

1 Targeting pancreatic stellate cells in cancer

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## 9 Abstract

10 Pancreatic stellate cells (PSCs) are the major contributor to the aggressive, metastatic and  
11 resilient nature of pancreatic ductal adenocarcinoma (PDAC), which has the worst prognosis  
12 with 5-year survival rate of 8%. PSCs constitute more than 50% of the tumor stroma in PDAC,  
13 where they induce extensive desmoplasia by secreting abundant extracellular matrix proteins.  
14 In addition, they also establish dynamic crosstalk with cancer cells and other stromal cells,  
15 which collectively support tumor progression via various inter-and intra-cellular pathways.  
16 These cellular interactions and associated pathways may reveal novel therapeutic  
17 opportunities against this unmet clinical problem. In this review, we will discuss the role of  
18 PSCs in inducing tumor progression, their crosstalk with other cells, and therapeutic strategies  
19 to target PSCs.

### 20 1. Pancreatic ductal adenocarcinoma

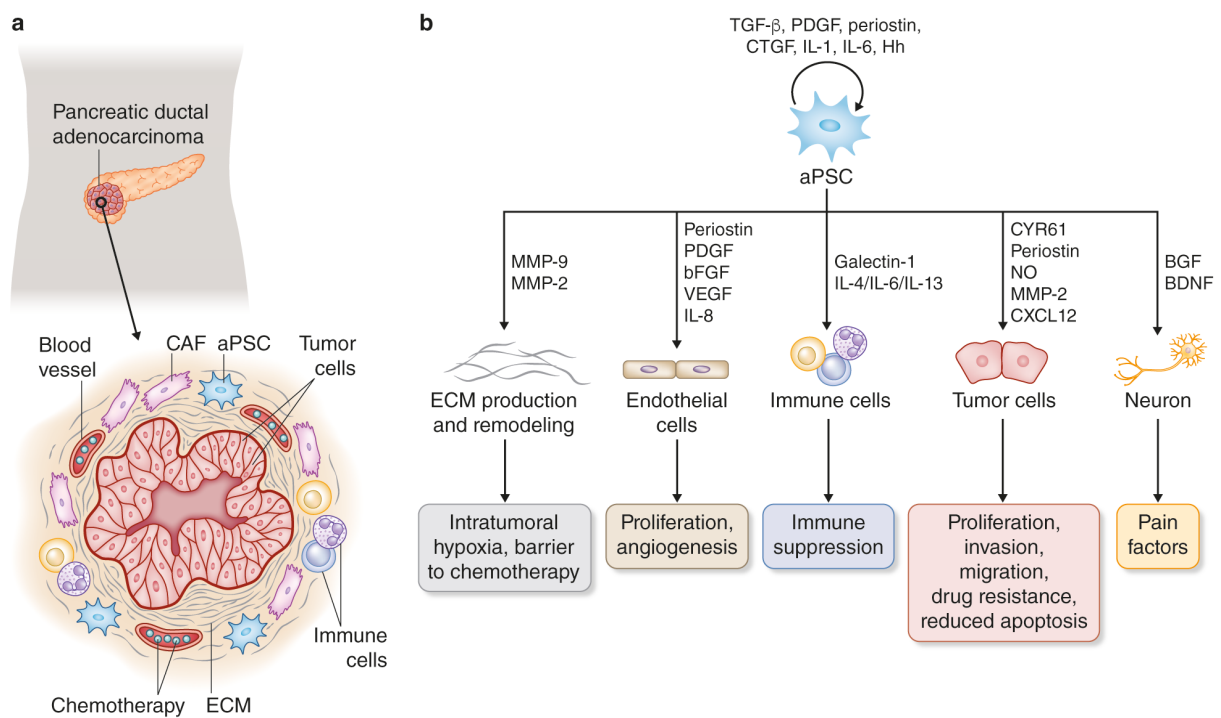
21 Pancreatic ductal adenocarcinoma (PDAC) is the most common form of pancreatic cancer that  
22 represents more than 90% of all pancreatic cancer types [1, 2]. Though the number of  
23 incidences for PDAC is rather low accounting for only 2% of all cancers, the mortality rate is  
24 tremendously high causing the 5-year survival rate of 8%, attributed to the rapid development  
25 of advanced disease or metastasis [3, 4]. The standard-of-care therapy for PDAC is  
26 combination chemotherapy, FOLFIRINOX, or gemcitabine plus nab-paclitaxel. These therapies,  
27 however, fail to show much benefits to PDAC patients. The aggressiveness of PDAC and the  
28 limited response to chemotherapies are attributed to the highly desmoplastic  
29 microenvironment. The tumor microenvironment (TME) in PDAC, which is often known as  
30 tumor stroma, can occupy up to 90% of the entire tumor mass [5]. Pancreatic stellate cells  
31 (PSCs) are the most prominent cell type with in the PDAC stroma, constituting about 50% of  
32 it. As a key player within the TME, pancreatic stellate cells (PSCs) have received enormous  
33 attention in the field of therapeutics against PDAC. The dynamic crosstalk between PSCs and  
34 cancer cells as well as PSCs' role in generating desmoplasia have already been well established  
35 [6-8]. Emerging literature has now unravelled new biological processes related to PSC induced  
36 tumor progression, survival and therapeutic escape mechanisms in PDAC [9-12]. These new  
37 insights will, in the near future, fuel the development of therapeutics against PDAC and  
38 support for the better clinical outcomes. In this review, we comprehensively discuss the

39 biological standing of PSCs in PDAC, their interaction with other cell types, molecular  
40 mechanisms controlling their phenotype and therapeutic strategies to target them.

## 41 2. Pancreatic stellate cells (PSCs) in PDAC

42 PSCs are star-shaped stromal cells located at the basolateral aspect of acinar cells or  
43 surrounding peri-vascular and peri-ductal regions in the healthy pancreas [6]. Quiescent PSCs  
44 are involved in the storage of vitamin A rich lipid droplets, normal exocrine and endocrine  
45 secretion, phagocytosis, immunity and maintenance of normal stroma composition [13].  
46 During the development of PDAC, quiescent PSCs get activated via various underlying  
47 mechanisms due to the influence of risk factors (ethanol and its metabolites, chronic  
48 inflammation and smoking), environmental stress (e.g. hypo-perfusion, hypoxia, oxidative  
49 stress), cellular secretory factors (e.g. interleukin-1 (IL-1), interleukin-6 (IL-6), hypoxia-  
50 inducible factor 1-alpha (HIF1 $\alpha$ ), transforming growth factor-beta (TGF- $\beta$ ), connective tissue  
51 growth factor (CTGF)), and molecular signaling pathways (e.g. Wnt/ $\beta$ -catenin signaling, PI3K  
52 pathway) [14]. The activated PSCs (aPSCs) lose cytoplasmic vitamin A storing lipid droplets and  
53 express  $\alpha$ -smooth muscle actin ( $\alpha$ -SMA) and large amounts of extracellular matrix (ECM) [14,  
54 15].  $\alpha$ -SMA expression on aPSCs has been directly correlated with PDAC clinic-pathological  
55 characteristics and is known as an independent positive prognostic parameter [14, 15]. aPSCs  
56 possess a proliferative, migratory phenotype and induce desmoplasia by synthesizing  
57 abundant ECM components such as collagens, fibronectin, laminin and hyaluronic acid and  
58 unbalanced expression of matrix-metalloproteases (MMPs) and tissue inhibitors of  
59 metalloproteinases (TIMPs) (**Figure 1**) [6, 14]. Additionally, aPSCs secrete increased levels of  
60 cytokines such as interleukin-1, -6, -8 and -10 (IL-1, -6, -8 and -10) and growth factors, including  
61 insulin-like growth factor 1 (IGF1), vascular endothelial growth factor (VEGF), and platelet  
62 derived growth factor (PDGF), fibroblast growth factor (FGF), connective tissue growth factor  
63 (CTGF) and C-X-C motif chemokine 12 (CXCL12) [6, 7]. These cytokines and growth factors  
64 promote angiogenesis, and proliferation, migration and invasion of epithelial cancer cells that  
65 leads to metastasis [6, 8, 14]. Furthermore, PSCs-secreted soluble factors, especially IL-6, has  
66 been shown to be involved in transitioning of non-invasive into invasive PDAC, and to drive  
67 immunosuppression in the TME by promoting the accumulation of myeloid-derived  
68 suppressor cells (MDSCs), via STAT3-dependent mechanism [16, 17]. Moreover, cancer cells  
69 also secrete cytokines such as IL-1, IL-6 and TNF- $\alpha$ , and growth factors including TGF- $\beta$ 1, PDGF-

70 BB [2]. These reciprocal interactions between cancer cells and aPSCs contribute to the  
 71 progression of PDAC significantly. Interestingly, Sousa *et. al.*, have shown that PDAC cells  
 72 induce autophagy in PSCs to secrete alanine to sustain PDAC cells metabolic needs and growth  
 73 in the nutrient-deprived pancreatic cancer environment [18]. More recently, Hessmann *et. al.*  
 74 have demonstrated that PSCs actively contribute to drug resistance of pancreatic tumors by  
 75 entrapping gemcitabine within their cytoplasm and thereby limiting the effect of gemcitabine  
 76 on pancreatic cancer cells [11]. These studies suggest the reciprocal communication between  
 77 tumor cells and PSCs support PDAC growth and aggressiveness.



78

79 **Figure 1. Role of activated pancreatic stellate cells (aPSCs) in pancreatic ductal adenocarcinoma**  
 80 **(PDAC).** (a) The zoomed image of tumor shows the arrangement of ductal tumor cells in PDAC (inside)  
 81 surrounded by tumor stroma containing cancer-associated fibroblasts CAFs (or aPSC) and extracellular  
 82 matrix (ECM) as well as blood vessels. CAFs are mainly derived from PSCs. Tumor stroma acts as a  
 83 barrier to chemotherapy which cannot penetrate through the thick stroma layers. (b) aPSCs act in an  
 84 autocrine and a paracrine manner by secreting several growth factors and cytokines which activate  
 85 themselves and other stromal cells. aPSCs also produce abundant extracellular matrix (ECM) proteins  
 86 and remodel it.

87 Abbreviations: TGF- $\beta$ , transforming growth factor-beta; PDGF, platelet-derived growth factor; CTGF,  
 88 Connective tissue growth factor; IL-1,-4,-6,-8,-13 Interleukin-1,-4,-6,-8,-13; Hh, hedgehog; bFGF, basal  
 89 fibroblast growth factor; VEGF, vascular endothelial growth factor; CYR61, cysteine-rich angiogenic

90 *inducer 61; NO, nitric oxide; MMP-2, matrix metalloproteinase-2; CXCL-12, C-X-C Motif Chemokine*  
91 *Ligand 12; BGF, bone growth factor; BNGF, beta-nerve growth factor.*

### 92 3. Differences in PSC activation in PDAC versus pancreatic fibrosis

93 PSCs differentiate into myofibroblasts in pancreatic fibrosis and PDAC [19, 20]. PSCs have been  
94 shown to play a crucial role in pancreatitis [21] leading to fibrosis. However, the major  
95 question that remain unanswered is whether PSCs differentiate differently and contribute to  
96 pancreatic fibrosis and PDAC. During pancreatitis, PSCs are mainly recruited, to a larger extent,  
97 from resident PSCs and to a lesser extent from bone marrow [2]. aPSCs were found to be  
98 present at the early stages of acute pancreatitis and contribute to gland repair and recovery  
99 without residual pathological fibrosis [22]. The absence of fibrosis in acute pancreatitis was  
100 attributed to the bile acid-induced necrosis of aPSCs [23]. However, chronic pancreatitis  
101 presents with a massive amount of fibrosis related to aPSCs, as shown by the overexpression  
102 of nerve growth factor (NGF), selective marker for aPSC [24]. During chronic pancreatitis, PSCs  
103 are activated [14] by acinar cells in a paracrine manner through the secretion of TGF- $\beta$  [25].  
104 Additionally, cytokines, reactive oxygen species (ROS) and oxidative stress in the fibrotic areas  
105 of pancreatitis contributes to PSC activation [14]. Prominently, it has been demonstrated that  
106 progression of chronic pancreatitis is closely associated with crosstalk between alternatively  
107 activated macrophages (AAMs) and PSCs, whereby PSCs have been suggested to be a source  
108 of IL-4/IL-13 resulting in the activation of AAMs and fibrosis progression [26]. The proliferation  
109 of aPSCs in chronic pancreatitis is likely due to increased expression of the mitogen platelet-  
110 derived growth factor receptor (PDGFR) [27]. Furthermore, chronic pancreatitis poses a high  
111 risk for PDAC development, indicating a role of the fibrotic microenvironment in PDAC  
112 progression [4]. Binkley *et al.* have found 107 genes that are commonly expressed in the  
113 stromal cells of patients with PDAC or with chronic pancreatitis [28]. Additionally, in PDAC,  
114 aPSCs were found in pre-invasive ductal lesions surrounding stroma, in pancreatic  
115 intraepithelial neoplastic lesions (PanIN) and invasive carcinomas with chronic pancreatitis  
116 [29].

117 In PDAC, aPSCs are confronted with additional growth factors secreted by malignant cells and  
118 other stromal cells which are already educated by malignant cells. This can lead to generation  
119 of several differently activated PSCs. However, research defining PSC activation stages and  
120 their differentiation into different cancer-associated fibroblasts (CAFs) populations is just

121 evolving. A recent study by Ohlund *et al.* made a first step in defining functionally different  
122 CAF subtypes, originated from aPSCs, named myofibroblastic CAFs (myCAFs) and  
123 inflammatory CAFs (iCAFs) [30]. MyCAFs show elevated levels of  $\alpha$ -SMA expression and are  
124 located in close proximity to neoplastic cells, while iCAFs are located more distant from  
125 neoplastic cells, lack  $\alpha$ -SMA expression but secrete high amounts of IL-6 and other chemokines  
126 known to support cancer progression. However, CAF populations do not seem to be limited  
127 to myCAFs and iCAFs. The authors have also revealed an additional population of CAFs which  
128 are negative for both,  $\alpha$ -SMA and IL6, indicating heterogeneity of CAFs. Very recently, the  
129 group of Tuveson has demonstrated that IL-1 is responsible for generating iCAFs by activating  
130 JAK/STAT pathway, and this process can be antagonized by TGF- $\beta$  by downregulating IL-1R1  
131 expression which promotes differentiation into myofibroblasts [12]. Another study  
132 demonstrated the presence of two distinct populations of activated PSCs i.e. CD10 positive  
133 and CD10 negative in resected pancreatic cancer tissue. The authors showed that CD10  
134 expression on PSCs was markedly higher in tumor tissue and was associated with positive  
135 nodal metastases and poor prognosis [31]. Franco-Barraza *et al.* have identified a CAF  
136 phenotype with high expression of plasma membrane-localized, active  $\alpha$ 5 $\beta$ 1 integrin. They  
137 have correlated the desmoplastic traits and prognosis of neoplastic recurrence with integrin  
138  $\alpha$ 5 $\beta$ 1 expression, which has shown to be matrix-regulated by integrin  $\alpha$ v $\beta$ 5 [32]. In this study,  
139 the author's proposed a novel prognostic tool, in which they used stromal localization and  
140 levels of active Smad 2/3 and integrin  $\alpha$ 5 $\beta$ 1 to distinguish patient-protective from patient-  
141 detrimental desmoplasia, to predict pancreatic cancer recurrence [32]. We have recently  
142 shown integrin  $\alpha$ 5 (ITGA5) as a prognostic marker, as its overexpression in PDAC stroma was  
143 associated with poor survival of patients [33]. Furthermore, knockdown of ITGA5 in PSCs  
144 inhibited their adhesion, migration, and proliferation and also inhibited TGF $\beta$ -mediated  
145 differentiation into CAFs and PSC-induced tumor cell proliferation and migration [33].

146 These evidences underline that in pancreatic fibrosis PSCs mainly differentiate into  
147 myofibroblasts whereas in the complex microenvironment of PDAC they differentiate into  
148 different fibroblasts (CAFs) which may eventually perform a variety of functions.

#### 149 4. Role of aPSCs in PDAC pathophysiology

150 PDAC develops from histologically different precursor lesions known as pancreatic  
151 intraepithelial neoplasia (PanIN), intraductal papillary mucinous neoplasm (IPMN) and

152 mucinous cystic neoplasm (MCN), with decreasing frequency of development, respectively  
153 [34]. The majority of invasive PDAC develops from PanIN lesions which are characterized into  
154 PanIN-1, PanIN-2 and PanIN-3 by their degree of dysplasia [35]. Next to PanIN, IPMN lesions  
155 are precursors of invasive PDAC and therefore early detection of PanIN and IPMN lesions  
156 presents the opportunity to cure pancreatic cancer before the development of an invasive  
157 carcinoma [36]. Genetic analysis of PanIN lesions has shown increasing incidence of *KRAS*,  
158 *p16/CDKN2A* and *BRAF* mutations [34].

159 Staining of  $\alpha$ -SMA indicates the presence of aPSCs surrounding PanIN lesions [37]. IL-6  
160 secreted by aPSCs was found to activate STAT3 signaling in non-invasive, precursor PanIN cells,  
161 thereby causing enhanced cell invasion and colony formation [17]. Both, IL-6 neutralization  
162 and STAT3 inhibition resulted in attenuation of aPSC-conditioned medium induced STAT3  
163 signaling and tumorigenicity, indicating a novel role for aPSCs in the transition of non-invasive  
164 pancreatic precursor cells into invasive PDAC [17]. In *KRAS*<sup>G12D</sup> mice (mice with activated  
165 *KRAS*), high-fat and high-calorie diet and exposure to smoking compounds promoted the  
166 formation of advanced PanIN lesions with aPSCs [6].

167 Although aPSCs have been linked to genomic instability and are capable of inducing EMT in  
168 PDAC, PSCs effects have not been directly linked to the genetic mutation that are acquired  
169 during PDAC onset and progression, which still needs to be further investigated.

## 170 5. Role of aPSCs in PDAC aggressiveness

171 The aggressive character of PDAC possibly reflects several factors such as the highly  
172 proliferative nature of tumor cells, chemoresistance leading to inhibition of apoptosis in tumor  
173 cells, early attainment of metastatic phenotype by tumor cells, suppressed tumor immunity  
174 and poor penetration of chemotherapy to tumor cells, due to a stromal physical barrier [38,  
175 39].

176 Activated PSCs contribute to almost all these factors and for simplicity their contribution can  
177 be divided into two sections i) physical interaction ii) crosstalk within stroma. The mechanisms  
178 of growth factor and cytokine crosstalk between aPSCs, tumor cells and cells of the stroma are  
179 described in the following section and are depicted in **Figure 1** [38].

180

## 181 5.1. Physical interaction of PSCs in PDAC

182 There are several ways by which aPSCs contribute to the aggressiveness of PDAC by limiting  
183 the efficacy of standard treatments. aPSC-induced desmoplastic reaction plays a significant  
184 role in the chemoresistance. The extensive desmoplastic reaction with an abundant amount  
185 of aPSC-secreted ECM proteins leads to intra-tumoral hypoxia and a self-perpetuating fibrosis  
186 cycle [38]. The tumoral hypoxia causes genomic instability of cancer cells leading to epithelial  
187 to mesenchymal transition (EMT), an increased malignant behavior and resistance to  
188 chemotherapy [38]. Additionally, aPSCs have been recognized to be present in metastatic  
189 nodules, which indicates their ability to intravasate and extravasate in and out of blood  
190 vessels, survive in the blood circulation and seed in the distant organs, thereby creating a  
191 metastatic niche [40]. Very recently, autophagy in aPSCs induced by environmental stress and  
192 tumor cell-stroma interactions, was reported to be associated with histological grading,  
193 peritoneal dissemination, perivascular invasion and lymph node metastasis [41].

194 aPSCs produce excessive amounts of ECM molecules, such as collagens, fibronectin, laminin  
195 and tenascin-C, which not only interact with PSCs but also control the tumor cell phenotype  
196 [42]. Berchtold *et al.* have shown an overexpression of collagen V in PDAC samples, which is  
197 produced by PSCs, act as an important mediator for viability, adhesion, migration, and  
198 metastatic potential of pancreatic cancer cells regulated via  $\beta$ 1-integrin/FAK signaling  
199 pathway [42]. Furthermore, the densely deposited ECM acts as a physical barrier and  
200 therefore prevents drug penetration through constricted blood vessel, thereby impairing drug  
201 delivery to cancer cells [43]. Recently, another novel molecular mechanism has been proposed  
202 wherein TGF- $\beta$ -activated PSCs express cysteine-rich angiogenic inducer 61 (CYR61), a  
203 matricellular protein regulating the nucleoside transporters hENT1 and hCNT3 responsible for  
204 the cellular uptake of gemcitabine [44]. This causes deprivation of gemcitabine from tumor  
205 cells leading to the treatment failure.

## 206 5.2. Role of aPSCs in PDAC metabolic reprogramming

207 aPSCs-secreted ECM contributes to dense fibrotic stroma and increased interstitial pressure  
208 [45]. As a consequence, enhanced stromal pressure results in vascular collapse, hypo-  
209 perfusion, and lack of nutrient and oxygen delivery to the tumor tissue [46, 47]. Enhanced  
210 glucose metabolism via Warburg or reverse Warburg effect in cancer cells [48, 49], remain  
211 insufficient to compensate for tumor growth and survival. Metabolic rewiring between cancer



212 cells and stromal components support the nutritional needs for tumor growth. Several studies  
213 have suggested the critical role of aPSCs in PDAC metabolic reprogramming thereby  
214 promoting PDAC progression and invasiveness under nutrient-deprived conditions [50, 51]. It  
215 has been increasingly recognized that mutual metabolic cross-talk between PDAC cells and  
216 aPSCs is a result of genetic mutations and paracrine signaling [47, 51]. Oncogenic *KRAS*  
217 mutation in PDAC cells has been shown to enhance glucose uptake, activate aerobic glycolysis  
218 and glutamine metabolism (source of carbon and nitrogen) via regulation of different  
219 pathways [51]. *KRAS* mutation induces sonic hedgehog secretion from PDAC cells to activate  
220 PSCs which in turn activate downstream PI3K-AKT pathway and increased mitochondrial  
221 respiratory activity and oxygen availability for PDAC cells under hypoxic conditions [52].  
222 Furthermore, *KRAS*-mutant PDAC cells upregulate micropinocytosis to import extracellular  
223 proteins for lysosomal-mediated catabolism for fueling TCA cycle, essential amino acid  
224 recycling thereby supporting tumor growth [53]. Furthermore, PSCs-derived exosomes  
225 containing mRNA, miRNA, intracellular metabolites (amino acids, acetate, stearate, palmitate  
226 and lactate) to fuel tricarboxylic acid (TCA) cycle in PDAC cells and enhance tumor growth [54].  
227 Strikingly, PDAC cells has been shown to increase autophagy in PSCs, mediating secretion of  
228 alanine as an alternative carbon source to glucose and glutamine, thereby compensating PDAC  
229 cells nutritional needs via Ser/Gly, lipid and NEAAs biosynthesis [18]. Altogether, aPSCs, via  
230 metabolic cross-talk with PDAC cells, play a significant role in PDAC progression under  
231 nutrient-deprived environment.

232

### 233 5.3. PSC crosstalk within stroma

#### 234 5.3.1. Crosstalk with tumor cells

235 Within the tumor stroma, growth factors, chemokines, cytokines, miRNAs and exosomes  
236 secreted by PSCs are known for their ability to act in an autocrine fashion, resulting in PSC  
237 activation or exert paracrine signals on epithelial tumor cells to increase the proliferation,  
238 migration, and invasion of tumor cells [10, 55-57]. Additionally, paracrine factors secreted by  
239 aPSCs protect tumor cells from apoptosis, radiotherapy, and chemotherapy [58]. Activated  
240 PSCs are capable of secreting nitric oxide which in turn enhance IL-1 $\beta$  expression in PDAC  
241 cancer cells in a paracrine fashion [59]. The autocrine IL-1 $\beta$ -dependent pathway in cancer cells  
242 is related to the chemoresistance. Secretion of aPSC-specific periostin sustains the activity of

243 aPSCs and increases PDAC cancer cells resistance to chemoradiation [49]. Periostin is also  
244 associated with a poor prognosis of PDAC and promotes PDAC cancer cell proliferation and  
245 metastasis via the epidermal growth factor receptor (EGFR)-Akt and EGFR-extracellular signal  
246 regulated kinase-c-Myc pathways [49]. Moreover, periostin silencing was found to be  
247 associated with an inhibition in gemcitabine resistance *in vitro* and *in vivo* [60]. The  
248 radioresistance effect of aPSCs is mostly dependent on integrin- $\beta$ 1-FAK signaling, since  
249 abrogating this pathway decreases aPSC-mediated protection of PDAC cancer cells against  
250 radiation [61].

251 The increased ECM deposition in pancreatic cancers results from the paracrine stimulation of  
252 PSCs by cancer cells [14]. Furthermore, we and others have shown that co-injection of PSCs  
253 and tumor cells (e.g. PANC-1, BxPC3, MiaPaCa2) in an orthotopic models exhibited increased  
254 tumor growth as compared to subcutaneous tumors consisting solely of tumor cells,  
255 suggesting crucial role of PSCs in supporting and promoting pancreatic cancer [33, 62-65]. We  
256 have shown that subcutaneous tumors formed with PANC-1 and PSCs with ITGA5 knockdown  
257 develop smaller and less fibrotic tumors when compared to tumors formed with PANC-1 and  
258 normal PSCs in mice [33]. In PDAC, PSCs have shown to possess ECM remodeling capabilities  
259 via matrix contraction and increasing alignment and thickness of collagen fibrils [66-68].  
260 Several studies have demonstrated the importance of ECM remodeling and stiffness in  
261 pancreatic tumor growth, PSCs activation, PSCs and PDAC cells migration and invasion [66, 68-  
262 70]. Next to inducing the desmoplastic reaction in PDAC, aPSCs promote metastasis and  
263 invasion in PDAC, by the induction of EMT in epithelial tumor cells [71]. Recently, we shown  
264 that integrin  $\alpha$ 11, a collagen binding receptor, is overexpressed in PDAC stroma and plays a  
265 key role in controlling PSC activation by TGF- $\beta$  or tumor cells and also PSC-mediated tumor  
266 cell migration and invasion [72]. In co-cultures of PSCs and tumor cells, tumor cells attain EMT  
267 characteristics such as reduced cell-to-cell contacts, a scattered and fibroblast-like shape,  
268 increased migration as well as loss of epithelial markers (e.g. e-cadherin, cytokeratin-19 and  
269 membrane-associated  $\beta$ -catenin) and gain of mesenchymal markers (e.g. Snail and vimentin)  
270 [73]. Indications for aPSC-induced EMT in cancer cells *in vivo* has been demonstrated by a  
271 decreased expression of e-cadherin and increased expression of vimentin and n-cadherin at  
272 the invasive front of PDAC, where cancer cells get exposed to the signals from stromal cells  
273 [71]. Furthermore, EMT has also been related to chemoresistance, another factor by which  
274 PSCs contribute to the PDAC drug resistance [14]. More recently, galectin-1-induced

275 upregulation of stromal derived factor (SDF-1), also known as C-X-C motif chemokine 12  
276 (CXCL12) in aPSCs was shown to promote pancreatic cancer metastasis [9]. Next to the ability  
277 of PSCs to increase the metastatic potential of PDAC cancer cells, PDAC cells secrete PDGF,  
278 which is a chemotactic factor that potentially regulates the role of PSCs in the metastatic  
279 niche.

280 Several studies have identified that cancer stem cells, within the pancreatic tumor possess  
281 highly tumorigenic, chemo-resistant and metastatic phenotypes leading to post-operative  
282 recurrence, re-growth of therapy-resistant tumors and metastasis respectively [74-79].  
283 Hamada *et al.*, have demonstrated that PSCs enhances cancer stem cell-like phenotypes in  
284 pancreatic cancer cells based on increased expression of stem-cell related genes such as  
285 ABCG2, nestin, and LIN28 suggesting a role of PSCs in development of the cancer stem cell  
286 niche [80].

### 287 5.3.2. Crosstalk with immune cells

288 In PDAC, aPSCs, cancer-infiltrating macrophages, immunosuppressive myeloid-derived  
289 suppressor cells (MDSCs), mast cells and regulatory T-cells, secrete increased levels of  
290 immunosuppressive cytokines, such as IL-10 and TGF- $\beta$ 1 which inhibit the activation of  
291 dendritic cells thereby suppressing immune responses and inducing immune tolerance [81].  
292 MDSCs are highly elevated in the peripheral blood samples and in pancreatic tumor  
293 microenvironment and are associated with a poor prognosis in PDAC patients [82, 83]. PSCs  
294 potentially drive expansion and differentiation of MDSC which promotes an  
295 immunosuppressive microenvironment via IL-6/STAT3 pathway driving immune escape and  
296 resistance to immunotherapy [16, 84]. In pancreatic cancer, obesity is associated with  
297 increased desmoplasia [85]. In this context activation of PSCs has been induced by tumor-  
298 associated neutrophils (TAN) which are recruited by IL-1 $\beta$ , secreted by adipocytes [85].  
299 Additionally, macrophages were shown to activate PSCs via hypoxia inducible factor 1 (HIF-1)  
300 secretion [57]. aPSCs are also known to modulate the proliferation and apoptosis of effector  
301 T-cells, block T-cell activation, induce T-cell death, retaining T-cells within an anergic state  
302 within the tumor and skew the cytokine secretion towards a T helper type 2 (Th2) immune  
303 response via the secretion of Galectin-1 [86]. Ene-Obong *et al.* showed that activated PSCs  
304 reduced migration of CD8(+) T cells to juxtatumoral stromal compartments and thereby  
305 prevented their access to cancer cells [87]. Instead of that, activated PSCs attracted these T

306 cells towards themselves by secreting CXCL12 and this process prevented an effective  
307 antitumor immune response [87]. Xue *et al.* have demonstrated that aPSCs secrete IL-4 and  
308 IL-13 which transform macrophages into alternatively activated M2 macrophages, which in  
309 turn activate PSCs by secreting TGF- $\beta$  and PDGF [26]. Furthermore, they demonstrated that  
310 intervening into IL-4/IL-13 pathways could turn-off this feed forward process, which could be  
311 an interesting pathway for developing therapeutics.

### 312 5.3.3. Crosstalk with endothelial cells

313 Activated PSCs produce a number of pro-angiogenic factors, including VEGF, bFGF, IL-8, PDGF  
314 and periostin, but also MMP-9 which contributed to blood vessel formation by decomposing  
315 the basement membrane [71]. VEGF promotes endothelial cell proliferation, survival and  
316 permeability, thereby inducing angiogenesis [71]. Periostin increases endothelial cell growth,  
317 migration, and maintains PSCs phenotype [71]. Prokineticin (PK) is another protein secreted  
318 by aPSCs, which induces the function of the PK/PKR system in endothelial cells and thereby  
319 promotes angiogenesis [71]. Our group has shown earlier that TGF $\beta$ -activated hPSCs induced  
320 tumor cell growth and endothelial cell tube formation, regulated via the therapeutic  
321 microRNAs-199a-3p and microRNA-214-3p [88]. Another study has also shown that PSCs  
322 increase endothelial cell tube formation and proliferation via hepatocyte growth factor  
323 (HGF)/c-MET/urokinase-type plasminogen activator (uPA) pathway [89].

### 324 5.3.4. Crosstalk with neurons

325 PSCs are capable of inducing neuron outgrowth, as was demonstrated by the incubation of  
326 dorsal root ganglia with the conditioned medium collected from PSCs derived from human  
327 pancreatic cancer [90]. More recently it has been shown that PSCs contribute to pain in  
328 pancreatic cancer via sonic hedgehog (SHH) pathway stimulated secretion of neurotrophic  
329 factors, such as nerve-growth factor (BGF) and brain-derived neurotrophic factors (BDNF),  
330 inducing the secretion of pain factors from dorsal root ganglia [91].

331 These studies demonstrate that aPSCs aggravate the tumor microenvironment not only by  
332 producing ECM but also by establishing a crosstalk with cancer cells and other stromal cells.  
333 Disruption into the crosstalk using targeting technologies may provide novel therapeutic  
334 options.

## 335 6. Is reprogramming of aPSC a potential therapeutic approach?

336 Since conventional therapeutic strategies used for the treatment of PDAC, as chemo- and  
337 radiotherapy, only bring minor survival benefits, dampening the tumor supportive function of  
338 the tumor stroma by modulating aPSCs seems to be a promising strategy to improve PDAC  
339 treatment. On the one hand, therapeutic strategies aiming to deplete PSCs have proven to  
340 contribute to the aggressiveness of PDAC rather than contributing to therapeutic benefits. On  
341 the other hand, there is convincing evidence that therapeutic strategies that aim at  
342 reprogramming of aPSCs hold great promise. The development of aPSC-specific therapeutic  
343 strategies should focus on inhibiting the activation of quiescent PSCs and their differentiation  
344 into CAFs, which will inhibit the further enhancement of stroma and stroma-induced tumor  
345 promoting effects. Another way could be to reverse aPSCs or CAFs into quiescent PSCs, if in  
346 any case possible. This would not only impede the aPSC-induced effects immediately, but also  
347 start reversing the pro-tumorigenic microenvironment. This will block the effects of secreted  
348 growth factor, cytokines and chemokines involved in the crosstalk between PSCs and PDAC  
349 cancer cells. Extensive research has been steered in this direction, some of which has been  
350 discussed below.

## 351 7. Strategies to modulate aPSCs and CAFs

352 A number of strategies have been under intense investigation to disrupt or modulate the  
353 tumor stroma based on aPSC targeting. These studies are summarized in **Table 1**.

354 **Sonic Hedgehog pathway:** Having the role of the hedgehog pathway in supporting the tumor  
355 stroma via paracrine signaling from neoplastic to stromal cells, Olive *et al.* [92] investigated  
356 the effects of a hedgehog inhibitor IPI-926 on the delivery and efficacy of gemcitabine. This  
357 combination therapy increased the intra-tumoral vascular density as well as the intra-tumoral  
358 concentration of gemcitabine, resulting is a transient stabilization of the disease [92].  
359 Conversely, Rhim *et al.* [93] demonstrated that deletion of sonic hedgehog in a mouse model  
360 of PDAC, resulted in tumors with reduced stroma content. These tumors were more  
361 aggressive, exhibited undifferentiated histology, increased vascularity and heightened  
362 proliferation compared to controls [93]. Consequently, a follow up phase II clinical trial with  
363 hedgehog inhibitor IPI-926 was discontinued due to increased mortality [94]. Similar to IPI-  
364 926, other stroma-depleting therapeutic strategies did not improve patient survival and in  
365 some cases were associated with adverse effects. Özdemir *et al.* performed a study in which

366  $\alpha$ -SMA expressing myofibroblasts were depleted in transgenic mice, resulting in invasive,  
367 undifferentiated tumors with enhanced hypoxia, epithelial-to-mesenchymal transition, cancer  
368 stem cells and reduced survival [95]. On the one hand, these findings highlight that the stroma  
369 has tumor suppressive properties. On the other hand, the negative outcome of stroma  
370 depleting studies might be due to the complete removal of fibrotic barriers which hold tumor  
371 cells in place and prevents their metastasis/invasiveness. Therefore, modulating the tumor  
372 stroma to dampen the tumor promoting activities rather than depleting the stroma could  
373 result in therapeutic benefits [96]. More recently, the benefits of this strategy have been  
374 demonstrated by us and others [97, 98].

375 **PEGylated hyaluronidase:** Within PDAC tumor, solid stress has been closely related to drug  
376 resistance and therapeutic strategies decreasing solid stress show potential therapeutic  
377 benefit [85]. ECM components such as collagen and hyaluronic acid, and aPSCs are the main  
378 components of the stroma causing solid stress [14]. A few studies have been performed  
379 investigating the effect of the stroma and/or stromal components on drug penetration.  
380 Inhibiting hedgehog signaling to deplete tumor stromal tissue could enhance the delivery of  
381 chemotherapy in PDAC tumor-bearing mice [92]. Other studies have enzymatically degraded  
382 hyaluronic acid in the tumor stroma which resulted in normalized interstitial fluid pressure,  
383 re-expansion of the vasculature, increased tumor suppression with gemcitabine and  
384 prolonged survival [45, 99]. The PEGylated hyaluronidase (PEGPH20) has been assessed in  
385 combination with gemcitabine, improving survival and attenuating tumor growth in mice  
386 when compared with gemcitabine alone [99]. In a phase Ib study, PEGPH20 in combination  
387 with gemcitabine showed an increase in progression-free and overall survival rates of patients  
388 with metastatic PDAC, but also thromboembolic event in 29 % of patients [100]. PEGPH20 is  
389 currently in clinical trials in advanced cancer patients.

390 **MMP inhibitors:** Inhibition of MMPs is another interesting therapeutic strategy for the  
391 treatment of PDAC. Marimastat, a broad-spectrum MMP inhibitor, was assessed in a  
392 randomized clinical trial in which the 1-year survival rate of patients treated with marimastat  
393 was similar to those treated with gemcitabine [101]. However, when marimastat was tested  
394 as a combination therapy with gemcitabine, it showed no additional benefits compared to  
395 gemcitabine [102]. Bay 12-9566, an inhibitor of MMP-3, -9 and -13, has also been compared

396 to gemcitabine in a phase III clinical trial but showed less therapeutic efficacy in advanced  
397 PDAC [103].

398 **CTGF inhibitor:** Connective tissue growth factor, which is known to induce aPSCs proliferation,  
399 migration and ECM production, is another potential therapeutic target [104]. CTGF has been  
400 blocked using the monoclonal antibody FG-3019 [105] or antagonist, blocking the interaction  
401 between CTGF and chemokine receptors [106]. FG-3019 induced the effectiveness of  
402 gemcitabine but did not affect intra-tumoral accumulation of gemcitabine in a mouse model  
403 of PDAC [105].

404 **Pirfenidone:** Pirfenidone, an anti-fibrotic agent, has been shown to reduce aPSC proliferation,  
405 invasion, migration, secretion of collagen and periostin, and decreased overall tumor growth,  
406 peritoneal disseminated nodules and liver metastasis in an orthotopic aPSCs and cancer cells  
407 co-injection tumor model [107]. When pirfenidone treatment was combined with  
408 gemcitabine, tumor growth was significantly attenuated compared to gemcitabine alone  
409 [107]. Additionally, pirfenidone has been used in combination with N-acetyl cysteine, and  
410 reduced desmoplasia in an orthotopic hamster model, induced with HapT1 pancreatic cancer  
411 cells [108].

412 **Angiotensin inhibitors:** Two different inhibitors have been used against angiotensin II, which  
413 stimulates proliferation of aPSCs through the protein kinase C and EGF-ERK pathway [2].  
414 Olmesartan is an angiotensin II type I receptor blocker, which decreased the proliferation and  
415 collagen I synthesis of aPSCs and inhibited the growth and  $\alpha$ -SMA expression in subcutaneous  
416 tumors consisting of AsPc-1 and aPSCs [109]. Another angiotensin II type I receptor inhibitor,  
417 losartan, reduced stress in solid tumors, resulting in increased vascular perfusion which  
418 enhanced chemotherapy efficiency in pancreatic and breast cancer models [110].

419 **Vitamin A and D analogs:** Other strategies focus on reprogramming of aPSCs into their  
420 quiescent state to diminish aPSC-induced tumor promotion [2]. When activated, PSCs lose  
421 their cytoplasmic vitamin A (retinol) storing lipid droplets. Patients with PDAC are often  
422 deficient in vitamin A and D, which supports the activation of PSCs [111] Treatment of aPSC *in*  
423 *vitro* with all-trans retinoic acid (ATRA) showed inhibitory effects on aPSC migration and  
424 collagen synthesis [111]. Additionally, ATRA treatment of aPSCs induced quiescence in PSCs,  
425 leading to reduced proliferation and increased apoptosis of surrounding cancer cells [112].  
426 Currently, a phase Ib study is underway investigating ATRA along with gemcitabine and nab-

427 paclitaxel in PDAC [113]. More recently, ATRA has been combined with heat shock protein 47  
428 (HSP47) targeting siRNA, capable of reprogramming hPSCs, and delivered using a pH-  
429 responsive polyethylene glycol (PEG) grafted polythylamine (PEI)-coated gold nanoparticles  
430 [114]. This nanoparticle formulation reprogrammed PSCs and inhibited ECM hyperplasia,  
431 causing enhanced drug delivery to orthotopic (hPSC + Panc-1) pancreatic tumors, thereby  
432 increasing the efficacy of gemcitabine [114]. Additionally, the vitamin D receptor (VDR) has  
433 been shown to be a master transcriptional regulator to regain the quiescent state of PSCs [98].  
434 Calcipotriol, a VDR ligand in combination with gemcitabine, could induce stromal  
435 reprogramming in  $KRAS^{G12D/+}$ ;  $p53^{R172H/+}$ ; PdxCre mice (referred to as KPC mice), increase drug  
436 accumulation in tumors, reduce tumor volume and increase survival compared to gemcitabine  
437 treatment alone [98].

438 **MicroRNA based approaches:** Another interesting class of targets to reprogram aPSCs are  
439 microRNAs (miRNAs), small non protein coding single stranded RNA molecules, which regulate  
440 posttranscriptional gene expression [115]. A single miRNA sequence can regulate hundreds of  
441 target genes and miRNAs can thereby act as oncogenes or tumor suppressors [115].  
442 Therefore, blocking of oncogenic miRNAs with antisense RNA strands shows therapeutic  
443 potential [115]. MicroRNA-21 has been observed to be upregulated in CAFs of PDAC and was  
444 associated with poor survival [116]. Although the function of miRNA-21 in the stroma has not  
445 been understood, it has shown to reduce the growth of MiaPaCa-2 tumors in mice [117]. In  
446 TGF- $\beta$ -activated PSCs and PDAC biopsies, miRNA-29a and miRNA-29b were found to be  
447 decreased [2]. Restoration of miR-29 expression in aPSCs reduced stroma accumulation and  
448 tumor growth [73]. We have identified miRNA-199a and miRNA-214 to be overexpressed in  
449 CAFs and aPSCs [118]. Additionally, their role in aPSC differentiation, migration, tube  
450 formation by endothelial cells, aPSC-induced paracrine effects on tumor cells and growth of  
451 3D-heterospheroids composed of aPSCs and cancer cells has been demonstrated [118].

452 **Galectin-1:** Recently, genetic deletion of galectin-1 in a KRAS-driven tumor model in mice,  
453 resulted in decreased stroma activation, vascularization and increased T-cell infiltration [119].  
454 Activated PSCs-specific depletion of galectin showed an attenuation in metastasis and tumor  
455 formation [119]. Therefore, targeting galectin-1 seems to be a promising therapeutic strategy.



456 **Lipoxin A4:** Moreover, we have found that the endogenous lipid lipoxin A4 (LXA4) is capable  
457 of inhibiting the activation of human PSCs into CAF-like myofibroblasts *in vitro* and reduced  
458 fibrosis and tumor growth of stroma-rich subcutaneous tumors *in vivo* [97].

459 **Bromodomain and extraterminal (BET) inhibitors:** Bromodomain and extraterminal (BET)  
460 family of proteins are shown to be expressed in PSCs within PDAC tumors. BRD4 positively  
461 while BRD2 and BRD3 negatively regulates collagen I expression in CAFs [120]. Inhibition using  
462 BET inhibitors induce reversion of CAFs phenotype to quiescent phenotype (with reduced  
463 fibrosis and collagen I production) thereby inhibited pancreatic tumorigenesis in EL-KrasG<sup>12D</sup>  
464 transgenic tumor model [120].

## 465 8. Concluding remarks

466 The relevance of aPSCs in the progression of PDAC has clearly been demonstrated. Within the  
467 tumor microenvironment, activation of PSCs with a vast variety of cytokines and growth  
468 factors likely results into different phenotypes of aPSCs or CAFs. Research defining these  
469 subtypes will be highly interesting to progress the field further. In particular, identification of  
470 tumor-promoting CAFs will help in the quest to design targeted therapies against those cells  
471 without affecting tumor suppressive PSCs. This would have the potential to significantly  
472 improve the efficacy of existing therapies against PDAC. To develop such strategies to their  
473 full potential, it will be of great importance to identify aPSC-derived CAF subpopulations and  
474 their significance in PDAC progression and metastasis. Additionally, the identification of  
475 markers for tumor-promoting and PSC-derived CAFs will enable the development of  
476 therapeutic strategies capable of specifically targeting these cells. Specific markers for tumor  
477 promoting CAFs could additionally be used to develop novel diagnostic and prognostic tools.

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481 J.P. is the founder and stakeholder of ScarTec Therapeutics B.V.

482

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746 *Table 1. Therapeutic strategies to inhibit the tumor-promoting functions of aPSCs or CAFs in*  
 747 *the stroma of pancreatic cancer, under clinical or pre-clinical evaluation.*

Therapeutic Strategy	Co-treatment	Stage (year)	Function	Ref.
<b>Clinical Studies</b>				
PH20 hyaluronidase		Phase-Ib	Increased progression-free and overall survival rates of patients with metastatic PDAC, but also thromboembolic event in 29 % of patients.	[100]
Drug: IPI-926	Gemcitabine	Phase II (2012)	Discontinued due to increased mortality	[94]
Marimastat	/	Phase II (2001)	1-year survival rate of patients treated with marimastat was similar to those treated with gemcitabine.	[101]
Marimastat	Gemcitabine	Phase II (2001)	No additional benefits compared to gemcitabine alone.	[102]
Bay 12-9566	/	Phase III (2003)	Inhibitor of MMP-3, -9 and -13, showed less therapeutic efficacy in advanced PDAC compared to gemcitabine.	[103]
ATRA	Gemcitabine and nab-paclitaxel	Phase IB	Investigating ATRA along with gemcitabine and nab-paclitaxel in PDAC.	[113]
<b>Pre-clinical</b>				
Drug: IPI-926	Gemcitabine	Pre-clinical (2009)	Hedgehog inhibitor. Increased intra-tumoral vascular density and the intra-tumoral concentration of gemcitabine, resulting is a transient disease stabilization.	[92]
Minnelide	/	Pre-clinical (2015)	HSP70 inhibitor. Reduced ECM components like HA and collagen, improved functional vasculature, increased intra-tumoral drug delivery and decreased viability of tumor cells and stromal cells in experimental models of pancreatic tumor.	[121]

BET inhibitors (JQ1 and I-BET151)	/	Pre-clinical (2017)	BET inhibitors induced PSCs quiescence, attenuated fibrosis and collagen I production in the EL-Kras <sup>G12D</sup> transgenic mouse model.	[120]
Genetic depletion of $\alpha$ -SMA expressing cells	/	Pre-clinical (2015)	Invasive, undifferentiated tumors with enhanced hypoxia, epithelial-to-mesenchymal transition, cancer stem cells and reduced survival.	[95]
Genetic deletion of Shh	/	Pre-clinical (2014)	More aggressive tumors with, undifferentiated histology, increased vascularity and heightened proliferation.	[93]
IL-1 $\beta$ inhibition	/	Pre-clinical (2016)	Reduced obesity related tumor growth	[85]
PH20 hyaluronidase	Gemcitabine	Pre-clinical (2012)	Triggered fenestrations and inter-endothelial junctional gaps in PDAC tumor endothelia, promoted a tumor-specific increase in macromolecular permeability, inhibited tumor growth and prolonged survival.	[99]
PH20 hyaluronidase	Gemcitabine	Pre-clinical (2012)	Ablated stromal hyaluronic acid, normalization of interstitial fluid pressure, re-expansion of vasculature, permanent remodeling of the tumor microenvironment and prolonged survival.	[45]
Monoclonal antibody FG-3019	Gemcitabine	Pre-clinical (2013)	Induced the effectiveness of gemcitabine while not affecting intra-tumoral accumulation of gemcitabine in a KPC mouse model of PDAC.	[105]
Pirfenidone	Gemcitabine	Pre-clinical (2013)	Reduction of aPSC proliferation, invasion, migration, secretion of collagen and periostin and decreased overall tumor growth, peritoneal disseminated nodules and liver metastasis in an orthotopic mouse model induced with aPSCs and cancer cells. Attenuated tumor growth compared to gemcitabine alone.	[107]

Pirfenidone	N-acetyl cysteine	Pre-clinical (2016)	Reduced desmoplasia in an orthotopic hamster model, induced with HapT1 pancreatic cancer cells.	[108]
Olmesartan	/	Pre-clinical (2013)	Decreased the proliferation and collagen I synthesis of aPSCs and inhibited the growth and $\alpha$ -SMA expression in subcutaneous tumors consisting of AsPc-1 and aPSCs.	[109]
Losartan	/	Pre-clinical (2013)	Reduced stress in solid tumors, resulting in increased vascular perfusion which enhanced chemotherapy efficiency.	[110]
All-trans retinoic acid (ATRA)	/	Pre-clinical (2003, 2011)	Showed inhibitory effects on aPSC migration and collagen synthesis and induced quiescence in PSCs, leading to a reduction in their proliferation and increased apoptosis of surrounding cancer cells.	[111, 112]
Nanoparticles (All-trans retinoic acid (ATRA) + siRNA HSP47)	Gemcitabine	Pre-clinical (2018)	Reprogrammed PSCs and inhibited ECM hyperplasia, causing enhanced drug delivery to orthotopic (hPSC + Panc-1) pancreatic tumors, resulting in increased efficacy of gemcitabine	[114]
Calcipotriol	Gemcitabine	Pre-clinical (2014)	Induces stromal reprogramming in KPC mice, increase drug accumulation in tumors, reduce tumor volume and increase survival compared to gemcitabine treatment alone.	[98].
MicroRNA-29	/	Pre-clinical (2010)	Restoration of miR-29 expression in aPSCs reduced stroma accumulation and tumor growth.	[73]
MicroRNA-199a, microRNA-214	/	Pre-clinical (2016)	Inhibits aPSC differentiation, migration, tube formation by endothelial cells, aPSC-induced paracrine effects on tumor cells and growth of 3D-heterospheroids composed of aPSCs and cancer cells has been demonstrated	[118]

Lipoxin A4 (LXA4)	/	Pre-clinical (2018)	Attenuates the activation of human PSCs into CAF-like myofibroblasts <i>in vitro</i> and reduces fibrosis and tumor growth of stroma-rich subcutaneous tumors <i>in vivo</i> .	[97]
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