

JOURNAL

de Théorie des Nombres
de BORDEAUX

anciennement Séminaire de Théorie des Nombres de Bordeaux

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Tome 30, n° 3 (2018), p. 1037-1057.

http://jtnb.cedram.org/item?id=JTNB_2018__30_3_1037_0

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On the genera of semisimple groups defined over an integral domain of a global function field

par RONY A. BITAN

RÉSUMÉ. Soit $K = \mathbb{F}_q(C)$ un corps de fonctions global, i.e. le corps des fonctions d'une courbe projective lisse C définie sur un corps fini \mathbb{F}_q . L'anneau des fonctions régulières sur $C - S$, où $S \neq \emptyset$ est un ensemble fini de points fermés sur C , est un domaine de Dedekind \mathcal{O}_S de K . Étant donné un \mathcal{O}_S -groupe \underline{G} semisimple dont le groupe fondamental \underline{F} est lisse, on aimerait décrire l'ensemble des genres de \underline{G} et encore (dans le cas où le groupe $\underline{G} \otimes_{\mathcal{O}_S} K$ est isotrope à S) son genre principal en termes des groupes abéliens ne dépendant que de \mathcal{O}_S et de \underline{F} . Ceci conduit à une condition nécessaire et suffisante pour que le principe local-global de Hasse soit valable pour certains groupes \underline{G} . Nous l'utilisons aussi pour exprimer le nombre de Tamagawa $\tau(G)$ d'un K -groupe semisimple \underline{G} par l'invariant d'Euler–Poincaré et faciliter le calcul de $\tau(G)$ pour les K -groupes tordus.

ABSTRACT. Let $K = \mathbb{F}_q(C)$ be the global function field of rational functions over a smooth and projective curve C defined over a finite field \mathbb{F}_q . The ring of regular functions on $C - S$ where $S \neq \emptyset$ is any finite set of closed points on C is a Dedekind domain \mathcal{O}_S of K . For a semisimple \mathcal{O}_S -group \underline{G} with a smooth fundamental group \underline{F} , we aim to describe both the set of genera of \underline{G} and its principal genus (the latter if $\underline{G} \otimes_{\mathcal{O}_S} K$ is isotropic at S) in terms of abelian groups depending on \mathcal{O}_S and \underline{F} only. This leads to a necessary and sufficient condition for the Hasse local-global principle to hold for certain \underline{G} . We also use it to express the Tamagawa number $\tau(G)$ of a semisimple K -group G by the Euler–Poincaré invariant. This facilitates the computation of $\tau(G)$ for twisted K -groups.

1. Introduction

Let C be a projective algebraic curve defined over a finite field \mathbb{F}_q , assumed to be geometrically connected and smooth. Let $K = \mathbb{F}_q(C)$ be the global field of rational functions over C , and let Ω be the set of all closed points of C . For any point $\mathfrak{p} \in \Omega$, let $v_{\mathfrak{p}}$ be the induced discrete valuation on K , $\hat{\mathcal{O}}_{\mathfrak{p}}$ the complete valuation ring with respect to $v_{\mathfrak{p}}$, and $\hat{K}_{\mathfrak{p}}, k_{\mathfrak{p}}$ its fraction field and residue field at \mathfrak{p} , respectively. Any *Hasse set* of K , namely,

Manuscrit reçu le 22 novembre 2017, révisé le 11 novembre 2018, accepté le 21 décembre 2018.

2010 *Mathematics Subject Classification.* 11G20, 11G45, 11R29.

Mots-clés. Class number, Hasse principle, Tamagawa number.

The research was partially supported by the ERC grant 291612.

a non-empty finite set $S \subset \Omega$, gives rise to an integral domain of K called a *Hasse domain*:

$$\mathcal{O}_S := \{x \in K : v_{\mathfrak{p}}(x) \geq 0 \ \forall \mathfrak{p} \notin S\}.$$

This is a regular and one dimensional Dedekind domain. Group schemes defined over $\text{Spec } \mathcal{O}_S$ are underlined, being omitted in the notation of their generic fibers.

Let \underline{G} be an affine, smooth and of finite type group scheme defined over $\text{Spec } \mathcal{O}_S$. We define $H_{\text{ét}}^1(\mathcal{O}_S, \underline{G})$ to be the set of isomorphism classes of \underline{G} -torsors over $\text{Spec } \mathcal{O}_S$ relative to the étale or the flat topology (the classification for the two topologies coincide when \underline{G} is smooth; cf. [2, VIII, Cor. 2.3]). The sets $H^1(K, G)$ and $H_{\text{ét}}^1(\hat{\mathcal{O}}_{\mathfrak{p}}, \underline{G}_{\mathfrak{p}})$, for every $\mathfrak{p} \notin S$, are defined similarly. All these three sets are naturally pointed: the distinguished point of $H_{\text{ét}}^1(\mathcal{O}_S, \underline{G})$ (resp., $H^1(K, G)$, $H_{\text{ét}}^1(\hat{\mathcal{O}}_{\mathfrak{p}}, \underline{G}_{\mathfrak{p}})$) is the class of the trivial \underline{G} -torsor \underline{G} (resp. trivial G -torsor G , trivial $\underline{G}_{\mathfrak{p}}$ -torsor $\underline{G}_{\mathfrak{p}}$). There exists a canonical map of pointed-sets (mapping the distinguished point to the distinguished point):

$$(1.1) \quad \lambda : H_{\text{ét}}^1(\mathcal{O}_S, \underline{G}) \rightarrow H^1(K, G) \times \prod_{\mathfrak{p} \notin S} H_{\text{ét}}^1(\hat{\mathcal{O}}_{\mathfrak{p}}, \underline{G}_{\mathfrak{p}})$$

which is defined by mapping a class in $H_{\text{ét}}^1(\mathcal{O}_S, \underline{G})$ represented by X to the class represented by $(X \otimes_{\mathcal{O}_S} \text{Spec } K) \times \prod_{\mathfrak{p} \notin S} X \otimes_{\mathcal{O}_S} \text{Spec } \hat{\mathcal{O}}_{\mathfrak{p}}$. Let $[\xi_0] := \lambda([\underline{G}])$. The *principal genus* of \underline{G} is then $\lambda^{-1}([\xi_0])$, i.e., the classes of \underline{G} -torsors over $\text{Spec } \mathcal{O}_S$ that are generically and locally trivial at all points of \mathcal{O}_S . More generally, a *genus* of \underline{G} is any fiber $\lambda^{-1}([\xi])$ where $[\xi] \in \text{Im}(\lambda)$. The *set of genera* of \underline{G} is then:

$$\text{gen}(\underline{G}) := \{\lambda^{-1}([\xi]) : [\xi] \in \text{Im}(\lambda)\},$$

whence $H_{\text{ét}}^1(\mathcal{O}_S, \underline{G})$ is a disjoint union of its genera.

Given a representative P of a class in $H_{\text{ét}}^1(\mathcal{O}_S, \underline{G})$, by referring also to \underline{G} as a \underline{G} -torsor acting on itself by conjugations, the quotient of $P \times_{\mathcal{O}_S} \underline{G}$ by the \underline{G} -action $(p, g) \mapsto (ps^{-1}, sgs^{-1})$ is an affine \mathcal{O}_S -group scheme ${}^P\underline{G}$, called the *twist* of \underline{G} by P . It is an inner form of \underline{G} , thus is locally isomorphic to \underline{G} in the étale topology, namely, every fiber of it at a prime of \mathcal{O}_S is isomorphic to $\underline{G}_{\mathfrak{p}} := \underline{G} \otimes_{\mathcal{O}_S} \hat{\mathcal{O}}_{\mathfrak{p}}$ over some finite étale extension of $\hat{\mathcal{O}}_{\mathfrak{p}}$. The map $\underline{G} \mapsto {}^P\underline{G}$ defines a bijection of pointed-sets $H_{\text{ét}}^1(\mathcal{O}_S, \underline{G}) \rightarrow H_{\text{ét}}^1(\mathcal{O}_S, {}^P\underline{G})$ (e.g., [31, §2.2, Lem. 2.2.3, Ex. 1 and 2]).

A group scheme defined over $\text{Spec } \mathcal{O}_S$ is said to be *reductive* if it is affine and smooth over $\text{Spec } \mathcal{O}_S$, and each geometric fiber of it at a prime \mathfrak{p} is (connected) reductive over $k_{\mathfrak{p}}$ ([15, Exp. XIX, Def. 2.7]). It is *semisimple* if it is reductive, and the rank of its root system equals that of its lattice of weights ([15, Exp. XXI, Def. 1.1.1]). Suppose \underline{G} is semisimple and that its

fundamental group \underline{F} is of order prime to $\text{char}(K)$. Being finite, of multiplicative type ([15, XXII, Cor. 4.1.7]), commutative and smooth, \underline{F} decomposes into finitely many factors of the form $\text{Res}_{R/\mathcal{O}_S}(\underline{\mu}_m)$ or $\text{Res}_{R/\mathcal{O}_S}^{(1)}(\underline{\mu}_m)$ where $\underline{\mu}_m := \text{Spec } \mathcal{O}_S[t]/(t^m - 1)$ and R is some finite (possibly trivial) étale extension of \mathcal{O}_S . Consequently, $H_{\text{ét}}^r(\mathcal{O}_S, \underline{F})$ are abelian groups for all $r \geq 0$. The following two \mathcal{O}_S -invariants of \underline{F} will play a major role in the description of $H_{\text{ét}}^1(\mathcal{O}_S, \underline{G})$:

Definition 1.1. Let R be a finite étale extension of \mathcal{O}_S . We define:

$$i(\underline{F}) := \begin{cases} \text{Br}(R)[m] & \underline{F} = \text{Res}_{R/\mathcal{O}_S}(\underline{\mu}_m) \\ \ker(\text{Br}(R)[m] \xrightarrow{N^{(2)}} \text{Br}(\mathcal{O}_S)[m]) & \underline{F} = \text{Res}_{R/\mathcal{O}_S}^{(1)}(\underline{\mu}_m) \end{cases}$$

where $N^{(2)}$ is induced by the norm map N_{R/\mathcal{O}_S} and for a group $*$, $*[m]$ stands for its m -torsion part. For $\underline{F} = \prod_{k=1}^r \underline{F}_k$ where each \underline{F}_k is one of the above, $i(\underline{F})$ is the direct product $\prod_{k=1}^r i(\underline{F}_k)$.

We also define for such R :

$$(1.2) \quad j(\underline{F}) := \begin{cases} \text{Pic}(R)/m & \underline{F} = \text{Res}_{R/\mathcal{O}_S}(\underline{\mu}_m) \\ \ker(\text{Pic}(R)/m \xrightarrow{N^{(1)}/m} \text{Pic}(\mathcal{O}_S)/m) & \underline{F} = \text{Res}_{R/\mathcal{O}_S}^{(1)}(\underline{\mu}_m) \end{cases}$$

where $N^{(1)}$ is induced by N_{R/\mathcal{O}_S} , and again $j(\prod_{k=1}^r \underline{F}_k) := \prod_{k=1}^r j(\underline{F}_k)$.

Definition 1.2. We call \underline{F} *admissible* if it is a finite direct product of the following factors:

- (1) $\text{Res}_{R/\mathcal{O}_S}(\underline{\mu}_m)$,
- (2) $\text{Res}_{R/\mathcal{O}_S}^{(1)}(\underline{\mu}_m)$, $[R : \mathcal{O}_S]$ is prime to m ,

where R is any finite étale extension of \mathcal{O}_S .

After computing in Section 2 the cohomology sets of some related \mathcal{O}_S -groups, we observe in Section 3 Proposition 3.1, that if \underline{F} is admissible then there exists an exact sequence of pointed sets:

$$1 \rightarrow \text{Cl}_S(\underline{G}) \xrightarrow{h} H_{\text{ét}}^1(\mathcal{O}_S, \underline{G}) \xrightarrow{w_{\underline{G}}} i(\underline{F}) \rightarrow 1.$$

We deduce in Corollary 3.2 that $\text{gen}(\underline{G})$ bijects to $i(\underline{F})$. In Section 4, Theorem 4.1, we show that $\text{Cl}_S(\underline{G})$ surjects onto $j(\underline{F})$. If $G_S := \prod_{s \in S} G(\hat{K}_s)$ is non-compact, then this is a bijection. This leads us to formulate in Corollary 4.4 a necessary and sufficient condition for the *Hasse local-global principle* to hold for \underline{G} . In Section 5, we use the above results to express in Theorem 5.2 the Tamagawa number $\tau(G)$ of an almost simple K -group G with an admissible fundamental group F , using the (restricted) Euler–Poincaré characteristic of some \mathcal{O}_S -model of F and a local invariant, and show how this new description facilitates the computation of $\tau(G)$ when G is a twisted group.

2. Étale cohomology

2.1. The class set. Consider the ring of S -integral adèles $\mathbb{A}_S := \prod_{\mathfrak{p} \in S} \hat{K}_{\mathfrak{p}} \times \prod_{\mathfrak{p} \notin S} \hat{\mathcal{O}}_{\mathfrak{p}}$, being a subring of the adèles \mathbb{A} . The S -class set of an affine and of finite type \mathcal{O}_S -group \underline{G} is the set of double cosets:

$$\text{Cl}_S(\underline{G}) := \underline{G}(\mathbb{A}_S) \backslash \underline{G}(\mathbb{A}) / G(K)$$

(when over each $\hat{\mathcal{O}}_{\mathfrak{p}}$ the above local model $\underline{G}_{\mathfrak{p}}$ is taken). It is finite (cf. [8, Prop. 3.9]), and its cardinality, called the S -class number of \underline{G} , is denoted by $h_S(\underline{G})$. According to Nisnevich ([26, Thm. I.3.5]) if \underline{G} is smooth, the map λ introduced in (1.1) applied to it forms the following exact sequence of pointed-sets (when the trivial coset is considered as the distinguished point in $\text{Cl}_S(\underline{G})$):

$$(2.1) \quad 1 \rightarrow \text{Cl}_S(\underline{G}) \rightarrow H_{\text{ét}}^1(\mathcal{O}_S, \underline{G}) \xrightarrow{\lambda} H^1(K, G) \times \prod_{\mathfrak{p} \notin S} H_{\text{ét}}^1(\hat{\mathcal{O}}_{\mathfrak{p}}, \underline{G}_{\mathfrak{p}}).$$

The left exactness reflects the fact that $\text{Cl}_S(\underline{G})$ can be identified with the principal genus of \underline{G} .

If, furthermore, \underline{G} has the property:

$$(2.2) \quad \forall \mathfrak{p} \notin S : H_{\text{ét}}^1(\hat{\mathcal{O}}_{\mathfrak{p}}, \underline{G}_{\mathfrak{p}}) \hookrightarrow H_{\text{ét}}^1(\hat{K}_{\mathfrak{p}}, G_{\mathfrak{p}}),$$

then sequence (2.1) is simplified to (cf. [26, Cor. 3.6]):

$$(2.3) \quad 1 \rightarrow \text{Cl}_S(\underline{G}) \rightarrow H_{\text{ét}}^1(\mathcal{O}_S, \underline{G}) \xrightarrow{\lambda_K} H^1(K, G),$$

which indicates that any two \underline{G} -torsors share the same genus if and only if they are K -isomorphic. If \underline{G} has connected fibers, then by Lang’s Theorem $H_{\text{ét}}^1(\hat{\mathcal{O}}_{\mathfrak{p}}, \underline{G}_{\mathfrak{p}})$ vanishes for any prime \mathfrak{p} (see [30, Ch. VI, Prop. 5] and recall that all residue fields are finite), thus \underline{G} has property (2.2).

Remark 2.1. The multiplicative \mathcal{O}_S -group $\underline{\mathbb{G}}_m$ admits property (2.2) thus sequence (2.3), in which the rightmost term vanishes by Hilbert 90 Theorem. Hence the class set $\text{Cl}_S(\underline{\mathbb{G}}_m)$, being finite as previously mentioned, is bijective as a pointed-set to $H_{\text{ét}}^1(\mathcal{O}_S, \underline{\mathbb{G}}_m)$, which is identified with $\text{Pic}(\mathcal{O}_S)$ (cf. [24, Ch. III, §4]) thus being finite too. This holds true for any finite étale extension R of \mathcal{O}_S .

Remark 2.2. If \underline{G} (locally of finite presentation) is disconnected but its connected component \underline{G}^0 is reductive and $\underline{G}/\underline{G}^0$ is a finite representable group, then it admits again property (2.2) (see the proof of Proposition 3.14 in [12]), thus sequence (2.3) as well. If, furthermore, for any $[\underline{G}'] \in \text{Cl}_S(\underline{G})$, the map $G'(K) \rightarrow (G'/(G')^0)(K)$ is surjective, then $\text{Cl}_S(\underline{G}) = \text{Cl}_S(\underline{G}^0)$ (cf. [5, Lem. 3.2]).

Lemma 2.3. *Let \underline{G} be a smooth and affine \mathcal{O}_S -group scheme with connected fibers. Suppose that its generic fiber G is almost simple, simply connected and G_S is non-compact. Then $H_{\acute{e}t}^1(\mathcal{O}_S, \underline{G}) = 1$.*

Proof. The proof, basically relying on the strong approximation property related to G , is the one of Lemma 3.2 in [4], replacing $\{\infty\}$ by S . \square

2.2. The fundamental group: the quasi-split case. The following is the Shapiro Lemma for the étale cohomology:

Lemma 2.4. *Let $f : R \rightarrow S$ be a finite étale extension of schemes and Γ a smooth R -module. Then $\forall p : H_{\acute{e}t}^p(S, \text{Res}_{R/S}(\Gamma)) \cong H_{\acute{e}t}^p(R, \Gamma)$.*

(See [2, VIII, Cor. 5.6] in which the Leray spectral sequence for R/S degenerates, whence the edge morphism $H_{\acute{e}t}^p(S, \text{Res}_{R/S}(\Gamma)) \rightarrow H_{\acute{e}t}^p(R, \Gamma)$ is an isomorphism.)

Remark 2.5. As C is smooth, $\text{Spec } \mathcal{O}_S$ is normal, i.e., is integrally closed locally everywhere, thus any finite étale covering of \mathcal{O}_S arises by its normalization in some separable unramified extension of K (e.g., [22, Thm. 6.13]).

Assume $\underline{F} = \text{Res}_{R/\mathcal{O}_S}(\underline{\mu}_m)$, R is finite étale over \mathcal{O}_S . Then the Shapiro Lemma (2.4) with $p = 2$ gives $H_{\acute{e}t}^2(\mathcal{O}_S, \underline{F}) \cong H_{\acute{e}t}^2(R, \underline{\mu}_m)$. Étale cohomology applied to the Kummer sequence over R

$$(2.4) \quad 1 \rightarrow \underline{\mu}_m \rightarrow \underline{\mathbb{G}}_m \xrightarrow{x \mapsto x^m} \underline{\mathbb{G}}_m \rightarrow 1$$

gives rise to the exact sequences of abelian groups:

$$(2.5) \quad \begin{aligned} &1 \rightarrow H_{\acute{e}t}^0(R, \underline{\mu}_m) \rightarrow R^\times \xrightarrow{\times m} (R^\times)^m \rightarrow 1, \\ &1 \rightarrow R^\times / (R^\times)^m \rightarrow H_{\acute{e}t}^1(R, \underline{\mu}_m) \rightarrow \text{Pic}(R)[m] \rightarrow 1, \\ &1 \rightarrow \text{Pic}(R)/m \rightarrow H_{\acute{e}t}^2(R, \underline{\mu}_m) \xrightarrow{i_*} \text{Br}(R)[m] \rightarrow 1, \end{aligned}$$

in which as above $\text{Pic}(R)$ is identified with $H_{\acute{e}t}^1(R, \underline{\mathbb{G}}_m)$, and the Brauer group $\text{Br}(R)$ (classifying Azumaya R -algebras) is identified with $H_{\acute{e}t}^2(R, \underline{\mathbb{G}}_m)$ (cf. [24, Ch. IV, §2]).

2.3. The fundamental group: the non quasi-split case. The group $\underline{F} = \text{Res}_{R/\mathcal{O}_S}^{(1)}(\underline{\mu}_m)$ fits into the short exact sequence of smooth \mathcal{O}_S -groups (recall $\underline{\mu}_m$ is assumed to be smooth as m is prime to $\text{char}(K)$):

$$1 \rightarrow \underline{F} \rightarrow \text{Res}_{R/\mathcal{O}_S}(\underline{\mu}_m) \xrightarrow{N_{R/\mathcal{O}_S}} \underline{\mu}_m \rightarrow 1$$

which yields by étale cohomology together with Shapiro’s isomorphism the long exact sequence:

$$(2.6) \quad \cdots \rightarrow H_{\text{ét}}^r(\mathcal{O}_S, \underline{F}) \xrightarrow{I^{(r)}} H_{\text{ét}}^r(R, \underline{\mu}_m) \xrightarrow{N^{(r)}} H_{\text{ét}}^r(\mathcal{O}_S, \underline{\mu}_m) \rightarrow H_{\text{ét}}^{r+1}(\mathcal{O}_S, \underline{F}) \rightarrow \cdots .$$

Notation 2.6. For a group homomorphism $f : A \rightarrow B$, we denote by $f/m : A/m \rightarrow B/m$ and $f[m] : A[m] \rightarrow B[m]$ the canonical maps induced by f .

Lemma 2.7. *If $[R : \mathcal{O}_S]$ is prime to m , then $N^{(r)}, N^{(r)}[m]$ and $N^{(r)}/m$ are surjective for all $r \geq 0$. In particular, if $\underline{F} = \text{Res}_{R/\mathcal{O}_S}^{(1)}(\underline{\mu}_m)$, then sequence (2.6) induces an exact sequence of abelian groups for every $r \geq 0$:*

$$(2.7) \quad 1 \rightarrow H_{\text{ét}}^r(\mathcal{O}_S, \underline{F}) \xrightarrow{I^{(r)}} H_{\text{ét}}^r(R, \underline{\mu}_m) \xrightarrow{N^{(r)}} H_{\text{ét}}^r(\mathcal{O}_S, \underline{\mu}_m) \rightarrow 1.$$

Proof. The composition of the induced norm N_{R/\mathcal{O}_S} with the diagonal morphism coming from the Weil restriction

$$(2.8) \quad \underline{\mu}_{m, \mathcal{O}_S} \rightarrow \text{Res}_{R/\mathcal{O}_S}(\underline{\mu}_{m, R}) \xrightarrow{N_{R/\mathcal{O}_S}} \underline{\mu}_{m, \mathcal{O}_S}$$

is the multiplication by $n := [R : \mathcal{O}_S]$. It induces for every $r \geq 0$ the maps:

$$(2.9) \quad H_{\text{ét}}^r(\mathcal{O}_S, \underline{\mu}_m) \rightarrow H_{\text{ét}}^r(R, \underline{\mu}_m) \xrightarrow{N^{(r)}} H_{\text{ét}}^r(\mathcal{O}_S, \underline{\mu}_m)$$

whose composition is again the multiplication by n on $H_{\text{ét}}^r(\mathcal{O}_S, \underline{\mu}_m)$, being an automorphism when n is prime to m . Hence $N^{(r)}$ is surjective for all $r \geq 0$.

Replacing $\underline{\mu}_m$ with \mathbb{G}_m in sequence (2.8) and taking the m -torsion subgroups of the resulting cohomology sets, we get the group maps:

$$H_{\text{ét}}^r(\mathcal{O}_S, \mathbb{G}_m)[m] \rightarrow H_{\text{ét}}^r(R, \mathbb{G}_m)[m] \xrightarrow{N^{(r)}[m]} H_{\text{ét}}^r(\mathcal{O}_S, \mathbb{G}_m)[m]$$

whose composition is multiplication by n on $H_{\text{ét}}^r(\mathcal{O}_S, \mathbb{G}_m)[m]$, being an automorphism again as n is prime to m , whence $N^{(r)}[m]$ is an epimorphism for every $r \geq 0$. The same argument applied to $N^{(r)}/m$ shows it is surjective for every $r \geq 0$ as well. □

Back to the general case ($[R : \mathcal{O}_S]$ does not have to be prime to m), applying the Snake lemma to the exact and commutative diagram of abelian groups:

$$(2.10) \quad \begin{array}{ccccccc} 1 & \longrightarrow & \text{Pic}(R)/m & \longrightarrow & H_{\text{ét}}^2(R, \underline{\mu}_m) & \xrightarrow{i_*} & \text{Br}(R)[m] \longrightarrow 1 \\ & & \downarrow N^{(1)}/m & & \downarrow N^{(2)} & & \downarrow N^{(2)}[m] \\ 1 & \longrightarrow & \text{Pic}(\mathcal{O}_S)/m & \longrightarrow & H_{\text{ét}}^2(\mathcal{O}_S, \underline{\mu}_m) & \longrightarrow & \text{Br}(\mathcal{O}_S)[m] \longrightarrow 1 \end{array}$$

yields an exact sequence of m -torsion abelian groups:

$$(2.11) \quad 1 \rightarrow \ker(\text{Pic}(R)/m \xrightarrow{N^{(1)}/m} \text{Pic}(\mathcal{O}_S)/m) \rightarrow \ker(N^{(2)}) \\ \xrightarrow{i'_*} \ker(\text{Br}(R)[m] \xrightarrow{N^{(2)}[m]} \text{Br}(\mathcal{O}_S)[m]) \\ \rightarrow \text{coker}(\text{Pic}(R)/m \xrightarrow{N^{(1)}/m} \text{Pic}(\mathcal{O}_S)/m),$$

where i'_* is the restriction of i_* to $\ker(N^{(2)})$. Together with the surjection $I^{(2)} : H_{\text{ét}}^2(\mathcal{O}_S, \underline{F}) \rightarrow \ker(N^{(2)})$ coming from sequence (2.6), we get the commutative diagram:

$$(2.12) \quad \begin{array}{ccc} & \ker(\text{Pic}(R)/m \rightarrow \text{Pic}(\mathcal{O}_S)/m) & \\ & \downarrow & \\ H_{\text{ét}}^2(\mathcal{O}_S, \underline{F}) \xrightarrow{I^{(2)}} & \ker\left(H_{\text{ét}}^2(R, \underline{\mu}_m) \xrightarrow{N^{(2)}} H_{\text{ét}}^2(\mathcal{O}_S, \underline{\mu}_m)\right) & \\ & \downarrow i'_* & \\ & \ker(\text{Br}(R)[m] \rightarrow \text{Br}(\mathcal{O}_S)[m]) & \end{array}$$

$i_*^{(1)}$ (arrow from $H_{\text{ét}}^2(\mathcal{O}_S, \underline{F})$ to $\ker(\text{Br}(R)[m] \rightarrow \text{Br}(\mathcal{O}_S)[m])$)

Proposition 2.8. *If $[R : \mathcal{O}_S]$ is prime to m , then there exists a canonical exact sequence of abelian groups*

$$1 \rightarrow \ker(\text{Pic}(R)/m \rightarrow \text{Pic}(\mathcal{O}_S)/m) \rightarrow \ker(N^{(2)}) \\ \xrightarrow{i'_*} \ker(\text{Br}(R)[m] \rightarrow \text{Br}(\mathcal{O}_S)[m]) \rightarrow 1.$$

Proof. This sequence is the column in diagram (2.12) since Lemma 2.7 shows the surjectivity of $N^{(1)}/m$, which in turn implies the surjectivity of i'_* by the exactness of sequence (2.11). □

Recall the definition of $i(\underline{F})$ (Definition 1.1), and of the maps i_* and $i_*^{(1)}$ (sequences (2.5) and (2.12)).

Definition 2.9. Let \underline{F} be one of the basic factors of an admissible fundamental group (see Def. 1.2). The map $\bar{i}_* : H_{\text{ét}}^2(\mathcal{O}_S, \underline{F}) \rightarrow i(\underline{F})$ is defined as:

$$\bar{i}_* := \begin{cases} i_* & \underline{F} = \text{Res}_{R/\mathcal{O}_S}(\underline{\mu}_m), \\ i_*^{(1)} & \underline{F} = \text{Res}_{R/\mathcal{O}_S}^{(1)}(\underline{\mu}_m) \text{ and } ([R : \mathcal{O}_S], m) = 1. \end{cases}$$

More generally, if $\underline{F} = \prod_{k=1}^r \underline{F}_k$ where each \underline{F}_k is one of the above, we set it to be the composition:

$$\bar{i}_* : H_{\text{ét}}^2(\mathcal{O}_S, \underline{F}) \xrightarrow{\sim} \bigoplus_{k=1}^r H_{\text{ét}}^2(\mathcal{O}_S, \underline{F}_k) \xrightarrow{\bigoplus_{k=1}^r (\bar{i}_*)_k} i(\underline{F}) = \prod_{k=1}^r i(\underline{F}_k).$$

Corollary 2.10. *If \underline{F} is admissible, then there exists a short exact sequence*

$$(2.13) \quad 1 \rightarrow j(\underline{F}) \rightarrow H_{\text{ét}}^2(\mathcal{O}_S, \underline{F}) \xrightarrow{\tilde{i}_*} i(\underline{F}) \rightarrow 1.$$

Proof. If $\underline{F} = \text{Res}_{R/\mathcal{O}_S}(\underline{\mu}_m)$ then the sequence of the corollary is simply a restatement of the last sequence in (2.5) by the definitions of $i(\underline{F})$ and $j(\underline{F})$ (see Definition 1.1). On the other hand, if $\underline{F} = \text{Res}_{R/\mathcal{O}_S}^{(1)}(\underline{\mu}_m)$ with $[R : \mathcal{O}_S]$ prime to m , then $I^{(2)}$ induces an isomorphism of abelian groups $H_{\text{ét}}^2(\mathcal{O}_S, \underline{F}) \cong \ker(N^{(2)})$ by the exactness of (2.7) for $r = 2$. Thus the sequence of the corollary is isomorphic to the sequence in Proposition 2.8 again by the definitions of $j(\underline{F})$ and $i(\underline{F})$. The two cases considered above suffice to establish the corollary by the definition of admissible (see Definition 1.2) and the definition of \tilde{i}_* (see Definition 2.9). \square

Definition 2.11. Let \underline{X} be a constructible sheaf defined over $\text{Spec } \mathcal{O}_S$ and let $h_i(\underline{X}) := |H_{\text{ét}}^i(\mathcal{O}_S, \underline{X})|$. The (restricted) *Euler–Poincaré characteristic* of \underline{X} is defined to be (cf. [25, Ch. II, §2]):

$$\chi_S(\underline{X}) := \prod_{i=0}^2 h_i(\underline{X})^{(-1)^i}.$$

Definition 2.12. Let R be a finite étale extension of \mathcal{O}_S . We define:

$$l(\underline{F}) := \begin{cases} \frac{|R^\times[m]|}{|R^\times : (R^\times)^m|} & \underline{F} = \text{Res}_{R/\mathcal{O}_S}(\underline{\mu}_m) \\ \frac{|\ker(N^{(0)}[m])|}{|\ker(N^{(0)}/m)|} & \underline{F} = \text{Res}_{R/\mathcal{O}_S}^{(1)}(\underline{\mu}_m). \end{cases}$$

As usual, for $\underline{F} = \prod_{k=1}^r \underline{F}_k$ where each \underline{F}_k is one of the above, we put $l(\underline{F}) = \prod_{k=1}^r l(\underline{F}_k)$.

Lemma 2.13. *If \underline{F} is admissible then $\chi_S(\underline{F}) = l(\underline{F}) \cdot |i(\underline{F})|$.*

Proof. It is sufficient to check the assertion for the two basic types of (direct) factors:

Suppose $\underline{F} = \text{Res}_{R/\mathcal{O}_S}(\underline{\mu}_m)$. Then sequences (2.5) together with Shapiro’s Lemma give

$$h_i(\underline{F}) = |H_{\text{ét}}^i(R, \underline{\mu}_m)| = \begin{cases} |R^\times[m]|, & i = 0 \\ [R^\times : (R^\times)^m] \cdot |\text{Pic}(R)[m]|, & i = 1 \\ |\text{Pic}(R)/m| \cdot |\text{Br}(R)[m]| & i = 2. \end{cases}$$

So as $\text{Pic}(R)$ is finite (see Remark 2.1), $|\text{Pic}(R)[m]| = |\text{Pic}(R)/m|$ and we get:

$$\begin{aligned} \chi_S(\underline{F}) &:= \frac{h_0(\underline{F}) \cdot h_2(\underline{F})}{h_1(\underline{F})} = \frac{|R^\times[m]| \cdot |\text{Pic}(R)/m| \cdot |\text{Br}(R)[m]|}{[R^\times : (R^\times)^m] \cdot |\text{Pic}(R)[m]|} \\ &= l(\underline{F}) \cdot |i(\underline{F})|. \end{aligned}$$

Now suppose $\underline{F} = \text{Res}_{R/\mathcal{O}_S}^{(1)}(\underline{\mu}_m)$ such that $[R : \mathcal{O}_S]$ is prime to m . By Lemma 2.7 $N^{(r)}, N^{(r)}[m]$ and $N^{(r)}/m$ are surjective for all $r \geq 0$, so the long sequence (2.6) is cut into short exact sequences:

$$(2.14) \quad \forall r \geq 0 : 1 \rightarrow H_{\text{ét}}^r(\mathcal{O}_S, \underline{F}) \xrightarrow{I^{(r)}} H_{\text{ét}}^r(R, \underline{\mu}_m) \xrightarrow{N^{(r)}} H_{\text{ét}}^r(\mathcal{O}_S, \underline{\mu}_m) \rightarrow 1$$

from which we see that (notice that $N^{(0)}[m]$ coincides with $N^{(0)}$):

$$(2.15) \quad h_0(\underline{F}) = |\ker(R^\times[m] \xrightarrow{N^{(0)}[m]} \mathcal{O}_S^\times[m])|.$$

The Kummer exact sequences for $\underline{\mu}_m$ defined over both \mathcal{O}_S and R yield the exact diagram:

$$(2.16) \quad \begin{array}{ccccccccc} 1 & \longrightarrow & R^\times / (R^\times)^m & \longrightarrow & H_{\text{ét}}^1(R, \underline{\mu}_m) & \longrightarrow & \text{Pic}(R)[m] & \longrightarrow & 1 \\ & & \downarrow N^{(0)}/m & & \downarrow N^{(1)} & & \downarrow N^{(1)}[m] & & \\ 1 & \longrightarrow & \mathcal{O}_S^\times / (\mathcal{O}_S^\times)^m & \longrightarrow & H_{\text{ét}}^1(\mathcal{O}_S, \underline{\mu}_m) & \longrightarrow & \text{Pic}(\mathcal{O}_S)[m] & \longrightarrow & 1 \end{array}$$

from which we see together with sequence (2.14) that:

$$h_1(\underline{F}) = |\ker(N^{(1)})| = \left| \ker(R^\times / (R^\times)^m \xrightarrow{N^{(0)}/m} \mathcal{O}_S^\times / (\mathcal{O}_S^\times)^m) \right| \cdot \left| \ker(\text{Pic}(R)[m] \xrightarrow{N^{(1)}[m]} \text{Pic}(\mathcal{O}_S)[m]) \right|.$$

Similarly, by sequence (2.14) and Proposition 2.8 we find that:

$$h_2(\underline{F}) = |\ker(N^{(2)})| = \left| \ker(\text{Pic}(R)/m \xrightarrow{N^{(1)}/m} \text{Pic}(\mathcal{O}_S)/m) \right| \cdot \left| \ker(\text{Br}(R)[m] \xrightarrow{N^{(2)}[m]} \text{Br}(\mathcal{O}_S)[m]) \right|.$$

Altogether we get:

$$\chi_S(\underline{F}) = \frac{h_0(\underline{F}) \cdot h_2(\underline{F})}{h_1(\underline{F})} = \frac{|\ker(N^{(0)}[m])|}{|\ker(N^{(0)}/m)|} \cdot \frac{|\ker(N^{(1)}[m])|}{|\ker(N^{(1)}/m)|} \cdot |\ker(N^{(2)}[m])|.$$

The group of units R^\times is a finitely generated abelian group (cf. [29, Prop. 14.2]), thus the quotient $R^\times / (R^\times)^m$ is a finite group. Since $\text{Pic}(R)[m]$ is also finite, $\ker(N^{(1)})$ in diagram (2.16) is finite, thus $|\ker(N^{(1)})[m]| = |\ker(N^{(1)}/m)|$, and we are left with:

$$\chi_S(\underline{F}) = \frac{|\ker(N^{(0)}[m])|}{|\ker(N^{(0)}/m)|} \cdot |\ker(N^{(2)}[m])| = l(\underline{F}) \cdot |i(\underline{F})|. \quad \square$$

Remark 2.14. The computation of $l(\underline{F})$, for specific choices of R, \mathcal{O}_S and m , is an interesting (and probably open) problem. For example, when \underline{F} is not quasi-split, the denominator of this number is the order of the group of units of R whose norm down to \mathcal{O}_S is an m -th power of a unit in \mathcal{O}_S ,

modulo $(R^\times)^m$. Such computations are hard to find in the literature, if they exist at all.

3. The set of genera

From now and on we assume \underline{G} is semisimple and that its fundamental group \underline{F} is of order prime to $\text{char}(K)$, thus smooth. Étale cohomology applied to the universal covering of \underline{G}

$$(3.1) \quad 1 \rightarrow \underline{F} \rightarrow \underline{G}^{\text{sc}} \rightarrow \underline{G} \rightarrow 1,$$

gives rise to the exact sequence of pointed-sets:

$$(3.2) \quad H_{\text{ét}}^1(\mathcal{O}_S, \underline{G}^{\text{sc}}) \rightarrow H_{\text{ét}}^1(\mathcal{O}_S, \underline{G}) \xrightarrow{\delta_{\underline{G}}} H_{\text{ét}}^2(\mathcal{O}_S, \underline{F})$$

in which the co-boundary map $\delta_{\underline{G}}$ is surjective, as the domain \mathcal{O}_S is of Douai-type, implying that $H_{\text{ét}}^2(\mathcal{O}_S, \underline{G}^{\text{sc}}) = 1$ (see [17, Def. 5.2 and Ex. 5.4(iii)]).

Proposition 3.1. *There exists an exact sequence of pointed-sets:*

$$1 \rightarrow \text{Cl}_S(\underline{G}) \xrightarrow{h} H_{\text{ét}}^1(\mathcal{O}_S, \underline{G}) \xrightarrow{w_{\underline{G}}} i(\underline{F})$$

in which h is injective. If \underline{F} is admissible, then $w_{\underline{G}}$ is surjective.

Proof. It is shown in [26, Thm. 2.8 and proof of Thm. 3.5] that there exist a canonical bijection $\alpha_{\underline{G}} : H_{\text{Nis}}^1(\mathcal{O}_S, \underline{G}) \cong \text{Cl}_S(\underline{G})$ and a canonical injection $i_{\underline{G}} : H_{\text{Nis}}^1(\mathcal{O}_S, \underline{G}) \hookrightarrow H_{\text{ét}}^1(\mathcal{O}_S, \underline{G})$ of pointed-sets (as Nisnevich’s covers are étale). Then the map h of the statement is the composition $i_{\underline{G}} \circ \alpha_{\underline{G}}^{-1}$.

Assume $\underline{F} = \text{Res}_{R/\mathcal{O}_S}(\mu_m)$. The composition of the surjective map $\delta_{\underline{G}}$ from (3.2) with Shapiro’s isomorphism and the surjective morphism i_* from (2.5), is a surjective R -map:

$$(3.3) \quad w_{\underline{G}} : H_{\text{ét}}^1(\mathcal{O}_S, \underline{G}) \xrightarrow{\delta_{\underline{G}}} H_{\text{ét}}^2(\mathcal{O}_S, \underline{F}) \xrightarrow{\sim} H_{\text{ét}}^2(R, \mu_m) \xrightarrow{i_*} \text{Br}(R)[m].$$

On the generic fiber, since $G^{\text{sc}} := \underline{G}^{\text{sc}} \otimes_{\mathcal{O}_S} K$ is simply connected, $H^1(K, G^{\text{sc}})$ vanishes due to Harder (cf. [19, Satz A]), as well as its other K -forms (this would not be true, however, if K were a number field with real places). So Galois cohomology applied to the universal K -covering

$$(3.4) \quad 1 \rightarrow F \rightarrow G^{\text{sc}} \rightarrow G \rightarrow 1$$

yields an embedding of pointed-sets $\delta_G : H^1(K, G) \hookrightarrow H^2(K, F)$, which is also surjective as K is of Douai-type as well. The extension R of \mathcal{O}_S arises from an unramified Galois extension L of K by Remark 2.5, and Galois cohomology applied to the Kummer exact sequence of L -groups

$$1 \rightarrow \mu_m \rightarrow \mathbb{G}_m \xrightarrow{x \mapsto x^m} \mathbb{G}_m \rightarrow 1$$

yields, together with Shapiro’s Lemma $H^2(K, F) \cong H^2(L, \underline{\mu}_m)$ and Hilbert 90 Theorem, the identification $(i_*)_L : H^2(K, F) \cong \text{Br}(L)[m]$, whence the composition $(i_*)_L \circ \delta_G$ is an injective L -map:

$$w_G : H^1(K, G) \xrightarrow{\delta_G} H^2(K, F) \xrightarrow{(i_*)_L} \text{Br}(L)[m].$$

Now we know due to Grothendieck that $\text{Br}(R)$ is a subgroup of $\text{Br}(L)$ (see [18, Prop. 2.1] and [24, Ex. 2.22, case (a)]). Altogether we retrieve the commutative diagram of pointed-sets:

$$(3.5) \quad \begin{array}{ccc} H^1_{\text{ét}}(\mathcal{O}_S, \underline{G}) & \xrightarrow{w_G} & \text{Br}(R)[m] \\ \downarrow \lambda_K & & \downarrow j \\ H^1(K, G) & \xrightarrow{w_G} & \text{Br}(L)[m], \end{array}$$

from which, together with sequence (2.3) (recall \underline{G} has connected fibers), we may observe that:

$$\text{Cl}_S(\underline{G}) = \ker(\lambda_K) = \ker(w_G).$$

When $\underline{F} = \text{Res}_{R/\mathcal{O}_S}^{(1)}(\underline{\mu}_m)$, we define the map $w_{\underline{G}}$ using diagram 2.12 to be the composition

$$(3.6) \quad w_{\underline{G}} : H^1_{\text{ét}}(\mathcal{O}_S, \underline{G}) \xrightarrow{\delta_G} H^2_{\text{ét}}(\mathcal{O}_S, \underline{F}) \xrightarrow{i_*^{(1)}} \ker \left(\text{Br}(R)[m] \xrightarrow{N^{(2)}[m]} \text{Br}(\mathcal{O}_S)[m] \right)$$

being surjective by Corollary 2.10 given that $[R : \mathcal{O}_S]$ is prime to m . On the generic fiber, Galois cohomology with Hilbert 90 Theorem give:

$$w_G : H^1(K, G) \xrightarrow{\delta_G} H^2(K, F) \xrightarrow{(i_*)^{(1)}_K} \ker \left(\text{Br}(L)[m] \xrightarrow{N_L^{(2)}[m]} \text{Br}(K)[m] \right).$$

This time we get the commutative diagram of pointed sets:

$$(3.7) \quad \begin{array}{ccc} H^1_{\text{ét}}(\mathcal{O}_S, \underline{G}) & \xrightarrow{w_{\underline{G}}} & \ker \left(\text{Br}(R)[m] \xrightarrow{N^{(2)}[m]} \text{Br}(\mathcal{O}_S)[m] \right) \\ \downarrow \lambda_K & & \downarrow j \\ H^1(K, G) & \xrightarrow{w_G} & \ker \left(\text{Br}(L)[m] \xrightarrow{(N^{(2)}[m])_L} \text{Br}(K)[m] \right), \end{array}$$

from which we may deduce again that:

$$\text{Cl}_S(\underline{G}) \stackrel{(2.3)}{=} \ker(\lambda_K) = \ker(w_{\underline{G}}).$$

More generally, if \underline{F} is a direct product of such basic factors, then as the cohomology sets commute with direct products, the target groups of $w_{\underline{G}}$

and w_G become the product of the target groups of their factors, and the same argument gives the last assertion. \square

Corollary 3.2. *There is an injection of pointed sets $w'_G : \text{gen}(\underline{G}) \hookrightarrow i(\underline{F})$. If \underline{F} is admissible then w'_G is a bijection. In particular if \underline{F} is split, then $|\text{gen}(\underline{G})| = |\underline{F}|^{|\underline{S}|-1}$.*

Proof. The commutativity of diagrams (3.5) and (3.7) and the injectivity of the map j in them show that w_G is constant on each fiber of λ_K , i.e., on the genera of \underline{G} . Thus w_G induces a map (see Proposition 3.1):

$$w'_G : \text{gen}(\underline{G}) \rightarrow \text{Im}(w_G) \subseteq i(\underline{F}).$$

These diagrams commutativity together with the injectivity of w_G imply the injectivity of w'_G .

If \underline{F} is admissible then $\text{Im}(w_G) = i(\underline{F})$. In particular if \underline{F} is split, then

$$\text{gen}(\underline{G}) \cong \prod_{i=1}^r \text{Br}(\mathcal{O}_S)[m_i].$$

It is shown in the proof of [4, Lem. 2.2] that

$$\text{Br}(\mathcal{O}_S) = \ker \left(\mathbb{Q}/\mathbb{Z} \xrightarrow{\sum_{\mathfrak{p} \in S} \text{Cor}_{\mathfrak{p}}} \mathbb{Q}/\mathbb{Z} \right)$$

where $\text{Cor}_{\mathfrak{p}}$ is the corestriction map at \mathfrak{p} . So $|\text{Br}(\mathcal{O}_S)[m_i]| = m_i^{|\underline{S}|-1}$ for all i and the last assertion follows. \square

The following table refers to absolutely almost simple and adjoint \mathcal{O}_S -groups whose fundamental group is split. The right column is Corollary 3.2:

Type of \underline{G}	\underline{F}	# $\text{gen}(\underline{G})$
${}^1\text{A}_{n-1}$	$\underline{\mu}_n$	$n^{ \underline{S} -1}$
$\text{B}_n, \text{C}_n, \text{E}_7$	$\underline{\mu}_2$	$2^{ \underline{S} -1}$
${}^1\text{D}_n$	$\underline{\mu}_4, n = 2k + 1$ $\underline{\mu}_2 \times \underline{\mu}_2, n = 2k$	$4^{ \underline{S} -1}$
${}^1\text{E}_6$	$\underline{\mu}_3$	$3^{ \underline{S} -1}$
$\text{E}_8, \text{F}_4, \text{G}_2$	1	1

Lemma 3.3. *Let \underline{G} be a semisimple and almost simple \mathcal{O}_S -group not of (absolute) type A, then $H^1_{\text{ét}}(\mathcal{O}_S, \underline{G})$ bijects as a pointed-set to the abelian group $H^2_{\text{ét}}(\mathcal{O}_S, \underline{F})$.*

Proof. Since G^{sc} is not of (absolute) type A, it is locally isotropic everywhere ([9, 4.3 and 4.4]), whence $\ker(\delta_G) \subseteq H^1_{\text{ét}}(\mathcal{O}_S, G^{\text{sc}})$ vanishes due to Lemma 2.3. Moreover, for any \underline{G} -torsor P , the base-point change: $\underline{G} \mapsto {}^P\underline{G}$

defines a bijection of pointed-sets: $H_{\text{ét}}^1(\mathcal{O}_S, \underline{G}) \rightarrow H_{\text{ét}}^1(\mathcal{O}_S, {}^P\underline{G})$ (see in Section 1). But ${}^P\underline{G}$ is an inner form of \underline{G} , thus not of type A as well, hence also $H_{\text{ét}}^1(\mathcal{O}_S, ({}^P\underline{G})^{\text{sc}}) = 1$. We get that all fibers of $\delta_{\underline{G}}$ in (3.2) are trivial, which together with the surjectivity of $\delta_{\underline{G}}$ amounts to the asserted bijection. \square

In other words, the fact that \underline{G} is not of (absolute) type A guarantees that not only G^{sc} , but also the universal covering of the generic fiber of inner forms of \underline{G} of other genera are locally isotropic everywhere. This provides $H_{\text{ét}}^1(\mathcal{O}_S, \underline{G})$ the structure of an abelian group.

Corollary 3.4. *If \underline{G} is not of (absolute) type A, then all its genera share the same cardinality.*

Proof. The map $w_{\underline{G}}$ factors through $\delta_{\underline{G}}$ (see (3.3) and (3.6)) which is a bijection of pointed-sets in this case by Lemma 3.3. So writing: $w_{\underline{G}} = \bar{w}_{\underline{G}} \circ \delta_{\underline{G}}$, we get due to Proposition 3.1 the exact sequence of pointed-sets (a-priori, abelian groups):

$$1 \rightarrow \text{Cl}_S(\underline{G}) \rightarrow H_{\text{ét}}^2(\mathcal{O}_S, \underline{F}) \xrightarrow{\bar{w}_{\underline{G}}} i(\underline{F})$$

in which all genera, corresponding to the fibers of $w_{\underline{G}}$, are of the same cardinality. \square

Following E. Artin in [1], we shall say that a Galois extension L of K is *imaginary* if no prime of K is decomposed into distinct primes in L .

Remark 3.5. If \underline{G} is of (absolute) type A, but $S = \{\infty\}$, G is \hat{K}_∞ -isotropic, and F splits over an imaginary extension of K , then $H_{\text{ét}}^1(\mathcal{O}_S, \underline{G})$ still bijects as a pointed-set to $H_{\text{ét}}^2(\mathcal{O}_S, \underline{F})$.

Proof. As aforementioned, removing one closed point of a projective curve, the resulting Hasse domain has a trivial Brauer group. Thus $\text{Br}(\mathcal{O}_S = \mathcal{O}_{\{\infty\}}) = 1$, and as F splits over an imaginary extension $L = \mathbb{F}_q(C')$, corresponding to an étale extension $R = \mathbb{F}_q[C' - \{\infty'\}]$ of $\mathcal{O}_{\{\infty\}}$ (see Remark 2.5) where ∞' is the unique prime of L lying above ∞ , $\text{Br}(R)$ remains trivial. This implies by Corollary 3.2 that \underline{G} has only one genus, namely, the principal one, in which the generic fibers of all representatives (being K -isomorphic to G) are isotropic at ∞ . Then the resulting vanishing of $\ker(\delta_{\underline{G}}) \subseteq H_{\text{ét}}^1(\mathcal{O}_S, \underline{G}^{\text{sc}})$ due to Lemma 2.3 is equivalent to the injectivity of $\delta_{\underline{G}}$. \square

The following general framework due to Giraud (see [10, §2.2.4]), gives an interpretation of the \underline{G} -torsors which may help us describe $w_{\underline{G}}$ more concretely.

Proposition 3.6. *Let R be a scheme and X_0 be an R -form, namely, an object of a fibered category of schemes defined over R . Let \mathbf{Aut}_{X_0} be its R -group of automorphisms. Let $\mathfrak{Forms}(X_0)$ be the category of R -forms that are locally isomorphic for some topology to X_0 and let $\mathfrak{Tors}(\mathbf{Aut}_{X_0})$ be the category of \mathbf{Aut}_{X_0} -torsors in that topology. The functor*

$$\varphi : \mathfrak{Forms}(X_0) \rightarrow \mathfrak{Tors}(\mathbf{Aut}_{X_0}) : X \mapsto \mathbf{Iso}_{X_0, X}$$

is an equivalence of fibered categories.

Example 3.7. Let (V, q) be a regular quadratic \mathcal{O}_S -space of rank $n \geq 3$ and let \underline{G} be the associated special orthogonal group \mathbf{SO}_q (see [13, Def. 1.6]). It is smooth and connected (cf. [13, Thm. 1.7]), and its generic fiber is of type B_n if $\text{rank}(V)$ is odd, and of type 1D_n otherwise. In both cases $\underline{F} = \underline{\mu}_2$, so we assume $\text{char}(K)$ is odd. Any such quadratic regular \mathcal{O}_S -space (V', q') of rank n gives rise to a \underline{G} -torsor P by

$$V' \mapsto P = \mathbf{Iso}_{V, V'}$$

where an isomorphism $A : V \rightarrow V'$ is a proper q -isometry, i.e., such that $q' \circ A = q$ and $\det(A) = 1$. So $H_{\text{ét}}^1(\mathcal{O}_S, \underline{G})$ properly classifies regular quadratic \mathcal{O}_S -spaces that are locally isomorphic to (V, q) in the étale topology. Then $\delta_{\underline{G}}([P])$ is the second Stiefel–Whitney class of P in $H_{\text{ét}}^2(\mathcal{O}_S, \underline{\mu}_2)$, classifying \mathcal{O}_S -Azumaya algebras with involutions (see [6, Def. 1, Rem. 3.3 and Prop. 4.5]), and

$$w_{\underline{G}}([\mathbf{SO}_{q'}]) = \begin{cases} [\mathbf{C}_0(q')] - [\mathbf{C}_0(q)] \in \text{Br}(\mathcal{O}_S)[2] & n \text{ is odd} \\ [\mathbf{C}(q')] - [\mathbf{C}(q)] \in \text{Br}(\mathcal{O}_S)[2] & n \text{ is even,} \end{cases}$$

where $\mathbf{C}(q)$ and $\mathbf{C}_0(q)$ are the Clifford algebra of q and its even part, respectively.

Example 3.8. Let $\underline{G} = \mathbf{PGL}_n$ for $n \geq 2$. It is smooth and connected ([14, Lem. 3.3.1]) with $\underline{F} = \underline{\mu}_n$, so we assume $(\text{char}(K), n) = 1$. For any projective \mathcal{O}_S -space of rank n , by the Skolem–Noether Theorem for unital rings (see [21, p. 145]) $\mathbf{PGL}(V) = \mathbf{Aut}(\text{End}_{\mathcal{O}_S}(V))$. It is an inner form of \underline{G} obtained for $V = \mathcal{O}_S^n$. So the pointed-set $H_{\text{ét}}^1(\mathcal{O}_S, \underline{G})$ classifies the projective \mathcal{O}_S -modules of rank n up to invertible \mathcal{O}_S -modules. Given such a projective \mathcal{O}_S -module V , the Azumaya \mathcal{O}_S -algebra $A = \text{End}_{\mathcal{O}_S}(V)$ of rank n^2 corresponds to a \underline{G} -torsor by (see [16, V, Rem. 4.2]):

$$A \mapsto P = \mathbf{Iso}_{\underline{M}_n, A}$$

where \underline{M}_n is the \mathcal{O}_S -sheaf of $n \times n$ matrices. Here $w_{\underline{G}}([P]) = [A]$ in $\text{Br}(\mathcal{O}_S)[n]$.

4. The principal genus

In this section, we study the structure of the principal genus $\text{Cl}_S(\underline{G})$.

Theorem 4.1. *If \underline{F} is admissible then there exists a surjection of pointed-sets*

$$\psi_{\underline{G}} : \text{Cl}_S(\underline{G}) \twoheadrightarrow j(\underline{F}),$$

being a bijection provided that G_S is non-compact (e.g., G is not anisotropic of type A).

Proof. Combining the two epimorphisms, $w_{\underline{G}}$ defined in Prop. 3.1 and $\delta_{\underline{G}}$ described in Section 3, together with the exact sequence (2.13), yields the exact and commutative diagram:

$$(4.1) \quad \begin{array}{ccccccc} 1 & \longrightarrow & H_{\text{ét}}^1(\mathcal{O}_S, \underline{G}) & \xlongequal{\quad} & H_{\text{ét}}^1(\mathcal{O}_S, \underline{G}) & \longrightarrow & 1 \\ & & \downarrow & & \downarrow & & \\ & & \downarrow & & \downarrow & & \\ 1 & \longrightarrow & j(\underline{F}) & \xrightarrow{\partial} & H_{\text{ét}}^2(\mathcal{O}_S, \underline{F}) & \xrightarrow{\bar{i}_*} & i(\underline{F}) \longrightarrow 1 \end{array}$$

in which $\ker(w_{\underline{G}}) = \text{Cl}_S(\underline{G})$. We imitate the Snake Lemma argument (the diagram terms are not necessarily all groups): for any $[H] \in \text{Cl}_S(\underline{G})$ one has $\bar{i}_*(\delta_{\underline{G}}([H])) = [0]$, i.e., $\delta_{\underline{G}}([H])$ has a ∂ -preimage in $j(\underline{F})$ which is unique as ∂ is a monomorphism of groups. This constructed map denoted $\psi_{\underline{G}}$ gives rise to an exact sequence of pointed-sets:

$$1 \rightarrow \mathfrak{K} \rightarrow \text{Cl}_S(\underline{G}) \xrightarrow{\psi_{\underline{G}}} j(\underline{F}) \rightarrow 1.$$

If G_S is non-compact, then for any $[H] \in \text{Cl}_S(\underline{G})$ the generic fiber H is K -isomorphic to G thus H_S is non-compact as well, thus $\ker(H_{\text{ét}}^1(\mathcal{O}_S, \underline{H}) \xrightarrow{\delta_{\underline{H}}} H_{\text{ét}}^2(\mathcal{O}_S, \underline{F})) \subseteq H_{\text{ét}}^1(\mathcal{O}_S, \underline{H}^{\text{sc}})$ vanishes by Lemma 2.3. This means that $\delta_{\underline{G}}$ restricted to $\text{Cl}_S(\underline{G})$ is an embedding, so $\mathfrak{K} = 1$ and $\psi_{\underline{G}}$ is a bijection. \square

Remark 4.2. The description of $\text{Cl}_S(\underline{G})$ in Theorem 4.1 holds true also for a disconnected group \underline{G} (where \underline{F} is the fundamental group of \underline{G}^0), under the hypotheses of Remark 2.2.

Definition 4.3. We say that the *local-global Hasse principle* holds for \underline{G} if $h_S(\underline{G}) = 1$.

This property means (when \underline{G} is connected) that a \underline{G} -torsor is \mathcal{O}_S -isomorphic to \underline{G} if and only if its generic fiber is K -isomorphic to G . Recall the definition of $j(\underline{F})$ from Definition 1.1.

Corollary 4.4. *Suppose $\underline{F} \cong \prod_{i=1}^r \text{Res}_{R_i/\mathcal{O}_S}(\mu_{m_i})$ where R_i are finite étale extensions of \mathcal{O}_S . If G_S is non-compact, then the Hasse principle holds for \underline{G} if and only if $\forall i : (|\text{Pic}(R_i)|, m_i) = 1$. Otherwise (G_S is compact), this principle holds for \underline{G} only if $\forall i : (|\text{Pic}(R_i)|, m_i) = 1$. More generally, if \underline{F} is*

admissible and G_S is non-compact, then this principle holds for \underline{G} provided that for each factor of the form $\text{Res}_{R/\mathcal{O}_S}(\underline{\mu}_m)$ or $\text{Res}_{R/\mathcal{O}_S}^{(1)}(\underline{\mu}_m)$ one has: $(|\text{Pic}(R)|, m) = 1$.

Example 4.5. If C^{af} is an affine non-singular \mathbb{F}_q -curve of the form $y^2 = x^3 + ax + b$, i.e., obtained by removing some \mathbb{F}_q -rational point ∞ from an elliptic (projective) \mathbb{F}_q -curve C , then $\text{Pic}(C^{\text{af}}) = \text{Pic}(\mathcal{O}_{\{\infty\}}) \cong C(\mathbb{F}_q)$ (cf. e.g., [4, Ex. 4.8]). Let again $\underline{G} = \mathbf{PGL}_n$ such that $(\text{char}(K), n) = 1$. As $|S| = 1$ and \underline{F} is split, \underline{G} admits a single genus (Corollary 3.2), which means that all projective $\mathcal{O}_{\{\infty\}}$ -modules of rank n are K -isomorphic. If \underline{G} is K -isotropic, according to Theorem 4.1, there are exactly $|C^{\text{af}}(\mathbb{F}_q)/2|$ $\mathcal{O}_{\{\infty\}}$ -isomorphism classes of such modules, so the Hasse principle fails for \underline{G} if and only if $|C^{\text{af}}(\mathbb{F}_q)|$ is even. This occurs exactly when C^{af} has at least one \mathbb{F}_q -point on the x -axis (thus of order 2).

On the other hand, take $\mathcal{O}_S = \mathbb{F}_3[t, t^{-1}]$ obtained by removing $S = \{t, t^{-1}\}$ from the projective \mathbb{F}_3 -line, and $\underline{G} = \mathbf{PGL}_n$ to be rationally isotropic over \mathcal{O}_S : for example for $n = 2$, it is isomorphic to the special orthogonal group of the standard split \mathcal{O}_S -form $q_3(x_1, x_2, x_3) = x_1x_2 + x_3^2$. Then as q_3 is rationally isotropic over \mathcal{O}_S (e.g., $q_3(1, 2, 1) = 0$) and \mathcal{O}_S is a UFD, according to Corollary 4.4 the Hasse-principle holds for \underline{G} and there are two genera as $|F| = |S| = 2$ (see Cor. 3.2).

Example 4.6. Let (V, q) be an \mathcal{O}_S -regular quadratic form of even rank $n = 2k \geq 4$ and let $\underline{G} = \text{Res}_{R/\mathcal{O}_S}(\mathbf{SO}_q)$ where R is finite étale over \mathcal{O}_S . Then $\underline{F} = \text{Res}_{R/\mathcal{O}_S}(\underline{\mu}_2)$, whence according to Corollary 3.2, $\text{gen}(\underline{G}) \cong \text{Br}(R)[2]$. As G and its twisted K -forms are K -isotropic (e.g., [28, p. 352]), each genus of q contains exactly $\text{Pic}(R)/2$ elements.

Example 4.7. Let C' be an elliptic \mathbb{F}_q -curve and $(C')^{\text{af}} := C' - \{\infty'\}$. Then $R := \mathbb{F}_q[(C')^{\text{af}}]$ is a quadratic extension of $\mathcal{O}_{\{\infty\}} = \mathbb{F}_q[x]$ where $\infty = (1/x)$ and ∞' is the unique prime lying above ∞ , thus $L := R \otimes_{\mathcal{O}_{\{\infty\}}} K$ is imaginary over K . Let $\underline{G} = \text{Res}_{R/\mathcal{O}_{\{\infty\}}}(\mathbf{PGL}_m)$, m is odd and prime to q . Then $\underline{F} = \text{Res}_{R/\mathcal{O}_{\{\infty\}}}^{(1)}(\underline{\mu}_m)$ is smooth, and \underline{G} is smooth and quasi-split as well as its generic fiber, thus is K -isotropic. By Remark 3.5 and sequence (2.13), we get (notice that $\mathcal{O}_{\{\infty\}}$ is a PID and that $\text{Br}(R) = 1$):

$$\begin{aligned} \text{Cl}_S(\underline{G}) &= H_{\text{ét}}^1(\mathcal{O}_{\{\infty\}}, \underline{G}) \cong H_{\text{ét}}^2(\mathcal{O}_{\{\infty\}}, \underline{F}) \\ &\cong \ker(\text{Pic}(R)/m \rightarrow \text{Pic}(\mathcal{O}_{\{\infty\}})/m) = \text{Pic}(R)/m. \end{aligned}$$

Hence the Hasse-principle holds for \underline{G} if and only if $|\text{Pic}(R)| = |C'(\mathbb{F}_q)|$ is prime to m .

5. The Tamagawa number of twisted groups

In this section we start with the generic fiber. Let G be a semisimple group defined over a global field $K = \mathbb{F}_q(C)$ with fundamental group F . The *Tamagawa number* $\tau(G)$ of G is defined as the covolume of the group $G(K)$ in the adelic group $G(\mathbb{A})$ (embedded diagonally as a discrete subgroup), with respect to the Tamagawa measure (see [33]). T. Ono has established in [27] a formula for the computation of $\tau(G)$ in case K is an algebraic number field, which was later proved by Behrend and Dhillon in [3, Thm. 6.1] also in the function field case:

$$(5.1) \quad \tau(G) = \frac{|\widehat{F}^{\mathfrak{g}}|}{|\text{III}^1(\widehat{F})|}$$

where $\widehat{F} := \text{Hom}(F \otimes K^s, \mathbb{G}_m)$, \mathfrak{g} is the absolute Galois group $\text{Gal}(K^s/K)$, and $\text{III}^1(\widehat{F})$ is the first Shafarevitch–Tate group assigned to \widehat{F} over K . As a result, if F is split, then $\tau(G) = |F|$. So our main innovation, based on the above results and the following ones, would be simplifying the computation of $\tau(G)$ in case F is not split, as may occur when G is a twisted group.

The following construction, as described in [7] and briefly revised here, expresses the global invariant $\tau(G)$ using some local data. Suppose G is almost simple defined over the above $K = \mathbb{F}_q(C)$, not anisotropic of type A , such that $(|F|, \text{char}(K)) = 1$. We remove one arbitrary closed point ∞ from C and refer as above to the integral domain $\mathcal{O}_S = \mathcal{O}_{\{\infty\}}$. At any prime $\mathfrak{p} \neq \infty$, we consider the Bruhat–Tits $\mathcal{O}_{\mathfrak{p}}$ -model of $G_{\mathfrak{p}}$ corresponding to some special vertex in its associated building. Patching all these $\mathcal{O}_{\mathfrak{p}}$ -models along the generic fiber results in an affine and smooth $\mathcal{O}_{\{\infty\}}$ -model \underline{G} of G (see [7, §5]). It may be locally disconnected only at places that ramify over a minimal splitting field L of G (cf. [9, 4.6.22]).

Denote $\mathbb{A}_{\infty} := \mathbb{A}_{\{\infty\}} = \widehat{K}_{\infty} \times \prod_{\mathfrak{p} \neq \infty} \widehat{\mathcal{O}}_{\mathfrak{p}} \subset \mathbb{A}$. Then $\underline{G}(\mathbb{A}_{\infty})G(K)$ is a normal subgroup of $\underline{G}(\mathbb{A})$ (cf. [32, Thm. 3.2 3]). The set of places Ram_G that ramify in L is finite, thus by the Borel density theorem (e.g., [11, Thm. 2.4, Prop. 2.8]), $\underline{G}(\mathcal{O}_{\{\infty\} \cup \text{Ram}_G})$ is Zariski-dense in $\prod_{\mathfrak{p} \in \text{Ram}_G \setminus \{\infty\}} \underline{G}_{\mathfrak{p}}$. This implies that $\underline{G}(\mathbb{A}_{\infty})G(K) = \underline{G}^0(\mathbb{A}_{\infty})G(K)$, where \underline{G}^0 is the connected component of \underline{G} .

Since all fibers of the natural epimorphism

$$\varphi : \underline{G}(\mathbb{A})/G(K) \twoheadrightarrow \underline{G}(\mathbb{A})/\underline{G}(\mathbb{A}_{\infty})G(K)$$

are isomorphic to $\ker(\varphi) = G(\mathbb{A}_\infty)G(K)/G(K)$, we get a bijection of measure spaces

$$\begin{aligned}
 (5.2) \quad G(\mathbb{A})/G(K) &\cong \text{Im}(\varphi) \times \ker(\varphi) \\
 &= (G(\mathbb{A})/G(\mathbb{A}_\infty)G(K)) \times (G(\mathbb{A}_\infty)/G(\mathbb{A}_\infty) \cap G(K)) \\
 &= (\underline{G}^0(\mathbb{A})/\underline{G}^0(\mathbb{A}_\infty)G(K)) \times (\underline{G}^0(\mathbb{A}_\infty)/\underline{G}^0(\mathbb{A}_\infty) \cap G(K)) \\
 &\cong \text{Cl}_{\{\infty\}}(\underline{G}^0) \times (\underline{G}^0(\mathbb{A}_\infty)/\underline{G}^0(\mathbb{A}_\infty) \cap G(K))
 \end{aligned}$$

in which the left factor cardinality is the finite index $h_\infty(G) := h_{\{\infty\}}(\underline{G}^0)$ (see Section 2), and in the right factor $\underline{G}^0(\mathbb{A}_\infty) \cap G(K) = \underline{G}^0(\mathcal{O}_{\{\infty\}})$. Due to the Weil conjecture stating that $\tau(G^{\text{sc}}) = 1$, as was recently proved in the function field case by Gaistgory and Lurie (see [23, (2.4)]), applying the Tamagawa measure τ on these spaces results in the Main Theorem in [7]:

Theorem 5.1. *Let $\mathfrak{g}_\infty = \text{Gal}(\hat{K}_\infty^s/\hat{K}_\infty)$ be the Galois absolute group, $F_\infty := \ker(G_\infty^{\text{sc}} \rightarrow G_\infty)$, $\underline{F} := \ker(\underline{G}^{\text{sc}} \rightarrow \underline{G})$ whose order is prime to $\text{char}(K)$, and $\widehat{F}_\infty := \text{Hom}(F_\infty \otimes \hat{K}_\infty^s, \mathbb{G}_{m, \hat{K}_\infty^s})$. Then*

$$\tau(G) = h_\infty(G) \cdot \frac{t_\infty(G)}{j_\infty(G)},$$

where $t_\infty(G) = |\widehat{F}_\infty^{\mathfrak{g}_\infty}|$ is the number of types in one orbit of a special vertex, in the Bruhat–Tits building associated to $G_\infty(\hat{K}_\infty)$, and $j_\infty(G) = h_1(\underline{F})/h_0(\underline{F})$.

We adopt Definition 1.2 of being admissible to F , with a Galois extension L/K replacing R/\mathcal{O}_S . If \underline{G} is not of (absolute) type A and F is admissible, then due to the above results Theorem 5.1 can be reformulated involving the fundamental group data only:

Theorem 5.2. *Let G be an almost-simple group not of (absolute) type A defined over $K = \mathbb{F}_q(C)$ with an admissible fundamental group F whose order is prime to $\text{char}(K)$. Then for any choice of a prime ∞ of K one has:*

$$\tau(G) = \frac{\chi_{\{\infty\}}(\underline{F})}{|i(\underline{F})|} \cdot |\widehat{F}_\infty^{\mathfrak{g}_\infty}| = l(\underline{F}) \cdot |\widehat{F}_\infty^{\mathfrak{g}_\infty}|,$$

where $\chi_{\{\infty\}}(\underline{F})$ is the (restricted) Euler–Poincaré characteristic (cf. Definition 2.11), $i(\underline{F})$ and $l(\underline{F})$ are as in Definitions 1.1 and 2.12, respectively, and the right factor is a local invariant.

Proof. If G is not of (absolute) type A, according to Corollary 3.4 all genera of \underline{G} have the same cardinality. By Lemma 3.3 and Corollary 3.2 (\underline{F} is admissible as F is, see Remark 2.5) we then get

$$h_\infty(G) = |\text{Cl}_{\{\infty\}}(\underline{G})| = \frac{|H_{\text{ét}}^1(\mathcal{O}_{\{\infty\}}, \underline{G})|}{|\text{gen}(\underline{G})|} = \frac{h_2(\underline{F})}{|i(\underline{F})|}.$$

Now the first asserted equality follows from Theorem 5.1 together with Definition 2.11:

$$\begin{aligned} \tau(G) &= 1/j_\infty(\underline{G}) \cdot h_\infty(\underline{G}) \cdot t_\infty(G) \\ &= \frac{h_0(\underline{F})}{h_1(\underline{F})} \cdot \frac{h_2(\underline{F})}{|i(\underline{F})|} \cdot |\widehat{F}_\infty^{\mathfrak{g}_\infty}| = \frac{\chi_{\{\infty\}}(\underline{F})}{|i(\underline{F})|} \cdot |\widehat{F}_\infty^{\mathfrak{g}_\infty}|. \end{aligned}$$

The rest is Lemma 2.13. □

Remark 5.3. By the geometric version of Čebotarev’s density theorem (see in [20]), there exists a closed point ∞ on C at which G_∞ is split. We shall call such a point a *splitting point* of G .

Corollary 5.4. *Let G be an adjoint group defined over $K = \mathbb{F}_q(C)$ with fundamental group F whose order is prime to $\text{char}(K)$ and whose splitting field is L . Choose some splitting point ∞ of G on C and let R be a minimal étale extension of $\mathcal{O}_{\{\infty\}} := \mathbb{F}_q[C - \{\infty\}]$ such that $R \otimes_{\mathcal{O}_{\{\infty\}}} K = L$. Let $N^{(0)} : R^\times \rightarrow \mathcal{O}_{\{\infty\}}^\times$ be the induced norm. Then:*

- (1) *If G is of type ${}^2D_{2k}$ then $\tau(G) = \frac{|R^\times[2]|}{|R^\times : (R^\times)^2|} \cdot |F|$.*
- (2) *If G is of type ${}^{3,6}D_4$ or 2E_6 then $\tau(G) = \frac{|\ker(N^{(0)}[m])|}{|\ker(N^{(0)}/m)|} \cdot |F|$ (see Notation 2.6).*

In both cases if L is imaginary over K , then $\tau(G) = |F|$.

Proof. All groups under consideration are almost simple. When G is adjoint of type ${}^2D_{2k}$ then F is quasi-split, and when it is adjoint both of type ${}^{3,6}D_4$ or 2E_6 then $F = \text{Res}_{L/K}^{(1)}(\mu_m)$ where m is prime to $[L : K]$ (e.g., [28, p. 333]), thus F is admissible. So the assertions (1), (2) are just Theorem 5.2 in which as F_∞ splits, $|\widehat{F}_\infty^{\mathfrak{g}_\infty}| = |F_\infty| = |F|$.

As C is projective, removing a single point ∞ from it implies that $\mathcal{O}_{\{\infty\}}^\times = \mathbb{F}_q^\times$ (an element of $\mathcal{O}_{\{\infty\}}$ is regular at ∞^{-1} , thus its inverse is irregular there, hence not invertible in $\mathcal{O}_{\{\infty\}}$, unless it is a unit). If L is imaginary, then in particular $R = \mathbb{F}_q[C' - \{\infty'\}]$ where C' is a finite étale cover of C and ∞' is the unique point lying over ∞ , thus still $R^\times = \mathbb{F}_q^\times$ being finite, whence $|R^\times[2]| = |R^\times : (R^\times)^2|$. In the cases F is not quasi-split the equality $R^\times = \mathcal{O}_{\{\infty\}}^\times = \mathbb{F}_q^\times$ means that $N^{(0)}$ is trivial, and we are done. □

Acknowledgements. I thank P. Gille, B. Konyavskiĭ and U. Vishne for valuable discussions concerning the topics of the present article. I would like also to thank the anonymous referee for a careful reading and many constructive remarks.

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