05) and 200µM (1.75 ± 0.59, p<0.05) 215 (Figure 4B). Although the same trend was observed for (100 µM) amlexanox-treated AN2 iPSC-216 LESCs, it did not achieve statistical significance  $(2.11 \pm 1.49$ -fold, p=0.08) (Figure 5B).

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SOX9 and SOX10 are TFs expressed in the neural crest-fate cells in the limbal niche, which is essential for the homeostasis of LESCs <sup>17</sup>. Therefore, and because PAX6 was recently shown to drive neural crest migration during corneal development <sup>16,18</sup>, we tested the expression of *SOX9* and *SOX10* between AN and WT iPSC-LESCs. Although *SOX9* expression was not significantly altered between AN and WT (Figure 4C, 5C), we found that *SOX10* was sharply upregulated in both AN iPSC-LESCs (AN1: 20  $\pm$ 1.29 -fold, p<0.001, Figure 4D; AN2: 10.31 $\pm$ 1.29 -fold, p<0.001, Figure 5D). Following treatment with TRIDs, *SOX10* expression was rescued by 100µM and 200µM

of amlexanox, as well as with ataluren in both patient cell lines (Figure 4D, 5D). Similarly to previous
 results, DAP did not induce an improvement in SOX10 expression (Figure 4D, 5D).

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In conclusion, amlexanox increases full-length PAX6 levels and rescues phenotypic differences in
both early 3D optic cups and 2D limbal epithelial stem cells generated from aniridia patients iPSCs,
proving that newly synthesized PAX6 is functional and the new amino acid inserted is likely
tolerated.

232

# 233 Discussion

234 The aim of this work is to provide proof of principle for the further development of repurposed 235 readthrough drugs amlexanox and 2,6-diaminopurine (DAP) for aniridia. Aniridia is a highly suitable 236 disease for readthrough therapy approaches, due to the high prevalence of PAX6 nonsense 237 mutations, dosage sensitivity, and if the target tissue is well considered i.e. cornea and LESC to 238 reduce aniridia-related keratopathy (ARK) and maintain levels of vision. Insufficient PAX6 levels, 239 or haploinsufficiency, is thought to be the underlying genetic mechanism of aniridia; therefore, increasing full-length PAX6 levels, even if not fully, might be enough to attenuate disease. This is 240 241 also supported in patients, where PTC-introducing variants are generally associated with severe 242 forms of aniridia, whilst patients with missense mutations usually present with milder phenotypes 243 and less severe vision loss 2,3,6.

We generated an iPSC line from an aniridia patient carrying the heterozygous *PAX6* nonsense mutation c.781C>T, p.(Arg261\*). This variant is located within the "PAX6 mutation hotspot", a region in exons 8 to 13 with methylated CpG islands, where 21% of all mutations and 60% of all nonsense mutations are located <sup>9,41,42</sup>.

We have differentiated the patient iPSCs into 3D optic cups (iPSC-OCs) and show significantly reduced PAX6 protein levels at day 35, a timepoint comparable to the *in vivo* optic cup stage. Nonetheless, these reduced PAX6 levels are sufficient to form optic cup domains (neural retina

and RPE) in our *in vitro* system, which is also consistent with *in vivo* results <sup>14</sup>. Amlexanox and ataluren were shown to recover levels of full-length PAX6, while DAP and G418 showed toxicity at all concentrations tested.

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255 We observed a striking increase in neural retina marker VSX2 expression in aniridia iPSC-derived 256 optic cups. Low Pax6 levels seem to promote early neurogenesis in the mice optic vesicle <sup>14</sup>; this 257 might explain the accumulation of VSX2, which was detected at both mRNA and protein levels. 258 Importantly, we observe that normal VSX2 levels are restored after treatment of aniridia iPSC-OCs 259 with amlexanox and ataluren. These results suggest that both compounds induce functional PAX6 260 protein increase, leading to rescue of the in vitro phenotype. This was further supported by the 261 downregulation of proliferation marker MKi67 expression, known to be increased in Pax6 mutant 262 cells, after dosing of aniridia iPSC-OCs with both TRIDs <sup>36</sup>.

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264 The role of PAX6 in the eye is both time- and tissue-specific, acting during development but also 265 on maintenance of adult tissue <sup>14,43</sup>. This translates into a developmental and progressive disease, 266 where aniridia patients typically show hypoplasia of the iris and fovea from birth, and progressive opacity of the lens and cornea from childhood/early adulthood <sup>1,9</sup>. From large natural history studies 267 268 we understand that the visual acuity remains relatively stable over decades of life<sup>3</sup>. Therapeutic 269 approaches targeting developmental defects are currently not feasible, hence we aimed to test the 270 clinical potential of TRIDs to halt or slow down ARK, which can affect up to 90% patients and is the 271 mainstay for a decline in visual acuity over time <sup>9</sup>. For that purpose, we established a second 272 aniridia human model, by growing patient iPSC-derived 2D limbal epithelial stem cells (iPSC-273 LESCs). Upregulation of LESCs specific markers  $\Delta NP63\alpha$ , ABCG2 and KRT14 in these cells 274 proved commitment to the limbal fate. Aniridia patients iPSC-LESCs show over 60% reduction in 275 PAX6 protein levels, lower than the estimated 50%, validating this model to study PAX6 276 haploinsufficiency. Once again, we did not observe significantly reduced PAX6 transcript levels in 277 AN vs WT iPSC-LESCs during the first 15 days of differentiation. It is assumed that PAX6 null

278 variants lead to the degradation of the mutated transcripts via NMD, thus resulting in 279 haploinsufficiency <sup>9</sup>; however, we do not seem to observe this in our *in vitro* models; in fact, in the 280 aniridia iPSC-OCs, we could prove the presence of the mutated transcript, pointing to likely NMD 281 escape. NMD is a multifactorial complex mechanism, its variable activity has been documented 282 and can vary between patients with the same mutation, as seen in a study involving X-linked 283 choroideremia patients; four individuals with a c.715 C>T; p.(R239\*) UGA mutation displayed CHM 284 transcript levels ranging from 13% to 52.6% <sup>44</sup>. In addition, previous studies have shown that NMD 285 efficiency varies between different murine tissues <sup>45</sup>, but in the choroideremia study no significant 286 difference in CHM mRNA levels were seen between two different patients' fibroblast lines and their corresponding iPSC-derived RPE<sup>44</sup>. The nonsense variants described in this study do result in loss 287 288 of function and we therefore hypothesize that other mechanisms could contribute to PAX6 289 haploinsufficiency, such as post-translational modifications or epigenetic regulations of the 290 protein<sup>46,47</sup>, and requires further investigation.

291

292 Dosing of AN1 iPSC-LESCs with different TRIDs resulted in similar profiles compared to 3D optic 293 cups; both amlexanox and ataluren proved to significantly increase PAX6 protein, although slightly 294 lower concentrations of amlexanox were used due to very low proliferation in cells treated with 295 250µM. Importantly, both compounds, but particularly amlexanox, induced strong phenotype 296 rescue by restoring ABCG2 as well as SOX10 levels, two important players in limbal epithelial stem cell identity and survival, respectively <sup>17,39</sup>. Although DAP also induced a significant increase in 297 298 PAX6 levels in AN1 (and AN2) iPSC-LESCs, it did not show a significant downstream phenotypic 299 rescue. We hypothesize this is due to the new amino acid introduced, i.e. tryptophan, which may still have a deleterious effect <sup>27</sup>. Ataluren was well tolerated in both models; in contrast, G418 was 300 301 highly cytotoxic, proving the downside of traditional aminoglycosides use and need for less toxic TRIDs <sup>10</sup>. DAP showed variable toxicity in iPSC-derived OCs versus LESCs from the same patient 302 303 (AN1), being cytotoxic in the former and well tolerated and efficient in the latter. Its readthrough ability was only very recently reported so its mechanism remains unclear <sup>27</sup>. DAP induced a 304

305 significant increase in PAX6 levels in AN iPSC-LESCs, but did not show significant downstream 306 phenotype rescue. We hypothesize this is due to the new amino acid introduced by the readthrough 307 process; DAP works exclusively with UGA PTC and tryptophan is the likely substituted amino acid in DAP-mediated readthrough <sup>27</sup>. The likely resultant missense mutations, p.(Arg261Trp) (AN1) and 308 309 p.(Arg206Trp) (AN2), are both predicted pathogenic by in silico tools, hence although protein can 310 be detected, it may likely non/dys-functional. Reports have shown that missense mutations can 311 lead to milder phenotypes <sup>3</sup>, however, this was not seen at a molecular level and may need in vivo 312 studies to confirm this.

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314 ABCG2 is a transient limbal epithelial stem cell marker, turned off when cells exit the stem cell state and start differentiation into corneal epithelial cells <sup>39</sup>. We hypothesize that ABCG2 increased levels 315 316 in both AN iPSC-LESCs show that these cells may be unable to either switch off their proliferative 317 status and/or trigger the differentiation process into corneal epithelial cells <sup>40</sup>. In parallel, we observe 318 altered expression of neural crest marker SOX10, supporting the recent evidence that PAX6 has a 319 role in neural crest-derived cells from the limbal niche <sup>16,18</sup>. Further differentiation of AN iPSC-320 LESCs into later stages as well as high throughput molecular characterisation of these cells would 321 be important to not only understand the mechanisms behind PAX6-related LSCD but also to 322 understand how iPSC-derived models compare to in vivo development and disease, particularly 323 when dealing with such a regulatory-complex transcription factor like PAX6. Importantly, we 324 observed variable efficiency in iPSC differentiation, particularly into LESCs; it is known that there 325 can be substantial inter and intra-donor iPSC variability <sup>35</sup>, which we tried to address by adding 326 multiple control lines as well as clones for the same line; however, we acknowledge that the 327 generation of PAX6 isogenic lines would be an important asset for proving the changes observed 328 in patient cells derive exclusively from PAX6 defects.

329

330 Overall, patients with missense *PAX6* mutations tend to have milder ocular phenotypes <sup>2,6</sup>; in our 331 recently published 86 aniridia patient cohort, patients with missense mutations have significantly

332 lower incidence of ARK, compared to patients with nonsense variants, who present with the highest 333 ARK prevalence <sup>3</sup>. However, the nearly complete absence of aniridia patients with missense 334 mutations located downstream of exon 7, coupled with the variable expressivity of the disease, 335 makes it difficult to accurately predict the genotype-phenotype relationships. The closest reported 336 missense variant to AN1 c.781C>T, p.(Arg261\*) located in exon 10 (predicted homeodomain) was 337 c.773T>C, p.(Phe258Ser); the patient presented with typical iris hypoplasia, and chorioretinal 338 coloboma involving the optic disc, but indeed no description of aniridia related keratopathy (ARK) 339 <sup>48</sup>. For AN2 c.607C>T/ p.(Arg203\*) variant in exon 8, predicted in the linker region between both 340 DNA-binding domains, the closest reported missense is p.(Arg208Gln), detected in a mid-twenties 341 (at the time of evaluation) female described with mild symptoms, i.e. nystagmus, foveal hypoplasia 342 and early cataract, but again, no ARK reported <sup>49</sup>.

343 In this study we provide strong evidence supporting the repurposing of amlexanox as a putative 344 therapeutic compound for aniridia patients with PAX6 nonsense mutations. Our 3D optic cup 345 models showed good tolerance to amlexanox, but in order to reduce off-target effects or systemic 346 complications, topical formulations with a lower dose could be administered <sup>50</sup>. Further work on higher order in vivo models may be required to ascertain the optimal dose needed to induce optimal 347 348 readthrough in aniridia patients. Interestingly, amlexanox has recently been shown to improve glucose levels and enhance liver fat loss in individuals with type II diabetes <sup>51</sup>. Hence, it could be 349 350 beneficial to assess the effect of systemic amlexanox in aniridia patients, since recent reports show 351 that aniridia patients commonly present with metabolic dysregulation leading to obesity and type II diabetes <sup>3,52</sup>. Therefore, we speculate that amlexanox might have ocular and wider systemic benefit 352 353 in aniridia patients.

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Lastly, this work provides further evidence that readthrough therapy seems to be a particularly promising therapeutic approach for aniridia, with previous *in vivo* models <sup>12,13</sup> and now patientspecific *in vitro* models showing positive pre-clinical outcomes. The advances in readthrough drug

- 358 development allied to more complex and representative human disease models will certainly allow
- 359 for new compounds to be pushed into clinical trials for aniridia patients.
- 360

## 361 Materials and Methods

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# Ethics and clinical description

364 This study was approved by Moorfields Eye Hospital and the National Research Ethics Committee 365 and was conducted in adherence to the tenets of the Declaration of Helsinki; informed written 366 consent was obtained from all participants. 4-mm punch skin biopsies were obtained from the upper 367 arm of a 6-year-old and a 10-year-old male aniridia patients with confirmed genotypes - PAX6 368 c.781C>T, p.(Arg261\*) (AN1), and c.607C>T/ p.(Arg203\*) (AN2), respectively. The patient named 369 AN1 was hypermetropic (right eye +6.00/-2.00x10 and left eye +6.00/-1.75x180) and their best 370 corrected visual acuity was 0.74 LogMAR in each eye. Intraocular pressure was within normal 371 range (18 mmHg in both eyes), no signs of glaucoma, cataracts or ARK, both cornea were clear. 372 The patient does have complete iris and foveal hypoplasia. The patient AN2 was anisometropic 373 (right eye plano and left eye -3.50/-3.00x160) and his best corrected visual acuity was RE 1.6 and 374 LE 0.74 LogMAR. Intraocular pressure was RE 28 and LE 27 mmHg but no signs of glaucoma. He 375 has a history of bilateral cataracts and has had lens extraction with RE being aphakic and LE 376 receiving an intraocular lens implant. He has right ARK but the cornea is clear in LE. The patient 377 does have complete iris and foveal hypoplasia

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#### 379

## Induced Pluripotent Stem Cells (iPSC) generation and culture

AN patient iPSCs were generated using non-integrating episomal reprogramming of dermal fibroblasts extracted from a skin biopsy from the patient's arm, following established protocols <sup>28,53</sup>. A minimum of 2 clonal lines were expanded and characterised as previously described <sup>29,53</sup>. Control (WT) iPSCs used in this study were previously published <sup>53</sup>. The H9 embryonic stem cell line was obtained from WiCell (hPSCreg WAe009-A). All iPSC lines were maintained in mTESR Plus media

385 (StemCell Technologies, Canada) with 0.1% Pen/Strep on Matrigel-coated wells (1:100) (Corning,

USA). For passaging, ReLESR (StemCell Technologies, Canada) was used for detaching and after

387 24h, iPSCs were fed daily with mTESR Plus until confluent.

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# iPSC-differentiation into 3D optic cups

390 Differentiation of iPSCs into 3D optic cups was performed based on published protocols <sup>30,31</sup>. 391 Briefly, confluent iPSCs were detached with Accumax (ThermoFisher Scientific, USA) to single cell 392 suspension and 3.6 million cells per well were plated onto Aggrewell400 plates (StemCell 393 Technologies, Canada) (3.000 cells per microwell) in mTESR Plus with 10µM Y-27632 (Abcam), 394 following manufacturer's instructions. After 48h, embryoid bodies (EBs) were collected and plated 395 onto low attachment 60mm<sup>2</sup> plates in neural induction media (NIM) – DMEM/F12 (ThermoFisher 396 Scientific), 20% knock-out serum replacement (KOSR) (ThermoFisher Scientific), 2% B27 397 (ThermoFisher Scientific), 1x Non-essential amino acids (NEAA; ThermoFisher Scientific), 1% 398 Pen/Strep, 1xGlutamax (ThermoFisher Scientific) and 5ng/mL IGF-1 (Sigma-Aldrich) – until day 7. 399 On day 8, cells were cultured in NIM with 15% KOSR and finally with 10% KOSR from day 11 until 400 day 35 (Figure 1B).

401

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# iPSC differentiation into LESCs

403 Differentiation of iPSCs into LESCs was done following the protocol from Hongisto et al, with small 404 adjustments <sup>34</sup>. Confluent iPSCs (~90/95%) were detached using ReLESR and clumps 405 resuspended in mTESR Plus with 10µM Y-27632. Cell clumps were transferred into non-coated 406 (petri) dishes and incubated O/N to allow the formation of EBs (Day 0). After 48h (Day 2), EBs were 407 carefully washed with DPBS and resuspended in SM media (KnockOut DMEM supplemented with 408 15% xeno-free serum replacement, 2mM L-glutamine, 0.1mM 2-mercaptoethanol, 1% non-409 essential amino acids, and 50U/mL penicillin-streptomycin) supplemented with 10µM SB-505124 410 (Sigma Aldrich, USA) and 50ng/mL bFGF (Peprotech, USA). Media was replaced with SM media 411 supplemented with 25ng/mL BMP-4 (Peprotech, USA) on days 3 and 4. On day 5, EBs were

- 412 carefully plated into collagen IV-coated wells in a mix of CnT30 media (CellnTech, Switzerland)
  413 and SM media (3:1) and allowed to attach for 48h. From there on, media was changed with CnT30
  414 every other day until collection on day 15 for RNA and protein analysis.
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- 416

# Dosing and compounds information

417 Dosing concentrations of amlexanox (Abcam) and 2,6-diaminopurine (DAP, Sigma-Aldrich) were 418 based on previous publications <sup>24,26,27</sup>. Known TRIDs ataluren/ PTC124 (ApexBio Tech LLC) and 419 G418 (Life Technologies) were used as positive readthrough controls at 40µM and 100µg/mL, respectively, according to previous publications from our group <sup>25,44,54</sup>. 3D optic cups were dosed 420 421 with amlexanox 250µM or ataluren 40µM in NIM+10%KOSR from day 15 to 35 of differentiation, 422 with media refreshed every other day. iPSC-LESCs were dosed from day 13 to 15 of differentiation 423 in CnT-30 media, with media change after 24h. Amlexanox (100µM and 200µM), DAP (100µM and 424 200µM), Ataluren (40µM) and G418 (100µg/mL) were tested.

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# RNA extraction and RT-qPCR

For transcript analysis of 3D optic cups, RNA extraction was performed after pellets collection using
the RNeasy Mini or Micro Kit (QIAGEN, Germany); iPSC-LESCs were harvested by adding 300µL
of Lysis buffer (Zymo Research, USA) and cells collected using a cell scraper; RNA was extracted
following instructions in the Quick-RNA<sup>™</sup> MicroPrep Kit w/ Zymo-Spin<sup>™</sup> IC Columns kit (Zymo
Research).

432 cDNA was synthesized from 500ng RNA using High-Capacity RNA-to-cDNA Kit (Life 433 Technologies). RT-qPCR was performed with 2x SYBR Green MasterMix (ThermoFisher Scientific, 434 USA) on a StepOne Real-Time PCR system (Applied Biosystems, UK) or QuantStudio 6 Flex 435 (Applied Biosystems, UK). Primers used for qPCR are listed in Table S1. Transcript levels were 436 measured in duplicate and normalised to housekeeper genes *GAPDH or ACTB*. The relative 437 expression of each target gene was calculated using the comparative C<sub>T</sub> method.

# 439 Western blotting

Samples were analysed by western blotting as described previously <sup>25,55</sup>. Cells were washed with 440 441 ice-cold PBS and total protein extract was prepared with RIPA buffer with 1x Halt ™ protease 442 inhibitor cocktail and Halt ™ phosphatase inhibitor (ThermoFisher Scientific, MA, USA) at a ratio of 443 5x106 cells/mL. 30µg protein for iPSC-derived optic cups or 15µg for iPSC-LESCs were loaded 444 onto 4-15% Mini-PROTEAN ® TGX ™ gels (BioRad Inc., CA, USA) and transferred to an Immun-445 Blot™ PVDF membrane using a Trans-Blot® SD semi-dry transfer cell (BioRad Inc., CA, USA). 446 Membranes were blocked with 5% non-fat dry milk in PBST for 2h, incubated overnight at 4°C with 447 the following primary antibodies diluted in blocking buffer: PAX6 (1:2000, Covance); ABCG2 448 (1:1000, SantaCruz); β-actin (1:5000, SigmaAldrich). Incubation with horseradish peroxidase 449 conjugated secondary antibody anti-mouse or rabbit 1:5000 (Applied Biosystems, UK) was done 450 for 2h at room temperature. Membranes were incubated with Clarity Western ECL Substrate 451 (BioRad Inc., CA, USA) and imaged using the ChemiDoc XRS™ Imaging System (BioRad Inc., 452 CA, USA). Band intensities were quantified using the Fiji/ImageJ software (National Institutes of 453 Health, MD, USA).

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# 455

# Immunofluorescence and Imaging

456 Day 35 iPSC-OCs were processed for immunohistochemistry analysis following the protocol from
457 Reichmann et al <sup>56</sup>. Slides were imaged using an EVOS FL system (ThermoFisher Scientific, USA)
458 and ZEISS LSM 700 or LSM 710 (ZEISS Research, Germany).

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### 460 Statistical Analysis

Statistical analysis was performed using GraphPad Prism 8.0 (GraphPad Software Inc., San Diego, CA, USA). One-Way ANOVA with multiple comparisons was used for comparison studies, with significance achieved with *p* value of  $\leq 0.05$  (\*),  $\leq 0.01$  (\*\*),  $\leq 0.001$  (\*\*\*). All results are expressed as mean  $\pm$  SD, unless specified. Experiments were performed with n = 3 biological replicates, except when specified.

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- 469
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- 474
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- 476
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- 478 generated or analysed during the current study.
- 479
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- 481 PAX6 haploinsufficiency; translational readthrough
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# 690 List of Figure Captions

691

Figure 1. Generation of aniridia iPSC-derived optic cups. (A) Direct sequencing of PAX6 exon 692 693 10 showing the heterozygous nonsense c.781C>T change in AN1 patient iPSCs. This variant was 694 not detected in control lines. (B) Schematic representation of the differentiation strategy of control 695 WT1 and WT2, and patient-derived AN1 iPSCs into 3D optic cups (35 days). Data from WT1 control 696 line is included as an example. Dosing experiments with TRIDs were performed from day 15 onwards. (C,D) RT-qPCR transcript analysis of eye field transcription factors RAX and PAX6 during 697 35 days of differentiation in control (WT1, blue) and aniridia (AN,1 red) iPSCs. Values were 698 699 normalised to day 0 and to internal housekeeping gene GAPDH. Data represent means and SD of 700 n=3 biological replicates. (E) RT-PCR followed by Sanger sequencing of PAX6 cDNA from day 35 701 WT1 and AN1 iPSC-OCs. The mutated allele c.781C>T can be seen in AN1 but not in WT1 iPSC-702 OCs. (F) PAX6 protein analysis detected by western blot in WT and AN1 iPSC-OCs from day 25 703 to 35 of differentiation (5-day intervals). PAX6/ $\beta$ -actin ratio was normalised to WT1. n=3 (\*\* p<0.01, 704 t-test analysis). (G) Immunohistochemical analysis of WT1 and AN iPSC-derived optic cups 705 showing positive staining of PAX6 (green), as well as markers for optic cup domains: VSX2 706 indicating the neural retina (red, upper panel) and MITF indicating retinal pigmented epithelium 707 (RPE) (red, lower panel). DAPI staining (blue) shows cell nuclei. Scale bar 100µm. .

709 Figure 2. Characterisation of iPSC-derived limbal epithelial stem cell (LESC) from 2 aniridia patients. (A) Schematic representation of differentiation protocol used in this study, based on <sup>34</sup>. 710 711 (B) RT-qPCR transcript analysis of LESC markers  $\Delta NP63\alpha$  (measured with 2 primer pairs), KRT14 712 and ABCG2 in 2 aniridia (AN1 and AN2) and 2 independent control (WT1 and WT2) iPSC lines, 713 showing limbal commitment by day 15 of differentiation. (C) RT-gPCR transcript analysis of PAX6 714 showed no significant difference in expression in AN vs WT lines. Values were normalised to day 715 0 and to internal housekeeper gene GAPDH. Data represent means and SD of n=3 biological 716 replicates. (D) Protein analysis detected by western blot revealed decreased PAX6 levels between 717 AN1 and AN2 versus WT (WT2 included as an example) samples on day 10 and being statistical 718 significant on day 15 of differentiation. PAX6/ $\beta$ -actin ratio was normalised to control (WT). n=3 (\* 719 p<0.05, t-test analysis).

720

721 Figure 3. Effect of Translational readthrough inducing drugs (TRIDs) in day 35 aniridia iPSC-722 derived optic cups (iPSC-OCs). (A) Bright-field images of control (WT), untreated aniridia (AN1 723 UT), amlexanox-treated aniridia (AN1 Amlex) and ataluren-treated aniridia (AN1 Atal) iPSC-OCs. 724 Scale bar 100µm. (B) Quantification of PAX6 protein in treated vs untreated AN1 iPSC-OCs (red 725 bars). PAX6/ $\beta$ -actin ratio was normalised to control (WT, blue bar). (\*, p<0.05; \*\*, p<0.01, one-way 726 ANOVA). Data represent means and SD of at least n=3 biological replicates. (C) 727 Immunofluorescence analysis on day 35 of differentiation, showing PAX6 staining (green) and 728 DAPI (blue) in control (WT), untreated aniridia (AN1 UT), amlexanox-treated aniridia (AN1 Amlex) 729 and ataluren-treated aniridia (AN1 Atal) iPSC-OCs. Scale bar 100µm. (D) RT-qPCR transcript 730 analysis of neural retina marker VSX2 in WT (blue bar) and AN1 UT, AN1 Amlex and AN1 Atal 731 samples (red bars). (\*, p<0.05; \*\*, p<0.01, one-way ANOVA). (E) Immunohistochemical analysis 732 showing VSX2 staining in WT, AN1 UT, amlexanox- and ataluren-treated iPSC-OCs. DAPI staining 733 in blue, Scale bar 100µm. Red bright spots visible in WT and AN1 Amlex are background staining. 734 (F) RT-qPCR transcript analysis of proliferation marker MKi67 in WT (blue bar) and AN1 UT, AN1 735 Amlex and AN1 Atal samples (red bars) (\*\*\*, p<0.001, one-way ANOVA). (D, F) Values were 25 normalised to WT and to internal housekeeper gene *GAPDH*. Data represent means and SD of at
least n=3 biological replicates.

738

739 Figure 4. TRIDs rescue PAX6 expression in AN1 iPSC-derived LESCs. (A) Quantification of 740 PAX6 protein in untreated (UT) AN1 iPSC-LESCs versus amlexanox-, DAP- and ataluren-treated 741 AN1 iPSC-LESCs (red bars). PAX6/ $\beta$ -actin ratio was normalised to control (WT, blue bar). Values 742 in x axis refer to compounds concentrations in  $\mu$ M. (\*, p<0.05; \*\*\*, p<0.001; \*\*\*\*, p<0.0001; one-743 way ANOVA). Data represent means and SD of at least n=3 biological replicates. (B) Quantification 744 of ABCG2 protein detected by western blot in WT (blue bar), AN1 untreated and amlexanox-, DAP-745 and ataluren-treated AN1 iPSC-LESCs (red bars). PAX6/β-actin ratio was normalised to control 746 (WT). Data represent means and SD of n=3 biological replicates (\*, p<0.05, one-way ANOVA). (C) 747 Relative expression of SOX9 transcripts in WT1 and WT2 and AN1 iPSC-LESCs. Significance was 748 calculated using multiple t-test between AN1 and both WT lines. (D) RT-qPCR transcript analysis 749 of neural crest marker SOX10 in WT (blue bar) and AN1 UT, AN1 Amlex and AN1 Atal samples 750 (red bars). (\*\*\*, p<0.001, \*\*, p<0.01; one-way ANOVA). (C, D) Values were normalised to WT and 751 to internal housekeeper gene GAPDH. Data represent means and SD of at least n=3 biological 752 replicates.

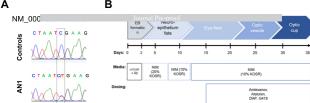
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754 Figure 5. TRIDs show trend improvement in PAX6 expression in AN2 iPSC-derived LESCs. 755 (A) Quantification of PAX6 protein in untreated (UT) AN2 iPSC-LESCs versus amlexanox-, DAP-756 and ataluren-treated AN2 iPSC-LESCs (green bars). PAX6/β-actin ratio was normalised to control 757 (WT, blue bar). Values in x axis refer to compounds concentrations in  $\mu$ M. (\*, p<0.05; \*\*, p<0.01; 758 one-way ANOVA). Data represent means and SD of at least n=3 biological replicates. (B) 759 Quantification of ABCG2 protein detected by western blot in WT (blue bar), AN2 untreated and 760 amlexanox-, DAP- and ataluren-treated AN2 iPSC-LESCs (green bars). Values in x axis refer to 761 compounds concentrations in μM. PAX6/ β-actin ratio was normalised to control (WT). Data 762 represent means and SD of n=3 biological replicates (\*, p<0.05, one-way ANOVA). (C) Relative

expression of *SOX9* transcripts in WT1 and WT2 and AN2 iPSC-LESCs. Significance was calculated using multiple t-test between AN and both WT lines. **(D)** RT-qPCR transcript analysis of neural crest marker *SOX10* in WT (blue bar) and AN UT, AN Amlex and AN Atal samples (green bars). (\*\*\*, p<0.001, \*\*, p<0.01; one-way ANOVA). **(C, D)** Values were normalised to WT and to internal housekeeper gene *GAPDH*. Data represent means and SD of at least n=3 biological replicates.

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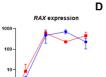




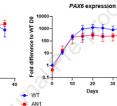
Fold difference to WT D0

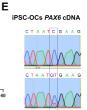
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Days



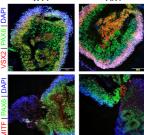


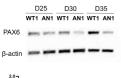
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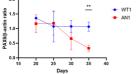
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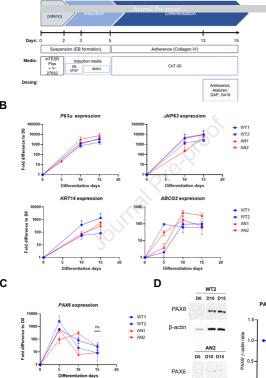
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AN1









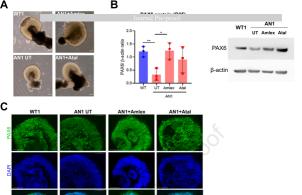
B-actin

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В

PAX6 protein (D15)



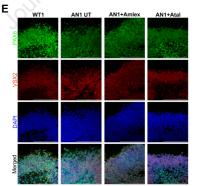






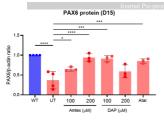
MK/67 expression

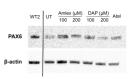


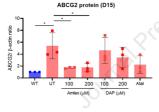


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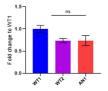




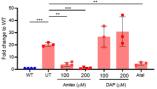


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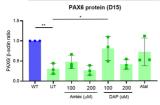
SOX9 expression

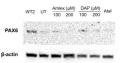


SOX10 expression (D15)



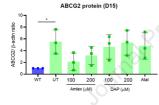
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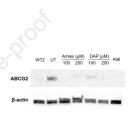








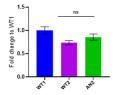




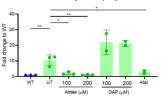
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SOX10 expression (D15)



Moosajee and colleagues present a proof of concept study supporting the use of readthrough drug amlexanox as a new therapeutic approach for aniridia patients carrying nonsense *PAX6* mutations. The authors show this compound increases full-length PAX6 levels in novel patient induced pluripotent stem cell-derived retinal and corneal models.

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