

A Sequential Analysis of Problem Solving  
Using the Thought Listing Technique

By

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## CHAPTER 1

### Introduction and Review of the Literature

Problems are an inevitable aspect of existence. The scope and immensity of the problems may vary greatly, but people will encounter problems throughout their lives. The manner in which they approach or accept problems as a part of life, the processes they undergo in resolving problems, and the way in which they accept the outcome of their actions would seem to be a fertile area for research endeavors. Psychology as a discipline of studying behavior, especially human behavior, would seem to have special interest in such an area of study. Indeed, some psychologists have devoted their energies not only to better understanding problem solving but also to training people to utilize enhanced problem solving strategies.

The present study will undertake further exploration of human problem solving. Specifically, ability to solve problems, confidence in problem solving ability, and self-statements of individuals who assess themselves as good, average, or poor interpersonal problem solvers will be analyzed to determine if there are differences.

The remainder of this chapter will focus on relevant literature. The first section contains a review of the concept of practical intelligence. The second section

will review the general area of problem solving, present definitions of problem solving, and examine the general contributions made by psychologists to this area. The third section will review the closely related area of decision making. Section four is concerned with the unique interest of counseling psychologists in problem solving. The more specific area of interpersonal or social problem solving is the subject of the fifth section. The sixth section focuses on the self-appraisal of interpersonal problem solving skills with attention directed specifically to the Problem Solving Inventory. Methodological issues related to process research are addressed in the seventh section, while the final segments of the chapter outline the relevance of the present study and the research hypotheses.

#### Practical Intelligence

In recent years, there has been a conceptual shift in views on intelligence. Part of this shift has been spearheaded by the work of Sternberg who defined intelligence as "purposive selection and shaping of and adaptation to real-world environments relevant to one's life" (Sternberg, 1984, p. 312).

Sternberg (1985) has recently proposed his own triarchic theory of intelligence. The subcomponents of the theory are the componential subtheory, the contextual subtheory, and the experiential subtheory. The componential subtheory is concerned with the mechanisms and structures

of intelligent behavior. There are several components: those that control information processing as well as monitor and provide feedback on it, those that carry out the results of the information processing, and those that encode new information and compare and combine this data with old information.

The contextual subtheory involves three types of functioning: 1) adaptation to the environment, 2) selection of a more amenable, optimal environment, 3) modification of the environment to better fit the person. In short, it deals with what is intelligent for an individual in a given context.

The final subtheory, experiential, is a more relativistic view on intelligence. Basically, the "amount" of intelligence devoted to a particular task is related to the individual's experience of that task. This is determined by the novelty of the task and the automatization of the task.

More recently, Sternberg (1986) has expanded his views on intelligence and forwarded the notion of practical intelligence. In developing this concept, he drew on the work of Neisser (1976) who differentiated between academic and social intelligence. In referring to the latter, he commented that, "Intelligent performance in natural situations might be defined as responding appropriately in terms of one's long-range and short-range goals, given the

actual facts of the situation as one discovers them" (p. 137).

This sort of intelligence, Sternberg and Wagner (1986) asserted, requires different types of assessment tasks from the more traditional academic intelligence tasks which they described as

. . .1) being formulated by other people, 2) often being of little or no intrinsic interest, 3) having all needed information available from the beginning, 4) being disembedded from an individual's ordinary experience, 5) being well-defined, 6) have but one correct answer, and 7) often have but one method of correct solution (p. 52).

In contrast, Frederiksen (1986) pointed out that tasks involving practical intelligence

. . . are often ill-structured: they do not provide all the information needed to solve the problem, there are no definite criteria for determining when the problem is solved, they are often complex. . . . [Furthermore], the problems rarely appear in multiple choice form. Responses are not necessarily motivated by a need to get the right answer, and performance can be described in terms of many dimensions other than the number of correct answers (p. 84).

Going beyond the broad definition of practical intelligence, researchers have investigated the characteristics of individuals who exhibit good practical intelligence. Prototype studies ask subjects to list characteristics of people who exemplify the quality being studied. One study (Sternberg, Conway, Ketron, & Bernstein, 1981) asked laypersons and experts in the field of intelligence to list characteristics of everyday intelligence. Factor analysis of laypersons' responses resulted in four factors: practical problem-solving ability, social competence, character, and interest in learning and culture. Factor analysis of experts' responses yielded three factors: practical problem-solving ability, practical adaptive behavior, and social competence. Another prototype study (Ford, 1986) found that subjects considered goal setting, decision making, planning, and problem solving important aspects of socially competent people.

Other researchers have investigated more specific characteristics that may be related to practical intelligence. Scandura (1977) suggested three domains that differentiate effective problem solvers from ineffective problem solvers. The first of these domains is content which is perhaps better understood as the person's capabilities or competencies needed to solve the problem. The second domain is the individual's cognitive processes

involved in attending, identifying subgoals, recalling relevant information from memory, developing steps to achieve the subgoals, carrying out these behaviors, and then evaluating the outcome. In other words, how well does the person apply accumulated knowledge or gain new knowledge given the problem situation, and what rules does the person use in applying this knowledge. Heppner and Krauskopf (1987) have drawn parallels between this second domain and the area of information processing, i.e., encoding information, setting goals, pattern matching, developing plans, and acting on plans. Scandura's third domain is individual differences which pertains to the unique processes and information that an individual brings to a problem situation. Individual differences related to problem solving may include biological structures, introversion-extraversion, cognitive style (e.g., field independence/dependence, conceptual complexity), values, self-appraisal, and self-regulation (Heppner & Krauskopf, 1987).

Other researchers (Goldner, 1957; Scribner, 1986) have discussed similar aspects of intelligence. These included problem formulation ability, flexibility in developing solutions, awareness of the environment, use of effort saving practices, and situation-specific knowledge.

Taking a closer look at capabilities involved in practical intelligence, Klemp and McClelland (1986) studied

competencies of identified outstanding senior managers in different types of organizations. Based on in-depth interviews, they identified common themes that differentiated outstanding from average managers. The competencies relevant to the present study were planning/causal thinking, diagnostic information seeking, conceptualization/synthetic thinking, and self-confidence (seeing oneself as a leader, capable, and stimulated by problems). These authors acknowledged that other competencies such as interpersonal competence may exert an influence but did not elaborate on these areas.

#### Problem Solving

Based on the previously cited research, it appears that problem solving is a critical component of everyday, practical intelligence. What, then, is problem solving? First, a definition of the term "problem" is needed. Rubinstein (1986) identified three conditions needed for a problem to exist.

A perceived present or initial state

A perceived desired goal or end state

Perceived obstacles that prevent bridging the gap between the present and goal state (p. 7).

Difficulty level of the problem is determined by the insurmountability of the perceived obstacles. This may be affected by four states: a well-defined initial state and well-defined goal state, a well-defined initial state and



poorly-defined goal state, a poorly-defined initial state and well-defined goal state, or a poorly-defined initial state and poorly-defined goal state.

Problem solving, then, is a process involving cognitive activity (which is inferred from behaviors) directed towards resolution of a perceived problem (Mayer, 1983). The nature of this process has been the subject of a great deal of research. One common method is to identify stages in the problem solving process (Hayes, 1981; Horan, 1987; Mayer, 1983) which typically are viewed as occurring in the following sequence: realizing that a problem exists, understanding the nature of the problem, developing a plan for solution of the problem, carrying out the plan, evaluating the effectiveness of the solution for the given problem, and integrating the understanding of the experience for possible future application.

As in the case of the larger area of practical intelligence, there appear to be content/competencies, psychological or cognitive processes, and individual differences that contribute to more effective problem solving. First, in terms of competency, does the individual have previously obtained specific knowledge that is pertinent to the present problem situation (Bransford, Sherwood, Vye, & Rieser, 1986). The problem solver may have encountered problems in the past that are similar in some aspects to the current problem.

Much work has focused on the cognitive processes involved in problem solving. Hayes (1981) placed importance on the function of memory in solving problems and focused on the roles of short-term and long-term memory. Memory chunks increase the capacity of short-term memory because information is treated as a unit rather than as discrete and unrelated bits of information. Recoding the information may be facilitative because more data can be added to each chunk. Retention in short-term memory can be hampered by either displacement by other information or decay over time. Rehearsal increases retention.

Long-term memory involves the three phases of encoding, storage, and retrieval. Encoding may be more powerful if the individual attends to several aspects of the information, e.g., semantic, visual, auditory. Additional encoding aids are answering questions about the information as well as recognizing categories, hierarchies, and principles in the information. Storage is disturbed by interference either from previously learned information or from information that is learned later. Hayes (1981) propose that overlearning and reviewing are the best methods to ameliorate the effects of interference. The effectiveness of the encoding and storage phases affect the ability to retrieve the data from long-term memory.

The complexity of the effects of memory on applied problem solving was the subject of work conducted by

Glucksberg and McCloskey (1981). These researchers found that it is relatively easy for individuals to decide that they do not know something when they have not stored any relevant information. However, when potentially relevant data has been stored, retrieving it and then deciding if it is indeed relevant slows decision response time dramatically.

Houtz and his colleagues (Houtz, Ringenbach, & Feldhusen, 1973) found that problem solving skills were distinct from skills related to many academic achievement tests. They discovered that problem solving was related to logical thinking and conceptual ability. Conceptual ability may be related to the importance some authors give to representation of the problem (Hayes, 1981; Pitt, 1983; Rubinstein, 1986). Rubinstein (1986) cogently described the importance of problem representation.

The key point is that often we move too quickly into a problem-solving mode when we should spend more time on problem representation. Think of the word representation as if it were written in the form re-presentation. A problem well understood and well stated is often half solved. Understanding and clarity in statement can be aided by presenting a problem from one point of view and then re-presenting it from another. This enhances the

potential for alternative ways of perceiving a situation and thinking about it (p. 6).

Examples of tools that aid representation are matrices, diagrams, and graphs.

The above-mentioned relationship between problem solving and logical thinking (Houtz, et al., 1973) may be reflected in the ability to progress through the problem solving stages previously identified. Hayes (1981) identified several procedures the problem solver can use to reach a solution. The first is a trial-and-error search which may be used when there is no one path that appears to be more promising than others. The second technique is a proximity method which involves taking a step at a time towards the goal. This method is divided into two approaches, the hill climbing method which deals with only a single dimension of the problem and means-ends analysis which attends to several problem dimensions. The third approach, the fractionated method, breaks the larger problem into smaller parts. Knowledge-based methods, the final approach to reaching a solution, can include purposefully acquiring needed information, pattern matching, or using algorithms.

Individual differences in problem solving may be related to many dimensions (Goldner, 1957; Heppner & Krauskopf, 1987). These may include, but are not limited to, biological structures, introversion-extraversion,

cognitive style, values, self-appraisal and self-efficacy, locus of control, and overall rigidity or flexibility in the approach to solving problems.

In addition to the research already cited in this section regarding competencies, cognitive processes, and individual differences, there is one remaining issue that is important in examining problem solving ability. First, effective problem solvers appear to have a metacognitive awareness of their problem solving skills (Slife, Weiss, & Bell, 1985). These researchers examined differences between regular elementary school students and students identified as learning disabled in mathematics who were asked to work on math problems; IQ scores were similar for both groups. Students were given the opportunity to preview the math problems they were later asked to solve and asked to predict the number of problems they would be able to solve. Later, after completing the math problems, they were asked to review their work and mark incorrect answers. Learning disabled students were significantly worse both at assessing their problem solving skills and at monitoring their performance. Thus, as observers of their own behaviors and skills, effective problem solvers may have a more accurate picture of themselves than ineffective problem solvers.

#### Decision Making

As noted in the previous section, problem solving is a process whereby an individual tries to overcome obstacles

between an initial state and some goal state. Part of this process will probably present choice points which involves the area of decision making. The terms "problem solving" and "decision making" are sometimes used synonymously (Horan, 1987). However, there are some differences between the two. Problem solving is a more vague, generic process although there have been attempts to specify problem types and difficulty (Heppner & Krauskopf, 1987). Therefore, there are no strict, formal guidelines to use in solving a problem. Decision making theory, on the other hand, derives from mathematical decision models which provide a formal structure once parameters of the decision are known (Rubinstein, 1986). There are five components in a decision making model (Rubinstein, 1986).

1. Alternative actions, which decision makers control because they can select whichever action they wish.
2. States of nature, which constitute the environment of the decision model [and which] the decision maker does not control.
3. Outcomes, which are the results of a combination of an action and a state of nature.
4. Utilities, which are measures of satisfaction or value that the decision maker associates with each outcome.

5. An objective, which is a statement of what the decision maker wants (p. 235).

This model can then be used in a decision making process which includes the following stages (Baird, 1978): definition of the problem, listing of options, definition of criteria (e.g., maximizing gains, minimizing losses), analysis of options (involving quantification, computation, estimation, and comparison), and, finally, choice of an option.

There are two kinds of decision theories. One is prescriptive which is concerned with how decisions should be made in order to optimize outcomes with an emphasis on models, rationality, and quantification. Descriptive decision theory describes how decisions are made in the real world.

Additionally, decisions can be made under four different conditions (Baird, 1978; Busemeyer, 1985; Hayes, 1981; Rubinstein, 1986).

Decision under certainty - each action has one known outcome.

Decision under risk - actions have more than one outcome with known probabilities of occurring.

Decision under uncertainty - actions may have more than one outcome with unknown probabilities of occurrence.

Decision under conflict - actions and outcomes are

complicated by an opponent with both players trying to maximize their position.

Each of these conditions requires different procedures in making a decision. A brief overview of these procedures follows.

Procedures used when decisions are made under conditions of certainty can be subdivided into optimizing methods (finding the best option) and satisficing methods (looking for the first satisfactory option) (Hayes, 1981). Optimizing strategies include dominance, lexicographic, and additive weighting. In the dominance method the chosen option is at least as good as other options but is better than other options in one area. In the lexicographic method, properties of options are ordered in terms of importance. If one option is better at meeting the first property, it is chosen. If there is a tie between options on the first property, all other options are discarded and the tying options are compared on the second most important property, and so on. In the additive weighting method, numerical weights are given both to the importance of the property overall and the value of each option on that property. After multiplying and summing the weights on each option, the one with the highest numerical value is chosen. In the nonoptimizing satisficing strategy, the decision maker selects the first option that satisfies minimum values.



Decisions under risk involve computation of probability of a chance event occurring. This discussion will not elaborate on the computation of probability.

Four strategies can be used in making decisions under uncertainty. Baird (1978) suggested that an individual's psychological make-up determines which strategy is employed. The maximax strategy is used by the optimist who determines the best possible outcome of each option and then selects the best among all the options. The pessimist selects the maximin strategy. In this approach the worst possible outcomes are determined, and then the option with the least worst outcome is chosen. The equally likely strategy assumes all events are equally likely to occur. The regret strategy is used when the decision maker focuses on the regret that may occur after a particular option is chosen; thus, an attempt is made to minimize future regret.

Decisions made under conditions of conflict fall in the province of game theory which is beyond the scope of this brief discussion. This category of decision making under conflict (between two adversaries) should not be confused with conflict in decision making.

This latter notion has been described in the work of Janis and Mann (1977) who defined decisional conflicts as being "simultaneously opposing tendencies within the individual to accept and reject a given course of action. The most prominent symptoms of such conflicts are

hesitation, vacillation, feelings of uncertainty, and signs of acute emotional stress whenever the decision comes within the focus of attention" (p. 46). These authors developed a model outlining response patterns associated with making decisions under conflict: 1) unconflicted inertia - a complacent continuation of action despite new information, 2) unconflicted change - an uncritical adoption of a new course of action, 3) defensive avoidance - a temporary escape from conflict through procrastination, shifting responsibility to another, or rationalizations that bolster the least objectionable alternative, 4) hypervigilance - a frantic and impulsive grasping for a solution, 5) vigilance - a careful search for and weighing of alternatives prior to making a choice (Janis & Mann, 1982). Einhorn and Hogarth (1981) suggested that conflict may be greater at the action-taking phase of decision making than at the cognitive phase because of the inherent greater commitment implied with acting on something.

Classical theories of decision making view the decision maker as being able to see the decision field from an essentially superhuman perspective.

This man is assumed to have knowledge of the relevant aspects of his environment which, if not absolutely complete, is at least impressively clear and voluminous. He is assumed also to have a well-organized and stable system of preferences,

and a skill in computation that enables him to calculate, for the alternative courses of action that are available to him, which of these will permit him to reach the highest attainable point on his preference scale (Simon, 1955, p. 99).

This decision maker was seen as an optimizer. Starting in the 1950s, Simon (1955, 1956, 1978, 1979) challenged the classical theory and replaced it with the concepts of "bounded rationality" and "satisficing". Man, he proposed, was not only a more limited processor of the environment but also had limited computational skills. Further, man is more of a satisficer who ends the search for options once one is found that meets requirements than an optimizer who continues the search until the best possible option is found.

Since this seminal work by Simon, other researchers have joined in the study of how people make decisions. Among the most notable are Tversky and Kahneman (Kahneman & Tversky, 1973; Tversky, 1972; Tversky & Kahneman, 1974, 1981) whose work concentrated on the inconsistencies, biases, and heuristics, or rules of thumb, that people use in making choices. Through the use of heuristics, the decision maker can make more economical and efficient decisions, but the decisions can also have systematic and predictable errors associated with them. Other researchers (Keren & Wagenaar, 1985; Lanning, 1986; Wallsten & Barton,

1982) have started making empirical inquiries into the more theoretical work of Simon and Tversky and Kahneman.

Hopefully, through the development of a systematic body of research, a clearer view of the complex process of decision making will begin to emerge.

#### Counseling Psychology and Problem Solving

Historically, counseling psychology has a particular interest in problem solving. This interest has been expressed in the area of career counseling which has been a strong component since counseling psychology became a discipline (Osipow, 1982). Career counseling has many aspects associated with it such as increasing awareness of self through exploration or assessment of values, interests, and abilities, gathering information about occupations, evaluating the impact of familial, cultural, and societal expectations and norms, and choosing a compatible lifestyle.

Crites (1976) proposed that the three major outcomes of career counseling are: 1) to help the client decide on a career, 2) to increase the client's decision making skills, and 3) to facilitate the client's general life satisfaction. The focus on decision making skills is common to all theoretical approaches to career counseling (Crites, 1974; Hazler & Roberts, 1984). The very process of making decisions, rather than the content of the decision, has become the focus of many career counselors who see a corollary to making better decisions in life which, in turn,

is related to a more effective and satisfactory life.

Perhaps the career theorist who placed greatest emphasis on decision making and choice was David Tiedeman (Tiedeman & O'Hara, 1963). His model of career choice was based entirely on decision making. He combined the common stages of decision making (recognizing a problem exists, exploring alternatives, choosing an alternative) with the cognitive concepts of differentiation and integration. He used the latter terms to refer to the processes of recognizing the individual aspects of decision alternatives (differentiation) and combining one's own perceptions and goals with those of the social environment (integration). Thus, the individual is able to distinguish between aspects of self and environment and is able to integrate his or her own goals with group goals in an ever continuing processing cycle which leads to optimal results.

More recent researchers have continued investigating vocational decision making. Harren and his associates (Harren, 1966, 1979; Harren, Kass, Tinsley, & Moreland, 1978) have conducted research on Tiedeman's theoretical work. They have found that his outline of a decision making process with accompanying stages does seem to match the way college students approach actual career decision making. Further, they suggested that counselors should identify which stage clients are in in order to provide the most facilitative interventions. Thus, assessment of the

client's status using a decision making framework becomes the crux of career counseling (Harren, et al., 1978; Mendonca & Siess, 1976; Phillips & Strohmer, 1983).

Beyond the specific area of career counseling, some counseling psychologists have suggested that problem solving and decision making are issues central to the discipline (Borgen, 1984; Janis & Mann, 1982). Indeed, Gelatt (1962) proposed that decision making should be used as the theoretical framework for counseling. This notion has been repeated by more recent counseling psychologists. In an article aimed at defining the profession, Fretz (1982) stated, "Counseling psychology is a speciality whose practitioners help people improve psychological well-being, resolve crises, and increase ability to solve problems and make decisions" (p. 15). In predicting future trends in the field, Ivey (1980) said, "Decision making will become increasingly recognized as a central core of the . . . counseling approach" (p. 13). Echoing the notions reviewed earlier on practical intelligence, there seems to be an accepted view that well-adjusted individuals are better able to face and resolve problems in their lives. Therefore, a goal of counselors working with less-adjusted people should be to positively affect their problem solving skills. Although Heppner (1978) concurred with these researchers regarding the importance of problem solving, he concluded that little is known about how people solve problems.

### Interpersonal Problem Solving

In the past two decades, an area of problem solving has become more prominent in psychology; this is the area of interpersonal or social problem solving. Two groups of investigators (Platt, Spivack and their associates and D'Zurilla, Nezu and their associates) provided the impetus for research on this topic in the early 1970s.

Platt and Spivack (1972) hypothesized that everyday problem solving ability should be positively correlated to mental health functioning. Therefore, they believed, psychiatric patients and other identified problem populations should exhibit less effective problem solving ability than normal controls. This ability was composed of several skills: 1) recognition of problems facing people in everyday life, 2) generation of alternatives, 3) development of means-ends thinking which involves a step-by-step approach to solutions, 4) awareness of consequences of behaviors, and 5) awareness of motivational or causal factors involved in interpersonal problems (Platt, Spivack, Altman, Altman, & Peizer, 1974).

This group of researchers focused on identifying differences in problem solving ability between normal and impaired groups. The second body of research (reviewed later in this section) developed by D'Zurilla and Nezu concentrated on confirming the existence of stages in the problem solving process through developing training models.

In order to test their hypotheses, Platt and Spivack developed a measure of problem solving thinking, the Means-End Problem Solving Test (MEPS; Platt & Spivack, 1972). This test consists of ten interpersonal problem scenarios that provide the beginning and end state of the situation and has the test taker provide the middle (the means to arrive at the given end state). The stories are scored on the number of relevant/irrelevant means as well as on the elaboration of subgoals, obstacles, and temporal factors (Butler & Meichenbaum, 1981). Forms of the test have been developed for young children, adolescents, and adults.

Using the MEPS or variations of its story content, researchers have studied the problem solving ability of various groups. Platt and Spivack (1972) found that psychiatric hospital inpatients were less effective problem solvers (i.e., provided fewer relevant means) than normal controls (psychiatric hospital staff). Age and educational level were similar for both groups. Brodbeck and Michelson (1987) found that normal female controls and female agoraphobics showed no difference in their ability to develop means-end strategies. However, using other problem solving measures, they found that agoraphobics used fewer effective and more avoidant problem solving responses than did the controls.

Several studies have focused on problem solving in



children. Richard and Dodge (1982) examined differences in elementary school boys who were classified as being popular, isolated, or aggressive. They found that the isolated and aggressive boys generated significantly fewer solutions than the popular boys. Additionally, when asked what their first solution would be, there were no differences between group in the effectiveness of this solution. However, the popular boys chose significantly more effective second solutions than did the other two groups. Therefore, if an initial effective solution was somehow thwarted, the problem boys had less effective alternative solutions to act on.

In another study, Platt and his associates (Platt, et al., 1974) investigated problem solving ability of adolescent psychiatric inpatients and normal controls (high school students). Results indicated that the controls differed significantly from the inpatients on the ability to generate alternative solutions to hypothetical problem situations, the ability to develop means (sequential steps) towards a solution, and the ability to see a problem situation from others' perspectives (role taking). They did not find group differences in recognition of common problems that beset people, causal thinking (a focus on the possible causes of problems), emotional or intrapersonal factors (such as depression or anxiety) and awareness of consequences of actions. The authors suggested that failure to find group differences on these dimensions may be due to

developmental issues (i.e., there may be no discrimination between groups of adolescents on causal and consequential thinking) or that other factors (defense mechanisms and personality characteristics) interact and affect the ability to measure problem solving skills.

Although there is little evidence to justify this latter hypothesis, Pitt (1983) in investigating solution of chemistry problems also proposed that there are developmental differences between tenth graders and college juniors on problem solving ability. In her study, tenth graders were less effective in defining the problem, developing hypotheses, and combining information.

Depression is one area of psychological impairment that several problem solving researchers have investigated. Gotlib and Asarnov (1979) compared four groups (nondepressed and depressed university students and nondepressed and depressed university counseling center clients) on performance on anagrams and the MEPS. They found a negative correlation between depression (as measured by the Beck Depression Inventory) and problem solving ability (MEPS scores). There was no relationship between anagram and MEPS performance or anagram and depression level. These authors concluded that depressed individuals may suffer a specific problem solving deficit (problems of an interpersonal nature). Another study (Nezu & Ronan, 1988) provided longitudinal evidence that effective problem solving

moderates the impact of stress-related depression. Based on research findings, Nezu (1987) recently proposed a new formulation of depression with a problem solving foundation. He stated that

. . . experiencing negative life events can lead to the occurrence of a wide range of problems, both of which are postulated to function as sources of stress. If these stressors are effectively coped with (i.e., if the problems are resolved), then mild (temporary) depressive symptoms will occur, or no depressive symptoms will appear at all. However, if individuals are ineffective in their problem-solving attempts, then the probability of moderate-to-severe depression is increased (p. 130).

Furthermore he pointed out that ineffective problem solving can lead to future negative life events and problem situations. Deficits in problem solving can occur at any place in the problem solving process.

This problem solving process is the second major research area that was referred to earlier in this section and has been the primary focus of D'Zurilla and Nezu. Beginning with the seminal work (D'Zurilla & Goldfried, 1971), they proposed five stages in the problem solving process. These stages are 1) problem orientation or "set", 2) problem definition and formulation, 3) generation of

alternatives, 4) decision making, and 5) solution implementation and verification. Their formulation included interactions between the individual's cognitive, emotional, and behavioral components as well as interactions between the individual and his or her environment (D'Zurilla & Nezu, 1987). In fact, the importance they placed on the environment was evident in their criteria of evaluating solutions. The chosen alternative should be one that has "the best chance of maximizing positive consequences and minimizing negative ones" (Nezu & D'Zurilla, 1979, p. 270). This is taken directly from the notion of optimizing in decision theory discussed earlier.

In evaluating consequences, four categories of outcome are stressed: short-term, long-term, personal (i.e., effects on oneself), and social (i.e., effects on others and the community). In estimating likelihood, there are two considerations. One is the likelihood of a particular course of action producing a particular effect on the individual and/or the social environment in question. The second is the likelihood that an individual confronted with the problem will be capable of implementing the particular course of action effectively. (Nezu & D'Zurilla, 1979, p. 270).

These two authors have conducted a series of experiments (D'Zurilla & Nezu, 1980; Nezu & D'Zurilla, 1979;

1980; 1981) designed to clarify their proposed problem solving stages and to aid in developing training programs that would enhance problem solving ability. Overall, they found that specific training in problem definition and formulation, generation of alternatives, and decision making components resulted in more effective solutions to test problem situations. Based not only on their findings but also on a review of other research, they (D'Zurilla & Nezu, 1982) presented problem solving training as a possible treatment approach.

Other work has yielded confirming results. Attention to specific objectives or goals has increased the number of alternatives generated but only when the objectives were attended to on a one-by-one basis rather than as a larger group of objectives (Pitz, Sachs, & Heerboth, 1980). In another study chronic psychiatric patients received training in five components of the problem solving process (similar to D'Zurilla and Nezu's five stages). After training was completed, the subjects' performance on solving sample problem situations was comparable to that of a normal control group. Follow-up conducted one month and four months after completion of training indicated that subjects were still using the components and that effectiveness was still comparable to controls when tested on written, hypothetical situations. Dixon and his associates (Dixon, Heppner, Petersen & Ronning, 1979) found that training

affected the quality of solutions to problems.

Although work in the area of interpersonal problem solving has been burgeoning, there are valid criticisms of the research that has been conducted. Tisdelle and St. Lawrence (1986) pointed out that more work needs to be done on D'Zurilla and Nezu's problem solving stages because little is known of the relative contribution of each stage to effective problem solving. Furthermore, real life problems, they proposed, rarely follow such a neatly ordered progression for solution because of their often vague, ambiguous nature. Finally, generalization of training effects to real problems needs much more investigation.

In their critique of the interpersonal problem solving literature, Butler and Meichenbaum (1981) paid particular attention to assessment of problem solving skills. They felt the MEPS was somewhat limited in contributing knowledge because the story outcomes are always positive and are always provided. This restricts information that could be gained regarding the subjects' outcome expectations and the positive or negative value of that expectation. They also emphasized the need for ecological validity of test situations, i.e., situations that are relevant and meaningful to the subjects being tested. The drawback is, of course, that standardization of tests across research projects is diminished.

Recommendations for future research included more

attention to self-appraisal which "may carry a good deal of predictive weight for the successful performance of problem-solving behaviors" (Butler & Meichenbaum, 1981, p. 219). These authors added, "We would further expect self-appraisal factors to interact with aspects of situational appraisal (nature and difficulty of problem, expectancies for positive or negative outcome, and attributions of success or failure)" (p. 200). Tisdelle and St. Lawrence (1986) stated that

. . . current methods of data analysis may be overly constricted. Descriptions of thought content and frequency counts of cognitive categories may blind researchers to the process or function of thought. . . . Sequential analysis of thought patterns may reveal rich information with important theoretical and treatment implications. . . . Therefore, the pattern of an individual's self-statements may be an important consideration (p. 352).

These issues will be addressed in the present study. First, a self-apraisal instrument will be utilized. This instrument, the Problem Solving Inventory, will be reviewed in the next section. Second, sequential analysis of subjects' thoughts will be conducted. Methods for obtaining and analyzing such data will be reviewed in a later section.

### The Problem Solving Inventory

Feeling that there was a paucity of instruments that evaluate problem solving, Heppner and Petersen (1982) developed the Problem Solving Inventory.

The basic purpose of the Problem Solving Inventory (PSI) is to assess people's perceptions of their problem solving behaviors and attitudes. The term "problems" refers to personal problems that occasionally confront people, such as feeling depressed, getting along with friends, choosing a vocation, or deciding whether to get a divorce. The instrument reflects the evaluative awareness of one's problem-solving abilities or style. Thus, the PSI provides a global appraisal of oneself as a problem solver (Heppner, 1986, p. 3).

In developing the test, items were used that had face validity for the five problem solving stages (general orientation, problem definition, generation of alternatives, decision making, and evaluation) (Heppner, 1986). After administering the test, the developers performed a principal components factor analysis that yielded three main factors. The problem solving confidence factor reflects an overall self-assurance about problem solving activities; the approach-avoidance factor refers to a tendency to approach or avoid problem solving activities; and the personal control factor taps the perceived control over emotions and



behaviors while engaged in solving problems.

In his analysis of the test data, Heppner (1986) found that items representing the five problem solving stages loaded randomly across the three factors. He concluded that dimensions exist that underly people's evaluation of their problem solving that are not restricted to any specific stage in the process.

In their validation of the PSI, the developers (Heppner & Petersen, 1982) found that the PSI was not correlated with measures of intelligence, academic achievement, or social desirability. Additionally, they found no correlation with the PSI and Platt and Spivack's MEPS. They proposed that these two problem solving measures tap different aspects of problem solving: self-evaluation of one's actual problem solving as opposed to developing means for hypothetical problem situations.

Several studies have investigated various aspects of problem solving using the PSI. One study (Heppner, Reeder, & Larson, 1983) examined the relationship between PSI scores and several areas: self-concept, personal goals and standards, negative self-statements, enjoyment of abstract thinking, and coping strategies used in stressful situations. Negative self-statements were measured by a questionnaire which presented 51 items containing maladaptive thoughts and feelings with the respondent rating how frequently he or she had experienced the thoughts or

feelings. Self-appraised effective problem solvers, as compared to self-appraised ineffective problem solvers, reported more self-esteem, a more unified view of themselves, fewer dysfunctional thoughts, less agreement with irrational beliefs, and more problem-focused and fewer self-blame strategies related to stressful situations. Furthermore, perceived effective problem solvers reported that they enjoyed and engaged more in the process of thinking.

Another study (Heppner, Hibel, Neal, Weinstein, & Rabinowitz, 1982) also examined differences between self-perceived effective and ineffective problem solvers through the use of a structured interview. They discovered that those who viewed themselves as effective problem solvers differed in cognitions, behaviors, and affect. Specifically, the self-perceived successful problem solvers

. . . rate themselves as more motivated to solve their problems, expected to be more successful, perceived both their ability and amount of effort as being more important in solving problems (and luck less important), rated themselves less impulsive and avoidant in solving problems, more systematic, persistent, and serious in their problem-solving efforts, delay gratification more, and perceived problems as a normal part of life (p. 583).

A further difference between the two groups was that those who rated themselves as better problem solvers also reported fewer personal problems on the Mooney Problem Checklist.

In other studies that examined differences between self-appraised effective and ineffective problem solvers, additional distinctions have been found. Effective problem solvers attribute the source of personal problems as being their responsibility and resulting from their failure to solve the problem or their lack of effort in trying to solve the problem (Baumgardner, Heppner, & Arkin, 1986). The authors suggested that attributions of this type may strongly affect the previous cited finding that effective problem solvers reported themselves as being more motivated and persistent in trying to solve problems. This would seem to make sense if these individuals see the problem as originating because of their efforts. Nezu (1985) found that self-perceived effective problem solvers "reported less depression, less trait and state anxiety, a more internal control orientation, less frequent problems, and less distress associated with these problems" (p. 135).

These results fit with the findings of other researchers. Phillips and her colleaguse (Phillips, Paziienza, & Ferrin, 1984) found that rational (systematic and logical) decision makers more readily approached problems than avoided them when compared to intuitive (emotional, impulsive) and dependent (denial of

responsibility and more external locus of orientation) decision makers. The rational and intuitive individuals also appeared to experience more confidence in being able to solve problems than the dependent people. In their study of decision making, Schweiger, Anderson, and Locke (1985) found that subjects who performed more poorly on a decision making task attended more to negative emotions, persisted in using previously successful strategies even when they no longer worked, and used more illogical thought processes.

Self-perceived problem solvers may experience greater self-efficacy; that is, they may initially believe that they have the ability to perform behaviors that will lead to successful outcomes. Bandura (1977) presented two concepts that affect a person's behavior which, in turn, influences outcomes. "An outcome expectancy is defined as a person's estimate that a given behavior will lead to certain outcomes. An efficacy expectation is the conviction that one can successfully execute the behavior required to produce the outcomes" (p. 193). This is relevant to the research cited in this section because "expectations of personal mastery affect both initiation and persistence of coping behavior" (Bandura, 1977, p. 193).

Because they have a more internal orientation, self-appraised problem solvers may feel that they have more control in their lives, a quality which Rubinstein (1986) believed was related to successful problem solving.

Furthermore, as they use a more systematic, cognitive approach to their problems, these individuals may search for more information regarding the problem and consider both pro's and con's of solutions to problems, processes that have been found to be related to accurate confidence ratings of performance (Koriat, Lichtenstein, & Fischhoff, 1988; Peterson & Pitz, 1988). Confidence in performance is related to Bandura's (1977) notion of outcome expectancy.

Interpreting the results from these research endeavors, when self-appraised effective problem solvers are compared with self-appraised ineffective problem solvers, the former group appears to experience fewer personal problems in their lives, view successful resolution of their problems as being directly related to their efforts, believe that problems are a normal part of life, enjoy engaging in cognitive activities, and use more adaptive strategies (i.e., more systematic and less impulsive).

#### Methodological Issues

The call for sequential analysis of subjects' thoughts during problem solving (Tisdelle & St. Lawrence, 1986) parallels the recent increase of research in psychology involving sequential analyses. Several authors have written articles in an attempt to educate psychologists in a general way about sequential analysis (Highlen, 1986; Lichtenberg & Heck, 1986; Wampold, 1986). Others have described types of behavior sequences that are appropriate for such analysis

(Margolin & Wampold; 1981; Martin, 1984). While yet other authors (Castellan, 1979; Gottman & Bakeman, 1979; Notarius, 1981) have written about the actual statistical procedures that can be used in analyzing sequential data.

Given, then, that sequential analysis is becoming increasingly utilized in psychological research, there are several methods that can be employed in gathering the data. Because of the nature of the current research, the focus in this section will be on gathering data on intrapersonal rather than interpersonal (e.g., dyadic) behavior sequences.

Martin (1984) discussed three procedures for assessing cognitions: process tracing, stimulated recall, and cognitive training. In process thinking, the subject thinks aloud while performing some experimental task. This procedure will be referred to as the "think aloud" approach. Scandura (1977) suggested that this procedure may be useful in studying problem solving specifically. In stimulated recall, audio- or videotapes are made of the subject while he or she is involved in a task. Later, the subject reviews the tape and responds to experimenter instructions (e.g., recalling thoughts that occurred to him or her during a specific point on the tape). The third data gathering method, cognitive training, involves training subjects to become aware of their reactions and signal when they are occurring without disrupting the ongoing activity. For example, the subject might be taught the differences between

several types of reactions, increase personal awareness of these reactions in training sessions, and then signal through a code (e.g., one finger tap for one type of reaction, two taps for another, etc.) when these reactions occurred. The remainder of this section will provide a more detailed review of the first two data gathering methods. Because of the complicated nature of the third method, it will not be discussed further.

Genest and Turk (1981) provided an overview of the thinking aloud approach and described several techniques that could be used.

Continuous monologues - subjects verbalize all of their thoughts while simultaneously performing a task.

Random sampling of thoughts - subjects record thoughts that occur within a given time period. The time period is often signaled either directly by the experimenter or by an electronic beeper.

Event recording - subjects record whenever a previously defined reaction occurs.

Rating scales - subjects rate reactions on scales that have been developed previously.

Reconstructive procedures - this procedure is equivalent to Martin's (1984) previously mentioned stimulated recall.

Several researchers have used the thinking aloud technique in their work on problem solving or decision making. Goor and Sommerfeld (1975) studied the creative processes involved in problem solving by audio recording subjects' resolutions of experimental problems. Subjects had been selected based on creativity scores. Tapes were transcribed dividing the responses into three-second intervals with content coded as being in one of seven categories. Analysis was conducted on both frequencies of categories and sequences of categories of high and low creative students. Results indicated that high creative subjects had higher frequencies in generating new information or hypotheses, developing or working on a hypothesis, and self-reference or self-criticism; these subjects had lower frequencies for silence. Results of pattern analysis were less clear.

Schweiger and his colleagues (Schweiger, Anderson, & Locke, 1985) had business students audiotape their decision making processes in a business management simulation. They found that causal analysis (looking for causes of results) was positively correlated to quality of decision while blindly repeating previously successful decisions, errors in thinking or lack of reason, and self-doubt and negative emotions were negatively correlated with decision quality.

While studying group decision making processes, Kaplan and Miller (1987) also used a thinking aloud approach by



tape recording the group's discussion of the decision task. Tetlock (1986) has used a written variation of the thinking aloud technique in assessing cognitive complexity in decision making. Based on his study, he concluded, "What people think (the basic values they hold and the types of problems they are trying to solve) may often constrain how they think (the complexity of their reasoning)" (p. 824).

The stimulated recall procedure has also been used in research. Ickes and his associates (Ickes, Robertson, Tooke, & Teng, 1986) believed that their work supported listing thoughts through stimulated recall as a valid procedure because results were positively correlated with behavioral and personality measures. A second study (Asendorpf, 1987) also indicated that stimulated recall can successfully elicit covert processes (cognitions and emotions) that correspond to subjects' performance on more objective measures of personality characteristics. A third study (Peterson, Swing, Braverman, & Buss, 1982) successfully used stimulated recall with grade school students to assess the cognitive processes that occur during classroom instruction.

A different data gathering technique was used in two studies of assertion which employed a compromise between eliciting free responses of subjects and using a structured response questionnaire by developing a questionnaire based on free responses obtained during pilot studies. In these

studies, following exposure to assertive situations, subjects were asked to rate statements on the degree of influence they exerted during the experimental situations.

Caccioppo and Petty (1981) advocated the use of a different method, thought listing, in assessing cognitions. In this technique, subjects are asked to list their thoughts regarding a stimulus or experimental task. They discussed several dimensions that can be used to classify responses: 1) polarity of response, 2) source of information the subject uses in the response, 3) target of the response, 4) irrationality of the response, 5) saliency of response, 6) emotionality of response, and 7) reality of response. Scoring can be done by independent raters, by the subjects themselves, or both groups. They stated that results of this third method of scoring have been strongly correlated in past studies. However, some subjects may experience difficulty in classifying their thoughts. These authors suggested that thought listing is a valuable technique in assessing sequences of cognitions.

One experiment (Caccioppo, Glass, & Merluzzi, 1979) indicated that thought listing is indeed a viable technique for gathering cognitive data. This study used two groups of subjects, high heterosocially anxious males and low heterosocially anxious males. Subjects, on an individual basis, were told they would be required to participate in a dyadic interaction with an unknown female student.

Following a brief contrived delay after this information was given, subjects were asked to list all their thoughts about the upcoming interaction (which, in fact, did not occur). After listing their thoughts, subjects were then asked to rate each thought as favorable toward themselves, unfavorable toward themselves, or neutral. Independent raters also scored the responses. Using frequency counts of the categories of self-statements, they found that high heterosocially anxious men had more negative self-statements than low heterosocially anxious men.

In summary, researchers have found thinking aloud, stimulated recall, and thought listing are viable techniques for gathering data on covert processes. However, there are also precautions (Genest & Turk, 1981) that accompany these techniques. First, subjects may not report all of their thoughts. Second, it may be difficult for subjects to verbalize everything they experience internally because of the limitations of language. Third, these techniques often require the time-consuming task of transcribing subject reports. Fourth, there may be a mass of data for the researcher to organize and classify. Finally, there is a general caveat that applies to many research tasks. In order for the results to be generalizable outside the experimental situation, tasks that approximate the real world of the subjects should be selected (Levin, Louviere, & Schepanski, 1983).

### Summary

Based on a review of the literature, problem solving and its accompanying component, decision making, are areas that have been the subject of a great deal of theoretical and empirical research. Recent formulations of practical intelligence place much emphasis on the ability to solve problems that occur in everyday life. Counseling psychologists have also placed importance on the ability of adaptive individuals to effectively solve problems in their lives.

Reflecting the critical aspect of problem solving, researchers have expanded their efforts regarding problem solving. Emphasis is no longer exclusively placed on academic types of problems; interpersonal problems have also become valid areas of research. Along with this new emphasis, investigators have developed new assessment measures and techniques or utilized old procedures. For example, the thinking aloud and cognitive training methods of data gathering retain some aspects of the introspective methods used in psychology's earliest laboratories.

However they approach their task, researchers are formulating theories and gathering data on how people solve problems. An information base is being developed and added to at a rapid pace.

### Purpose of the Study

As the review of the literature indicated, a

substantial body of research on problem solving is being developed. One aspect of the subject deals with interpersonal problem solving which is the focus of the present investigation. Much of the previous research in this area dealt with delineating stages of the problem solving process, comparing problem solving ability of maladjusted and normal people, and discovering differences between self-appraised effective and ineffective problem solvers.

The purpose of the present study is to further investigate differences between self-appraised effective and ineffective problem solvers. However, this study will also look at a third group of subjects, those who score in the midrange on the PSI. The group, who will be referred to as average problem solvers, presumably represents a sizeable portion of the population, and, therefore, their responses are of interest.

Specifically, the current study will examine the quality of problem resolutions, confidence in problem resolution, and thoughts and feelings experienced during problem resolution of three groups of subjects. Subjects will be placed into one of three groups (effective, average, ineffective) based on their scores on the PSI. The confidence ratings and sequential analysis of thoughts and feelings are unique components of this study.

### Hypotheses of the Study

The research questions is: Do self-appraised effective, average, and ineffective problem solvers differ in the quality of problem resolution, the confidence they have regarding their resolution, and the sequence of thoughts and feelings experienced when presented with a hypothetical problem situation.

The following hypotheses will be investigated:

1. There will be a significant difference between self-appraised effective, average, and ineffective problem solvers on the quality of solution to a hypothetical interpersonal problem.
2. There will be a significant difference between self-appraised effective, average, and ineffective problem solvers in rating confidence in effectiveness of their problem resolution.
3. Self-appraised effective, average, and ineffective problem solvers will exhibit significant differences in the sequence of positive, negative, and neutral thoughts and emotions experienced during resolution of a hypothetical problem situation.

## CHAPTER 2

### Method

#### Subjects

Subjects were students attending a large public university in the southwest. Volunteers were recruited from undergraduate psychology classes and were provided either extra course credit or a monetary reimbursement (\$5.00) for their participation.

A total of 71 students volunteered for the study. Data for four of these students was not included in the analysis. One vision-impaired student chose not to complete the required forms; data from all foreign students (N = 3) was excluded because of language ability limitations.

The final sample consisted of 67 subjects which included 21 males and 46 females. Mean age of the subjects was 24. Distribution among class levels was 10 sophomores, 16 juniors, 35 seniors, 5 masters level students, and 1 special student.

#### Instruments

The Problem Solving Inventory (Heppner & Petersen, 1982) is a self-rating instrument designed to assess problem solving behaviors and attitudes. Thirty-two items are presented in a 6-point Likert format. Individuals who score low on the PSI respond to items in a way indicative of

effective problem solvers, whereas those who score high on the PSI respond in a manner associated with ineffective problem solving. Factor analysis of the PSI revealed three factors: problem solving confidence, approach-avoidance style, and personal control. Reliability estimates of internal consistency (.90) and test-retest stability (.89) have been conducted. The PSI appears to be related to an internal locus of control and is not correlated with intelligence or social desirability (Heppner & Petersen, 1982).

Problem resolution of a hypothetical problem situation was scored on a 7-point scale. The standard for an effective solution included: relevance to the problem situation (i.e., addressing the problem), a clear outline of the solution, a solution that was realistic in its implementation and outcome effectiveness, a nonhostile solution, and provision for alternatives if the primary solution was inadequate.

Subjects were asked to rate their confidence in the effectiveness of their problem resolution. This self-rating was done on a 7-point scale.

After listing thoughts and feelings they would experience in the problem situation, subjects were asked to evaluate and score these thoughts and feelings as positive toward self (scored as a "+"), negative toward self ("-"), or neutral toward self ("0").



### Procedure

Subjects were tested in small groups. They were provided with questionnaire packets that contained all instructions and assessment materials (Appendix). Materials were presented in the following order: PSI, presentation of five hypothetical interpersonal problem situations, problem resolution sheet, confidence rating sheet, thought listing form, and thought rating instructions. Subjects worked at their own pace except on two timed sections (problem resolution - 5 minutes and thought listing - 4.5 minutes).

Presentation of the five hypothetical situations included instructions to rank order the problems in terms of personal difficulty that would be experienced in each situation. Problem situations were developed based on the investigator's clinical experience with university students and were validated as being appropriate by university counseling center staff. Problem resolution, confidence rating, thought listing, and thought rating were confined to the one problem the individual ranked as most personally difficult. This procedure was used in order to make the target situation as personally meaningful and relevant as possible.

Subjects were divided into three groups based on PSI scores. Individuals with the 15 lowest scores were combined in a group labeled self-perceived effective problem solvers (PSI  $\bar{X}$  = 57.47; SD = 8.7); the 15 highest scorers were

labeled self-perceived ineffective problem solvers (PSI X = 106; SD = 12). This division procedure is similar to that used by other researchers (Baumgardner, et al., 1986; Heppner, et al., 1982, 1983). All remaining subjects composed a third group, average problem solvers (N = 37; PSI X = 83.38; SD = 5.49).

In addition to the subjects' evaluation and rating of their thoughts and feelings, the investigator also rated their thoughts and feelings using the same scoring procedure (+, -, 0). During this scoring process, the investigator was blind to PSI scores. Overall, there was an 82% agreement of ratings by subjects and the investigator. Most of the disagreements either were due to an apparent misunderstanding by some subjects of the neutral category or a more obvious misclassification (e.g., scoring "wanting to run away" as positive, scoring "unattractive" as positive). This data was coded in strings as they were listed by the subjects.

#### Design and Analysis

For Hypotheses 1 and 2, PSI scores were used as the independent variable. The dependent variable for Hypothesis 1 was the Problem Resolution score. The dependent variable for Hypothesis 2 was the Confidence Rating.

In testing Hypothesis 3, a 3 X 3 frequency matrix was developed on all possible first order sequences of thoughts (e.g., a positive thought followed by a positive thought, a

positive thought followed by a negative thought, etc.) for each of the three PSI groups. These frequency tables were then converted into three 3 X 3 probability matrices. In this third hypothesis, investigator-scored thoughts and emotions were used for analysis rather than subject-scored data since it was believed that the former provided a more accurate scoring.

## CHAPTER 3

### Results

#### Hypothesis 1

Hypothesis 1 stated,

There will be a significant difference between self-appraised effective, average, and ineffective problem solvers on the quality of solution to a hypothetical interpersonal problem.

This hypothesis was tested using a one-way analysis of variance (ANOVA) with the independent variable being PSI scores and dependent variable being the Problem Resolution score. Results of this test were not significant. Summary data are included in Table 1.

Table 1

#### One-way Analysis of Variance (Problem Resolution by PSI)

Source	df	SS	MS	F Ratio	F Prob
Between Groups	2	12.553	6.276	1.403	.253
Within Groups	64	286.223	4.472		
Total	66	298.776			

Hypothesis 2

Hypothesis 2 stated,

There will be a significant difference between self-appraised effective, average, and ineffective problem solvers in rating confidence in effectiveness of their problem resolution.

This hypothesis was tested using a one-way ANOVA with PSI score as the independent variable and Confidence Rating as the dependent variable. Results of this test were significant (See Table 2). Therefore, there is a difference between groups in terms of the confidence they had that their problem solution would, in fact, be effective.

Table 2

One-way Analysis of Variance (Confidence Rating by PSI)

Source	df	SS	MS	F Ratio	F Prob
Between Groups	2	19.478	9.739	8.504	.0005
Within Groups	64	73.297	1.145		
Total	66	92.776			

A post hoc Scheffe test indicated that there were differences ( $p < .05$ ) between self-appraised effective and ineffective problem solvers and between self-appraised average and ineffective problem solvers.

### Hypothesis 3

Hypothesis 3 stated, Self-appraised effective, average, and ineffective problem solvers will exhibit significant differences in the sequence of positive, negative, and neutral thoughts and emotions experienced during resolution of a hypothetical problem situation.

This hypothesis was tested using a differences in proportions test suggested by Bruning and Klintz (1977) when data are in the form of probability matrices. Combined frequency matrices for the three problem solving groups are provided in Tables 3, 4, and 5. Probabilities are shown in parentheses. The difference in proportions test is based on the calculation of Z scores with a  $Z > 1.96$  representing a significant difference ( $p < .05$ ) between proportions.

A difference in proportions test of first order sequences of interactions resulted in six significantly different proportions (Table 6). Because of the small frequencies in two of these cells, four will be discussed.

In interpreting these results, it appears that it is significantly more probable that

1. effective problem solvers follow a positive thought with another positive thought than ineffective problem solvers,
2. effective, compared to ineffective problem solvers follow a positive thought with a negative thought,

Table 3

Sequential Matrix for Self-appraised Effective Problem Solvers

		Consequent			Totals
		+	-	0	
Antecedent	+	62(.83)	6(.08)	7(.09)	75
	-	9(.22)	30(.73)	2(.05)	41
	0	5(.42)	1(.08)	6(.12)	12
Totals		76	37	15	N=128

Note. Frequencies and probabilities (in parentheses) are both shown.

Table 4

Sequential Matrix for Self-appraised Average Problem Solvers

		Consequent			Totals
		+	-	0	
Antecedent	+	86(.71)	33(.27)	2(.02)	121
	-	46(.27)	117(.70)	5(.03)	168
	0	5(.50)	3(.30)	2(.20)	10
Totals		137	153	9	N=299

Note. Frequencies and probabilities (in parentheses) are both shown.

Table 5

Sequential Matrix for Self-appraised Ineffective Problem Solvers

		Consequent			Totals
		+	-	0	
Antecedent	+	21(.64)	10(.30)	2(.06)	33
	-	14(.15)	71(.78)	6(.07)	91
	0	3(.27)	5(.45)	3(.27)	11
Totals		38	86	11	N=135

Note. Frequencies and probabilities (in parentheses) are both shown.

Table 6

Difference in Proportions Z Scores Based on Probability Matrices

Sequence	Groups 1 & 2	Groups 1 & 3	Groups 2 & 3
+/+	1.90	2.17*	-.77
+/-	-3.25*	2.97*	.34
+/0	2.26*	.53	1.22
-/+	-.65	.99	2.20*
-/-	.38	-.63	1.38
-/0	.63	-.44	1.50
0/+	-.38	.75	1.08
0/-	-1.34	-2.03*	.71
0/0	-1.46	1.13	-.38

Note. Group 1 = effective, Group 2 = average, Group 3 = ineffective problem solvers.

\*p < .05



3. average problem solvers follow a positive thought with a negative thought than effective problem solvers, and
4. average problem solvers follow a negative thought with a positive thought than ineffective problem solvers.

Additional difference in proportions tests were calculated for consequences across all three possible antecedents. In other words, regardless of whether the antecedent is positive, negative, or neutral, is it more likely, for example, for one group to have a positive consequent thought in this two-stage sequence. Results of these tests are provided in Table 7. Significant differences ( $p < .05$ ) indicate that it is more probable that

1. effective problem solvers will end the sequence with a positive thought than either average or ineffective groups; average problem solvers have a higher probability than ineffective problem solvers of ending with a positive thought;
2. ineffective problem solvers will end the sequence with a negative thought than either effective or average problem solvers; average problem solvers are more likely to end the sequence on a negative note than effective problem solvers; and

Table 7

Difference in Proportions Z Scores for Consequences  
(Across all Antecedents)

Consequence	Groups 1 & 2	Groups 1 & 3	Groups 2 & 3
+	2.46*	5.07*	3.54*
-	-4.19*	-5.68*	-2.52*
0	3.68*	1.08	-2.31*

\*p < .05

3. effective problem solvers will have a neutral consequence more often than average problem solvers who, in turn, are more likely to have a neutral consequence than ineffective problem solvers.

## CHAPTER 4

### Discussion

The purpose of the present study was to examine differences between types of problem solvers. An inventory of self-appraised problem solving skills and attitudes was used to differentiate three groups, self-perceived effective, average, and ineffective problem solvers. Subjects were asked to complete three tasks: solve a hypothetical problem, rate the confidence they had in their solution, and list and score thoughts and feelings they would experience if they were actually in the problem situation. The hypotheses stated that there would be significant differences between the three groups on quality of problem resolution, confidence in effectiveness of problem resolution, and patterns of thoughts and emotions.

Based on results of data analysis, there were no significant differences between groups on problem resolution. Thus, the first hypothesis was not confirmed. However, the second hypothesis was confirmed; groups did differ in the confidence ratings. Specifically, self-appraised problem solvers were more confident of their solution than ineffective problem solvers, and average problem solvers were more confident than ineffective problem solvers.

The third hypothesis was partially confirmed. Six of the possible 27 conditional probabilities were significantly different. The conclusions are that self-appraised effective problem solvers are more likely to have positive-positive and positive-negative sequences than ineffective problem solvers. Average problem solvers are more likely to have a positive-negative sequence than effective problem solvers, and average problem solvers are more likely to have a negative-positive sequence than ineffective problem solvers.

Additionally, in reference to the third hypothesis, there is greater probability that self-perceived effective problem solvers will end a two-stage sequence or first-order transition with a positive thought or emotion than either the average or ineffective groups irregardless of the type of the preceding thought or emotion. The average group is more likely to end the sequence positively than the ineffective group. The reverse pattern is found when looking at negative consequences irregardless of type of antecedent. Ineffective problem solvers are more likely to end on a negative note than either the effective or average groups, while average problem solvers are more likely to have a negative consequence than effective problem solvers.

These results provide interesting information about characteristics of problem solvers. First, problem solving ability, as defined and measured in this study, is not

related to endorsement of problem attitudes and behaviors. This finding complements the results obtained by Heppner and Petersen (1982) who found no significant correlation between PSI scores and scores on three MEPS stories (measuring ability to develop means for a problem solution). Tests assessing solutions to hypothetical problems and the PSI appear to measure different aspects of problem solving.

Second, the finding that low scorers on the PSI (effective problem solvers) had more confidence in their efforts than high scorers is also consistent with other research (Heppner & Petersen, 1982) which found positive correlations between PSI scores and self-ratings of levels of problem solving skills (when comparing to others) and satisfaction with level of problem solving skills. Whereas the Heppner and Petersen study involved a more general self-assessment of level and satisfaction of skills, the present study provided a more specific and immediate assessment of confidence in skills. This finding adds to the validity of the PSI as a measure of problem solving self-confidence.

The third finding regarding differences in thought/emotion sequences is the most interesting outcome of the study. Heppner and his associates (Heppner, et al., 1983) found that low PSI score are related to a more positive self-concept and less criticalness of self. The present study provided some information on the processes

that occur that may contribute to self-concept and self-liking. Low scorers appear more likely to end a two-stage sequence positively while high scorers are more likely to end the sequence thinking or feeling negatively about themselves or others.

The results of this study confirmed previous research but also added more specific information on the characteristics of problem solvers. It also included information on the mid-range scorers on the PSI who appear to have qualities of a good "middle" group with some characteristics similar to both low and high scorers.

There are also theoretical questions raised by these results. Heppner (1986) in acknowledging the relationship between the PSI and the concept of self-efficacy stated that, "The PSI is conceptualized as a global appraisal of oneself, whereas Bandura's self-efficacy is a more situation specific self-appraisal" (p. 21). Bandura (1977) defined self-efficacy as the belief "that one can successfully execute the behavior required to produce outcomes" (p. 193). He also proposed that, "Not only can perceived self-efficacy have directive influence on choice of activities and settings, but through expectations of eventual success, it can affect coping patterns once they are initiated" (p. 194). These statements seem to parallel the results of this study regarding confidence and self-statements. Perhaps, self-efficacy is the underlying

construct with effectiveness of problem solving being only one form of its expression.

Thus, the present study added some information to the growing body of knowledge on problem solving and also raised some theoretical questions. There were some limitations to the study, however. Use of a test of problem solving ability with more external validity would have added more information. Also, since the thought listing was retrospective in nature, it did not assess the self-statements that would occur during a problem situation. However, because of the often chronologically enduring nature of interpersonal problems, methodological issues would be difficult to overcome.

INFORMED CONSENT

I, \_\_\_\_\_, agree to participate in a study of interpersonal problem solving which is a common aspect of everyday life. The purpose of this study is to examine the processes involved in solving these kinds of problems so that, in the future, people may better resolve such situations. I consent to participate in this research which consists of completing a questionnaire on my problem solving behaviors and solving a hypothetical problem situation.

I understand that my responses will be confidential. All analyses of the data will be conducted on group data, with no reference made to my personal responses. Under these conditions, I agree that any information obtained from this research may be used in any way thought best for publication.

I understand that there is minimal personal risk or discomfort involved in this research and that I am free to withdraw my consent and discontinue participation in this study at any time. A decision to withdraw from the study will not result in any adverse consequences for me.

If I have any questions or problems that arise in connection with my participation in this study, I should contact Pat McGregor, the project director, at 565-2741 (work).

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Subject

\_\_\_\_\_  
Date

\_\_\_\_\_  
Project Director

THIS PROJECT HAS BEEN REVIEWED BY THE UNIVERSITY OF NORTH TEXAS  
COMMITTEE FOR THE PROTECTION OF HUMAN SUBJECTS (Phone: 565-3940)



## PROBLEM SITUATIONS

Please read the following five interpersonal problem situations carefully. As you read, imagine that you are involved in each of the situations.

- \_\_\_ 1. You want to have friends and be liked. However, over the past two weeks, you notice that several of your closest friends seem to be avoiding you.
  
- \_\_\_ 2. Your parents still seem to treat you like a child and try to control your life too much. You love them, but would like for them to ease up on you.
  
- \_\_\_ 3. Your boyfriend/girlfriend/spouse has lately pointed out that the two of you have differences of opinion about several important issues. You think he/she has been more distant because of this. You want the relationship to continue.
  
- \_\_\_ 4. You would like very much to belong to a close-knit social group. However, you don't know any of the group members very well.
  
- \_\_\_ 5. Your roommate/living partner seems to be picking on you lately about several of your admittedly bad habits. You like your roommate and want to get along with him/her.

In the space provided by each story, rank each one in order of which situation would be the most difficult for you personally to be in. For the situation that is the most difficult, write "1" in the space by it; the next most difficult situation would be ranked "2"; and so on, with the least difficult situation for you to be in having a "5".

## PROBLEM RESOLUTION SHEET

You will now be given 5 minutes to write all the thoughts you have regarding how you would go about resolving the problem situation you rated as the most difficult ("1") for you. Please write your response on this sheet as legibly as you can.

CONFIDENCE RATING

On the scale below, rate the level of confidence you have in the effectiveness of the solution you developed for your most difficult problem.

Very  
Doubtful of  
Effectiveness

Very  
Confident of  
Effectiveness

A horizontal line with seven vertical tick marks, creating eight equal segments. The line is positioned below the text labels. The tick marks are evenly spaced along the line, starting from the left end and ending at the right end.

## THOUGHT LISTING

During this section, you should still be thinking of the situation you ranked as the most difficult for you ("1").

Now, we want you to record the thoughts and feelings you think you would have as you went through the whole process of realizing a problem exists, deciding what you want to do about it, and developing some course of action to take. In other words, what would be going on inside you during this time. What emotions would you feel and what thoughts would you have. Think of this as a description or analysis of yourself.

As you consider these questions, we are interested in everything that goes through your mind. Please list your thoughts and feelings as they occur to you whether or not they seem important or relevant.

The next page is the form for you to record your thoughts and feelings. Ignore spelling, grammar, and punctuation; just write down all thoughts and feelings immediately as they come to you. Simply write down the first thought or feeling as it occurs to you in the first box, the second one in the second box, etc. Please put only one thought or feeling in a box. If you run out of room, use the back of the form. Try to write legibly.

You will have 4 minutes to complete this task.

## THOUGHT RATING

We would now like for you to turn back to the preceding form on which you wrote down your thoughts and feelings. We would like you to go back and rate each thought and feeling you wrote. In the left margin beside each box, we would like to know if that idea or feeling was favorable to yourself (+), unfavorable to yourself (-), or neither favorable nor unfavorable toward yourself (0). If the idea or feeling seems to be favorable, you should place a + (plus) in the left margin beside it; if it seems unfavorable, you should place a - (minus) in the left margin; and if it was neither favorable nor unfavorable, or had nothing to do with yourself, you should put a 0 (zero) in the left margin. Please go back now and rate each thought or feeling by putting a +, -, or 0 in the left margin.

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